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## Article

# Study of the Influence of Thermal Annealing of Ga-Doped ZnO Thin Films on NO<sub>2</sub> Sensing at ppb Level

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**Abstract:** In this paper, the sensitivity to sub-ppm NO<sub>2</sub> concentration of 50 nm thick Ga-doped ZnO (GZO) films grown by RF magnetron sputtering is studied. The films were annealed under dry air for 4 h at either 500 °C, 600 °C, or 700 °C. The increase in the annealing temperature leads to an improvement of the crystallinity while no significant evolution of the surface grain size is observed. The electrical resistance of the thin films was measured at 250 °C under neutral argon atmosphere, humid air reference atmosphere, and reference atmosphere polluted by 100 ppb of NO<sub>2</sub>. An increase in sensitivity to NO<sub>2</sub> is noted for samples annealed at 600 °C, leading to a response  $R_{\text{NO}_2}/R_{\text{air}}$  of ~10 for 100 ppb of NO<sub>2</sub>. Finally, photoluminescence spectra are compared with their electrical resistance at 250 °C under the various atmospheres to understand this phenomenon. It is proposed that the origin of the NO<sub>2</sub> maximum sensitivity for films annealed at 600 °C is the consequence of a specific annihilation of point defects resulting in an increase in the relative concentration of oxygen vacancies, which improves selectivity toward NO<sub>2</sub>.

**Keywords:** NO<sub>2</sub> sensing; gallium-doped zinc oxide; thin films; sputtering; ppb level; photoluminescence



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## 1. Introduction

ZnO has been proven for many years as an interesting material for gas sensing applications, particularly for its great sensitivity towards NO<sub>2</sub> but also many other reductive and oxidative gasses as well, widely reported in the literature [1–4]. A large number of these papers are reporting attempts of microstructural optimization to increase the sensitivity of the sensing material. It is now accepted that thin microstructures with grains of sub-micrometric or nanometric dimensions are to be obtained to achieve high gas sensitivity [5,6].

The search for these nanometric microstructures led to a dramatic increase in the resistivity of the sensing materials, making their electric measurements more difficult and hindering the development of these semiconductor gas sensors. This is especially the case for very thin films (a few nm to a few tens of nm) that can easily reach very high resistance due to the form factor (low electrically conductive section). However, studies concerning the development of transparent conductive oxides show that the creation of intrinsic

donors by oxygen vacancies or heavily doping ZnO with metals with oxidation number +3 are potential solutions to increase carrier concentration and consequently decrease the resistivity [7]. Sub-stoichiometric films that are obtained with adequate sputtering conditions or post-deposition annealing under vacuum or H<sub>2</sub> are not stable for a long time due to the reoxidation of the oxygen-deficient films, particularly when heated in air, which limits their application in semiconductor gas sensors. On the other hand, Ga<sup>3+</sup> is an interesting dopant candidate, as it is neighboring Zn in the periodic table and is much more likely to occupy doping sites instead of interstitial sites in the ZnO crystal lattice [8]. Furthermore, it has been reported that Ga doping induced an increase in oxygen vacancy concentration compared to pure ZnO [9], which is of great interest in this study. Ga-doped zinc oxide is often referred to as GZO.

Many different techniques are available to achieve these thin microstructures, and radiofrequency (RF) sputtering is a well-known technique among them. It allows for easy and repeatable deposition of nanometric films with nanometric-scale microstructures such as columnar grains [10,11]. The good control of the sputtering target in terms of homogeneity and material composition ensures a reproducible material in our case with 4%<sub>at</sub> Ga in ZnO.

These films are operating in temperature to achieve satisfactory chemisorption and desorption rates. Once integrated into a micro-hotplate, a microsensor easily industrializable with a low energy consumption (few mW to few tens of mW) [12] is obtained, aimed for on-board air quality applications. Although there is currently a high interest towards room-temperature (RT) resistive gas sensors now, this work focuses on a low-consumption, simple design and easily industrializable solution for air quality monitoring.

Crystal defects such as oxygen vacancies (V<sub>O</sub>) have been reported to increase ZnO sensitivity to NO<sub>2</sub> [13–18]. These V<sub>O</sub> have been identified to behave as preferential chemisorption sites for NO<sub>2</sub> at the surface of ZnO, thus improving its sensitivity [19,20]. Photoluminescence (PL) spectra analysis allows the study of main point defects in semi-conductive materials such as ZnO, which is particularly well suited for this technique. Even if the specific identification of defects observed in a PL spectrum is the subject of much controversy in the literature [21–27], other aspects of a PL spectrum also bring precious information, particularly interesting for gas sensing applications. The evolution of surface effect and depletion layer depth can be observed [24,28–30], which can be of great interest for gas sensing works [26] since it is commonly accepted that the ratio between depletion layer depth and grain size greatly drives gas sensitivity [5,6].

In this paper, 50 nm thick films of Ga-doped ZnO grown by RF sputtering have been annealed under dry air for 4 h at 500 °C, 600 °C, or 700 °C. The aim of this work was to study the influence of annealing temperature on the structure, microstructure, gas sensitivity, and PL spectra of GZO films. Their electrical resistance was measured at 250 °C under a neutral argon atmosphere, a humid air reference atmosphere, and a reference atmosphere polluted by 100 ppb of NO<sub>2</sub>. For ZnO:Ga thin films, the choice of 250 °C as the optimum operating temperature for NO<sub>2</sub> detection has been already demonstrated in previous works [11]. To understand the evolutions of response to air and to NO<sub>2</sub> of the films with annealing temperature, their PL spectrum has been compared.

## 2. Materials and Methods

### 2.1. Preparation of Gas Sensing Elements

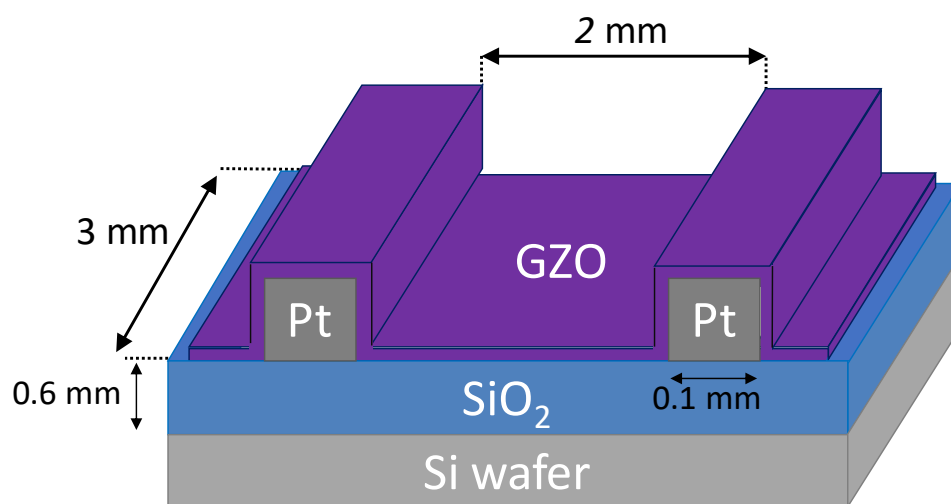
Thin films of Ga-doped ZnO (or GZO) were deposited with the RF magnetron sputtering technique (model SCM 400 from ALCATEL CIT) with a magnetron diode configuration. A Zn<sub>0.96</sub>Ga<sub>0.04</sub>O ceramic target was used, and the deposition was performed under pure argon atmosphere. The sputtering process parameters are detailed in Table 1.

**Table 1.** Deposition parameters of GZO thin film by RF magnetron sputtering.

Deposition Parameters	Value
Target material	ZnO:Ga (4% <sub>at</sub> )
Power per surface unit	1.14 W/cm <sup>2</sup>
Magnetron	Yes
Argon pressure	2 Pa
Target to substrate distance	7 cm
Mean free path	0.3 cm
Deposition speed	2 nm/min

GZO films of  $50 \pm 5$  nm thickness were deposited using these parameters. The thickness of the films was measured and confirmed using a Dektak 3030ST profilometer (Veeco, Plainview, NY, USA) and the X-ray reflection method using a D8 ADVANCE (Bruker, Billerica, MA, USA) equipped with a LynxEye detector (Bruker, Billerica, MA, USA).

