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Detecting mechanical property anomalies along railway earthworks by Bayesian appraisal of MASW data

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Abstract

The techniques traditionally used to estimate the mechanical properties of railway earthworks (RE) are costly and of low performance. There is a great need for the development of non-destructive methods, which would allow a fast and efficient diagnosis of RE. Seismic surface-wave (SW) methods, also known as multi-channel analysis of surface waves (MASW), were rescaled here for their systematic implementation on RE. A test site was chosen along the North European High Speed Line (HSL) for its well-known correspondence between discontinuity in mechanical properties and anomalous maintenance forces. With the proposed acquisition and processing strategy, a contrast in SW propagation velocity was correlated with the change in shear modulus of the soil layers beneath the track, previously evaluated in

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the laboratory. Bayesian inversion of the SW data also allowed to integrate the strong *a priori* knowledge available on these HSL lines and to provide quantitative results with a confidence index to help end-users in their decisions.

Keywords: railway earthwork, mechanical properties, seismic methods, surface waves, bayesian inversion

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1 **1. Introduction**

2 The preservation and expansion of rail transportation networks is a ma-
3 jor national and international issue. Stability problems are among the pri-
4 orities. They depend mainly on the spatial and temporal variations of the
5 mechanical properties of the materials used to build and support railway
6 earthworks (RE). RE are dimensioned according to very strict internal con-
7 struction standards (*SNCF Réseau* IN3278, IG90260 in France for instance).
8 Unfortunately, during the life of the RE, and depending on its location and
9 the construction period, many factors such as water drainage, weight and
10 speed of trains, frost, etc., may cause heterogeneities in the structure of the
11 railway tracks. It is therefore necessary to develop tools capable of monitoring
12 the condition of the RE, to control the evolution of their mechanical state,
13 and more particularly, improve the knowledge of existing RE assets ([Selig
14 and Waters, 1994](#); [Trevin, 2008](#)). Several criteria are classically used to eval-
15 uate the global track behaviour, such as geometry-related faults (cross-level,
16 alignment, longitudinal levelling, twist and gauge) or frequency and type of
17 maintenance operations and geotechnical surveys ([Selig and Waters, 1994](#);
18 [Quiroga and Schmieder, 2013](#); [Rhayma et al., 2013](#); [Tzanakakis, 2013](#)).

19 To complement the above techniques with non-destructive characterisa-
20 tion and imaging of the underground RE and soil structure, geophysical
21 methods can be deployed with great efficiency and yield ([Milsom and Erik-
22 sen, 2013](#)). They are able to provide information along larger linear distances
23 and more densely than geotechnical methods, as well as to allow interpolation
24 of stratigraphy and mechanical parameters between boreholes. Such methods
25 are therefore of great interest because they promise rapid and efficient diag-

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26 nosis of the condition of RE (see for example the review on non-destructive
27 testing methods for the assessment and monitoring of the health of railway
28 infrastructures by [Artagan et al., 2020](#)).

29 In the toolbox of geophysical prospecting, it is well known that seismic
30 methods can help, by definition, image near-surface mechanical property con-
31 trasts. This is why their use, and more particularly surface-wave (SW) based
32 approaches, has been increasingly suggested for the investigation of RE, as
33 for example very recently described by [Kyrkou et al. \(2022\)](#) in ‘a review of
34 measurement practice’. These methods, also known as Multichannel Anal-
35 ysis of Surface Waves (MASW) (see e.g. [Foti et al., 2018](#)), make it possible
36 to estimate near-surface shear-wave velocities (V_S), hence shear moduli (G).
37 A rationale for the use of seismic methods applied to RE and recent de-
38 velopments in SW techniques in this context, are presented in more details
39 with associated references in a following section dedicated to the ‘background
40 methodology’. Despite the growing trend towards developments ([Kyrkou
41 et al., 2022](#)), there seems to be a lack of specifically dedicated approaches
42 best suited to the railway context.

43 The present study therefore aims at illustrating the feasibility of devel-
44 oping a specific SW prospecting method able to mechanically characterise
45 RE and underlying soils along railway tracks, without perturbing the traf-
46 fic. A test site along the North European High-Speed Line (HSL) has been
47 targeted, as the RE at this location presented important stability problems,
48 sufficiently documented to test new approach. To identify and understand
49 the origin of the anomalies observed at the surface (mainly consisting in
50 strong tracks motion and important loss of ballast), classical geotechnical

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studies were conducted with core drilling, dynamic penetrometer and laboratory tests (Dhemaied et al., 2014a,b,c). We present how the geotechnical results (see more details in a dedicated section in the following) appeared non-conclusive except for laboratory measurements in soil samples of microporosimetry and V_S . These parameters, showing significant variations in one particular layer of the RE, justified the design of a specific seismic SW setup, dimensioned and adapted to this type of RE, in order to detect variations of V_S along the line. The setup and measurement strategy (array geometry, types of sensors and source) are first presented in details. To ensure reliable SW data extraction along the considered HSL, a specific processing workflow has then been suggested and detailed as well.

This work is actually presented so as to provide practitioners and end-users with detailed descriptions and guidelines of the proposed approach, seen as a toolbox to facilitate the setup design, data processing and interpretation of results. As railway infrastructure managers need thresholds above which mechanical moduli variations can be considered significant, resulting models of the RE mechanical properties are suggested here to be given in terms of probability rather than in terms of fixed parameter values with *posterior* uncertainties. This can be performed by implementing a Bayesian formalism (Tarantola, 2005). It has been applied to the appraisal of surface-wave dispersion inversion results at large scale (Sambridge, 1999b,a; Bodin et al., 2012) and, for instance, to near-surface applications with the advantage of combining different types of geophysical and geotechnical data (see e.g. Killingbeck et al., 2018; Halló et al., 2021). Following the processing workflow, the probabilistic approach implemented with the Bayesian formal-

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76 ism as mentioned above has thus been used to enable a fair quantification
77 of mechanical properties variations in the RE, in depth and along the line.
78 The study finally suggests how, in the long run, such technique could be de-
79 ployed with a sufficient yield for decision support, to guide choices in terms
80 of monitoring, diagnosis and, eventually, of appropriate maintenance.

81 **2. Background methodology**

82 *2.1. Standard track behaviour evaluation tools*

83 Geotechnical surveys such as boreholes, coring, *in situ* testing, etc., are
84 classically performed to test the mechanical condition of RE. Standard pa-
85 rameters such as the bearing capacity of base structures or the core resis-
86 tance of sub-ballast layers are also regularly assessed ([Benz-Navarrete, 2009](#);
87 [Escobar, 2015](#); [Escobar et al., 2016](#); [Haddani et al., 2016](#)). But their imple-
88 mentation remains limited in space and extremely costly on a large scale (in
89 France, for instance, there are more than 28,000 km of track to be monitored),
90 not to mention the difficulties of accessing railway sites, which constitute an
91 additional constraint and reduce the cost-effectiveness of these probing tools.

92 To overcome these problems, Ground Penetrating Radar (GPR) is mounted
93 on trains and combined with high frequency levelling systems, for the imag-
94 ing of RE shallow layers (detailed stratigraphy, water content and presence
95 of mud) (see e.g. [Eriksen et al., 2010](#); [Anbazhagan et al., 2011](#); [Hugenschmidt
96 et al., 2013](#); [Khakiev et al., 2014](#); [De Bold et al., 2015](#)). This technique how-
97 ever suffers limitations in depth of investigation (DOI) in high permittivity
98 fine materials, such as clays which attenuate the signal and prevent access
99 to underlying soils. In a similar manner, geophysical approaches of possi-

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100 ble high yields, such as electromagnetic and capacitive electrical prospecting
101 methods (Rejkjær et al., 2021), are very difficult to apply along railways be-
102 cause of their sensitivity to metallic elements, underground cables, electrical
103 wiring systems and, of course, catenaries. Electrical Resistivity Tomography
104 (ERT) however consists in a possible tool of interest for the study of RE, as
105 described in details by Gunn et al. (2018). Other techniques such as micro-
106 gravimetry have been suggested by geophysicists to target cavities and/or
107 undercompacted areas (Fauchard et al., 2004; Talfumiere and Nebieridze,
108 2008; Nebieridze and Leroux, 2012). Yet, none of the previously mentioned
109 methods provide information about the RE mechanical properties in the
110 standard framework of geotechnics.

111 *2.2. Seismic method*

112 The mechanical parameters of shallow soil layers (compression and shear
113 moduli) can be estimated *in situ* using seismic methods. They are based
114 on the indirect characterization of seismic-wave propagation velocities from
115 seismograms (records of the wavefield by means of a collection of sensors im-
116 planted at the surface and detecting the particle displacements generated by
117 a seismic source) (see Mari et al., 2004). The refraction of body waves (com-
118 pressional or pressure (P) and/or shear (S) waves), for instance, makes it
119 possible to simply define near-surface geometry, as well as its compressional
120 and/or shear velocities (respectively V_P and V_S). Although considered fast to
121 implement and relatively straightforward to process, these techniques suffer
122 from several limitations (see e.g. Mari et al., 2004; Fauchard et al., 2004). The
123 existence of civil engineering structures with a strong 3D character and/or
124 offering important velocity contrasts, in the vicinity of the acquisition setup

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125 (at the surface as well as at depth), complicates the interpretation of seismo-
126 grams (it becomes difficult to differentiate the various events of the wavefield
127 which can either be due to the investigated layers at depth or to lateral ‘3D
128 objects’). Conversely, refraction seismic implies the assumption of increas-
129 ing velocities with depth. Lower velocity layers (LVL) will not be properly
130 characterized and high velocity layers (HVL) will perturb the investigation of
131 deeper structures of interest. In refraction seismic as well, accurate event de-
132 tection requires a good signal-to-noise ratio (sometimes impossible to obtain
133 in urban and suburban conditions). The study of S waves is all the more
134 delicate, because they are more difficult to generate and identify on this
135 type of records (Pasquet et al., 2015). It appears that the above-mentioned
136 limitations restrict the applicability of refraction seismic (Mari et al., 2004;
137 Fauchard et al., 2004, and moreover reflection seismic) to the characterization
138 of RE that are typically affected by the presence of 3D structures (concrete
139 channel for drainage and also for cables, platforms, acces structures), HVL,
140 LVL (high contrast between the sub-ballast layer and the capping layer),
141 potentially significant background noise (urban context and catenary poles
142 vibrations), etc.

