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A multi-scale analysis of the extreme rain event of Ouagadougou in 2009

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Abstract

This study presents a multi-scale analysis of an extreme rain event that occurred in Burkina Faso on 1st September 2009 with an absolute record of 263 mm rainfall observed at Ouagadougou. This high-impact weather system results from the combination of several favourable ingredients at different scales. The sea surface temperature anomaly patterns in July-August 2009 of both the Atlantic cold tongue, the Tropical Atlantic Dipole and the Mediterranean Sea are favourable factors for the northward penetration of the West African Monsoon. The intense convective activity of the last 10-day period in August is associated with the crossing of a convectively-coupled Kelvin Wave increasing the African easterly wave (AEW) activity, and of an Equatorial Rossby wave. At the synoptic scale this event corresponds to the passage of a train of 3 AEWs with increasing magnitude. Behind the 1st AEW trough axis, an intense and deep southerly monsoon burst develops. It contributes to the amplification of the 2nd AEW and its breaking associated with the formation of an intense meso-vortex on the southern flank of the African Easterly Jet. Compared to the fast moving squall-line, the dominant type of precipitating weather system over the Sahel, the Ouagadougou precipitating system appears to be a moist vortex propagating slowly, allowing

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2 25 *rainfall accumulation, without wind gusts or convective cold pools observed at the surface. The*
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4 26 *main precipitation area is located about 2° longitude downshear (westward due to the African*
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6 27 *easterly jet) of the centre of this strong meso-vortex.*
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12 28 **Keywords:** High-impact weather system, West Africa, African Easterly Waves, Convection
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For Peer Review

1. Introduction

The African continent is repeatedly affected by high-impact weather (HIW) with devastating consequences for local communities. Life and property losses, damage to infrastructure (roads, bridges and buildings) and resources (energy, water supply and health sector) have been reported over the years because of HIW. In the 1970s and 1980s years severe droughts prevailed over the Sahel (Nicholson, 2001) causing famine and considerable economic losses (Benson and Clay, 1998). Within the current period of partial rainfall recovery (Lebel and Ali, 2009; Panthou *et al.* 2014), West African countries have been struck in the past decade by several floods of unprecedented magnitude (Descroix *et al.* 2012; Nka *et al.* 2015). It is the severe 2007 flood in sub-Saharan countries that brought HIW flood events to the attention of the world (Levinson and Lawrimore 2008). According to the gauge-only gridded precipitation dataset (PREC/L) of the Climate Prediction Center, a part of the National Oceanic and Atmospheric Administration (NOAA), the 2012 rainfall season was the wettest since 1952 (Thiaw 2013). The torrential rains and associated persistent flooding hit the western Sahel hardest in areas that included western Mali, the southern half of Senegal, and the Guineas. Many extreme events were reported. For instance the station of Dakar registered a record-breaking precipitation of 152 mm on 26 August (Diongue-Niang *et al.* 2017). Also in 2012, the Middle Niger River exhibited the highest flood level ever registered from the beginning of its monitoring in 1929 (Sighomnou *et al.* 2013).

A key question is to understand what determines the predictability of these events, for which both individual cases and statistical studies are needed. In the framework of THORPEX¹ (The Observing Research and Predictability Experiment), the THORPEX-Africa regional committee has selected a few cases related to flooding events to study their predictability. To achieve this task, three steps have been defined: i) description and conceptual model of case studies, ii) verification of

1 www.wmo.int/thorpex

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2 52 forecasts and the assessment of model ability to predict such events, iii) modelling studies to further
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4 53 analyse the predictability of such events. This paper aims at tackling the first item for the case study
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6 54 selected for the West African sub-region. It is part of a wet spell in the Sahel with an extreme
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8 55 rainfall event that occurred in Ouagadougou (Burkina Faso) on 1st September 2009, with 263 mm
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10 56 recorded in ten hours. This led to 150 000 people being displaced (of 1.5 million inhabitants), 9
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12 57 deaths, extensive loss of property and damaged roads.
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20 58 Several observational and numerical studies have been performed to document and better
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22 59 understand rainfall events in West Africa. They are based on case studies from field campaigns such
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24 60 as COPT81 (Chong *et al.* 1987; Roux 1988; Redelsperger and Lafore 1988), HAPEX-Sahel
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26 61 (Redelsperger *et al.* 2002; Diongue *et al.* 2002), or more recently the AMMA SOP2006. Case
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28 62 studies such as presented in Barthe *et al.* (2010), Chong (2010) Schwendike and Jones (2010) Birch
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30 63 *et al.* (2012), Beucher *et al.* (2013) focused more particularly on the interactions between mesoscale
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32 64 systems and synoptic disturbances such as African Easterly Waves (AEWs) which are key synoptic
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34 65 weather systems affecting West Africa during the monsoon (Fink and Reiner 2003). These studies
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36 66 mainly addressed fast-moving squall lines that are the dominant type of rain event over the semi-
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38 67 arid Sahel region (Mathon *et al.* 2002). They contribute up to 80 % of the annual regional rainfall
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40 68 over the Sahel (Le Barbé *et al.* 2002; D'Amato and Lebel 1998). They often generate intense
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42 69 precipitation and strong wind bursts associated with intense cold pools fed by rain evaporation.
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44 70 Nevertheless due to their fast propagation, the passage of a squall-line over a given location is short,
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46 71 typically from about 1/2h to 2h for the convective and stratiform parts, respectively (see the recent
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48 72 mesoscale convective systems (MCS) statistics over West Africa of Lafore *et al.* 2017, Fig. 3.16).
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50 73 This short duration may explain why the observed accumulated rainfall rarely exceeds the
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52 74 precipitable water (PW) ahead of the squall-line (typically 50-65 mm over the Sahel during the
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54 75 monsoon season, Poan *et al.* 2013). For instance the maximum accumulated precipitation recorded
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2 76 were 47 and 37 mm for the passage of a squall-line during COPT81 and Hapex-Sahel field
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4 77 experiments, respectively (Chalon *et al.* 1988; Redelsperger *et al.* 2002), whereas the PW ahead of
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7 78 the squall-line was about 55 mm for both cases. Le Barbé *et al.* (2002) found a mean accumulated
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9 79 rainfall per MCS of ~15 mm at Niamey. To reach a daily accumulated rainfall above PW, the system
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11 80 must be very efficient in concentrating water vapour for a long duration at a given location due
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14 81 either to a slow propagation, a forcing mechanism by the topography or the large-scale dynamics.
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16 82 As observed extreme rainfall events reach values above 100 mm per day at a given location
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18 83 (Panthou *et al.* 2012), it raises the following questions: Are the physical processes involved in
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21 84 Sahelian extreme rain events different from the ones involved in squall-lines? What are their
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23 85 temporal and spatial scales?
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29 86 There is, however, a lack of studies of such HIW rain events to help answer these questions.
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31 87 Among the few related studies, Paeth *et al.* (2011) performed an analysis of the 2007 flood caused
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34 88 by a sequence of strong rain events in August and September. They first assessed the structure and
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36 89 intensity of the flood and of associated rain event sequences from various observational data sets.
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39 90 Estimated return times from the Tropical Rainfall Measuring Mission range between 1 and 50 years
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41 91 for daily precipitation, but have a high spatial heterogeneity and a maximum over the Upper Volta
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43 92 Basin. Burkina Faso appears as a hot spot for flood-producing rain events from August to
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45 93 September.
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52 94 Another question is: what are the contextual elements of the climate conditions in which HIW
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54 95 rain events may occur? To help answer this question, Nicholson (2009) proposed a conceptual
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56 96 framework for studying rainfall variability over West Africa resulting from two distinct modes:
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58 97 *latitudinal displacements* of the tropical rain belt and changes in its *intensity*. From this arise four
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2 98 patterns of rainfall anomalies: "non-dipole" years when anomalies are of the same sign over most of
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4 99 West Africa due to a change in rain belt intensity, whereas anomalies are of opposite sign in the
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6 100 Sahel and the Guinea Coast during "dipole" years due to latitudinal displacements (see Fig. 1 of
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8 101 Nicholson 2009). In this framework, 2007 is a "wet non-dipole" year (Paeth *et al.* 2011), whereas
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10 102 2009, 2012 and 2013 are "wet dipole" years (see State of Climate in corresponding years; Thiaw
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12 103 2013).

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20 104 The above framework proposes some large-scale factors favouring wet or dry years for the
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22 105 WAM, but we do not know if the probability of occurrence of HIW rain events is stronger during
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24 106 wet years than in dry years. Also, these HIWs are not excluded from a dry year. So far there is no
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26 107 clear understanding of the atmospheric conditions leading to a HIW rain event.

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33 108 At the regional scale, Cr  tat *et al.* (2015) analysed the relationship between AEW and daily
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35 109 rainfall over West Africa and concluded that 3-5-day AEWs establish the most favourable synoptic
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37 110 conditions for the development of intense rainfall events. This is consistent with previous work
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39 111 showing that the AEW activity is enhanced during wet years (Grist 2002; Grist *et al.* 2002;
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41 112 Nicholson 2009; Paeth *et al.* 2011). Ventrice and Thorncroft (2013) showed that the AEW activity
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43 113 increases at the leading edge and during the convectively active phase of strong Convective
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45 114 Coupled Kelvin Waves (CCKWs). Ventrice *et al.* (2011) also suggested that the Madden-Julian
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47 115 oscillation (MJO) directly influences AEW activity. During MJO phases 8, 1, and 2, diagnosed by
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49 116 the Wheeler and Hendon (2004) Realtime MJO Multivariate (RMM) index, the AEJ increases and
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51 117 convection is enhanced over tropical Africa, whereas AEW activity is locally enhanced during
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53 118 phases 1-3. According to these studies, equatorial waves (CCKW and MJO at least) can modulate
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55 119 the environment on which AEWs develop, *i.e.* the magnitude of the AEJ, the vertical wind shear, the
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2 120 low-level convergence and vorticity patterns. Since the AEWs are the leading driver of the most
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4 121 intense storms, a connection is possible between equatorial waves and HIW. The present paper
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6 122 actually shows that this link exists which opens interesting forecasting perspectives (See section 4).
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13 123 If a better understanding of extreme rainfall events is a crucial issue in better forecasting them,
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15 124 another issue is the trend in their occurrence and intensity in the context of ongoing global warming
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17 125 (Westra *et al.* 2014). At a regional scale, in the Sahel, Panthou *et al.* (2014) found that the partial
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19 126 recovery of rainfall is more likely due to the increase in the number of intense events (increase of
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21 127 33% between 2001-2010 and 1970-1990), than the increase in the total number of events (3% of
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23 128 increase in the same periods). Taylor *et al.* (2017) also found that the frequency of extreme Sahelian
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25 129 storms tripled since 1982 in satellite observations.
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33 130 The main goal of this first paper is to present a multi-scale analysis of the extreme rain event of
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35 131 Ouagadougou that occurred on 1st September 2009, with a focus on regional and synoptic scales and
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37 132 to identify key factors for this type of event. This case has been used in the recently published West
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39 133 African Forecaster's Handbook (Parker and Diop-Kane, 2017) to illustrate AEW breaking events
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41 134 (Cornforth *et al.* 2017) as seen by forecasters. In a companion paper we will analyse the physical
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43 135 mechanisms involved in the small-scale development of a moist vortex associated with this extreme
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45 136 rain event, owing to the computation of budgets of heat, moisture and vorticity from a convection-
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47 137 permitting simulation.
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55 138 After a description in section 2 of the datasets used in this study, section 3 describes the
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57 139 characteristics of this extreme event and the large-scale context it is embedded in. Section 4 focuses
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59 140 on wave activity, more specifically on AEWs and on the impact of equatorial waves on this event.
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2 141 The convective organization is analysed in section 5. Section 6 summarizes the main findings and
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4 142 proposes a list of factors favouring the occurrence of this type of event associated with the breaking
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6 143 of an AEW.
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13 144 2. Data and analysis methods

18 145 a. Observations

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21 146 In the frame work of THORPEX-Africa, daily rain-gauge data of a network of 579 stations have
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23 147 been kindly provided by National Weather Services of most West African countries impacted by this
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25 148 wet spell. This rain-gauge network data covers a 20-day period centred on 1st September 2009 to
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27 149 document this period of intense precipitation (see subsection 3.a) in which the Ouagadougou event
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29 150 is embedded.
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34 151 This dataset is very valuable for the present study and for further ones to assess the numerical
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36 152 weather prediction (NWP) system's skill to forecast such extreme events. In addition, the Tropical
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38 153 Rainfall Measuring Mission (TRMM-3B42) rainfall estimates (Huffman *et al.*, 2007) have been
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40 154 used. To complement this, the Global Satellite Mapping of Precipitation (GSMaP) v5 satellite
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42 155 estimate of 0.1° x 0.1° gridded 1h accumulated precipitation (Ushio *et al.*, 2009) is used to track
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44 156 MCSs.
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49 157 The convective activity and its spatial structure have been analysed by computing the Polarized
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51 158 Corrected Temperature (PCT) parameter (Mohr and Zipser 1996) from snapshots provided by
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53 159 AMSR-E (Advanced Microwave Scanning Radiometer - Earth Observing System), SSMI (Special
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55 160 Sensor Microwave Imager) and TMI (Special Sensor Microwave Imager) microwave radiometers.
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57 161 PCT also allows the identification of convective and stratiform regions. Daily-averaged outgoing
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2 162 longwave radiation (OLR) data provided by the NOAA polar-orbiting satellites (Liebmann and
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4 163 Smith 1996) was used as a proxy for convective activity.
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7 164 To assess the oceanic state in 2009, sea surface temperature (SST) anomalies during July-August
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9 165 2009 have been computed from the Reynolds climatology over the 33-year period (1982-2014)
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11 166 (Reynolds *et al.* 2002). The soundings of Abidjan [5.18°N,4°W] performed twice a day have been
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13 167 used to assess the presence of equatorial waves.
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20 168 *b. Reanalysis*

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23 169 The present study is partly based on the last ('Interim') European Centre for Medium-Range
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25 170 Weather Forecasts (ECMWF) Reanalysis (Dee *et al.*, 2011, ERA-I hereafter). To improve the
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27 171 analysis in a region of sparse observations such as Africa, we assimilated remote-sensing
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29 172 microwave data from AMSU-B, by using the method developed by Karbou *et al.* (2010a) as in
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31 173 Beucher *et al.* (2013). We performed a 4D-Var assimilation with the global ARPEGE operational
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33 174 model of Météo-France (Courtier *et al.*, 1994). The 4D-Var assimilation experiment was performed
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35 175 over a ~1 month-period (13 August to 10 September 2009), four times per day, with the April 2010
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37 176 version (T798 truncation and a stretched horizontal grid allowing a ~15 km resolution over Africa).
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39 177 Thanks to its finer horizontal resolution and AMSU-B data, we used the ARPEGE reanalysis in this
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41 178 paper for a more accurate analysis of the synoptic scale in section 4 (see Karbou *et al.* 2010b,
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43 179 Beucher *et al.* 2011 for a comparison between ERAI and ARPEGE), in addition to ERA-I.
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53 180 *c. Analysis methods*

