

# Canonical Commutation Relations: A quick proof of the Stone-von Neumann theorem and an extension to general rings

Bachir Bekka

### ▶ To cite this version:

Bachir Bekka. Canonical Commutation Relations: A quick proof of the Stone-von Neumann theorem and an extension to general rings. 2025. hal-04924913

## HAL Id: hal-04924913 https://hal.science/hal-04924913v1

Preprint submitted on 1 Feb 2025

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

#### CANONICAL COMMUTATION RELATIONS: A QUICK PROOF OF THE STONE-VON NEUMANN THEOREM AND AN EXTENSION TO GENERAL RINGS

#### BACHIR BEKKA

ABSTRACT. Let R be a (not necessary commutative) ring with unit,  $d \ge 1$  an integer, and  $\lambda$  a unitary character of the additive group (R, +). A pair (U, V) of unitary representations U and V of  $R^d$  on a Hilbert space  $\mathcal{H}$  is said to satisfy the canonical commutation relations (relative to  $\lambda$ ) if

$$U(a)V(b) = \lambda(a \cdot b)V(b)U(a)$$

for all  $a = (a_1, \ldots, a_d), b = (b_1, \ldots, b_d) \in \mathbb{R}^d$ , where  $a \cdot b = \sum_{k=1}^d a_k b_k$ . We give a new and quick proof of the classical Stone von Neumann Theorem about the essential uniqueness of such a pair in the case where R is a local field (e.g.  $R = \mathbf{R}$ ). Our methods allow us to give the following extension of this result to a general locally compact ring R. For a unitary representation U of  $\mathbb{R}^d$  on a Hilbert space  $\mathcal{H}$ , define the inflation  $U^{(\infty)}$  of U as the (countably) infinite multiple of U on  $\mathcal{H}^{(\infty)} = \bigoplus_{i \in \mathbf{N}} \mathcal{H}$ .

Let  $(U_1, V_1), (U_2, V_2)$  be two pairs of unitary representations of  $\mathbb{R}^d$  on corresponding Hilbert spaces  $\mathcal{H}_1, \mathcal{H}_2$  satisfying the canonical commutation relations (relative to  $\lambda$ ). Provided that  $\lambda$  satisfies a mild faithful condition, we show that the inflations  $(U_1^{(\infty)}, V_1^{(\infty)}), (U_2^{(\infty)}, V_2^{(\infty)})$  are approximately equivalent, that is, there exists a sequence  $(\Phi_n)_n$  of unitary isomorphisms  $\Phi_n : \mathcal{H}_1^{(\infty)} \to \mathcal{H}_2^{(\infty)}$  such that

 $\lim_{n} \|U_{2}^{(\infty)}(a) - \Phi_{n} U_{1}^{(\infty)}(a) \Phi_{n}^{*}\| = 0 \quad \text{and} \quad \lim_{n} \|V_{2}^{(\infty)}(b) - \Phi_{n} V_{1}^{(\infty)}(b) \Phi_{n}^{*}\| = 0,$ 

uniformly on compact subsets of  $\mathbb{R}^d$ .

#### 1. INTRODUCTION

Heisenberg's original canonical commutation relations (see [Hei27]) for a system with d degrees of freedom involve position operators  $q_1, \ldots, q_d$  and momentum operators  $p_1, \ldots, p_d$ , which are unbounded operators on a complex Hilbert space satisfying the relations

$$p_k q_l - q_l p_k = \frac{h}{2\pi i} \delta_{kl} I,$$

where h is Planck's constant. Considering the unitary operators

$$U(t) = \exp\left(\sum_{k=1}^{d} \frac{2\pi i}{h} t_k p_k\right) \quad \text{and} \quad V(s) = \exp\left(\sum_{l=1}^{d} \frac{2\pi i}{h} s_l q_l\right)$$

on a Hilbert space  $\mathcal{H}$  for  $t = (t_1, \ldots, t_d)$  and  $s = (s_1, \ldots, s_d)$  in  $\mathbb{R}^d$ , H. Weyl (see [Wey27, p. 26]) gave the canonical commutation relations the following form:

$$U(t)V(s) = e^{\frac{2\pi i}{h}t \cdot s} V(s)U(t)$$
(CCR)

Date: February 1, 2025.

<sup>2000</sup> Mathematics Subject Classification. 22D10; 43A25; 22B05.

The author acknowledges the support by the ANR (French Agence Nationale de la Recherche) through the project Labex Lebesgue (ANR-11-LABX-0020-01).

where  $t \cdot s = \sum_{k=1}^{d} t_k s_k$ . A solution of (CCR) is given by the so-called Schrödinger representation and the classical Stone-von Neumann Theorem asserts that this solution is essentially unique (see below for precise statements). We are going to give a quick proof of this theorem and to extend it to more general rings.

Given a topological group G and a complex Hilbert space  $\mathcal{H}$ , recall that a unitary representation  $\pi$  of G on  $\mathcal{H}$  is a continuous homomorphism  $\pi : G \to U(\mathcal{H})$ , where  $U(\mathcal{H})$  is the group of unitary operators of  $\mathcal{H}$  equipped with the weak (or strong) operator topology. For a finite or infinite countable cardinal  $n \in \{1, 2, \ldots, \infty\}$ , we denote by

$$\mathcal{H}^{(n)} = \oplus_{i \in \{1,2,\dots,n\}} \mathcal{H}$$

the Hilbert space direct sum of n many copies of  $\mathcal{H}$  and by  $\pi^{(n)}$  the corresponding *inflation* of  $\pi$ ; so,  $\pi^{(n)} : G \to U(\mathcal{H}^{(n)})$  is the unitary representation of G on  $\mathcal{H}^{(n)}$  defined by

$$\pi^{(n)}(g) = \bigoplus_{i \in \{1, 2, \dots, n\}} \pi(g) \quad \text{for all} \quad g \in G.$$

Let R be a locally compact ring with unit; notice that we do not assume that R is commutative. Fix a unitary character  $\lambda$  of the additive group (R, +), that is, a one dimensional unitary representation of (R, +). We say that a pair (U, V) of unitary representations U and V of  $R^d$  on a Hilbert space  $\mathcal{H}$  satisfies the canonical commutation relations (relative to  $\lambda$ ) if

$$U(a)V(b) = \lambda(a \cdot b)V(b)U(a) \qquad (CCR)_{\lambda}$$

for all  $a = (a_1, \ldots, a_d)$  and  $b = (b_1, \ldots, b_d)$  in  $\mathbb{R}^d$ , where  $a \cdot b = \sum_{k=1}^d a_k b_k$ . It is quickly checked that a solution of  $(CCR)_{\lambda}$ , called *Schrödinger pair* (or

It is quickly checked that a solution of  $(CCR)_{\lambda}$ , called *Schrödinger pair* (or *Schrödinger representation*), is given by the pair  $(U_{Schr}, V_{Schr})$  defined on  $L^2(\mathbb{R}^d, \mu)$  by

$$(U_{\mathrm{Schr}}(a)f)(x) = f(x+a)$$
 and  $(V_{\mathrm{Schr}}(b)\xi)(x) = \lambda(x \cdot b)f(x)$  for  $f \in L^2(\mathbb{R}^d, \mu)$ .

where  $\mu$  is a Haar measure on  $(\mathbb{R}^d, +)$ .

There is an obvious equivalence relation on pairs of unitary representations of  $\mathbb{R}^d$ : two such pairs  $(U_1, V_1)$  and  $(U_2, V_2)$  acting on respective Hilbert spaces  $\mathcal{H}_1$  and  $\mathcal{H}_2$  are *equivalent* if there exists a unitary isomorphism  $\Phi : \mathcal{H}_1 \to \mathcal{H}_2$  such that

$$\Phi U_1(a)\Phi^* = U_2(a)$$
 and  $\Phi V_1(b)\Phi^* = V_2(b)$ 

for all  $a, b \in \mathbb{R}^d$ .

Let  $\widehat{R}$  be the dual group of R, that is, the group of all unitary characters of (R, +). Given  $\lambda$  in  $\widehat{R}$ , properties of the continuous homomorphism

$$\nabla_{\lambda} : R \mapsto R, \ a \mapsto (x \mapsto \lambda(x \cdot a))$$

will play an important role in the sequel.

**Theorem A.** (Stone-von Neumann Theorem) Let R be a unital second-countable locally compact ring and let  $\lambda \in \widehat{R}$ . We assume that

• 
$$\lambda(ab) = \lambda(ba)$$
 for all  $a, b \in R$  (Sym)

• 
$$\nabla_{\lambda} : R \mapsto \widehat{R}$$
 is an isomorphism. (Isom)

Let (U, V) be a pair of unitary representations of  $\mathbb{R}^d$  on a separable Hilbert space satisfying  $(\operatorname{CCR})_{\lambda}$ . Then the inflation  $(U^{(\infty)}, V^{(\infty)})$  of (U, V) is equivalent to the inflation  $(U^{(\infty)}_{Schr}, V^{(\infty)}_{Schr})$  of the Schrödinger pair.