143 From a theoretical point of view, the elastic strain generated by a me-
144 chanical dynamic source does not propagate in the Earth as body waves only.
145 Actually, most of the energy propagates along the surface without penetrat-
146 ing deeply (in the upper ten meters for near-surface applications). If the me-
147 chanical properties (and more particularly the shear modulus) of the medium
148 are vertically heterogeneous, the propagation velocity of these guided waves
149 (the ‘surface waves’, also named ‘PSV’ or ‘Rayleigh’ waves in the following)

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150 will depend on their propagation frequency. This property, called dispersion,
151 allows us to retrieve, *via* an inversion procedure, the V_S structure of the
152 probed medium, below the acquisition setup. Active-source SW dispersion
153 measurements can be achieved using typical seismic shot gathers (Foti et al.,
154 2018). The inferred V_S models are ‘one-dimensional’ (1D) and measurements
155 and interpretations are limited by several well-known theoretical and exper-
156 imental constraints (O’Neill, 2003; O’Neill et al., 2003; Socco and Strobbia,
157 2004; Bodet et al., 2005, 2009). SW methods, widely used in seismology
158 since the 1950s, have gone through important theoretical and experimental
159 developments for a wide range of near-surface applications since the 1980s
160 (non-destructive evaluation, civil and geotechnical engineering, environmen-
161 tal geophysics, natural hazards, see Socco et al., 2010). The first applications
162 of this technique were actually largely dedicated to the *in situ* characteriza-
163 tion of soils and near-surface anthropogenic structures (Heisey et al., 1982;
164 Nazarian and Stokoe II, 1984; Matthews et al., 1996; Hévin et al., 1998; Lai
165 et al., 2002; Ryden et al., 2001, 2004). The latest methodological advances
166 show that ‘surface-wave prospecting’ or MASW can be deployed along linear
167 sections or along a surface grid, in order to reconstruct a ‘two-dimensional’
168 (2D) or 3D model of near-surface V_S distribution (Neducza, 2007; Boiero and
169 Socco, 2010; Ezersky et al., 2013). A detailed presentation of the method,
170 SW acquisition, processing and inversion workflows is for instance given by
171 Pasquet and Bodet (2017), along with a tutorial for most of the codes and
172 tools used in the following work. Further information and details can be
173 found in the literature (see e.g. O’Neill, 2003; O’Neill et al., 2003; Socco and
174 Strobbia, 2004; Bodet et al., 2005; Socco et al., 2010; Pasquet, 2014).

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175 Given the guided nature of surface waves, these events of the wavefield
176 are less sensitive to the strong 3D character of the structures encountered in
177 civil engineering applications (Karl et al., 2011). In addition, recent studies
178 show a growing interest in the implementation of SW prospecting related
179 to geotechnical issues (Heitor et al., 2012) or in a railway context (Dono-
180 hue et al., 2013, 2014; Hwang and Park, 2014; Forissier, 2015; Gunn et al.,
181 2015; Bergamo et al., 2016a,b; Gunn et al., 2016; Sussmann Jr et al., 2017;
182 Kyrkou et al., 2022), in which V_S is a very good criterion for determining
183 the mechanical state of associated materials (see e.g. Dhemaied et al., 2014a;
184 Byun and Tutumluer, 2017; Byun et al., 2019). However, given the spe-
185 cific railway environment and the geometry of the targeted structures, the
186 experimental conditions limit the classical implementation of seismic meth-
187 ods (instrumental deployments are restricted both in space and time). An
188 optimal setup configuration has thus to be defined by adapting the usual
189 experimental protocol to the scale of RE and to the specific railway environ-
190 ment, while ensuring sufficient resolution for the measured velocities to be
191 informative. Seismic methods can actually be applied to very shallow targets
192 (< 10 m depth) using various types of sources, sensor and acquisition setups,
193 depending on the objectives (see e.g. Bachrach et al., 1998; Baker et al., 1999;
194 Abraham et al., 2004; Schmelzbach et al., 2005), the key parameter being the
195 measurement frequency range. Pasquet (2014); Dangeard (2019), in the same
196 way as studies cited above, illustrated that a classical near-surface seismic
197 sources such as a 5 kg sledgehammer (or even smaller 1.25 kg hammers avail-
198 able) can generate a broadband signal at frequencies high enough to reach
199 infra-metric vertical resolutions, in media with propagation velocities lower

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200 than 250 m.s^{-1} . In the case of RE, according to [Dhemaied et al. \(2014a\)](#)
201 geotechnical results and laboratory tests, the seismic velocities can be higher
202 than in natural unconsolidated soils (up to 600 m.s^{-1}). Care must therefore
203 be taken to ensure that the frequencies are high enough to work at reasonable
204 wavelengths, as for instance shown in [Dangeard et al. \(2021\)](#). In the following
205 work, the strong *a priori* knowledge about RE structure along HSL and the
206 detailed geotechnical study first presented, has made it possible to: (i) check
207 if the setup was sufficiently simple and quick to implement (e.g. adapted to
208 both spatial and temporal constraints along railways such as trackside occu-
209 pancy limits, safety issues, operational constrains, etc.); (ii) estimate signal
210 resolutions and anticipate for real-life data acquisitions; and (iii) verify the
211 results provided by the inversion of SW dispersion.

212 **3. Feasibility study along a high-speed line**

213 *3.1. Context of the test site*

214 This study focuses on a specific location (see [Figure 1](#)) along the French
215 north HSL (north of the town of Hattencourt) affected by an unusually fre-
216 quent and local need for maintenance, more particularly observed on track
217 2 (V2 on [Figure 1](#)). The areas where regular and too frequent ‘clogging op-
218 erations’ were needed (white dashed lines on [Figure 1](#)) were also strongly
219 affected by local vertical motion of rails and sleepers at each train passage
220 (solid white lines labelled as ‘the phenomenon’ on [Figure 1](#), as observed dur-
221 ing the geophysical survey described in the following). Since no track failures
222 (rail, sleepers) or defects in the surface structures of the RE were observed in
223 this area, the origins of such anomalies were assumed to be related to strong

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224 variations in the nature or drainage of the underlying soils.

225 A geological and geotechnical survey has thus been suggested to better
226 characterize the RE and underlying soils in the areas identified as problematic
227 (Dhemaied et al., 2014a,c). The RE has been built on ‘natural’ loess with the
228 typical structure defined by the *SNCF Réseau* standards (IN3278, IG90260)
229 in terms of both geometry and mechanical properties, as shown in Figure 2
230 with (from top to bottom): the sub-ballast layer, the capping layer and the
231 subgrade (mainly consisting in *in situ* soil) overlying the lithological units
232 described bellow from core samples:

- 233 1. quaternary earthwork mainly from the RE construction and mainte-
234 nance;
- 235 2. loess characterized by beige silts more or less clayey, and;
- 236 3. campanian chalk, whitish, poor in flint and possibly containing fine
237 glaucous passages.

238 *3.2. Standard geotechnical tests*

239 The geotechnical study included 8 boreholes down to 3 and 12 m depths
240 (black circles on Figure 1) as well as 5 dynamic cone penetrometer tests
241 (DCP) 12 m deep (not presented here, see Dhemaied et al., 2014a,c; Heraibi,
242 2019, for more details). These *in situ* tests were complemented by laboratory
243 measurements such as Atterberg limits, granulometry, proctor compaction
244 tests, methylene blue values, wet bulk density and water content estima-
245 tions. The results, presented by Dhemaied et al. (2014a,c), were coherent
246 with the typical structure of HSL RE (see the Figure 2), mainly involving
247 vertical contrasts under the ballast. These structure corresponds to: the sub-

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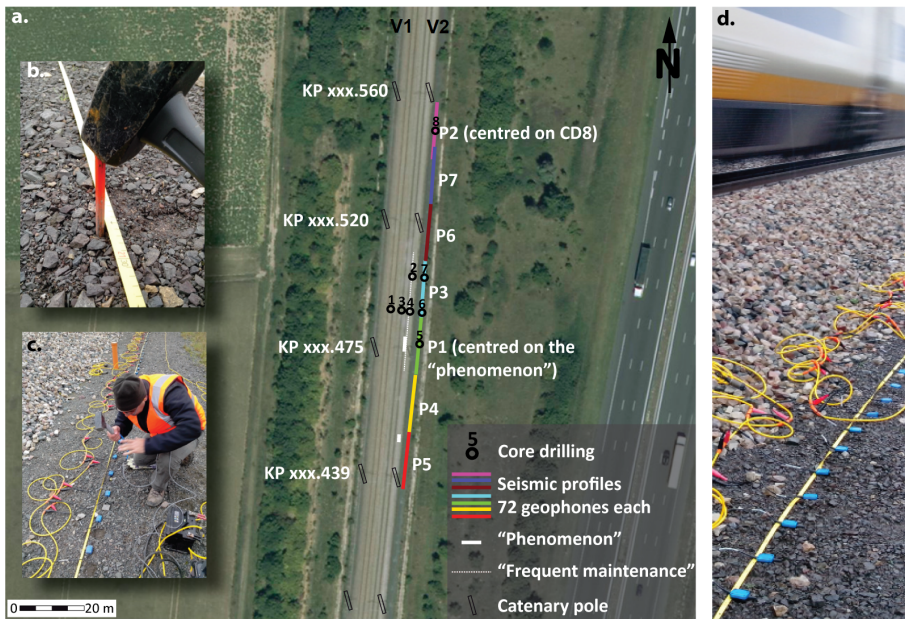


Figure 1: (a) Schematic map (modified from Bodet et al., 2017; Bodet, 2019) of the boreholes cores drillings (CD#) and seismic profiles (P1 to P7) locations. The positions of which are given according to the referenced kilometre points (KP). Local vertical motion of rails and sleepers observed at each train passage is showed by white plain lines (the phenomenon). Frequently maintained track sections are represented by the white dashed line. Photographs are given to illustrate the setups installation along the trackside: (b) preparation of the surface at each geophone location; (c) seismic source with a 1.25 kg sledgehammer vertically hitting a metallic plate; (d) setup installed along the track side.