For gas sensing measurement, the films were deposited on test devices (TD). These platforms are made of silicon wafer electrically passivated with a 600 nm thick SiO<sub>2</sub> layer obtained by thermal oxidation. Above SiO<sub>2</sub> layer were added platinum electrodes (150 nm thick and 100 μm wide), with 3 mm of length, and spaced by 2 mm. For photolithography, a MA/BA6 Gen4 mask aligner (Suss Microtec, Garching near Munich, Germany) was used. The schematic structure of the test vehicle obtained is shown in Figure 1. During GZO deposition, Kapton tape was used to keep the measurement pads uncovered.

**Figure 1.** Schematic representation of the gas sensing test vehicles, not to scale.

After GZO deposition, different samples were annealed in a tubular oven under a dry synthetic air atmosphere for 4 h at 500 °C, 600 °C, or 700 °C. Obtained samples were named according to their annealing temperature: A0, A500, A600, and A700 for samples non-annealed and annealed at 500 °C, 600 °C, and 700 °C, respectively.

## 2.2. Microstructural and Structural Characterization

The chemical composition of the GZO films was analyzed with the Field Emission Gun Electron Probe MicroAnalyzer (FEG-EPMA) method using a SX FIVE FE (CAMECA, Gennevilliers, France). The measurement was made on 10 different points on a 1 cm<sup>2</sup> surface to validate the thin film homogeneity.

Grazing incidence X-ray diffraction (GIXRD) with a 1° incidence angle was used to analyze the structure of the GZO thin film using a D8 ADVANCE (Bruker, Billerica, MA, USA) equipped with a LynxEye detector (Bruker, Billerica, MA, USA).

Scanning Electron Microscopy (SEM) and Transmission Electron Microscopy (TEM) were used to observe the microstructure of the sample. A Focused Ion Beam (FIB) was used to etch away blades from the samples for TEM observations. These observations were made using a Helios 600i (FEI, Hillsboro, OR, USA) equipped with a FEG and a JEM-2100F (JEOL, Tokyo, Japan) equipped with a CMOS RIO16IS 4K\*4K camera (Gatan, Pleasanton, CA, USA) and an EDX Ultim Max TEM (Oxford Instruments, Oxfordshire, England) for Energy-Dispersive X-ray spectroscopy. The TEM observations were completed with cartography obtained with the 4D-STEM system STEMx (Gatan, Pleasanton, CA, USA).

Photoluminescence measurements (PL) were performed at 300 K. A 25 mW 325 nm HeCd laser (Kimmon Koha, Tokyo, Japan) was used as the excitation source. The PL emission was collected by a  $36 \times$  reflective microscope objective (Newport Corporation-MKS, Andover, MA, USA) and analyzed using a TriVista spectrometer (S&I Spectroscopy & Imaging GmbH, Warstein, Germany). The combination of the BU2 Idus420 detector (Andor Technology, Concord, MA, USA) with a 150 l/mm, 300 nm blazed grating and a 100  $\mu$ m slit width results in a spectrometer resolution of 0.7 nm. All measured GZO PL spectrums were stable over time, indicating that no laser annealing of the films occurred.

### 2.3. Gas Sensing

The test bench is composed of a 150 mL commercial gas chamber (Nextron MPS-CHH6) integrating a heating plate (that can heat from ambient to 600 °C) and 6 tip probes. The chemical composition of the gas mixture is controlled in real time through a test bench from Omicron Technologies. This system integrates digital mass flow controllers and electro-valves, and a LabVIEW program manages the test bench. The reference air atmosphere is provided by an air zero generator ZAG7001 (Envea, Poissy, France), and the argon and NO<sub>2</sub> are provided by pressurized gas cylinders (Linde Gas, Lyon, France). The NO<sub>2</sub> one is a mixture of 1 ppm of NO<sub>2</sub> diluted in 5.0 synthetic air (99.999%). In the following, reference air atmosphere designates clean air with 50% relative humidity at room temperature. The gas is humidified by injecting water vapor through a membrane. A humidity probe located at the outlet of the dilution bench, just before the measuring cell, allows the relative humidity value to be regulated continuously. The total gas stream was flowing continuously at 200 standard cubic centimeters per minute (sccm).

The test samples were put into the gas chamber, without light exposition, and linked to a Keithley 2450 source measure unit (SMU). All resistance measurements were made at 250 °C. Every time there is a change in temperature or atmosphere, the adsorption–desorption equilibria are altered, and a stabilization time of 12 h has been applied to ensure that the baseline drift was marginal for all samples. The measurements were made with 3 different current densities: 10, 50, and 100 mA/cm<sup>2</sup>. No influence of these current densities has been observed on our test devices.

## 3. Results and Discussion

### 3.1. Chemical Composition

The FEG-EPMA method was used to measure the chemical composition of the thin films obtained using RF magnetron sputtering. A total of 200 nm thick films were deposited directly on Si wafer substrates, and three of these samples were annealed at 500, 600, or 700 °C.

Table 2 shows concentration measurements obtained from the FEG-EPMA technique. The Ga concentration is measured at 4.2%<sub>at</sub> in substitution of zinc, and no effect of annealing concentration is observed. A sub-stoichiometry in oxygen is observed, as shown by the calculation of the ratio O/(Zn + Ga). However, this ratio is overestimated because the substrate apparently has been detected by the FEG-EPMA technique, as the Si compound

column shows. Since it is hardly possible to completely rid the Si wafer substrate of its nanometric native SiO<sub>2</sub> oxide layer, the concentrations of oxygen presented in Table 2 are probably slightly higher than the actual concentration in the GZO film.

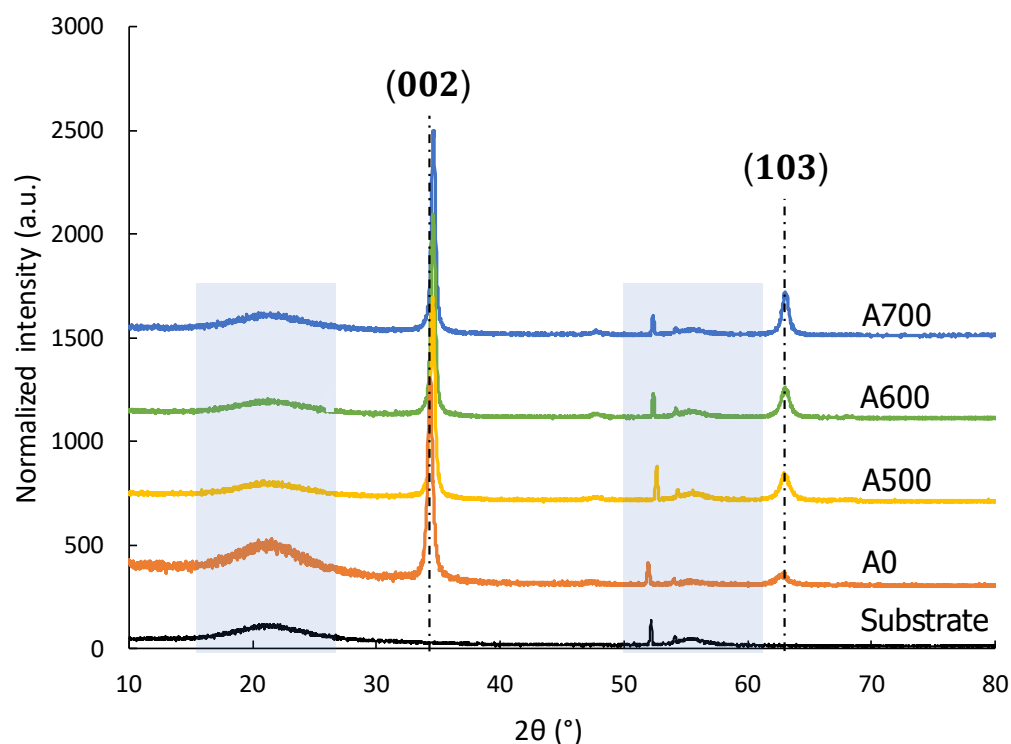
**Table 2.** Composition of 200 nm thick films before and after annealing, obtained by an electron probe, in atomic percentage.