The following more familiar form of the Stone-von Neumann Theorem is an immediate consequence of Theorem A.

 $\mathbf{2}$ 

**Corollary B.** (Stone-von Neumann Theorem-bis) Let R and  $\lambda$  be as in Theorem A. Let (U, V) be a pair of unitary representations of  $R^d$  on a separable Hilbert space  $\mathcal{H}$  satisfying  $(CCR)_{\lambda}$ . Then there exist a subset J of  $\mathbf{N}$ , an orthogonal decomposition  $\mathcal{H} = \bigoplus_{j \in J} \mathcal{H}_j$  of  $\mathcal{H}$  into closed  $U(R^d)$ - and  $V(R^d)$ -invariant subspaces  $\mathcal{H}_i$  as well as unitary isomorphisms  $\Phi_i : L^2(R^d) \to \mathcal{H}_i$  such that

$$U(t)|_{\mathcal{H}_j} = \Phi_j U_{Schr}(a) \Phi_j^* \quad and \quad V(s)|_{\mathcal{H}_j} = \Phi_j V_{Schr}(b) \Phi_j^*,$$

for all  $a, b \in \mathbb{R}^d$  and all  $j \in J$ .

Here are examples of rings R for which there exists  $\lambda \in \widehat{R}$  such that Conditions (Sym) and (Isom) above hold (see Section 3 below; for some of these rings, even every non trivial  $\lambda$  satisfies these two conditions):

- (1)  $R = \mathbf{k}$  is a local field  $\mathbf{k}$ , that is, a locally compact non discrete field; examples include  $\mathbf{k} = \mathbf{R}$  and  $\mathbf{k} = \mathbf{Q}_p$  (the fields of *p*-adic numbers).
- (2)  $R = \mathbf{A}$  is the ring of adèles of  $\mathbf{Q}$ .
- (3)  $R = M_n(\mathbf{k})$  is the ring of  $n \times n$ -matrices over a local field  $\mathbf{k}$ .
- (4)  $R = \mathbf{Z}/n\mathbf{Z}$  is the ring of integers modulo  $n \ge 1$ .

A few words about the proofs of the Stone-von Neumann Theorem are in order. The original proof in the case of  $R = \mathbf{R}$  is due to von Neumann ([vN31]); his methods were later extended to cover other local fields by Segal [Seg63] and Weil [Wei64]. In all these approaches, the pair (U, V) acting on  $\mathcal{H}$  is "integrated" to a representation of a certain algebra of functions on  $\mathbb{R}^d \times \mathbb{R}^d$  by an algebra of operators on  $\mathcal{H}$  and one shows that this latter algebra contains sufficiently many rank-one operators. Mackey stated and proved a far-reaching generalization of this theorem ([Mac49]); his proof uses a different approach which amounts to the classification of so-called transitive systems of imprimitivity based on  $\mathbb{R}^d$  (in fact, on an arbitrary second countable locally compact group). In comparison, our proof is more elementary; the basic idea is an imitation of a trick, called Fell's absorption principle (see Proposition 2 below), and the only sophisticated tool we use is Plancherel's theorem about the Fourier transform of the abelian group  $\mathbb{R}^d$ . Our approach confirms somehow the observation made by Howe [How80, p.827] that the Stone-von Neumann and the Plancherel Theorems are equivalent.

Rings for which there exists no  $\lambda \in \widehat{R}$  such that Condition (Isom) holds include the ring of integers **Z** and, more generally, every countable infinite ring with the discrete topology. Theorem A fails for such rings. Nevertheless, our approach allows us to prove for them the following weaker version of the Stone-von Neumann Theorem.

We need to define a second equivalence relation on pairs of unitary representations of  $\mathbb{R}^d$ ; this definition is tailored after a similar notion of approximately equivalent representations of  $\mathbb{C}^*$ -algebras (see [Con00, Definition 41.1]).

**Definition 1.** Two pairs  $(U_1, V_1)$  and  $(U_2, V_2)$  of unitary representations of  $\mathbb{R}^d$ acting on respective Hilbert spaces  $\mathcal{H}_1$  and  $\mathcal{H}_2$  are *approximately equivalent* if there exists a sequence  $(\Phi_n)_n$  of unitary isomorphisms  $\Phi_n : \mathcal{H}_1 \to \mathcal{H}_2$  such that, for every compact subset K of  $\mathbb{R}^d$ , we have

$$\lim_{n \to \infty} \sup_{a \in K} \|U_2(a) - \Phi_n U_1(a) \Phi_n^*\| = 0 \quad \text{and} \quad \lim_{n \to \infty} \sup_{b \in K} \|V_2(b) - \Phi_n V_1(b) \Phi_n^*\| = 0.$$

**Theorem C.** Let R be a unital second-countable locally compact ring. Let  $\lambda \in \widehat{R}$  satisfying Condition (Sym) from Theorem A and such that

there is no non-zero two-sided ideal of R contained in ker  $\lambda$ . (Faith)

For an integer  $d \geq 1$ , let (U, V) be a pair of unitary representations of  $\mathbb{R}^d$  on a separable Hilbert space  $\mathcal{H}$  satisfying the commutation relation  $(\mathrm{CCR})_{\lambda}$ . Then  $(U^{(\infty)}, V^{(\infty)})$  is approximately equivalent to  $(U^{(\infty)}_{Schr}, V^{(\infty)}_{Schr})$ .

Condition (Faith) is a weak faithfulness condition on  $\lambda$ ; provided  $\lambda$  is non trivial, it holds for instance when R is a simple ring.

As is nowadays well-known, an appropriate way to formulate the commutation relations is by means of unitary representations of a Heisenberg group associated to R and d (see [Fol89, Chap.1, §5] in the case  $R = \mathbf{R}$ ). We will recall the definition of this group and rephrase our results in terms of its representations in Section 4.

#### 2. Proof of Theorem A and Theorem C

Let  $\lambda$  be an arbitrary unitary character of R. We will use in a crucial way the following pair  $(U_{\text{reg}}, V_{\text{reg}})$  of unitary representations of  $R^d$  satisfying  $(\text{CCR})_{\lambda}$ , which we call the *regular pair* for reasons we will explain below (Remark 4). Set

$$S := R^d \times R^d$$

and consider the Hilbert space  $L^2(S) = L^2(S, \mu \otimes \mu)$ , where  $\mu$  is a fixed Haar measure on  $\mathbb{R}^d$ . Let  $U_{\text{reg}}$  and  $V_{\text{reg}}$  be defined on  $L^2(S)$  by

$$(U_{\text{reg}}(a)\xi)(x,y) = \xi(x+a,y)$$
 and  $(V_{\text{reg}}(b)\xi)(x) = \lambda(x \cdot b)\xi(x,y+b)$ 

for all  $\xi \in L^2(S)$  and  $a, b, x, y \in \mathbb{R}^d$ . One checks immediately that  $(U_{\text{reg}}, V_{\text{reg}})$  satisfies the relation  $(\text{CCR})_{\lambda}$ .

Fix a pair (U, V) of unitary representations of  $\mathbb{R}^d$  on a separable Hilbert space  $\mathcal{H}$  satisfying the commutation relation  $(\operatorname{CCR})_{\lambda}$  Let  $m, n \in \{1, 2, \ldots, \infty\}$  be the dimensions of the Hilbert spaces  $L^2(S)$  and  $\mathcal{H}$  respectively. The Hilbert space  $L^2(S, \mathcal{H}) = L^2(S, \mathcal{H}, \mu \otimes \mu)$  of (equivalence classes of) square-integrable measurable maps  $S \to \mathcal{H}$  is isomorphic to  $\mathcal{H}^{(m)}$  as well as to  $L^2(S)^{(n)}$ ; the inflations  $(U^{(m)}, V^{(m)})$  of (U, V) and  $(U^{(n)}_{\operatorname{reg}}, V^{(n)}_{\operatorname{reg}})$  of  $(U_{\operatorname{reg}}, V_{\operatorname{reg}})$  are realized on  $L^2(S, \mathcal{H})$  by the formulae

$$(U^{(m)}(a)F)(x,y) = U(a)(F(x,y) \text{ and } (V^{(m)}(b)F)(x) = V(b)F(x,y)$$

and

$$(U_{\text{reg}}^{(n)}(a)F)(x,y) = F(x+a,y) \text{ and } (V_{\text{reg}}^{(n)}(b)F)(x) = \lambda(x \cdot b)F(x,y+b)$$

for all  $F \in L^2(S, \mathcal{H})$  and  $a, b, x, y \in \mathbb{R}^d$ .