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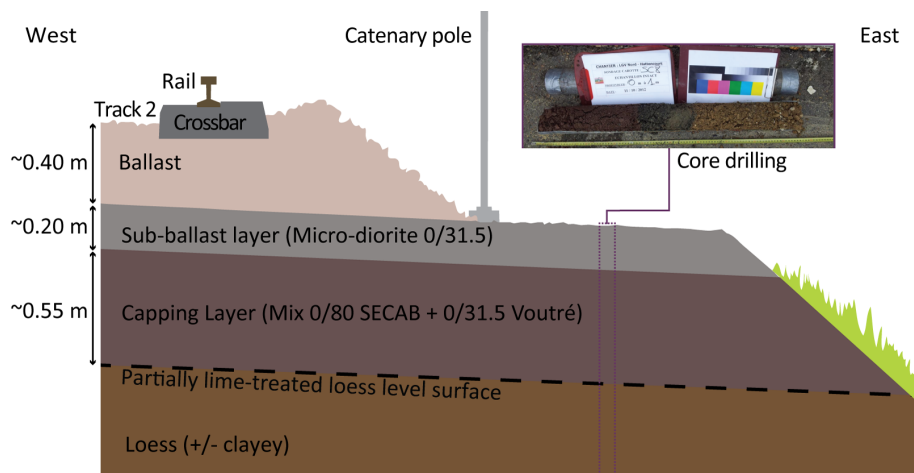


Figure 2: Schematic cross-section (modified from Bodet et al., 2017; Bodet, 2019) of the studied RE. The typical structure is defined by SNCF Réseau standards (IN3278, IG90260). The details about layers materials are based on ‘visual’ monitoring data and interpretation of core samples.

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248 layer and the capping layer (with a total thickness of 75-80 cm on average
249 along the track), more compact than the loess layer, itself less compact than
250 the chalk occurring between 6 and 7 m deep under the tracks. The results
251 also showed similar water contents and densities in the loess (respectively
252 20 % and between 1.6 and 1.7 Mg/m³ between 1 and 3 m deep) whatever the
253 locations of the drilling. The boreholes as well as the laboratory tests show
254 neither significant variability of the RE structure along V2, nor from one
255 trackside to another (see [Dhemaied et al., 2014a,c](#)). This type of geotech-
256 nical study therefore did not make it possible to identify the origins of the
257 maintenance problem encountered along this line.

258 *3.3. Shear moduli (Bender Elements) and porosimetry*

259 To complement the classical geotechnical study summarized above, V_S
260 measurements on samples that could be properly collected from the cores
261 (cylindrical samples of 100 mm diameter and 50 mm height) were carried out
262 using the ‘Bender Elements’ (BE) technique ([Shirley and Hampton, 1978](#)).
263 The BE are piezoceramic plates allowing the transmission and reception of
264 a mechanical wave through a cylindrical sample to estimate its propagation
265 velocity (V_P or V_S according to the transmission/reception mode). This
266 technique is widely used for the estimation of small strain shear modulus
267 (G) in non-consolidated granular materials in general, and is the subject of
268 active research for the study of soils in particular (see e.g. [Lee and Santa-](#)
269 [marina, 2005](#); [O’Donovan et al., 2012](#)). The impact of water content on the
270 propagation velocities of mechanical waves in soils was also investigated in
271 the laboratory using this technique ([Santamarina et al., 2005](#); [Cho and San-](#)
272 [tamarina, 2001](#)) and/or using ‘acoustic’ methods (see e.g. [Fratta et al., 2005](#);

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273 George et al., 2009). The use of BE techniques has proved interesting for
274 the laboratory or *in situ* estimation of V_S (Byun and Tutumluer, 2017; Byun
275 et al., 2019) in RE. G , which is an important criterion for the design and
276 monitoring of RE's condition, can then be calculated when the density (ρ) is
277 known as well, such as

$$G = \rho V_S^2. \quad (1)$$

278 For the present study, all samples were collected from the loess layer at one
279 or several depths (depending on cores quality). The results, combined with
280 water content and density measurements, are summarized in table 1. They
281 show that the decrease in V_S (and consequently in G) was partly correlated
282 with the maintenance anomaly observed along V2. Additional tests (carbon-
283 ate content) showed that these results are not linked to the treatment of the
284 loess. Finally, mercury porosimetry tests were carried out on these samples.
285 The porosity spectra presented on Figure 3 show an increase of equivalent
286 pore diameter, thus a variability of the microstructure, also partly consistent
287 with the maintenance variations along V2.

288 3.4. Upscaling for *in situ* characterization of RE over long distances

289 Such analysis unfortunately remain sparse and time consuming, both in
290 distance along the tracks and in depths at each sample collection location.
291 The CD1 sample collected on V1 at 2.6 m depth (area not affected by the
292 phenomenon, see table 1 and Figure 1), was characterised by the highest G
293 value while its porosity spectrum was spread out. The single sample collected
294 and analysed for CD5 showed a high G value with a marked maximum poros-
295 ity while located in the problematic area. To avoid such ambiguities, regular

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Table 1: Measurements of density (ρ_d), water content (w) and orders of magnitude of velocities and shear moduli ($V_{S,min-max}$ and $G_{min-max}$, respectively) measured with BE on samples collected from boreholes at on the study site. The depths correspond to the depth of the core samples centers (modified from [Dhemaied et al., 2014a,b](#); [Bodet et al., 2017](#); [Bodet, 2019](#)).

Core Drilling (#)	Sample depth (m)	w (%)	ρ_d (Mg/m ³)	$V_{S,min-max}$ (m.s ⁻¹)	$G_{min-max}$ (MPa)
CD1	1.6	20.6	1.65	120-134	28-35
CD1	2.3	15.7	1.73	125-137	30-36
CD1	2.6	15.7	1.73	596-687	675-897
CD2	2.6	19.8	1.60	165-183	52-64
CD3	1.6	18.1	1.71	152-172	44-56
CD5	1.5	20.1	1.67	312-366	185-255
CD6	1.1	20.9	1.70	153-173	44-57
CD8	2.3	19.3	1.57	296-378	166-271
CD8	2.9	15.4	1.65	357-390	242-289

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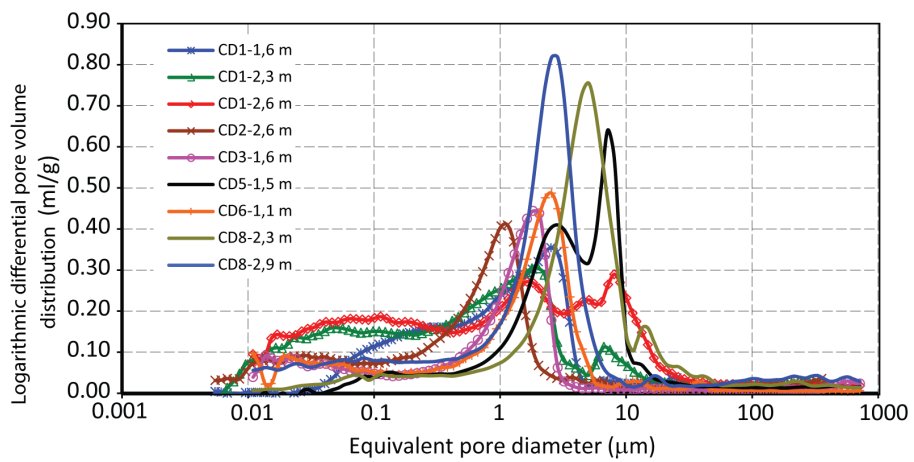


Figure 3: Mercury porosimetry measurements carried out on samples collected from boreholes (see locations on Figure 1). The depths noted in the legend correspond to the depth of the core samples centers (modified from [Dhemaied et al., 2014a,b](#); [Bodet et al., 2017](#); [Bodet, 2019](#)).

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296 sampling (along the tracks as well as at depth) would be necessary. Such
297 studies are obviously not feasible in a systematic manner for the monitoring
298 of RE along great distances (typically tens or hundreds of kilometres). In
299 this context, it seems justify to test seismic SW prospecting methods for a
300 systematic non-destructive *in situ* characterisation of V_S along RE. The cho-
301 sen test site is moreover extremely well constrained by HSL standards. Its
302 recent construction (with respect to the age of conventional lines) has allows
303 to have strong *a priori* information on the characteristics of the *in situ* soil
304 (geometry of the RE, nature of materials, etc.) and make it convenient to
305 perform such a feasibility study.

306 **4. Surface-wave prospecting: implementation and dispersion mea-**
307 **surements**

308 *4.1. Setup and measurement strategy*

309 With dimension and acquisition parameters based both on the *a priori*
310 well known structure of HSL RE and on properties provided by the geotechni-
311 cal results presented above, 7 seismic profiles of identical length were carried
312 out along V2 (see Figure 1a). The setup for each seismic profile consisted
313 of 72 vertical component geophones (14 Hz low cut-off frequency) spaced with
314 0.25 m, so as to form a 17.75 m long profile. The setups were implanted along
315 the trackside to ensure a good geophone coupling with near-surface materi-
316 als and to avoid the particular conditions of acquisition directly on ballast
317 (Hwang and Park, 2014; Forissier, 2015). For each seismic profile, seismic
318 shots were performed with a 1.25 kg hammer vertically hitting a metallic
319 plate (see Figure 1c) every 24 geophones (between adjacent geophones) with

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320 first and last shot positions at half inter-geophone distance before and after
321 the first and last geophone, respectively. Each shot corresponded to the stack
322 of 6 hammer/plate impacts to improve the signal-to-noise ratio. The record-
323 ing length was 2 s with a sampling interval of 0.5 ms and a pre-triggering
324 delay of -0.02 s. The seismic profiles numbering, P1 to P7 (see Figure 1)
325 corresponded to the implementation strategy decided during the survey:

- 326 • it was initially chosen to locate the first seismic profile at the middle of
327 the area presenting the higher number of maintenance operations (P1
328 in Figure 1) and centered on CD5;
- 329 • then, another seismic profile was located at the middle of an area free
330 of maintenance problems (P2 in Figure 1) and centered on CD8;
- 331 • 5 other seismic profiles finally made it possible to observed continuous
332 variations between these two end-members profiles, with P5 slightly
333 south of the high maintenance area where highly active anomalies were
334 spotted during the survey.

