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Sentinel-2 time series reveal species-specific responses in temperate conifer dieback

Hélène Carletti^{1,2*}, Jean-Claude Gégout¹, Raphael Dutrieux³, Jean-Baptiste Féret³, Cédric Vega⁴, Thierry Belouard⁵, Anne Jolly⁶, Juliette Cansell⁷, Christian Piedallu¹

1. Université de Lorraine, AgroParisTech, INRAE, UMR Silva, 54000 Nancy, France

2. Agence de l'environnement et de la Maîtrise de l'Energie 20, avenue du Grésillé- BP 90406 49004 Angers Cedex 01 France

3. TETIS, INRAE, AgroParisTech, CIRAD, CNRS, Université Montpellier, 34093 Montpellier, France

4. Université Gustave Eiffel, ENSG, IGN, Laboratoire d'Inventaire Forestier, 14 rue Girardet, F-54042, Nancy, France

5. Département de la santé des forêts, UMR Biodiversité Gènes et Communautés, INRAE, 33610 CESTAS, France

6. Office National des Forêts, département Recherche Développement Innovation, pôle de Nancy, 54000 Nancy, France

7. Centre National de la Propriété Forestière (CNPF) Grand Est, 54000 Nancy, France

*Corresponding author: Hélène Carletti, helene.carletti@agroparistech.fr

*email addresses:

Jean-Claude Gégout, jean-claude.gegout@agroparistech.fr

Raphael Dutrieux, raphael.dutrieux@gmail.com

25 Jean-Baptiste Féret, jean-baptiste.feret@teledetection.fr

26 Cédric Vega, cedric.vega@ign.fr

27 Thierry Belouard, thierry.belouard@inrae.fr

28 Anne Jolly, anne.jolly@onf.fr

29 Juliette Cansell, juliette.cansell@cnpf.fr

30 Christian Piedallu, christian.piedallu@agroparistech.fr

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33 **Highlights**

34

- 35 • Anomalies on Times-series of Sentinel-2 data allow to characterise forest decline
- 36 • The performance of dieback detections depends on species and vegetation indices used
- 37 • Detection performed better in pure than in mixed stands, especially for Norway spruce
- 38 • Early warning dieback detection performs better for silver fir

39

40 **Abstract**

41 Silver fir (*Abies alba* Mill.), Norway spruce (*Picea abies* (L.) H. Karst) and Scots pine (*Pinus*
42 *sylvestris* L.) are three major conifer species in Europe that are currently experiencing severe
43 dieback. Developing effective monitoring of these species over large areas and at high temporal
44 frequencies is essential to assess their mortality dynamics. Multispectral satellites have
45 demonstrated their effectiveness in identifying mortality patterns for different functional types,
46 but differences among species remain poorly addressed. Using Sentinel-2 time series and
47 ground observations collected between 2018 and 2023 over the French mountains for 742 pure
48 and 949 mixed stands, we investigated the interest of analysing dieback detection at the species

49 level. We used spectral time series analysis from seven raw bands and eight vegetation indices
50 and integrated spectral anomalies for different periods prior to the ground survey. Results
51 differed significantly among species: the best performance was obtained for silver fir with a
52 fifteen-month period and Inverted Red-Edge Chlorophyll Index (IRECI), for Norway Spruce
53 with a one-month period and Continuum removal of the shortwave-infrared spectrum
54 (CRSWIR), and for Scots pine with a four-month period and red-edge (CRRE). In pure stands,
55 the time series analysis detected Norway spruce dieback better ($R^2 = 0.54$, OA=77%) than it
56 did Silver fir ($R^2 = 0.34$, OA=61%) or Scots pine ($R^2 = 0.16$, OA=64%). Dieback was difficult
57 to detect in its early warning stages and in mixtures mainly for Norway spruce and Scots pine.
58 This study highlights the importance of developing species-specific methods for large-area
59 monitoring of dieback.

60

61 **Keywords**

62 Time series, Sentinel-2, dieback, Norway spruce, silver fir, Scots pine

63

64 **Introduction**

65

66 Forests provide multiple ecosystem services including wood production, hydrological
67 regulation, carbon sequestration and recreational activities (Millennium Ecosystem
68 Assessment, 2005) are being threatened by the recent increase in forest mortality observed in
69 many parts of the world, including Europe (Senf et al., 2020). Dieback is a multifactor
70 phenomenon linked to a general, progressive degradation of tree health, and result in higher
71 than usual tree mortality (Allen, 2009). The factors involved include predisposition (e.g. tree
72 age, soil compaction), external abiotic conditions (e.g. storms, drought, frost) and biotic events
73 (e.g. fungi, insect outbreaks) (Manion, 1981). Climate change is also contributing to the recent

74 upward shift in mortality trends worldwide through increasingly intense and frequent extreme
75 climatic events (Senf et al., 2020) and biotic threats (Seidl et al., 2017). Historically, the health
76 of forests has been assessed using inventories that express the severity of dieback through
77 various symptoms (Lausch et al., 2017; Taccoen et al., 2019). Among them, foliar loss leading
78 to an increase in canopy transparency, and dead outer and upper branches are the most
79 frequently observed. These can lead in extreme cases to the death of the tree (Pontius & Hallett,
80 2014). Most forest health inventories rely on a systematic sampling design. They may not
81 always accurately reflect dieback patterns due to the localised nature of some mortality events
82 and to the harvesting of dead trees prior to sampling. Because they are too sparse to map dieback
83 at a fine scale, remote sensing may provide valuable complementary information essential to
84 robust decision-making (Hartmann et al., 2018). Multispectral data are the most widely used
85 for forest dieback assessment (Torres et al., 2021). Currently, operational multispectral
86 missions provide global coverage with a short revisit period, and medium to high spatial
87 resolution. Spectral information over multiple bands makes it possible to distinguish the
88 specific characteristics of forest canopies. Photosynthetically active pigments influence
89 reflectance in the visible domain (VIS, 400-700 nm), leaf structure and canopy structure
90 influence reflectance in the near infrared domain (NIR, 700-1100 nm), and absorption features
91 from plant water content act in the shortwave infrared domain (SWIR, 1100–2400 nm) (Carter
92 & Knapp, 2001; Gitelson & Merzlyak, 1998; Kokaly et al., 2009). Spectral bands can be used
93 alone or in combination with vegetation indices (VIs). The latter approach maximises
94 sensitivity to specific vegetation properties, often associated with a specific part of the
95 electromagnetic spectrum, and minimises sensitivity to other factors (e.g., atmospheric
96 conditions, acquisition geometry) (Jackson & Huete, 1991). VIs have been widely used to
97 assess forest dieback, and perform better than spectral bands (Bárta et al., 2021). Dense satellite-
98 image time series (SITS) are now outperforming single-image-based approaches to forest

