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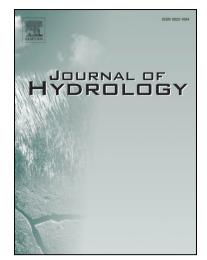
#### Research papers

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# Evaluating Terrain-Based HAND-SRC Flood Mapping Model in Low-Relief Rural Plains Using High Resolution Topography and Crowdsourced Data

#### 3 Keywords:

- 4 Height above nearest drainage; Synthetic rating curve; Low-complexity model; DEM resolution; Hydro-
- 5 conditioning; HEC RAS

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#### 26 Abstract

- 27 Low-complexity terrain-based models are increasingly utilized for their rapid simulation time and low
- 28 data requirements. The Height Above Nearest Drainage terrain index coupled with Synthetic Rating

29 Curves (HAND-SRC) emerges as a prominent model for mapping floods from a digital elevation model 30 (DEM). However, the DEM requirements for its implementation remain unclear in many geographic 31 settings. In this study, we evaluate the terrain conditions necessary for HAND-SRC flood mapping in 32 rural low-relief terrain. This was investigated in the Ostouane River, Northern Lebanon, where an intensive field investigation was conducted to collect a high-resolution DEM (25 cm), bathymetric cross-33 sections, and a crowdsourced dataset reconstituting the January 2019 flood event. Specifically, we 34 35 scrutinize both the terrain's geometric representation and its resolution. An adapted hydro-conditioning process was introduced to assess flood mapping performance. This process integrated surveyed and 36 37 theoretical bathymetry, enforced drainage into both bathymetry and floodplain and removed levees in an unorthodox approach. The generated terrain was then tested after resampling it into coarser DEM 38 resolutions. The hydro-conditioned terrain with integrated surveyed bathymetry demonstrated reliable 39 40 flood mapping accuracy against crowdsourced data (CSI = 0.64 and RMSE = 0.54 m) and HEC-RAS 41 extents (CSI = 0.66). Introducing a theoretical trapezoidal bathymetry based on hydraulic geometry power laws produced improved metrics due to enhanced drainage continuity between the channel and 42 floodplains. Analysis of the resampled terrains highlights a random loss of terrain convergence and 43 44 geometric accuracy, disrupting the model's implementation and accuracy at coarser resolutions (> 1 m). 45 Overall, the comprehensive hydro-conditioning approach allows the model to depict the full inundation

- 46 extent and retain the topographic accuracy in the HAND index raster. A sufficient grid resolution that
- 47 maintains terrain convergence and drainage continuity is essential to overcome the challenges of low-
- relief topography. While the model admits limitations in cell-by-cell flood depth estimations, we suggest
- 49 that it can be highly beneficial for rapid and accurate flood mapping.

#### 50 1. Introduction

51 Floods are one of the most threatening natural hazards, imposing significant risk on exposed populations.

52 Every year, around 83 million humans are impacted by floods, causing billions of dollars in losses and

thousands of casualties (CRED, 2022; Rentschler & Salhab, 2020). Driven by the need for comprehensive

54 flood risk management, floods have become a major focus of scientific research aimed at improving

55 methods that facilitate hazard modelling, inundation mapping and emergency response (Jafarzadegan et

- 56 al., 2023; Teng et al., 2017).
- 57 The distinctive flooding problems encountered in various basins worldwide triggered the synthesis of a multitude of adapted modelling techniques during the past decades. Yet, finding an approach that best fits 58 59 the modelling problem and purpose is still a challenge in the field (Jafarzadegan et al., 2023). Factors 60 such as data availability, flood response time, computational requirements, basin characteristics and the desired level of accuracy define these problems and dictate the choice and complexity of the approach. 61 62 The availability and reliability of gauged rainfall and discharge data needed for model optimization, 63 remain a major concern in ungauged and data-scarce basins around the globe (Grimaldi et al., 2013). Nevertheless, the integration of remote sensing and crowdsourcing as alternative sources of information 64 65 has contributed to improving modelling accuracy despite their constraints in temporal domains (Notti et 66 al., 2018; Sy et al., 2019). Topographic data remain the main input in any type of flood modelling and have become highly accessible providing extensive coverage at global scale. Simultaneously, topographic 67 68 surveying techniques can now provide accurate, high resolution and low-cost products (Tamminga et al., 69 2015). This transformation and expansion in input data, be it in quality, quantity, scale or coverage
- 70 presents an opportunity to assess new generations of well adapted inundation mapping approaches.
- 71 In general, three classifications of flood mapping methods can be identified: empirical methods, hydraulic
- 72 models, and simplified conceptual methods (Teng et al., 2017). Empirical methods directly rely on
- rd statistical approaches and on observational data (Mudashiru et al., 2021). However, they can be limited in
- their spatio-temporal domains and constrained by engineering, financial and environmental factors.
- 75 Hydraulic models are the most utilized approach for replicating flow dynamics based on shallow water

requations. They require many inputs, including channel and floodplain geometries, surface roughness

77 coefficients and hydraulic structures dimensions, necessitating substantial computational resources. In

- 78 contrast, simplified conceptual models, also referred to as "low-complexity models", adopt simplified
- physical concepts that rely mainly on topographic information for flood delineation. Many of these
   models are based on the manipulation and analysis of digital elevation models (DEMs) through various
- techniques such as filling and spilling (Lhomme et al., 2008; Jafarzadegan et al., 2023) or by integrating
- power laws of hydraulic geometry such as the hydro-geomorphic method and the geomorphic flood index
- method (Annis et al., 2019; Deiana et al., 2023; Nardi et al., 2006). These models have become more
- prominent driven by the advantages they offer despite lacking accurate representation of overland flow
- 85 physics (Afshari et al., 2018; Dhote et al., 2023; McGrath et al., 2018).

86 Terrain based flood mapping approaches were initially driven by the widespread availability of satellite-

- 87 based DEMs covering many ungauged basins around the globe. The concept behind their development
- asserts that floodplains can be distinguished relying on the geomorphic footprint that remains embedded
- 89 in the terrain even in altered and urbanized areas (Annis et al., 2019; Nardi et al., 2006). This has led to
- 90 the emergence of several terrain-based models that extract the topographic properties of rivers and
- 91 floodplains in the form of synthetic and composite indices (Lioi et al., 2020) such as the geomorphic
- 92 flood index (Samela et al., 2017), the topographic index (Beven & Kirkby, 1979; Manfreda et al., 2011) 93 and slope position (Dhote et al., 2023). Because of their low-complexity, low data requirement and rapid
- 93 and slope position (Drote et al., 2023). Because of their low-complexity, low data requirement and rapid 94 simulation time, these methods have been adopted for flood forecasting and large-scale flood inundation
- 95 simulation time, these methods have been adopted for nood forecasting and large-scale mood mundation 95 mapping. Despite that, their accuracy has been hampered by the coarse resolutions of DEMs (Manfreda et
- 96 al., 2014), which are unable to capture flood controlling features (Schumann et al., 2013). However, the
- 97 increasing availability of high-resolution digital elevation models (HRDEM) may provide new avenues in
- 98 this field as they have undeniable advantages in terms of vertical accuracy and detection of detailed
- 99 features. Finer resolutions were found to improve the performance in low-complexity terrain-based
- approaches (Aristizabal et al., 2024; Garousi-Nejad et al., 2019). However, such level of detail can
- introduce additional errors and require supplementary processing caused by artificial structures and
- topographic depressions that influence the flow direction and accumulation patterns especially in complex 102
- low-relief terrains (Woodrow et al., 2016; Zheng et al., 2018a). Furthermore, terrain representation within
   a DEM is another concern in flood modelling. Features such as bathymetry and levees are critical
- 104 a DEM is another concern in nood modelling. Features such as bathymetry and levees are critical 105 components that influence the performance and accuracy of various models (Afshari et al., 2018;
- 106 Ghanghas et al., 2022; Wing et al., 2019a).
- 107 Height Above Nearest Drainage or HAND is a terrain index defined as the height of a DEM grid cell
- above the nearest drainage flow line into which the cell drains. The concept of HAND was first
- 109 introduced by Rennó et al. (2008) based on initial attempts by Rodda (2005) to map floods on a cell-by-
- 110 cell basis. The approach utilizing HAND index use a stage height representing a water level above a
- 111 reference drainage line. Subsequently, all DEM grid cells hydrologically connected to the drainage line
- are classified as either flooded or non-flooded cells based on the HAND value of each cell. The literature
- 113 on HAND highlights several developments aimed at overcoming the limitations of the method. The main
- novelty in the approach is the introduction of synthetic rating curves (SRCs) by Zheng et al. (2018b),
- which allow estimating a stage height from normalized hydraulic geometry over a river reach. HAND-
- 116 SRC as a parsimonious hypsometric model has shown to be reliable for flood inundation mapping when 117 tested against established flood manage assured absorvations and remote consists data (Aristin-hall et al.
- tested against established flood maps, gauged observations and remote sensing data (Aristizabal et al.,
   2024; Garousi-Nejad et al., 2019; Johnson et al., 2019; Zheng et al., 2018b). The National Water Center
- 119 (NWC) in the US implemented the model for continental-scale flood inundation mapping and integrated it
- 120 into operational forecasting (Li et al., 2023; Liu et al., 2018). Nevertheless, its applications extend to
- hydrological landscape classification (Gharari et al., 2011), detection of groundwater potential areas
- 122 (Hamdani & Baali, 2019) and in correlating soil-water gradients (Schietti et al., 2014).

123 Within flood modelling domain, crowdsourced data has been increasingly integrated as it has emerged as

a supplementary low-cost source of information. Such data are a product of the participatory contribution

125 of the general public in generating and developing new knowledge that can be beneficial in a scientific 126 context (Buytaert et al., 2014). The integration usually exploits photographic records, videos, texts and

measurements collected through different means such as interviews, applications and social networks.

128 Furthermore, it relies on eyewitness accounts to characterize and extract geo-tagged information on past

- 129 flood events (Paul et al., 2018; Sy et al., 2019, 2020). Such observations have also been assimilated into
- 130 hydrological and hydraulic models with the objective of enhancing flood forecasting (Mazzoleni et al.,
- 131 2017; Songchon et al., 2023) or to calibrate and validate inundation models (Dasgupta et al., 2022;
- 132 Gitundu et al., 2023; Malgwi et al., 2021). Crowdsourced data proves to be advantageous compared to 133 satellite imagery by providing dense and detailed information of flood depths in urban settings. This data
- can be particularly useful in small ungauged basins whose flood response is faster than the satellite revisit
- 135 interval.
- 136 Most recent research on the HAND-SRC flood hazard mapping scrutinized large scale applications,
- 137 where coarse satellite-based DEMs enable the operational use of the model at regional and national scales
- 138 (Liu et al., 2018; Yamazaki et al., 2019). Nevertheless, a comprehensive assessment of HAND-SRC at
- smaller scales is still very limited (Li et al., 2023) and its adaptation in different geographies remains
- scarce (Wing et al., 2019b). Furthermore, combining high resolution DEMs with bathymetric surveys is
- absent in the literature, while crowdsourced data remain largely untested for assessing terrain-based
   models (Zheng et al., 2022). Despite the advancements in the HAND-SRC approach, low-relief terrains
- have been found to present a challenge due to the inherent problems in the DEMs and the modelling
- implementation (Afshari et al., 2018; Godbout et al., 2019; Hocini et al., 2020; Jafarzadegan et al., 2022;
- Johnson et al., 2019). A literature review on HAND-SRC in low-relief regions revealed two key aspects
- that could potentially enhance the model's performance. These were: (1) the terrain setup, including
- feature representation and DEM preparation through hydro-conditioning and (2) the DEM resolution andaccuracy.
- 140 The main chiesting of this study is to evolute the sear liting assessment for offere

149 The main objective of this study is to evaluate the conditions necessary for effective fluvial flood

150 mapping using the HAND-SRC model in a low-relief setting. It also aims to assess the opportunities from

using high-resolution DEM based on a series of tested configurations that scrutinize the terrain and its resolution. For this purpose, a high-resolution digital elevation model, a bathymetric survey, and a dataset

152 of crowdsourced past flood water levels were utilized. The study was conducted in a low-relief rural

- floodplain with anthropogenic features, comprising a mixed urban and agricultural landscape within a
- small Mediterranean basin. This work encompasses an intensive field investigation to collect a detailed
- 156 spatial and topographic dataset in addition to a crowdsourcing campaign of a past event.

157 The paper is divided into four sections. The first section describes the study area and its hydrological,

morphometric and physical characteristics as well as the acquisition of the topographic, spatial,

159 hydrometric and crowdsourced datasets. The second section presents the methodology followed to

develop the HAND-SRC terrain-based model and a HEC RAS hydraulic model. The proposed steps for

- preparing the DEM by creating terrain setups are then outlined along with the parameters, resolutions and
- 162 metrics used for evaluation. In the results section, the terrain hydro-conditioning processes and parameter
- choices are first evaluated, followed by the flood mapping performance and finally the effect of varying
   DEM resolution. Thereafter, the findings are discussed in light of the tested processes and configurations.
- Finally, conclusions are drawn on the capabilities and limitations of the HAND-SRC with
- 166 recommendations for future work.