335 The whole distance covered by these 7 seismic profiles thus represented a
336 120.9-m long seismic line (which is less than 7×7.75 m because of an overlap
337 between P7 and P2 due to the original choice of centering P1 on CD5 and
338 P2 on CD8). The acquisition parameters and the relative positions of the
339 seismic profiles are shown in Figure 4.

340 The first task carried out following this survey consisted in choosing the
341 appropriate geophone window size for the SW dispersion extraction from the
342 recorded sets of seismograms. This method is traditionally used to ensure
343 the best compromise between expected vertical resolution and the need to

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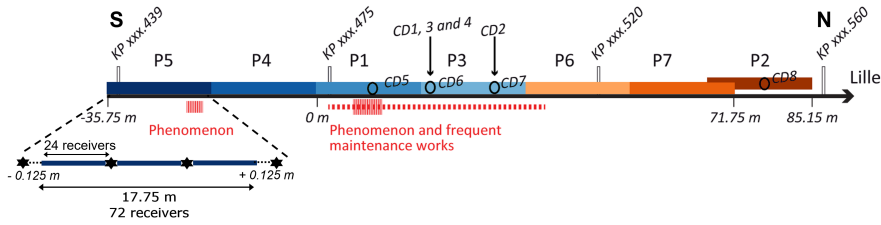


Figure 4: Schematic layout plan of the whole entire seismic line and description of a single seismic setup with 72 receivers (in blue on the left of the figure). The names of seismic profiles are written above each one. The color scale represents the position along the line with the southern seismic profiles in blue and the northern seismic profiles in orange. The position ‘0 m’ represent the beginning of P1. The boreholes cores drilling (CD#) located above the seismic profile are represented by black circles and with black arrow when they are in the same position but offset from the track. Local vertical motion of rails and sleepers observed at each train passage (the ‘phenomenon’) is showed by red plain lines. Frequently maintained track sections are represented by red dashed lines.

344 limit the influence of lateral variations on measurements as explained in de-
 345 tails for instance in [Pasquet and Bodet \(2017\)](#) (the term ‘lateral variations’
 346 is sometimes used by practitioners to refer to variations encountered ‘along’
 347 the seismic profile). SW dispersion analysis is indeed limited by the classical
 348 trade-off between lateral resolution and investigation depth ([Gabriels et al.,](#)
 349 [1987](#)). On the one hand, the inverse problem formulation imposes the inves-
 350 tigated medium to be assumed 1D under the geophone spread. Additionally,
 351 the spread itself has to be short enough to achieve lateral resolution if pro-
 352 filing is performed. On the other hand, long geophone spreads are required
 353 in order to: obtain high resolution dispersion spectra; record wavelengths
 354 great enough to reach expected investigation depth; mitigate near-field ef-
 355 fects; and discriminate modes at every available frequencies (see e.g. [O’Neill](#)

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et al., 2003; O’Neill, 2003; Bodet et al., 2005, 2009). Several window sizes were actually processed before eventually selecting the largest one with 72 geophones, as it offered the best spectral resolution (for more details about the process, see e.g. Pasquet and Bodet, 2017; Dangeard, 2019). The choice of this window size gives an empirical maximum investigation depth (Z_{max}) of 8.875 m (based on the maximum measurable wavelength (λ_{max}), approximately equal to the length of the stacking window, divided by two, $Z \approx \lambda/2$) (Bodet et al., 2009; Pasquet and Bodet, 2017; Foti et al., 2018). In addition, as recommended by Bodet et al. (2005) and recalled by Steinell et al. (2014), direct and reverse shots on both sides of a given spread (end-on and off-end) have to be considered to check the validity of the 1D assumption according to considered wavelengths.

4.2. Seismograms

For the survey presented here, the longest spreads consisted in 72 geophones. With our acquisition setup, only 2 shots were available on both side of the spread to be systematically compared for each seismic profile. This work is illustrated here for P1 and P2 which will systematically serve as examples, since they are supposed to represent two very different states of the studied RE (the disorder zone, P1, and an area with no apparent problem, P2). Figures 5a and 5b present the 72 geophones seismograms obtained with both shots for P1 and P2, respectively. The seismic traces look symmetrical as far as the guided waves are concerned, whether it is P1 or P2. The wavefield is very clearly disturbed by the catenary pole foundations at kilometre point (KP) xxx.475 for P1 (at 5 m in local coordinates). The signal recorded along P2 is perturbed by 50 Hz noise probably because of the buried electri-

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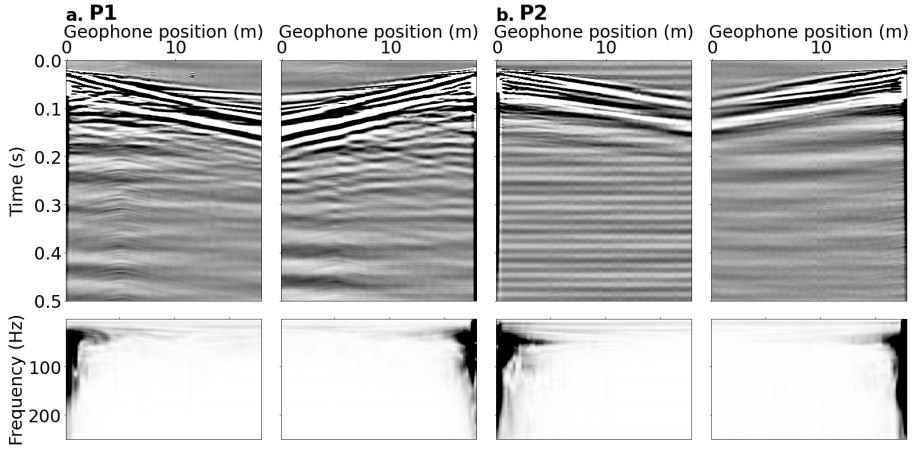


Figure 5: (a) Normalized seismograms (top) and spectrograms (bottom) of the direct and reverse shots for P1 (the geophone positions are given in the local coordinate system shown in Figure 4). The seismic traces are symmetrical with respect to the guided wave train. The spectrograms represents the frequency band along the seismic profile in which the wavefield is sufficiently energetic (maxima of amplitude in black) to allow dispersion interpretation. In (a), the wavefield is very clearly perturbed by the pole foundations at kilometre point (KP) xxx.475, around the geophone located at 5 m. In (b), the P2 shots show 50 Hz noise (probably due to the buried electrical networks and contact of the connectors with the wet ground after rain).

cal networks and a possible contact of the geophone connectors with the wet ground after rain during the survey. Strong ambient noise generated by the highway in the east produced low frequency wave fronts on both P1 and P2. As shown on spectrograms of Figures 5a and 5b, the frequency content of the data is very satisfactory as far as dispersion analysis is concerned, with significant energy from 20 Hz to at least 250 Hz.

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387 *4.3. Dispersion extraction*

388 Each of the seismograms was then transposed, after correction for geomet-
389 ric attenuation, into the frequency-phase velocity domain. The result of such
390 a wavefield transformation is called a ‘dispersion image’ (see the tutorial of
391 [Mokhtar et al. \(1988\)](#) for more details about the slant-stack in the frequency
392 domain). The dispersion images obtained for each shot of seismic profiles
393 P1 and P2 are presented in Figures 6a,b and 6d,e respectively. The spectro-
394 grams are reproduced on these figures to show the frequency band in which
395 the wavefield is sufficiently energetic to allow dispersion interpretation. Here
396 again, whether it is P1 or P2, the direct and reverse shots produced very sim-
397 ilar results. In this domain, the maxima (in red) on Figure 6) correspond to
398 the dominant events of the wavefield: the PSV waves. It appears that a very
399 large number of propagation modes are available in these images. But the
400 difficulty of identifying their rank and the effective nature of the dispersion
401 ([Lai and Rix, 1998](#); [O’Neill, 2003](#); [Lin and Ashlock, 2016](#)) only made it pos-
402 sible to pick the first two modes (in red and white on Figures 6c and f). The
403 RE offers waveguides that are favourable to the emergence of higher modes,
404 such as guided P waves, which could be interpreted in more advanced work
405 ([O’Neill et al., 2003](#); [Maraschini et al., 2010](#); [Boiero et al., 2013](#)) but would
406 involve specific processing tools not adapted to the RE context yet. The
407 maxima of the dispersion image resulting from the summation of the two
408 shots are also given (Figure 6c for P1 and Figure 6f for P2) and compared
409 with the individual picks on Figure 7. It clearly shows that the obtained
410 dispersion curves are identical (taking the errors in dispersion measurements
411 into account, according to the relationship introduced by [O’Neill \(2003\)](#) and

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412 essentially based on the resolution of the wavefield transformation).

413 These results validated the hypothesis of weak lateral variations along
414 the 72 geophones window (compared to involved wavelengths). They val-
415 idated the stacking of dispersion images from direct and reverse shots, for
416 both the improvement of the signal-to-noise ratio and the mitigation of near-
417 offset effects. Thanks to such stacking, it was also possible to preserve the
418 highest frequency part of coherent wavefields present in the near-offset traces
419 (O'Neill, 2003; Bodet et al., 2005, 2009). They also proved the weak influ-
420 ence on the dispersion analysis, of the noises identified on the seismograms
421 as well as the repeatability of the measurement. As mentioned earlier, this
422 work was systematically repeated for each seismic profile.