We associate to (U, V) another pair  $(\widetilde{U}, \widetilde{V})$  of unitary representations of  $\mathbb{R}^d$  on  $L^2(S, \mathcal{H})$  defined by

$$(\widetilde{U}(a)F)(x,y) = U(a)(F(x+a,y)) \quad \text{and} \quad (\widetilde{V}(b)F)(x,y) = V(b)(F(x,y+b))$$

for all  $F \in L^2(S, \mathcal{H})$  and  $a, b, x, y \in \mathbb{R}^d$ . Again, one checks immediately that  $(\widetilde{U}, \widetilde{V})$  satisfies the relation  $(\operatorname{CCR})_{\lambda}$ .

So, we have three pairs of of unitary representations of  $\mathbb{R}^d$  acting on  $L^2(S, \mathcal{H})$ and satisfying  $(CCR)_{\lambda}$ :  $(U^{(m)}, V^{(m)})$ ,  $(\widetilde{U}, \widetilde{V})$ , and  $(U_{reg}^{(n)}, V_{reg}^{(n)})$ ; observe that, for fixed n, the last one is independent of (U, V).

The following proposition is the crucial tool we will use in the proofs of both Theorem A and Theorem C; Item (i) is reminiscent of a property of the regular representation of a group (see [BdlHV08, Corollary E.2.6]), often called Fell's absorption principle (for the precise relationship with this principle, see Section 4).

#### Proposition 2.

(i) The pair  $(\widetilde{U}, \widetilde{V})$  is equivalent to the pair  $(U_{\text{reg}}^{(n)}, V_{\text{reg}}^{(n)})$ .

(ii) The pair  $(U^{(m)}, V^{(m)})$  is equivalent to the pair  $(\overline{U^m}, \overline{V^m})$  given on  $L^2(S, \mathcal{H})$  by  $(\overline{U^m}(a)F)(x, y) = \lambda(a \cdot x)U(a)(F(x, y))$  and  $(\overline{V^m}(b)F)(x, y) = \lambda(y \cdot b)V(b)(F(x, y))$  for all  $F \in L^2(S, \mathcal{H})$  and  $a, b, x, y \in \mathbb{R}^d$ .

Proof. (i) Let  $\Phi : L^2(S, \mathcal{H}) \to L^2(S, \mathcal{H})$  be the unitary isomorphism defined by  $\Phi(F)(x, y) = U(-x)V(-y)(F(x, y))$  for all  $F \in L^2(S, \mathcal{H}), (x, y) \in S$ .

For  $a, b \in \mathbb{R}^d$ , we have

$$\begin{split} \left( (\widetilde{U}(a)\Phi)(F) \right) (x,y) &= U(a)(\Phi(F)(x+a,y)) \\ &= U(a)U(-x-a)V(-y)(F(x+a,y)) \\ &= U(-x)V(-y)(F(x+a,y)) \\ &= \Phi(U_{\text{reg}}^{(n)}(a)F)(x,y)) \end{split}$$

and, similarly,

$$\left( (\widetilde{V}(b)\Phi)(F) \right)(x,y) = \Phi(V_{\mathrm{reg}}^{(n)}(b)F)(x,y)).$$

(ii) Let  $\Psi: L^2(S, \mathcal{H}) \to L^2(S, \mathcal{H})$  be the unitary isomorphism defined by

 $\Psi(F)(x,y)=V(-x)U(y)(F(x,y))\qquad\text{for all}\quad F\in L^2(S,\mathcal{H}), (x,y)\in S.$  For  $a,b\in R^d,$  we have

$$\begin{split} \Psi(U^{(m)}(a)F)(x,y) &= V(-x)U(y)U(a)(F(x,y)) \\ &= V(-x)U(a)U(y)(F(x,y)) \\ &= \lambda(a \cdot x)U(a)(V(-x)U(y)(F(x,y)) \\ &= \left(\overline{U^m}(a)(\Psi(F))\right)(x,y) \end{split}$$

and, similarly,

$$\Psi(V^{(m)}(b)F)(x,y) = \left(\overline{V^m}(b)(\Psi(F))\right)(x,y).$$

2.1. **Proof of Theorem A.** We assume that  $\lambda$  satisfies Conditions (Sym) and (Isom). Since  $\hat{S}$  is, in the canonical way, topologically isomorphic to  $\hat{R}^{2d}$ , the map

$$\nabla_{\lambda}^{(2d)}: S \mapsto \widehat{S}, \, (a,b) \mapsto ((x,y) \mapsto \lambda(x \cdot a)\lambda(y \cdot b))$$

is an isomorphism of topological groups. We can therefore identify the (inverse) Fourier transform of S with the map  $\mathcal{F}: L^1(S) \to C(S)$  given by

$$\mathcal{F}(f)(x,y) = \int_{S} f(t,s)(\nabla_{\lambda}^{(2d)}(x,y))(t,s)d\mu(t)d\mu(s) = \int_{S} f(t,s)\lambda(t\cdot x)\lambda(s\cdot y)d\mu(t)d\mu(s)$$

for  $f \in L^1(S)$ . Upon properly normalizing  $\mathcal{F}$ , we can assume by Plancherel's theorem (see [Bou23, Chap.II, §1])) that  $\mathcal{F}$  extends from  $L^1(S) \cap L^2(S)$  to a bijective isometry  $\mathcal{F} : L^2(S) \to L^2(S)$ .

We claim that  $\mathcal{F}$  induces an equivalence between the pairs  $(\overline{U^m}, \overline{V^m})$  and  $(\widetilde{U}, \widetilde{V})$ from Proposition 2. Indeed, let  $\widetilde{\mathcal{F}} : L^2(S, \mathcal{H}) \to L^2(S, \mathcal{H})$  be given by

$$\widetilde{\mathcal{F}}(F)(x,y) = \int_{S} \lambda(t \cdot x) \lambda(s \cdot y) F(t,s) d\mu(t) d\mu(s)$$

for  $F \in L^2(S, \mathcal{H})$ ; more precisely,  $\widetilde{\mathcal{F}}$  is defined on the linear span X of  $\{f \otimes v : f \in L^2(S), v \in \mathcal{H}\}$ , where  $(f \otimes v)(x, y) = f(x, y)v$ , by

$$\mathcal{F}(f \otimes v) = \mathcal{F}(f)v;$$

since  $\widetilde{\mathcal{F}}$  is isometric on X and has dense image, it extends to a bijective isometry from  $L^2(S, \mathcal{H})$  to  $L^2(S, \mathcal{H})$ . For  $a, b \in \mathbb{R}^d$  and  $F \in L^2(S, \mathcal{H})$ , we have, using Condition (Sym),

$$\begin{split} \widetilde{U}(a)\widetilde{\mathcal{F}}(F) \Big) (x,y) &= U(a)(\widetilde{\mathcal{F}}(F)(x+a,y)) \\ &= U(a) \left( \int_{S} \lambda(t \cdot (x+a))\lambda(s \cdot y)F(t,s)d\mu(t)d\mu(s) \right) \\ &= \int_{S} \lambda(t \cdot a)\lambda(t \cdot x)\lambda(s \cdot y))U(a)(F(t,s))d\mu(t)d\mu(s) \\ &= \int_{S} \lambda(a \cdot t)\lambda(t \cdot x)\lambda(s \cdot y))U(a)(F(t,s))d\mu(t)d\mu(s) \\ &= \int_{S} \lambda(t \cdot x)\lambda(s \cdot y)(\overline{U^{m}}(a)F)(t,s)d\mu(t)d\mu(s) \\ &= \widetilde{\mathcal{F}}(\overline{U^{m}}(a)F)(x,y) \end{split}$$

and, similarly,

$$\left(\widetilde{V}(b)\widetilde{\mathcal{F}}(F)\right)(x,y) = \widetilde{\mathcal{F}}(\overline{V^m}(b)F)(x,y).$$

This concludes the proof of Theorem A; indeed, denoting by ~ the equivalence of pairs of unitary representations of  $\mathbb{R}^d$  on separable Hilbert space  $\mathcal{H}$  satisfying (CCR)<sub> $\lambda$ </sub>, Proposition 2 shows that

$$(U^{(m)}, V^m) \sim (\overline{U^m}, \overline{V^m})$$
 and  $(\widetilde{U}, \widetilde{V}) \sim (U^{(n)}_{\text{reg}}, V^{(n)}_{\text{reg}})$ 

where  $m = \dim S, n = \dim(\mathcal{H})$ ; moreover, we have just proved that

$$(\overline{U^m}, \overline{V^m}) \sim (\widetilde{U}, \widetilde{V});$$

so  $(U^{(m)}, V^{(m)}) \sim (U^{(n)}_{\text{reg}}, V^{(n)}_{\text{reg}})$  and this implies that  $(U^{(\infty)}, V^{(\infty)}) \sim (U^{(\infty)}_{\text{reg}}, V^{(\infty)}_{\text{reg}})$ . As  $(U_{\text{reg}}, V_{\text{reg}})$  does not depend on (U, V), we have therefore

$$(U^{(\infty)}, V^{(\infty)}) \sim (U^{(\infty)}_{\operatorname{Schr}}, V^{(\infty)}_{\operatorname{Schr}}).$$