# 167 2. Study area and data

168 2.1. Ostouane basin in North Lebanon

- 169 The study is conducted in the Ostouane River basin located in Northern Lebanon in the region of Akkar
- 170 (Fig. 1a). The basin is one of the country's coastal catchments along the eastern Mediterranean. The
- river's headwaters originate from the northernmost section of the Mount Lebanon range near Akkar al-171 Attika. The river drains an area of approximately 144.1 km<sup>2</sup> and flows in a western direction down to its
- 172 estuary. The maximum altitude in the basin is 1923 meters, with an average land slope of 25.6%. 173

174 The basin has a Mediterranean climate, characterized by cool rainy winters and dry hot summers. The annual rainfall ranges from 667 mm/vr near the coast up to 1040 mm/vr over the high mountainous areas. 175

with an average of 861 mm/yr. The average discharge of the river is  $2.2 \text{ m}^3$ /s, with a maximum monthly 176

177 flow of 7.9 m<sup>3</sup>/s during February. For a 100-year return period, a peak flow of 118.5 m<sup>3</sup>/s is estimated at

Pont Halba gauge station. However, the basin lacks reliable long-term precipitation records that can 178

179 capture the intensity of sub-daily rainfall events. Despite the existence of three rainfall stations, issues

- 180 such as recurrent failures, non-coinciding records and the absence of sub-daily measurements prevent a
- 181 comprehensive hydrological assessment.
- 182 The basin's topography can be divided into a mountainous relief with steep regions on the eastern and
- central parts, and a flat floodplain terrain that is most susceptible to inundation near the estuary. The 183
- river's slope decreases from 2.09% near the headwaters to 0.08% as it flows into the Akkar Plain. Its 184

average width varies between 8 and 12 meters along its course, widening up to 42m upstream of Kneisseh 185

village. The river then flows into a series of meanders in a deep and narrow channel that varies between 6 186

- 187 and 10 meters in width, with low slope and densely vegetated banks.
- The land cover in the basin is dominated by agricultural areas (44.2%), followed by wooded lands (26%), 188
- 189 and urbanized areas (5%). The scrutinized rural floodplain (Fig. 1c & Figs. 2a,2b,2c) is mainly
- agricultural with dispersed urbanization, and is characterized by anthropogenic features such as canals, 190
- ditches and levees. These were developed to support local agricultural practices and for flood mitigation 191
- purposes. The levees present are small soil embankments individually developed by local farmers. They 192
- are not optimized or built per engineering standards, with gaps and breaches, and are scattered along 193
- 194 different segments of the river.
- According to the FAO-UNESCO soil classification system, the basin is primarily covered by Cambisols 195
- (30.9%), Leptosols (22.5%), and Luvisols (21.5%) (Darwish et al., 2006). Surface formations of the 196
- Ostouane basin include the Cenomanian-Turonian (C4-C5) limestone which consists of limestone, 197
- dolomites and marly limestone. This formation outcrops in the eastern part of the basin (41.1%) and is the 198
- 199 main contributor of spring discharge. A Pliocene basalt formation outcrops in the central and western
- regions of the basin (42.7%) whereas a Holocene formation of silt, sand and fluvial gravel covers the 200
- 201 floodplain of the river (Dubertret, 1945). Moreover, several aquifer formations underly the basin,
- 202 including the North Lebanon Cretaceous, Qammoua Cretaceous and the Akkar Neogene-Quaternary
- aquifers. The last two are separated by an unproductive Basalt aquiclude. 203

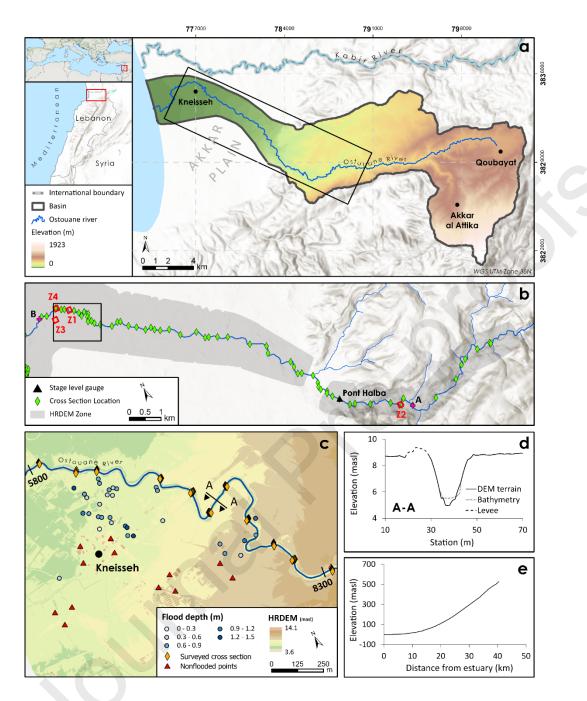


Figure 1: (a) Location of the Ostouane basin on the eastern Mediterranean in northern Lebanon showing elevation, main river course and significant towns; (b) extent of the high-resolution DEM (HRDEM) where the HAND-SRC model was implemented, the extent of HEC RAS model implementation (A-B), location of the Pont Halba river gauge station, and zones Z1, Z2, Z3 and Z4; (c) test site showing crowdsourced flood depths, locations of non-flooded point, surveyed cross-section points, and cross-

210 section A-A; (d) cross-section profile A-A showing the original terrain, surveyed bathymetry and levee

and (e) constructed longitudinal profile of the Ostouane river from surveyed cross-sections

#### 212 2.2. Data acquisition

213 An intensive field investigation was conducted along the floodplains of the study area. This section

describes the methods and tools used to acquire and develop the input datasets. A summary description ofthe collected datasets is shown in Table 1.

#### 216 **2.2.1.** High-resolution digital elevation model (HRDEM)

217 A high-resolution digital elevation model (HRDEM) was produced using a fixed-wing drone photogrammetry over an area of 21.6 km<sup>2</sup> along the river floodplains (Fig. 1b). The process consisted in 218 capturing a series of around 18,000 overlapping photos from which a 3D point cloud was generated. The 219 220 point cloud derived from the Structure from Motion (SfM) technique was then transformed into space 221 coordinates based on ground control points (GCPs) (Westoby et al., 2012). The digital terrain model was then processed by clearing all surfaces above the natural ground. The final resolutions of the DEM parts 222 generated along different segments of the floodplains ranged between 10 and 20 cm. In order to 223 224 standardize the resolution, all DEM parts were resampled using bilinear interpolation to a 25 cm resolution. One part of HRDEM was disregarded due to high vertical error resulting in two separate sub-225 226 parts. The interpolated DEM is denoted HRDEM in this study. A vertical accuracy assessment was conducted using a set of 19 ground truth points surveyed using an RTK GPS over the HRDEM of the 227 228 study zone. The assessment showed a mean absolute error (MAE) of 3.4 cm and a root mean square error 229 (RMSE) of 21.3cm. These values fall within the reported range of error in drone photogrammetry DEMs (Greenwood et al., 2019; Siebert & Teizer, 2014). 230

#### 231 2.2.2. River bathymetry

232 The geometric data of the river channel were extracted through a series of cross-sections surveyed using a

dual frequency RTK GPS (Fig. 2d). The spacing between consecutive cross sections was 220 meters on

average, with a maximum spacing of 400 meters. A total of 58 collected cross-sections were utilized

within the modelling domain (Fig. 1b). Figure 1d displays an example of a surveyed cross-section

compared to the bathymetry of the DEM. The longitudinal profile of the river constructed using the

237 surveyed cross sections is shown in figure 1e.

#### 238 **2.2.3.** Land use data

The land cover dataset is based on digitizing GeoEye (2021) high-resolution imagery on a scale of 1/5000

over the catchment and a 1/1000 scale within the floodplains (Abdallah et al., 2023). The land use/land

cover corresponds to 34 different classes based on CORINE land classification adapted for Lebanon.

#### 242 2.2.4. River stage data

243 Gauged water levels at the Pont Halba station and flow records were obtained from the Lebanese Litani

River Authority. The gauge is located at an elevation of 76 masl and controls a draining area of 100 km<sup>2</sup>.

245 The measurements are automated at a 15 or 45-minute interval. However, the flow records provided by

the authority are of average daily discharge values. The stage-discharge relationship developed by LRA at

the site relies on scarce in-situ flow measurements. During high flow conditions, these measurements are

- unavailable inducing large errors caused by the mere extrapolation of the rating curve. To address this, a
- 249 theoretical rating curve was established using the topographic dataset and calibrated against the daily 250 discharge. The rating curve was then used to convert the gauged water levels into more refined sub-daily
- discharge. The rating curve was then used to convert the gauged water levels into more refined sub-daily flow estimates. The peak discharge of the January 2019 flood event was then estimated as  $Q_p=94.1 \text{ m}^3/\text{s}$

252 at Pont Halba station.

## 253 2.2.5. Crowdsourcing data on 2019 flood event

254 The crowdsourcing of the past flood event was conducted in a similar approach to that of Sy et al. (2020).

255 Due to the lack of an official census on flood events, the crowdsourcing campaign was conducted in 2023

256 to collect eyewitness accounts and observations of past events at the flood impact locations. The most

257 intense flood within the past 5 years, namely that of the 7th of January 2019 was scrutinized. The flood resulted in the inundation of both banks and the devastation of vast agricultural land and houses. The

258

259 investigation targeted the inhabitants of this flood zone.

The main challenge faced during crowdsourcing inquiries is the elapsed time between the survey date and 260

the flood event. Relying on event the second retrievals in describing flood events can induce 261

uncertainties caused by memory distortions especially when a long time has passed since the event (Lacy 262 & Stark, 2013). To minimize the impact of such distortion, the survey consisted of two phases. The first

263 264 phase involved helping evewitnesses recall the exact event by communicating the date, time, description,

265 impact and proceedings of that event. The second phase included extracting observations by asking about

the witnessed flood levels and extent. The enquiring of flood levels was repeated in a suggestive manner, 266

with the respondents being asked to refer to a fixed object or a body part. Two coordinate points were 267

then collected using a high-accuracy RTK GPS device: the maximum witnessed flood water elevation and 268

269 the ground elevation below. Points that showed a significant error between the measured ground level in comparison to the DEM terrain levels were filtered out. Such discrepancies emerged from measurement 270

errors or dense vegetation cover affecting the vertical accuracy of the DEM at a given location. 271

272 The final dataset comprised 33 peak flood levels and 12 non-flooded points. The average difference

between the riverbed profile and the elevation of the crowdsourced flood points was 3.93 m. The vertical 273

error between the HRDEM and the terrain elevation collected at the location of the crowdsourced flood 274

275 depth measurements showed a MAE of 14.07 cm and a RMSE of 16.68 cm. The similar values of MAE

276 and RMSE indicate a uniform distribution of error values. The majority of crowdsourced data were

277 collected on the left bank of the Ostouane River where most houses and observations are found (Fig. 1c). 278 Finally, a flood map was delineated using the collected extent and interpolated flood elevation points

279 (Fig. SM1 in supplementary material).



Figure 2: Photos from field survey at Ostouane river: (a) a small breached levee developed by locals, (b)
river channel next to a non-engineered levee on one side, (c) ditches found around the agricultural lands

283 in the floodplain, and (d) river bathymetry survey

Table 1. Summary of surveyed, collected and developed datasets

Dataset	Source	Description/location	Scale/Resolution
Digital elevation model (DEM)	Drone Photogrammetry	DEM of floodplain	25 cm
Cross sections	Bathymetry survey	58 bathymetry cross sections	-
Land Use and Cover (LUC)	GeoEye (2020)	Digitized map with 35 classes	1/1000

River stage	Litani River Authority (LRA)	Pont Halba station	15 min
River flow	Litani River Authority (LRA)	Pont Halba station	daily
Crowdsourced flood levels	Field investigation	34 water levels & terrain elevation	45
Crowdsourced non-flooded locations	Field investigation	12 non-flooded points	

#### 286 **3.** Methodology

287 A terrain-based HAND-SRC model and a hydraulic-based HEC RAS model were developed here to

assess the performance of the former. This section describes (1) the implementation of the HAND-SRC

model, (2) the implementation of the HEC RAS model, (3) the approach and metrics used to evaluate

flood mapping, and (4) the workflow of the study.

#### 291 3.1. Terrain-based flood mapping: HAND-SRC

The HAND-SRC model was implemented in two sub-parts of the study area, with areas of 15 and 6.6 km<sup>2</sup>
 of the HRDEM (Fig. 1b).

#### 294 **3.1.1. Model overview**

The implementation of HAND-SRC for flood mapping (fig. SM2 in supplementary material) consists of three main steps: (a) calculation of the HAND raster, (b) extraction of hydraulic geometry and plotting SRCs for each catchment and (c) flood mapping. The general approach adopted for the calculation of the HAND raster and plotting of SRCs is similar to that found in previous literature (Aristizabal et al., 2023; Garousi-Nejad et al., 2019; Zheng et al., 2018a, 2018b).

#### 300 a. HAND raster

301 The HAND raster represents a grid of HAND index values denoted *H*. The index signifies the

hypsometric position of each DEM grid cell relative to a hydrologically connected reference drainage
 line, denoted in this work as "flowline". The HAND index value is calculated as the elevation difference

between the DEM grid cell and the flowline grid cell (Eq. 1).

 $305 \quad H = elevation_{DEM grid cell} - elevation_{connected flowline grid cell}$ (1)

- 306 The HAND raster grid is computed in five steps: (1) the river flowline is rasterized to match the grid size
- of the DEM, (2) the pits are removed from the DEM using the *PitRemove* utility of TauDEM software
- 308 (Tarboton, 2024), (3) the D-infinity ( $D_{\infty}$ ) multiple flow direction model and the slope raster are calculated
- 309 using *DinfFlowDir* utility. Finally, (4) the HAND raster is generated using D-infinity distance down
- 310 *DinfDistDown* utility which provides *H* values referenced to the flowline.

#### 311 b. Hydraulic geometry and SRCs for a catchment

312 A catchment is defined hereafter as an area draining into a specific river reach of length L, that is a

parameter in the HAND-SRC model. The catchment's hydraulic geometry for a given *L* is computed from

the HAND raster using predefined stage heights *h*. The process involves hypothetically filling the raster

up to a given stage height h and comprises the following six steps: (1) the flowline is discretized into a set of reaches according to the reach length L, (2) the reaches are rasterized, (3) the catchment of each reach

- of reaches according to the reach length L, (2) the reaches are rasterized, (3) the catchment of each reach is delineated using GRASS GIS *r.stream.basins* utility. (4) attributes of each reach (ID, slope, length and
- area) are assigned to their corresponding catchment, (5) the reach scale hydraulic geometry (flood volume
- 319 *V*, inundated surface area *F*, wetted riverbed area *B*, slope *S*) are calculated using *catchhydrogeo* utility of
- 320 TauDEM, (6) these geometries are normalized against L to derive the wetted cross-sectional area A, top
- 321 width *T*, wetted perimeter *P*, and hydraulic radius *R*. Finally, a discharge (*Q*) corresponding to a

322 catchment of a reach length L, at a stage height h, is determined using Manning's flow equation (Eq. 2):

323 
$$Q_{(h)} = \frac{1}{n} A_{(h)}^{2/3} R_{(h)}^{1/2} = \frac{1V_{(h)}^{5/3} S^{1/2}}{n LB_{(h)}}$$
 (2)

324 Manning's roughness coefficient *n* is the other parameter of the HAND-SRC model. For a fixed value of

325 *n*, the calculation of the *Q* is repeated for each height *h* using Python<sup>TM</sup> scripts, which allow to plot a

326 synthetic rating curve (SRC) for each catchment.