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56 181 As done for instance by Takayabu (1994) or Wheeler and Kiladis (1999), a zonal space-time
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58 182 spectral analysis is performed to detect and analyse equatorial waves concomitant with the present
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60 183 extreme precipitation event. Following Roundy and Franck (2002) and Schreck *et al.* (2012), the

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2 184 spectral analysis is made for each latitude between 30°S and 30°N and filtered in the wavenumber –
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4 185 frequency domain without imposing equatorial symmetry to the data. Table 1 summarizes the
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6 186 characteristics of the filters used to extract the Madden-Julian oscillation (MJO), Kelvin (K),
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9 187 Equatorial Rossby (ER), and westward Mixed Rossby-Gravity (MRG) waves. We added the
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11 188 Tropical-Depression-type (TD-type) disturbances that correspond to AEWs over Africa. The
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14 189 filtering is applied to either the 200-hPa velocity potential seasonal anomalies, OLR seasonal
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16 190 anomalies or the mass-weighted 950-600 hPa layer-averaged meridional wind (hereafter meridional
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19 191 mass transport) depending on the wave-type (Table 1). The equivalent depths used for the wave
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21 192 filtering are 8-90 m for ER, Kelvin and MRG (Wheeler and Kiladis, 1999) and no equivalent depth
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23 193 is imposed for the MJO and TD-AEW.
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29 194 We developed an AEW tracking based on the barotropic flow (mass weighted mean wind
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31 195 between 950-600 hPa) as a two-step procedure: (i) the detection of the maximum relative vorticity
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34 196 provides a "first guess" for the tracked pattern, (ii) a finer spatial localisation by searching for the
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36 197 minimum wind speed corresponding to the centre of the barotropic flow. This method is applied at
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39 198 an hourly frequency to ARPEGE re-analysis and simulations, at 15 km horizontal resolution (See
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41 199 Section 5).
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46 200 3. Main characteristics of the extreme event and large-scale context

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52 201 a. Precipitation

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55 202 The case study occurred during a wet spell that affected the whole Sahel during the 20-day
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58 203 period from 21st August to 10th September 2009, marked with heavy precipitation leading to floods
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60 204 in many Sahelian countries (notably in Niger, Senegal, Mauritania, Gambia – see State of Climate

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2 205 in 2009, by Arndt et al., 2010). The event that occurred in Burkina Faso on September 1st, caused
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5 206 by a slow-moving MCS (see section 5), has been the most extreme ever observed over the Western
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7 207 Sahel. Between 0400-1600 UTC 263 mm were recorded at Ouagadougou, the capital city. The
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9 208 accumulated hourly rainfall peak (97 mm) occurred between 0700-0800 UTC. The 30 rain records
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12 209 collected over Burkina Faso on 31 August and 1st September (Fig. 1a) provide an idea of the size,
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15 210 intensity and trajectory of this extreme event. The pattern of the corresponding accumulated rain as
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17 211 estimated by TRMM (red isolines) is in agreement with rain gauge records, although
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19 212 underestimated (by a factor 2 for the maximum) probably partly due to the difference in scale. The
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21 213 Ouagadougou extreme event affected an area of about 150x100 km² with accumulated rain above
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24 214 75 mm (50 mm for TRMM). For the 15 stations at a distance less than 1° from Ouagadougou, the
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26 215 mean accumulated rainfall was 94 mm (117 mm for the 7 stations at a distance less than 0.5°) and
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29 216 the second highest record reached 213 mm at Boulbi (13 km south of Ouagadougou). TRMM
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31 217 estimates reached a maximum of 110 mm close to Ouagadougou. TRMM suggests that this event
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33 218 also generated important precipitation (above 75 mm) during the previous night over eastern
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36 219 Burkina, but the density of the rain gauge network was too sparse to confirm this finding. Figure 1b
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38 220 shows observed extrema of daily precipitation over West Africa during the 20-day period from 21st
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41 221 August to 10th September, with the indication of values above 100 mm/day (red points) and their
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43 222 date of occurrence. The Ouagadougou case is the absolute observed maximum (263 mm with a
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45 223 return period estimated at 10 000 yrs by Karambiri 2009) of the 22 recorded strong events. Here, we
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48 224 consider that an event is strong when the daily precipitation reaches more than 100 mm, *i.e.* when
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50 225 it produces much more precipitation than the amount of water vapour stored in the column (PW_{\max}
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52 226 ~65 mm, Poan *et al.* 2013, Lafore *et al.* 2017). This is likely a large number as Panthou *et al.* (2012)
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54
55 227 estimate that the return time for a 100 mm daily precipitation is 15 and 20 years at Ouagadougou
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57 228 and Niamey, respectively. Those strong events in 2009 are clustered over specific regions (Senegal,
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59 229 South of Mauritania in particular) and periods corresponding to the passage of AEWs as shown later
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2 230 in section 4a. The TRMM accumulated rain over this 20-day period (Fig. 1c) agrees well with rain-
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4 231 gauge observations (Fig. 1d) at this scale. It is to be noticed that regions with intense daily events
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6 232 (Fig. 1b) correspond to regions of maximum accumulated rain over the 20-day period, suggesting a
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9 233 major contribution of intense events to the seasonal rainfall (which is consistent with Panthou *et al.*
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11 234 2012). Fig. 1e shows the TRMM accumulated rainfall at the monsoon seasonal scale (July-August-
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14 235 September, JAS hereafter) and Fig. 1f its anomaly relative to the 1998-2012 TRMM climatology.
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16 236 Clearly 2009 appears as a wet dipole year over the Sahel (up to $\sim +300$ mm). At the seasonal scale
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18 237 the rainfall anomalies are located over Senegal, South of Mauritania, Mali and Niger, where intense
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21 238 events (above 100 mm/day) occurred during the active 20-day period of August and September
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23 239 (Fig. 1d), suggesting a significant contribution of intense events to the seasonal rainfall anomaly.
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29 240 *b. SST pattern*

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31 241 At very large-scale, 2009 was characterized by the transition between a waning Pacific La Niña
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34 242 and the establishment of a strong El Niño event from June 2009 to April 2010 (Arndt *et al.*, 2010).
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36 243 In 2009, the Atlantic Ocean was warmer in the northern hemisphere due to the warm phase of the
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39 244 Atlantic Multi-decadal Oscillation (AMO, Enfield and Mestas-Nunez 1999) which has resulted in
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41 245 greater Atlantic hurricane activity since 1995.
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44 246 Figure 2 displays SST anomalies surrounding Africa for July-August 2009. A dipole is present
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46 247 in the North Atlantic with warm anomalies (2°C) in the north-western Atlantic and anomalies colder
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49 248 than -2°C eastward. The cold anomalies in the north-eastern Atlantic are the signature of a negative
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51 249 North Atlantic Oscillation (NAO) index for the July-August period. In the tropical Atlantic, a
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54 250 positive Atlantic dipole (Lamb 1978, Parker *et al.*, 1988; Servain, 1991) is present with a cold
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56 251 anomaly (down to -1°C) along the equator, which also affects the Atlantic cold tongue in the eastern
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58 252 equatorial basin. Based on the climatological indices defined by Caniaux *et al.* (2011), the cold
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60 253 tongue was colder and more extended in July-August 2009 than the climatology (not shown).

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2 254 Combined with the AMO warm phase, a colder Atlantic cold tongue and a resulting positive
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4 255 Tropical Atlantic SST dipole are favourable conditions for the northward penetration of the WAM
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7 256 into the West African continent. The warm anomaly in the Mediterranean Sea (Fig. 2) can also be
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9 257 considered as a favourable factor (Rowell, 2003; Peyrillé *et al.* 2007).

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12 258 The warm SST anomaly in the Indian Ocean (Fig. 2) started at the same time as the Pacific El
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14 259 Niño in 2009, instead of one year later as more commonly found (*e.g.*, in 1998, 1987 and 1983;
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16
17 260 Arndt *et al.* 2011). A statistical relationship has been found linking a warm anomaly in the Indian
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19 261 Ocean to dry conditions over the Sahel in the last decades (Giannini *et al.* 2003; Bader and Latif
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21 262 2003), such that the warm anomaly over the Indian Ocean should not have favoured moister
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24 263 conditions over the Sahel in 2009. Recent studies indicate, however, that this link might not be
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26 264 straightforward for the last decade with the recent phasing of the NAO and ENSO (Joly *et al.* 2007;
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29 265 Rodriguez-Fonseca *et al.* 2011).

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34 266 To summarize, the SST anomaly patterns in July-August 2009 of the Atlantic cold tongue, the
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36
37 267 Tropical Atlantic Dipole and the Mediterranean Sea are favourable factors for the WAM northward
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39 268 penetration. They are consistent with the classification of 2009 as a “Dipole wet year” (Nicholson
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41 269 2009). However, the impacts of El Niño, Indian Ocean warming and negative NAO on the WAM
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44 270 deserve more analysis.

45 46 47 48 49 271 *c. Multi-scale nature of the event*

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54 272 Figure 3a analyses the seasonal evolution of the TRMM mean rainfall in 2009 in the 1° square
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56 273 around Ouagadougou [12 – 13°N; 2 – 1°W] (red square in Fig. 1). The passage of the extreme
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58
59 274 Ouagadougou event is clearly seen at the daily and box scales (up to 72 mm/day). This extrem rain
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2 275 event significantly contributes to the positive anomaly of accumulated rain observed in 2009 at
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4 276 Ouagadougou. Another active period is observed from late June to early July. When compared to
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6 277 the TRMM (1998-2012) climatology, 2009 rainfall is weaker in June and July, which corresponds to
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8
9 278 a late onset, but the deficit is made up and reversed during the very active period of August-
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11 279 September. The wavelet analysis (Fig. 3b) reveals that 4 bands are active at synoptic (3-5 days),
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14 280 short (10-15 days), medium (20-30 days) and long (40-80 days) intraseasonal scales. The
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16 281 Ouagadougou extreme event thus occurs at a time when all these scales of variability are strong
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18 282 (this is also true of the active period of late June-early July). It suggests that this extreme event
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21 283 corresponds to the superposition of favourable conditions at different temporal scales.
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29 284 4. Propagating modes of variability and wave activity

35 285 *a. AEWs*

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38 286 Figure 4 provides a synoptic view of the Ougadougou event over a 3-day period at two key
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40 287 levels to identify AEWs: at low levels (925 hPa, right) and at the AEJ level (700 hPa, left). At 700
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43 288 hPa the AEJ is well defined in the 10 – 20°N band with a maximum intensity above 20 ms⁻¹ (Fig.
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45 289 4a, b, c). Its oscillations correspond to a train of 3 AEWs, and increase during this 3-day period. On
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47
48 290 30th August, the first AEW of this series is well formed with its trough T1 located over Burkina Faso
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50 291 (red line in Fig. 4a). A fast-moving squall-line propagates ahead of T1 in the AEJ core (pink
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52 292 shading). The trough T1 propagates westward and reaches the coast two days later. An important
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55 293 feature at 700 hPa is the development of a strong southerly flow behind T1 coming from the
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58 294 southern hemisphere. The signature at low levels is a westerly flow west of T1 and an intense south-
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60 295 westerly monsoon flow east of T1 (Fig. 4d, e, f). The combination of these strong southerlies behind

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2 296 T1 at low levels and at the AEJ level is a deep southerly burst maximum on 31st August. This is
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4 297 associated with the development of a deep and strong ridge R1 (dotted red line in Fig. 4) behind T1.
5
6 298 Quite unusually, this southerly burst is so strong that it brings dry air from the southern hemisphere
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8
9 299 and generates a dry anomaly (dark shading in Fig. 4d, e, f) in the R1 region.
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11
12 300 A second wave develops behind this first AEW (T1 and R1). Its trough T2 appears just west of
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14 301 Lake Chad on 30th August (Fig. 4a), on the south-western flank of a widespread wet anomaly (light-
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16 302 gray shading in Fig. 4d). One day later (Fig. 4b, e) T2 appears as a small-scale cyclonic circulation
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18 303 both at 700 and 925 hPa, such that we have an almost barotropic vortex structure which reaches its
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20 304 maximum on 1st September (Fig. 4c, f). On this date, this vortex located over Niamey has wrapped
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22 305 the wet anomaly which almost splits it into two parts (grey area in Fig. 4f). A key feature of this
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24 306 event is that contrary to the usual schematic of an AEW (Cornforth *et al.* 2017 – see their Fig. 2.10)
25
26 307 the wet anomaly is ahead (west) of the trough T2 within the northerly flow, instead of being located
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28 308 behind the trough in the monsoon flow. The effect is that the vortex is moistened by the northerly
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30 309 flow. The extreme rain event (coloured shading in Fig. 4c, f) occurred over the Ouagadougou
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32 310 region, 2° west of the vortex within the wet anomaly.
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39 311 To complete, Figure 5 shows a longitude-time diagram zoomed between 40°W and 40°E from
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41 312 20th August to 10th September for (a) the relative vorticity at 700 hPa and (b) the anomaly of PW.
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43 313 Fields are averaged in the Sahelian band [10 – 18°N]. The passage of the train of 3 AEWs is clearly
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45 314 identified in the vorticity field (Fig. 5a). The first trough T1 corresponds to the wet spell arrival
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47 315 (Fig. 5b) and is followed by the strong ridge R1 (anticyclonic vorticity) along the Greenwich
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49 316 meridian. The second trough T2 is even stronger. A day later on 31st August, T2 accelerates and
50
51 317 reaches Ouagadougou on 1st September. A third trough T3 intensifies on 4th September near the
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53 318 Greenwich meridian and coincides with the maximum of the wet spell (PW > 60 mm in Fig. 5b). It
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55 319 is also the most intense trough within the wave train in terms of vorticity signature. This sequence
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57 320 of three successive waves of increasing intensity is consistent with the AEW amplification
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1
2 321 mechanism due to their upstream group velocity (Diaz and Aiyyer 2013). T3 later reaches the
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4 322 Atlantic Ocean and gives rise to Hurricane Fred on 7th September at 1800 UTC reaching its
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6 323 maximum intensity (958 hPa, 53 m s⁻¹) 2 days later (see NHC report²). The trajectory of Fred
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9 324 follows the maximum of PW anomalies and vorticity associated with the trough T3 (dotted line T3
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11 325 in Fig. 5). The cyclonic vorticity intensification is in general preceded by a reinforcement of the
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13
14 326 AEJ (Fig. 5a), which is consistent with Leroux *et al.* (2009), suggesting that an intense AEJ is
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16 327 necessary for intense AEWs to develop. It is to be noticed that the vorticity maximum in the eastern
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18 328 Sahel occurring on 26-27th August corresponds to an AEJ reaching 17.5 ms⁻¹, much stronger than its
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21 329 climatological value from the ERA-I reanalysis (9 ms⁻¹, not shown).
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24 330 To track the deep southerly burst noted in Fig. 4, we computed the meridional mass transport
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26 331 Figure 5c). It provides a clear signature of well organized AEWs, with the trough located between
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28
29 332 the deep northerly wind and the southerly deep monsoon flow (to the west and the east,
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31 333 respectively).
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37 334 *b. Equatorial wave activity*

