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- 1Title: Single-cell RNA seq-derived signatures define response patterns to2atezolizumab + bevacizumab in advanced hepatocellular carcinoma
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4 <u>Short Title</u>: Two distinct responses to atezo+bev in advanced HCC

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6 Authors and affiliations

Sarah Cappuyns^{1,2,3,4,5}, Marta Piqué-Gili^{5,6}, Roger Esteban-Fabró^{5,6}, Gino Philips^{3,4}, 7 Ugne Balaseviciute⁶, Roser Pinyol⁶, Albert Gris-Oliver⁶, Vincent Vandecaveye^{7,8}, Jordi 8 Abril-Fornaguera^{5,6}, Carla Montironi^{6,9}, Laia Bassaganyas¹⁰, Judit Peix⁶, Marcus 9 Zeitlhoefler⁵, Agavni Mesropian^{5,6}, Júlia Huguet-Pradell^{5,6}, Philipp K. Haber¹¹, Igor 10 Figueiredo¹², Giorgio Ioannou¹², Edgar Gonzalez-Kozlova¹², Antonio D'Alessio¹³, 11 12 Raphael Mohr¹⁴, Tim Meyer¹⁵, Anja Lachenmayer¹⁶, Jens U. Marguardt¹⁷, Helen L. Reeves¹⁸, Julien Edeline¹⁹, Fabian Finkelmeier²⁰, Jörg Trojan²⁰, Peter R. Galle²¹, 13 Friedrich Foerster²¹, Beatriz Mínguez²², Robert Montal²³, Sacha Gnjatic¹², David J. 14 Pinato^{13,24}, Mathias Heikenwalder²⁵, Chris Verslype^{1,2}, Eric Van Cutsem^{1,2}, Diether 15 Lambrechts^{3,4}, Augusto Villanueva⁵, Jeroen Dekervel^{1,2*}, Josep M. Llovet^{5,6,26*} 16

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¹Digestive Oncology, Department of Gastroenterology, University Hospitals Leuven,
Leuven, Belgium.

²Laboratory of Clinical Digestive Oncology, Department of Oncology, KU Leuven,
 Leuven, Belgium.

²³ ³Laboratory for Translational Genetics, Department of Human Genetics, KU Leuven,

Leuven, Belgium.

⁴VIB Centre for Cancer Biology, Leuven, Belgium.

⁵Mount Sinai Liver Cancer Program (Divisions of Liver Diseases, Department of
 Hematology/Oncology, Department of Medicine), Tisch Cancer Institute, Icahn School

28 of Medicine at Mount Sinai, New York, USA.

- ⁶Liver Cancer Translational Research Laboratory, Institut d'Investigacions
 Biomèdiques August Pi i Sunyer (IDIBAPS), Hospital Clínic, Universitat de Barcelona,
- 31 Barcelona, Catalonia, Spain.
- ³² ⁷Radiology Department, University Hospitals Leuven, Leuven, Belgium.
- ³³ ⁸Laboratory of Translational MRI, Department of Imaging and Pathology, KU Leuven,
- 34 Leuven, Belgium.
- ⁹Pathology Department and Molecular Biology Core, Hospital Clínic of Barcelona,
- 36 Barcelona, Spain.
- ¹⁰Institut de Génomique Fonctionnelle, Univ. Montpellier, CNRS, INSERM,
 Montpellier, France.
- ¹¹Department of Surgery, Campus Charité Mitte and Campus Virchow-Klinikum,
- 40 Charité-Universitätsmedizin Berlin, 13353 Berlin, Germany.
- ¹²Department of Immunology and Immunotherapy, Icahn School of Medicine at Mount
- 42 Sinai, New York, NY, USA.
- ¹³Department of Surgery & Cancer, Imperial College London, Hammersmith Hospital,
- 44 London, United Kingdom.
- ¹⁴Department of Hepatology and Gastroenterology, Charité Universitätsmedizin
 Berlin, Campus Virchow Klinikum (CVK) and Campus Charité Mitte (CCM), Berlin,
 Germany.
- ¹⁵Research Department of Oncology, UCL Cancer Institute, University College
 London, Royal Free Hospital, London, UK.

- ¹⁶Department of Visceral Surgery and Medicine, Inselspital, Bern University Hospital,
- 51 University of Bern, Bern, Switzerland.
- ¹⁷Department of Medicine I, University Medical Center Schleswig Holstein Campus
- 53 Lübeck, Lübeck, Germany.
- ¹⁸Newcastle University Translational and Clinical Research Institute and Newcastle
- 55 University Centre for Cancer, Medical School, Framlington Place, Newcastle Upon
- 56 Tyne, NE2 4HH, UK; Hepatopancreatobiliary Multidisciplinary Team, Newcastle upon
- 57 Tyne NHS Foundation Trust, Freeman Hospital, Newcastle upon Tyne, UK.
- ¹⁹Department of Medical Oncology, Centre Eugène Marquis, Rennes, France.
- ²⁰Department of Gastroenterology, University Liver and Cancer Centre, Frankfurt,
 Germany.
- ²¹Department of Medicine I, University Medical Center of the Johannes-Gutenberg
 University, Mainz, Germany.
- ²²Liver Unit, Hospital Universitari Vall d'Hebron, Vall d'Hebron Barcelona Hospital
 Campus, Barcelona, Spain, Liver Diseases Research Group, Vall d'Hebron Institute
 of Research (VHIR), Vall d'Hebron Barcelona Hospital Campus, Barcelona, Spain,
 CIBERehd, Universitat Autònoma de Barcelona, Barcelona, Spain.
- ²³Department of Medical Oncology, Cancer Biomarkers Research Group, Hospital
 Universitari Arnau de Vilanova, IRBLleida, University of Lleida (UdL), Catalonia, Spain.
 ²⁴Department of Surgery & Cancer, Imperial College London, Hammersmith Hospital,
- 70 London, United Kingdom.
- ²⁵Division of Chronic Inflammation and Cancer, German Cancer Research Center
- 72 (DKFZ), Heidelberg, Germany.
- ²⁶Institució Catalana de Recerca i Estudis Avançats (ICREA), Barcelona, Catalonia,
- 74 08010, Spain.