#### 327 c. Mapping flooding areas and flood depths

328 For a simulated discharge Q, a stage height h is extracted from the SRC at each catchment. This stage

height is used to generate flood inundation maps through binary classification (inundated vs. not

inundated) based on the HAND index values H. The stage height h is the HAND-SRC model variable.

331 The flood mapping is carried out by (1) denoting all grid cells with H value greater than h as non-flooded,

and (2) assigning the flood inundation depth as the difference between the H value at a grid cell and h at

its corresponding catchment (depth=h-H). This procedure was performed using ArcHydro tools.

#### 334 3.1.2. Model assumptions

335 The implementation of the HAND-SRC model requires conforming to five key assumptions. These are:

(A1) All DEM grid cells susceptible to inundation must drain into the flowline. (A2) Within a processing

region the drainage must flow into a unique outlet. (A3) The stage height h is uniformly applied across

each catchment. (A4) Catchments are independent meaning no flow or volume exchange occurs at the

catchment boundaries. (A5) The filling volume is infinite. The first two assumptions (A1 and A2)

necessitate a hydro-conditioning process of the underlying terrain to: (a) enforce the drainage of flood-

341 prone areas into the river channel and (b) ensure a continuous decrease in elevations along the drainage 342 network and the flowline toward the outlet. Since DEMs do not necessarily adhere to these assumptions,

- errors may arise from these processes depending on the region and methods used. The last three
- assumptions (A3, A4 and A5) govern the application of stage height *h*, which may introduce errors and
- 345 limitations in the model.

#### **346 3.1.3. Hydro-conditioning and definition of terrain setups**

- 347 Hydro-conditioning of the HRDEM was applied over the whole modelling domain prior to the
- 348 implementation of the HAND-SRC model. The hydro-conditioning processes account for the features
- 349 controlling the flooding processes while adhering to the model assumptions. Additionally, these processes
- aim to mitigate and limit the impact of the inaccuracies resulting from the automatic HAND raster

- calculation process (section 3.1.1) on terrain accuracy. Three types of hydro-conditioning are introduced
- here: (a) integration of riverbed bathymetry, (b) enforcement of the floodplain drainage system, and (c)
   removal of levees.

#### **a. Integration of river bathymetry**

Riverbed bathymetry was integrated into the 25-cm HRDEM, incorporating either: (1) the surveyed

bathymetry, after an interpolation process or (2) a hypothetical trapezoidal cross-sectional shaped

357 bathymetry, based on hydraulic geometry power laws. In both cases, the bathymetric DEMs were

resampled into the 25-cm resolution and superimposed onto the HRDEM using ArcGIS Pro.

359 In the first case, the surveyed bathymetry was extracted from consecutive cross-sections through a

360 curvilinear interpolation along the river talweg. The interpolation is based on a string model that connects

361 successive cross-sections (USACE, 2020). To overcome the model's limitations in interpolating

floodplains and discontinuities in bank boundaries (Merwade et al., 2008), the interpolation boundary was

363 confined to the bathymetry zone delineated from drone imagery (Fig. SM3 in supplementary material).
 364 This interpolation used cross-sections at 50-meter intervals. Three major cords connected both banks and

This interpolation used cross-sections at 50-meter intervals. Three major cords connected both banks and the minimum elevations of successive cross-sections, while minor cords connected the remaining points.

366 In the second case, the trapezoidal cross-section bathymetry was generated based on the local slope

bounded by the river width and the upstream and downstream cross-sections. Based on channel survey,

the cross-section geometry adopted a 1:2 ratio of horizontal to vertical side slopes. The top width of the

- riverbed was then extracted from drone imagery along the river course. The depth D of the riverbed was estimated from a power law expression of the top width W (Eq. 3) assuming a constant discharge at the
- 371 capture time:
- $372 \quad D = \alpha W^{\beta} \tag{3}$

373 Regression of the surveyed bathymetric data resulted in values  $\alpha = 0.7609$  and  $\beta = -0.386$ .

374 After integrating the bathymetry into the HRDEM, an additional process was needed to ensure the

375 HRDEM adhere to the assumptions (A1) and (A2) of the model by enforcing a flowline in the bathymetry

376 (see section 3.1.2). The flowline is essential for HAND inundation mapping as it serves as a reference for

all HAND index calculations and is highly sensitive in low-slope rivers. To achieve a monotonically

- decreasing flowline, the following method was applied: (1) drainage within the bathymetry DEM was
- delineated based on the D8 flow accumulation grid (Lindsay, 2016). (2) The extracted flowline was then
   burned into the original DEM using the AGREE-DEM method (Hellweger, 1997) which excavates the
- 380 burned into the original DEM using the AGREE-DEM method (Henweger, 1997) which excavates the 381 DEM at the location of the flowline. Finally, (3) a fill-burn approach was applied to eliminate all
- betweet the location of the nowline. Finally, (5) a fin-burn approach was applied to enfinitate and bathymetric inconsistencies, errors and multiple flowlines within the river bed. The "fillburn" tool of
- WhiteboxTools was utilized (Lindsay, 2016; Saunders, 1999). This process enforced the flowline in the
- bathymetry while maintaining a strictly decreasing path toward a singular outlet.

### 385 b. Floodplain drainage network enforcement

386 DEMs of flat terrains, as in this study, usually lack the terrain convergence necessary in HAND-SRC

387 (Ghanghas et al., 2022; Godbout et al., 2019). Additionally, the presence of dense vegetation or artificial

structures, hinders the delineation of the floodplain drainage network in high-resolution DEMs.

389 Therefore, a hydro-conditioning process was implemented to enforce a micro-drainage network in the

390 floodplain. It consisted of:

- 391 (1) Detecting the inherent natural drainage network from the HRDEM using GeoNet tool (Passalacqua et
- al., 2012). GeoNet, an open-source tool, is mainly used to extract hydrological and morphological data
- from high-resolution DEMs to detect a network channel, even in the presence of engineered features such
- as culverts. It was further tested in flat engineered landscapes and urbanized settings (Passalacqua et al.,
- 2010; Sangireddy et al., 2016). The tool detects channelized DEM grid cells based on a Laplacian
- 396 curvature computation and the flow accumulation area. The drainage network is then delineated based on 397 a geodesic least-cost path algorithm.
- 398 (2) Removing pits/sinks to prevent alterations in the flow direction grid.

(3) Enforcing the detected drainage network upon the DEM through a fill-burn tool to preserve the flowdirection (Lindsay, 2016; Saunders, 1999).

401 This process is necessary to: (a) conform to the assumption A1 of the model (see section 3.1.2), (b)

- 402 minimize the errors caused by the pit filling process in HAND raster calculation (see section 3.1.1) and
- 403 (c) eliminate drainage discontinuities that arise in the area separating the DEM and the superimposed
- 404 bathymetries.

#### 405 c. Removal of levees

406 In the Ostouane floodplain, levees disrupt the assumption that the floodplains should be draining into the

- 407 river channel (see section 3.1.2). The existing levee system, installed by locals, is discontinuous and
- 408 unregulated, failing to effectively mitigate floods. Previous studies have tried to enforce levees into
- DEMs where the resolution failed to represent these features (Afshari et al., 2018; Aristizabal et al., 2023)
- 410 whereas here, their influence is investigated by removing the existing levees to restore the channel-
- 411 floodplain connection.
- 412 Levees were detected using an embankment mapping tool (Lindsay, 2016) based on a search distance
- algorithm that requires the levee's centerline, side slope, height and width, to identify its extent. Due to
- the variability of levee sizes in our DEM, a set of values covering the range of the measured dimensions
- of existing levees were iterated (heights between 0.3 and 1.8 m; width between 3 and 10 m). The detected
- 416 embankments were then replaced by interpolated surfaces from the surrounding elevations.

## 417 d. Definition of terrain setups

- 418 Several DEMs were developed to assess HAND raster and the HAND-SRC model. Terrain setup S1 is
- based on the original 25-cm HRDEM. The other terrain setups (S1-rb, S1-fp, S2, S3) are based on the
- same HRDEM but incorporate additional hydro-conditioning processes, as synthesized in Table 2.
- 421 Specifically, the surveyed river bathymetry and flowline enforcement are incorporated in terrain setups
- 422 S1-rb and S2, while the trapezoidal bathymetry is used in terrain setup S3. The floodplain drainage
- network was enforced in terrain setups S1-fp, S2 and S3. Moreover, levees were removed to finalize
- 424 terrain setups S2 and S3.
- Table 2. Terrain setups used for the assessment of hydro-conditioning and man-made features; × marks
  the applied configuration in a setup

Terrain setup

River bathymetry

Levee removal

	Original 25-cm HRDEM	Surveyed cross-sections	Trapezoidal power law cross- sections	Floodplain drainage enforcement	
S1	х				
S1-rb	x	x			
S1-fp	x			x	
S2	x	x		x	x
S3	х		x	x	х

#### 428 3.1.4. Sensitivity analysis of reach length parameter

The reach length L and Manning's roughness coefficient n are the two parameters dictating the model 429 performance. Since Manning's roughness coefficient is established for different land cover types, the 430 reach length parameter was scrutinized as it can be more critical for the accuracy of hydraulic geometry 431 432 and SRCs (Gordon et al., 2023). A sensitivity analysis was performed using terrain setup S2 since it had 433 the highest level of hydro-conditioning while admitting a high terrain accuracy. Reach lengths adopted in the literature ranged between 1 km and 3 km (Aristizabal et al., 2023; Garousi-Nejad et al., 2019; Hocini 434 et al., 2020; Rebolho et al., 2018; Zheng et al., 2018a, 2018b). L values tested here were 150 m, 300 m, 435 436 600 m, 900 m, and 1350 m and 2700 m.

#### 437 **3.1.5.** Testing the effect of DEM resolution

In flood mapping, there are two key attributes of a DEM: the vertical accuracy and the horizontal 438 resolution. In flat terrains, the vertical accuracy can be more significant than the horizontal resolution. 439 440 with coarser DEMs still capable of reproducing the elevations accurately. However, both attributes are 441 necessary for accurate terrain representation. Using hydraulic models, fine resolution DEMs produce 442 more accurate flood maps and extents compared to coarser ones, even when admitting the same vertical accuracy (Saksena & Merwade, 2015). Whereas using HAND-SRC model, it is generally found that finer 443 444 resolutions improve performance, however, no clear trend is established across different resolutions. An improvement was found from increasing DEM resolution from 10 m to 3 m by Garousi-Nejad et al. 445 (2019). Conversely, no significant change in the accuracy of inundation extents was registered from 446 447 varying DEM resolution between 3 and 20 m, but a significant decline was found from using coarser resolutions of 60 and 90 m by Aristizabal et al. (2024). Terrain-based models heavily rely on the DEM as 448 the main input, making them heavily prone to the DEM properties and errors. The variation in DEM 449 resolution leads to increased errors in the vertical accuracy, horizontal accuracy and the representation of 450 451 artificial features, albeit with lower computational demand. Typically, DEM errors arise from the collection method, sampling methods, interpolation techniques and hydro-conditioning processes. 452

- 453 In this study, the errors stemming from DEM resampling were examined to determine their effect on
- 454 HAND-SRC model performance. The HAND-SRC was simulated for resolutions of 1m, 3m, 5m, 10m,
- 455 20m and 30m which correspond to the most commonly used DEM resolutions. The DEMs were
- resampled using bilinear interpolation technique from the HRDEM of terrain setup S2. For the sake of
- 457 comparison between the various resolutions, a fixed reach length L of 1.35 km was used. This value was
- selected due to its suitability for coarser resolutions and as it falls within the adopted range in the
- 459 literature. The resampled resolutions were evaluated in comparison to the 25-cm HRDEM of setup S2
- 460 also using the same L.

#### 461 **3.2.** Hydraulics-based flood mapping: HEC-RAS

462 A one-dimensional (1D) hydraulic model was used to develop reference flood maps using a more 463 physically-based approach, for comparison against the low-complexity HAND-SRC model.

#### 464 3.2.1. Model overview

- 465 HEC-RAS (Hydrologic Engineering Center's River Analysis System) is a well-documented and widely
- used software in fluvial flooding simulation (USACE, 2020). In 1D configuration, the terrain is
- represented through a series of cross-sections and the flow is assumed to move in a longitudinal direction
- along the river.
- 469 Within a HEC RAS project file, three input files are needed: (1) a geometry file which includes the DEM,
- 470 the surveyed cross-sections, and Manning's roughness coefficients, (2) a plan file specifying the
- 471 simulation settings and (3) a steady/unsteady flow file containing the boundary conditions of the model.

#### 472 **3.2.2.** Input data, parameters and boundary conditions

- 473 The original 25-cm HRDEM was imported as the main input in the geometry file. The river centerline and
- bank lines were digitized using drone imagery within the RAS-mapper module of HEC-RAS. Cross-
- sections were then added at the locations of the surveyed ones. The cross-section elevations were
- 476 corrected using the surveyed point measurements.
- 477 The channel Manning's roughness coefficients were determined for each cross-section according to the
- 478 methodology described in (USDA, 2012), based on field observations, captured photos and drone
- 479 imagery. For the floodplain, Manning's roughness coefficients were estimated based on the land
- 480 use/cover maps. Furthermore, the upstream and downstream boundary conditions were set as the normal
- depth based on the average local slope. The flow file was designated to run the model in a steady state
- 482 gradually varied flow simulation.