423 *4.4. Dispersion variability along the line*

424 As suggested by the seismograms presented previously (see Figure 5), the
425 dispersion images and the associated picked curves obtained for P1 (Fig-
426 ure 6a, b and c) and P2 (Figure 6d, e and f) show a very clear difference in
427 terms of dispersion. While the first higher mode (M1 on Figure 8) may be
428 common to both seismic profiles for a few points, the fundamental mode (M0
429 on Figure 8) is clearly different from one area to another, with lower phase
430 velocities in P1 than in P2. Since M0 is the one that reflects (very empiri-
431 cally) the properties of the medium, those measured in P1 and P2 suggest
432 lower V_S in the area affected by maintenance issues. The set of dispersion
433 data obtained for the 7 seismic profiles is finally presented on Figure 9 with
434 a color scale according to the position along the line. Again, only M0 and
435 M1 were safely enough identifiable in dispersion images to be picked (O'Neill
436 and Matsuoka, 2005). The observed dispersion covers a range of wavelengths

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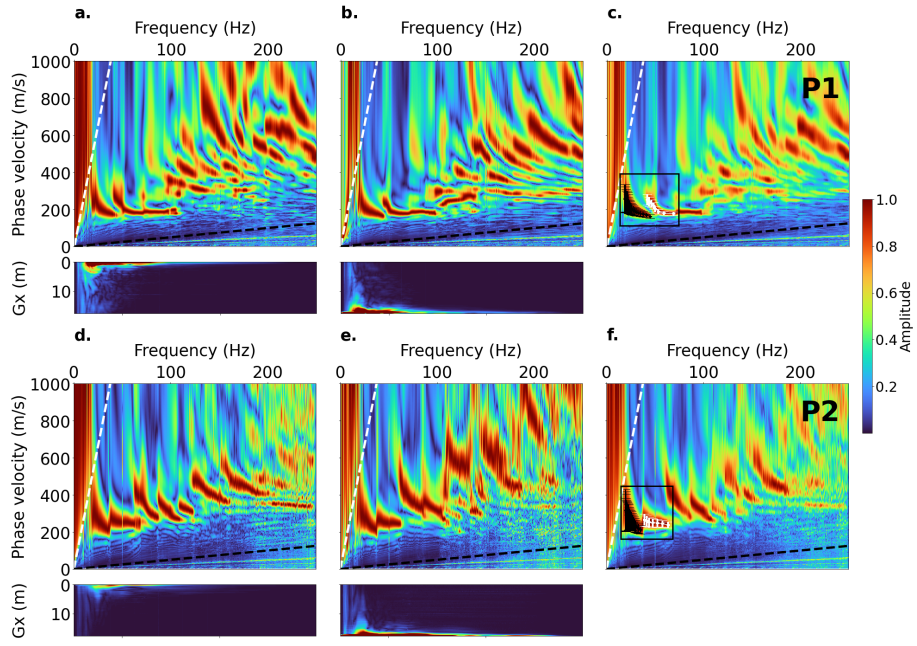


Figure 6: Normalized dispersion images and spectrograms of the direct and reverse shots (a and b for P1, d and e for P2) and stacked images for P1 (c) and P2 (f). Among the large number of propagation modes appearing on these images, only the fundamental mode (in black) and the first higher mode (in white) were picked (the errors in dispersion measurements are given empirically according to the relationship suggested by O'Neill (2003), essentially based on the resolution of the wavefield transformation). Limits of dispersion image analysis (λ_{min} and λ_{max}) are plotted in black and white dotted lines respectively. The spectrograms represent the frequency band along the seismic profile (Gx) in which the wavefield is sufficiently energetic (maxima of amplitude in red) to allow dispersion interpretation.

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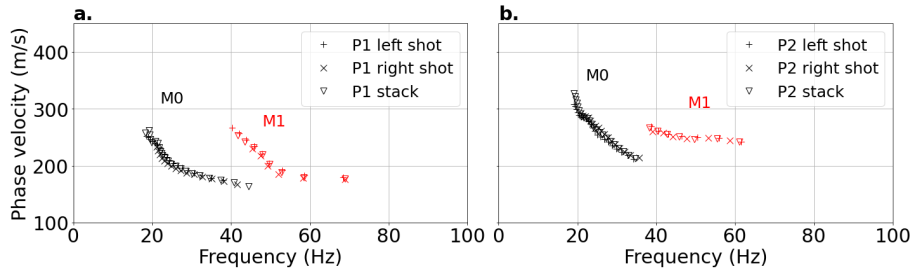


Figure 7: Dispersion data (modified from [Bodet et al., 2017](#); [Bodet, 2019](#)) plotted for each shot at P1 (a) and P2 (b) compared to those picked from stacked dispersion images. The fundamental mode (M0) is shown in black while the first higher mode (M1) is shown in red. The data were resampled in wavelength.

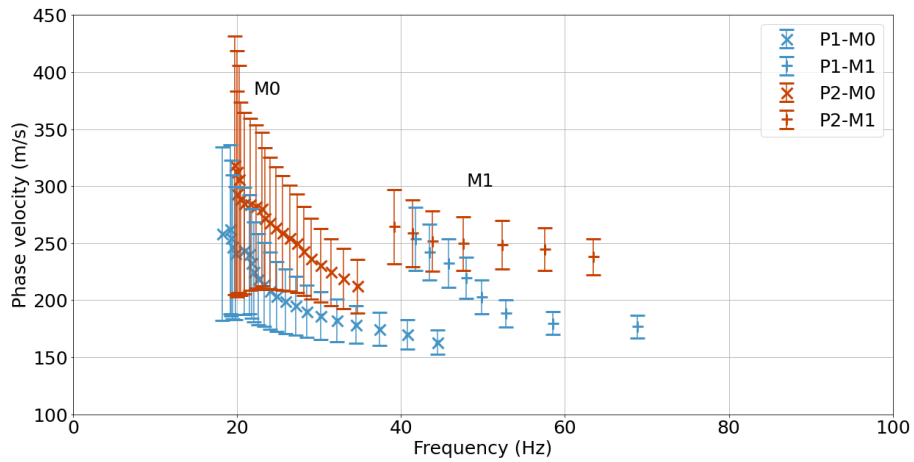


Figure 8: Comparison of the dispersion curves picked on the stacked images for P1 in blue (see [Figure 6c](#)) and P2 in orange (see [Figure 6f](#)). Only the fundamental mode (M0) and the first higher mode (M1) were considered. The data were resampled in wavelength and the error calculated according to the relation of [O'Neill \(2003\)](#).

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437 (0.3 to 18 m) considered as representative of the whole RE, including the
438 upper part of the substrate. Particular attention has been paid to the dis-
439 persion curves picking, e.g. by taking care to respect the limits related to the
440 resolution of the wavefield transformation and near-offsets effects, as recom-
441 mended by O'Neill (2003); Bodet et al. (2005, 2009) for instance. The data
442 show a partitioning of the dispersion that clearly corresponds to the observed
443 differences in maintenance as shown on Figure 9, with lower phase velocities
444 in blue in the phenomenon area, and higher phase velocities in orange in the
445 northern part of the line, with no apparent problems.

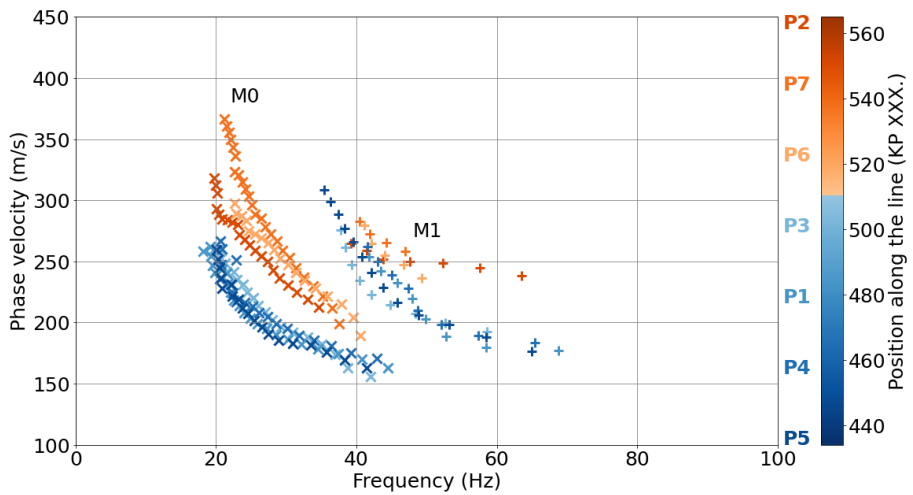


Figure 9: Dispersion curves (resampled in wavelength) plotted for every seismic profile (P1 to P7). Only the fundamental mode (M0) and the first higher mode (M1) were considered. The colorscale represents the location of seismic profiles along the line : the orange profiles are located further south (disorder area) and the blue profiles are located further north (healthy area), see Figure 4.

446 This is a first qualitative answer to the methodological questions posed

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447 by the study, at least as far as this particular site is concerned. The following
448 section will provide a more quantitative interpretation of these phase velocity
449 measurements, by estimating 1D V_S models centered on each seismic profile,
450 solving the classical SW dispersion inverse problem.