99 dieback monitoring (Torres et al., 2021). SITS are used to model the normal behaviour of
100 spectral indices over time for a given site, then to compare this with current values to identify
101 spectral anomalies. The greater the difference over a period of time, the more important the
102 changes in stand fitness are likely to be. Detecting change with continuous SITS provides an
103 unprecedented opportunity for real-time monitoring (Woodcock et al., 2020). Algorithms have
104 been developed to monitor forest change from Landsat time series, such as LandTrendr, BFAST
105 or CCDC-SMA (Kennedy et al., 2010; Verbesselt et al., 2010; Chen et al., 2021). Their
106 performance depends in part on the availability of cloud-free data, which is necessary to
107 properly characterise the seasonality of the signal. This is particularly important in cloudy
108 humid regions such as mountainous areas (Lastovicka et al., 2020). Comparisons between time
109 series from satellite missions have shown differences in their ability to predict conifer forest
110 dieback. Sentinel-2, which has a high spatial resolution and revisit period, provides in general
111 better results (König et al., 2023). Most existing studies distinguish only a few dieback classes,
112 often only one degraded stage and a contrasting healthy stage (Abdullah et al., 2019a). Studying
113 the shape of the transition between the normal health status and strong dieback symptoms
114 (Trumbore et al., 2015) requires detailed gradients covering all the stages of dieback, especially
115 when visible symptoms are moderate (crown defoliation, presence of dead branches, ...), i.e.
116 the early warning stages, which are often omitted despite their importance for managers (Torres
117 et al., 2021). Few studies have evaluated remote sensing approaches on a detailed dieback
118 gradient with a description of tree dieback status based on ground survey observations (Hawryło
119 et al., 2018). Most remote sensing studies on dieback have focused on one tree genus, such as
120 *Pinus* or *Quercus*, or one functional type, as it is quite difficult to distinguish tree species over
121 large areas (Gallardo-Salazar et al., 2023; Drechsel & Forkel, 2025). A few specific studies in
122 contexts with enough pure stands have focused on a single species (Bárta et al., 2021; Marx &
123 Kleinschmit, 2017); this simplifies detection since responses to stress differ among species. For

124 example, Radeloff et al. (1999) showed that the NIR reflectance of *Pinus banksiana* was greater
125 than that of other conifer species and that it tended to increase with stress, whereas it decreased
126 in other conifer species (Abdullah et al., 2019b). This suggests that detection performances
127 should be improved by selecting the method and spectral index according to the target species
128 (Ye et al., 2021). Selecting only pure stands is recommended to study single-species responses
129 (Radeloff et al., 1999); however, 70% of the forests in Europe consist of multi-species stands
130 (UNECE & FAO, 2011). Integrating species differences and mixture effects into satellite data
131 analysis is quite challenging and is a limiting factor for current dieback detection algorithms
132 (Bárta et al. 2021). We aimed to investigate how the responses of species belonging to the same
133 functional group differ at different levels of mixture and dieback in time-series dieback
134 monitoring. We focused on silver fir (*Abies alba* Mill.), Norway spruce (*Picea abies* (L.) H.
135 Karst) and Scots pine (*Pinus sylvestris* L.), representative of the three most important conifer
136 genera in Europe (Strona et al., 2016). We used an anomaly detection method and compared
137 different spectral bands and vegetation indices over different time periods to evaluate their
138 performance in predicting dieback gradients for pure stands of each species. The most efficient
139 vegetation index for each species was retained, and its ability to predict dieback gradients was
140 then evaluated for different levels of species mixture.

141 **Materials and methods**

142 *Study area*

143 We evaluated silver fir, Norway spruce and Scots pine dieback on plots located throughout the
144 southwestern part of their geographical range, in five mountain ranges (the Vosges, the Jura,
145 the Massif Central, the Alps and the Pyrenees), as well as the surrounding areas, which are all
146 located in France. The elevations in the studied forests range from 80 to 2,100 meters, with an
147 average of about 900 meters. Mean annual precipitation during the study period varied from
148 650 mm to 2,100 mm, with an average of 1,100 mm. Mean annual temperatures ranged from

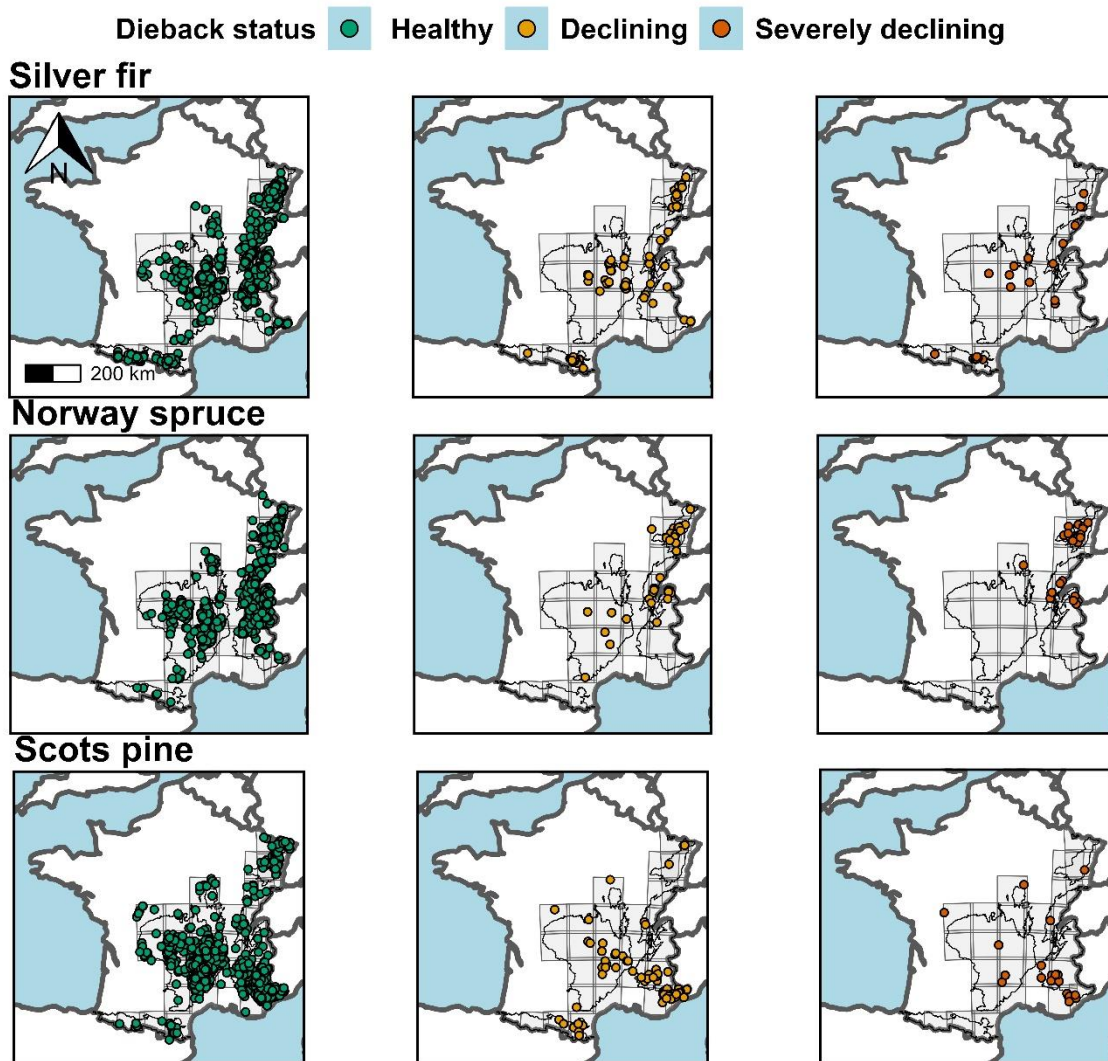
149 2.5 degrees to 15.0 degrees with an average of 8.7 degrees (Piedallu et al., 2016). Four of the
150 mountain ranges (Vosges, Massif Central, Alps and Pyrenees) are composed of crystalline and
151 calcareous rocks, while the Jura is only calcareous. Forests cover approximately 40% of the
152 study area (i.e. 10M ha), of which 26 % is coniferous, 58 % is deciduous, and the remaining
153 16% is mixed coniferous/deciduous forest (IGN, 2019). Approximately 57% of the forest area
154 is composed of multiple species (Bontemps et al., 2019), and silver fir, Norway spruce and
155 Scots pine make up 83% of the coniferous forest (IGN, 2019).