#### 483 **3.2.3. Flood mapping and aggregated rating curves**

- The HEC RAS model was implemented over a 15 km length of the Ostouane River (see reach A-B in fig.
  1b) and simulated for the January 2019 flood. The model's Manning's roughness parameters were
- calibrated using the available flow records and crowdsourced flood depths. The flood maps at the peak of
   the hence 2010 flood flood flood flood depths.
- the January 2019 flood were generated.
- 488 A series of rating curves were extracted at all cross-sections. The HEC RAS generated rating curves are
- valid at the location of cross-sections whereas the SRCs are valid over a river reach. To facilitate
- 490 comparison between both rating curves, those of HEC RAS were aggregated for cross sections within the
- same reach. This was achieved by extracting the median of heights at each reach to plot an aggregated
- 492 rating curve (ARC).

#### 493 **3.3.** Evaluation approach and metrics

494 The evaluation was conducted against: crowdsourced data and HEC RAS flood maps. The former 495 consists of flood occurrences (flooded/non-flooded occurrences) and flood depths.

#### 496 **3.3.1.** Comparison of crowdsourced and simulated flood occurrences

497 To evaluate the model's accuracy in capturing crowdsourced flood occurrences, the contingency table 498 metrics were utilized. The metrics rely on the true positives (TP), false positives (FP), false negatives 499 (FN) and true negatives (TN) values. TP and TN refer to points correctly classified as flooded or non-500 flooded respectively. FP are non-flooded points falsely classified as flooded. Conversely, FN are flooded

501 points falsely classified as non-flooded by the model.

The critical success index  $(CSI_P)$  and Matthews correlation coefficient  $(MCC_P)$  metrics were selected to assess the occurrence of flood at these locations. The CSI metric ranges between 0 and 1 while MCC ranges between -1 and 1. For both, a value of 1 represents a perfect match. CSI disregards true negatives and gives more significance to the ability of the model to avoid misses of flooded points or false alarms at non-flooded ones. However, CSI is sensitive to unbalanced datasets. MCC was thus introduced as it provides a balanced score that takes into account all instances of the confusion matrix regardless of their size.

509 
$$CSI_{P} = \frac{TP_{P}}{TP_{P} + FP_{P} + FN_{P}}$$
510 
$$MCC_{P} = \frac{TP_{P} \times TN_{P} - FP_{P} \times FN_{P}}{\sqrt{(TP_{P} + FP_{P}) \times (TP_{P} + FN_{P}) \times (TN_{P} + FN_{P}) \times (TN_{P} + FN_{P})}}$$
(5)

#### 511 3.3.2. Comparison of simulated flood extents

512 The simulated flood extents of HAND-SRC and HEC RAS were also compared. CSI and MCC metrics 513 were also utilized but for comparison of simulated areas. For this reason, the two metrics were denoted as 514  $CSI_A$  and  $MCC_A$  for HEC RAS maps comparison as opposed to  $CSI_P$  and  $MCC_P$  used for crowdsourced 515 points. Generally, while evaluating flood maps, CSI values below 0.5 are considered poor while ones 516 above 0.65 are considered good, whereas MCC is considered satisfactory for values above 0.3 (Bernhofen 517 et al., 2018; Fleischmann et al., 2019).

518 
$$CSI_A = \frac{TP_A}{TP_A + FP_A + FN_A}$$
(6)

519 
$$MCC_{A} = \frac{TP_{A} \times TN_{A} - FP_{A} \times FN_{A}}{\sqrt{(TP_{A} + FP_{A}) \times (TP_{A} + FN_{A}) \times (TN_{A} + FP_{A}) \times (TN_{A} + FN_{A})}}$$
(7)

#### 520 **3.3.3.** Comparison of crowdsourced and simulated flood depths

The simulated flood depth and the crowdsourced flood depths were compared. The mean absolute error
 (MAE) and root mean square error (RMSE) were utilized for flood depth error evaluation. The two
 metrics were also used to calculate the error in DEM vertical accuracy and SRC accuracy.

524 
$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (depth_{HAND-SRC} - depth_{crowdsourced})^2}{n}}$$
(8)

525 
$$MAE = \frac{1}{n} \sum_{i=1}^{n} |depth_{HAND-SRC} - depth_{crowdsourced}|$$
(9)

#### 526 **3.3.4.** Comparison between simulated flood depths

Flood depths simulated using HAND-SRC and HEC RAS were also compared. Three metrics were used
 to assess the difference in the depth variable. The mean difference (MD), mean absolute difference

529 (MAD) and the root mean square difference (RMSD) were utilized in this assessment.

530 
$$MD = \frac{1}{n} \sum_{i=1}^{n} (depth_{HEC RAS} - depth_{HAND-SRC})$$
(10)

531 
$$MAD = \frac{1}{n} \sum_{i=1}^{n} |depth_{HEC RAS} - depth_{HAND-SRC}|$$
(11)

532 
$$RMSD = \sqrt{\frac{\sum_{i=1}^{n} (depth_{HEC RAS} - depth_{HAND-SRC})^2}{n}}$$
(12)

#### 533 **3.4. Workflow and analysis**

534 In our workflow (Fig. 3): (1) the datasets were acquired, assessed and processed including topography

and crowdsourced data, (2) terrain setups were developed based on the chosen hydro-conditioning criteria

in Table 2 and the resolutions in selected in section 3.1.5, (3) the HAND raster files and SRCs plots were

calculated and flood maps were generated for the January 2019 event using the framework described in
section 3.1.1, (4) HEC RAS hydraulic model was set up and simulated to derive the flood maps and

section 3.1.1, (4) HEC RAS hydraulic model was set up and simulated to derive the flood maps and
 ARCs, (5) the performance of the flood maps was evaluated against the crowdsourced data and HEC RAS

540 extents.

541 The methodology used to apply HAND-SRC models here is similar to the one used by (Zheng et al.,

542 2018a). However, several key modifications to the DEM preparation approach were introduced.

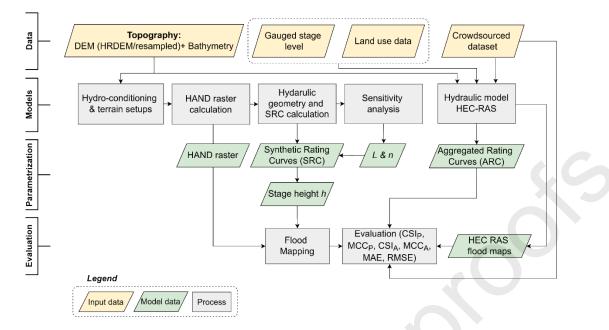
543 Specifically, two bathymetric inputs based on the surveyed riverbed and a hydraulic geometry power law

were integrated. In addition, a novel hydro-conditioning processes in the bathymetry and floodplain to

enforce drainage and remove levees were implemented. A sub-meter high-resolution DEM was utilized

546 for enhanced detection of the natural drainage patterns. This paper is set out to test HAND-SRC flood

- 547 mapping based on: the terrain representation enhanced by the hydro-conditioning processes and the
- 548 influence of the DEM resolution.



549

- 550 Figure 3: Workflow chart summarizing the main processes involved in the assessment of the HAND-SRC
- 551 model. The workflow begins with data acquisition followed by development of the terrain setups of
- 552 HAND-SRC and the HEC RAS model, then the calculation of the HAND raster and SRC plots. Finally,
- flood maps are generated and evaluated against crowdsourced data and HEC RAS flood maps

#### 554 **4. Results**

555 This section presents the outcome of the study from three aspects: (1) the evaluation of the model terrain

- analysis and parametrization, (2) the HAND-SRC flood mapping performance compared to crowdsourced
- points and HEC RAS, and (3) the effect of DEM resolution on flood mapping accuracy and computation
- 558 time.

#### 559 4.1. HAND-SRC model evaluation

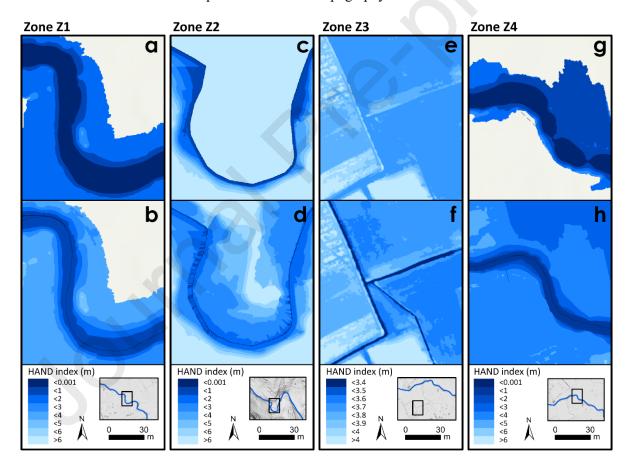
#### 560 4.1.1. Effect of hydro-conditioning on HAND raster

The automatic pit filling and drainage delineation in the HAND raster calculation (described in section 561 562 3.1.1) can induce significant changes in the DEM. Prior to calculating the HAND raster, the hydroconditioning processes were applied to prepare the DEMs (see table 2). These processes are also 563 necessary to ensure that the DEM conforms to the assumptions of the HAND-SRC model, which is not 564 565 naturally achieved with fine resolution DEMs. Figure 4 illustrates the effects of hydro-conditioning 566 procedures on HAND raster (described in section 3.1.3) at four zoomed-in locations. In figure 4a, the 567 riverbed lacking an enforced flowline in terrain setup S1 was filled and flattened leading to the loss of most bathymetric geometry. Enforcing a flowline as in the S1-rb setup (Fig. 4b) allowed retaining most of 568 569 the topographic details of the channel bathymetry at the expense of minor DEM alterations. Figures 4c 570 and 4d highlight the role of using a fill-burn approach after enforcing a flowline (ex. setup S1-rb), 571 particularly in locations where two or more parallel flowlines coexist leading to a 'wall-effect' in the HAND raster (ex. setup S1). This problem is often found in reaches with wide and shallow channels and 572 573 where river islands are present. In addition, the hydro-conditioning of the floodplains by enforcing a 574 drainage network delineated using GeoNet improved the accuracy of the natural drainage that is 575 influenced by the existing canals and ditches. Consequently, this step reduced the impact of DEM pit

- 576 filling as shown in terrain setup S1-fp (figure 4e, 4f). Figures 4g & 4h demonstrate the effect of the
- 577 combined effect of the three applied processes including the removal of levees in setup S2. The effect of
- 578 the applied processes is reflected in the expansion of the extent of the HAND raster in addition to the 579 preservation of its terrain accuracy. The talweg profile in the bathymetry of both setups S2 and S3 showed
- preservation of its terrain accuracy. The talweg profile in the bathymetry oflittle difference in elevations (Fig. SM3 in supplementary material).

581 Based on different hydro-conditioning configurations, it was evident that combining all processes is

- 582 necessary at several locations along the river but especially at the model's test zone (Fig. 1c). Figure 5
- 583 illustrates the HAND raster extents using the various terrain setups. The HAND raster of terrain setup S1
- 584 (see Table 2) failed to extend over all inundation-prone zones within the floodplain due to the presence of
- levees. However, hydro-conditioning the floodplain allowed the HAND raster to partially expand into the
- 586 full flood zone in setups S1-fp, S2 and S3. While integrating bathymetry did not affect the HAND raster 587 extents, removing levees enabled full hydrological continuity in the floodplains. By removing levees that
- acted as drainage barriers, the HAND raster extended across the full floodplain area on both banks. This
- 589 comprehensive approach of hydro-conditioning by integrating bathymetry, enforcing floodplain drainage
- and removing levees, allowed the model to accurately depict the full inundable extent of the terrain across
- 591 both banks. In the following sections, terrain setups S2 and S3 are scrutinized as they provided HAND
- rasters with the most accurate representation of the topography and matched the full flood zone extents.