Sample Name	Atomic Concentration (%)				
	O	Zn	Ga	Si	O/(Zn + Ga)
A0	49.00 ± 0.03	47.58 ± 0.05	2.18 ± 0.01	1.25 ± 0.05	0.985 ± 0.002
A500	48.58 ± 0.03	47.99 ± 0.03	2.18 ± 0.01	1.25 ± 0.06	0.968 ± 0.001
A600	48.61 ± 0.07	47.50 ± 0.04	2.17 ± 0.01	1.73 ± 0.09	0.979 ± 0.002
A700	48.37 ± 0.02	48.17 ± 0.04	2.20 ± 0.01	1.26 ± 0.05	0.960 ± 0.001

After 4 h of annealing, the oxygen concentration of the thin film does not increase. The annealing for 4 h under dry air at these temperatures does not seem to induce an oxidation of the thin film; on the contrary, the relative oxygen concentration decreases, which could be the sign of oxygen diffusion out of the material into the annealing atmosphere at these temperatures.

### 3.2. Influence of Annealing on Film Structure

The diffractograms of the A0, A500, A600, and A700 samples were obtained and are presented in Figure 2.



**Figure 2.** Diffractograms of non-annealed and annealed GZO thin films and of the substrate as a reference.

The diffractogram of the substrate (Si covered by 400 nm of thick SiO<sub>2</sub> grown by thermal oxidation) presents large peaks between 15° and 25° and between 50° and 60°, associated with the amorphous SiO<sub>2</sub> passivating layer of the substrate and the Si wafer beneath it, respectively (highlighted in light gray domains).

The GZO samples exhibit only two peaks associated with the (002) and (103) planes of the ZnO structure. In reference ZnO crystallographic data [31], the intensities of the (002) and (103) peaks are the 3rd and 5th most intense peaks in the diffractogram, and the intensity ratio between these two peaks is 63%. However, no other diffraction peak is visible, and the highest ratio  $I_{(103)}/I_{(002)}$  measured is 22% for A700. This is the sign of an oriented structure of the thin film along the (002) planes. This is consistent with literature for ZnO thin films grown with RF magnetron sputtering [32,33].

A shift towards high angles of these two peaks is observed with increased annealing temperature. Table 3 shows the position of the (002) peak extracted from Figure 2 and the calculated corresponding interplanar distances. These values are compared with reference crystallographic values for ZnO:Ga 4%<sub>at</sub>.

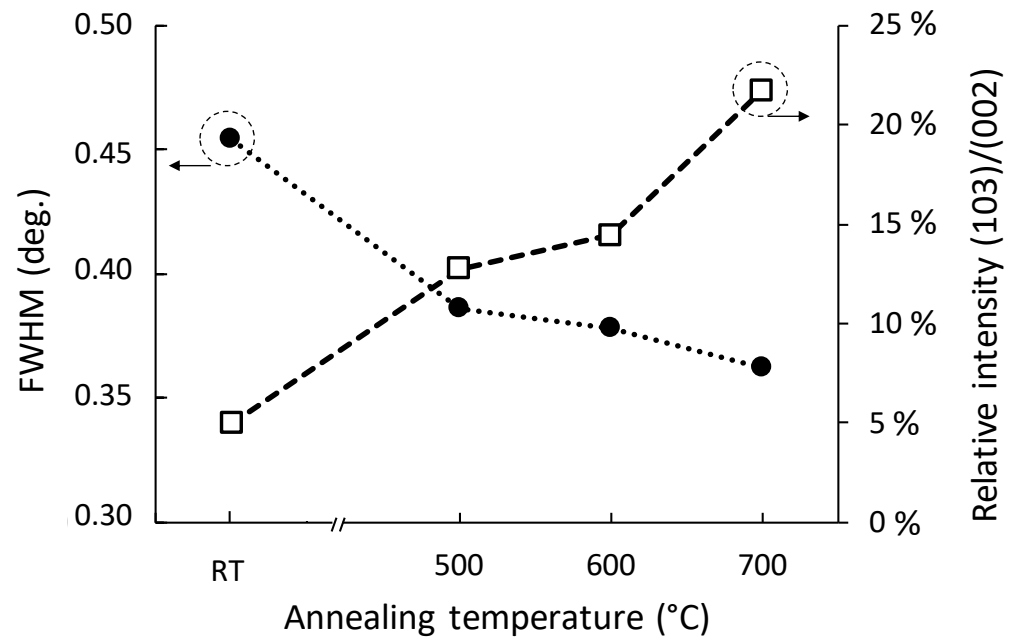
**Table 3.** Position of the (002) peak and associated interplanar distance of the studied samples compared to that of a reference ZnO:Ga 4%<sub>at</sub> from A. de Souza Gonçalves et al. [34].

Sample Name	2 $\theta$ (002) (°)	$d_{(002)}$ (Å)
ZnO:Ga 4% <sub>at</sub> reference [34]		2.602
A0	34.30	2.612
A500	34.50	2.597
A600	34.54	2.594
A700	34.56	2.593

The as-deposited GZO thin film shows a lower  $d_{(002)}$  than the reference, while the corresponding values for A500, A600, and A700 are higher and increase with annealing temperature. This result cannot be explained by the oxygen insertion according to EPMA analysis but by the mechanical stress induced by the substrate. These strains are interpreted as resulting from residual stress along the c-axis. A0 contains compressive residual stress, which probably originates from the deposition process. After annealing, the films contain tensile stress, which increases with annealing temperature. This stress originates from the difference in thermal expansion coefficient of the GZO and the SiO<sub>2</sub> substrate [35–37].

Figure 3 shows the evolution of the  $I_{(103)}/I_{(002)}$  intensity ratio along with the full width at half maximum (FWHM) of the (002) peak as a function of the annealing temperature. The  $I_{(103)}/I_{(002)}$  ratio increases with the increase in annealing temperature, a sign of a reorientation of the thin film structure. This specific behavior has already been reported in the literature for ZnO thin films obtained by RF magnetron sputtering and is expected to increase further with annealing temperature over 700 °C [32,33]. In the meantime, the full width at half maximum (FWHM) of the (002) and (103) peaks is decreasing with the increase in the annealing temperature. This is the sign of an improvement of the crystallinity of the thin film, either through the growth or fusion of crystallites or by the disappearance of micro strains in the lattice through the annihilation of crystal defects.

In conclusion, the XRD analyses show that the films present a preferred orientation along the (002) planes parallel to the surface of the substrate. Annealing induces a partial reorientation along the (103) planes of the film structure as well as an improvement of the crystallinity of the thin films; these are more marked as the annealing temperature increases.



**Figure 3.** Evolution of the FWHM of the (002) peak and of the intensity ratio  $I_{(103)}/I_{(002)}$  as a function of the annealing temperature.

### 3.3. Influence of Annealing on Microstructural Properties

SEM observations of the surface of the films are available in Figure 4. It reveals an equiaxed microstructure. The average grain size of each film has been measured from these images and is presented in Table 4. No significant evolution of the grain size has been observed after annealing; the average value for all films is in between 14 nm and 16 nm. A slight texture change can be noted for A700. These observations are also consistent with what is reported in the literature for ZnO thin films [32,33].

**Table 4.** Average grain diameter as a function of annealing temperature.

Sample Name	Average Grain Diameter (nm)
A0	14.6 ± 1.3
A500	15.6 ± 1.4
A600	16.1 ± 1.3
A700	15.9 ± 1.4

TEM observations of the A0 and A600 samples are presented in Figure 5. The TEM blade thickness being around 100 nm thick and the grain around 15 nm large, the electron beam goes through more than seven different grains on average, making the images difficult to analyze. A columnar microstructure is expected [10,11,33] but difficult to identify in these TEM images.