- 75
- 76 Shared first authorship
- 77 *Equal contributions
- 78
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82 Correspondence

* Josep M. Llovet, M.D., Ph.D., Mount Sinai Liver Cancer Program, Division of Liver

Diseases, Tisch Cancer Institute, Icahn School of Medicine at Mount Sinai, New York,

85 NY, USA. E-mail: josep.llovet@mountsinai.org

* Jeroen Dekervel, M.D, Ph.D., Digestive Oncology, Department of Gastroenterology

87 and Hepatology, UZ/KU Leuven, Belgium. E-mail: jeroen.dekervel@uzleuven.be

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91

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168

169 **Author's contributions**

JD and JML designed and jointly supervised the study, with help from DL and AV. Clinical samples were established and clinically annotated by SC, MPG, MZ, VV, PKH, AD, RMoh, TM, AL, JUM, HLR, JE, FF, JT, PG, FFo, BM, RM, DJP, CV, EVC, JD and JML. Data analysis was performed by SC, MPG and REF with substantial help from GP. REF designed the integrative model and created PredictOR with contributions

175 from SC and MPG. CM contributed to the pathological characterisation of tumour samples. LB contributed to the analysis of genomic data. UB, JP, AM, and JHP were 176 involved in sample processing including RNA isolation. IF, GI, EGK and SG 177 178 contributed to the multiplexed immunohistochemical (IHC) consecutive staining on single slide (MICSSS) analysis. RP, AGO, JAF, MH, CV and EVC provided scientific 179 input and contributed to critical data interpretation. The manuscript was written by SC 180 and MPG with substantial contribution from REF and under supervision of JD and JML. 181 All authors read or provided comments on the manuscript. 182

183

184 Data availability statement

185 RNA sequencing data of the Inhouse RNAseq Cohort, generated for the purpose of
186 this study, will be deposited at the European Genome phenome Archive (EGA;
187 <u>https://ega-archive.org</u>) upon publication.

188 Abstract

189 Background & Aims

The combination of atezolizumab and bevacizumab (atezo+bev) is the current standard of care for advanced hepatocellular carcinoma (HCC), providing a median overall survival (OS) of 19.2 months. Here, we aim to uncover the underlying cellular processes driving clinical benefit versus resistance to atezo+bev.

194 <u>Methods</u>

We harnessed the power of single-cell RNA sequencing in advanced HCC to derive gene expression signatures recapitulating 21 cell phenotypes. These signatures were applied to 422 RNA-sequencing samples of advanced HCC patients treated with atezo+bev (n=317) versus atezolizumab (n=47) or sorafenib (n=58) as comparators.

199 <u>Results</u>

We unveiled two distinct patterns of response to atezo+bev. First, an immune-200 mediated response characterized by the combined presence of CD8+ T effector cells 201 202 and pro-inflammatory CXCL10+ macrophages, representing an immune rich 203 Second, non-immune, angiogenesis-related microenvironment. а response distinguishable by a reduced expression of the VEGF co-receptor neuropilin-1 (*NRP1*), 204 a biomarker that specifically predicts improved OS upon atezo+bev vs sorafenib (p = 205 206 0.039). Primary resistance was associated with an enrichment of immunosuppressive 207 myeloid populations, namely CD14+ monocytes and TREM2+ macrophages, and 208 Notch pathway activation. Based on these mechanistic insights we define "Immunecompetent" and "Angiogenesis-driven" molecular subgroups, each associated with a 209 210 significantly longer OS with atezo+bev versus sorafenib (p of interaction = 0.027), and 211 a "*Resistant*" subset.

212 <u>Conclusion</u>

Our study unveils two distinct molecular subsets of clinical benefit to atezolizumab plus bevacizumab in advanced HCC ("*Immune-competent*" and "*Angiogenesis-driven*") as well as the main traits of primary resistance to this therapy, thus providing a molecular framework to stratify patients based on clinical outcome and guiding potential strategies to overcome resistance.

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220 Impact and implications

221 Atezolizumab + bevacizumab (atezo+bev) is the standard-of-care treatment in advanced hepatocellular carcinoma (HCC), yet molecular determinants of clinical 222 benefit to the combination remain unclear. This study harnesses the power of single-223 224 cell RNA sequencing, deriving gene expression signatures representing 21 cell subtypes in the advanced HCC microenvironment. By applying these signatures to 225 RNA-sequencing samples, we reveal two distinct response patterns to atezo+bev and 226 227 define molecular subgroups of patients ("Immune-competent" and "Angiogenesisdriven" versus "Resistant") with differential clinical outcomes upon treatment with 228 atezo+bev, pointing towards the role of immunosuppressive myeloid cell types and 229 Notch pathway activation in primary resistance to atezo+bev. These results may help 230 231 refine treatment strategies and improve outcomes for patients with advanced HCC, 232 while also guiding future research aimed at overcoming resistance mechanisms.

233 Introduction

Hepatocellular carcinoma (HCC) is the third leading cause of cancer-related mortality 234 worldwide¹, and incidence rates are rising rapidly. Approximately 50-60% of HCC 235 236 patients eventually evolve to advanced stages of the disease requiring systemic therapies². In 2020, the combination of atezolizumab, an anti-PDL1 immune 237 checkpoint inhibitor (ICI), and bevacizumab, a VEGFA inhibitor (hereafter, atezo+bev), 238 239 demonstrated significantly improved survival compared to sorafenib, the standard of care for over a decade³, in the IMbrave150 phase III randomized study (19.2 vs 13.4 240 months)^{4,5}. Thus, atezo+bev was established as the new standard of care in advanced 241 HCC, achieving an objective response in ~35% of patients. However, the molecular 242 determinants of clinical benefit from the combination are yet to be robustly defined^{6–8}. 243 244 The tumour-microenvironment (TME) plays a crucial role in HCC development and progression, mediating response and/or resistance to immunotherapy^{7,9}. Several gene 245 expression signatures that recapitulate inflamed classes of HCC or inflammatory 246 signalling have been associated with response to single-agent anti-PD1¹⁰⁻¹⁴. 247 Consistently, pre-existing tumour immunity - namely high PD-L1 expression, a T-248 effector signature¹⁵ and high intra-tumoural CD8+ T cell density – was associated with 249 better clinical outcomes in atezo+bev-treated patients, and an "atezo+bev response 250 251 signature" (ABRS) was derived⁶. However, a general issue when generating genomic 252 signatures is the lack of biological insights or mechanistic rationale that links selected 253 genes with outcome. Consequently, so far, none of these gene signatures have made it to clinically validated biomarkers of response to systemic therapy in advanced HCC⁸. 254 255 Recent advances in single-cell RNA sequencing (scRNAseg) have become key to study phenotypical and functional diversity of tumour-infiltrating stromal and immune 256 257 cells, allowing the exploration of the TME of advanced HCC and how it relates to ICI-

response^{16–18}. However, single-cell sequencing technologies are not suitable for use
in routine clinical practice.