2.2. **Proof of Corollary B.** First, observe that the pair  $(U_{\text{Schr}}, V_{\text{Schr}})$  is irreducible (that is, there is no non trivial closed subspace of  $L^2(\mathbb{R}^d)$  which is simultaneously invariant under  $U(\mathbb{R}^d)$  and  $V(\mathbb{R}^d)$ ). Indeed, let  $T: L^2(\mathbb{R}^d) \to L^2(\mathbb{R}^d)$  be a bounded operator which commutes with all  $U_{\text{Schr}}(a)$ 's and all  $V_{\text{Schr}}(b)$ 's. Since T commutes with all  $V_{\text{Schr}}(b)$ 's and since  $\nabla_{\lambda}^{(d)}(\mathbb{R}^d) = \widehat{\mathbb{R}^d}$  is weak\*-dense in  $L^{\infty}(\mathbb{R}^d)$ , the operator T commutes with every operator  $m_{\varphi}: L^2(\mathbb{R}^d) \to L^2(\mathbb{R}^d)$  given by multiplication with a function  $\varphi \in L^{\infty}(\mathbb{R}^d)$ . As is well-known (see e.g. [BdlH20, 1.H.6]), this implies that T is itself of the form  $T = m_{\varphi}$  for some  $\varphi \in L^{\infty}(\mathbb{R}^d)$ . Since T commutes with all  $U_{\text{Schr}}(a)$ 's, the function  $\varphi$  is invariant by the translations  $x \mapsto x+a$ . So,  $\varphi$  is constant and T is a multiple of the identity. Schur's lemma shows that  $(U_{\text{Schr}}, V_{\text{Schr}})$ is irreducible.

Next, let (U, V) be a pair of unitary representations of  $\mathbb{R}^d$  on separable Hilbert space  $\mathcal{H}$ , satisfying  $(CCR)_{\lambda}$ . Then, by Theorem A, there exists a unitary isomorphism

$$T: \oplus_{i \in \mathbf{N}} \mathcal{H}_i \to \oplus_{j \in \mathbf{N}} \mathcal{K}_j,$$

which intertwines  $(U^{(\infty)}, V^{(\infty)})$  and  $(U^{(\infty)}_{\text{Schr}}, V^{(\infty)}_{\text{Schr}})$ , where the  $\mathcal{H}_i$ 's are copies of  $\mathcal{H}$ and the  $\mathcal{K}_j$ 's are copies of  $L^2(\mathbb{R}^d)$ . Let J be the set of all  $j \in \mathbb{N}$  for which the map

$$T_j := P_j \circ T|_{\mathcal{H}_1} : \mathcal{H}_1 \to \mathcal{K}_j$$

is non zero. Since  $T_j$  intertwines (U, V) and  $(U_{\text{Schr}}, V_{\text{Schr}})$  and since  $(U_{\text{Schr}}, V_{\text{Schr}})$ is irreducible, the map  $\bigoplus_{j \in J} T_j : \mathcal{H}_1 \to \bigoplus_{j \in J} \mathcal{K}_j$  is a unitary isomorphism and sets up an equivalence between (U, V) and  $(U_{\text{Schr}}^{(|J|)}, V_{\text{Schr}}^{(|J|)})$ . 2.3. A lemma on partitions. For the proof of Theorem C, we will need the following general lemma about partitions.

**Lemma 3.** Let G be a second-countable locally compact group and let U be a compact neighbourhood of the identity in G with  $U^{-1} = U$ . We can find a partition of  $G = \coprod_{i \ge 1} \Omega_i$  in countably many Borel subsets  $\Omega_i^{(n)}$  with the following properties:

(P1) For every  $i \ge 1$  and any elements x, y in  $\Omega_i$ , we have  $y^{-1}x \in U$ ;

(P2)  $\Omega_i$  is contained in the closure of the interior  $\operatorname{Int}(\Omega_i)$  of  $\Omega_i$ , for every  $i \ge 1$ ;

*Proof.* Since G is second-countable, G contains a countable dense subset  $D = \{d_1, d_2, \cdots\}$ . Let V be an open neighbourhood of the identity with  $V^{-1} = V$  and  $V^2 \subset U$ . Let  $g \in G$ ; since gV is a neighbourhood of g, we have  $gV \cap D \neq \emptyset$ , that is,  $g \in DV^{-1} = DV$ . Therefore, we have  $G = \bigcup_{i \geq 1} d_i V$ . Set  $\Omega_1 := d_1 \overline{V}$  and, for define recursively  $\Omega_i$  by

$$\Omega_{i+1} = d_{i+1}\overline{V} \setminus \bigcup_{1 \le j \le i} \Omega_j \quad \text{for all} \quad i \ge 1.$$

It is obvious that  $G = \bigcup_i \Omega_i$  is a partition of G; moreover, for  $x, y \in \Omega_i$ , we have

$$y^{-1}x \in \overline{V}^{-1}\overline{V} = \overline{V}^2 \subset \overline{U} = U$$

and so Condition (P1) is satisfied.

We claim that Condition (P2) is also satisfied. Indeed,  $Int(\Omega_1) = d_1 V$  is dense in  $\Omega_1$ . Moreover, for every  $i \ge 2$ ,

$$\bigcup_{1 \le j \le i-1} \Omega_j = \bigcup_{1 \le j \le i-1} d_j \overline{V}$$

is closed in G; therefore, the set  $d_i V \setminus \bigcup_{1 \le j \le i-1} \Omega_j$  is open in G and dense in  $\Omega_i$ .

2.4. **Proof of Theorem C.** When Condition (Isom) from Theorem A is not satisfied, the pairs  $(\overline{U^m}, \overline{V^m})$  and  $(\widetilde{U}, \widetilde{V})$  from Proposition 2 are no longer equivalent. We will first realize  $(\widetilde{U}, \widetilde{V})$  on  $L^2(\widehat{S}, \mathcal{H})$  by means of the Fourier transform. Let  $\nu$ be the Haar measure on  $\widehat{S}$  for which Plancherel's theorem holds, that is, for which the Fourier transform  $\mathcal{F}: L^2(S, \mu \otimes \mu) \to L^2(\widehat{S}, \nu)$ , defined by

$$\mathcal{F}(f)(\chi) = \int_{S} \overline{\chi(x,y)} f(x,y) d\mu(x) d\mu(y) \quad \text{for all} \quad \chi \in \widehat{S},$$

is an isometry. We extend  $\mathcal{F}$  to an isometry  $L^2(S, \mathcal{H}) \to L^2(\widehat{S}, \mathcal{H})$ , which we again denote by  $\mathcal{F}$ , by

$$\mathcal{F}(F)(\chi) = \int_{S} \overline{\chi(x,y)} F(x,y) d\mu(x) d\mu(y) \quad \text{for all} \quad F \in L^{2}(S,\mathcal{H}), \, \chi \in \widehat{S}.$$

The inverse  $\mathcal{F}^{-1}$  of  $\mathcal{F}$  is given by

$$\mathcal{F}(H)(x,y) = \int_{\widehat{S}} \chi(x,y) H(\chi) d\nu(\chi) \quad \text{for all} \quad H \in L^2(\widehat{S},\mathcal{H}), \, (x,y) \in S.$$

We transfer the pair  $(\widetilde{U},\widetilde{V})$  to the pair  $(\mathcal{F}(\widetilde{U}),\mathcal{F}(\widetilde{V}))$  on  $L^2(\widehat{S},\mathcal{H})$  given by

$$\mathcal{F}(\widetilde{U})(a) = \mathcal{F}\widetilde{U}(a)\mathcal{F}^{-1}$$
 and  $\mathcal{F}(\widetilde{V})(b) = \mathcal{F}\widetilde{V}(b)\mathcal{F}^{-1}$ .

Using the translation invariance of  $\mu$ , one checks that

$$(*) \begin{cases} \mathcal{F}(\tilde{U})(a)(H)(\chi_{1},\chi_{2}) = \chi_{1}(a)U(a)(H(\chi_{1},\chi_{2})) \\ \mathcal{F}(\tilde{V})(b)(H)(\chi_{1},\chi_{2}) = \chi_{2}(b)V(b)(H(\chi_{1},\chi_{2})) \\ \text{for all } H \in L^{2}(\widehat{S},\mathcal{H}), (a,b) \in S, \text{ and } (\chi_{1},\chi_{2}) \in \widehat{S}. \end{cases}$$

The pair  $(U^{(m)}, V^{(m)})$  is realized on  $L^2(\widehat{S}, \mathcal{H}) \cong \mathcal{H}^{(m)}$  by

$$(**) \begin{cases} U^{m}(a)(H)(\chi_{1},\chi_{2}) = U(a)(H(\chi_{1},\chi_{2})) \\ V^{m}(b)(H)(\chi_{1},\chi_{2}) = V(b)(H(\chi_{1},\chi_{2})). \end{cases}$$

Recall that  $\widehat{S}$ , equipped with the topology of uniform convergence on compact subsets of S, is a locally compact group. Moreover,  $\widehat{S}$ , is second-countable, since R is assumed to be second-countable (see e.g. [BdlH20, A.7.3]).