These TEM images are completed with virtual dark field images obtained with the 4D-STEM mapping system in Figure 6. These images reveal a columnar microstructure of thin films; the height of grains seems equal to the film's thickness. Grain width measured on these images seems consistent with the SEM observations; however, sampling is too scarce to properly measure the average size of the crystallites composing the grain before and after annealing.

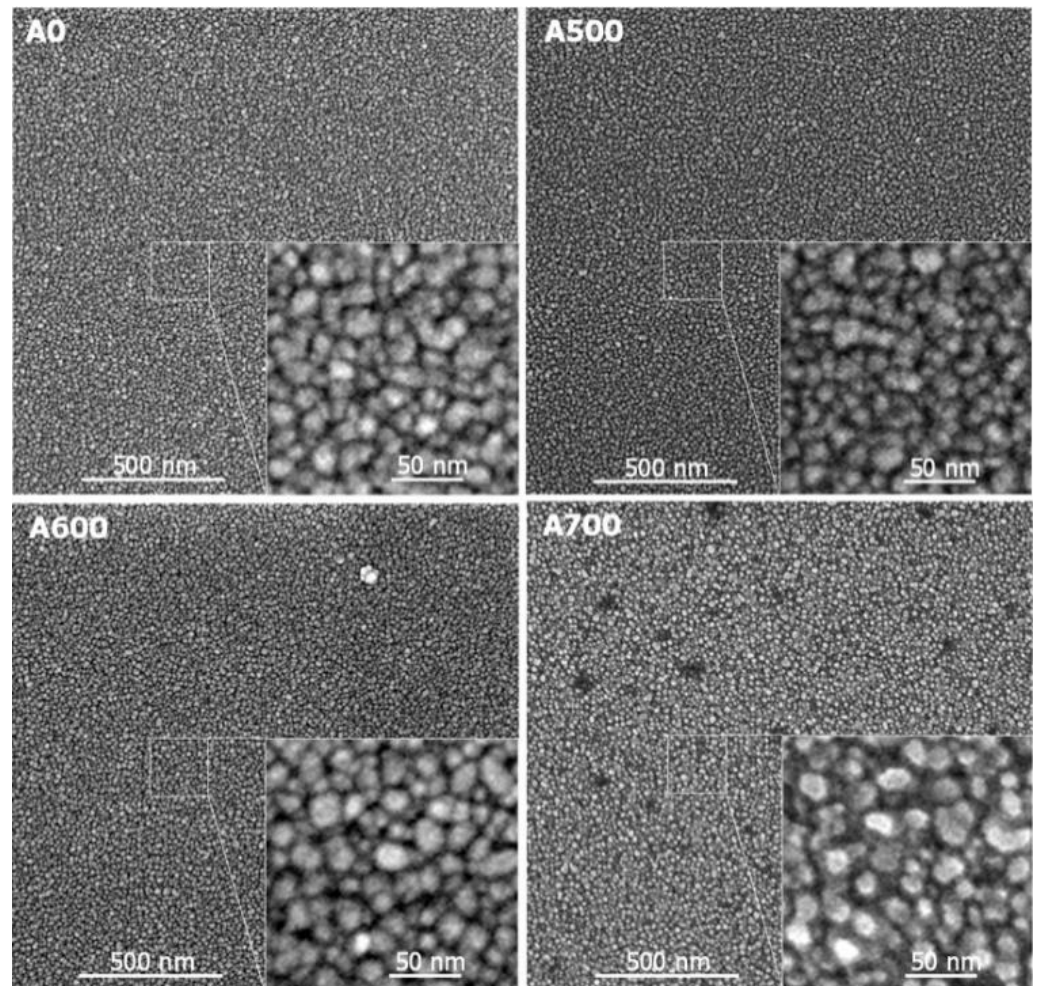


Figure 4. SEM micrograph of thin films, non-annealed and annealed at various temperatures.

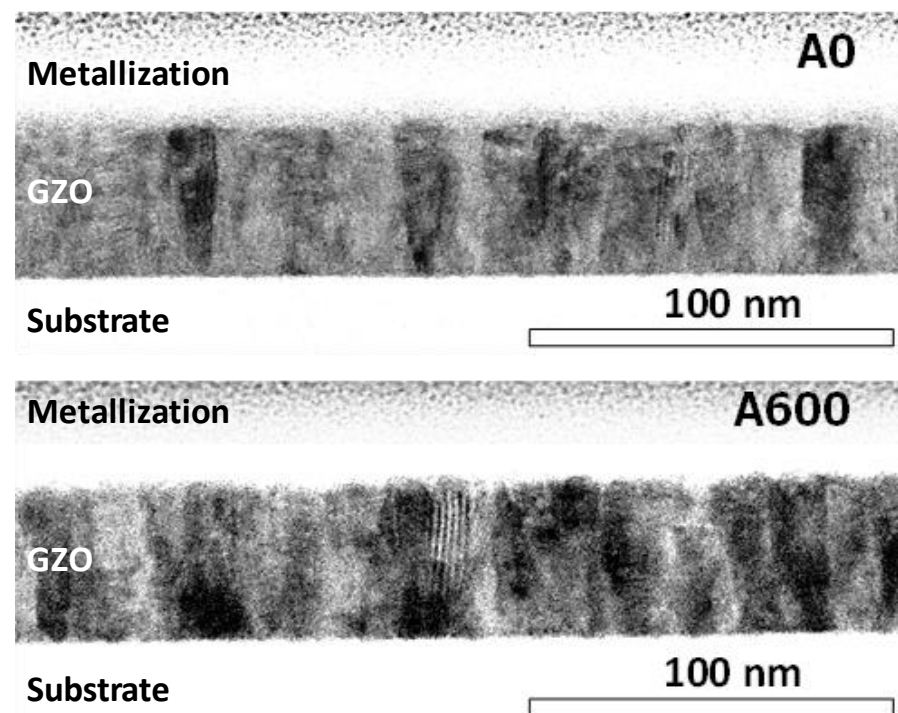


Figure 5. TEM micrograph of a non-annealed film and a film annealed at 600 °C.

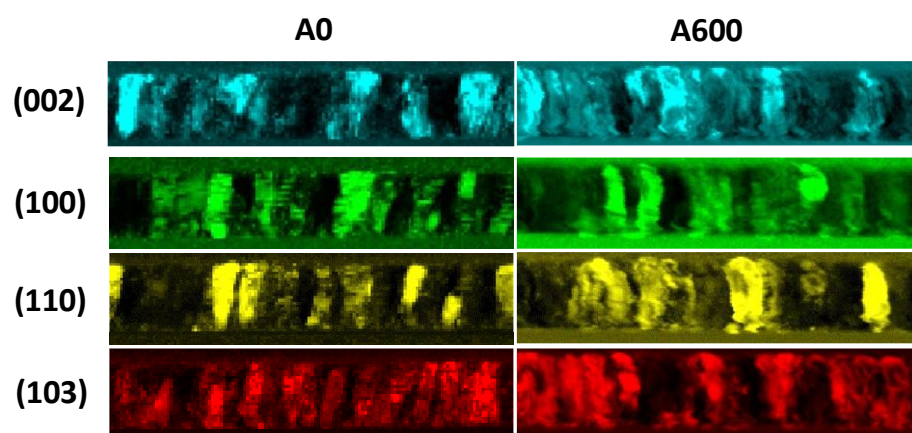


Figure 6. 4D-STEM virtual dark field images.

The observed structural orientations are in accordance with the XRD observations. Note that since the diffracted beam probes the thin film along a direction perpendicular to that of the direction probed by the X-ray beam in the XRD experiment, the (002) planes seen in the diffractogram of Figure 2 will appear as (002), planes as well as planes orthogonal to (002) such as (100) and (110) in Figure 6.