156 *Data collection*

157 *Ground observations*

158 We used a subset of 1,773 French National Forest Inventory (NFI) plots, surveyed from 2018
159 to 2023, containing at least one dominant or co-dominant tree of our target species (Vidal et al.,
160 2005). They provide circumferences and species names for each tree with a diameter greater
161 than 7.5 cm and ecological information on a circular plot of 15 metres with continuous tree
162 cover (i.e. without discontinuities such as roads). The selected plots showed no signs of
163 management intervention or decline due to extreme events (fire, windthrow...) in the five years
164 prior to the survey. We focused on declining changes such as insect- or drought-induced
165 dieback and therefore excluded plots affected by other extreme events (windthrow, fire,
166 avalanche...) (Fig.1) (Ye et al., 2021). As dieback symptoms are rarely observed in systematic
167 large-area monitoring, we added 191 plots across the area that were specifically surveyed for
168 forest dieback, and provide information on tree species and circumferences (Fig.A.1) (Gilles et

169 al., 2024). The NFI plots have a surface area of 700 m² and the additional plots ranged from
170 300 m² to 6300 m² (average 1000 m²).



171 *Fig.1: Distribution of the 1,964 study plots located in the selected mountain ranges, by species and dieback status. The location of the selected Sentinel-2 tiles is delineated in grey (each tile contains at least 100 ground observations) (Dieback status categories correspond to [0%-25%], [25% - 50%] and [50% - 100%] for “Healthy”, “Declining” and “Severely declining” respectively).*

172 The NFI database provided information on the social status of living trees (whether dominant
173 or co-dominant), but not dead ones. Instead, the ratio of a tree's circumference to the mean
174 circumference of all the trees in the plot was used to identify dominant and co-dominant trees
175 for dead trees. This threshold was calibrated for each species (Tab.A.1). As dieback was not

176 assessed for the small trees, we eliminated the plots where more than 50% of the trees had a
177 diameter at breast height of less than 22.5 cm.

178 On 55% of the plots, we used the percentage of dead branches (DB) and leaf defoliation (LD)
179 to determine the degree of dieback for each of the dominant and co-dominant trees (Goudet et
180 al., 2018). As LD was not available, we only used DB for the remaining 45%. A DB value of
181 100% indicates a dead tree. When both DB and LD were assessed, we calculated the *Idx* index
182 (Eq. 1), as recommended by the French Forest Health Service (DSF): When LD was missing,
183 the *Idx* index took DB alone.

$$Idx = DB + (1 - DB/100) * LD \quad \text{Eq.1}$$

184 For the dataset studied, silver fir, Norway spruce and Scots pine had mortality rates of 3.0%,
185 5.0% and 6.1%, respectively. These rates are higher than those observed in France from 2009
186 to 2015 (Taccoen, 2019). At the plot level, dieback was calculated for each species by weighting
187 the individual scores of the dominant or co-dominant trees according to the proportion of their
188 species in the total basal area of the plot. To describe the health status of each species in the
189 plot, we attributed a species dieback plot score (*Dps*) ranging from 0 % (healthy) to 100 % (all
190 the trees of the species are dead), as follows:

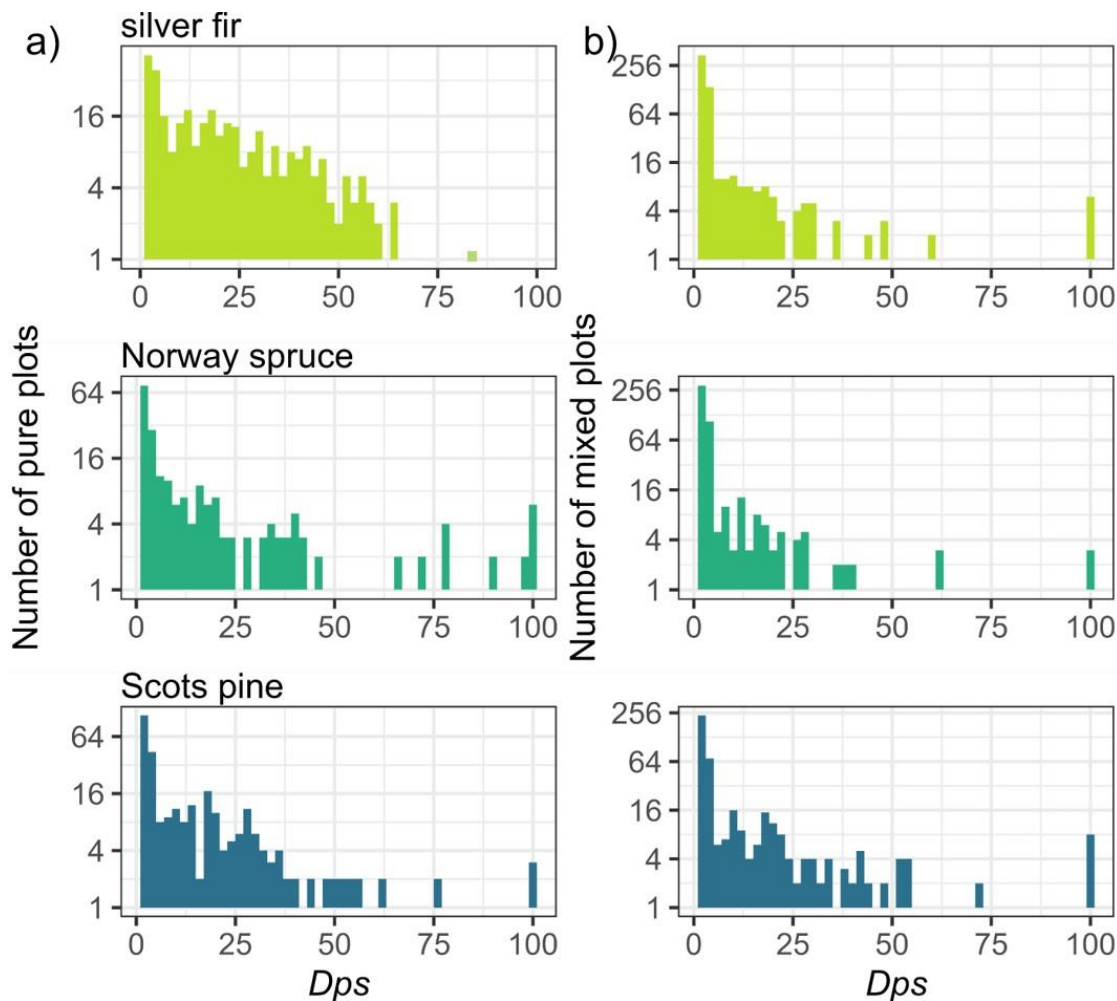
$$Dps = \sum_{i=1}^n Idx * Gi/Gp \quad \text{Eq. 2}$$

191

192 where, for the considered species, *Gi* is individual tree basal area, *n* total number of trees, and
193 *Gp* plot basal area.