Here, using scRNAseq data of 31 advanced HCC tumours, we generate cell-type 260 261 specific gene signatures representing 21 distinct cell phenotypes. We then explore their potential as predictive biomarkers of atezo+bev response using bulk 262 transcriptomic data from 422 pre-treatment advanced HCC samples. We unveil two 263 distinct subtypes of responders to atezo+bev: a first subgroup is defined by the 264 265 combined intra-tumoural presence of two CD8+ effector T cell subtypes and CXCL10+ macrophages, representing an immune-rich TME, while a second subgroup of 266 responders lacks infiltration by these three immune-related cell types and is 267 distinguished by a reduced expression of the VEGF co-receptor neuropilin-1 (*NRP1*). 268 269 Moreover, we highlight the role of immunosuppressive cells and Notch activation in primary resistance to atezo+bev. Finally, patients were categorized accordingly into 270 molecular subsets predictive of clinical benefit or resistance to atezo+bev. 271

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274 Materials and Methods

275 <u>Study design</u>

We aimed to leverage the single cell resolution offered by scRNAseq technologies to generate gene signatures that can be applied to RNAseq data analysis (**Fig. 1**). We first used scRNAseq data to generate gene signatures representative of the cellular heterogeneity present in the TME of advanced HCC¹⁷. These gene signatures were then applied to RNAseq cohorts to identify response subtypes and determinants of response versus resistance to atezo+bev.

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283 Patient cohorts and sample collection

This study encompasses six cohorts. An overview is provided in Table S1 (see
Supplementary Methods for details).

286

The scRNAseq Discovery Cohort¹⁷ and scRNAseq Validation Cohort¹⁸ include a total of 47 advanced HCC tumours, taken prior to start of systemic treatment. Samples were subjected to scRNAseq and used to generate and validate scRNAseq-derived gene signatures.

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The Inhouse RNAseq Cohort and External RNAseq Cohort⁶ comprise a total of 422 pre-treatment HCC tumour samples subjected to RNAseq. Patients were treated with atezo+bev (n=317), atezolizumab (n=47) or sorafenib (n=58) and stratified into responders versus non-responders according to best objective response¹⁹. Responders displayed either Complete (CR) or Partial Response (PR), while nonresponders were defined as those patients with Stable Disease (SD) or Progressive Disease (PD). Disease control comprises CR, PR and SD as best response. 299 Secondary endpoints were overall survival (OS) and progression free survival (PFS).

See Fig. S1 for details. 300

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The External WES Cohort⁶ and the Anti-PD1 Validation Cohort¹⁰ are described in 302 Supplementary Methods.

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305 Generating scRNAseq-derived gene signatures

Using a tailored bio-informatics pipeline, adapted from a previous study²⁰, we 306 307 generated scRNAseq-derived gene signatures for the 35 cell (pheno-)types identified at the single-cell resolution in the scRNAseq Discovery Cohort¹⁷. Starting from the fully 308 annotated single-cell dataset, we performed differential gene expression (DGE) 309 310 analysis to identify differentially expressed genes (DEG) for each cell (pheno-)type using the *FindAllMarkers* function from Seurat 4²¹. DEGs were selected based on the 311 Wilcoxon rank sum test, restricted to genes expressed in at least 10% of cells. 312 Ribosomal, mitochondrial and immunoglobulin genes were removed and only genes 313 with average log2-fold change ≥1.5 were selected, hypothesizing that DEGs above 314 this threshold would be captured in RNAseq data. 315

To generate cell type-specific gene signatures, we further filtered the resulting list of 316 up-versus down-regulated DEGs, separately. An overview is provided in Fig. S2A. 317 318 Please refer to Supplementary Methods for details on DEG filtering steps and signature categorization as specific or not specific. 319

In sum, we generated 'specific' gene signatures to identify 21 distinct cell (pheno-320 321)types present in the TME of advanced HCC (Fig. 2B; Fig. S3). An overview of the scRNAseq-derived gene signatures is provided in **Table S2**. 322

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324 For details on the characterization and validation of the scRNAseq-derived gene signatures, as well as the analysis and statistics of bulk transcriptomics, genomic WES 325 326 data, experimental models of HCC, flow cytometry and multiplexed 327 immunohistochemistry data, please refer to Supplementary Methods.

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329 <u>Definition of molecular subsets of atezo+bev clinical outcome</u>

330 Patients from the External RNAseq Cohort treated with atezo+bev were categorized according to the presence or absence of the scRNAseq-derived gene signatures 331 332 recapitulating CD8 Temra, CD8 Tex, Macro CXCL10, TREM2+ macrophage and CD14+ monocyte immune populations, as well as into having Notch pathway 333 activation versus inactivation based on a previously reported signature in HCC²². 334 335 Additionally, patients were classified as NRP1-Low if tumour NRP1 expression was ≤ 0.75 of the mean in adjacent liver tissue, and as NRP1-High otherwise. For details on 336 the classification criteria into "Immune-competent", "Angiogenesis-driven" and 337 338 "Resistant" molecular subsets, please refer to Supplementary Methods.

339

340 **Results**

341 <u>Generation of specific, robust and biologically plausible gene signatures capturing cell</u> 342 phenotypes identified from scRNAseq in advanced HCC

Here, we aimed to leverage the single cell resolution offered by scRNAseq technologies to generate gene signatures that are applicable to conventional RNAseq data analysis, a more widely available technique. Thus, these gene signatures could enable the assessment of cellular heterogeneity and lead to informed clinical decisionmaking.

348 First, we aimed to generate scRNAseq-derived gene signatures representative of the cellular heterogeneity present in the TME of advanced HCC¹⁷. Using scRNAseq data 349 from advanced HCC tumours (91.347 cells; scRNAseq Discovery Cohort) and a 350 351 tailored-bioinformatics pipeline (Fig. 1; Fig. S2A), we generated gene signatures for 35 cell (pheno-)types¹⁷ (**Fig. 2A**), including malignant hepatocytes as well as immune 352 and stromal cell types. Each scRNAseq-derived HCC gene signature consisted of a 353 354 comparable number of genes, with an average of 36 up-regulated (range 8-110 genes) and 30 down-regulated genes (range 7-125 genes; Fig. S2B; Table S2). We then 355 evaluated the specificity, robustness, and biological relevance of the resulting HCC 356 gene signatures. To assess the specificity, each signature was categorized as specific 357 358 or not specific according to its combined expression score per cell (see 359 Supplementary Methods for details; Fig S3 for clarity), and we found 21 of the HCC gene signatures to be specific for their intended cell type (**Fig. 2B**). Gene signatures 360 predicting myeloid subtypes generally performed better than those predicting T-cell 361 362 phenotypes (Fig. 2B; Fig. S3), likely due to the higher degree of transcriptomic similarity between distinct T-cell phenotypes. Non-specific signatures were discarded 363 for further downstream analyses. Signature robustness was evaluated using an 364

365 independent, publicly available scRNAseq cohort of 22 predominantly viral HCCs (n=45.477 single-cells; scRNAseg Validation Cohort)¹⁸. Firstly, among the 35 cell 366 types identified in the scRNAseq Discovery Cohort, 31 were also present in the 367 368 scRNAseg Validation Cohort (Fig. S4A-C). Secondly, the HCC gene signatures deemed as specific identified the same cell types in both the scRNAseq Discovery and 369 Validation Cohort, confirming the robustness of our methodology (Fig. 2C). The 370 371 biological plausibility of each signature, *i.e.* that the genes comprising each signature were coherent with the corresponding cell phenotype and function, was confirmed 372 using Enrichr (Fig. 2D)²³. Taken together, out of the 35 malignant, immune and stromal 373 cell types identified in the TME of advanced HCC using scRNAseg, we generated 21 374 specific, robust and biologically plausible scRNAseq-derived gene signatures that 375 376 accurately represent the intra-tumoural cellular heterogeneity of advanced HCC.