593

594 Figure 4: HAND raster maps developed using the HRDEM at four locations: Z1, Z2, Z3 and Z4

highlighting different terrain setups: (a) S1, (b) S1-rb, (c) S1, (d) S1-rb, (e) S1, (f) S1-fp, (g) S1 and (h)
S2. (a) & (b) illustrate the impact of flowline enforcement in the bathymetry. (c) & (d) demonstrate the

597 impact of the fill-burn process in preventing a "wall-effect" in the HAND raster. (e) & (f) show the

- 598 impact of floodplain drainage enforcement. (g) & (h) illustrate the full impact of bathymetry integration,
- 599drainage enforcement and removal of levees

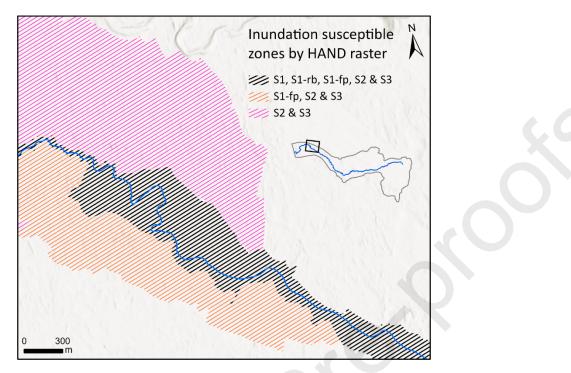


Figure 5: Inundation susceptible zones based on HAND raster extent under the five terrain-setups: S1, S1 rb, S1-fp, S2 and S3 at the test site. S1 includes levees and lacks any hydro-conditioning process, S1-rb
 includes bathymetry and levees, S1-fp includes levees with floodplain drainage enforcement, S2 includes
 surveyed bathymetry with floodplain drainage enforcement and no levees, S3 includes surveyed
 bathymetry with floodplain drainage enforcement and no levees

#### 606 4.1.2. Model parameterization and sensitivity analysis

- Flood mapping using HAND-SRC is highly sensitive to the stage height in flat terrains (Johnson et al.,
- 608 2019; Jafarzadegan et al., 2022). The reach length L is usually fixed for the entire implementation domain
- of HAND-SRC. It is generally recommended to use a moderate length between 1.2 and 5 km (Godbout et al., 2019; Zheng et al., 2018a). This is to prevent the effects of local variability in slope and terrain in
- al., 2019; Zheng et al., 2018a). This is to prevent the effects of local variability in slope and terrain in
  smaller lengths and the loss of topographic details in lengthier ones. Similarly, Manning's roughness
- 612 coefficient is applied uniformly over the whole domain. The values are chosen either on the basis of other
- model parameters or on the basis of land use. The range of this parameter varies between 0.05 and 0.08
- $s/m^{1/3}$  (Aristizabal et al., 2023; Garousi-Nejad et al., 2019; Hocini et al., 2020; Johnson et al., 2019;
- Example 2 5 615 Zheng et al., 2018a). Based on this a Manning's roughness coefficient of  $0.07 \text{ s/m}^{1/3}$  was selected for the
- 616 tested domain.
- 617 The role of reach length *L* was investigated by fixing Manning's roughness coefficient *n* to 0.07 s/m<sup>1/3</sup>
- and calculating stage heights for tested reach lengths of 150, 300, 600, 900 and 1350 m. The setup S2 was
- 619 chosen for the sensitivity analysis as it produced a HAND raster extended to the full floodplain area
- 620 unlike setups S1, S1-fp and S1-rb, while maintaining the highest topographic accuracy after implementing
- 621 the hydro-conditioning processes and integrating the surveyed bathymetry. Stage heights were derived
- from SRCs of each catchment using the estimated peak discharge  $Q_p=94.1 \text{ m}^3/\text{s}$  of the January 2019
- 623 event. Figure 6 shows the variation in stage value using various reach lengths. Stage heights for L of 150

624 m and 300 m demonstrated a good ability to capture the crowdsourced flood depths. However, L showed

little influence on the ability to correctly detect flooded and non-flooded crowdsourced occurrences. A 625

626 reach length L of 300 m was chosen for flood mapping purposes as it showed fewer outlier stage heights arising from topographic heterogeneity compared to L = 150 m. In addition, it yielded the lowest RMSE

627

(68.3 cm) compared to other L values. 628

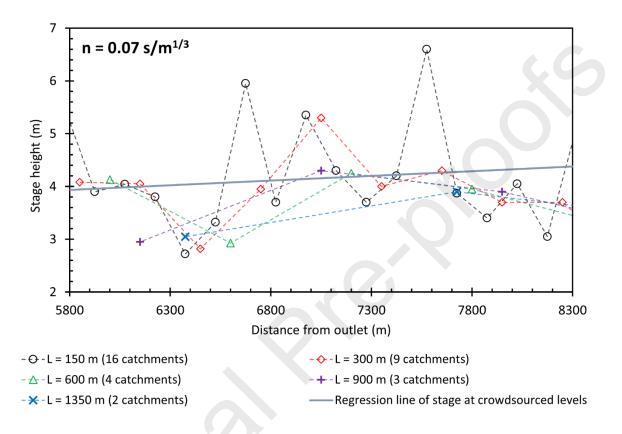




Figure 6: Sensitivity analysis of reach length L in the HAND-SRC model. The stage heights (h) were 630

calculated for five L values in the test site using a fixed Manning's roughness coefficient (n=0.07 s/m<sup>1/3</sup>) 631

632 and using the peak discharge of January 2019 flood, Qp. The stage height is referenced to the flowline of

633 each reach and is added on the graph at the midpoint of the reach. A regression line was calculated from

the stage heights at which the crowdsourced flood levels are reached 634

#### 635 4.2. HAND-SRC flood mapping

#### 4.2.1. Flood depth and occurrence comparison against crowdsourced data 636

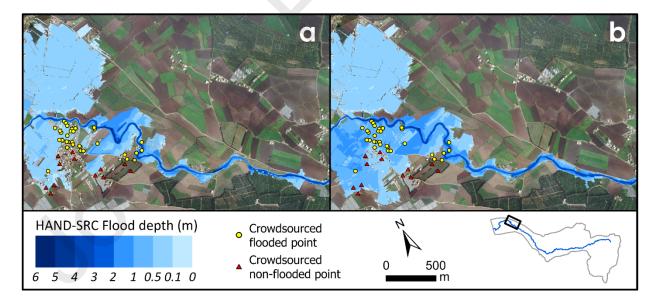
637 The performance of HAND-SRC flood mapping was investigated against crowdsourced data using the two terrain setups S2 and S3 at the test site at the downstream part of the floodplain (Fig. 1c). The two 638 639 setups were selected for this assessment because they were the only ones to produce a HAND raster that 640 extends over the full floodplains and preserves a topographic consistency with the original HRDEM after implementing the hydro-conditioning processes. The site has an average DEM slope of 6.7%, a standard 641 642 deviation of 1.34 m and a river gradient of 0.2%. A reach length of 300 m and a uniform Manning's roughness coefficient n=0.07 s/m<sup>1/3</sup> were used. By using RMSE, CSI<sub>P</sub> and MCC<sub>P</sub> an evaluation form that 643

targets the depth and ability to capture the flooded crowdsourced points is elected rather than a flood area. 644 645 This allows for a more critical review of the model's ability to capture detailed locations within the

- 646 floodplain. The validity of crowdsourced data is thus relied upon rather than an established or simulated
- flood map. The depth comparison made here is between the HAND-SRC flood depths and the 647
- 648 crowdsourced flood depths. The latter was calculated as the difference between the surveyed ground
- 649 elevation and the crowdsourced elevation of peak flood depth. The vertical elevation error was thus not
- propagated throughout the rest of the calculations. 650

651 Figure 7 shows the flood maps generated for the different terrain setups. The figure was generated based on stage heights derived from SRCs of each catchment for  $Q_p=94.1 \text{ m}^3/\text{s}$ . The maps have distinct patterns 652

- and areas of inundation. The two setups S2 and S3 showed a balanced flooding area over the two banks of 653
- 654 the river. Differences between S2 and S3 can be identified where S3 showed higher flood levels and a
- 655 wider extent compared to S2. Both terrain setups were able to capture the flooded points to a good degree,
- 656 however S3 submerges several non-flooded points. Terrain setup S2 produced a CSI<sub>P</sub> value of 0.64
- 657 compared to a CSI<sub>P</sub> of 0.79 for S3. Whereas for MCC<sub>P</sub>, the results showed values of 0.49 and 0.24
- 658 respectively. Additionally, both setups showed a RMSE of 70 cm and 54 cm respectively. Furthermore,
- 659 an intercomparison between the flood depths obtained with terrain setups S2 and S3 was performed. The
- mean difference between both was 16 cm with S3 showing a higher flood depth. The mean absolute error 660 amounted to 31.1 cm. While varying level of differences could be observed along the different river
- 661
- reaches, the difference throughout the floodplains was minimal. 662
- The results of both scenarios using the various tested reach lengths are shown in table 3. For all lengths, 663
- S2 showed higher RMSE compared to S3. Similarly, the CSI<sub>P</sub> metric was also higher using S3. However, 664
- for MCC<sub>P</sub>, the results were variable with S2 showing higher value except for the 900 m and 2700 m reach 665
- lengths. Considering that S2 is a better realization of the bathymetric geometry, S3 with a more simplified 666
- geometry showed better metrics in capturing crowdsourced points compared to S2 under most L values. 667
- This suggests that using a theoretical bathymetry instead of a surveyed one can be sufficient in such 668
- 669 applications with minimal difference in flood depths (< 25 cm).



670

- 672 2019 flood event using the 25-cm HRDEM for the two terrain setups: (a) S2, which includes interpolated
- surveyed bathymetry, flowline and floodplain drainage enforcement, and no levees; and (b) S3, which 673
- 674 includes trapezoidal power law cross-section bathymetry, flowline and floodplain drainage enforcement,
- 675 and no levees. For both setups a reach length of 300 m was used and a Manning's roughness coefficient
- of n=0.07 s/m<sup>1/3</sup> 676

Figure 7: Comparison between simulated HAND-SRC flood maps for the peak discharge Q<sub>p</sub> of January 671

Terrain setup				Reach le	ength L (m)			
1	errain setup	150	300	600	900	1350	2700	
	RMSE (m)	0.64	0.70	0.71	0.65	0.62	0.61	
S2	CSI <sub>P</sub>	0.48	0.64	0.54	0.56	0.63	0.55	
	MCC <sub>P</sub>	0.38	0.49	0.40	0.41	0.47	0.41	
	RMSE (m)	0.57	0.54	0.59	0.65	0.55	0.59	
S3	CSI <sub>P</sub>	0.75	0.79	0.53	0.53	0.50	0.53	
	MCC <sub>P</sub>	0.36	0.24	0.18	0.49	0.30	0.49	

Table 3: Terrain setups used for the assessment of hydro-conditioning and man-made features; × marks
the applied configuration in a setup

#### 679

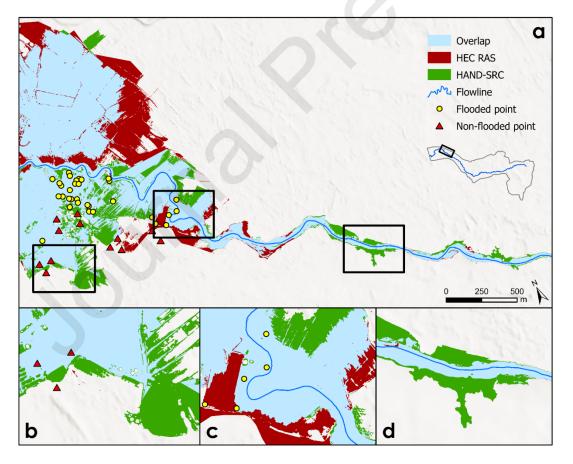
#### 680 4.2.2. Flood depth and extent comparison against HEC RAS

The comparison between HAND-SRC and HEC RAS flood maps was conducted for the peak discharge value of the 2019 event for terrain setups S2 and S3 (fig. SM4 in supplementary material). It should be mentioned that the DEM used in HEC RAS does not use the same hydro-conditioned terrain used in HAND-SRC modelling. Instead, it relies on the original HRDEM with corrected cross sections (see section 3.2). Initially, HEC-RAS flood maps were evaluated against crowdsourced points. HEC RAS produced a CSI<sub>P</sub> value of 0.61 and the lowest RMSE in flood depth of 34 cm among all tested simulations.

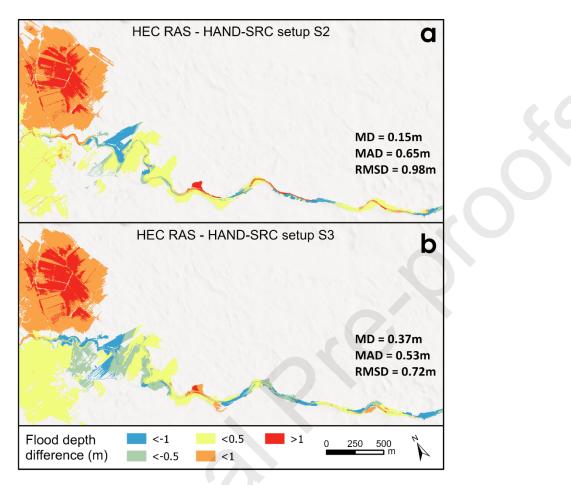
688 Figure 8 shows the comparison between HAND-SRC inundation maps using S3 setup with the HEC RAS 689 model. Evaluating setup S3 flood extents against HEC RAS extents produced a CSI<sub>A</sub> of 0.59 and MCC<sub>A</sub> 690 of 0.32. An overprediction by HAND-SRC was found on the left bank of the river contrary to an underprediction on the right bank. For terrain setup S2, HAND-SRC gave a comparable pattern to that of 691 terrain setup S3, with a CSI<sub>A</sub> and MCC<sub>A</sub> of 0.66 and 0.35 between the two flooded extents. Moreover, the 692 flood depths simulated by HAND-SRC and HEC RAS were compared across overlapping flooded areas. 693 694 Figure 9 shows the comparison of HEC RAS and HAND-SRC flood depths using terrain setups S2 and 695 S3. In both cases, HAND-SRC underestimated the simulated flood depth. However, setup S2 showed lower errors compared to S3. Terrain setup S2 revealed a MD of 0.15 m, MAD of 0.62 m, and a RMSD 696 of 0.72 m. Whereas under setup S3 a MD of 0.37 m was registered, a MAD of 0.66 m, and a RMSD of 697

698 0.98 m. The MD between S2 and S3 was 0.16 m.

- An alternative approach to simulate flood maps was then tested by using HAND raster of terrain setup S2
- with ARCs instead of SRCs. The generated flood map showed the highest metric performances, with a
- 701  $CSI_A$  of 0.86 and MCC<sub>A</sub> of 0.65. However, there was generally an overestimation in HEC-RAS depths
- and extents compared to HAND-SRC. This test demonstrates that a hydro-conditioned terrain setup can
- replicate the extents simulated by a hydraulic model more accurately by adjusting the reach length and
- 704 Manning's roughness coefficient parameters.
- 705 In the operational use of low-complexity models, correctly predicting flood occurrences with minimal
- misses is essential, therefore, the model parameters were optimized using CSI metric. Testing this, an
- optimal CSI<sub>P</sub> value of 0.73 for crowdsourced data was obtained for a minimum stage height of 4.5 m
   under all tested reach lengths. Back calculating Manning's roughness coefficient using this stage height
- produced a corresponding value of n = 0.095 s/m<sup>1/3</sup>. Applying this value for all catchments in setup S2
- 710 improved the performance of the HAND-SRC extents compared to HEC RAS simulated extents by
- 711 10.6% (CSI<sub>A</sub> score 0.66 to 0.73). In contrast, using a Manning's roughness coefficient based on fitting the
- 712 SRC to the ARC at the Pont Halba gauge station produced a value n = 0.062 which hindered the model
- 713 prediction accuracy in the floodplains downstream.
- 714 Overall, both terrain setups S2 and S3 showed similar and good agreement with HEC-RAS flood maps.
- 715 These tests highlight the potential of HAND-SRC model, particularly with hydro-conditioned terrain and
- optimized parameters. While HEC RAS produced the lowest RMSE in crowdsourced data, the HAND-
- 717 SRC model was capable of reproducing the HEC RAS extents sufficiently. This demonstrates that even in
- challenging topographies, the HAND-SRC model can still be reliable for floodplain mapping.