194 The plots were then divided into two groups: 862 pure stands, in which the species covered
195 100% of the basal area of the dominant-codominant tree layer of the plot, and 1,102 mixed

196 stands, in which the target species covered less than 100% of the basal area (Fig.2). In the
197 mixed stands, only the plots with healthy non-target species were retained.



198

199 *Fig.2: Log₂ transformed distribution of the species dieback plot score (Dps) in a) pure*
200 *(N=862), and b) mixed (N=1,102) stands for each studied species.*
201

202 *Satellite data*

203

204 We used satellite-image time series (SITS) acquired by Sentinel-2A and 2B which is part of the
205 Copernicus program (Drusch et al., 2012). Revisit time is five days and sensors acquire
206 information in 13 spectral bands, ten of which are available with atmospheric correction. The
207 spectral bands cover the VIS, NIR and SWIR domains. The spectral characteristics (centre
208 wavelength for each band) and spatial resolution are given in Table.A.1.

209 The Sentinel-2 Level-2A images for the 28 Sentinel-2 tiles covering the study area (Fig.1), pre-
 210 processed for atmospheric correction by the MAJA processing chain, were downloaded from
 211 the Theia platform¹ for the period from January 1, 2016 to December 31, 2023. Acquisitions
 212 with more than 30% cloud cover were discarded, resulting in 1,186 images and a revisit time
 213 ranging from six to 19 days. Non-valid observations corresponding to unmasked clouds, haze
 214 and snow were removed based on a reflectance threshold on band (B2) excluding surface
 215 reflectance > 6% out of the physical range (0-1), according to the method developed by
 216 Dutrieux et al. (2021). A pixel was attached to a plot if its centroid was included in the plot area
 217 resulting in an array from four to 100 pixels per plot (mean = 9 pixels, standard deviation = 3
 218 pixels). The *Dps* defined at the plot level was then applied to each of its pixels. For each image
 219 associated with each plot, the plot was retained if the spectral information was valid (no clouds
 220 or shadows) in at least 50% of its pixels. We selected eight vegetation indices regularly used in
 221 conifer dieback detection studies and weakly intercorrelated (Tab.1, Fig.A.2): CRSWIR,
 222 NDWI, NDVI, REP, IRECI, CRRE, NDMI and MCARI (Table.1).

223 *Table 1: Presentation of the selected vegetation indices. λ_{Bi} and B_i are, respectively, the*
 224 *wavelength and reflectance (nm) of band i (Tab.A.1).*

225

Vegetation index	Formulation	Reference
CR _{SWIR}	$B_{11} / ((B_{8A} + (\lambda_{B_{11}} - \lambda_{B_{8A}}) * ((B_{12} - B_{8A}) / (\lambda_{B_{12}} - \lambda_{B_{8A}}))))$	(Dutrieux et al., 2021)
NDWI	$(B_3 - B_8) / (B_3 + B_8)$	(McFeeters, 1996)
NDVI	$(B_8 - B_4) / (B_8 + B_4)$	(Tucker, 1979)
REP	$700 + 35 * (((B_4 + B_7) / 2) - B_5) / (B_6 - B_5)$	(Gitelson & Merzlyak, 1998)
IRECI	$(B_7 - B_4) / (B_5 / B_6)$	(Frampton et al., 2013)
CR _{RE}	$B_5 / (B_4 + (\lambda_{B_5} - \lambda_{B_4}) * (B_6 - B_4) / (\lambda_{B_6} - \lambda_{B_4}))$	(Huang et al., 2004)
MCARI	$((B_5 - B_4) - 0.2 * (B_5 - B_3)) * (B_5 / B_4)$	(Daughtry, 2000)

NDMI

(B8A-B11)/(B8A+B11)

(Vogelmann & Rock, 1988)