451 **5. Estimating V_S along the line: the inverse problem**

452 *5.1. Extracted dispersion as data space*

453 For each seismic profile, the data vector (\mathbf{d}^{obs}) to be inverted consist in
454 N_d phase velocity measurements ($V\phi_i^{obs}$):

$$\mathbf{d}^{obs} = [V\phi_1^{obs}, V\phi_2^{obs}, \dots, V\phi_i^{obs}, \dots, V\phi_{N_d}^{obs}]. \quad (2)$$

455 When 2 modes are picked (M0 and M1), N_d is the total number of velocity
456 measurements for M0 and M1 in their respective frequency range which can
457 overlap or not, depending of the seismic profiles. Frequency measurements
458 are independent variables of the problem. For each seismic profile, frequency
459 vectors are simply sorted the same way as \mathbf{d}^{obs} . They thus include N_d values
460 as well, possibly redundant when M0 and M1 are picked at the same fre-
461 quencies. $V\phi_i^{obs}$ is expressed with an uncertainty σ_i simulated according to
462 the relation of O'Neill (2003). This phase velocity uncertainty is extracted
463 from the dispersion images and actually varies non-linearly with frequency,
464 from the order of more than 30 % of the velocity at low frequencies to less
465 than 1 % at high frequencies, as shown by the error bars on the selected
466 dispersion curves for P1 and P2 on Figure 8. The uncertainties actually fol-
467 low a Lorentzian distribution type at low frequencies (O'Neill, 2003), usually
468 below 25 Hz for seismic acquisition setup used here. The implementation

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469 of this type of uncertainty allows accounting for the poor resolution of low
470 frequency, possible mode mis-identifications, as well as near-offset effects.

471 *5.2. A priori information on RE and associated setup of the parameter space*

472 HSL recently built in France (since the 1990s) are structurally well con-
473 strained (IN3278, IG90260), as presented in section 3.1 (see Figure 2). This
474 provides very strong *a priori* information such as the number of near-surface
475 layers, their thicknesses (H), or the approximate depth of the bedrock when
476 geotechnical soundings are available. Based on this knowledge, the soil model
477 of the studied RE has been built with 4 layers: the sub-ballast layer (in-
478 dex 1) ; the capping layer (index 2) ; a loess layer (index 3) and the half-space
479 of chalk (index 4) (see Figure 2). The actual parameters of this soil model,
480 as far as SW dispersion inversion is concerned, are then: H_j , V_{sj} , V_{pj} and ρ_j
481 for each soil layer j . Finally, this represents a set of 15 parameters for this
482 studied RE.

483 Ground surveys by *SNCF Réseau* confirmed the thicknesses in the study
484 area to be in agreement with the reference thicknesses from construction
485 standards for new HSL : 0.20 m and 0.55 m in the sub-ballast layer and the
486 capping layer respectively (Dhemaied et al., 2014a). ρ and V_p are considered
487 as parameters of weaker influence on SW velocity which mainly depends on
488 the V_s structure (see e.g. the sensitivity study of Socco and Strobbia, 2004).
489 Density values were then set according to the construction standards as well.
490 No information was available on V_p and Poisson's ratio (ν) was set to 0.33
491 (which is a classical value in soils) for all layers. Table 2 summarises the
492 fixed parameters finally chosen for this study.

493 The integration of all the *a priori* information on parameter values al-

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Table 2: Parameters fixed according to available *a priori* information for the considered type of RE

Description of the parameter (units)	Name	Value
Thickness of the sub-ballast layer (m)	H_1	0.2
Thickness of the capping layer (m)	H_2	0.55
Poisson’s ratio in every layer	ν	0.33
Density of the sub-ballast layer (kg/m ³)	ρ_1	2210
Density of the capping layer (kg/m ³)	ρ_2	2160
Density of the loess layer (kg/m ³)	ρ_3	2150
Density of the chalk layer (kg/m ³)	ρ_4	2150

494 lowed us to eventually constrain the model space to only 5 parameters of
495 interest (the others being either fixed or depending on them) and thus form
496 the following model vector \mathbf{m} ,

$$\mathbf{m} = [V_{s1}, V_{s2}, V_{s3}, V_{s4}, H_3]. \tag{3}$$

497 The limits of the model space were also set according to the *a priori* infor-
498 mation on this RE (see table 3). The borehole data (Dhemaied et al., 2014a)
499 indicated that the thickness of the loess layer (H_3) was between 3 and 7 m.
500 The top of the half-space depth was consequently chosen in agreement with
501 the classical empirical relations giving the maximum investigation depth as
502 used by practitioners and mentionned in section 4.1 ($Z_{max} \approx \lambda/2$) (see e.g.
503 Bodet et al., 2009; Pasquet and Bodet, 2017). Preliminary inversions with
504 greater degrees of freedom (Bodet et al., 2017; Bodet, 2019) suggested that
505 V_{s1} and V_{s2} should not exceed 300 m.s⁻¹ and that V_{s3} should vary
506 from 180 to 350 m.s⁻¹, depending on the considered zone (as confirmed by

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Table 3: Parameter space, boundaries and sampling interval: shear-wave velocity of the sub-ballast layer (V_{s1}), shear-wave velocity of the capping layer (V_{s2}), shear-wave velocity of the loess (V_{s3}), shear-wave velocity of the chalk (V_{s4}) and thickness of the loess (H_3). This parameterisation creates a space composed of $N_m = 907,200$ possible models.

Parameter name (unit)	Min value	Max value	Sampling interval	Number of possible values
V_{s1} (m.s ⁻¹)	50	270	11	21
V_{s2} (m.s ⁻¹)	60	130	7	10
V_{s3} (m.s ⁻¹)	180	350	10	18
V_{s4} (m.s ⁻¹)	300	600	20	15
H_3 (m)	3	7	0.25	16

BE data). V_{s4} was authorized to vary in a range of 300 m.s⁻¹ to 600 m.s⁻¹, as suggested by maximum apparent velocities observed in the data. The velocity sampling step (see table 3) was defined according to the dispersion resolution and uncertainty: with greater steps for deeper layers empirically corresponding to the dispersion response at low frequencies and ; with smaller steps for shallow layers empirically corresponding to the dispersion response at high frequencies. According to empirical relationships used by the community as well (see e.g. O'Neill, 2003; Bodet et al., 2005; Dangeard et al., 2018), the best possible resolution in thickness was estimated equal to the seismograms space sampling interval (25 cm). This parameterisation finally leads to a space of $N_m = 907,200$ possible models.

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518 *5.3. Retrieving V_S from dispersion data in a Bayesian framework*

519 From the parameter space described above, a basic grid search algorithm
520 is used to build the following misfit function:

$$misfit(\mathbf{m}) = \sum_{i=1}^{N_d} \frac{(V\phi_i^{calc} - V\phi_i^{obs})^2}{\sigma_i^2}, \quad (4)$$

521 where $V\phi_i^{calc}$ are the elements, at each measurements frequency, of the syn-
522 thetic data vector (\mathbf{d}^{calc}) computed for \mathbf{m} using the Thomson-Haskell matrix
523 propagator method (Thomson, 1950; Haskell, 1953), as classically used to
524 provide theoretical SW dispersion curves in horizontally stratified media. An
525 example of the results provided by the grid search is given in Figure 10. It
526 shows all dispersion curves and associated V_S models represented according
527 to their corresponding *misfit* value, for the data collected along P1 (Fig-
528 ure 10a, b) and P2 (Figure 10c, d). In theory, the solution of the inverse
529 problem (if it exists) is the minimum of the *misfit* function.

530 Misfit minima for the parameter V_{S1} are observed around 80 m.s⁻¹ for P1
531 and 210 m.s⁻¹ for P2. In contrast, V_{S2} for P1 shows minimum misfit values
532 around 100 m.s⁻¹ while for P2 the minima are around 75 m.s⁻¹. As expected
533 from BE data, the minima of the misfit show higher V_{S3} for P2 than for P1,
534 with velocity of the order of 300 m.s⁻¹ and 210 m.s⁻¹ respectively. The
535 parameters H_3 and V_{S4} do not present distinct misfit minima for their part,
536 which means that it is not possible to find unique valuable values for these
537 parameters and that the chalk layer might be deeper than the maximum
538 investigation depth.

539 In order to present these grid search results with an estimation of their
540 reliability, they have been expressed, as suggested by Tarantola and Valette

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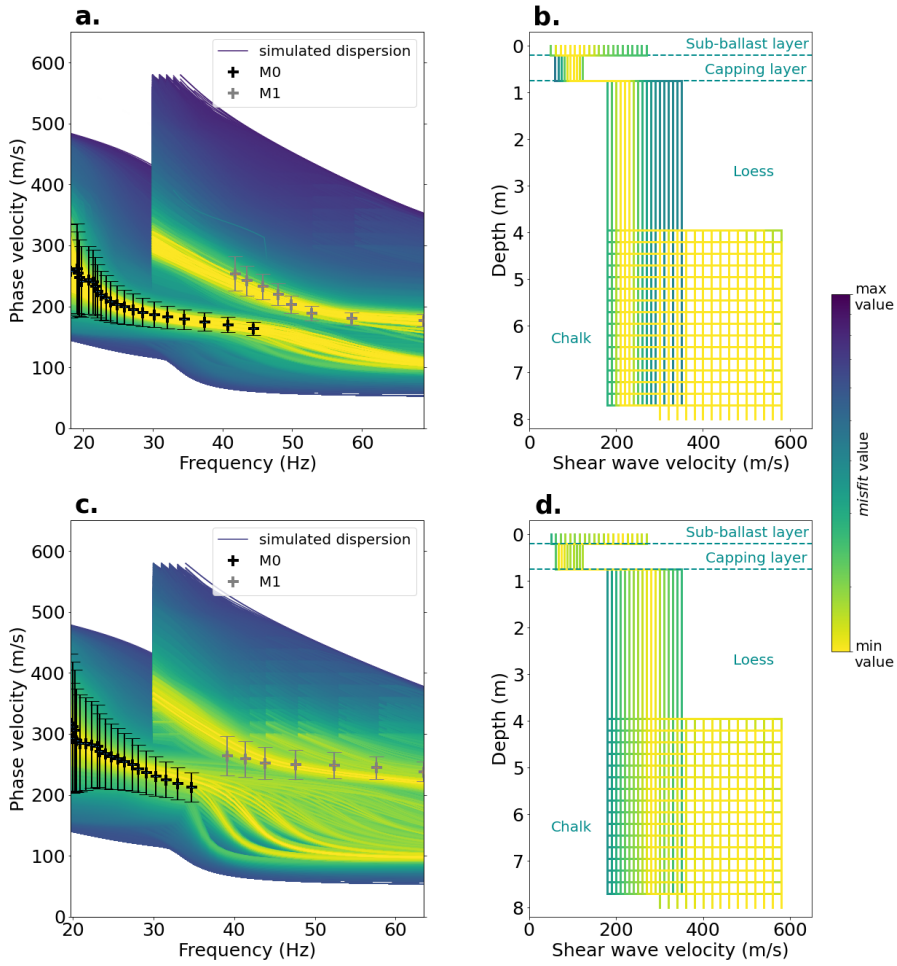


Figure 10: Grid search results: at the top for P1 (a, b) and at the bottom for P2 (c, d). (a) and (c) show dispersion curves with the fundamental mode (M0) and the first higher mode (M1). (b) and (d) represent velocity models. Each dispersion curve and each velocity model are shown with a color depending on the misfit value between the data (black dots and error bars) and the simulated dispersion. The data were resampled in wavelength and the error has been calculated according to the relation of O'Neill (2003).