- Figure 8: (a) Comparison of simulated HEC RAS and HAND-SRC extents for the January 2019 flood
- event using terrain setup S3, (b) zoomed-in extent at the edge of the flood zone, (c) zoomed-in extent at a
- river meander, and (d) zoomed-in extent in a reach with a deep straight channel



- Figure 9: Comparison of simulated flood depths between HEC RAS and HAND-SRC at test site. The maps were computed by subtracting HEC RAS flood depth from HAND-SRC flood depths for terrain setups: (a) S2 and (b) S3. MD denotes Mean Difference; MAD denote Mean Absolute Difference; and DMSE depth Depth Maps Support Difference; and
- 727 RMSE denote Root Mean Squared Difference

#### 728 4.3. Resolution effect on HAND-SRC in low-relief areas

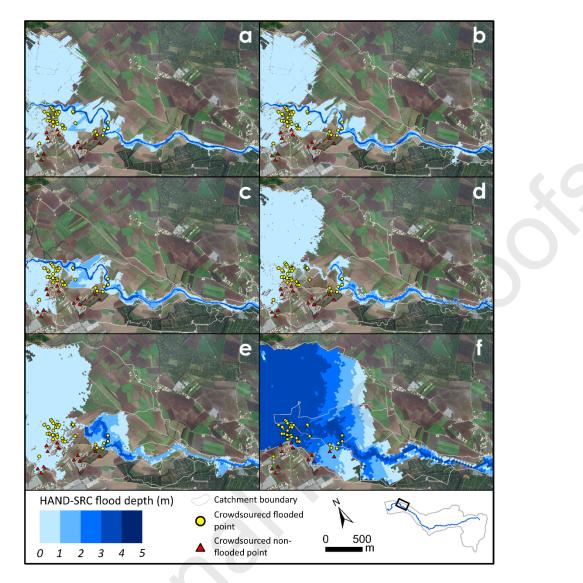
#### 729 4.3.1. Flood mapping using upscaled resolution

730 The analysis of the resampled DEMs focuses on the horizontal resolution impact, which is significant on

the computational burden and the adherence to the HAND-SRC assumptions. Obtaining high resolution

- 732 DEMs is still a challenge in many areas, therefore, testing whether coarser resolutions can reproduce
- similar results is needed from an operational perspective. By resampling the HRDEM enables assessing
- this effect.
- Figure 10 illustrates the flood maps at different resolutions. The 1 m resolution DEM produced a similar
- flood extent and depth to that of the 25 cm HRDEM, yielding a balanced estimate of flood spatial extent.
- However, the 3 m and 5 m resampled resolutions both showed flooding only on one side of the river. This
- issue originated from the change in the flow direction grid caused by resampling. At a resolution of 5 m

- and above the influence of the natural drainage in the floodplains becomes less significant as the drainage
- controlling features become dissolved within the grid cells whose size becomes larger than the width of
- the present ditches and canals. Contrarily, the delineated river flowline using a resolution of 30 m
- 742 diverged away from the channel. This resulted in a clear overestimation of flood depth as the DEM turned 743 into a completely flat area. The river's bank-full width varies between 8 and 15 meters and loses much of
- its elevation accuracy at resolutions of 20 and 30 m. At these two resolutions, SRCs showed higher errors
- and led to exaggerated stage heights. Consequently, the whole catchments were filled up to their
- boundaries. Generally, these results reflect how employing high-resolution DEMs is crucial for accurate
- flood modelling in flat regions, as coarser resolutions fail to capture critical drainage features and result in
- significant errors in flood extent and depth estimation mainly driven by the disruption of terrain
- convergence between the channel and floodplains.
- Figure 11 shows the produced metrics of  $CSI_A$ ,  $CSI_P$ , and the RMSEs in the DEM vertical accuracy,
- 751 SRCs, simulated flood depths, and flood surface water elevation (SWE) simulated using HAND-SRC
- vunder the selected DEM resolutions. RMSE<sub>DEM</sub> refers to the DEM vertical accuracy that was calculated in
- 753 comparison against the original HRDEM (before any resampling).  $RMSE_{SWE-CS}$  was calculated for the
- HAND-SRC surface water elevation compared to the crowdsourced flood SWE. Likewise, the  $RMSE_{FD}$
- 755 was calculated between the HAND-SRC flood depths and the crowdsourced flood depths. Moreover,
- $RMSE_{SRC}$  was calculated against the ARC at each catchment. The evaluated terrain resolutions showed a
- consistent ability to simulate crowdsourced flooded depths except for the 30 m resolution. However, the
- tested resolutions showed a random ability to capture crowdsourced flood occurrences and HEC RAS
- flood extents. The 25 cm resolution yielded satisfactory values in all metrics.
- 760 Contrary to expectations, a resolution of 20 m also demonstrated a satisfactory replication of HEC RAS
- extents and crowdsourced depths and occurrences despite the heightened errors in DEM accuracy. It
- should be noted that the errors in surface water elevations were more significant compared to the errors in
- flood depth which can be attributed to the heightened errors in DEM vertical accuracy. Furthermore, the
- resulting HAND rasters and flood maps failed to accurately capture the depth and hydraulic geometry of
- the river channel. No linear trend was observed from the resampled DEM flood mapping performance.
- However, some coarser resampled DEM resolutions could sufficiently capture the extent of flooding and
- 767 crowdsourced occurrences or depths. Nonetheless, their inherent errors and compromised accuracy make 768 them less ideal compared to finer resolutions with higher vertical accuracy
- them less ideal compared to finer resolutions with higher vertical accuracy.



769

- Figure 10: Simulated HAND-SRC flood maps of the January 2019 flood event using terrain setup S2 at a
- 771 DEM resolution of (a) 25 cm, (b) 1 m, (c) 5 m, (d) 10 m, (e) 20 m and (f) 30 m. The DEMs were
- resampled from the 25-cm HRDEM and the reach length used is 1350 m for a constant Manning's
- roughness coefficient of 0.07

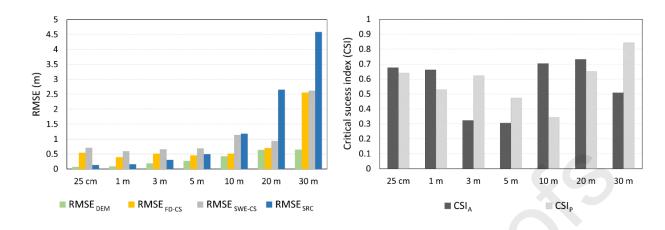


Figure 11: Evaluation of HAND-SRC model using root mean square error (RMSE) and critical success

index (CSI) across seven tested resolutions of terrain setup S2. RMSE is calculated for DEM vertical

accuracy ( $RMSE_{DEM}$ ), synthetic rating curves (SRCs) compared to ARC ( $RSME_{SRC}$ ), in addition to both

 $\label{eq:rescaled} \mbox{rowdsourced flood depth} \ (\mbox{RMSE}_{\mbox{FD-CS}}) \ \mbox{and crowdsource ed water surface elevation} \ (\mbox{RMSE}_{\mbox{SWE-CS}}) \ \mbox{that}$ 

779 were both against HAND-SRC simulations. CSI is calculated for crowdsourced points (CSI<sub>P</sub>) and HEC

780 RAS simulated flood extents  $(CSI_A)$ 

#### 781 **4.3.2.**Computation time

The computational time required to run the calculations/simulations showed a large difference between 782 783 the resampled DEM resolutions (Table 4). A personal computer was used for simulating the HAND-SRC model equipped with an AMD Ryzen 5800h CPU with 8 cores (3.2 GHz and 16 logical processors) in 784 addition to a 16 GB of RAM. Parallel processing was adopted for simulating TauDEM utilities using 16 785 786 processes. The total time required to develop the HAND raster and the SRCs using the 25 cm HRDEM 787 with an area of 8 km<sup>2</sup> was 47.4 mins out of which 81% were dedicated for TauDEM utilities. In 788 comparison a 30 m resolution DEM required only 2.1 seconds. Generally, little difference was found when simulating coarse resolutions above 5 m. The 3 m resolution required twice the time compared to 789 790 the 5 m DEM. Moving from a 3 m to 1 m resolution, a difference of two orders of magnitude in simulation time was observed. An order of magnitude difference was also witnessed when changing the 791 resolution from 1 m to 25 cm resolution. The calculation of HAND raster and SRCs at high resolutions 792 793 can be of high computational requirement, however it is only needed once. Furthermore, there is no 794 significant computational cost when mapping floods which can be achieved in a matter of a few seconds. In essence, setting up of HAND-SRC may be initially computationally demanding using high resolutions, 795 however, the model is highly efficient for repeated flood simulations required in operational forecasting 796 797 using all resolutions.

Table 4: The DEM resolutions tested using HAND-SRC, their raster size, uncompressed size andcomputational time

DEM resolution (m)	Raster Size	Uncompressed size	Computational time (sec)
0.25	17488×15800	1.03 GB	2844.310

1	4372×3938	65.68 MB	100.331
3	1457×1313	7.3 MB	6.811
5	874×788	2.63 MB	3.349
10	437×394	672.57 KB	2.487
20	219×197	168.53 KB	2.158
30	146×131	74.71 KB	2.113

#### 800

#### 801 5. Discussion

802 This section presents the discussion of three aspects that highlights the importance of accurate terrain

representation and the challenges of incorporating crowdsourced data sources for flood modelling.

804 Specifically, it covers: (1) the hydro-conditioning processes and the effect of the reach length variation,

805 (2) the flood mapping performance of the model, and (3) the DEM resolution effect on flood mapping.

#### 806 5.1. Terrain analysis: hydro-conditioning and reach length parameter

807 Hydro-conditioning is an indispensable practice used to correct or improve the effectiveness of the geometric representation for modelling purposes. The hydro-conditioning processes applied here differ 808 809 from (Garousi-Nejad et al., 2019; Zheng et al., 2018a) in four ways. First, two bathymetric DEMs were superimposed. The integration of bathymetry is an attempt to ensure topographic accuracy that is 810 811 consistently absent in similar applications. Second, the enforced flowline was delineated using the D8 approach. Third, the floodplain part of the DEM was hydro-conditioned by enforcing a drainage network 812 delineated using GeoNet. Here, the drainage continuity into a singular flowline was ensured by two 813 drainage enforcement processes, namely the AGREE-DEM and fill-burn processes. Fourth, levees were 814 removed from the DEM to ensure full drainage continuity between the floodplains and river channel, in 815 an unorthodox approach. These hydro-conditioning processes may seem unconventional in hydrological 816

applications but are necessary to adapt to both the terrain's flat nature and the model's assumptions.

818 A key aspect of integrating interpolated bathymetry is that, unlike the trapezoidal cross-section

- 819 bathymetry, it may admit multiple parallel flowlines. This generates inconsistencies in the HAND raster
- 820 due to the increased downstream distance between a DEM grid cell and the flowline grid cell. The flow-
- burn approach that followed the AGREE DEM approach resolves this limitation, by leading the drainage
- 822 within the bathymetry into the singular enforced flowline in the HAND raster.
- 823 Another pivotal impact of the hydro-conditioning processes is the alteration of the DEM. While this may
- 824 introduce additional errors, it guarantees drainage continuity through the lowest elevations in the terrain.
- 825 By enforcing drainage in the bathymetry and floodplain, these processes mitigate the effect of the
- automatic pit-filling and flow direction grid calculation while preparing the HAND raster. This approach

preserves the consistency between the original DEM and the HAND raster, which is essential for accuratemapping and for comparison with crowdsourced flood levels.

829 Levee representation within a DEM is highly significant since they act as a barrier against flow. In our study case, the high resolution of the DEM allowed detecting levees that act as a barrier despite their 830 small size. These soil levees, developed through random efforts by local farmers, do not meet modern 831 832 engineering design standards. They are also scattered along the river course with many gaps and breaches, rendering them non-optimal for flood mitigation. Unlike other studies (Afshari et al., 2018; Aristizabal et 833 al., 2023) that tried to enforce levees into the DEM, we opted to remove them due to their lack of 834 835 hydraulic significance. Our analysis revealed that removing these levees to allow floodplain drainage into the channel is crucial to producing coherent HAND raster that extend across the complete floodplains (see 836 837 figure 5) and SRCs that accurately replicate ARCs by maintaining the hydrological connection.

838 It should be noted that levee presence in a DEM can be highly problematic in HAND-SRC model.
839 Inundation in HAND-SRC is based on traced hydrological connection that drains the floodplains into the

Inundation in HAND-SRC is based on traced hydrological connection that drains the floodplains into the
 channel (assumption A1). These hydrological pathways require a monotonically decreasing flow direction

grid that cannot occur in the presence of a levee. Since levees are raised structures that separate two

regions in a DEM, no monotonic decrease will be found between the upstream and downstream sides of

- the levee. Therefore, any traced connection, if found, will be erroneous further contributing to errors in
- SRC and estimated stage heights. Furthermore, the HAND raster extent, in the presence of a levee, will be
- restricted to the levee location and will result in an infinite "wall effect" when the raster is filled up to the
- levee edge. Nonetheless, a stage height can be overlaid over the whole HAND raster regardless of a
- 847 hydrological connection to overcome the levee problem, as in Afshari et al. (2018). This however, would
- 848 lead to an overestimation of the flood extent, especially in flat terrains. Another possible workaround can
- be made by removing the levees and adjusting the SRC such that no floodplain inundation occurs until
- 850 bank-full discharge is reached.