226

227

228 *Time-series analysis*

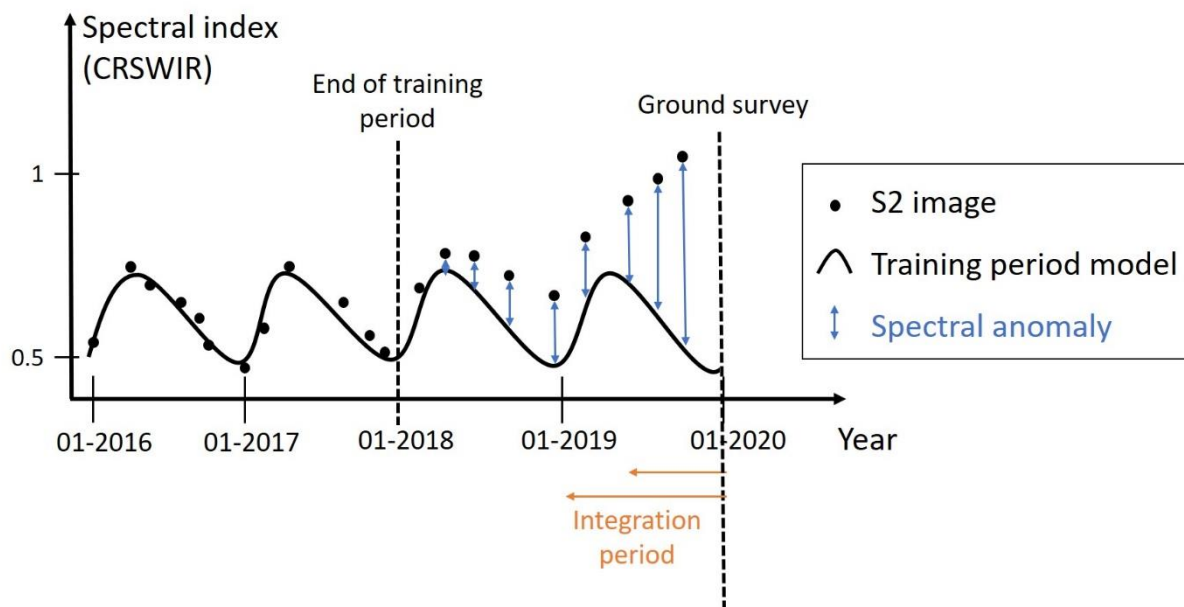
229 *FORDEAD approach*

230 The FORDEAD time-series approach (Dutrieux et al., 2021) is an anomaly detection method
231 applicable to satellite-image time series that operates at the pixel level. FORDEAD assumes
232 that the seasonality of spectral information is stable over time for ‘healthy’ forest pixels.
233 Spectral information may correspond to a vegetation index or to a spectral band, depending on
234 the vegetation property expected to change with dieback. For each pixel, the seasonality
235 corresponding to the healthy stage is calibrated with a harmonic model fitted over a sufficiently
236 long period and based on a least-squares criterion (Eq.3). Anomalies correspond to a deviation
237 from the harmonic model when extrapolated over time (Fig. 4). The training period defined to
238 fit the harmonic model should be long enough to cover at least one full growing season, with a
239 sufficient number of acquisitions. Here, we used a training period of two years (2016 and 2017)
240 during which very little dieback occurred (Fig.A.3). Two growing seasons during the two-year
241 training period were considered when fitting the harmonic model in order to account for within
242 - and between-season variability (Rautiainen et al., 2018). A minimum of ten Sentinel-2 images
243 with at least one per season during the training period were required to calibrate the model for
244 each pixel, and the plot was only retained if at least 50% of its pixels could be calibrated. The
245 most extreme 5% of the reflectance values for each spectral band (2.5% lowest and 2.5%
246 highest) were eliminated during the training period in order to improve model fit.

247 $HM = a1 + b1*\sin(2 \pi t/T) + b2*\cos(2 \pi t/T) + b3* \sin(4 \pi t/T) + b4* \cos(4 \pi t/T)$, Eq. 3

248 where $T=365.25$, t = number of days between the Sentinel-2 image and 01/01/2015, and
249 $(a1,b1,b2,b3,b4)$ are pixel model coefficients.

250 After the training period, for every Sentinel-2 image available for the period 2018-2023, a
251 spectral anomaly at the plot level was defined as the average difference between the current
252 value of the spectral index and the value predicted by the harmonic model for each pixel
253 (Lastovicka et al. 2020) (blue arrows in Fig.3). The anomalies were then averaged over different
254 time periods, called integration periods (orange arrows in Fig.3).



255 *Fig.3: Schematic pixel trajectory analysed with the FORDEAD method.*
256 *The figure above shows CRSWIR dynamics for a plot with dieback symptoms observed in 01/2020.*

257 After applying the above filters to the dataset, the 18 spectral indices (eight vegetation indices
258 and ten Sentinel-2 spectral bands) were calculated for 1,691 of the 1,964 plots available. This
259 represented 742 pure stands (289, 166 and 287 for silver fir, Norway spruce and Scots pine,
260 respectively) and 949 mixed stands (438, 339 and 392 with silver fir, Norway spruce and Scots
261 pine, respectively, including possible mixtures with each other).

262 *Capacity of spectral indices and anomaly integration periods to predict dieback*

263 For each of the 18 spectral indices, we used the averaged spectral anomaly as a predictive value
264 for species *Dps* for the pure plots. Several integration periods, from one to 40 months, of the
265 spectral anomaly were tested for each spectral index. We fitted a linear regression model with
266 a quadratic term composed of the average observed anomaly for each spectral index over each
267 anomaly's integration period as the y variable, and the *Dps* as the x variable, then calculated
268 the coefficient of determination (R^2). For each species, we selected the best spectral index and
269 integration period that, in combination, best correlated with the actual measured dieback. We
270 then evaluated its capacity to detect dieback gradients for different degrees of mixture
271 (Tab.A.3). We evaluated the quality of the model by looking at its coefficient of determination
272 (R^2) and root mean square error (RMSE) (Chai & Draxler, 2014; Figueiredo Filho et al., 2011).

273 In order to compare our results with a more classical approach, contrasting the healthy and
274 degraded condition of the trees, we classified the pure plots into two classes from the 30% *Dps*
275 threshold recommended by the French Forest Health Service. For each species, this binary
276 status was modelled using the best spectral index and integration period with a generalised
277 linear regression model. A Receiver Operating Characteristic (ROC) curve was used to
278 determine when each plot should be considered either healthy or declining (Fielding & Bell,
279 1997). Standard metrics overall accuracy (OA) and balanced accuracy (BA) (Pedregosa et al.,
280 2011) were calculated (Fig.A.4). OA estimates the percentage of well classified plots and BA
281 is defined as the average between the true positive rate and the true negative rate and is not
282 influenced by an unbalanced dataset. The performance was validated with repeated tenfold
283 stratified cross-validation; 70% of the dataset was used for training and the remaining 30% for
284 testing.

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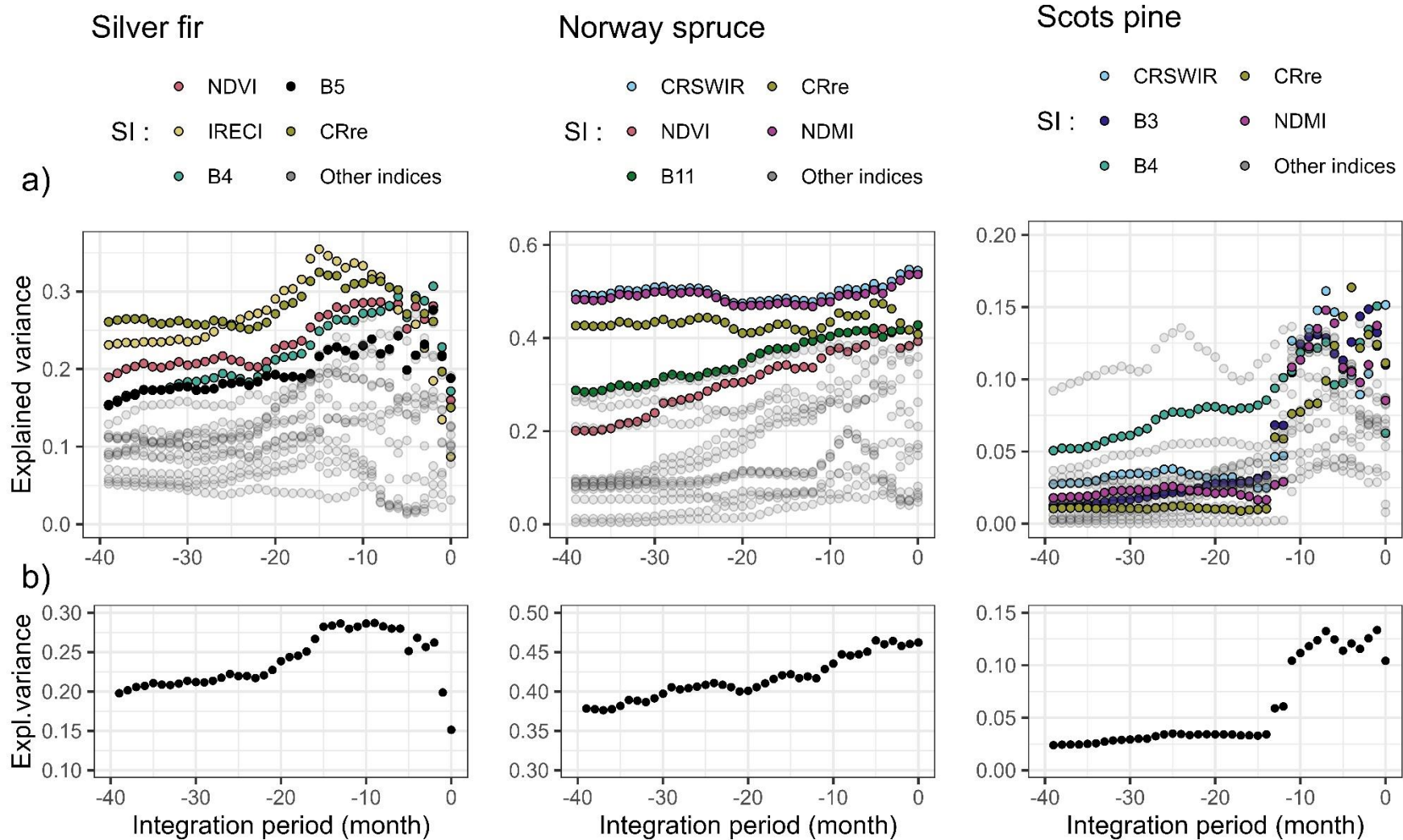
286 **Results**