From these observations, it is concluded that the films have a columnar microstructure with grains 50 nm high and 15 nm large on average. No significant effect of annealing on grain size was observed.

### 3.4. Photoluminescence Measurements

XRD analysis showed an increase in the crystallinity of the material with annealing, but no grain growth was observed with SEM or TEM. This recrystallization must thus originate from the annihilation of crystal defects. Photoluminescent (PL) was used to study these defects.

The lines of a PL spectrum are associated with material properties (such as the band gap) and crystal defects, but other crystal defects do not appear directly in the spectra in the form of rays. During PL measurement, the electrons excited by the laser will undergo a transition from an excited energy level to another energy level. It will do so either by emitting a photon or a phonon. These two mechanisms are called radiative and non-radiative transitions, respectively [38]. The efficiency of PL emission is defined as the fraction of transitions that are radiative transitions; it can be described by

$$\eta = \frac{I_r}{I_r + I_{nr}}, \quad (1)$$

where  $\eta$  is the luminescence efficiency, and  $I_r$  and  $I_{nr}$  are the radiative and non-radiative transition probabilities.

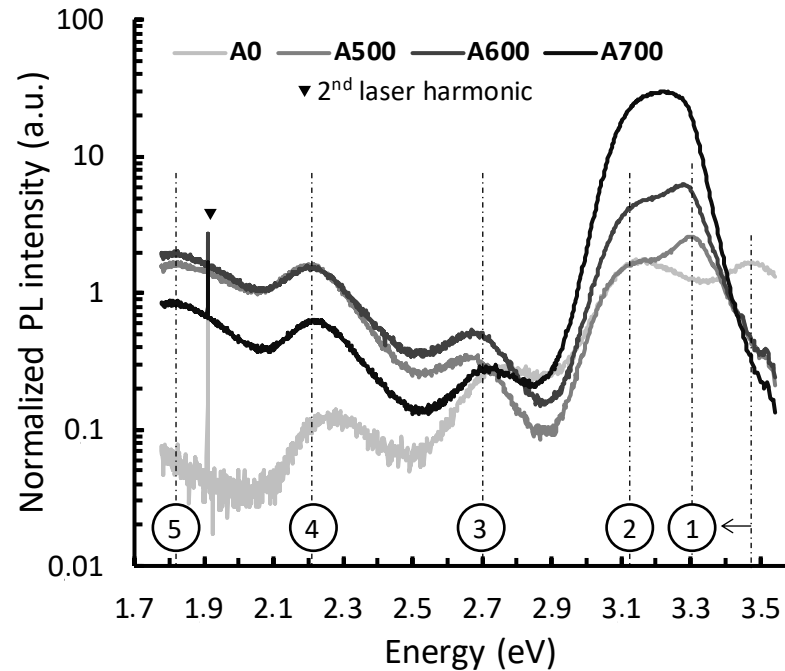
In the case of ZnO, many radiative and non-radiative transitions can be associated with crystal defects. These defects can be referred to either as radiative or non-radiative transition-associated defects (RAD or nRAD, respectively).

Radiative transitions are either associated with the intrinsic properties of the crystalline material, such as its band gap, or to RAD, such as oxygen vacancies  $V_O$  (deep-level emission ray). The transition probability and thus the PL intensity of a peak is linked to the RAD concentration but also to the radiative transition mechanism.

On the other hand, a large variety of different defects can be an nRA: stacking faults, dislocations, grain boundaries, or even chemisorbed species on the surface [38,39]. Information about their presence and concentration can be extracted using the PL efficiency

through a relative comparison of the total PL intensity  $I_{\text{tot}}$  of each spectrum. An increase in  $I_{\text{tot}}$  with annealing can be attributed to a diminution of the nRAD concentration.

For PL spectra, 200 nm thick samples were deposited and annealed with parameters comparable to those of 50 nm thick gas sensing samples. These samples were made thicker to improve the signal-to-noise ratio of the spectra measurement, as the vertical step of the focal point of the photoluminescence test bench is 50 nm. Figure 7 shows the photoluminescent (PL) spectrum obtained for these samples.



**Figure 7.** PL spectrum of non-annealed and annealed GZO thin films, the PL intensity is in log scale.

#### 3.4.1. Description and Identification

The spectra show five lines numbered from 1 to 5 in decreasing energy order. They can be divided into two groups: the highest energy rays form the band edge (BE), and the other peaks below 3 eV form the deep levels (DL). Every spectrum has been fitted with five Gaussian curves.

The first line (1) of highest energy is commonly associated with the band gap (BG) transition. For the A0 sample, we observe a 0.2 eV shift in the BG line towards high energy, certainly due to a Burstein–Moss effect [40], which implies that the carrier concentration in the as-deposited layer is particularly high. This effect disappears after annealing, resulting in a band gap of 3.3 eV, as expected of a GZO thin film [23,34,41–44].

The origin of the four other lines present in the spectra is more difficult to identify and is a subject of controversy in the literature [21–27]. Such PL spectra lines can be associated with crystal defects in the lattice [22,23], impurities in ZnO [45], or even adsorbed gasses such as oxygen [26] or OH groups [46–51]. This is why one must be careful when it comes to such PL line identification.

Since the No. 2 line evolves in a similar way as the BG line with annealing temperature, in opposition to the DL lines, it is associated with a longitudinal optical (LO) phonon replica of the BG transition [29,52–54]. It can be noted that other interpretations are available in the literature: zinc interstitial  $Zn_i$  [55], oxygen vacancy  $V_O$  [22], and Ga doping  $Ga_{Zn}$  [8,56] could also be linked to this BE transition.

The three other DL lines (No. 3, 4, and 5) show very similar behavior with annealing temperature. Table 5 gathers different proposed identifications for lines 3, 4, and 5 available in the literature, putting in first the preferred interpretation in this work.

**Table 5.** Identification propositions for DL lines.

Line No. 3	Line No. 4	Line No. 5
2.7 eV	2.2 eV	1.8 eV
$V_O^x \rightarrow VB$ [28]	$V_O^{++} \rightarrow V_O^+$ [29] $O_{ads}^{2-}$ [26] $Zn-(OH)_2$ [46–51]	$V_O$ [57,58] (unspecified charge) $Zn_i$ complex [46] $Ga_i$ [8]

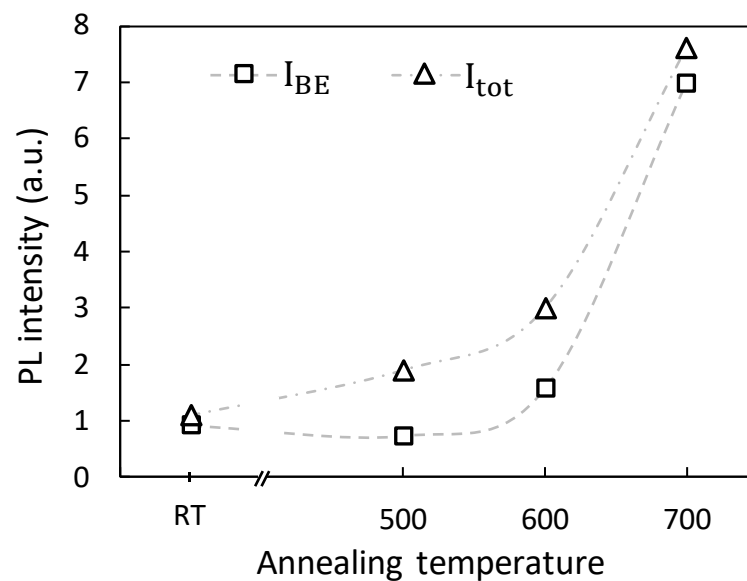
Three arguments can be proposed to back  $V_O$  as a crystal defect existing in the GZO thin films: First, the GZO films are poor in oxygen, as the electronic probe results from Table 2 show, thus excluding oxygen-rich defects such as interstitial oxygen. Second, the thermal activation of the mobility of most crystal defects in ZnO is below 300 °C, with the exception of oxygen vacancies charged neutral compared to the lattice  $V_O^x$ , which mobility is activated at 630 °C [23]. All other crystal defects should be at least partially annihilated after annealing except for samples annealed at temperatures higher than 630 °C. Lastly, stacking faults in the ZnO crystal lattice have been reported to transform into  $V_O$  after annealing [59]. This is consistent with the increase in crystallinity with annealing temperature deduced from the XRD analysis above.