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378 <u>scRNAseq-derived HCC gene signatures and response to atezo+bev in advanced</u> 379 HCC

Next, we used the HCC gene signatures to dissect the intra-tumoural cell type composition of advanced HCC patients using two bulk RNAseq cohorts, comprising samples from a total of 422 patients treated with atezo+bev (n=317), atezolizumab (n=47) or sorafenib (n=58). We aimed to explore the potential of these signatures as predictors of response to atezo+bev, given that the intra-tumoural presence of specific cell types has been previously linked to response and/or resistance to therapy¹⁷.

Firstly, we collected tumour tissue samples from 96 advanced HCC patients treated
with atezo+bev, of which 71 were subjected to RNAseq (Inhouse RNAseq Cohort;
Fig. S1A) and 64 remained after quality control filtering. An overview of patient and
tumour characteristics is provided in Table S3. Objective response rate (ORR) to

atezo+bev was 31% (consistent with results from IMbrave150^{4,5}; Fig. S1A), median
follow-up was 20 months, and median OS was 14 months. As expected, patients
responding to atezo+bev had significantly longer median OS and median PFS
compared to non-responders (Fig. S1B).

A second publicly available RNAseq cohort (External RNAseq Cohort) consisting of 394 prospectively collected pre-treatment tumour biopsies of advanced HCC patients 395 396 treated in the context of the phase lb (GO30140) and phase III (IMbrave150) trials was 397 used^{4–6,24}. Of the 247 patients treated with atezo+bev and available response data, 81 398 were responders (ORR=33%; Fig. S1C). All tissue samples in the External RNAseq Cohort were collected maximum 12 months prior to start of systemic therapy. To 399 400 maximize the comparability between the two cohorts, we focused our analyses on 401 those samples from the Inhouse RNAseg Cohort that were collected within 12 months 402 of starting atezo+bev treatment (n=39; 11 responders versus 28 non-responders).

To explore the potential value of our generated scRNAseq-derived HCC gene 403 404 signatures in predicting clinical response to atezo+bev, we calculated enrichment scores per sample for each gene signature (Fig. 3A; Fig S5A). In line with previous 405 findings¹⁷, three cell types, namely two types of CD8+ effector cells (CD8 Temra, CD8 406 Tex) and pro-inflammatory CXCL10+ macrophages (Macro CXCL10), were 407 408 consistently enriched in atezo+bev responders compared to non-responders in both 409 RNAseq cohorts (Fig 3B; Fig S5B). Individually, these three signatures identified 410 responders with areas under the curve ranging from 0.63 to 0.79 (Fig. 3B; Fig. S5B). Notably, the presence of each of the three immune-related signatures was associated 411 412 with significantly longer PFS upon treatment with atezo+bev, specifically (External RNAseq Cohort, **Fig. S5C**), an association that was not observed with other cell types 413 enriched in responders. The CD8 Temra, CD8 Tex and Macro CXCL10 signatures 414

identified atezo+bev responders with a specificity of 84%-88% (Fig. 3C), though their
sensitivity was low in both cohorts (Fig. 3C; Fig. S5D).

417 Importantly, the three immune-related signatures were strongly correlated (Fig. S6A-418 **B**), suggesting the coexistence of these cell types in the advanced HCC TME. Hence, we classified tumours positive for at least one of the three immune-related signatures 419 as immune-positive tumours (ImmunePos), while cases negative for all three immune-420 421 related signatures were categorized as immune-negative (*ImmuneNeg*). Compared to ImmuneNeg cases, ImmunePos tumours had a significantly longer PFS upon 422 423 treatment with atezo+bev (median PFS 11.1 vs 5.9 months; HR 0.62; 95% CI 0.43-0.90; p=0.011), an association that was not seen in sorafenib-treated patients, 424 supporting the role of an *ImmunePos* status as a predictive biomarker of response to 425 426 atezo+bev (p of interaction = 0.09; 95% CI 0.234-1.117; Fig. 3D).

427

428 Immune versus non-immune mediated response to atezo+bev in advanced HCC

429 In line with the low sensitivity of the *ImmunePos*-defining signatures to identify atezo+bev responders, only 41-55% of responders were classified as ImmunePos 430 (33/81 and 6/11 responders in the External and Inhouse RNAseg Cohort, 431 respectively). Importantly, compared to non-responders, *ImmunePos* responders 432 were enriched in the HCC inflamed class (p<0.001)¹⁴ and signatures previously 433 associated with response to anti-PD(L)1 monotherapy in both HCC¹⁰⁻¹³ and other 434 cancer types^{25–29} (Fig. 4A). In contrast, *ImmuneNeg* responders were immune cold 435 tumours, displaying a degree of inflammation comparable to that observed in non-436 437 responding tumours (Fig. 4A), suggesting that distinct mechanisms might underlie response to atezo+bev in ImmunePos versus ImmuneNeg tumours. To explore this, 438 439 we first used DGE analysis to compare ImmunePos atezo+bev responders to all non-

440 responders regardless of immune status. *ImmunePos* responders were enriched in genes associated with CD8 T-cells (CD8A, CD8B), cytotoxicity (PRF1, GZMA, GZMB, 441 GZMH) and interferon-gamma activity (GBP1, GBP5), as well as genes involved in T-442 443 cell recruitment (CXCL9/10/11; Fig. 4B). Additional pathway analysis confirmed the enrichment of immune-related related gene sets in ImmunePos responders in both the 444 Inhouse and External RNAseq Cohorts (Fig. 4C; Fig. S6C-D). Compared to non-445 responding tumours, ImmunePos responders also exhibited a decrease in the 446 expression of genes related to Wnt- β catenin signalling (GLUL, AXIN2, DKK4), 447 448 previously related to immune cold tumours and ICI monotherapy refractoriness³⁰. Furthermore, we compared atezo+bev-treated patients to a cohort of 28 anti-PD1 449 450 treated advanced HCC patients (Anti-PD1 Validation Cohort) using an unsupervised 451 subclass mapping method (SubMap³¹) and found that anti-PD1 responders were most 452 transcriptomically similar to ImmunePos atezo+bev responders (Fig. 4D). Taken together, these findings suggest that *ImmunePos* responders may represent tumours 453 454 more susceptible to the immune activating effect of anti-PD(L)1 treatment.