287 *Performance of spectral indices and integration periods varies among conifer species*

288 The performance of the spectral indices in predicting dieback gradients varied greatly among
289 species (Fig.4). The best performing model had an R^2 of 0.54 for Norway spruce, 0.34 for silver
290 fir, and 0.16 for Scots pine (RMSE 24, 22 and 22%, respectively). When considering only
291 healthy and declining plots, OA and BA were 77% and 74% for Norway spruce, 61% and 63%
292 for silver fir, and 64% and 62% for Scots pine (Tab.A.4).

293 The spectral index with the highest performance differed among species: IRECI for silver fir,
294 CRSWIR for Norway spruce and CRRE for Scots pine. The performances were strongly
295 species-dependent. For example, IRECI, the most efficient spectral index for silver fir, had an
296 R^2 37% lower than CRSWIR for Norway spruce (28% RMSE) and 13% lower than CRRE for
297 Scots pine (22% RMSE). CRSWIR was the best spectral predictor of the dieback gradient in
298 terms of average R^2 regardless of species. However, selecting CRSWIR for all three species
299 would have had a major impact on dieback predictability. The R^2 value for silver fir dieback
300 predictions would have decreased from 0.34 to 0.19 (24% RMSE) and the capacity to predict
301 Scots pine gradient of dieback would have been negligible (22% RMSE).

302 With the best spectral index applied for each species, optimal anomaly integration periods were
303 15 months for silver fir, four months for Scots pine and one month for Norway spruce (Fig. 5a).
304 The best integration period in terms of average R^2 was nine months regardless of species.
305 Applying a nine-month integration period for all three species, would have had a slight impact
306 on the predictability of dieback. The R^2 value for silver fir, Norway spruce and Scots pine
307 dieback predictions would have decreased by 6%, 7% and 19%, respectively.



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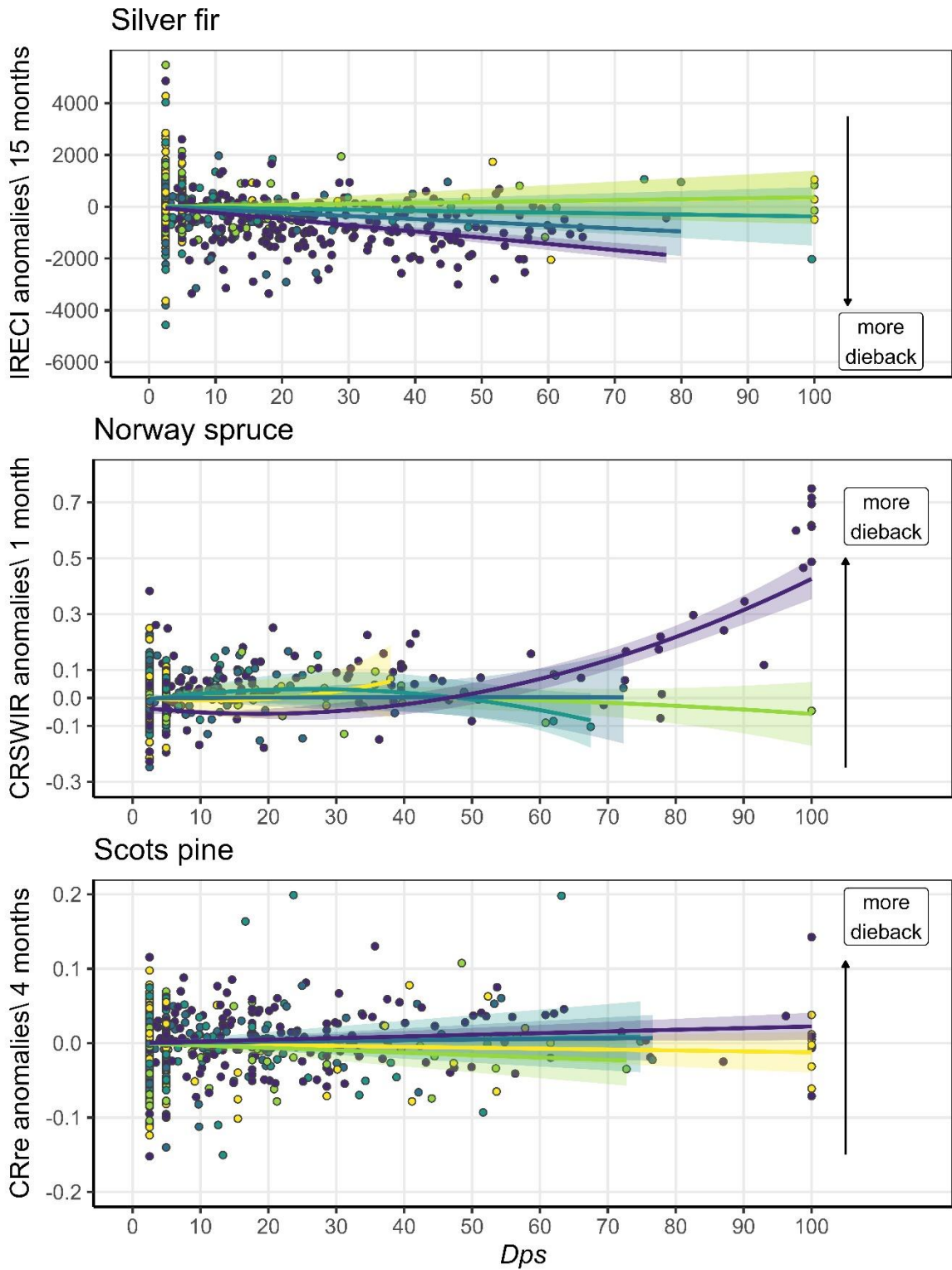
Fig.4: a) Explained variance (R^2) of different spectral index (SI) anomalies in detecting a continuous dieback gradient for silver fir, Norway spruce and Scots pine ($n = 289, 166$ and 287 , respectively), for a range of integration periods. The five SIs with the highest explained variance is represented in colour. b) R^2 of the five best spectral indices averaged for each period. Each dot represents the R^2 between the Dps and the spectral anomaly for one SF and integration period.