We claim that the image of  $\nabla_{\lambda}^{(2d)} : S \mapsto \widehat{S}$  is dense in  $\widehat{S}$ . Indeed, let  $(x, y) \in S$  be such that  $\chi(x, y) = 1$  for all  $\chi \in \nabla_{\lambda}^{(2d)}(S)$ , that is, such that  $\lambda(x \cdot a)\lambda(y \cdot b) = 1$  and hence  $\lambda(x \cdot a) = \lambda(y \cdot b) = 1$  for all  $a, b \in \mathbb{R}^d$ . By Conditions (Sym) and (Faith), it follows that x = y = 0. Pontrjagin's duality implies then that the subgroup  $\nabla_{\lambda}^{(2d)}(S)$  is dense in  $\widehat{S}$ .

We can now proceed with the proof of Theorem C. Fix a compact subset K of  $R^d$  and a real number  $\varepsilon > 0$ . We are going to construct a unitary isomorphism  $\Phi: L^2(\widehat{S}, \mathcal{H}) \to L^2(\widehat{S}, \mathcal{H})$  such that

$$\sup_{a \in K} \|\Phi \mathcal{F}(\widetilde{U})(a)\Phi^{-1} - U^m(a)\| \le \varepsilon \quad \text{and} \quad \sup_{b \in K} \|\Phi \mathcal{F}(\widetilde{V})(b)\Phi^{-1} - V^m(b)\| \le \varepsilon.$$

Set  $C := K \times K$  and consider the compact neighbourhood U of  $1_S$  (the identity element in  $\widehat{S}$ ) defined by

$$U = \{ \chi \in \widehat{S} : \sup_{s \in C} |\chi(s) - 1| \le \varepsilon \}.$$

Let  $\widehat{S} = \coprod_{i \ge 1} \Omega_i$  be a partition of  $\widehat{S}$  in countably many Borel subsets  $\Omega_i$  satisfying Conditions (P1) and (P2) from Lemma 3.

Since  $\nabla_{\lambda}^{(2d)}(S)$  is dense in  $\widehat{S}$ , it follows from Condition (P2) that we can find, for every  $i \ge 1$ , an element  $s_i \in S$  with  $\nabla_{\lambda}^{(2d)}(s_i) \in \Omega_i$ . Let  $a_i, b_i \in \mathbb{R}^d$  be such that  $s_i = (a_i, b_i)$ .

We define an operator

$$\Phi: L^2(\widehat{S}, \mathcal{H}) \to L^2(\widehat{S}, \mathcal{H})$$

as follows. For  $F \in L^2(\widehat{S}, \mathcal{H})$ , set

$$\Phi(F)(\chi) = V(-a_i)U(b_i)(F(\chi)) \quad \text{for all} \quad i \ge 1 \quad \text{and} \quad \chi \in \Omega_i.$$

We have

$$\|\Phi(F)\|^{2} = \sum_{i \ge 1} \int_{\Omega_{i}} \|V(-a_{i})U(b_{i})(F(\chi))\|^{2} d\nu(\chi)$$
$$= \sum_{i \ge 1} \int_{\Omega_{i}} \|F(\chi)\|^{2} d\nu(\chi)$$
$$= \|F\|^{2}.$$

Therefore,  $\Phi$  is an isometry. Moreover,  $\Phi$  is bijective with inverse defined by

$$\Phi^{-1}(F)(\chi) = U(-b_i)V(a_i)(F(\chi)) \quad \text{for all} \quad i \ge 1 \quad \text{and} \quad \chi \in \Omega_i.$$

So,  $\Phi: L^2(\widehat{S}, \mathcal{H}) \to L^2(\widehat{S}, \mathcal{H})$  is a unitary isomorphism.

Let  $s = (a, b) \in S$  and  $F \in L^2(\widehat{S}, \mathcal{H})$ . For  $i \geq 1$  and  $\chi = (\chi_1, \chi_2) \in \Omega_i$ , on the one hand, we have, in view of Formula (\*) for the pair  $(\mathcal{F}(\widetilde{U}), \mathcal{F}(\widetilde{V}))$ :

$$\mathcal{F}(U)(a)(\Phi(F))(\chi) = \chi_1(a)U(a)(\Phi(F)(\chi))$$
$$= \chi_1(a)U(a)(\Phi(F)(\chi))$$

and similarly

$$\mathcal{F}(V)(b)(\Phi(F))(\chi) = \chi_2(b)V(b)(\Phi(F)(\chi))$$
$$= \chi_2(b)V(b)(\Phi(F)(\chi));$$

on the other hand, we have, in view of Formula (\*\*) for the pair  $(U^{(m)}, V^{(m)})$ :

$$\left( \Phi(U^{(m)}(a)F) (\chi) = \Phi(U(a)(F(\chi)))$$

$$= V(-a_i)U(b_i)U(a)(F(\chi)))$$

$$= V(-a_i)U(a)U(b_i)(F(x,y))$$

$$= \lambda(a \cdot a_i)U(a)(V(-a_i)U(b_i)(F(\chi)))$$

$$= \lambda(a_i \cdot a)U(a)(\Phi(F(\chi)))$$

and similarly

$$\left( \Phi(V^{(m)}(b)F)(\chi) = \Phi(V(b)(F(\chi)) \right)$$
  
=  $V(-a_i)U(b_i)V(b)(F(\chi))$   
=  $\lambda(b_i \cdot b)V(b)\Phi(F(\chi)).$ 

Now, by the choice of  $s_i = (a_i, b_i)$ , we have  $\nabla_{\lambda}^{(2d)}(s_i) \in \Omega_i$ ; hence, by Condition (P1), we have  $\chi \in \nabla_{\lambda}^{(2)}(s_i)U$ , that is,

$$\sup_{(a,b)\in C} \left| \chi(a,b) - \nabla_{\lambda}^{(2)}(s_i)(a,b) \right| \le \varepsilon$$

and consequently, using Condition (Sym),

$$\sup_{a \in K} |\chi_1(a) - \lambda(a_i \cdot a)| \le \varepsilon \quad \text{and} \quad \sup_{b \in K} |\chi_2(b) - \lambda(b_i \cdot b)| \le \varepsilon.$$

We have therefore, for  $a \in K$ ,

$$\begin{split} \|\mathcal{F}(\tilde{U})(a)\Phi(F) - \Phi(U^{(m)}(a)F)\|^2 &= \sum_{i\geq 1} \int_{\Omega_i} |\chi_1(a) - \lambda(a_i \cdot a)|^2 \|U(a)(\Phi(F)(\chi))\|^2 d\nu(\chi) \\ &= \sum_{i\geq 1} \int_{\Omega_i} |\chi_1(a) - \lambda(a_i \cdot a)|^2 \|\Phi(F)(\chi)\|^2 d\nu(\chi) \\ &\leq \varepsilon^2 \sum_{i\geq 1} \int_{\Omega_i} \|\Phi(F)(\chi)\|^2 d\nu(\chi) = \varepsilon^2 \|\Phi(F)\|^2 \\ &= \varepsilon^2 \|F\|^2 \end{split}$$

and, similarly, for  $b \in K$ ,

$$\|\mathcal{F}(\widetilde{V})(b)\Phi(F) - \Phi(V^{(m)}(b)F)\|^2 \le \varepsilon^2 \|F\|^2.$$

So,  $(U^{(m)}, V^{(m)})$  is approximately equivalent to  $(\mathcal{F}(\widetilde{U}), \mathcal{F}(\widetilde{V}))$ , which is obviously equivalent to  $(\widetilde{U}, \widetilde{V})$ ); since, by Proposition 2,  $(\widetilde{U}, \widetilde{V})$ ) is equivalent to  $(U_{\text{reg}}^{(n)}, V_{\text{reg}}^{(n)})$ , this concludes the proof of Theorem C.

#### 3. Examples

We give a few examples of locally compact rings R and unitary characters  $\lambda$  in connection with the various Conditions (Isom), (Sym), and (Faith).

- (1) Let  $\mathbf{k}$  be a locally compact field, that is, a non-discrete locally compact topological field; it is well-known (see e.g. [Bou64, Chap VI, §9]) that  $\mathbf{k}$  is topologically isomorphic to one of the following fields and that its topology is defined by the corresponding absolute value:
  - **R** or **C** with the usual absolute value  $|\cdot|$ .