It can be noted that the signature green PL line of ZnO cannot be seen in this study. As this green PL line is often associated with  $V_O$ , a possible explanation would be that because of the dominance of surface effects and the high Ga doping, the  $V_O^{++}$  capture electrons from the material to transform into  $V_O^+$  or  $V_O^x$ , then change the transition energy level of the  $V_O$  defect [24,28].

### 3.4.2. Crystallinity/nRAD

By studying the total PL intensity  $I_{tot}$  of each spectrum, the amount of non-radiative transitions is linked to the efficiency of the PL emission, which carries information about non-radiative defects in the material.

Figure 8 shows the evolution with annealing temperature of the total intensity of the spectra  $I_{tot}$  and the intensity of the BE lines noted  $I_{BE}$ . The values contain information about nRAD concentration.

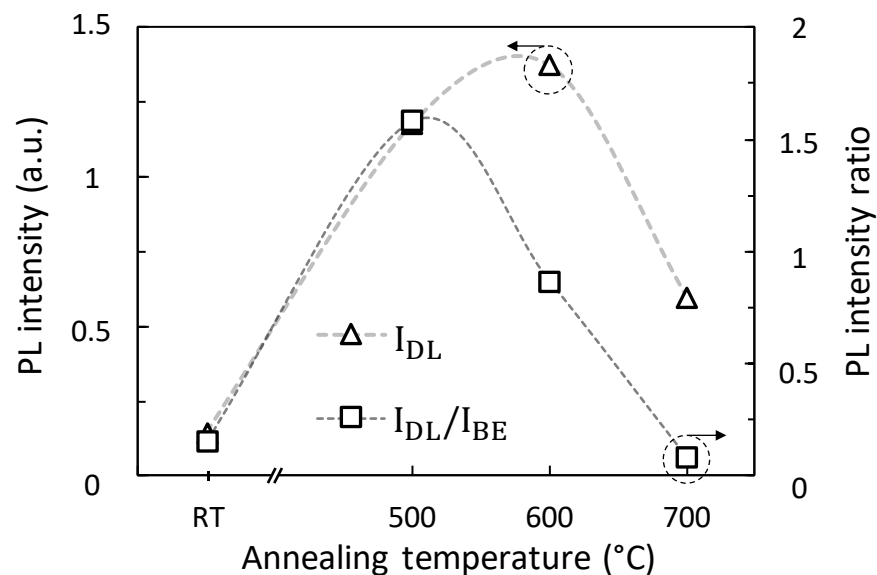
**Figure 8.** Evolution of band edge intensity  $I_{BE}$  and total intensity of the spectra  $I_{tot}$ .

Intensity of a line is here defined as the area of the Gaussian fit of a line, and the total intensity as the area under the spectrum ray. The total intensity  $I_{tot}$  and the intensity of

the lines of the spectra can be compared between each other and between spectra since each spectrum is measured in the same conditions and is normalized with the intensity of the laser 2nd harmonic line at 1.91 eV, which depends mostly on the laser and the PL experimental setup and little on the material.

The increase in both  $I_{\text{tot}}$  and  $I_{\text{BE}}$  with annealing is a sign of the recrystallization of the film that is consistent with the XRD analysis. An increase of  $I_{\text{tot}}$  is associated with a decrease in nRAD concentration, while an increase in  $I_{\text{BE}}$  is associated with a decrease in RAD concentration.  $I_{\text{tot}}$  increases with any annealing temperature, while  $I_{\text{BE}}$  only increases for an annealing temperature higher than 600 °C. This shows that the annihilation of nRAD is active at all the studied annealing temperatures while the annihilation of RAD is active only for temperatures above 600 °C. This is consistent with the annihilation temperature of  $V_{\text{O}}$ , which tends to confirm that the RAD can be associated with  $V_{\text{O}}$ .

Figure 9 shows the evolution of PL intensity ratio  $I_{\text{DL}}/I_{\text{BE}}$  and of the sum of the intensities of the DL lines  $I_{\text{DL}}$  as a function of annealing temperature.  $I_{\text{DL}}$  is directly linked to the PL efficiency and thus to the concentration of the RAD associated with the DL lines. The PL intensity ratio is related to the importance of surface effects in the material [24,28–30]. It has been proposed that some radiative transitions need the migration of a hole from the surface of the grain to a RAD. These holes would mostly originate from the chemisorption of oxidizing gasses on the surface of the grains. This implies that the RAD must either be located close to the surface of the grain or that the diffusion depth of the holes in the material is large enough to reach the RAD. In other words, the PL intensity ratio is linked to the depth of the depletion layer and to the concentration of RAD close to the surface.



**Figure 9.** PL intensity ratio and sum of the DL lines' intensity as a function of the annealing temperature.

A0 has low  $I_{\text{DL}}$  either because of a high concentration of nRAD masking the RAD in the spectrum or because the RAD concentration is low. The Burstein–Moss effect also indicates a high carrier concentration, which could originate from the high defect concentration.

After annealing at 500 °C, DL lines become more intense; however, the  $I_{\text{BE}}$  barely changes except as the Burstein–Moss effect disappears (the area remains constant). This is interpreted as the elimination of nRAD defects turning into RAD defects such as  $V_{\text{O}}$  [59]. The highest intensity ratio level is obtained for A500. This sample combines high RAD and nRAD concentrations with the most visible surface effects.

After annealing at 600 °C,  $I_{\text{tot}}$  increased twice as much as after annealing at 500 °C. This means nRAD defects are annihilated. PL intensity ratio decreases compared to A500,

and only a slight increase in  $I_{DL}$  is observed. This is found to be the consequence of a further annihilation of nRAD but without much increase in RAD concentration. A600 exhibits the highest RAD concentration as  $I_{DL}$  is maximum, and the surface effect seems less important than that of A500.

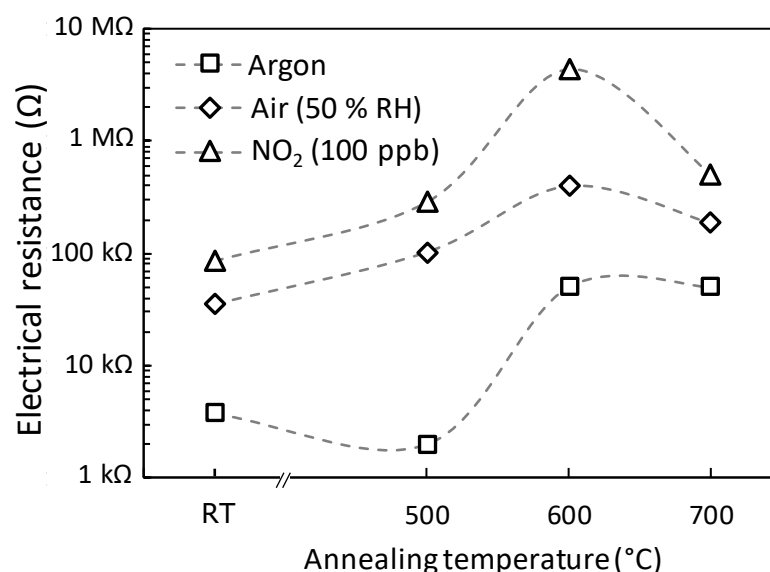
After annealing at 700 °C, a dramatic increase of  $I_{tot}$  is observed, largely more so than for the other samples. In the meantime,  $I_{DL}$  is half the value of that of A600. This translates as a clear decrease in both nRAD and RAD concentration. The PL ratio hence surface effects are the lowest of all samples.