313 *Dieback detection according to dieback intensity and mixture percentage*

314

315 We selected the spectral index and integration period that best predicted the observed dieback
316 gradients for pure stands and examined its response to varying degrees of mixture. The spectral
317 anomalies differed according to species, dieback intensity and mixture proportion (Fig.5). In
318 pure stands, the spectral anomalies of silver fir showed a linear response to increasing dieback
319 values. For Norway spruce, the response was exponential, while for Scots pine, the regression
320 line was almost horizontal. Differences from healthy stands appeared from a *Dps* of 20-30%
321 for silver fir, in contrast to Scots pine for which a high level of dieback was required to
322 distinguish healthy from declining plots. For Norway spruce, no real distinction was discernible
323 before a 50% *Dps* in pure stands, but beyond that value, the difference became increasingly
324 accentuated.

325 Dieback detection was more challenging for species in mixed stands. However, the degree of
326 mixing from which dieback can be detected varied among species. Even with the best spectral
327 index, it was difficult to detect dieback for Norway spruce or Scots pine in mixed stands,
328 whereas it was possible for silver fir, particularly when the species exceeded 50 % of the total
329 basal area of the stand. The four next best spectral indices (Fig.4) showed similar patterns.



330

% of the species 0-25% 25-50% 50-75% 75-99% 100%

331 Fig.5: Comparison between spectral index anomalies and dieback gradient (Dps) for pure
 332 stands (noted 100 %) and different mixture classes for silver fir, Norway spruce and Scots pine
 333 ($n=727,505$ and 679 respectively). The dots represent each plot's averaged spectral anomalies.
 334 The supposed evolution of the spectral index under dieback is indicated by the black arrow.
 335 The regression curves are fitted and 95% confidence intervals are represented. R^2 and RMSE
 336 values are in Tab.A.5.

337 **Discussion**

338

339 *The most efficient spectral index varied by species*

340

341 Although a similar method is often used to detect dieback for different species (Lambert et al.,
342 2013; Senf et al., 2018), we found predictions can be improved at the species level. This
343 suggests that species-specific physiological responses are at play (Garrity et al., 2013). The
344 differences among species may also be explained by the factors causing the dieback, which
345 induce different symptoms. Silver fir and Scots pine dieback were most accurately identified
346 with, respectively, IRECI and CRRE. Both indices contain visible and red-edge bands, which
347 appear to be relevant for monitoring dieback in both species (Hawryło et al., 2018). This
348 suggests that the detection of their dieback may depend on changes in photosynthetic activity,
349 particularly chlorophyll content, which is the main factor influencing the reflectance of
350 vegetation in the visible and red-edge regions. For these two species, the factors are more
351 diverse than for Norway spruce but an increasing amount of the dieback reported by the French
352 Forest Health Service is associated with the fungus *Sphaeropsis sapinea* for Scots pine (Fabre
353 et al., 2011), and with the bark beetle *Pitokteines* spp. for silver fir (Durand-Gillmann et al.,
354 2014), both of which cause crown discolouration. This is consistent with spectral index related
355 to changes in chlorophyll content performing the best.

356 Norway spruce dieback was optimally detected with CRSWIR, which takes advantage of NIR
357 and SWIR reflectance (Fig.A.5). This spectral index was developed specifically to detect
358 Norway spruce dieback, and was identified as the most relevant spectral index during the
359 development of the FORDEAD method (Dutrieux et al., 2021). In contrast to silver fir and
360 Scots pine, bark beetles, especially *Ips typographus* L., are an important factor in Norway
361 spruce dieback. They initially affect the water content of the tree crown by forcing the stomata
362 to close (Abdullah et al., 2019b), thereby reducing their photosynthetic activity (Kautz et al.,

363 2023). This explains the high performance of CRSWIR, which is correlated with canopy water
364 content and therefore particularly relevant for detecting bark beetle infestations (Abdullah et
365 al., 2019a). Using the same spectral index to predict dieback for all species decreased detection
366 performance, especially for silver fir, thus illustrating the benefit of detecting dieback at the
367 species level. For all species, spectral bands were less effective than vegetation indices, thus
368 confirming the latter's interest (Abdullah et al., 2019a).

369

370 *Detection accuracy varies among species*

371

372 Time-series analysis varied in performance among the studied coniferous species, with
373 much better dieback gradient detection for Norway spruce than for silver fir or Scots pine.
374 Differences in dieback detection for species belonging to the same functional group have rarely
375 been investigated but similar observations have already been reported in studies conducted at
376 the local scale with high-resolution unmanned aerial systems (Ecke et al., 2024; Kamińska et
377 al., 2018). These differences may be explained by variations in the spectral characteristics of
378 declining plots for each species, or by local mortality patterns, canopy cover or causes of
379 dieback. The influence of the understory on surface reflectance measured with Sentinel-2 may
380 be particularly important in severely declining plots with limited vegetation cover (Meiforth et
381 al., 2020). Pine forest canopies are less dense than those of spruce or fir forests (Grabska &
382 Socha, 2021); this could explain the lower detection rate for Scots pine dieback. When the
383 influence of the understory is limited, as it is on poor sandy soils, performance can be better
384 (Hawryło et al., 2018). These results highlight the importance of considering species-specific
385 characteristics to improve large-area monitoring. Despite the limited number of studies
386 focusing on dieback gradients at the species level, our performance appears to be in line with
387 other studies. For example, Lambert (2013) reported 31 % of explained variance in detecting

388 the proportion of stressed silver fir trees using NDVI time series analysis. Crespo-Antia et al.,
389 (2024) found a correlation of -0.57 between silver fir stand defoliation and Enhanced
390 Vegetation Index (EVI) time-series. Most existing studies focus on classifying a binary variable
391 representing the presence or absence of dieback, which also showed close performance
392 (Abdullah et al., 2019b; Bárta et al., 2021). For example, Bárta et al., (2021) obtained an OA
393 of 78% for discriminating healthy from declining Norway spruce pure stands through time
394 series analysis in Central Europe. Grabska et al., (2020) obtained an OA of 75% detecting
395 dominated Scots pine forest loss with Sentinel-2 time series in Poland. Our results were
396 obtained even though dead branches and defoliation are more difficult to detect than stand
397 changes in colour or defoliation alone, as is the case in most studies (Abdullah et al., 2019a;
398 Meneghini et al., 2022). We highlighted a non-linear response for Norway spruce with severe
399 symptoms. Meddens et al., (2013), who examined changes in dieback accuracy as a function of
400 red-stage percentage within pixels for Norway spruce, observed a similar result. We did not
401 find the same nonlinearity for silver fir and Scots pine, possibly due to the absence of stands
402 with high levels of dieback in our sample (maximum dieback plot score of 78% in silver fir
403 stands, and only seven plots with a plot dieback score above 70% for Scots pine stands).
404 Collecting a large number of ground observations with equal representation of dieback stages
405 is challenging due to the rarity of declining stands and the frequent harvesting of declining trees.
406 To mitigate this problem, we added plots located in areas of significant dieback to the
407 systematic sampling plots from the NFI. The differences in dieback intensity observed at the
408 plot scale also reflect different patterns among the three species studied. In the declining NFI
409 plots, we observed a higher mortality rate for Norway spruce (39%), which is experiencing
410 more massive dieback, than for Scots pine (26%) and silver fir (23%). These results suggest
411 that the potential for mapping dieback areas is better for Norway spruce than for silver fir or
412 Scots pine.