455 Next, we aimed to identify potential response mechanisms in *ImmuneNeg* responders. 456 Compared to non-responders, *ImmuneNeg* responders displayed an enrichment in signalling pathways related to cell cycle, MYC targets, DNA replication and DNA repair 457 458 (Fig. S6E), suggesting genomic instability. To explore this further, we analysed whole-459 exome sequencing (WES) data from 67 patients (External WES Cohort). ImmuneNeg responders tended to be enriched in copy number alterations (CNAs) involving \geq 50% 460 of a chromosome arm, as indicated by higher broad CNA scores (BS), compared to 461 462 both *ImmunePos* responders and non-responders (p=0.16 and p=0.28; Fig. S7A). Furthermore, the presence of both high broad CNA loads³² and TP53 loss-of-463 464 heterozygosity (LOH) was significantly higher in ImmuneNeg responders (n=7/13,

54%) compared to non-responders to atezo+bev (n=10/43, 23%; p=0.046; Fig. 5A).
This supports the notion that *ImmuneNeg* responders include genomically unstable
tumours with *TP53* alterations, previously linked to anti-VEGF response in other
cancers³³. Further, it suggests that *ImmuneNeg* responders might be benefitting more
from the angiogenesis-related effects of bevacizumab.

As bevacizumab targets the VEGF/VEGFR axis, we assessed the expression levels 470 of the VEGF receptors 1-3 and their ligands (Fig. 5B; Fig. S7B), as well as the VEGF 471 co-receptor neuropilin-1 (*NRP1*; Fig. 5C). *ImmuneNeg* responders presented higher 472 473 VEGFA levels compared to ImmunePos responders, although no significant differences were observed versus non-responders (Fig. 5B). Most notably, and in line 474 with our hypothesis that ImmuneNeg atezo+bev responders might be more 475 476 susceptible to anti-VEGFA, we found that *NRP1* expression was significantly lower in this group of patients compared to *ImmunePos* responders and to non-responders 477 (Fig. 5C). Low tumour NRP1 expression is, in fact, one of the most consistently 478 479 described intra-tumoural biomarkers for bevacizumab response³⁴. Importantly, patients with low NRP1 tumours had significantly longer PFS and OS upon treatment 480 481 with atezo+bev (Fig. 5D-E), as opposed to sorafenib (p of interaction for OS = 0.039) or atezolizumab monotherapy (Fig. S7C). Remarkably, in the scRNAseq Discovery 482 Cohort, we found the highest expression of NRP1 to be in endothelial cells and 483 484 pericytes (Fig. 5F).

Taken together, our findings unveil two distinct mechanisms associated with response to atezo+bev: immune- versus non-immune-mediated response. A first subset of atezo+bev responders are characterized by an immune-rich TME with infiltration of CD8 effector cells and pro-inflammatory macrophages and are identifiable using scRNAseq-derived gene signatures that represent these cell types. A second subset

490	of responders present with genomically unstable tumours lacking the necessary anti-
491	tumoural immune component, and characterized by a decreased NRP1 expression.

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493 <u>Targeting NRP1 in combination with anti-PDL1 + anti-VEGFA remodels the anti-</u>

494 <u>tumour immune microenvironment in a resistant murine model of HCC</u>

To explore whether blocking NRP1 could offer a potential strategy for improving 495 496 atezo+bev responses, we generated an orthotopic HCC murine model by implanting Hep53.4 cells – exhibiting resistance to anti-PDL1 + anti-VEGFA (Fig. S8) – into the 497 498 livers of C57BL/6J mice (see Supplementary Methods for details). Animals were treated with (i) vehicle; (ii) anti-PDL1 + anti-VEGFA; (iii) an NRP1 inhibitor (EG00229); 499 500 or (iv) the triple combination of anti-PDL1 + anti-VEGFA + EG00229 (Fig. S9A). Flow 501 cytometry analysis showed a significant increase in CD8+ T cell subsets in the triple 502 combination arm compared to vehicle (Fig. S9B), an effect not observed with anti-PDL1 + anti-VEGFA alone. Additionally, tumours from mice treated with anti-PDL1 + 503 504 anti-VEGFA + EG00229 presented a higher CD8+/Treg ratio compared to vehicle (p=0.015) and to anti-PDL1 + anti-VEGFA (p=0.098) arms (Fig. S9C). Overall, these 505 results indicate that targeting NRP1 in combination with anti-PDL1 + anti-VEGFA 506 results in a more favourable anti-tumour immune profile, consistent with previous 507 508 reports in preclinical melanoma models³⁵.

509

510 <u>Immunosuppressive myeloid cells and stromal populations contribute to primary</u> 511 <u>resistance to atezo+bev</u>

512 Next, we explored mechanisms associated with primary resistance to atezo+bev. 513 Using the expression data of all up-regulated genes comprising the 21 specific 514 scRNAseq-derived HCC signatures, we trained a logistic regression-based machine

515 learning prediction algorithm to discriminate responders from non-responders to 516 atezo+bev (see **Supplementary Methods** for details). The integrated model 517 discriminated atezo+bev responders with an accuracy of 93% (95% CI 0.89-0.96) and 518 82% (95% CI 0.66-0.92) in the training/test and validation cohorts (i.e. the External 519 and Inhouse RNAseq Cohorts), respectively, outperforming previously reported 520 molecular correlates of atezo+bev response (**Fig. S10A**).

521 Out of 686 unique genes used as input, 229 genes were retained as most informative (Fig. S10B). Genes associated with known anti-tumoural immune cell types (e.g. 522 523 CD16+ monocytes) were linked to response to atezo+bev, while genes linked to protumoural, immunosuppressive cell types (e.g. CD14+ monocytes and TREM2+ 524 macrophages) were predominantly linked to resistance (Fig. S11A). Conversely, 525 526 genes composing the fibroblasts, endothelial cells or cancer cells signatures did not exhibit a preferential association with response or resistance to atezo+bev, with a 527 similar proportion of genes from these signatures linked to both response and 528 529 resistance to the combination (Fig. S10B). Using pathway analysis, we found that genes within the fibroblast signature associated with primary resistance (n=10) were 530 related to Notch and TGF-β signalling (**Fig. S11B**). Similarly, within the endothelial 531 gene signature, the 19 genes linked to resistance to atezo+bev were related to TGF-532 533 β signalling, while the 12 genes linked to response were related to nitric-oxide 534 mediated signalling (Fig. S11C), previously associated with bevacizumab efficacy in other tumour types^{36,37}. Of note, genes within the cancer cells signature were linked 535 to metabolic hepatic cell processes regardless of their contribution to response or 536 537 resistance (Fig. S11D). Overall, these data suggest that there are likely distinct subtypes of intra-tumoural endothelial cells or tumour-associated fibroblasts that may 538 539 play distinct roles in the context of atezo+bev treatment. Additionally, our findings point

toward the contribution of stromal-related signalling pathways (Notch and TGF- β) and specific immunosuppressive myeloid populations (CD14+ monocytes and TREM2+ macrophages) to resistance to atezo+bev in advanced HCC.