To summarize, it is observed that A500 shows the most intense surface effects while A600 has the highest  $V_O$  concentration.

### 3.5. Gas Sensing Tests and Response to 100 ppb of $NO_2$

The results of this PL analysis are interesting for understanding the evolution of gas sensing properties of these GZO thin films with annealing temperature. There are two main reasons for that: First, it is commonly accepted that the ratio of depletion layer depth to grain size significantly improves gas sensitivity as it increases [5,6]. Second, and more specific to  $NO_2$  sensing,  $V_O$  have been shown to behave as preferential chemisorption sites for  $NO_2$  at the surface of ZnO [13–20] compared to other components of the reference atmosphere such as  $O_2$ ,  $N_2$ , and  $H_2O$ . Note that  $V_O$  has been presented as the most probable origin of the RAD in this work.

The electrical resistance of the thin films has been measured under neutral argon atmosphere, humid air as reference atmosphere, and reference atmosphere polluted by 100 ppb of  $NO_2$ . All measurements were made at 250 °C after 12 h of stabilization. The results are presented in Figure 10.



**Figure 10.** Resistance of a GZO thin film at 250 °C as a function of the atmosphere and the annealing temperature.

The resistance measured under a neutral argon atmosphere is a good representation of the intrinsic conductivity of the material since the effect of chemisorption is minimized. A tenfold increase in resistance is observed between A500 and A600. Since no evidence of major microstructural change has been observed, this increase in resistivity should result from a decrease in the density or mobility of charge carriers (which is less likely).

The reduction in charge carriers could be the result of a Ga migration outside the lattice; it could also result from a decrease in shallow defects of donor crystal in GZO, as these defects are often linked to ZnO n-type behavior [23].

On one hand, no sign of Ga precipitation has been observed in XRD nor in TEM analysis. On the other hand, nRAD that could possibly play the role of shallow donors are annihilated for temperatures over 500 °C, as can be deduced from the diminution of the total intensity of the spectra with increased annealing temperatures. However, this wouldn't explain why both A600 and A700 have the same resistance under argon.

The evolution of resistance between reference air and neutral argon atmosphere, as well as the evolution between polluted atmosphere and reference air, are indicators of the chemisorption reactions of the gasses present in the atmosphere (O<sub>2</sub>, N<sub>2</sub>, H<sub>2</sub>O, and NO<sub>2</sub>). Reference air atmosphere designates clean air provided by an air zero generator and with relative humidity regulated at 50% at room temperature. As expected, the GZO thin films exhibit n-type behavior, shown by the increase in resistivity as the atmosphere contains more and more oxidizing gasses. In any of the studied atmospheres, A600 manifests the highest resistance, especially under NO<sub>2</sub>-polluted atmospheres.

Response to air (versus argon) and responses to 100 ppb of NO<sub>2</sub> (versus air and versus argon) are here defined as the ratios  $R_{\text{Air}}/R_{\text{Argon}}$  and  $R_{\text{NO}_2}/R_{\text{Air}}$  and  $R_{\text{NO}_2}/R_{\text{Argon}}$ , respectively, with  $R_{\text{Argon}}$ ,  $R_{\text{Air}}$ , and  $R_{\text{NO}_2}$  being the resistance under argon, air (50% RH), and air + 100 ppb of NO<sub>2</sub> (50% RH), respectively. These responses are available in Table 6.

**Table 6.** Responses to different atmospheres calculated as the ratio of resistance (i) under reference humid air and under inert argon atmosphere ( $R_{\text{Air}}/R_{\text{Argon}}$ ), (ii) under reference atmosphere polluted by 100 ppb NO<sub>2</sub> and under reference atmosphere ( $R_{\text{NO}_2}/R_{\text{Air}}$ ), and (iii) under reference atmosphere polluted by 100 ppb NO<sub>2</sub> and under inert argon atmosphere ( $R_{\text{NO}_2}/R_{\text{Argon}}$ ). The numbers in bold correspond to the best response in each column.

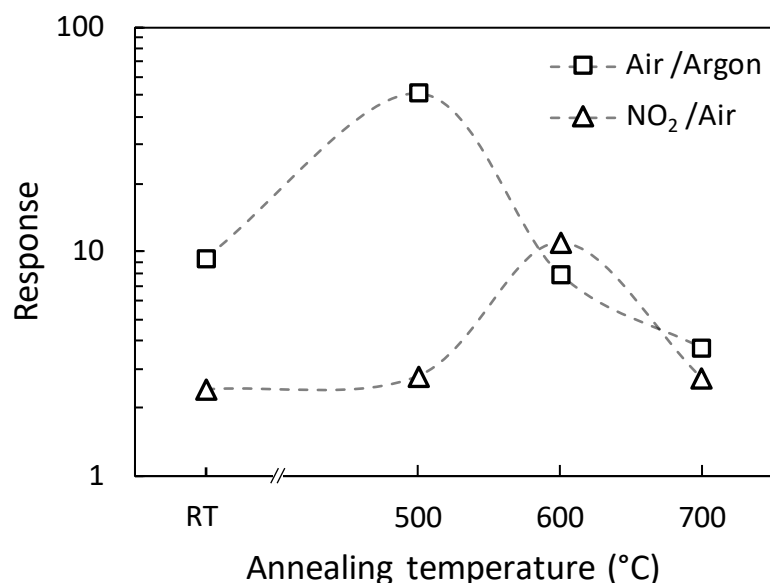
Sample Name	$R_{\text{Air}}/R_{\text{Argon}}$	$R_{\text{NO}_2}/R_{\text{Air}}$	$R_{\text{NO}_2}/R_{\text{Argon}}$
A0	9	2.4	23
A500	<b>51</b>	2.8	<b>142</b>
A600	8	<b>10.9</b>	90
A700	4	2.7	10

The measured responses  $R_{\text{NO}_2}/R_{\text{Air}}$  to 100 ppb of NO<sub>2</sub> are all higher than 2.4, an interesting performance compared to other ZnO nanomaterials, for most of which the limit of detection is reported to be around a few hundred ppb, except for material with particle size under 50 nm [60]. These results also compare well with other thermally activated GZO works [61–63]. As these performances are already competitive, the three-fold increase in response for A600 is of high interest.

Each film except A600 shows a higher sensitivity to humid air than to NO<sub>2</sub>. A500 shows a remarkable sensitivity to humid air with a response of 51. The response to air decreases with an increase in annealing temperature. A600 exhibits a response of 10.9 to NO<sub>2</sub>, threefold higher than any of the other samples. A0 has a relatively lower sensitivity to NO<sub>2</sub> in regard to the annealed samples.

Figure 11 shows the evolution of the response to air and to NO<sub>2</sub> as a function of the annealing temperature. The comparison with Figure 9 shows a rather similar evolution between air response and PL intensity ratio. In the meantime, A600 shows both the highest sensitivity to NO<sub>2</sub> and the highest  $I_{\text{DL}}$ .

Focusing on sensitivity to air (versus argon), the diminution of  $R_{\text{Air}}/R_{\text{Ar}}$  with annealing temperature is consistent with the PL results: the surface effects are maximum for A500; hence the general gas sensitivity is maximum as it is controlled by the ratio of depletion layer depth over grain size.