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40 335 Anomalies of velocity potential at 200 hPa (VP₂₀₀ - Fig. 6a) and of OLR (Fig. 6b) from 1st August
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42 336 to 10th September 2009 emphasize favourable large-scale conditions for convection prevailing over
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44
45 337 West Africa, in agreement with Fig. 3a. The analysis of the contributions of equatorial waves (MJO,
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47 338 Kelvin and Rossby) to the anomalies of VP₂₀₀ and of OLR (isolines overlaid in Fig. 6) provides
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49
50 339 additional information. The different signatures obtained using either the VP₂₀₀ or OLR show that
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52 340 the waves are identified slightly differently depending on their life cycle and on the degree of
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54 341 coupling between convection and the dynamics. However the two panels (Fig. 6a and b) show
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56 342 consistent propagation of the envelop of equatorial waves.
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2 http://www.nhc.noaa.gov/data/tcr/AL072009_Fred.pdf

1
2 343 During the first 10 days of August, a large divergence anomaly is centred over eastern Pacific
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4 344 and America, possibly favoured by the intensifying El Niño, whereas a weakly eastward-
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6 345 propagating suppressed convection phase (convergence anomaly) is located over the Indian Ocean.
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9 346 The favourable phase for convection mainly propagates eastward as a convectively-coupled Kelvin
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11 347 wave (K1), detected both in VP_{200} and OLR anomaly fields. K1 reaches Africa between 10th and 20th
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13 348 August and is associated with intense convective activity. An MJO signal is also detected over
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15 349 Africa in the middle of August. An equatorial Rossby wave (ER) coming from the Indian Ocean
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17 350 reaches East Africa and then crosses Africa during the last 10 days of August. Meanwhile K1 travels
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19 351 from Maritime continent, to the Atlantic Ocean, where a second Kelvin wave (K2) is generated. The
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21 352 Ouagadougou extreme precipitation event (black circles) coincides with the crossing of the
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23 353 equatorial Rossby wave ER and the Kelvin wave K2. Overall, the MJO signal, which continues
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25 354 eastward over the Maritime Continent, remains weak during the period (after RMM index, not
26
27 355 shown). The similar patterns of the waves (Kelvin and ER) detected from the OLR and velocity
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29 356 potential anomalies (Figs. 6b and 6a) shows that the detection of convectively-coupled waves is
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31 357 consistent.

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38 358 In the present case the AEW wave train corresponds to the passage of the wet spell, and its
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40 359 amplification appears to start after the crossing of the Kelvin wave K2 and the Rossby wave ER
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42 360 (Fig. 6, Fig. 5c). The following sub-section 4.c analyses the influence of equatorial waves on this
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44 361 extreme event.

45 46 47 48 49 50 51 362 *c. Connection between equatorial waves and AEWs*

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54 363 Figure 7 shows maps of meridional mass transport (shading) along with the contribution of ER,
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56 364 MRG and AEW waves to this field (isolines) during the 3-day period from 30th August to 1st
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58 365 September. The evolution of the meridional mass transport shows the propagation of strong
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1
2 366 northerlies – between R1 and T2 – from 10°E on 30th August to the longitude of Ouagadougou on
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4 367 1st September, on which the Ouagadougou convective system develops, bordered by strong
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6 368 southerlies to the west and weaker southerlies to the east. As mentioned in Fig. 4, the propagation
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9 369 of this dipole of northerly and southerly winds over the Sahel is key to the event and is largely
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11 370 explained by the propagation of an AEW train (Fig. 7a, b, c). The AEWs even explain a large part of
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13
14 371 the signal very far into the southern hemisphere, down to 10°S, consistently with Kiladis *et al.*
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16 372 (2006, *e.g.*, their Fig. 3). The ER wave which develops further to the east at 15°E (Fig. 7d, e, f) also
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18 373 contributes to the southerly anomaly, but does not seem to reinforce the AEW at the longitude of the
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21 374 event. This will be further discussed with Fig. 8. The MRG wave contributes to the southerlies
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23 375 between T1 and R1 on 30 and 31 August (Fig. 7g, h). It is in phase with the AEW during these two
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26 376 days and thus reinforces the AEW. The maximum contribution of the MRG wave is in the 5–10°N
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28 377 band (Fig. 7g, h, i), which suggests a possible trapping at the meteorological equator near 7°N (Gill
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30 378 1980). Although of weaker magnitude than the AEW, the ER and the MRG waves seem to play a
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33 379 role in building the anomalous southerlies and northerlies, with the MRG and AEW in phase at the
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35 380 longitude of the event, and a possible role of ER further to the east.

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38 381 The quantitative contribution of each wave to the development of the anomalous southerlies and
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40 382 northerlies is further analysed with Fig. 8, which shows zonal profiles of the meridional mass
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43 383 transport and contributions averaged over the 5–15°N band. These allow for a clearer view of the
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45 384 different scales involved in the event.

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47
48 385 The ER wave (blue curve in Fig. 8) has a zonal wavelength of about 60° and propagates
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50 386 westward at $\sim 4 \text{ m s}^{-1}$. Its positive phase impacts Eastern Africa during the last 10 days of August (in
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52
53 387 agreement with Fig. 6). It drives a mean southerly flow, up to 1.5 m s^{-1} , that may largely contribute
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55 388 to the formation of the wide and long wet spell over the Eastern Sahel. For instance, on 27th August
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57 389 (Fig. 8a) the ER contributes more than the AEW (green curve) to southerlies east of 20°E.
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1
2 390 The MRG wave (red curve in Fig. 8) has a zonal wavelength of about 40° and propagates
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4 391 westward at $\sim 6.4 \text{ ms}^{-1}$. Although the MRG wave magnitude is weak ($\sim 1 \text{ ms}^{-1}$) as compared with
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6 392 AEWs ($\sim 4 \text{ ms}^{-1}$), its role in the occurrence of the extreme event of Ouagadougou cannot be
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9 393 neglected. Indeed the two waves (AEW and MRG) propagating at different speeds become in-phase
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11 394 on 30th August (Fig. 8d), which probably helped the intensification of the ridge R1.
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17 395 The above wave decomposition (Fig. 7 and 8) omits zonal wavelengths shorter than 2000 km, so
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20 396 it cannot explain the whole structure of the present extreme event. For instance on 28th August AEW
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22 397 and ER (green and blue curves in Fig. 8b) explain only one half of the intense southerly burst (black
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24 398 curve) occurring around 20°E at a scale less than 10° . It is suggested that small-scale processes such
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26
27 399 as convection may explain this structure associated with the genesis of the vortex T1 (Hall *et al.*
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29 400 2006, see section 5). It is also to be noticed that the arrival of Kelvin wave K2 over the Sahel cannot
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32 401 be seen on the meridional component but it is consistent with the westerly anomaly found ahead of
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34 402 the trough T2 (Fig. 4b).
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37 403 The time evolution from 1st August to 10th September of the vertical profile of meridional wind
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39 404 provided by the Abidjan soundings is shown in Fig. 9a. It corresponds to the location where the
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42 405 strong southerly burst reaches its maximum on 30th August. At low levels up to 850 hPa the flow is
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44 406 always northward corresponding to the monsoon and its meridional component reaches $6\text{--}7 \text{ ms}^{-1}$.
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46 407 Above, in the 850-500 hPa layer, a large oscillation (with fluctuations between -6 and 10 ms^{-1})
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48
49 408 occurs with a maximum amplitude near 700 hPa. Consequently, the southerly burst is mainly due to
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51 409 the deepening of the monsoon layer up to 500 hPa (in particular to the contribution of the 600-750
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53 410 hPa layer). Figure 9b provides a similar time-height series for ERA-I meridional wind averaged in
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56 411 the $[0 - 10^\circ\text{N}]$ band. It shows that the reanalysis captures a similar signal over a larger band, which
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58 412 lends confidence to the previous wave-analysis results. Other short-period wave trains are detected
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60 413 above 500 hPa in Fig. 9. Further studies will be needed to explain them and their role. A first wave

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2 414 train in the 500-300 hPa layer may contribute to the intensification and deepening of the monsoon
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4 415 flow. The second one at upper levels may be connected to the modulation of the TEJ through the
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6 416 convective activity of the ITCZ (Nicholson *et al.* 2007).
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13 417 5. From synoptic to convective scales

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22 418 *a. The breaking signature of the AEW*

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24 419 The longitude-time diagram of the TRMM rainfall and the ARPEGE 700-hPa meridional wind
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26 420 (Fig. 10a) illustrates the passage at Ouagadougou of the troughs T1 (30th August, 00 UTC) and T2
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28 421 (1st September, 18 UTC). Between T1 and T2, the ridge R1 is associated with a strong southerly
29
30 422 flow whose strength is maximum on 30th August between 12 and 00 UTC as already noted in Fig. 4.
31
32 423 Confirming Fig. 4, the latitude-time diagram (Fig. 10b) shows that the extreme event of
33
34 424 Ouagadougou (MCS1) occurred about 12 h before the passage of T2, in the northerly flow (Fig.
35
36 425 10a), and to the south of the intense AEJ core (Fig. 10b). The AEW breaking – defined by Cornforth
37
38 426 *et al.* (2017) as a very steep north-south oriented curvature of the wave streamlines (Fig. 4c) –
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40 427 reaches Ouagadougou on 31st August, 12 UTC (Fig. 10b). The breaking is so strong that a north-
41
42 428 westerly flow at 700 hPa (i.e. overturning) is observed during more than one day south of
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44 429 Ouagadougou (from 31th August 12 UTC to 2nd September at 00 UTC). After the passage of T2,
45
46 430 convection is suppressed during two days before the passage of another MCS (labelled MCS2 in
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48 431 Fig. 10b) of weaker intensity at the Ouagadougou meridian and linked to AEW3 with an AEJ core
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50 432 located more to the north (16 – 20°N). This last trough T3 of the AEW train will be the strongest
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52 433 and will be responsible of the genesis of the hurricane Fred (Fig. 5).
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2 434 Vertical cross-sections of the relative vorticity field (not shown) and the barotropic structure of
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4 435 the winds (Fig. 4 and 9) indicate that the Ouagadougou extreme event corresponds to a deep vortex
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6 436 (AEW2 through T2), which is almost vertically oriented between the surface and 300 hPa, and
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9 437 maximum in the 600-500 hPa layer. To better track this vortex we use the barotropic component of
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11 438 the low-level circulation, being the flow averaged in the 950-600 hPa layer, and analyse the
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13
14 439 corresponding streamlines, vorticity and wind intensity (Fig. 11). This "barotropic" diagnostic
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16 440 allows an easier detection of strong AEWs. On 29th August, in the lee of AEW1, the initiation of
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18 441 AEW2 over Lake Chad occurs simultaneously with the combination of the AEJ core and the strong
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21 442 southerly flow (Fig. 11a). During 24 hours, AEW2 stays at the same location (2° NW of Lake Chad)
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23
24 443 and intensifies. It further evolves into a strong closed circulation isolated from AEW1 (Fig. 11b and
25
26 444 c), and propagates westwards (8.2 m s⁻¹) until it reaches the Atlantic on 3rd September, 12 UTC
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28
29 445 (Fig. 11d). The vortex size is small, and its intensity of more than 20x10⁻⁵ s⁻¹ (red colour in Fig.
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31 446 11), is maximum during the Ouagadougou event (Fig. 11c). When reaching Fouta Djallon (10°W,
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33
34 447 10°N, Fig. 11d), the meso-vortex becomes weaker (~10 x10⁻⁵ s⁻¹) but wider.

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37 448 It is to be noticed that this scenario is reproduced for AEW3 (not shown), which is initiated and
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39 449 intensified in the lee of AEW2 on 3rd September at 00 UTC (see red circle in Fig. 11d for its
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41
42 450 location), but at a more northern latitude (16°N, 1°E). During 36 hours it intensifies while slowly
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45 451 moving westwards (4.2 ms⁻¹) with the help of a strong ridge as for the previous AEW2 scenario.
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48 452 Then it follows a south-westward trajectory and accelerates (13 ms⁻¹) until it reaches the coast on
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50 453 6th Sept at 12 UTC and where it initiates hurricane Fred.

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56 454 *b. Coupling between the vortex and the convection*
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2 455 To analyse the link between the organized convection and the vortex signature of the
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4 456 Ougadougou event, **Figures 12a to d** show the PCT parameter for a selection of four of the 18
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6 457 AMSRE, SSMI and TRMM satellite imagery snapshots acquired in the vicinity of the vortex. It
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9 458 corresponds to the sampling of the extreme event of Ouagadougou MCS1 tracked between 31st
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11 459 August at 1733 UTC and 1st September at 1328 UTC. The location of the “barotropic vortex”
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14 460 AEW2 as detected in **Fig. 11** is indicated by the black circle. Whereas the IR Meteosat Images
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16 461 show a large almost circular cloud shield capping MCS1 (not shown), the PCT parameter allows the
17
18 462 identification of narrower convective elements (red/black shading) surrounded by widespread
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20
21 463 stratiform elements (green shading). The second row of **Fig. 12** (e to h) provides the corresponding
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23 464 mean wind vector in the 950-600 hPa layer and accumulated rain over 1 hr.