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(1982); Tarantola (2005), in terms of the *a posteriori* probability density function (*pdf*) $\sigma(\mathbf{m}|\mathbf{d}^{obs})$, through the application of the Bayes' theorem:

$$\sigma(\mathbf{m}|\mathbf{d}^{obs}) = \frac{p(\mathbf{d}^{obs}|\mathbf{m})\rho(\mathbf{m})}{\int_{\mathcal{M}} p(\mathbf{d}^{obs}|\mathbf{m})\rho(\mathbf{m})d\mathbf{m}}, \quad (5)$$

where $\sigma(\mathbf{m}|\mathbf{d}^{obs})$ estimates the probability to recover the 1D V_S vertical structure of the RE below each seismic profile along the line, knowing the phase velocity data and associated uncertainties. $\rho(\mathbf{m})$ is the *a priori pdf* which contains all the information available on the RE structure and properties of the subsurface even before acquiring the phase velocity data. The actual *a priori* are the limits (minimum and maximum possible values) of the parameter space \mathcal{M} , as described earlier in Table 3. It thus consists in the uniform *a priori* and has been defined homogeneous throughout the model space with

$$\rho(\mathbf{m}) = 1/N_m . \quad (6)$$

$p(\mathbf{d}^{obs}|\mathbf{m})$, on its side, is the function which sets the likelihood of every 1D V_S vertical models of the RE as defined in the parameter space, when the phase velocity dispersion that could be calculated from them (\mathbf{d}^{calc}) is compared to actually measured data (\mathbf{d}^{obs}). As mentioned above, the comparison was performed (in the grid search) considering that measured phase velocity data have uncertainties and that the visited models do not reproduce them perfectly, so the likelihood depends on the misfit function in the following manner:

$$p(\mathbf{d}^{obs}|\mathbf{m}) = e^{-\frac{1}{2}misfit(\mathbf{m})} . \quad (7)$$

The *a posteriori pdf* $\sigma(\mathbf{m}|\mathbf{d}^{obs})$ are given as example for P1 and P2 on Figure 11 and Figure 12, respectively. These figures show, in a matrix format

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561 for every parameters at once, every possible 2D marginal *pdfs* in order to re-
562 veal possible covariances, as well as the 1D *a priori* and *a posteriori* marginal
563 *pdfs* (on the diagonal of Figure 11 and Figure 12). For both P1 and P2, the
564 2D marginal *pdfs* show very clearly that the systematic grid search does not
565 suggest any distinct solution for H_3 nor for V_{s4} . The velocities of the 3 first
566 layers seem on the other hand well defined in the *a priori* limits. The 2D
567 marginal *pdfs* indeed show Gaussian shapes with unique maxima, in partic-
568 ular quite distinct for the (V_{s2}, V_{s3}) couple. These two parameters appear
569 to be very different depending on the measurement position along the line.

570 These *a posteriori pdf* $\sigma(\mathbf{m}|\mathbf{d}^{obs})$ have been computed for every seismic
571 profiles and the marginal *a posteriori pdf* associated to each parameters are
572 presented in Figure 13. As clearly shown on the 2D marginals, the parameters
573 V_{s4} and H_3 are not well defined (1D marginals *pdfs* do not present Gaussian
574 shapes neither clearly identifiable maxima). The *a posteriori* marginal *pdfs*
575 of V_{s1} do not seem to be well defined, as they does not show neither clear
576 Gaussian shapes or unique maxima, except for P3. It is therefore difficult to
577 interpret the behaviour of the mechanical state in the sub-ballast layer along
578 the study zone. The marginal *a posteriori pdfs* for V_{s2} are not very well
579 defined neither. Yet, the *pdfs* suggest slightly higher V_{s2} on the southern
580 part of the line compared to the northern part. In contrast, for V_{s3} , *pdfs*
581 clearly show two distinct groups with lower velocities in the disorder zone
582 compared to the ‘healthy’ area. The most important feature here is that
583 these *pdfs*, which do not overlap in velocity (their width are different due to
584 the contrast of velocity, hence corresponding uncertainty), do show a parti-
585 tioning in very good agreement with BE data and analyses presented earlier.

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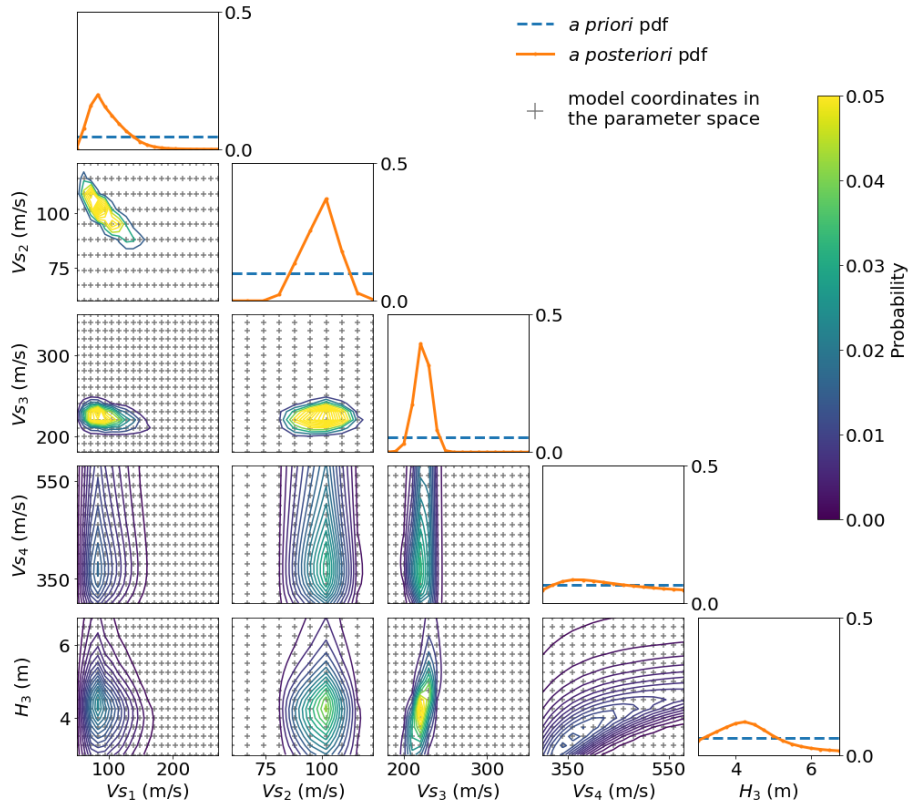


Figure 11: Bayesian appraisal of the grid search for P1, presented as a cornerplot. The diagonal presents the *a posteriori pdf* (in orange) for each parameter (label and legend given along the x-axis, the y-axis giving the probability value). The homogeneous *a priori pdf* is given in blue dashed lines. The other plots represent the *a posteriori* marginal *pdf* per pair of parameters (labels and legend presented along the x and y-axis). The colorbar present the probability value. The grey plus sign indicates the model coordinates in the parameter space.

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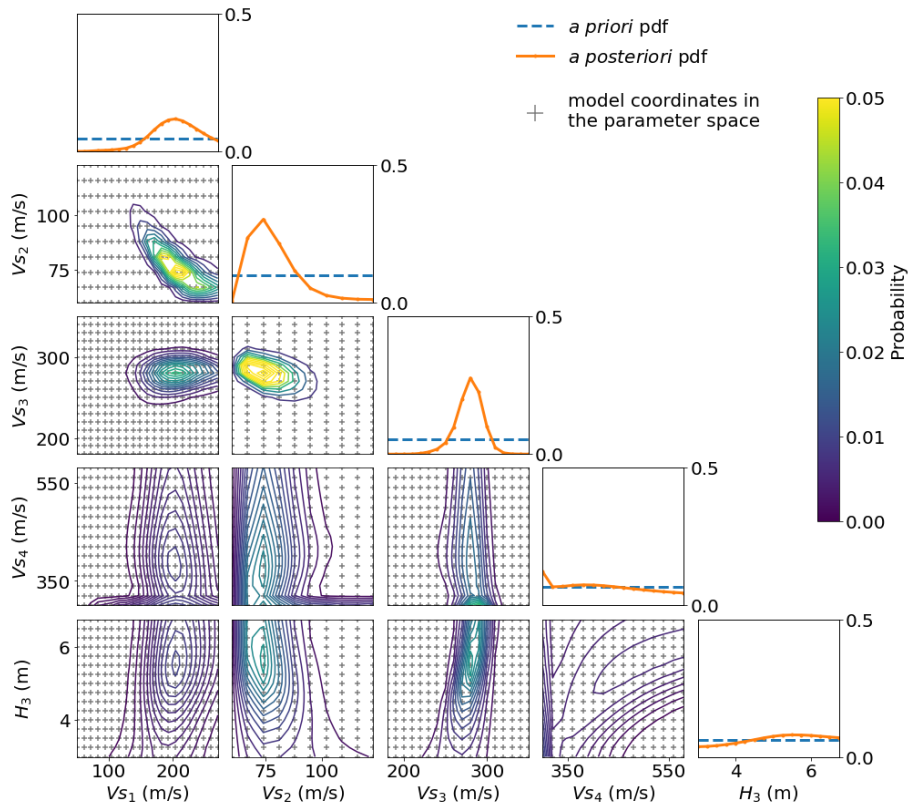


Figure 12: Bayesian appraisal of the grid search for P2, presented as a cornerplot. The diagonal presents the *a posteriori pdf* (in orange) for each parameter (label and legend given along the x-axis, the y-axis giving the probability value). The homogeneous *a priori pdf* is given in blue dashed lines. The other plots represent the *a posteriori* marginal *pdf* per pair of parameters (labels and legend presented along the x and y-axis). The colorbar present the probability value. The grey plus sign indicates the model coordinates in the parameter space.