- a finite extension of the field of *p*-adic numbers  $\mathbf{Q}_p$  with an extension of the *p*-adic absolute value  $|\cdot|_p$ .
- the field  $\mathbf{F}((X))$  of Laurent series over a finite field  $\mathbf{F}$  with absolute value  $|\sum_{i=m}^{\infty} a_i X^i| = e^{-m}$  with  $a_m \in \mathbf{F} \setminus \{0\}$ .

Let  $\lambda \in \hat{\mathbf{k}}$  be a non trivial character of the additive group of  $\mathbf{k}$ . The map

$$\nabla_{\lambda} : \mathbf{k} \mapsto \mathbf{k}, \ a \mapsto (x \mapsto \lambda(ax))$$

is an isomorphism of topological groups (see [Wei74, Chap. II, Theorem 3] for a more general result); for the convenience of the reader, here is a direct proof. The kernel of  $\nabla_{\lambda}$  is an ideal of  $\mathbf{k}$  and hence is trivial, since  $\lambda \neq 1_{\mathbf{k}}$ . By Pontrjagin duality, the image of  $\nabla_{\lambda}$  is a dense subgroup H of  $\widehat{\mathbf{k}}^d$  (see the argument at the beginning of Subsection 2.4). We claim that the map  $\nabla_{\lambda}$ , which is obviously continuous, is in fact a homeomorphism between  $\mathbf{k}$  and H. Indeed, assume, by contradiction, that  $\nabla_{\lambda}^{-1} : H \to \mathbf{k}$  is not continuous. Then, there exists a sequence  $(a_n)_n$  in  $\mathbf{k}$  and a number  $\delta > 0$  with  $|a_n| > \delta$  for all n such that  $\lim_n \nabla_{\lambda}(a_n)(a) = 1$  uniformly on bounded subsets of  $\mathbf{k}$ . Since  $\lambda$  is non trivial, we can find  $a \in \mathbf{k}$  with  $\lambda(a) \neq 1$ . However, since  $|aa_n^{-1}| \leq \frac{|a|}{\delta}$ , we have

$$\lambda(a) = \lambda(aa_n^{-1}a_n) = \lim_n \lambda(aa_n^{-1}a_n) = \lim_n \nabla_\lambda(a_n)(aa_n^{-1}) = 1$$

and this is a contradiction. So,  $\nabla_{\lambda}^{-1}$  is continuous and hence H is locally compact; this implies that it is a closed subgroup of  $\hat{\mathbf{k}}$  and, since it is also dense, we have  $H = \hat{\mathbf{k}}$ .

(2) Let  $R = \mathbf{A}$  be the ring of adèles of  $\mathbf{Q}$ . Recall that  $\mathbf{A}$  is the restricted product  $\mathbf{A} = \mathbf{R} \times \prod_{p \in \mathcal{P}} (\mathbf{Q}_p, \mathbf{Z}_p)$  relative to the subgroups  $\mathbf{Z}_p$ ; thus,

$$\mathbf{A} = \left\{ (x_{\infty}, (x_p)_{p \in \mathcal{P}}) \in \mathbf{R} \times \prod_{p \in \mathcal{P}} \mathbf{Q}_p : x_p \in \mathbf{Z}_p \text{ for almost every } p \in \mathcal{P} \right\},\$$

where  $\mathcal{P}$  be the set of primes numbers,  $\mathbf{Q}_p$  is the field of *p*-adic numbers, and  $\mathbf{Z}_p = \{x \in \mathbf{Q}_p : |x|_p \leq 1\}$  is the compact open subring of *p*-adic integers.

For every finite subset  $F \subset \mathcal{P}$ ,

$$\mathbf{A}(F) = \mathbf{R} \times \prod_{p \notin F} \mathbf{Q}_p \times \prod_{p \notin F} \mathbf{Z}_p,$$

equipped with the product topology, is a locally compact ring. A ring topology is defined on  $\mathbf{A}$  on prescribing that every  $\mathbf{A}(F)$  is an open subring of  $\mathbf{A}$ . With this topology,  $\mathbf{A}$  is a locally compact ring.

Let  $\lambda$  be a nontrivial unitary character of  $\mathbf{A}$ . An example of such a character can be obtained as follows. Let  $\lambda_{\infty} \in \widehat{\mathbf{R}}$  be given by  $\lambda_{\infty}(x) = e^{-2\pi i x}$ ; for every  $p \in \mathcal{P}$ , let  $\lambda_p \in \widehat{\mathbf{Q}}_p$  be given by  $\lambda_p(x) = \exp(2\pi i \{x\})$ , where  $\{x\} = \sum_{j=m}^{-1} a_j p^j$  denotes the "fractional part" of a *p*-adic number  $x = \sum_{j=m}^{\infty} a_j p^j$  for integers  $m \in \mathbf{Z}$  and  $a_j \in \{0, \ldots, p-1\}$ . Define now  $\lambda \in \widehat{\mathbf{A}}$  by

$$\lambda(x_{\infty}, (x_p)_{p \in \mathcal{P}}) = \lambda_{\infty}(a_{\infty}) \prod_{p \in \mathcal{P}} \lambda_p(x_p) \quad \text{for all} \quad (x_{\infty}, (x_p)_{p \in \mathcal{P}}) \in \mathbf{A}$$

(the product of the right hand side of the formula is well-defined, since  $x_p \in \mathbf{Z}_p$  for almost every p and since  $\lambda_p$  is trivial on  $\mathbf{Z}_p$  for every p). Then

 $\lambda$  satisfies Condition (Isom), that is,

$$\mathbf{A} \mapsto \widehat{\mathbf{A}}, a \mapsto (b \mapsto \lambda(ba))$$

is a topological isomorphism (see [Wei74, Chap. IV, Theorem 3]).

(3) Let  $\mathbf{k}$  be a local field. For an integer  $n \geq 1$ , let  $R = M_n(\mathbf{k})$  be the ring of  $n \times n$ -matrices over  $\mathbf{k}$ ; it is a locally compact ring for the topology obtained by identifying the additive group of  $M_n(\mathbf{k})$  with  $\mathbf{k}^{n^2}$ . Let  $\lambda_0 \in \widehat{\mathbf{k}}$  be a non trivial character of  $\mathbf{k}$ . Let  $\lambda \in \widehat{M_n(\mathbf{k})}$  be defined by

 $\lambda(A) = \lambda_0(\operatorname{Tr}(A)) \quad \text{for all} \quad A \in M_n(\mathbf{k}),$ 

where  $\operatorname{Tr}(A) \in \mathbf{k}$  is the trace of A. Since  $\operatorname{Tr}(AB) = \operatorname{Tr}(BA)$  for all A, B, the character  $\lambda$  satisfies the symmetry Condition (Sym). It satisfies Condition (Isom) as well. Indeed, in view of Condition (Sym), the kernel of the map

$$\nabla_{\lambda} : M_n(\mathbf{k}) \mapsto M_n(\mathbf{k}), A \mapsto (X \mapsto \lambda(XA))$$

is a two-sided ideal of  $M_n(\mathbf{k})$ ; since  $M_n(\mathbf{k})$  is a simple ring and  $\lambda$  is non trivial, it follows that  $\nabla_{\lambda}$  is injective.

On the one hand, viewing the additive group of  $M_n(\mathbf{k})$  as  $\mathbf{k}^{n^2}$ , Item (1) above shows that every  $\chi \in \widehat{M_n(\mathbf{k})}$  is of the form  $X \mapsto \lambda(\varphi(X))$  for some linear form  $\varphi \in \operatorname{Hom}_{\mathbf{k}}(M_n(\mathbf{k}), \mathbf{k})$  on  $M_n(\mathbf{k})$ . On the other hand, it is wellknown that every such  $\varphi$  can be written as the map  $X \mapsto \operatorname{Tr}(XA)$  for some  $A \in M_n(\mathbf{k})$ . This shows that the continuous injective map  $\nabla_{\lambda}$  is surjective and is hence a topological isomorphism.

(4) The previous example can be generalized as follows to simple central algebras over local fields; for general facts concerning these algebras, see [Wei74, Chap IX]).

Let  $\mathbf{k}$  be a local field. Let  $\mathcal{A}$  be a finite-dimensional central simple algebra over  $\mathbf{k}$ . Then  $\mathcal{A}$  is isomorphic to a matrix algebra  $M_n(\mathbf{D})$  over some central division algebra  $\mathbf{D}$  over  $\mathbf{k}$  for some  $n \geq 1$ . For instance, if  $\mathbf{k} = \mathbf{R}$ , then  $\mathbf{D}$  is necessarily is isomorphic to  $\mathbf{R}$  or to  $\mathbf{H}$ , where  $\mathbf{H}$  is the 4-dimensional division algebra of the usual real quaternions. Let  $\tau \in \text{Hom}_{\mathbf{k}}(\mathcal{A}, \mathbf{k})$  be the so-called *reduced trace* on  $\mathcal{A}$ , which is defined as follows: let  $\mathbf{K}$  be the algebraic closure of  $\mathbf{k}$ ; then there exists an algebra isomorphism F:  $\mathcal{A} \otimes_{\mathbf{k}} \mathbf{K} \to M_r(\mathbf{k})$ , for some r and one defines  $\tau(a) = \text{Tr}(F(a))$  for  $a \in \mathcal{A}$ .