25
26 465 On 31th August, the snapshot at 1733 UTC (**Fig. 12a**) reveals the structure of a squall-line (SL)
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28 466 located ahead of the vortex centre of T2. **Figure 12e** indicates that at 18 UTC this SL is about 2°
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30
31 467 west of the vortex, on the southern flank of the AEJ core (solid contour). Six hours later, the narrow
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33 468 arc-shaped band of the SL convective element (black-red shading in **Fig. 12b**) is still identified, but
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36 469 now embedded in a widespread area of moderate-to-weak precipitation (yellow to green) as
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38 470 depicted by the snapshot at 0103 UTC. At that time, Ouagadougou (white dot in **Fig. 12b**) is at the
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40
41 471 western edge of the approaching westward-moving SL and the main precipitation area in the south-
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43 472 west sector of the vortex still at a distance of 2° (**Fig. 12f**). At 06 UTC the system appears as a large
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45 473 (4° diameter) "comma" structure (**Fig. 12c, g**) with the heaviest convective rains just above
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48 474 Ouagadougou, in the south-west sector of the vortex at a 2° radial distance. To the north the SL is
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50 475 still active ahead the AEJ core reaching its maximum intensity (**Fig. 12c**). At 12 UTC the convective
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52 476 vortex is slowly decreasing. The snapshot at 1330 UTC (**Fig. 12d**) exhibits a large area of moderate
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55 477 precipitation around Ouagadougou, whereas the convective part is over northern Ghana about 3°
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57 478 south of the vortex centre (**Fig. 12h**), consistent with the southward track of the MCS1 at the end of
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59 479 its life cycle. This scenario is consistent with the observed rain record described in section 3a and in
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2 480 **Fig. 1a**. The Ouagadougou rainfall peak between 7-8 UTC (97 mm) corresponds to the slow
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4 481 passage of the intense convective elements seen in **Fig. 12c** (red spots).
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7 482 An analysis of available SYNOP observations at Ouagadougou (not shown, Chapelon personal
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9 483 communication) showed that the convective system MCS1 was not associated with surface gusts,
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11 484 nor with convective cold pools contrary to the typical Sahelian SLs. Indeed the mid-levels were
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13 485 very humid (wet potential temperature $\theta'_w = 23^\circ\text{C}$) as compared with surface temperature 26°C ,
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15 486 which prevents strong rain evaporation and cold pool formation as the main driver of the MCS
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17 487 organisation. In contrast with the SL type, the extreme rainfall is associated with a strong meso-
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19 488 vortex, about 2° downshear of its centre, in a region of strong northerly wind. Due to the AEJ, the
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21 489 shear is westward. Such an organisation of convection resembles the conceptual model proposed by
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23 490 Raymond and Jiang (1990) where the diabatic effects of moist convection in a sheared environment
24
25 491 can contribute to force vertical motion. A key factor in obtaining this organisation is the pre-
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27 492 existence of a moist anomaly over the northern Sahel. This ensures that northerly wind ahead of the
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29 493 vortex (or of the AEW trough) brings moister air where the ageostrophic forced circulation induces
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31 494 ascent, which supports convection. On the contrary for a Sahelian SL, as northerlies bring drier air,
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33 495 convection is maintained by the cold pool propagation generated by rain evaporation in the dry air.
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35 496 The companion paper will further study the interactions between the mesoscale vortex and the
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37 497 convection using convection-permitting simulations.
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53 498 6. Summary and conclusion

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58 499 This first paper presents a multi-scale analysis of an extreme rain event that occurred over
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60 500 Burkina Faso on 1st September 2009, with a record for the Western Sahel of 263 mm observed at

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2 501 Ouagadougou. Through THORPEX-Africa, daily rain-gauge data from a network of 579 stations
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4 502 over West Africa were collected over a 20-day period centred on 1st September. This dataset is very
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7 503 valuable for the present study and for further ones, in particular to assess the skills of NWP systems
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9 504 to forecast such HIW events.

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12 505 This case study occurred during a wet spell phase that crossed the whole Sahel in the last 10 days
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14 506 of August and the first 10 days of September, marked by heavy precipitation leading to floods in
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17 507 many Sahelian countries (e.g., Niger, Senegal, Mauritania, Gambia). Rain gauge data confirm the
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19 508 intense rainfall activity over West Africa during this period - also associated with the occurrence of
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22 509 a large number of strong events (22) with daily recorded precipitation above 100 mm - producing
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24 510 much more precipitation than the available water vapour typically stored in the atmospheric column
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26 511 ($PW_{\max} \sim 65$ mm). Although, the Ouagadougou precipitation record is the most extreme, it is not
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29 512 isolated. When compared with rain gauge data, TRMM estimates capture quite well the
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31 513 Ouagadougou extreme event and its trajectory over Burkina Faso. Observed local maxima are,
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33 514 however, underestimated by a factor of two, possibly due to the lower resolution of the TRMM
34
35
36 515 estimate.

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38
39 516 The 2009 wet season appears as a wet dipole year over the Sahel as defined by Nicholson
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41 517 (2009). Rainfall is weaker in June and July corresponding to a late onset, but the deficit is made up
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43
44 518 during the very active period of August-September. It is to be noticed that the footprint of the
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46 519 Ouagadougou extreme event is detected at the seasonal scale, as well as for intense events over
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48 520 Senegal, South Mauritania and Niger.

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51 521 The SST anomaly patterns in July-August 2009 of the Atlantic cold tongue, the Tropical Atlantic
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53 522 Dipole and the Mediterranean Sea are favourable factors for the WAM northward penetration, and
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55
56 523 consistent with the classification of 2009 as a Dipole wet year. On the contrary, the impact of El
57
58 524 Niño, Indian Ocean warming and negative NAO on the WAM are unclear at this point. Nevertheless
59
60 525 the Atlantic warm anomaly in the northern hemisphere due to the warm phase of the Atlantic Multi-

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2 526 decadal Oscillation appears to favour Sahel precipitation during El Niño as suggested by
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4 527 Rodriguez-Fonseca et al. (2011).

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10 528 At synoptic scales the Ouagadougou extreme event was associated with a well pronounced
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13 529 “breaking” AEW, whose six favourable factors have been identified by forecasters in several case
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15 530 studies and proposed in the synoptic chapter 2 of the “West African Forecaster's Handbook”
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17 531 (Cornforth *et al.* 2017). The present study confirms four of them:

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20 532 (1) *A pre-existing significant monsoon flow penetration over the eastern Sahel*, resulting in a
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22 533 large-scale wet spell (positive anomaly of PW, see Fig. 5b) over the Eastern Sahel, that
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24 534 propagates westward.
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26
27 535 (2) *A train of AEWs* (see Fig. 4 and 5a). The trough of the second AEW appears to be reinforced
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29 536 by the first one. A strong ridge develops between them, with dryer conditions, which slows
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31 537 down the propagation of the second trough and allows its intensification. This is consistent
32
33 538 with the upstream propagation of AEW energy discussed in Diaz and Aiyyer (2013).
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36 539 (3) *An intense AEJ core* (see Fig. 4 and 5a), associated with the growing second trough.
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39 540 (4) *Interaction with a deep and intense monsoon flow from the equatorial band*. This monsoon
40
41 541 burst can be detected by the southwesterly wind between the surface and 600 hPa, reaching
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43 542 more than 7.5 m s^{-1} (see Fig. 7).

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48 543 The last two factors identified by Cornforth *et al.* (2017) (*i.e.* (5) *Coupling with midlatitudes* and
49
50 544 (6) *Intense convective activity to the south of the AEJ*) need further analysis to assess their
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52 545 respective roles for this extreme event.

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57 546 The present study further suggests additional specific features that may have played a crucial
58
59 547 role in favouring the occurrence of this extreme event. At a regional scale the passage of a Kelvin

1
2 548 wave (Fig. 6) over Africa before the event may have generated favourable conditions for convection
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4 549 (large-scale divergence at 200 hPa, Fig. 6a), southerly burst (Fig. 5c) and AEW activity. It is
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6
7 550 supported by Ventrice et al. (2011) who showed that MJO phase 3 is favourable to AEW activity
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9 551 over West Africa and can help in initiating convective systems. The composite study of Ventrice and
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11 552 Thorncroft (2013) concluded that AEW activity increases during and after the passage of the
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13
14 553 convectively active phase of strong convectively-coupled Kelvin waves. This mechanism seems to
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16 554 be at work for the Ouagadougou extreme event (Fig. 6). It is also to be noticed that in both studies
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18
19 555 with a similar Kelvin wave scenario, a hurricane resulted (Alberto 2000 and Fred 2009).

20
21 556 In the present study, equatorial Rossby waves appear as an important supplementary ingredient
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23
24 557 whose envelop corresponds to the strong convective activity that crossed Africa westwards during
25
26 558 the last 10 days of August. The equatorial Rossby wave passage is associated with a deepening and
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28
29 559 enhancement of the southerly component of the monsoon flow mainly over the central and eastern
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31 560 Sahel (Fig. 7d ,e , f), which may contribute to generate the large-scale wet spell (factor (1) above).
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33 561 At a finer scale, trapped westward-propagating MRG waves have been detected in the 5 – 10°N
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35
36 562 band allowing a modulation of the mean meridional wind in the surface to 600 hPa layer (factor (4)
37
38 563 above). Combined with AEW1, MRG waves helped to reinforce the monsoon burst and the
39
40 564 associated ridge behind the AEW1 trough on 30th August resulting in the amplification of the AEW2
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43 565 (factor (2) above).

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45 566 The precipitating system itself does not resemble a fast moving squall-line which is the dominant
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48 567 type of MCS observed over the Sahel. It corresponds to a moist, slowly-propagating vortex which
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50 568 allows the accumulation of substantial rainfall, while no surface gust winds nor convective cold
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53 569 pools were observed at the surface. The main precipitation area is located about 2° downshear
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55 570 (westward due to the AEJ) of the centre of this strong mesovortex, in a region of strong northerly
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57 571 wind. In a companion paper we will analyse the physical mechanisms involved in the development
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1
2 572 of the moist vortex associated with this extreme rain event at a small-scale, by using the
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4 573 computation of budgets of heat, moisture and vorticity from a convection-permitting simulation.
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7 574 This study suggests that this extreme rainfall event results from the combination of several
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10 575 favourable ingredients arising at different scales such as SST patterns, equatorial waves including
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12 576 MJO, Kelvin, ER, MRG and an AEW train, as well as a pre-existing large-scale wet spell. Further
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14 577 studies are necessary to confirm the relative importance of these ingredients and of their
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16
17 578 combination based on other case studies of extreme events and on statistical studies. If confirmed,
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19 579 these results may increase our skills in anticipating them, especially if the NWP and climate models
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21
22 580 are able to represent and forecast those favourable ingredients.
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31
32
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47
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590 **Table**

| Wave type | Propagation | Period T | Zonal wave number k | Zonal wavelength range | Variables from ERA-I |
|----------------------|-------------|-------------|---------------------|------------------------|-----------------------------------------|
| MJO | Eastward | 30-96 days | 1-5 | 8 000 – 40 000 km | VP ₂₀₀ , OLR, V _m |
| Kelvin | Eastward | 25-30 days | 1-14 | 2 800 – 40 000 km | VP ₂₀₀ , OLR, V _m |
| ER | Westward | 9.7-48 days | 1-10 | 4 000 – 40 000 km | VP ₂₀₀ , OLR, V _m |
| MRG | Westward | 3-96 days | 1-10 | 4 000 – 40 000 km | V _m |
| TD-type (AEW) | Westward | 2-5 days | 6-20 | 2 000 – 6 600 km | V _m |

591 **Table 1:** Characteristics of the filters in the space-time spectral domain used to extract main types
 592 of Equatorial waves. These filters are similar to those of Roundy and Frank (2004) (see their Fig. 4
 593 for illustration). Depending on the wave type, the filtering is applied to different ERA-I variables:
 594 Velocity potential at 200 hPa (VP₂₀₀), OLR and the meridional mass transport (V_m) defined as the
 595 mass-weighted 950-600 hPa layer-averaged meridional wind.

596 **Captions**

597 **Figure 1:** (a) 2-day accumulated precipitation (mm) over Burkina Faso from 31st August at 06 UTC
 598 to 2nd September at 06 UTC as observed (coloured points) and as estimated by TRMM (red
 599 isolines). (b) Observed extrema of daily precipitation over West Africa during the 20-day period
 600 from 21st August to 10th September. Values above 100 mm/day (red points) are indicated with the
 601 date of occurrence, for instance 120/9 means 120 mm observed on 9th September. (c) and (d)
 602 Accumulated precipitation (mm) over the same 20-day period as estimated by TRMM and
 603 observed, respectively. (e) Accumulated TRMM precipitation (mm) in JAS 2009 and (f) its anomaly
 604 (mm) from the 1998-2014 TRMM climatology. The 1° square around Ouagadougou is outlined in

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2 605 red in all panels, and the Burkina Faso zoom of panel (a) is superposed on all other panels in black
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4 606 or white.

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9 607 **Figure 2:** SST anomalies ($^{\circ}\text{K}$) during July-August 2009 from the Reynolds climatology over the
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11 608 32-years period (1982-2014).

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16 609 **Figure 3:** (a) TRMM precipitation evolution for the year 2009 (mm/day), averaged over a 1° square
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18 610 around Ouagadougou [$12 - 13^{\circ}\text{N}$; $1 - 2^{\circ}\text{W}$] (red square in Fig. 1). Raw daily data are indicated with
19 611 white bars. The orange shaded area corresponds to the climatological (1998-2013) annual cycle.
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21 612 The 2009 annual cycle is indicated with the dashed black curve and obtained with a 90-day low-
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23 613 pass spectral filter applied on raw precipitation. The intraseasonal scale is emphasized with a solid
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25 614 black curve and obtained with a 10-day low-pass spectral filter. Red curves in Fig. 2a correspond to
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27 615 the accumulated rain (mm) since 1st January for the climatology (solid red line) and the year 2009
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29 616 (dashed red line). (b) Wavelet analysis of TRMM precipitation averaged over the 1° square around
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31 617 Ouagadougou and 90-day high-pass filtered.

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40 618 **Figure 4:** Maps of the horizontal wind vector at 700 hPa stronger than 5 ms^{-1} (left column), and at
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42 619 925 hPa stronger than 2.5 ms^{-1} (right column). At 700 hPa light pink shading for wind speed above
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44 620 12 ms^{-1} (left column) outlines the AEJ cores, whereas at 925 hPa anomalies of precipitable water
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46 621 PW are superposed to highlight moister patches ($>5\text{mm}$ light gray shading) and dryer patches (<5
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48 622 mm dark gray one). For all panels, precipitation rate (mm/hr) are marked with colour shading. Data
49
50 623 from ERA-I are shown at 06 UTC on 30th August (a,d), 31st August (b, e) and 1st September (c, f).
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52 624 The location of troughs T1, T2 and T3 (red solid lines) and ridge R1 (red dashed line) are marked in
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54 625 all panels.