543

Finally, we investigated the association between the identified resistance-related 544 features and disease progression (PD) following atezo+bev treatment. Progressors 545 546 presented a mOS of 7.96 months, contrasting starkly with patients achieving stable disease (SD) (mOS of 18.51 months, p<0.0001; Fig. S12). Moreover, in the External 547 548 RNASeq cohort, 24% of patients with PD upon atezo+bev presented with *ImmunePos* tumours at baseline. Strikingly, ImmunePos tumours from progressors were 549 significantly enriched in gene signatures capturing both CD14+ monocytes and 550 551 TREM2+ macrophages when compared to ImmunePos patients who achieved disease control (DC; p=0.034 and p=0.045; Fig. 6A). Supporting these observations, 552 multiplex immunohistochemistry revealed a significantly higher ratio of TREM2+ 553 554 macrophages to pro-inflammatory macrophages or to CD8+ T cells in patients with PD versus DC upon atezo+bev (Fig. 6B-C). 555

Next, we explored whether ImmuneNeg progressors showed an increase in stromal-556 related signalling pathways such as TGF-β or Notch. Indeed, *ImmuneNeg* patients 557 558 with PD were characterized by a significant increase in the so-called "late TGF-B 559 signature" – known to be associated with TGF- β oncogenic effects in HCC³⁸ – when compared with ImmuneNeg patients with DC (p=0.001; Fig. 6D, left). Moreover, 560 ImmuneNeg patients with PD exhibited an enrichment in Notch pathway activation²², 561 562 compared to ImmuneNeg DC patients (p=0.009; Fig. 6D, right). In addition, these patients also displayed a higher presence of the progenitor-like Hoshida S2 subclass³⁹ 563 (p=0.03; **Fig. 6E**). 564

565 Consistently, tumours from the syngeneic Hep53.4 model showing anti-PDL1 + anti-VEGFA resistance (Fig. S8) were classified as Notch-active, exhibited high levels of 566 NRP1, and were enriched in CD14+ monocytes and TREM2+ macrophages, both in 567 568 mice treated with vehicle and the combination of anti-PDL1 + anti-VEGFA (Fig. S13). Taken together, our findings identify potential factors contributing to upfront 569 progressive disease upon atezo+bev treatment in advanced HCC, including the 570 571 presence of immunosuppressive immune cell populations such as TREM2+ 572 macrophages and CD14+ monocytes, as well as a stromal contribution stemming from 573 Notch activation and TGF- β signalling. These insights offer valuable implications for understanding the intricate mechanisms underlying resistance to atezo+bev in 574 advanced HCC. 575

576

577 <u>Defining molecular subsets that determine clinical benefit and primary resistance to</u> 578 <u>atezo+bev in advanced HCC</u>

579 Finally, we aimed to integrate our findings related to the proposed mechanisms of response and resistance to atezo+bev to define subgroups of patients that correlate 580 with therapeutic benefit. To this end, atezo+bev-treated patients from the External 581 RNAseq Cohort were categorized into three main molecular subsets: "Immune-582 583 competent", "Angiogenesis-driven" and "Resistant" (Fig. 7A; see Supplementary 584 Methods for details) based on i) the presence or absence of immune-promoting versus immunosuppressive cell types, ii) Notch pathway activation and iii) intra-tumoural 585 *NRP1* expression levels. Importantly, patients belonging to the "Immune-competent" 586 587 and "Angiogenesis-driven" groups had a significantly longer OS upon atezo+bev treatment compared to those defined as "Resistant" (mOS; not reached versus 11 588 589 months; p=0.0001 and p<0.0001 respectively; Fig. 7B). These observations were

590 validated when splitting the cohort into patients receiving atezo+bev in the GO30140 phase 1b (n=134) versus the IMbrave150 phase III (n=119) trials (Fig. S14A-B). Of 591 note, the "Resistant" patient group was also associated with a significantly shorter OS 592 593 when compared to "Unclassified" patients (mOS; 11 versus 18.8 months; p=0.005; Fig. 7B). Similarly, both the "Immune-competent" and "Angiogenesis-driven" 594 subgroups presented with significantly longer PFS compared to "Resistant" patients 595 596 (mPFS; not reached versus 2.8 months; p=0.0006 and 7.5 versus 2.8 months; 597 p=0.005, respectively; Fig. 7C).

Furthermore, patients from the IMbrave150 trial classified as "Immune-competent" or 598 "Angiogenesis-driven" had significantly longer OS upon atezo+bev treatment 599 compared to sorafenib (p=0.0007; Fig. 7D), as opposed to "Resistant" or 600 601 "Unclassified" groups. In short, the combined *"Immune-competent"* and 602 "Angiogenesis-driven" molecular subgroups demonstrated a significant predictive capacity of clinical benefit to atezo+bev (p of interaction = 0.027; Fig. 7D). 603

Altogether, we identified two distinct molecular subsets associated with clinical benefit upon atezo+bev treatment in advanced HCC, each driven by an immune- or angiogenesis-related mechanism. Additionally, we identified the molecular landscape of patients presenting with the poorest survival outcomes after atezo+bev. These findings offer potential applications to molecularly stratify patients based on the efficacy of immunotherapy and antiangiogenic treatments in advanced HCC.

610 **Discussion**

Our study is the first to use gene signatures derived from scRNAseq data to accurately identify 21 cell types present in the pre-treatment TME of advanced HCC. We demonstrate their use as invaluable tools to gain insights into the underlying biological mechanisms that drive treatment response and underline their potential clinical application, effectively bridging the gap between scRNAseq and RNAseq data. In doing so, we describe two distinct molecular-based types of response to atezo+bev treatment and define traits characterizing primary resistance.

First, approximately 40% of responding tumours were characterized by a pre-existing
anti-tumoural immunity defined by the infiltration of CD8+ effector T-cells and proinflammatory CXCL10+ macrophages, previously related to atezo+bev response^{6,17}.
Additionally, these tumours presented an inflamed microenvironment with molecular
features of ICI monotherapy response^{10–13,25–29}, suggesting their susceptibility to the
immune-activating effect of atezolizumab.