413

414 *Shorter integration period for Norway spruce than for Scots pine and silver fir*

415

416 The optimal integration period for Norway spruce dieback was one month before field
417 observations, while for the other two species, a much longer period must be considered. This is
418 consistent with Bárta et al. (2021), who showed that the trajectories of vegetation indices for
419 red-stage Norway spruce stands deviated from their usual trend one or two months before field
420 observations. For silver fir, on the other hand, Lambert et al. (2013) found the highest
421 performance when considering spectral anomalies one or two years before field observations.
422 The way different species react over time is linked to the cause of the stress. For example,
423 Cailleret et al., (2017) studied tree growth prior to mortality and showed a shorter dieback
424 period when mortality was caused by a biotic agent - particularly bark beetles - compared to a
425 climatic cause. Norway spruce dieback is closely linked to bark beetle infestations, whereas for
426 the other two species, decline is more common due to non-biotic factors and biotic factors are
427 less aggressive (DSF & IGN, 2024). This could explain the earlier detection of anomalies for
428 Norway spruce compared to Scots pine and silver fir.

429

430 *Detection of the early-warning stage and dieback in mixtures remains challenging*

431

432 The ability to detect early symptoms of dieback would allow managers to better anticipate crises
433 and adapt forest management planning (Torres et al., 2021). Detecting gradients, including the
434 early-warning stage, is complex, as demonstrated by White et al., (2005) and Meddens et al.,
435 (2013), whose results showed low accuracy at early dieback stages in conifer forests. In our
436 study, we did not detect any early signals for Norway spruce dieback in pure stands. However,
437 we did identify early signals for silver fir, and to a lesser extent for Scots pine, despite poorly
438 estimated dieback gradients. These results suggest that early-warning dieback stages cannot be

439 detected for all species. This could hinder effective management of bark beetle infestations for
440 Norway spruce, for example.

441 Seventy percent of European forests are mixed (UNECE & FAO, 2011). Even so, most studies
442 assessing forest dieback through satellite detection have focused on pure stands (Marx &
443 Kleinschmit, 2017), or have not considered the influence of species mixture when studying
444 dieback for a given species (Hawryło et al., 2018). As expected, the detection of dieback
445 performed less well in mixtures as the proportion of healthy species increased. At intermediate
446 levels of mixture, we were better able to detect dieback for silver fir than for Scots pine and,
447 especially, Norway spruce, for which dieback detection was efficient only in pure stands. For
448 Scots pine and Norway spruce, the absence of mixed stands with high levels of dieback (plot
449 dieback score > 70%) limited the comparison with pure stands. Mixtures - particularly between
450 deciduous and coniferous trees - clearly increase the complexity of dieback detection (Lee &
451 Lee, 2019). In our study, deciduous species were present in 72% of the mixed stands. With field
452 survey information on species at the pixel scale rather than at the plot scale, the description of
453 plot heterogeneity and the accuracy of dieback monitoring should improve. Precise species
454 distribution maps are needed to adapt time-series analyses to the stand's composition. Some
455 promising novel methods of species identification based on satellite time series or artificial
456 intelligence are in development and may allow researchers to produce updated maps of species
457 distribution over broad areas (Hermosilla et al., 2022; Gilles et al., 2024).

458

459 *Uncertainties and improvements*

460

461 The forest plots we studied covered a wide range of environmental conditions across Europe,
462 in terms of altitude, soil, climate and management. Despite the pixel-scale calibration of our
463 time-series analysis, which we expected would account for the local context (forest type, stand

464 characteristics, elevation), sources of uncertainty remain that can be due to local disturbances
465 caused by changes in vegetation cover or management. We know that tree mortality increased
466 In France after our calibration period (2016-2017) but we cannot be sure that no dieback
467 occurred during the training period (DSF & IGN, 2024). We may therefore have underestimated
468 mortality in some plots. The more time that elapses after the training period, the greater the risk
469 of confounding mortality with changes related to stand dynamics or management. One solution
470 might be to calibrate the model to a moving window (Mouret et al., 2024), but in this case, care
471 should be taken to avoid calibrating the model during a period of higher mortality.

472 Finally, we considered the dieback plot score to be uniform at the plot scale and this probably
473 limited the model's performance since the actual distribution of declining trees was not uniform
474 throughout the plot, particularly for silver fir and Scots pine. Further analyses should evaluate
475 the interest of integrating tree location inside the plot, for example, by using sensors with higher
476 resolutions (airborne, UAV...) to allow work at the pixel scale and not at the plot scale.

477 The above-mentioned limitations can be important since they introduce noise into the spectral
478 anomaly signals (Grabska & Socha, 2021). However, these uncertainties would not have
479 affected the differences among the species highlighted in this paper.

480 Finally, the time series analysis method we used is based on the detection of anomalies with a
481 single index. For all three species, we found different responses related to dieback intensity in
482 almost all the spectral bands, which cannot be represented by a single vegetation index. The
483 method could therefore be further optimised by combining different spectral indices, a
484 technique that has been identified as a vector for improving current satellite-based forest
485 monitoring (Ye et al., 2021). It should be noted, however, that this technique does not
486 systematically improve the accuracy of dieback detection (Mouret et al., 2024).

487

488 **Conclusion**

489 We reveal important among-species differences in performance, spectral indices, anomaly
490 integration periods, early warning stages and dieback detection. The ability of remote sensing
491 to detect declining stands is related to dieback causes and patterns, which are different
492 depending on the species and are also strongly influenced by mixture. Our results argue in
493 favour of calibrating detection methods at the species level rather than at the functional group
494 level. Our research should be extended to the other major tree species to determine the best
495 parameters to assess and monitor their dieback. Our results also illustrate the interest of
496 analysing Sentinel-2 time series, which could be used to produce high-resolution dieback maps
497 at the species level when accurate and detailed land-use maps are available. This would make
498 it possible to monitor dieback trends over time. Dieback is increasing in many parts of the world
499 and there is an urgent need to develop an efficient monitoring system that provides a periodic
500 picture of forest health and can help to improve forest management planning and policy making
501 over large areas.

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508 The author(s) declare that they have no known competing financial interests or personal
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510 **Author contributions: CRediT**

511 **Jean-Claude Gégout:** Conceptualization, Methodology, Writing - Review & Editing,
512 Supervision, **Raphael Dutrieux:** Methodology, Software, Data Curation, **Jean-Baptiste**
513 **Féret:** Conceptualization, Methodology, Software, Writing - Review & Editing, Data Curation,
514 **Cédric Vega:** Conceptualization, Methodology, Resources, Writing - Review & Editing,
515 **Thierry Belouard:** Conceptualization, Methodology, Resources, Writing - Review & Editing,
516 **Anne Jolly:** Conceptualization, Methodology, Resources, Writing - Review & Editing, **Juliette**
517 **Cansell:** Resources, Writing - Review & Editing, **Christian Piedallu:** Conceptualization,
518 Methodology, Resources, Writing - Review & Editing, Supervision, Funding acquisition,
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520

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