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2 626 **Figure 5:** Longitude-time diagrams between 40°W and 40°E from 20th August to 10th September
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4 627 2009 for: (a) vorticity (10^{-5} s^{-1}) at 700 hPa, (b) precipitable water anomaly PW (mm) and (c)
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6 628 meridional mass transport (ms^{-1}) defined as the mass-weighted 950-600 hPa layer-averaged
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8 629 meridional wind. Fields are extracted from the ERA-I reanalysis and averaged in the 10 – 18°N
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10 630 band. Zonal wind averaged over the 700-600 hPa layer is superimposed on panel (a) only for strong
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12 631 easterlies to highlight the AEJ intensity (isolines between -15 and -20 ms^{-1} with a 2.5 ms^{-1} interval).
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14 632 Also the trough of each AEW (labelled from T1 to T3) corresponding to the line of maximum
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16 633 vorticity is superposed on all panels (dotted black or yellow lines). The passage of the wet spell
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18 634 corresponding to a band of positive PW anomaly (b), is outlined by the blue lines on all panels. The
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20 635 white dot indicates the location of Ouagadougou event. The black and blue heavy dashed arrows
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22 636 superposed on panel (c) correspond to the track of the Kelvin [K2] and Rossby [ER] waves,
23
24 637 respectively detected in Fig. 6.
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33 638 **Figure 6:** Longitude-time diagrams from 1st August to 10th September of daily anomalies of (a)
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35 639 velocity potential ($10^6 \text{ m}^2 \text{ s}^{-1}$) at 200 hPa and (b) OLR (W m^{-2}). Anomalies are averaged in the 5°N –
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37 640 15°N band and are relative to the ERA-I and OLR climatologies over the 1979-2014 period.
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39 641 Favourable phases for convection are superimposed for MJO (black dash-dot isolines), Kelvin
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41 642 (black dashes) and Equatorial Rossby waves (blue dashes). Isolines are plotted at -1.5 , -3 and -6×10^6
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43 643 $\text{m}^2 \text{ s}^{-1}$ for (a), and at -5 , -10 and -15 W m^{-2} for (b). The 3 black circles mark the the location of the
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45 644 trough T2 on 30th, 31st August and 1st September. Africa is delimited by vertical dashed lines
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47 645 (between 15°W and 45°E).
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54 646 **Figure 7:** Daily mean maps of the meridional mass transport (colour, in m s^{-1}) defined as the mass-
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56 647 weighted 950-600 hPa layer-averaged meridional wind, with the contributions (isolines, 0.5 m s^{-1}
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58 648 interval, northerlies dashed) of the AEW, ER and MRG waves (left, middle and right columns,
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1
 2 649 respectively) on 30th 31st August and 1st September (upper, middle and bottom rows, respectively).
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 4 650 The black triangle and cross correspond to the Abidjan and Ouagadougou locations, respectively.
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 7 651 The location of troughs T1 and T2 (red solid lines) and ridge R1 (red dashed line) have been
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 9 652 superposed on all panels.

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 14 653 **Figure 8:** Zonal distribution of the meridional mass transport (m s^{-1}) defined as the mass-weighted
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 16 654 950-600 hPa layer-averaged meridional wind, at 00 UTC averaged in the 5 – 15°N band (black
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 18 655 curve) and the corresponding contributions of the ER (blue), MRG (red) and AEW (green) waves.
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 21 656 Black arrows represent the propagation of the AEW troughs T₁ and T₂, whereas blue and red dashed
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 23 657 arrows track the maximum of southerly wind associated with ER and MRG waves, respectively.
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 26 658 The black dashed line represents the total contribution of ER, MRG and AEW waves. The panel
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 28 659 series show the evolution from 27th August to 1st September 2009 at a daily frequency.

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 33 660 **Figure 9:** Evolution between the 1st August and the 10th September of the vertical profile of
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 35 661 meridional wind (m s^{-1}) (a) observed twice a day at Abidjan [5.18°N, 4°W], and (b) averaged in the
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 37 662 [0° – 10°N] band at the same longitude (4°W) from ERA-I reanalysis.

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 42 663 **Figure 10:** (a) Longitude-time and (b) latitude-time diagrams of 3-hour accumulated TRMM
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 44 664 rainfall (colour in mm h^{-1}) from 30th August to 4th September. The meridional wind at 700 hPa
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 46 665 (isoline in ms^{-1}) of the ARPEGE reanalysis is superposed in panel (a), with northerlies dashed.
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 49 666 Horizontal wind vector at 700 hPa is added to panel (b) with isolines of intensity at 15 and 20 ms^{-1}
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 51 667 to outline the AEJ core. Fields are averaged in the [10 – 14°N] latitude-band for (a) and in the
 52
 53 668 [2.5°W – 0.5°W] longitude-band for (b) surrounding Ouagadougou. The longitude and latitude of
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 55 669 Ouagadougou are indicated by vertical and horizontal dashed lines on panels (a) and (b),
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 57 670 respectively. Troughs T1 and T2, and ridge R2 are indicated and labelled in red on both figures.
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9 671 **Figure 11:** Tracking of the vortex associated with AEW2 for the ARPEGE reanalysis as visualized
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11 672 by the streamlines (blue) for the mean flow mass-weighted averaged in the 950-600 hPa layer, with
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13 673 mean horizontal wind speed (back isolines above 7.5 ms^{-1}) and relative vorticity (colour, 10^{-5} s^{-1}).
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15
16 674 The analysis is performed at a 36 hour interval between the 29th August at 06 UTC and 3rd
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18 675 September at 00 UTC. Crosses indicate the location of the vortex centre every 3 hours, whereas the
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20 676 red arrows show the progression of the AEW1 and AEW2 troughs. The red circle on panel (d)
21
22 677 corresponds to the first detection of T3.
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28 678 **Figure 12:** Upper row (a to d): satellite snapshots of the Polarized Corrected Temperature (PCT in
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30 679 $^{\circ}\text{K}$) in the vortex vicinity indicated by the black circle (times are UTC). Light precipitation (1-3
31
32 680 mm/h) is delimited by $\text{PCT} > 255 \text{ K}$ (green shading), while convective precipitation (10-12 mm/h)
33
34 681 correspond to $\text{PCT} < 225 \text{ K}$ (warm shading). The white dot corresponds to the Ouagadougou
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36 682 location. The black circle indicates the location of the mean vortex centre as detected by the
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38 683 ARPEGE reanalysis.
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42 684 Lower row (e to h): one-day evolution at a 6 hour frequency from 31st September at 18 UTC of
43
44 685 the GSMAP accumulated rain over 1 hr (colour in mm) and of the mean wind vector field over the
45
46 686 950-600 hPa layer as analysed by ARPEGE. Maps are drawn relative to the mean vortex centre in a
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48 687 10° square domain. Heavy black contours outline wind speed higher than 12.5 ms^{-1} .
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For Peer Review

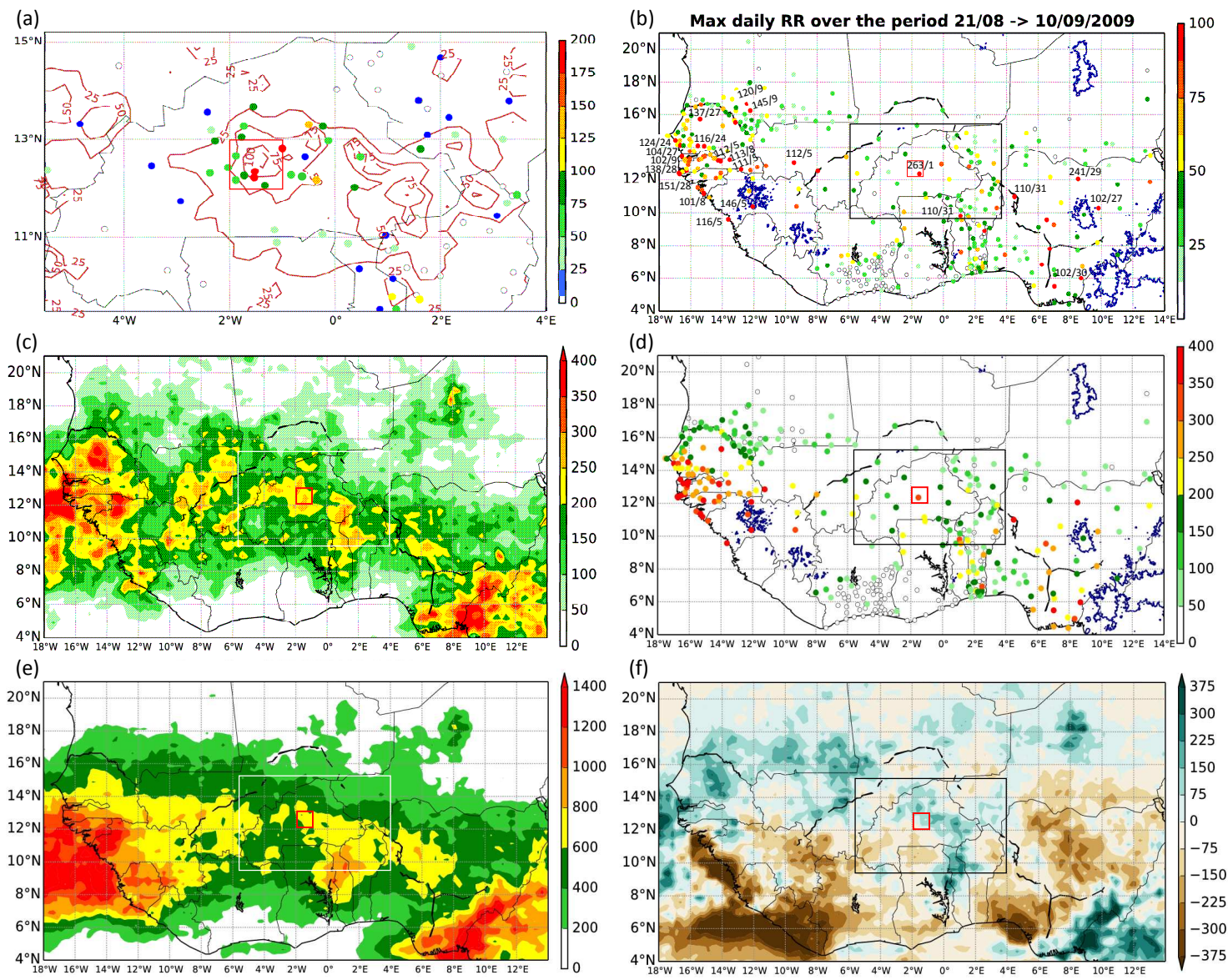


Figure 1:(a) 2-day accumulated precipitation (mm) over Burkina Faso from 31st August at 06 UTC to 2nd September at 06 UTC as observed (coloured points) and as estimated by TRMM (red isolines). (b) Observed extrema of daily precipitation over West Africa during the 20-day period from 21st August to 10th September. Values above 100 mm/day (red points) are indicated with the date of occurrence, for instance 120/9 means 120 mm observed on 9th September. (c) and (d) Accumulated precipitation (mm) over the same 20-day period as estimated by TRMM and observed, respectively. (e) Accumulated TRMM precipitation (mm) in JAS 2009 and (f) its anomaly (mm) from the 1998-2014 TRMM climatology. The 1° square around Ouagadougou is outlined in red in all panels, and the Burkina Faso zoom of panel (a) is superposed on all other panels in black or white.

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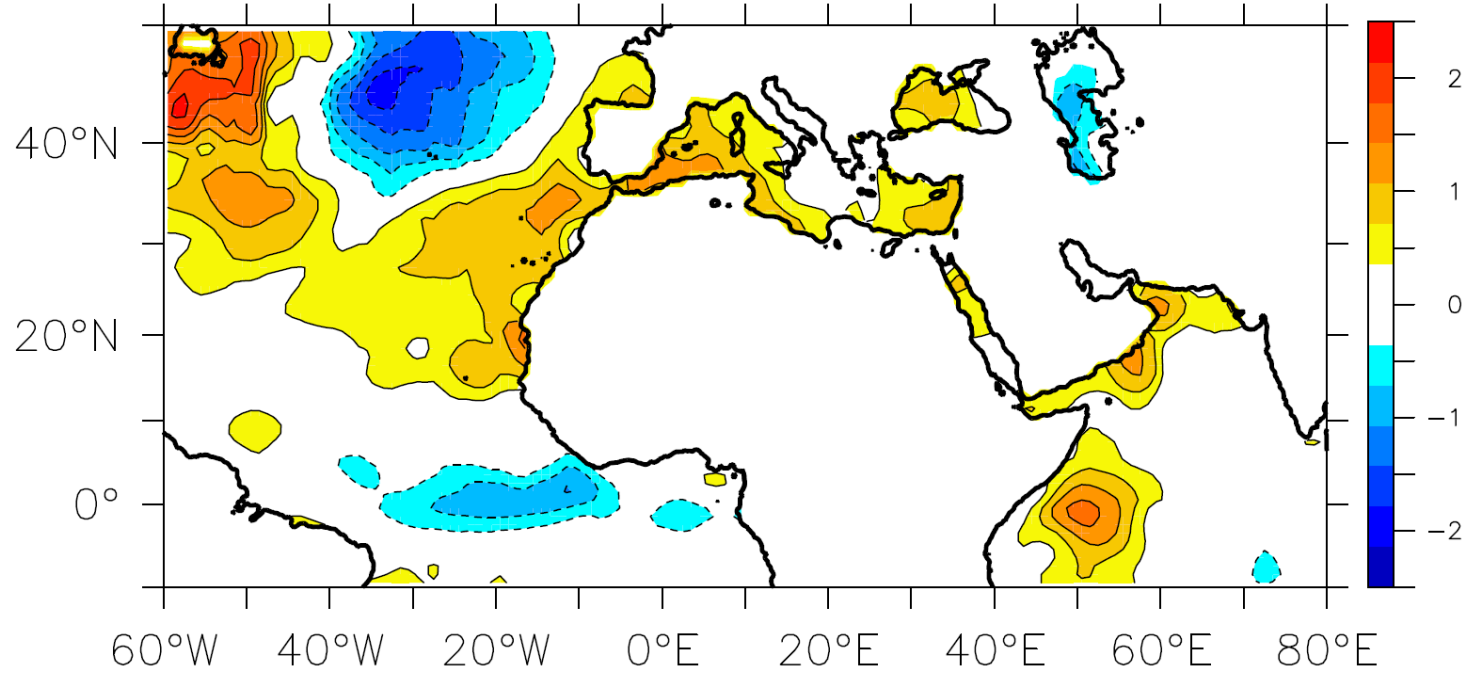


Figure 2: SST anomalies ($^{\circ}\text{K}$) during July-August 2009 from the Reynolds climatology over the 32-years period (1982-2014).