Let  $\lambda_0$  be a non trivial unitary character of **k** and define  $\lambda \in \widehat{\mathcal{A}}$  by

$$\lambda(a) = \lambda_0(\tau(a))$$
 for all  $a \in \mathcal{A}$ .

Then  $\lambda$  satisfies Conditions (Sym) and (Isom). For instance, when  $\mathcal{A}$  is the algebra of real quaternions

$$\mathbf{H} = \{t + ui + vj + wk : t, u, v, w \in \mathbf{R}\},\$$

we have  $\tau(a) = 2t$  for a = t + ui + vj + wk and so, taking  $\lambda_0 = e^{2\pi \cdot}$ , we have

$$\lambda(a) = e^{4\pi i t}$$
 for all  $a = t + ui + vj + wk \in \mathbf{H}$ .

- (5) Let R be a finite field or a direct sum of finite fields. It can easily be checked that every non trivial unitary character  $\lambda$  of R satisfies Condition (Isom). Then same holds when R is the ring  $\mathbf{Z}/n\mathbf{Z}$  of integers modulo  $n \in \mathbf{N}^*$ .
- (6) For  $R = \mathbf{Z}$ , there exists  $no \ \lambda \in \widehat{\mathbf{Z}}$  which satisfies Condition (Isom). Indeed, every  $\lambda \in \widehat{\mathbf{Z}}$  is of the form

$$\lambda_{\theta}(k) = e^{2\pi i \theta k}$$
 for all  $k \in \mathbf{Z}$ ,

for a uniquely determined real number  $\theta \in [0, 1)$ . In particular,  $\widehat{\mathbf{Z}}$  is uncountable and, since  $\mathbf{Z}$  is countable,  $\nabla_{\lambda} : \mathbf{Z} \to \widehat{\mathbf{Z}}$  cannot be surjective. Observe, however, that Condition (Faith) is satisfied by  $\lambda_{\theta}$  for every irrational  $\theta$ .

- (7) Similarly to the previous Item, if R any countable infinite ring (equipped with the discrete topology), then there exists no  $\lambda \in \hat{R}$  which satisfies Condition (Isom).
- (8) Let  $R = \mathbf{Z}_p$  be the ring of p-adic integers for a prime number p. Then, since  $\mathbf{Z}_p$  is compact and infinite, its dual group  $\widehat{\mathbf{Z}_p}$  is a discrete infinite group; in fact, the unitary character  $\lambda_p \in \widehat{\mathbf{Q}_p}$  from Item (2) induces an isomorphism  $\mathbf{Q}_p/\mathbf{Z}_p \cong \widehat{\mathbf{Z}_p}$ , given by  $a + \mathbf{Z}_p \mapsto \nabla_{\lambda_p}(a)|_{\mathbf{Z}_p}$  So, there exists no  $\lambda \in \widehat{\mathbf{Z}_p}$  which satisfies Condition (Faith) and, let alone, Condition (Isom). However, one can easily determine the pairs of unitary representations (U, V) of  $\mathbf{Z}_p$  on a Hilbert space  $\mathcal{H}$  satisfying (CCR)<sub> $\lambda$ </sub> for any  $\lambda \in \widehat{\mathbf{Z}_p}$ ; indeed, assume by simplicity that (U, V) is irreducible. The sequence of ideals  $J_n = p^n \mathbf{Z}_p$   $(n \geq 1)$  is a basis of neighbourhoods in 0 in  $\mathbf{Z}_p$ . So, there exists  $N \geq 1$  such that  $\lambda(J_N) = 1$  and such that the space  $\mathcal{H}^{J_N}$  of invariant vectors under  $U(J_N)$  and  $V(J_N)$  is non zero (see [BdlHV08, 1.1.5]); by irreducibilty, we have then  $\mathcal{H} = \mathcal{H}^{J_N}$ . This shows that  $\lambda$  and (U, V) factorize to a character  $\overline{\lambda}$  and a pair  $(\overline{U}, \overline{V})$  of unitary representations of the finite quotient ring  $\mathbf{Z}_p/J_N \cong \mathbf{Z}/p^N \mathbf{Z}$  satisfying (CCR)<sub> $\overline{\lambda}$ </sub>.
- (9) The conclusion of the previous Item can be generalized to any compact ring. Indeed, let R be such a ring (commutative or not). Then R is a profinite ring, that is, R is topologically isomorphic to a projective limit  $\varprojlim_i R_i$  of finite rings  $R_i$  (see [RZ00, Proposition 5.1.2]). As in the previous item, the study of pairs of unitary representations (U, V) of R satisfying (CCR)<sub> $\lambda$ </sub> for any  $\lambda \in \hat{R}$  can be reduced to the study of such pairs for the finite rings  $R_i$ .

#### 4. Heisenberg groups

We rephrase our results in terms of representations of Heisenberg groups. Let R be a (not necessarily commutative) locally compact ring with unit and let  $d \ge 1$  be an integer. The *Heisenberg group* of dimension 2d + 1 over R is is defined as the group  $H_{2d+1}(R)$  of  $(2d + 1) \times (2d + 1)$ -matrices with coefficients in R of the form

$$m(a,b,c) = m(a_1,\ldots,a_d,b_1,\ldots,b_d,c) := \begin{pmatrix} 1 & a_1 & \ldots & a_d & c \\ 0 & 1 & \ldots & 0 & b_1 \\ \vdots & \ddots & \ddots & \vdots & \vdots \\ 0 & 0 & \ldots & 1 & b_d \\ 0 & 0 & \ldots & 0 & 1 \end{pmatrix},$$

The group law on  $H_{2d+1}(R)$  is given by

$$m(a, b, c)m(a', b', c') = m(a + a', b + b', c + c' + a \cdot b'),$$

for  $a, b, a', b' \in \mathbb{R}^d$  and  $c, c' \in \mathbb{R}$ . Equipped with the topology induced from  $M_{2d+1}(\mathbb{R})$ , the group  $H_{2d+1}(\mathbb{R})$  is a locally compact group. Its center is

$$C = \{m(0, 0, c) : c \in R\} \cong R.$$

Let  $\pi : G \to U(\mathcal{H})$  and  $\pi' : G \to U(\mathcal{H}')$  be two unitary representations of a locally compact group G on Hilbert spaces  $\mathcal{H}$  and  $\mathcal{H}'$ . Recall that  $\pi$  and  $\pi'$  are equivalent if there exists a unitary isomorphism  $\Phi : \mathcal{H} \to \mathcal{H}'$  such that

$$\Phi\pi(g)\Phi^* = \pi'(g)$$
 for all  $g \in G$ .

We say that  $\pi$  and  $\pi'$  are approximately equivalent if there exists a sequence  $(\Phi_n)_n$ of unitary isomorphisms  $\Phi_n : \mathcal{H}_1 \to \mathcal{H}_2$  such that, for every compact subset K of G, we have

$$\lim_{n \to \infty} \sup_{g \in K} \Phi_n \pi(g) \Phi_n^* - \pi'(g) \| = 0.$$

Approximate equivalence is an equivalence relation on, say, the set of unitary representations of G on separable Hilbert spaces.

Fix  $\lambda \in \widehat{R}$ . Let (U, V) be a pair of unitary representations of  $\mathbb{R}^d$  on a Hilbert space  $\mathcal{H}$  satisfying  $(CCR)_{\lambda}$ , For  $m(a, b, c) \in H_{2d+1}(\mathbb{R})$ , define a unitary operator  $\pi(m(a, b, c))$  on  $\mathcal{H}$  by

$$\pi(m(a, b, c) = \lambda(c)V(b)U(a).$$

One checks immediately that  $\pi : H_{2d+1}(R) \to U(\mathcal{H})$  is a unitary representation of  $H_{2d+1}(R)$ ; observe that  $\pi$  has  $\lambda$  as central character, that is,  $\pi(m(0,0,c)) = \lambda(c) \mathrm{Id}_{\mathcal{H}}$  for  $c \in R$ . If (U', V') is another pair which is equivalent (respectively, approximately equivalent) to (U, V), then the corresponding representation  $\pi'$  is equivalent (respectively, approximately equivalent) to  $\pi$ .