The two primary parameters of the HAND-SRC model are Manning's roughness coefficient and the reach 851 852 length L. The former is dependent on the characteristics of the land surface and its features, while several 853 terrain properties dictate the latter. The most commonly used L values in the literature are at least 1000 m. Here, reach lengths down to 150 m were tested. Our analysis revealed an increasing trend in the average 854 855 stage height when reducing L. The outlier values that appear when using a reach length of 150 m can be attributed to either the delineated catchment's hydraulic geometry or the local slope heterogeneity. 856 857 Catchments with lower L can be unbalanced, with a larger draining area on one bank of the river or 858 different maximum fillable heights at each bank, leading to a strictly vertical filling of the catchment and consequently an increase in estimated stage heights. This is identified by sudden increasing shifts in 859 SRCs. Reach slope outliers ranging from 0.01% to 0.7% were found in L=150 m, contributing to the 860 stage height variability in some catchments. (Godbout et al., 2019) found that decreasing L below 1.2 km 861 or targeting low-slope reaches reduces SRC accuracy using a 10 m topographic dataset. In our study, the 862 slope and reach length did not show any co-linearity, suggesting that stage height errors using shorter L (< 863 1000 m) can be mitigated when robust bathymetric measures are available. The limitation on using 864 shorter L remains from the effect of catchment geometry which mainly depends on the terrain 865 connectivity and HAND raster quality. 866

867 5.2. HAND-SRC flood mapping

#### 868 5.2.1. Flood mapping performance

869 HAND-SRC as a hydrological terrain filling technique coupled with Manning's flow equation lacks the

physics of fluid mechanics in more complex hydraulic models using shallow water equations. Manning's
 flow equation certainly introduces a simplified flow hydraulics representation albeit the limitations

stemming from the uniformity assumption of water levels within a catchment (A3) their discretization

- along catchments of a river reaches (A4) and the infinite water volume assumption (A5) (see section
- 874 3.1.2). These assumptions create a surface water elevation with a stepped curve along the river and fail to
- conserve mass or momentum across consecutive river reaches. Nonetheless, a plethora of other simplified
   low-complexity models can be used for rapid flood inundation mapping such as RFSM, TVD, AutoRoute,
- planar surface, bathtub method, topographic wetness index, geomorphic flood index and slope position
- (Dhote et al., 2023; Jafarzadegan et al., 2023; Teng et al., 2019). Yet, HAND tends to perform similarly
- or better than other low-complexity models in terms of simulated extent and depth (Afshari et al., 2018;
- B80 Dhote et al., 2023; Lioi et al., 2020; McGrath et al., 2018). The model is an efficient and less costly
- alternative to more physically-based models that admit higher ranges of uncertainty (Diehl et al., 2021).
- 882 Overall, the hydro-conditioned terrain setups were capable of capturing the extent and depths of

crowdsourced data ( $CSI_P$  of 0.64 and 0.79) and HEC RAS extents ( $CSI_A$  of 0.66 and 0.59). The presence

- of crowdsourced points in rural areas may be subject to the influence of terrain features. The resulting
- magnitude of RMSE errors compared to crowdsourced data was generally on the lower side (< 1m), and
- this can be attributed to the flat geomorphology of the terrain. While HEC RAS attained a lower RMSE
- (34 cm), terrain setups S2 and S3 showed a low error as well (70 cm and 54 cm respectively). These
- values are considered acceptable for flood modelling (Fleischmann et al., 2019).
- 889 Interestingly, the trapezoidal bathymetry in S3 produced some enhanced metric performances compared
- to the surveyed interpolated one in S2 against crowdsourced data (table 3). A slight improvement was
- also found in replicating HEC RAS extents. Flood depths using S3 were also overestimated against HEC
- 892 RAS but with similar hot-spots of overestimation and underestimation compared to S2. S3 had lower
- 893 MAD and RMSD compared to S2 but a higher MD indicating higher outliers than in S2. This implies a
- greater bias toward underestimation of flood depth in setup S3 compared to setup S2. The average
- trapezoidal bathymetry area is similar to that of the surveyed interpolated one while both terrains produced similar rating curves. Yet, two main factors influencing this difference can be attributed. (1) The
- enhanced drainage continuity between the channel with superimposed bathymetry and the floodplain
- 898 caused by reduced noise and errors resulting from bathymetric interpolation that may create obstructions
- between both. (2) The reduced errors stemming from the internal drainage within the riverbed that can
- 900 lead to increased hydrological distance between the flowline and a DEM cell consequently leading to an
- 901 overestimation of HAND values and therefore reduced flood depths and extents. Such errors arise from
- possible river islands or from the rectangular delineated drainage pattern within the surveyed interpolated
   bathymetry. This suggests that a simplified geometry can be more favorable for terrain-based HAND-
- 904 SRC applications.

# 905 5.2.2. Underprediction in low-reliefs

- In general, HAND-SRC maps underestimated the flood depths compared to crowdsourced data despite a 906 relatively high Manning's roughness coefficient ( $n = 0.07 \text{ s/m}^{1/3}$ ). Such a finding was also reported by 907 (Afshari et al., 2018; Hocini et al., 2020). Other works suggested a reduction of Manning's roughness 908 909 coefficient in high-stream order reaches and low-relief terrains (Johnson et al., 2019). Four factors can be behind this difference. First, the hydro-conditioning can increase the fillable volumes and subsequently, 910 the cross-sectional area implying a higher n is needed as a result of assumption (A5). Second, errors in the 911 river slope in DEMs lacking bathymetry can lead to irregular slope estimates and increased height 912 913 differences especially in coarser resolutions adopted in the literature. Third, the underestimation of 914 hydraulic geometry due to the lack of bathymetry and the coarse resolutions in the different literature
- 915 (Godbout et al., 2019; Hocini et al., 2020; Johnson et al., 2019) result in a flat SRC with little sensitivity
- to elevation. Lastly, the traced hydrological flow path along the flow direction between the flowline cell
- and a DEM cell may not correspond to the actual distance in reality. This can be due to DEM errors or
- 918 incorrect drainage delineation resulting in increased distance along the hydrological flow path leading to

919 increased HAND index at a DEM cell that in reality should be lower. This may further contribute to the 920 underprediction in flat areas.

#### 921 5.2.3. Perspectives on crowdsourced data

922 Crowdsourcing introduces a type of uncertainty that is complex to outline and constrain. The source of

- 923 uncertainty varies according to the type of data, collection method and analysis approach. Yet, the
- approaches and protocols to understand them are still being developed (Assumpção et al., 2018; Nardi et
- al., 2022). In our study, the uncertainty in crowdsourced data manifests in the spatiotemporal
- 926 characterization, originating from the timing of peak flood observation and the elevation errors.
  927 Evaluating this requires a transdisciplinary analysis incorporating demographic, social and psychological
- sciences to deal with the biases and randomness of human observations.
- 929 The validation of crowdsourced data relies on external inputs such as remote sensing or aerial imagery at
- the time of the flood which were not available in our study (Dasgupta et al., 2022; Nardi et al., 2022).
- 931 Thus, crowdsourced data should adopt structured methods to validate and assess their interoperability
- without external inputs. The crowdsourced data used here consisted of 45 points of which 33 represented
   a peak flood water elevation distributed over an area of 0.45 km<sup>2</sup>. For rural streams, 5 to 10 high water
- marks are sufficient to characterize a flood, however, urban settings require a higher number (Koenig et
- 935 al., 2016). Given the small area of the crowdsourcing survey, the density of the points was found to be
- 936 sufficient to reduce the uncertainty and eliminate discrepancies. Furthermore, the crowdsourced points
- 937 were classified into three reliability levels: low, medium and high based on demographic criteria and
- retrieval abilities of the eyewitness. High and medium reliability points showed a similar level of profile
- agreement contrary to low reliability points that exhibited a non-linear tendency with several outliers.
  Interestingly, the complete dataset was capable of providing sufficient information for model validation in
- 941 the targeted areas. Moreover, the elevation profile from the surveyed flood levels matched the slope and
- flow direction of the river bed slope (0.16%), showing an agreement with basic hydraulic principles.
- 943 Therefore, we suggest that an adequate density of crowdsourced points is essential to reduce their
- 944 associated uncertainty and validate their accuracy.
- 945 A main limitation of crowdsourced data is their lack in uninhabited environments and covering urban
- 946 environments where "sensors" whether human or technological are present. This can produce spatial947 biases derailing the overall accuracy of the approach in remote areas and agricultural plains. Our dataset
- admits an unbalance providing dense information only on the left bank of the river. This limitation was
- significant in the pre-processed terrain setups and tested DEM resolutions (3 m and 5 m) that showed
- 950 good agreement in CSI<sub>P</sub> and RMSE metrics but failed to correctly capture the inundation extent of HEC
- 951 RAS. Therefore, to overcome this limitation, hydraulic simulations or established flood maps are needed
- 952 for an overall evaluation of the model on its full domain.
- 953 The implementation of HAND-SRC highly depends on the estimation of a stage height. In the literature,
- 954 different approaches are used including gauged stage levels were used as input (Johnson et al., 2019;
- 255 Zheng et al., 2018a). In regions suffering from low data availability, alternative sources of information
- can be used to establish a good range of stages such as remote sensing. In our case limiting the analysis to
- a single event at its peak can be restricting, however, integrating multiple events may enhance the model's
- 958 robustness. Crowdsourced data are only fit for local enhancement of the HAND-SRC due to their spatial 959 limitations. Nonetheless, the reliability of the model for a range of discharge values can be enhanced by
- adjusting the model parameters at each reach until SRCs match the ARCs of a hydraulic model.
- 961 The key advantage of using crowdsourced points is that they provide flood depth and extent estimates
  962 with minimal acquisition costs, at fine scales, and in urban environments. Yet, this can only be taken
  963 advantage of using a DEM with high resolution and accuracy. Integrating the crowdsourced flood depths

in calibrating the hydraulic HEC RAS model achieved a low RMSE (34 cm) illustrating the reliability of
 the dataset and its utility in the absence of other forms of observed data. In the future, crowdsourced data
 are expected to increasingly complement other forms of observed or remotely sensed data and in data

967 assimilation in flood forecast models.

#### 968 5.3. DEM resolution requirement in low-reliefs

969 Low-relief areas are highly prone to floods due to their inherent topographic characteristics. We tested a 970 set of DEMs using HAND-SRC resampled using the bilinear interpolation method. This method was 971 chosen because it preserves the smoothness of the DEM (Haile & Rientjes, 2005). The tests showed that only a 25 cm or a 1 m resolution DEMs can capture both flood depths and extents satisfactorily. The rest 972 of the of coarser resolutions (3 m and above) all showed significant errors in water surface elevation, 973 974 flood extents or flood depths in channel or floodplain. These errors can be attributed to several factors. 975 First, the resampling of the DEM disrupts the terrain convergence leading to the formation of areas that do not drain into the river channel. This change arising from resampling usually follows no systematic 976 977 trend (Wu et al., 2008). Second, the change in the drainage patterns results in the filling of the DEM 978 leading to increased errors in the DEM and SRCs. Lastly, the reduction in DEM vertical accuracy is caused by the coarsening effect of the DEM. The first two factors are mostly present in low-relief terrain 979 where resampling does not maintain the flow direction grid or drainage paths. The last factor consists of 980 coarsening errors and errors from the interpolation method which are usually less significant (Muthusamy 981 982 et al., 2021).

983 Several papers have demonstrated that varying the spatial resolution through resampling has a higher

influence on flood depth predictions rather than the extent (Leskens et al., 2014; Saksena & Merwade,
2015; Savage et al., 2016) in hydraulic modelling approaches. In our case, HAND-SRC as a terrain-based

986 model showed a random pattern that influenced both the flood extents and depths in flat areas. However,

a more comprehensive understanding of the DEM resolution impacts on HAND-SRC requires a broader

988 assessment that includes other topographic settings.

989 Upscaled DEMs from higher resolution DEMs with high vertical accuracy can retain a high accuracy
 990 compared to global DEMs (Prakash Mohanty et al., 2020). Therefore, global DEMs may not be reliable

991 for detailed assessment in low-relief sensitive terrains. Whereas, resampled DEMs from high resolution 992 must be used with caution unless it conforms to two factors. (1) The resolution should allow the detection

993 of the natural drainage pattern in the floodplains to achieve terrain convergence. (2) It should maintain

- enough topographic representation and accuracy in the DEM elevations for precise hydraulic geometry
- extraction. While an improvement in the performance of resampled DEMs is possible through additional
- hydro-conditioning, the approaches used in this study do not apply to all tested resolutions and are outside
- 997 the scope of the study.

#### 998 6. Conclusion

999 The purpose of this paper is to study the applicability of the low-complexity terrain-based HAND-SRC model for flood mapping in a low-relief terrain with developed rural floodplains comprising channels, 1000 1001 ditches and levees. Due to the increasing demand for real time operational forecasting, testing adapted approaches that provide efficient, rapid and accurate flood maps has become necessary. An application of 1002 the HAND-SRC model using a complete geometric representation at a high resolution was presented. The 1003 1004 study further assessed the effective topographic representation required for HAND-SRC modelling in flat areas through hydro-conditioning and upscaling DEM resolutions. The implementation was conducted in 1005 1006 the floodplains of the Ostouane catchment in Northern Lebanon. An intensive field investigation was 1007 conducted to acquire a high-resolution DEM (25 cm), the bathymetry and a set of crowdsourced data on a past flood event. Two sources of model validation were utilized: HEC RAS flood maps and crowdsourced 1008

data that consist of positive/negative flood occurrences and flood depths from a past event. While the first
 provided a more comprehensive assessment of flood mapping, the latter scrutinized the accuracy of

1011 prediction at detailed locations.