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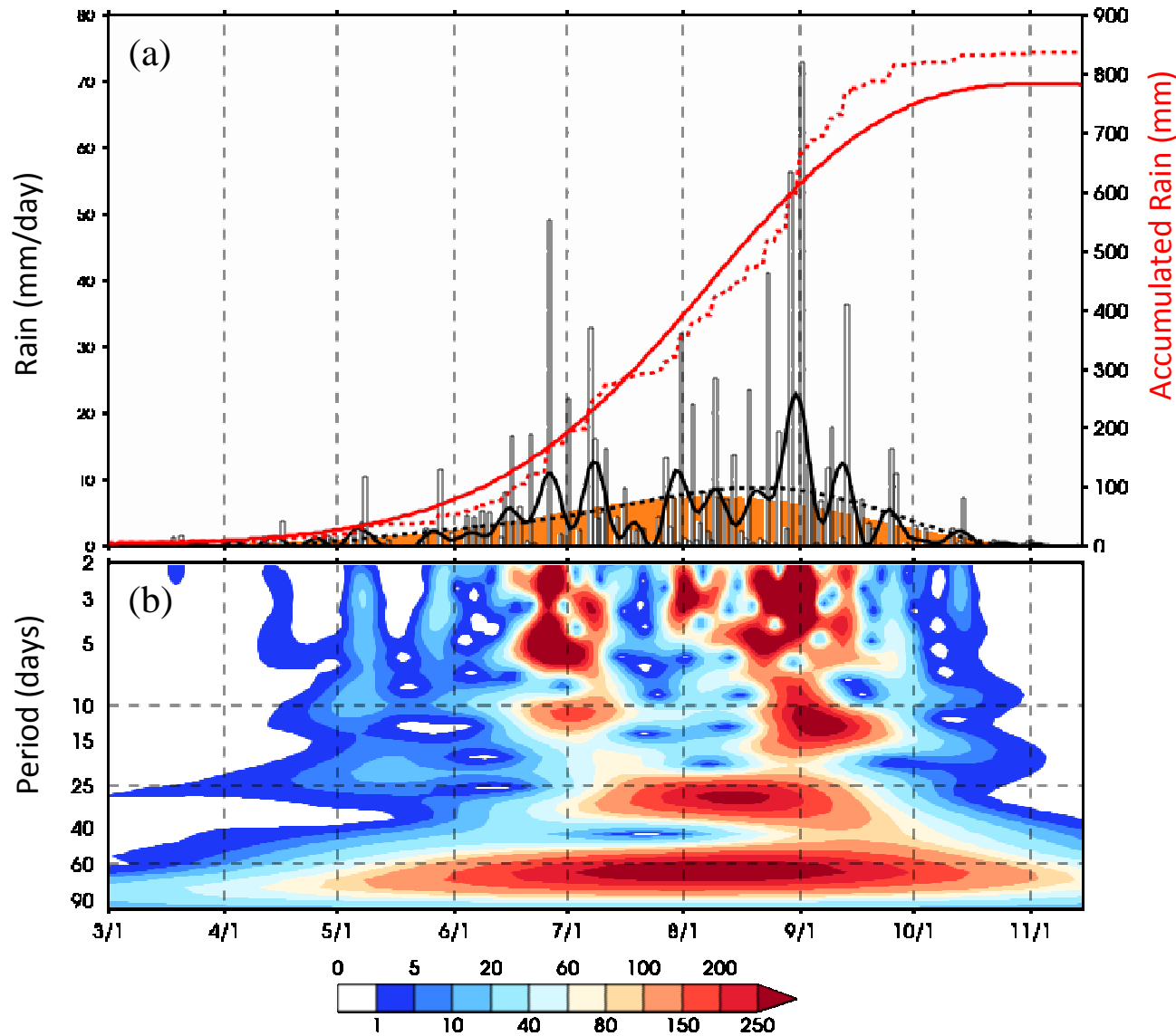


Figure 3:(a) TRMM precipitation evolution for the year 2009 (mm/day), averaged over a 1° square around Ouagadougou [$12 - 13^\circ\text{N}$; $1 - 2^\circ\text{W}$] (red square in Fig. 1). Raw daily data are indicated with white bars. The orange shaded area corresponds to the climatological (1998-2013) annual cycle. The 2009 annual cycle is indicated with the dashed black curve and obtained with a 90-day low-pass spectral filter applied on raw precipitation. The intraseasonal scale is emphasized with a solid black curve and obtained with a 10-day low-pass spectral filter. Red curves in Fig. 2a correspond to the accumulated rain (mm) since 1st January for the climatology (solid red line) and the year 2009 (dashed red line). (b) Wavelet analysis of TRMM precipitation averaged over the 1° square around Ouagadougou and 90-day high-pass filtered.

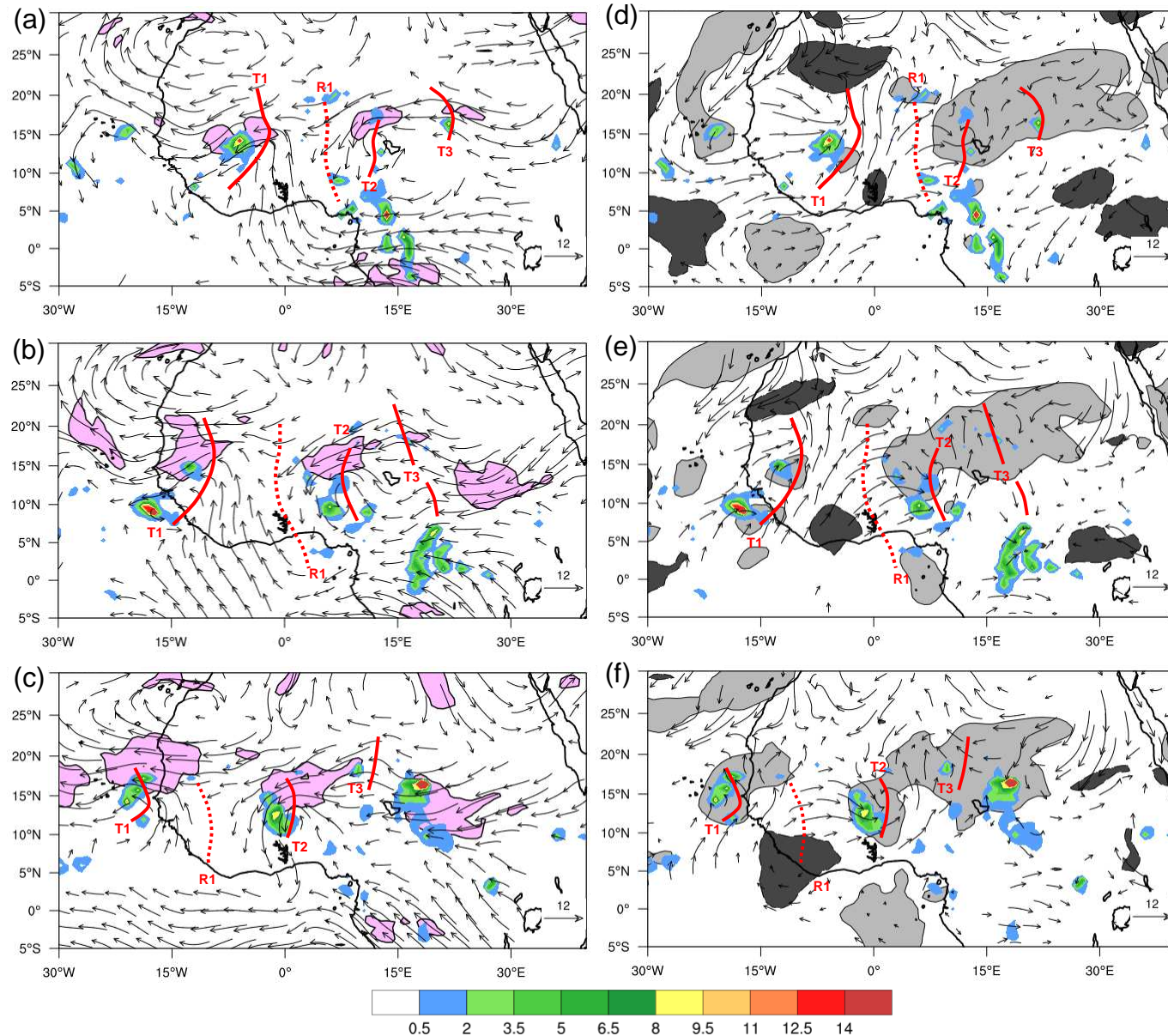


Figure 4: Maps of the horizontal wind vector at 700 hPa stronger than 5 ms⁻¹ (left column), and at 925 hPa stronger than 2.5 ms⁻¹ (right column). At 700 hPa light pink shading for wind speed above 12 ms⁻¹ (left column) outlines the AEJ cores, whereas at 925 hPa anomalies of precipitable water PW are superposed to highlight moister patches (>5mm light gray shading) and dryer patches (<5 mm dark gray one). For all panels, precipitation rate (mm/hr) are marked with colour shading. Data from ERA-I are shown at 06 UTC on 30th August (a,d), 31st August (b, e) and 1st September (c, f). The location of troughs T1, T2 and T3 (red solid lines) and ridge R1 (red dashed line) are marked in all panels.

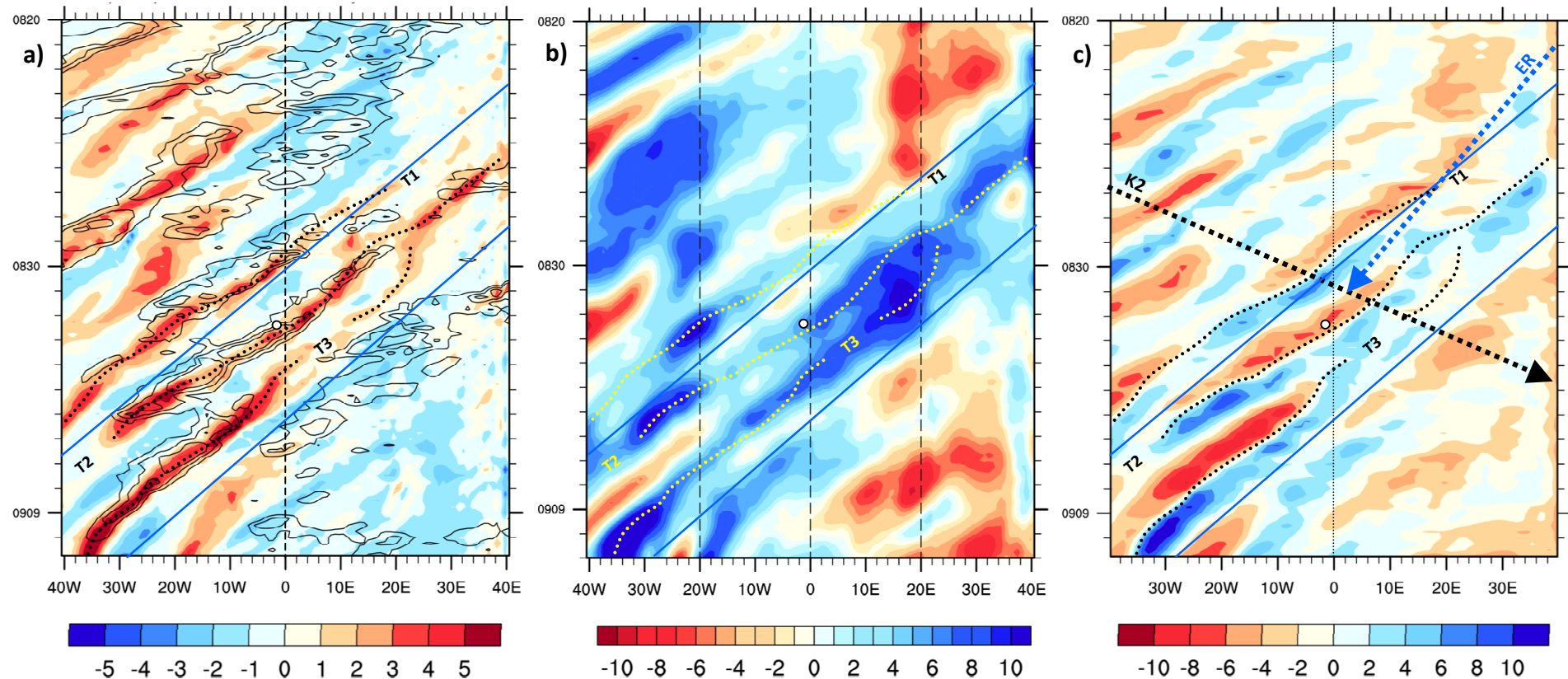


Figure 5: Longitude-time diagrams between 40°W and 40°E from 20th August to 10th September 2009 for: (a) vorticity (10^{-5} s^{-1}) at 700 hPa, (b) precipitable water anomaly PW (mm) and (c) meridional mass transport (ms^{-1}) defined as the mass-weighted 950-600 hPa layer-averaged meridional wind. Fields are extracted from the ERA-I reanalysis and averaged in the 10 – 18°N band. Zonal wind averaged over the 700-600 hPa layer is superimposed on panel (a) only for strong easterlies to highlight the AEJ intensity (isolines between -15 and -20 ms^{-1} with a 2.5 ms^{-1} interval). Also the trough of each AEW (labelled from T1 to T3) corresponding to the line of maximum vorticity is superposed on all panels (dotted black or yellow lines). The passage of the wet spell corresponding to a band of positive PW anomaly (b), is outlined by the blue lines on all panels. The white dot indicates the location of Ouagadougou event. The black and blue heavy dashed arrows superposed on panel (c) correspond to the track of the Kelvin [K2] and Rossby [ER] waves, respectively detected in Fig. 6.