624 Conversely, the remaining 60% of tumours responding to atezo+bev displayed similar immune infiltration levels to non-responders, but were characterized by genomic 625 instability features including TP53 alterations, previously associated with anti-VEGF 626 response³³. This subgroup of tumours also showed NRP1 downregulation, a 627 628 characteristic previously reported as a biomarker of response to bevacizumab monotherapy³⁴ and associated with longer PFS with bevacizumab treatment in other 629 cancers⁴⁰. Pre-clinical studies have shown that blocking *NRP1* function renders blood 630 vessels more susceptible to anti-VEGF therapy by means of a vascular remodelling 631 632 process that results in reduced pericyte-vessel associations⁴¹. Consistently, in our data, *NRP1* was mostly expressed in endothelial cells and pericytes. These findings 633

support the notion that clinical benefit in this subgroup of tumours may result from theanti-angiogenic effect of bevacizumab.

Whether a subset of responders may benefit from the synergistic effect of both 636 637 mechanisms is certainly possible, though controversial in a setting where we have phase III data for both ICI monotherapy, anti-VEGFA monotherapy and their 638 combination⁴². In theory, antiangiogenic drugs such as bevacizumab have the 639 capacity to counteract immunosuppressive pathways and restore a functional 640 vasculature to i) improve T cell recruitment into the tumour and ii) increase the 641 bioavailability of anti-PDL1 within the tumour^{6,43,44}. In this case, the resulting 642 therapeutic effect may only be visible within the tumour after treatment, a phenomenon 643 that we cannot capture in the absence of on-treatment tumour samples. 644

Next, we explored the immune cells and pathway-related mechanisms characterizing atezo+bev progressors. We found that the intra-tumoural stromal compartment exhibits unique features associating TGF- β and Notch signalling with resistance to atezo+bev, consistent with previous reports linking these pathways to resistance against anti-PDL1⁴⁵ or anti-VEGFA in other cancers⁴⁶ and HCC⁴⁷.

650 Overall, most atezo+bev progressors (~75%) exhibited low levels of immune infiltration by CD8+ effector T-cells or pro-inflammatory CXCL10+ macrophages. These patients 651 652 were enriched in Notch signalling and exhibited progenitor-like traits, aligning with 653 previous findings linking high AFP and GPC3 levels to atezo+bev resistance⁶. Surprisingly, ~25% of progressors were infiltrated by CD8+ effector T-cells or pro-654 inflammatory CXCL10+ macrophages, suggesting the presence of a pre-existing anti-655 656 tumoural immunity. Notably, these tumours were also highly infiltrated by two immunosuppressive myeloid cell types, namely TREM2+ macrophages and their 657 precursor, CD14+ monocytes⁴⁸, previously associated with immunotherapy 658

resistance⁴⁹. Moreover, TREM2+ macrophages have been associated with an immunosuppressive role in lung cancer⁵⁰, and found to suppress CD8+ T cell activity and promote anti-PDL1 resistance in HCC patients post-TACE⁵¹. In short, a subset of progressors display a pre-existing immune response that is likely overshadowed by an immunosuppressive myeloid component that contributes to therapeutic inefficacy of atezo+bev in these patients.

665 Finally, by integrating the distinct determinants of response and resistance to atezo+bev, we provide a molecular-based classification comprising three subsets of 666 667 patients: two subtypes of patients associated with good outcome ("Immunecompetent' and "Angiogenesis-driven"), and a "Resistant" subset associated with poor 668 outcome (Fig. 8). Importantly, both the "Immune-competent" and "Angiogenesis-669 670 driven" subgroups were associated with significantly improved OS upon atezo+bev treatment, with a probability of 80% survival at 20 months (median not reached). In 671 addition, both molecular subgroups were independently associated with improved OS 672 and PFS after atezo+bev when compared to the "Resistant" subgroup, which had 673 significantly worse OS (mOS: 11 months) compared to all other patient groups. In 674 short, we defined novel molecular subsets predictive of clinical outcomes to atezo+bev 675 in advanced HCC using widely accessible transcriptomic analysis, that may help guide 676 677 clinical decision making in HCC in the future.

The main limitation of our study is that to ensure data consistency across cohorts, only samples taken within 12 months of treatment initiation were included, resulting in a limited sample size in the Inhouse RNAseq cohort. Furthermore, our study identifies several factors associated with response or resistance to atezo+bev, but to demonstrate a causal relationship further mechanistic validation experiments are required. Additionally, despite the significant predictive capacity of the proposed

molecular subsets to discriminate atezo+bev clinical outcomes, a large proportion of patients remain unclassified. Finally, the limited availability of detailed clinical information from the External RNAseq cohort constrained our ability to conduct a multivariate analysis or assess the potential influence of underlying liver aetiology on the response patterns to atezo+bev observed in this study.

In conclusion, we leveraged the resolution of scRNAseq to derive gene signatures and unravel determinants of atezo+bev response and resistance. By integrating our findings, we uncovered both an "*Immune-competent*" and an "*Angiogenesis-driven*" phenotype that derive clinical benefit from atezo+bev, likely due to distinct biological mechanisms. Furthermore, we identified a "*Resistant*" subset associated with poor survival outcomes upon atezo+bev in advanced HCC.

ournal

695 List of abbreviations

- 696 ABRS Atezolizumab + bevacizumab response signature
- 697 aHCC advanced HCC
- 698 Atezo+bev Atezolizumab + bevacizumab
- 699AUCArea under the curve
- 700 BS Broad CNA scores
- 701 CR Complete Response
- 702 CI Confidence Interval
- 703 DC Disease Control
- 704 DGE Differential gene expression
- 705 DEG Differentially expressed gene
- 706 FDR False Discovery Rate
- 707 FFPE Formalin Fixed Paraffin-Embedded
- 708 HCC Hepatocellular Carcinoma
- 709 HR Hazard Ratio
- 710 ICI Immune Checkpoint Inhibitors
- 711 IFNAP InterFeroN and Antigen-Presentation
- 712 LOH Loss of heterozygosity
- 713 mRECIST modified Response Evaluation Criteria In Solid Tumours
- 714 NTP Nearest Template Prediction
- 715 NRP1 Neuropilin-1
- 716 ns not significant
- 717 OR Objective Response
- 718 OS Overall Survival
- 719 PD Progressive Disease

- 720 PFS Progression Free Survival
- 721 PR Partial Response
- 722 RNAseq bulk RNA sequencing
- 723 ROC Receiver operating characteristic curve
- 724 SD Stable Disease
- 725 scRNAseq single-cell RNA sequencing
- 726 ssGSEA single sample Gene Set Enrichment Analysis
- 727 TACE Transarterial chemoembolization
- 728 Teff T effector cells
- 729 TME Tumour microenvironment
- 730 TGF- β Transforming growth factor beta
- 731 Treg T regulatory cells
- 732 TKI Tyrosine Kinase Inhibitors
- 733 UMAP Uniform Manifold Approximation and Projection
- 734 VEGFA Vascular Endothelial Growth Factor A
- 735 VEGFR Vascular Endothelial Growth Factor Receptor

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890 Figure Legends

891

892 Fig. 1. Study design

893

Fig. 2. Identification of specific, robust and biologically plausible single-cell

895 derived HCC gene signatures

2A) UMAP representation of the 35 cell types identified in the TME.