Due to the sensitivity of flat terrains and the complexity of detailed floodplain features at high resolutions, 1012 an adapted hydro-conditioning approach was introduced to restructure the terrain in preparation for 1013 1014 HAND raster and SRC calculations. This is also to adhere to the model assumptions and retain the DEM accuracy. Overall, the terrain setups with the highest level of hydro-conditioning (S2 and S3) showed 1015 reasonable prediction performance in capturing crowdsourced flood occurrences (CSI<sub>P</sub> of 0.64 and 0.79 1016 1017 respectively), crowdsourced flood depths (RMSE of 0.7 and 0.54 m respectively) and HEC RAS extents (CSI<sub>4</sub> of 0.66 and 0.59 respectively). Both setups incorporated drainage enforcement in the bathymetry 1018 and floodplain, levee removal, and bathymetric integration. These processes all served the goal of 1019 1020 achieving drainage continuity and terrain convergence between the floodplain and the channel. Furthermore, adopting a theoretical bathymetry based on hydraulic geometry power law (S3) produced 1021 1022 similar predictions compared to the bathymetry interpolated from surveyed cross-sections (S2).

1023 Therefore, a simplified representation of the terrain can still be effective for bathymetry representation.

In addition to the representation of terrain features, the scalability of the hydro-conditioned terrain was 1024 evaluated using resampled DEMs. Tested resolutions showed a variable performance mainly driven by 1025 1026 the effective topographic structure and properties of the DEM at the different scales. The highest 1027 resolutions (25 cm and 1m) performed better in replicating correct flood extents and depths compared to coarser resolutions where effective channel and floodplain features become dissolved. This leads to the 1028 loss of drainage continuity and the alteration of terrain convergence in the DEM. Generally, coarser 1029 1030 terrains either resampled or from global DEMs should be used with caution due to their inherent structure that does not necessarily conform to the model assumptions in flat terrains. Nonetheless, the utilization of 1031 1032 the sub-meter DEM resolution can be highly significant. This is demonstrated by permitting the detection of the natural drainage through the detection of natural and artificial terrain features. The vertical 1033 1034 accuracy and fine resolution were both essential in avoiding the perfectly flat surface terrain problem that 1035 is commonly found in coarser resolutions. Additionally, it permitted accurately identifying crowdsourced locations and depths with high precision in the DEM. It is worth noting that the use of crowdsourced data 1036 1037 allows evaluating the model's ability to capture detailed locations but introduces significant uncertainty and requires placing high credibility in evewitness accounts. Despite that, such data may be the sole 1038 1039 source of model validation in ungauged areas. An adequate density has shown to be necessary for 1040 validating the dataset itself without the need to introduce external inputs. The spatial bias of such data however, renders it complementary for modelling validation. 1041

Our results also show that using the hydraulic model's aggregated rating curves (ARCs) instead of
synthetic ones (SRCs) demonstrated that the hydro-conditioned terrain can replicate the extents of HEC
RAS. Generalizing this finding however, require a more comprehensive review of SRC accuracy for all
discharge ranges. Nonetheless, the implemented approach required increasing Manning's roughness
coefficient to capture crowdsourced points levels. The fixed assumptions of this parameter can be a
limitation of the model but our analysis showed that it can still be locally optimized based on
crowdsourced observations.

In conclusion, the HAND-SRC terrain-based model as a continental and regional scale flood mapping
approach was found to still be relevant at local scales and in flat terrains. Adopting a high-resolution
hydro-conditioned terrain that maintains terrain convergence and drainage continuity, can provide good
performance in a challenging low-relief topography. This can be achieved in a simple straightforward
fashion compared to hydraulic models that require a more intensive preparation process. The model can
be superior in terms of preparation effort and computational time. HAND-SRC cannot be relied on for

1055 highly accurate cell by cell estimations of flood depth especially in terrains with anthropogenic features.

- 1056 However, as a rapid flood mapping model it is capable of providing reasonable satisfactory information
- 1057 on extent and depth. We thus suggest that the model can be beneficial for enhanced rapid identification of
- 1058 flood risk areas and for ensemble-based flood forecast mapping.

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- 1064 Hydrosystem interactions (LISAH).

#### 1065 Data statement

1066 Data will be made available on request.

#### 1067 CRediT authorship contribution statement

- 1068 Hassan Sabeh: Conceptualization, Methodology, Investigation, Writing Original Draft, Writing -
- 1069 Review & Editing. Chadi Abdallah: Supervision, Writing Review & Editing, Funding acquisition,
- 1070 Project administration. Nanée Chahinian: Supervision, Writing Review & Editing. Marie-George
- 1071 Tournoud: Supervision, Writing Review & Editing. Rouya Hdeib: Supervision, Writing Review &
- 1072 Editing. Roger Moussa: Conceptualization, Supervision, Writing Review & Editing

#### **1073** Declaration of competing interest

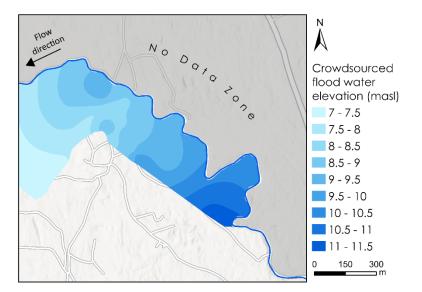
1074 The authors declare that they have no known competing financial interests or personal relationships that 1075 could have appeared to influence the work reported in this paper.

#### 1076 Supplementary material

1077 Table A1. List of abbreviations

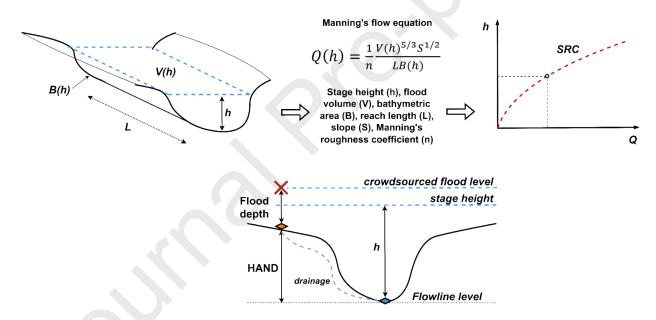
Abbreviations	Definition
ARC	Aggregated Rating Curve from HEC RAS model
CSIA	Critical Success Index used to compare with flood areal extent
CSI <sub>P</sub>	Critical Success Index used to compare with crowdsourced points flood occurrences
DEM	Digital Elevation Model
h	Height threshold used for flood mapping in HAND-SRC

HAND	Height Above Nearest Drainage index
HAND-SRC	Flood mapping model based on utilizing HAND index and SRC
HEC RAS	Hydrologic Engineering Center's River Analysis System
HRDEM	High resolution digital elevation model
L	River reach length
MCC <sub>A</sub>	Mathew's Correlation Coefficient used to compare with flood area
MCC <sub>P</sub>	Mathew's Correlation Coefficient used to compare with crowdsourced points
n	Manning's roughness coefficient
Q <sub>p</sub>	Peak flow estimated at Pont Halba (G1) station for 2019 flood event
RMSE	Root Mean Square Error
RMSE <sub>DEM</sub>	Root Mean Square Error of DEM vertical accuracy
RMSE <sub>FD-CS</sub>	Root Mean Square Error in simulated flood depth compared to crowdsourced flood depths
RMSE <sub>SRC</sub>	Root Mean Square Error in synthetic rating curve
RMSE <sub>swe-cs</sub>	Root Mean Square Error in simulated SWE compared to crowdsourced flood SWE
SRC	Synthetic Rating Curve
SWE	Surface Water Elevation



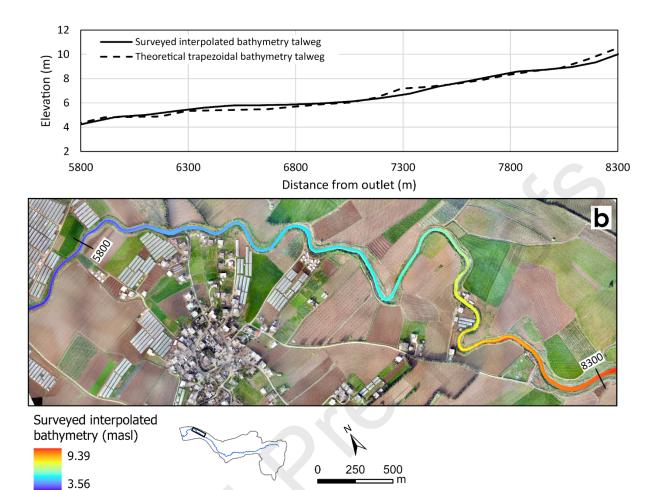
1082

Figure SM1: Delineated flood map using crowdsourced flood depths, flood extent points and non-floodedpoint locations



1083 Figure SM2: Illustration of HAND-SRC conceptual model. The HAND raster is filled to a variable stage

- 1084 height *h* then the hydraulic geometries are extracted. Manning's flow equation is used to estimate the
- 1085 discharge and plot a synthetic rating curve for a Manning's roughness coefficient



- 1087 Figure SM3: (a) Talweg elevation in the interpolated surveyed bathymetry and the theoretical trapezoidal
- 1088 geometry between stations 5300 and 8300 m measured from the river outlet, and (b) bathymetry zone and
- 1089 elevation across the test site

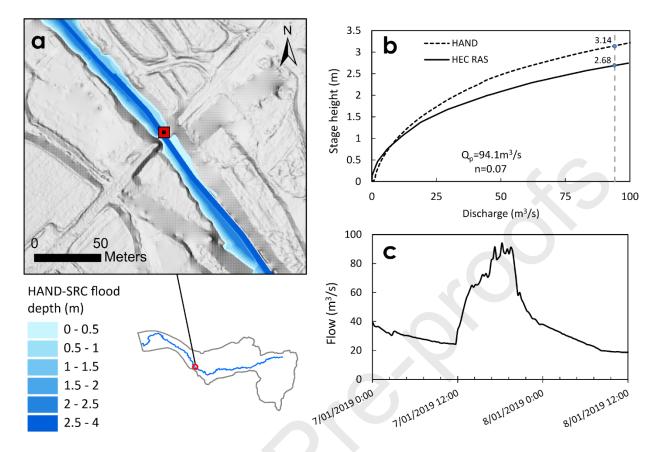


Figure SM4: The January 2019 flood event: (a) HAND-SRC flood depth at Pont Halba gauge station
during peak flow; (b) Comparison between SRC and rating curve at the gauge; and (c) flow hydrograph
during the event calculated based on the theoretical rating curve

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#### Journal Pre-proofs

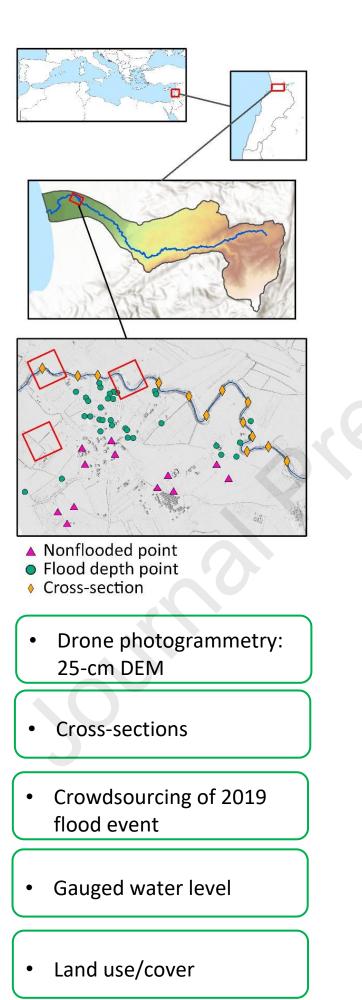
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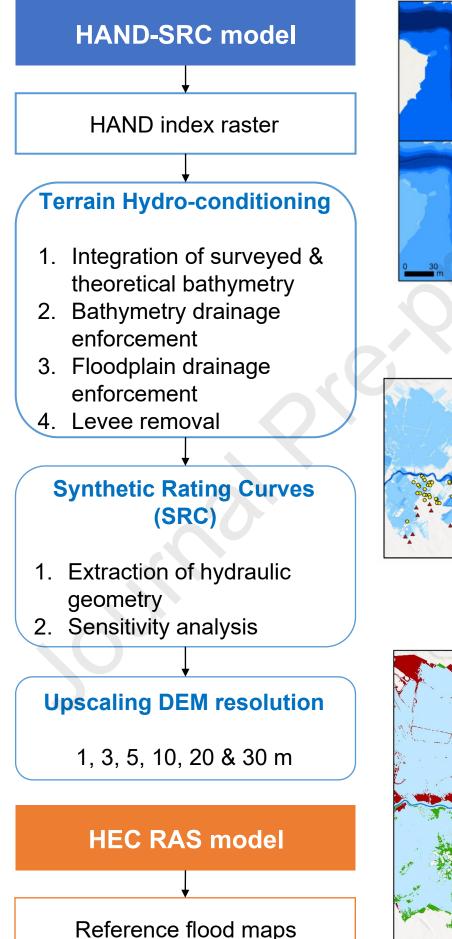
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- 1375
- 1376 Highlights:
- 1377 HAND-SRC applied in low-relief terrain with anthropogenic features in North Lebanon
- 1378 Adapted hydro-conditioning necessary for HAND-SRC to replicate HEC-RAS flood extents
- Crowdsourced depths are well captured by HAND-SRC (CSI = 0.64 and RMSE = 54 cm)

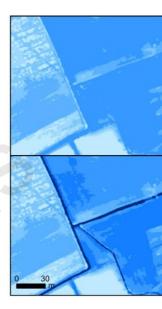
### Journal Pre-proofs

1380	• Integrated power-law bathymetry can reproduce flood maps of surveyed bathymetry
1381	• High DEM resolution ≤ 1 m maintains terrain convergence for HAND-SRC accuracy
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1384	Evaluating Terrain-Based
1385	HAND-SRC Flood Mapping
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1394	Objective: what is the effective representation of terrain for
1395	HAND-SRC flood mapping in a low-relief terrain?
1396	Study Area & Data Methodology Effect of terrain
1397	hydro-conditioning DEM Resolution effect

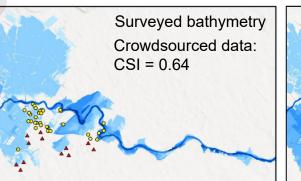








# Effect of bathymet





## Flood extent comp