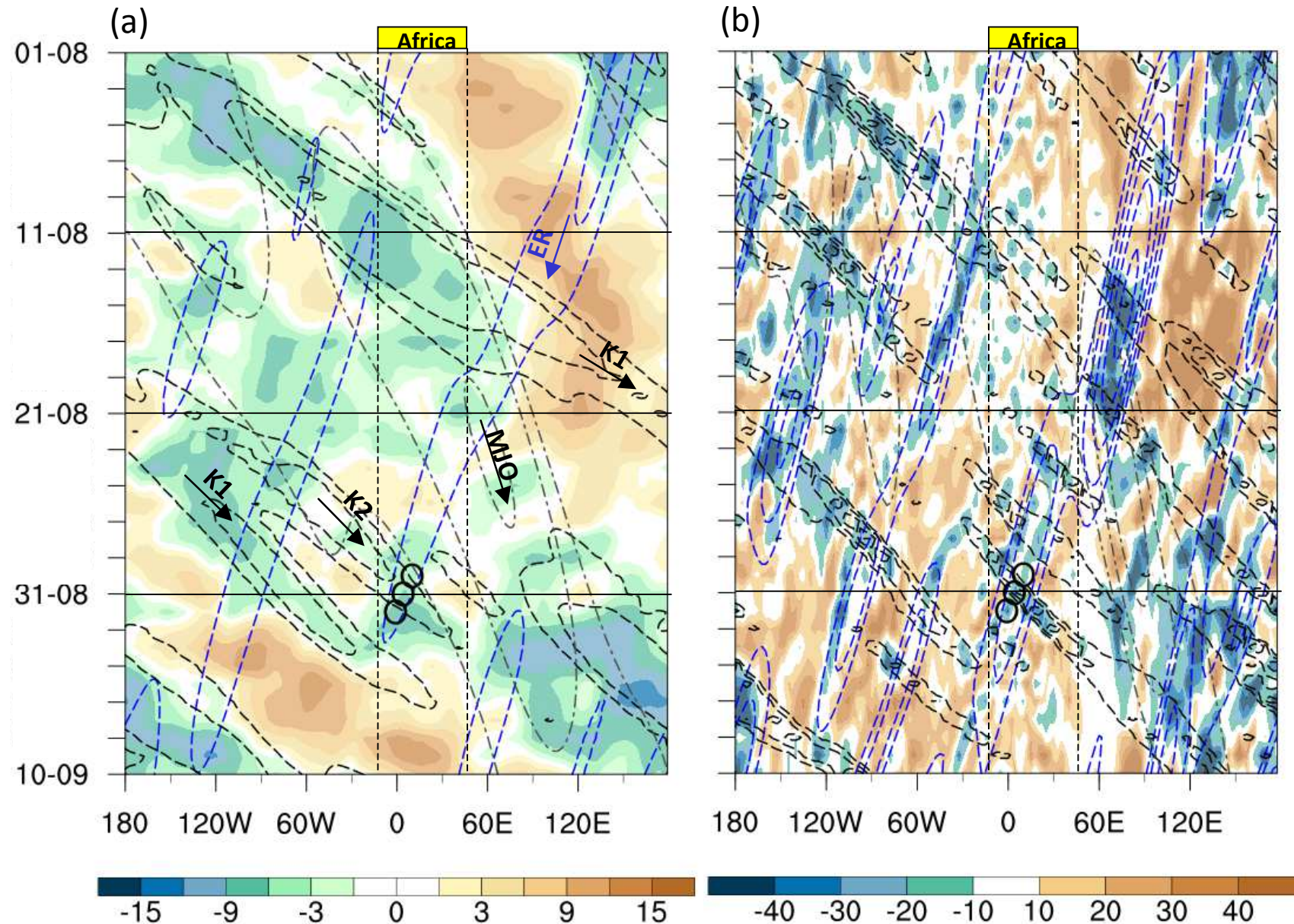


Figure 6: Longitude-time diagrams from 1st August to 10th September of daily anomalies of (a) velocity potential ($10^6 \text{ m}^2 \text{ s}^{-1}$) at 200 hPa and (b) OLR (W m^{-2}). Anomalies are averaged in the $5^\circ \text{N} - 15^\circ \text{N}$ band and are relative to the ERA-I and OLR climatologies over the 1979-2014 period. Favourable phases for convection are superimposed for MJO (black dash-dot isolines), Kelvin (black dashes) and Equatorial Rossby waves (blue dashes). Isolines are plotted at $-1.5, -3$ and $-6 \text{ } 10^6 \text{ m}^2 \text{ s}^{-1}$ for (a), and at $-5, -10$ and -15 W m^{-2} for (b). The 3 black circles mark the the location of the trough T2 on 30th, 31st August and 1st September. Africa is delimited by vertical dashed lines (between 15°W and 45°E).

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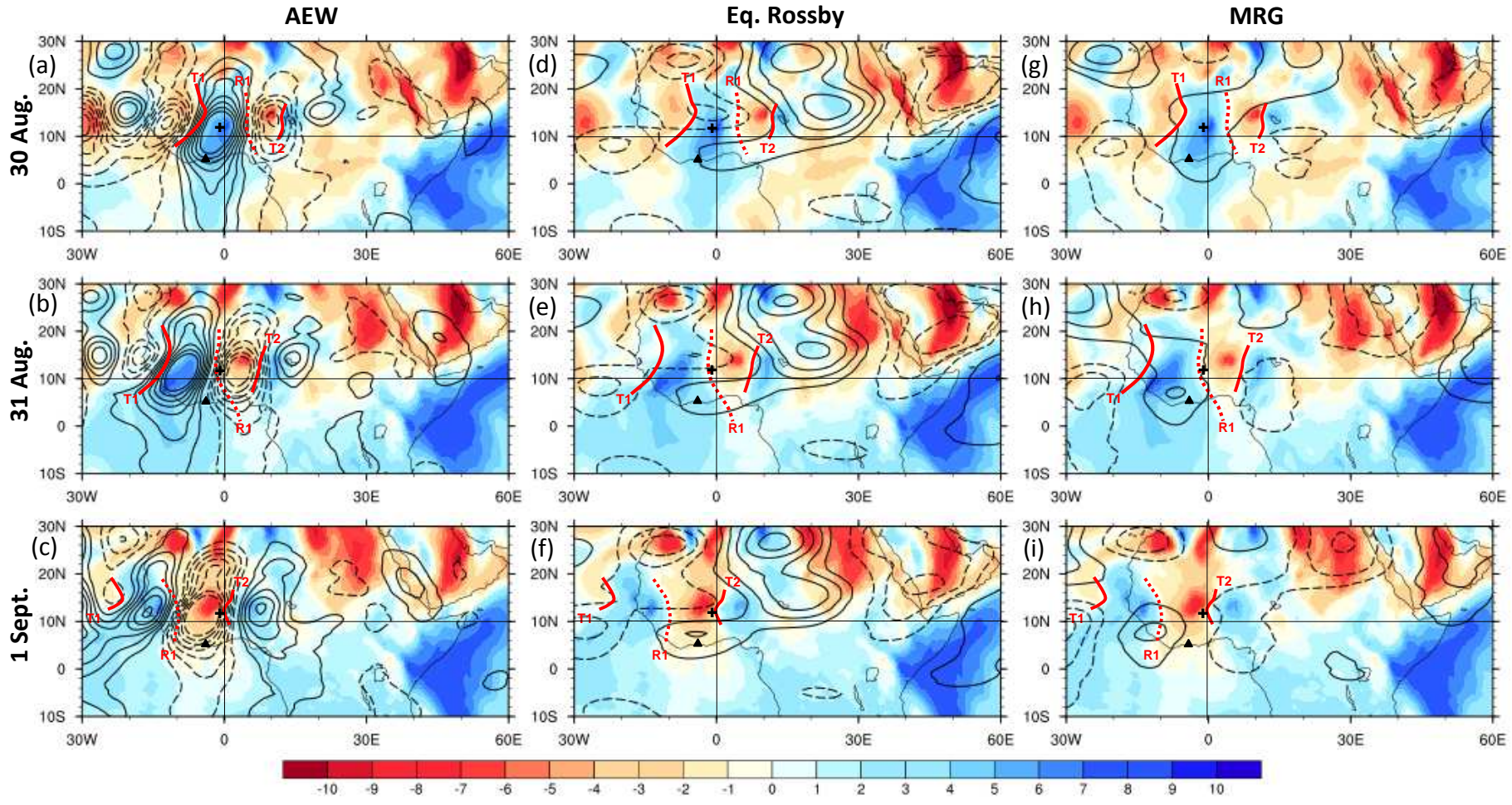


Figure 7: Daily mean maps of the meridional mass transport (colour, in m s^{-1}) defined as the mass-weighted 950-600 hPa layer-averaged meridional wind, with the contributions (isolines, 0.5 m s^{-1} interval, northerlies dashed) of the AEW, ER and MRG waves (left, middle and right columns, respectively) on 30th 31st August and 1st September (upper, middle and bottom rows, respectively). The black triangle and cross correspond to the Abidjan and Ouagadougou locations, respectively. The location of troughs T1 and T2 (red solid lines) and ridge R1 (red dashed line) have been superposed on all panels.

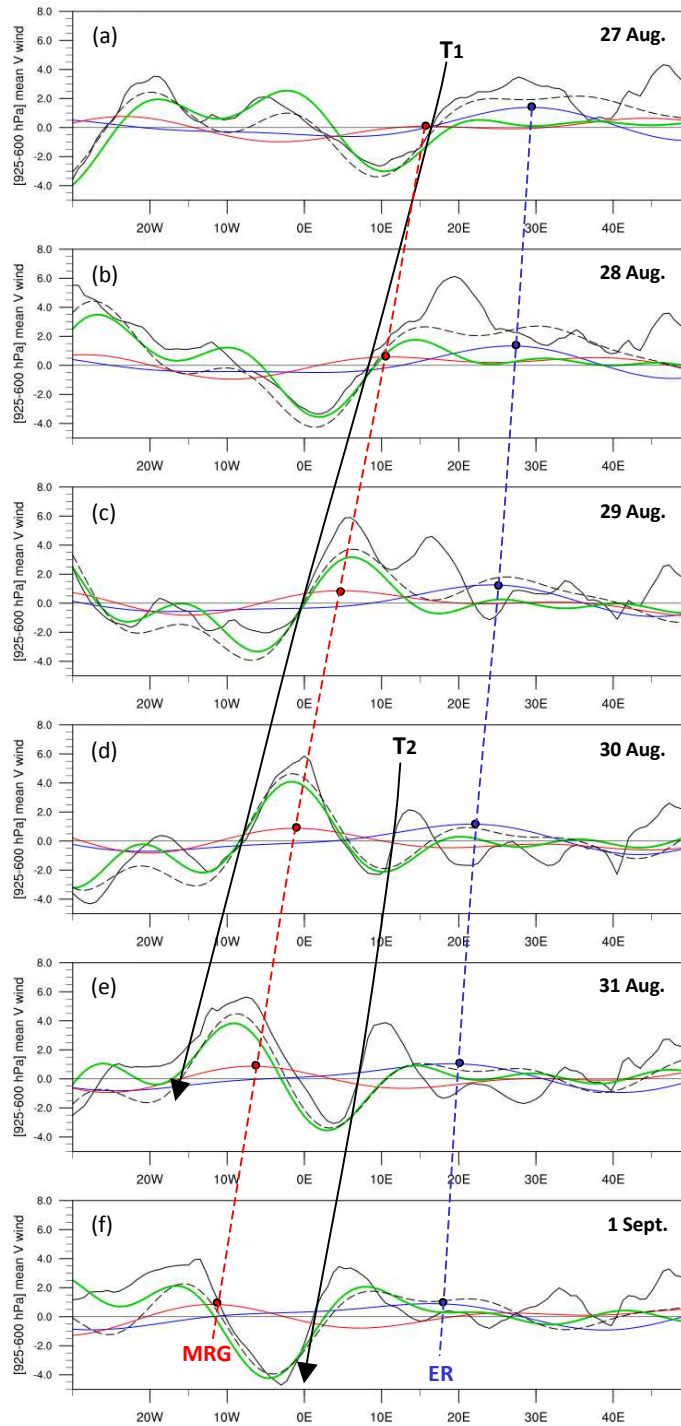


Figure 8: Zonal distribution of the meridional mass transport (m s^{-1}) defined as the mass-weighted 950-600 hPa layer-averaged meridional wind, at 00 UTC averaged in the $5 - 15^\circ\text{N}$ band (black curve) and the corresponding contributions of the ER (blue), MRG (red) and AEW (green) waves. Black arrows represent the propagation of the AEW troughs T_1 and T_2 , whereas blue and red dashed arrows track the maximum of southerly wind associated with ER and MRG waves, respectively. The black dashed line represents the total contribution of ER, MRG and AEW waves. The panel series show the evolution from 27th August to 1st September 2009 at a daily frequency.

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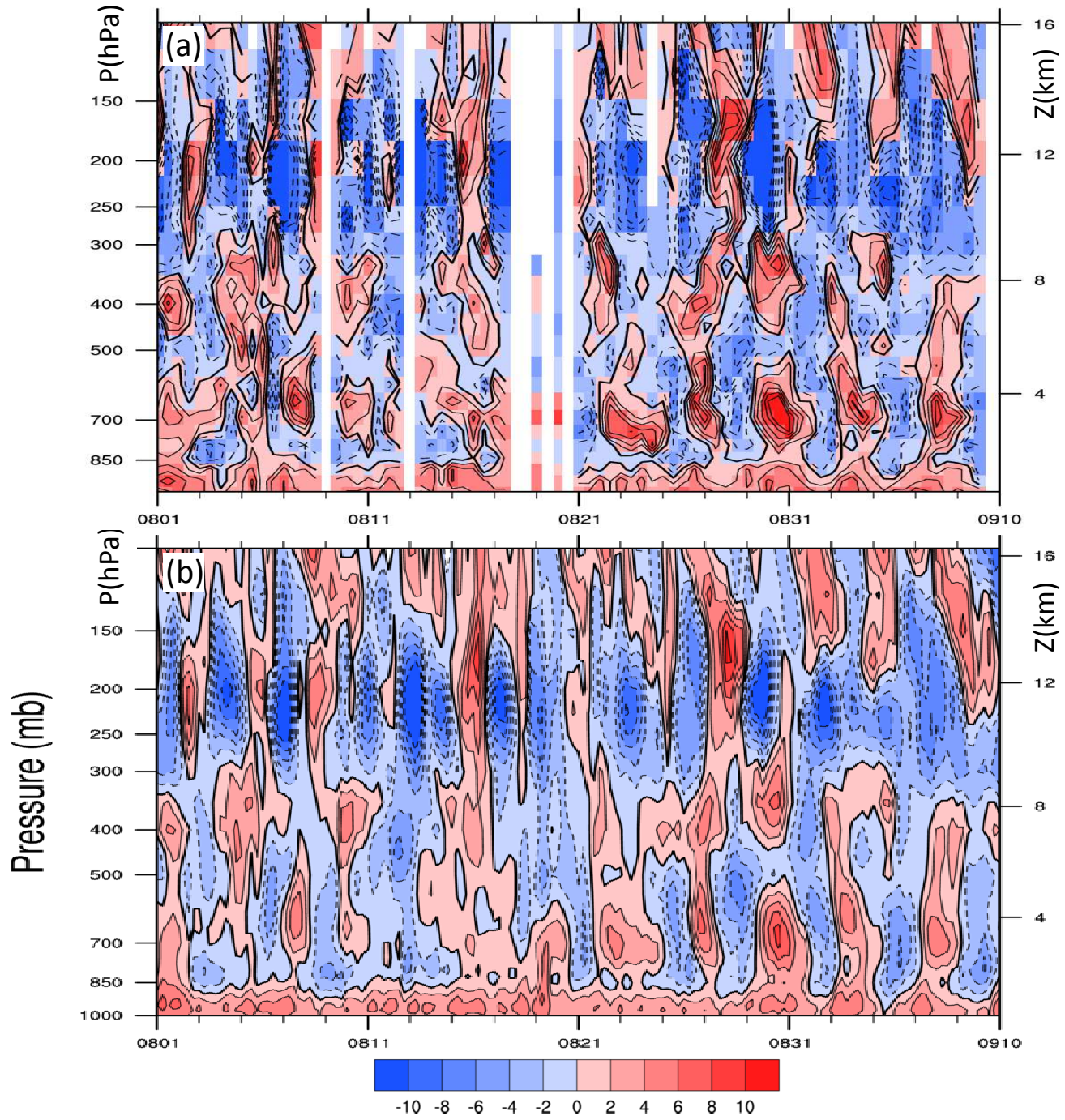


Figure 9: Evolution between the 1st August and the 10th September of the vertical profile of meridional wind (m s^{-1}) (a) observed twice a day at Abidjan [5.18°N , 4°W], and (b) averaged in the [$0^\circ - 10^\circ\text{N}$] band at the same longitude (4°W) from ERA-I reanalysis.