**Figure 11.** Response to air and to NO<sub>2</sub> in logarithmic scale as a function of the annealing temperature.

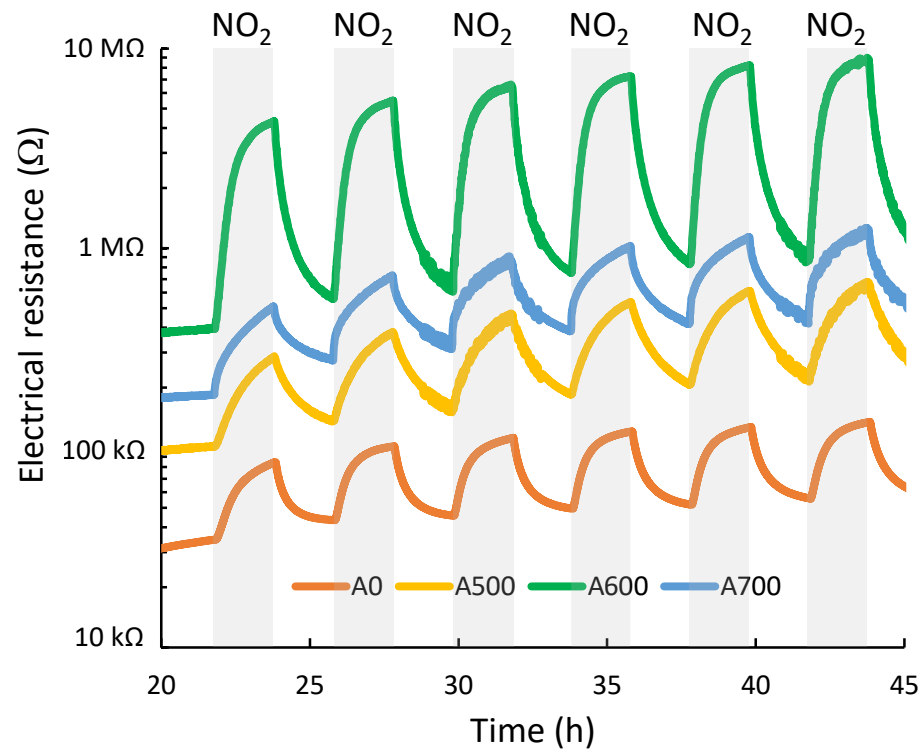
However, the  $R_{\text{NO}_2}/R_{\text{Air}}$  is maximum for A600, while A500 and A700 have about the same lower response to NO<sub>2</sub>. This could be linked to an increase in selectivity towards NO<sub>2</sub> rather than a maximization of general gas sensitivity.

When comparing the  $I_{\text{DL}}$  of A500 and A600,  $V_{\text{O}}$  concentrations of both samples seemed rather similar, but nRAD concentration was lower for A600 than for A500. It is hypothesized that the higher the fraction of  $V_{\text{O}}$  defects, the higher the selectivity towards NO<sub>2</sub>. nRAD defects seem to behave as unspecific chemisorption sites, whereas RAD defects are chemisorption sites with a preferential affinity to NO<sub>2</sub>.

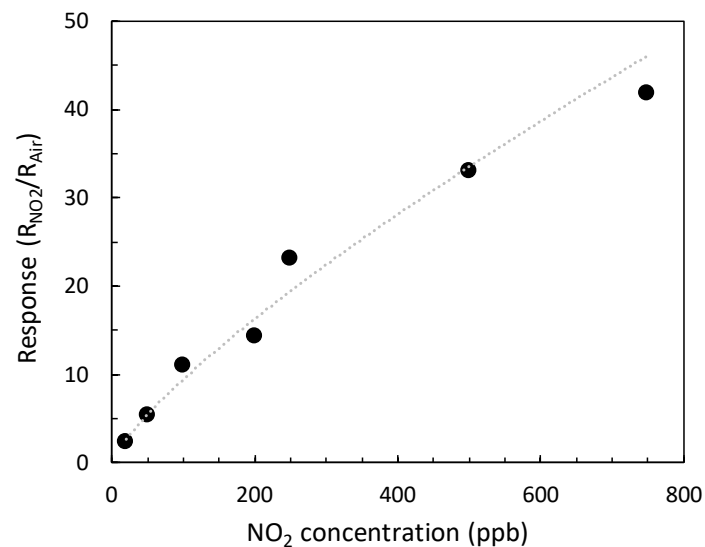
A700 shows a dramatic decrease in both RAD ( $V_{\text{O}}$ ) and nRAD concentration. This would be understandable since  $V_{\text{O}}$  are annihilated at temperatures higher than 630 °C [23]. To summarize, annealing at 600 °C allows for a specific annihilation of nRAD defects, increasing the fraction of  $V_{\text{O}}$  among all the defects present in the material, which in turn leads to an increased sensitivity towards NO<sub>2</sub>.

Figure 12 allows comparing the results of gas tests of the four samples at 250 °C after a 20 h long stabilization under a reference atmosphere of clean air at 50% HR at room temperature. Six successive injections of 100 ppb of NO<sub>2</sub> are generated in the measuring chamber, knowing that each injection lasts 2 h with a return to reference atmosphere for 2 h. The resistance of different samples has been measured at three different current densities: 10, 50, and 100 mA/cm<sup>2</sup> in order to evaluate possible influence on the response. No effect of the current density in that range was observed on the electrical response of the GZO thin films except a larger signal noise for the lower current density. The responses  $R_{\text{NO}_2}/R_{\text{air}}$  are given in Table 6. The measured response times for A0, A500, A600, and A700 were 63, 86, 73, and 82 min, respectively. It can be argued that those response times are undervalued since no stability of the resistance under NO<sub>2</sub> was achieved at the end of the pulses. This poor response kinetics must be improved to be applied in actual air quality monitoring applications, which could be achieved by decreasing the thickness of the film. Such study is currently in progress.

Figure 13 shows the evolution of response  $R_{\text{NO}_2}/R_{\text{Air}}$  for the A600 sample as a function of concentration. A response of 2.4 was measured for 20 ppb. These results prove the great potential of these sensors. No response was observed for either CO<sub>2</sub>, CO, or CH<sub>4</sub>, and for some VOCs such as acetone, ethanol, and acetaldehyde, demonstrating very good selectivity of ZnO:Ga thin films for the NO<sub>2</sub> detection.



**Figure 12.** Sensing test of different samples under successive injections of 100 ppb of  $\text{NO}_2$  in reference atmosphere. Electrical resistances were measured with different current densities: 100  $\text{mA}/\text{cm}^2$  for pulses 1 and 4, 10  $\text{mA}/\text{cm}^2$  for pulses 2 and 5, and 1  $\text{mA}/\text{cm}^2$  for pulses 3 and 6.



**Figure 13.** Response as a function of  $\text{NO}_2$  concentration measured on the A600 sample.

#### 4. Conclusions

The sensitivity of 50 nm thick GZO films grown by RF sputtering was studied. The films were annealed under dry air for 4 h at either 500 °C, 600 °C, or 700 °C. A threefold increase in sensitivity to  $\text{NO}_2$  is observed only for samples annealed at 600 °C. To understand this phenomenon, photoluminescence spectra of 4 films before and after annealing were compared with their electrical resistance at 250 °C under neutral argon atmosphere, humid air reference atmosphere, and reference atmosphere polluted by 100 ppb of  $\text{NO}_2$ . It has been concluded that annealing at 600 °C resulted in a specific annihilation of non-radiative defects, an increase in the proportions of  $\text{V}_\text{O}$  defects, which certainly play the

predominant role of NO<sub>2</sub>-specific chemisorption sites. Annealing at 700 °C resulted in a non-specific annihilation of all crystal defects; thus, a significant decrease in NO<sub>2</sub> sensitivity was observed that confirmed the role of these defects in gas detection. Interestingly, 600 °C appears to be an optimum annealing temperature in these conditions; further experiments with intermediary temperatures could be useful to more precisely identify this optimum temperature. Furthermore, as the proposed mechanism relies on a specific annihilation of defect active at certain temperatures, increasing the annealing duration should further increase the relative concentration of V<sub>O</sub> and thus further improve sensitivity to NO<sub>2</sub>.

A gas sensor with promising performances at the ppb level was achieved using an easily industrializable process and cheap materials, even though its response time should be improved. A solution could be found by improving the accessibility of the surface to gasses, by decreasing the film's thickness, for example.

Although similar works have shown an improvement of gas sensing properties of GZO thin films with annealing at specific temperatures, no interpretation of this phenomenon had been proposed [64].

This annealing optimization should prove to be an interesting process to improve existing ZnO-based resistive gas sensors and be useful for metal oxide gas sensors in general.

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