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586 As shown above, the parameter that shows the best definition of *pdf* maxima
587 and strong partitioning is V_{S3} .

588 To go further, the marginal *a posteriori pdf* on G in the loess layer (G_3)
589 was inferred in a Bayesian framework as well and presented in Figure 14.
590 These results show the same behaviour, i.e. G_3 in the northern profiles
591 is clearly higher than in the southern profiles. The results can be compared
592 with the laboratory tests presented in section 3.3, yet only qualitatively since
593 these moduli are inferred from soil samples with average values per layer and
594 under different state of stress. The comparable samples are from CD2 and
595 CD8 (see Table 1) at 2.6 m and 2.9 m and located on P3 and P2, respectively.
596 CD2 is representative of the medium at the southern part of the profile with
597 low G values (of 52-64 MPa) while CD8 is typical of the good RE state with
598 higher values (around 242-289 MPa).

599 **6. Conclusions**

600 Samples previously collected and studied in the RE along the targeted
601 site, originally showed that laboratory V_S measurements and microporosity
602 were the only data able to identify the origin of maintenance anomalies, when
603 classical geotechnical approaches (*in situ* or laboratory tests) would fail. V_S
604 thus appeared as a good indicator of the mechanical quality of materials in
605 the RE. These results made it possible to propose this area of the France
606 northern HSL as a test site for the development of non-destructive and more
607 efficient methods to monitor RE. SW techniques with small size setups were
608 thus suggested to estimate V_S along these structures (HSL RE). SW acqui-
609 sitions proved their advantage of robustness against noise and influence of

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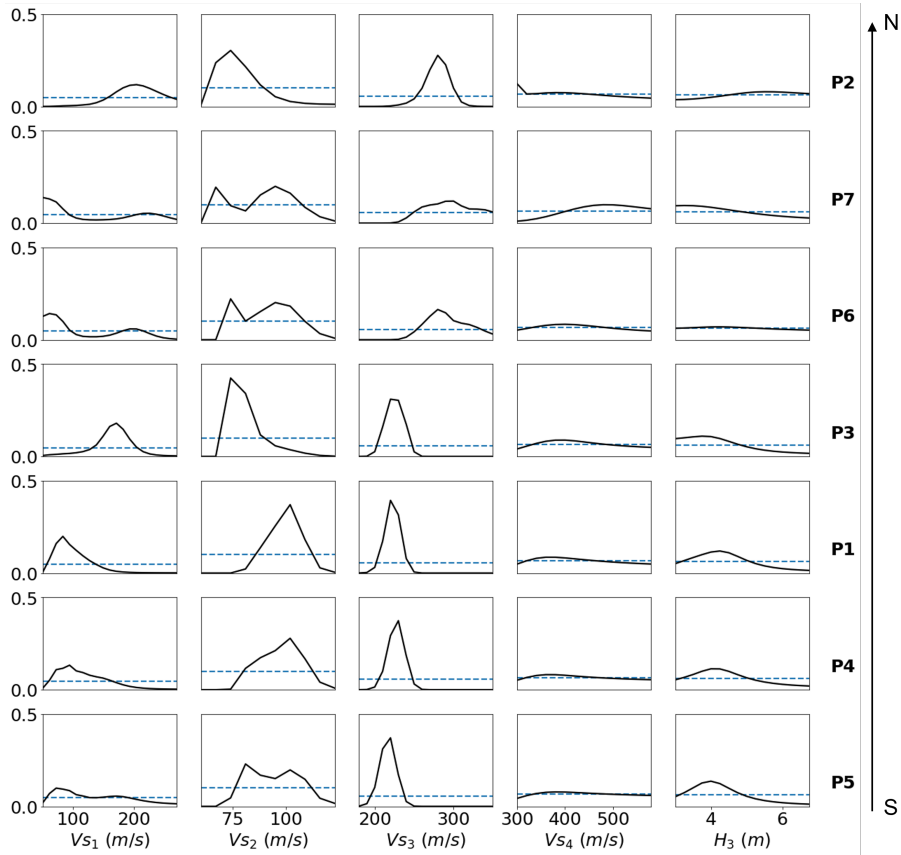


Figure 13: Marginal *pdfs* of each parameters of the Bayesian inversions performed for each seismic profiles. The profiles are ordered according to their position along the line (see figure 4). The blue dotted lines represent the *a priori* marginal *pdfs* and the black lines represent the *a posteriori* marginal *pdfs*.

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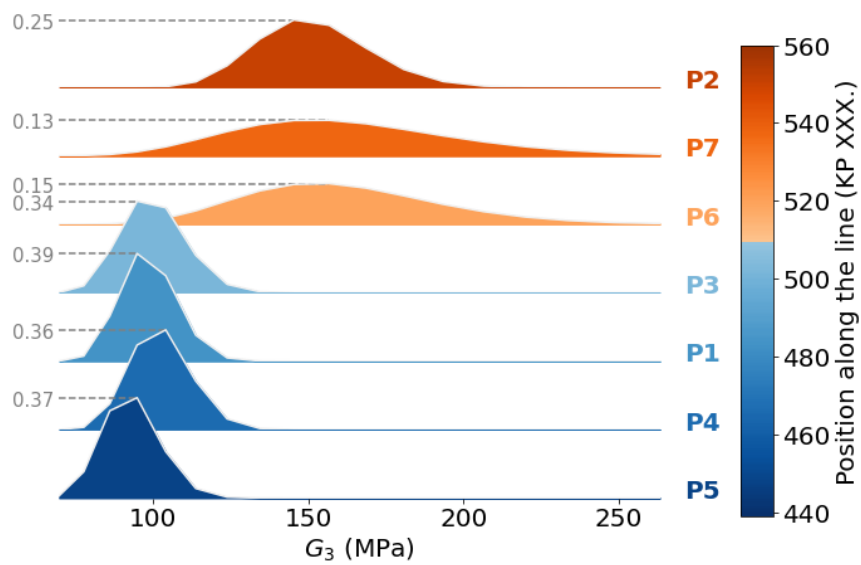


Figure 14: Synthetic representation of the Bayesian inversions performed along the line for the *a posteriori* marginal pdf of G_3 . The seismic profiles are ordered and colored according to their position along the line: the orange profiles are located further south (disorder area) and the blue profiles are located further north (healthy area), see Figure 4. The grey values on the left are the probabilities of each maxima (the pdfs are plotted with equal vertical scales).

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610 3D structures in this particular context. The systematic record of both di-
611 rect and reverse shots seismograms allowed reliable and repeatable dispersion
612 measurements along the trackside. This approach, combined with dispersion
613 image stacking, offered sufficient spectral resolution in wide frequency and
614 velocity ranges. The dispersion images have then been meticulously analysed
615 and the choice of picking only the fundamental and first higher mode was
616 made. This was assumed to be the best compromise between the amount
617 of information accessible in the dispersion image and the safe identification
618 and inversion of propagation modes. 7 seismic profiles, intercepting the area
619 of unusual maintenance works, then produced very distinct SW propaga-
620 tion velocities (correlated with the previously identified phenomenon). The
621 strong *a priori* knowledge available for this type of RE structure, allowed
622 a very well constrained inversion of dispersion data for 1D models of V_S
623 along the trackside. The inversion of each profile has been proposed in a
624 Bayesian framework, in order to estimate the *a posteriori pdf* on V_S for each
625 layer of the RE. The presentation of these *a posteriori pdf* for every profiles
626 clearly showed a partitioning, particularly marked in the loess layer, that
627 corresponds to the observed RE stability issues. Thanks to the Bayesian
628 framework chosen for dispersion data interpretations, the suggested method
629 enabled the estimation of shear moduli in the affected layer, with levels of
630 confidence. These results are very encouraging with regards to the applicabil-
631 ity of the developed approach in an industrial manner, with the possibility to
632 consider it as a decision support tool. However, the methodology presented
633 in this article, remains to be validated in different contexts, and above all
634 to be optimised for large scale deployments. Several aspects have to thus be

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635 addressed, such as the development of an operational and versatile method-
636 ology (e.g. adaptable to the variety of RE ‘heritage’ and the diversity of
637 *pathologies* to be diagnosed). In order to achieve this, it will be necessary to
638 fully extract all the available information from the dispersion images. It is
639 therefore planned to develop tools for more automatic dispersion analysis and
640 inversion (using machine and/or deep learning algorithms). The systematic
641 implementation of the method eventually requires the design of deployment
642 tools with sufficiently high yield to support decision-making and to guide
643 choices in terms of monitoring and maintenance.

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Sample CRediT author statement

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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Highlights

Detecting mechanical property anomalies along railway earthworks by Bayesian appraisal of MASW data

A. Burzawa, L. Bodet, A. Dhemaied, M. Dangeard, S. Pasquet, Q. Vitale,
J. Boisson-Gaboriau, Y. J. Cui

- Designing non-destructive tools allowing efficient diagnosis of railway earthworks
- Geotechnical and geophysical study of a test site along an High Speed Line
- Surface-wave seismic acquisition to delineate shear-wave velocity variations
- Correlation between shear-wave velocity decrease and abnormal maintenance efforts
- Shear moduli profile presented in probability to provide a decision support tool