Conversely, let  $\pi : H_{2d+1}(R) \to U(\mathcal{H})$  be a unitary representation of  $H_{2d+1}(R)$  which has  $\lambda$  as central character. Define

$$U(a) = \pi(m(a, 0, 0))$$
 and  $V(b) = \pi(m(0, b, 0))$ 

for  $a, b \in \mathbb{R}^d$ . Then (U, V) is a pair of unitary representations of  $\mathbb{R}^d$  on  $\mathcal{H}$  satisfying  $(\operatorname{CCR})_{\lambda}$ . Moreover, if  $\pi'$  is another representation of  $H_{2d+1}(\mathbb{R})$  which is equivalent (respectively, approximately equivalent) to  $\pi$ , then  $\pi'$  has  $\lambda$  as central character and the corresponding pair (U', V') is equivalent (respectively, approximately equivalent) to (U, V).

Summarizing, the map  $(U, V) \mapsto \pi$  described above induces a bijection between

- the set of equivalence (respectively, approximate equivalence) pairs of unitary representations of  $R^d$  satisfying (CCR)<sub> $\lambda$ </sub>, and
- the set of equivalence (respectively, approximate equivalence) classes of unitary representations of  $H_{2d+1}(R)$  which have  $\lambda$  as central character,

The representation  $\pi_{\text{Schr}}$  of  $H_{2d+1}(R)$  corresponding to the Schrödinger pair  $(U_{\text{Schr}}, V_{\text{Schr}})$ with central character  $\lambda$  is given on  $L^2(R^d, \mu)$  by

$$\pi_{\mathrm{Schr}}(m(a,b,c))f)(x) = \lambda(c)\lambda(x \cdot b)f(x+a) \quad f \in L^2(\mathbb{R}^d,\mu),$$

where  $\mu$  is a Haar measure on  $(\mathbb{R}^d, +)$ .

We can now reformulate Theorems A and C in terms of representations of  $H_{2d+1}(R)$ .

**Theorem D.** (Stone-von Neumann Theorem) Let R be a unital second-countable locally compact ring and let  $\lambda \in \widehat{R}$  satisfying Conditions (Sym) and (Isom). For  $d \geq 1$ , let  $\pi$  be a unitary representation of  $H_{2d+1}(R)$  on a separable Hilbert space with central character  $\lambda$ . Then  $\pi^{(\infty)}$  is equivalent to  $\pi^{(\infty)}_{Schr}$ .

**Theorem E.** Let R be as Theorem D, and let  $\lambda \in \widehat{R}$  satisfying Conditions (Sym) and (Faith). For  $d \geq 1$ , let  $\pi$  be a unitary representation of  $H_{2d+1}(R)$  on a separable Hilbert space with central character  $\lambda$ . Then  $\pi^{(\infty)}$  is approximately equivalent to  $\pi^{(\infty)}_{Schr}$ .

**Remark 4.** A crucial role in the proofs of our results was played by the regular pair  $(U_{\text{reg}}, V_{\text{reg}})$  introduced just before Proposition 2. The corresponding representation  $\pi_{\text{reg}}$  of  $H_{2d+1}(R)$  is defined on the Hilbert space  $L^2(R^d \times R^d, \mu \otimes \mu)$ , by

$$(\pi_{\operatorname{reg}}(m(a,b,c)\xi)(x,y) = \lambda(c)\lambda(x \cdot b)\xi(x+a,y+b),$$

for all  $\xi \in L^2(\mathbb{R}^d \times \mathbb{R}^d)$  and  $x, y \in \mathbb{R}^d$ . It can be checked that  $\pi_{\text{reg}}$  is equivalent to the representation  $\text{Ind}_C^{H_{2d+1}(\mathbb{R})} \lambda$  induced by the character  $\lambda$  of the centre C.

To a pair (U, V) of unitary representations of  $\mathbb{R}^d$  on  $\mathcal{H}$  satisfying  $(\operatorname{CCR})_{\lambda}$ , we associated a new pair  $(\widetilde{U}, \widetilde{V})$  on  $L^2(\mathbb{R}^d \times \mathbb{R}^d, \mathcal{H})$ . If  $\pi$  is the representation of  $H_{2d+1}(\mathbb{R})$  corresponding to (U, V), the representation  $\widetilde{\pi}$  of  $H_{2d+1}(\mathbb{R})$  corresponding to  $(\widetilde{U}, \widetilde{V})$  is given by defined by

$$(\widetilde{\pi}(m(a,b,c)F)(x,y) = \lambda(c)V(b)U(a)(F(x+a,y+b))$$

for all  $F \in L^2(\mathbb{R}^d \times \mathbb{R}^d, \mathcal{H})$  and  $x, y \in \mathbb{R}^d$ . It can be checked that  $\tilde{\pi}$  is equivalent to the tensor product representation  $\rho \otimes \pi$ , where  $\rho = \operatorname{Ind}_C^{H_{2d+1}(\mathbb{R})} \mathbb{1}_C$  is the regular representation of  $H_{2d+1}(\mathbb{R})/C \cong \mathbb{R}^d \times \mathbb{R}^d$  lifted to  $H_{2d+1}(\mathbb{R})$ . Fell's absorption principle alluded to before Proposition 2 is that  $\rho \otimes \pi$  is equivalent to a multiple of  $\operatorname{Ind}_C^{H_{2d+1}(\mathbb{R})} \lambda$ .

#### References

- [BdlHV08] Bachir Bekka, Pierre de la Harpe, and Alain Valette, Kazhdan's property (T), New Mathematical Monographs, vol. 11, Cambridge University Press, Cambridge, 2008. MR2415834 ↑4, 12
- [BdlH20] Bachir Bekka and Pierre de la Harpe, Unitary representations of groups, duals, and characters, Mathematical Surveys and Monographs, vol. 250, American Mathematical Society, Providence, RI, 2020. MR4249450 ↑6, 8
- [Bou64] N. Bourbaki, Éléments de mathématique. Fasc. XXX. Algèbre commutative. Chapitre 5: Entiers. Chapitre 6: Valuations, Actualités Scientifiques et Industrielles [Current Scientific and Industrial Topics], No. 1308, Hermann, Paris, 1964 (French). MR194450 ↑9
- [Bou23] \_\_\_\_\_, Éléments de mathématique. Théories spectrales. Chapitres 3 à 5, Springer, Cham, 2023 (French). MR4592574 ↑5
- [Con00] John B. Conway, A course in operator theory, Graduate Studies in Mathematics, vol. 21, American Mathematical Society, Providence, RI, 2000. MR1721402 ↑3
- [Fol89] Gerald B. Folland, Harmonic analysis in phase space, Annals of Mathematics Studies, vol. 122, Princeton University Press, Princeton, NJ, 1989. MR983366 ↑4
- [Hei27] W. Heisenberg, Über den anschaulichen Inhalt der quantentheoretischen Kinematik und Mechanik., Z. Physik 43 (1927), 172–198, DOI 10.1007/BF01397280. ↑1
- [How80] Roger Howe, On the role of the Heisenberg group in harmonic analysis, Bull. Amer. Math. Soc. (N.S.) 3 (1980), no. 2, 821–843, DOI 10.1090/S0273-0979-1980-14825-9. MR578375 ↑3
- [Mac49] George W. Mackey, A theorem of Stone and von Neumann, Duke Math. J. 16 (1949), 313–326. MR30532 ↑3
- [vN31] J. v. Neumann, Die Eindeutigkeit der Schrödingerschen Operatoren, Math. Ann. 104 (1931), no. 1, 570–578, DOI 10.1007/BF01457956 (German). MR1512685 ↑3
- [RZ00] Luis Ribes and Pavel Zalesskii, Profinite groups, Ergebnisse der Mathematik und ihrer Grenzgebiete. 3. Folge. A Series of Modern Surveys in Mathematics [Results in Mathematics and Related Areas. 3rd Series. A Series of Modern Surveys in Mathematics], vol. 40, Springer-Verlag, Berlin, 2000. MR1775104 ↑12
- [Seg63] I. E. Segal, Transforms for operators and symplectic automorphisms over a locally compact abelian group, Math. Scand. 13 (1963), 31–43, DOI 10.7146/math.scand.a-10686. MR163183 ↑3
- [Wei64] André Weil, Sur certains groupes d'opérateurs unitaires, Acta Math. 111 (1964), 143–211, DOI 10.1007/BF02391012 (French). MR165033 <sup>↑</sup>3
- [Wei74] \_\_\_\_\_, Basic number theory, 3rd ed., Die Grundlehren der mathematischen Wissenschaften, Band 144, Springer-Verlag, New York-Berlin, 1974. MR427267 ↑10, 11
- [Wey27] Hermann Weyl, Quantenmechanik und Gruppentheorie., Z. Physik 46 (1927), 1–46 (German). ↑1

BACHIR BEKKA, UNIV RENNES, CNRS, IRMAR–UMR 6625, CAMPUS BEAULIEU, F-35042 RENNES CEDEX, FRANCE

Email address: bachir.bekka@univ-rennes1.fr