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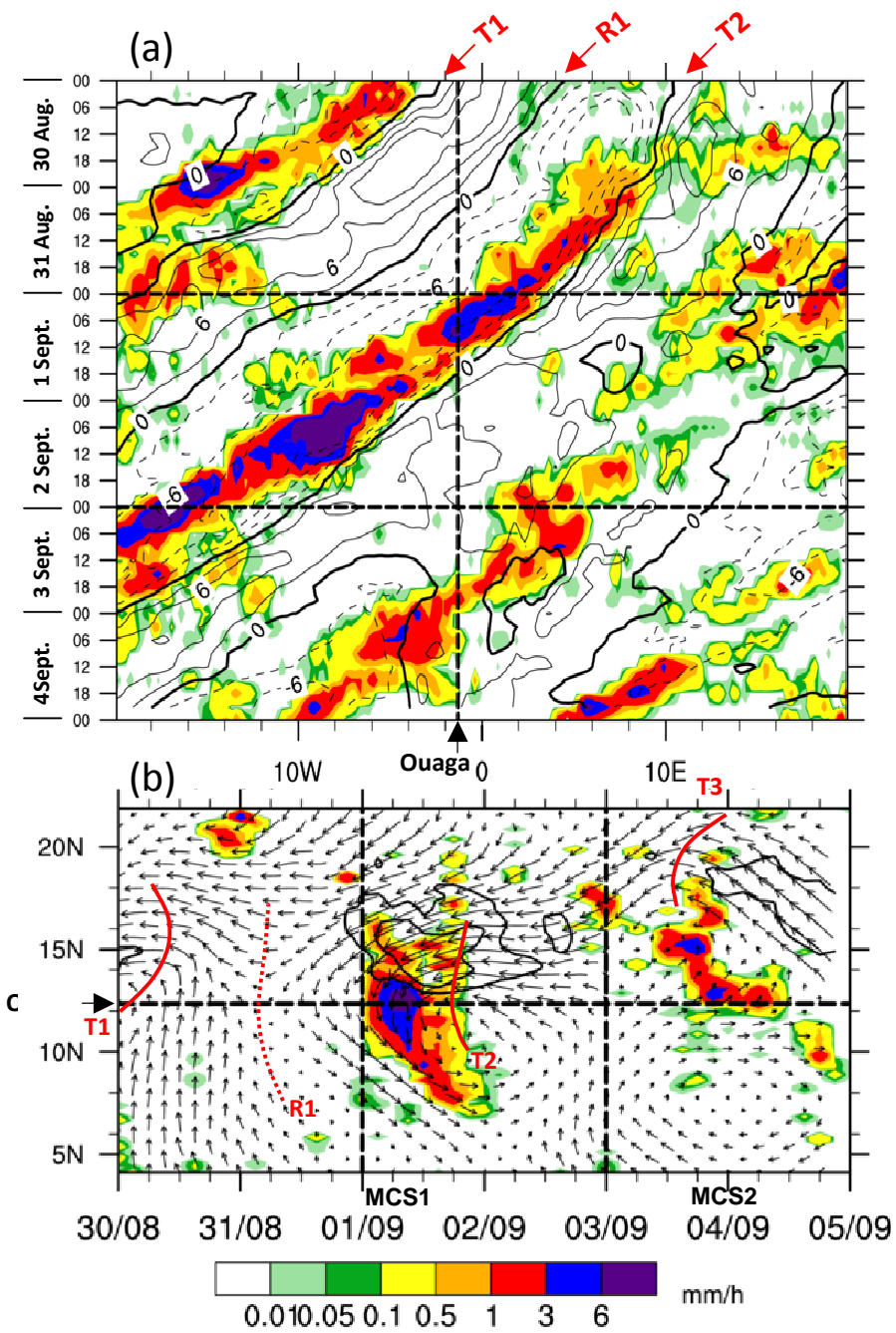


Figure 10: (a) Longitude-time and (b) latitude-time diagrams of 3-hour accumulated TRMM rainfall (colour in mm h⁻¹) from 30th August to 4th September. The meridional wind at 700 hPa (isoline in ms⁻¹) of the ARPEGE reanalysis is superposed in panel (a), with northerlies dashed. Horizontal wind vector at 700 hPa is added to panel (b) with isolines of intensity at 15 and 20 ms⁻¹ to outline the AEJ core. Fields are averaged in the [10 – 14°N] latitude-band for (a) and in the [2.5°W – 0.5°W] longitude-band for (b) surrounding Ouagadougou. The longitude and latitude of Ouagadougou are indicated by vertical and horizontal dashed lines on panels (a) and (b), respectively. Troughs T1 and T2, and ridge R2 are indicated and labelled in red on both figures.

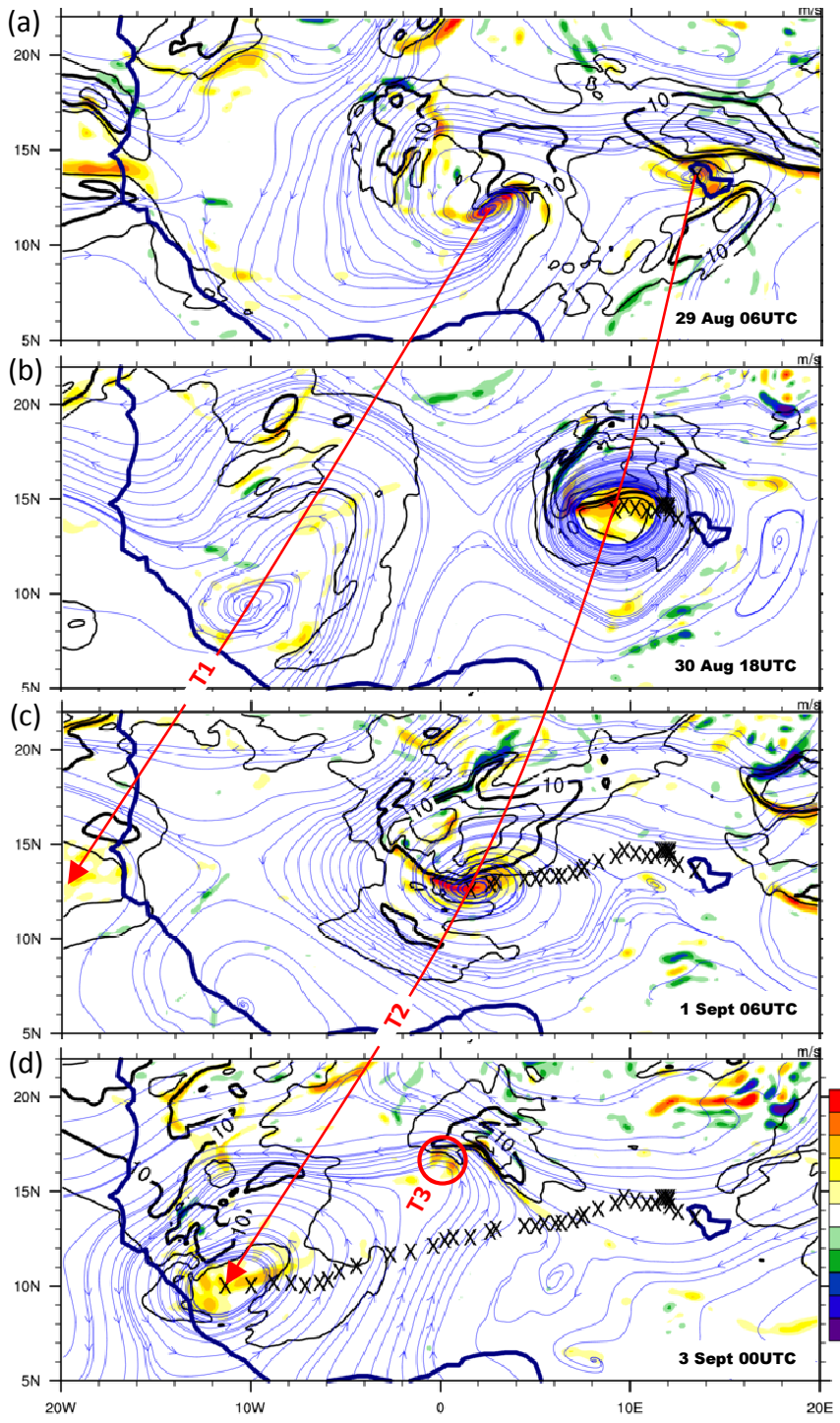


Figure 11: Tracking of the vortex associated with AEW2 for the ARPEGE reanalysis as visualized by the streamlines (blue) for the mean flow mass-weighted averaged in the 950-600 hPa layer, with mean horizontal wind speed (back isolines above 7.5 ms⁻¹) and relative vorticity (colour, 10⁻⁵ s⁻¹). The analysis is performed at a 36 hour interval between the 29th August at 06 UTC and 3rd September at 00 UTC. Crosses indicate the location of the vortex centre every 3 hours, whereas the red arrows show the progression of the AEW1 and AEW2 troughs. The red circle on panel (d) corresponds to the first detection of T3.

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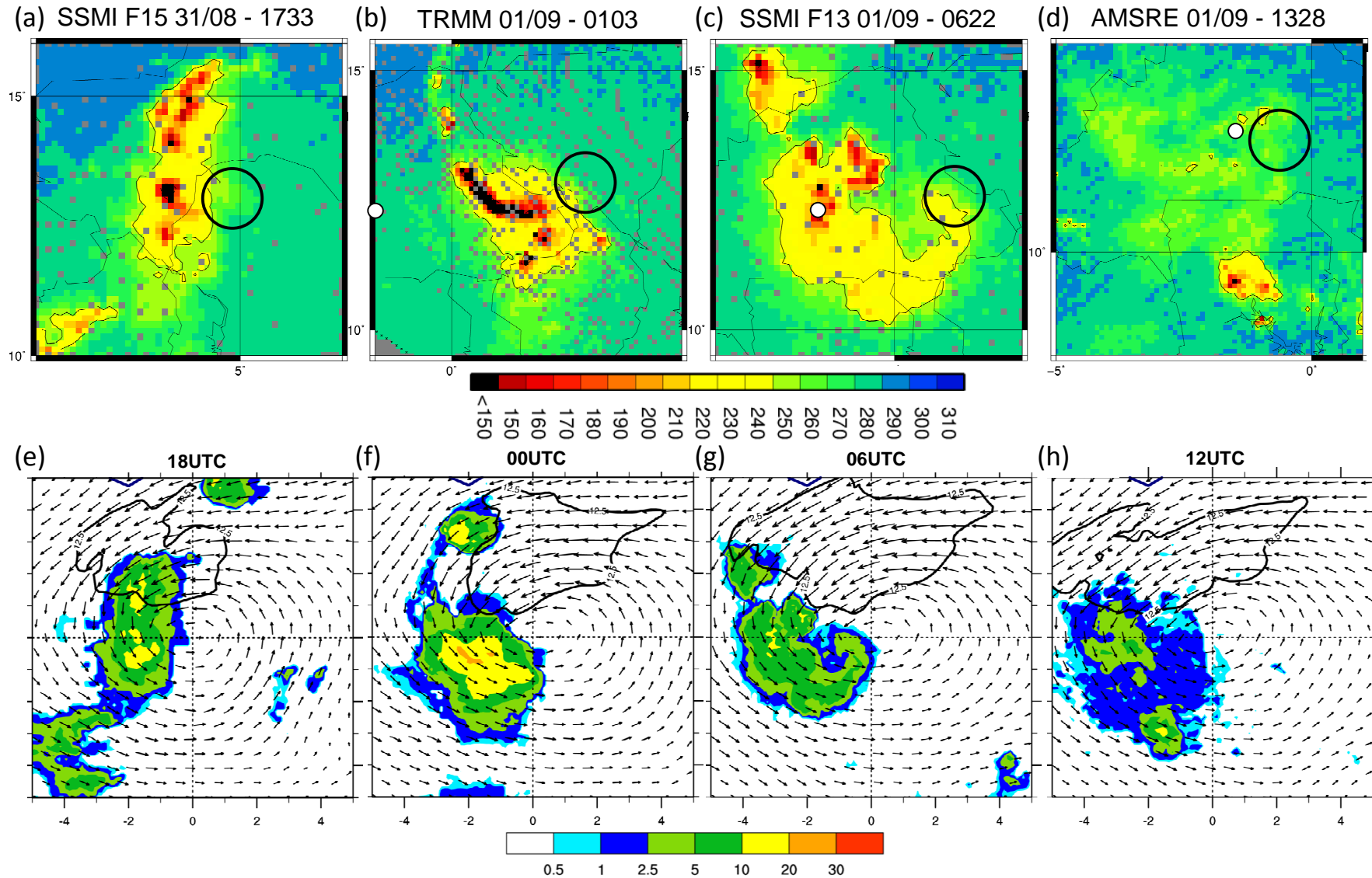


Figure 12: Upper row (a to d): satellite snapshots of the Polarized Corrected Temperature (PCT in $^{\circ}\text{K}$) in the vortex vicinity indicated by the black circle (times are UTC). Light precipitation (1-3 mm/h) is delimited by PCT $> 255 \text{ K}$ (green shading), while convective precipitation (10-12 mm/h) correspond to PCT $< 225 \text{ K}$ (warm shading). The white dot corresponds to the Ouagadougou location. The black circle indicates the location of the mean vortex centre as detected by the ARPEGE reanalysis.

Lower row (e to h): one-day evolution at a 6 hour frequency from 31st September at 18 UTC of the GSMAP accumulated rain over 1 hr (colour in mm) and of the mean wind vector field over the 950-600 hPa layer as analysed by ARPEGE. Maps are drawn relative to the mean vortex centre in a 10° square domain. Heavy black contours outline wind speed higher than 12.5 ms^{-1} .

A multi-scale analysis of the extreme rain event of Ouagadougou in 2009

by

J-P. Lafore, F. Beucher, P. Peyrillé, A. Diongue-Niang, N. Chapelon, D. Bouniol, G. Caniaux, F. Favot, F. Ferry, F. Guichard, E. Poan, R. Roehrig and T. Vischel*

This Ouagadougou extreme event (263 mm) corresponds to the passage of an African easterly wave train combined with a strong and large wet anomaly initiated over Eastern-Africa, resulting in its breaking and the formation of a moist vortex forcing intense precipitations. Contrary to squall lines, this system is not associated with density currents and propagates slowly allowing strong precipitation accumulation. At larger scales interactions between several equatorial waves (Kelvin and Rossby) contributed to the occurrence of this event.