2B) Overview of HCC gene signatures generated in this study.

898 2C) Heatmap of the proportion of cells positive for each HCC gene signature,

calculated in each cell type, stratified according to signature specificity.

2D) Barplot depicting the top cell types identified for each specific HCC gene signature

901 (n=21) in two single-cell reference datasets, ranked according to adjusted p-value.

902

903 Fig. 3. Single-cell derived HCC gene signatures and response to atezo+bev

3A) Heatmap depicting the enrichment of HCC gene signatures in each sample,stratified for response to atezo+bev.

3B) *Top*: Boxplots depicting enrichment scores of CD8 Temra, CD8 Tex and Macro CXCL10, stratified for response to atezo+bev. *Bottom*: Receiver operating characteristic (ROC) curves showing the performance of each signature in predicting response to atezo+bev. Area under the curve (AUC) as indicated.

3C) Left: Barplot depicting the presence of CD8 Temra, CD8 Tex and Macro CXCL10
in the TME, coloured for response to atezo+bev. *Right*: Sensitivity, specificity, positive
and negative predictive value (PPV; NPV), and accuracy of response detection based

on the presence of CD8 Temra, CD8 Tex and Macro CXCL10.

3D) Kaplan-Meier curves depicting progression free survival (PFS) of *ImmunePos*versus *ImmuneNeg* tumours in patients treated with atezo+bev (n=253, *left*) versus
sorafenib (n=58, *right*).

917 Statistics: 3A-B: student T-test, Welch's T-test or Wilcoxon rank sum test, as 918 appropriate. C: Fisher's exact test. D: HR, 95% CI and p-values calculated using a 919 univariate cox regression analysis.

920

921 Fig. 4. Immune-mediated response to atezo+bev in advanced HCC

4A) Heatmap representation of HCC inflamed (sub)classes and gene signatures
previously associated with response to anti-PD(L)1 monotherapy in *ImmunePos* and *ImmuneNeg* responders versus non-responders to atezo+bev.

4B) Volcano plot depicting differentially expressed genes between *ImmunePos*responders (n=33) and non-responders (n=166) to atezo+bev.

4C) Pathways enriched based on differentially upregulated genes in *ImmunePos*responders (n=733 genes) versus non-responders to atezo+bev (n=166 genes),
identified in Fig. 4B.

4D) SubMap analysis evaluating transcriptomic similarity between response groups in

atezo+bev- versus anti-PD1- treated patients. FDR-corrected p-values are shown.

932 Statistics 4A: student T-test, Welch's T-test, Wilcoxon rank sum test or Fisher's exact
933 test, as appropriate.

934

935 Fig. 5. Angiogenesis-related response to atezo+bev in advanced HCC

- **5A)** Barplot representing the number of patients presenting both high broad CNA loads
- and *TP53* loss-of-heterogeneity across response subgroups.
- **5B)** Boxplots depicting *VEGFA* expression levels across atezo+bev response groups.

- **5C)** Boxplots depicting *NRP1* expression levels across atezo+bev response groups.
- 5D) Kaplan-Meier curves depicting progression free survival (PFS) of atezo+bev
- 941 treated patients (n=253) according to low *NRP1* expression status.
- 5E) Kaplan-Meier curves depicting overall survival (OS) of atezo+bev treated patients
- 943 (n=253) according to low *NRP1* expression status.
- 944 **5F)** *Top*: UMAP representation of *NRP1* expression in the TME. *Bottom*: Heatmap of
- 945 *NRP1* expression in each cell type identified in the TME.
- 946 Statistics: 5A: Fisher's exact test; 5B-C: Kruskal-Wallis test followed by Dunn-test
- 947 adjusted by Benjamini-Hochberg. E-F: HR, 95% CI and p-values calculated using a
- 948 univariate cox regression analysis.
- 949

950 Fig. 6. Determinants of primary resistance to atezo+bev in advanced HCC

- 6A) Boxplot depicting the enrichment CD14+ monocytes and TREM2+ macrophages
- 952 in patients with *ImmunePos* tumours with progressive disease (PD) versus disease
 953 control (DC) after atezo+bev.
- 954 **6B-C)** Boxplot depicting the ratio of TREM2+ macrophages to pro-inflammatory 955 macrophages (B) and to CD8+ T cells (C), with representative images.
- **6D)** Boxplot depicting the enrichment of the Late TGF- β signature (*left*) and barplot
- showing the frequency Notch pathway activation (*right*) in patients with *ImmuneNeg*tumours with PD versus DC after atezo+bev.
- 6E) Barplot displaying the frequency of S1, S2 or S3-classified tumours amongstpatients who showed PD versus DC after atezo+bev.
- 961 Statistics: 6A-E: student T-test, Welch's T-test or Wilcoxon rank sum test or Fisher's
 962 exact test, as appropriate.
- 963

Fig. 7. Molecular subsets determine clinical outcomes to atezo+bev in advanced HCC

7A) Flowchart summarising the classification criteria into the distinct molecular
subsets, defined by: ¹Presence of CD8 Temra, CD8 Tex, or Macro CXCL10; ²Absence
of CD8 Temra, CD8 Tex or Macro CXCL10; ³Absence of CD14+ monocytes or
TREM2+ macrophages; ⁴Presence of CD14+ monocytes or TREM2+ macrophages;
Absence⁵ or presence⁶ of Notch; Decreased *NRP1* expression⁷ or not⁸.

971 **7B-C)** Kaplan-Meier curves depicting overall survival (OS) and progression-free
972 survival (PFS) of atezo+bev treated patients (n=253) according to molecular subset.

7D) Kaplan-Meier curves depicting OS in the IMbrave150 study (n=177) stratified according to "*Immune-competent*" and "*Angiogenesis-driven*" (*left*) or "*Unclassified*"

975 and *"Resistant"* (*right*) classification.

976 Statistics: 7B-C: log-rank test with BH-adjustment. 7D: log-rank test. P of interaction

977 calculated using a Cox proportional hazards model.







External RNAseq Cohort









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TREM2+ macrophages: CD68+ TREM2+ [PDL1- CD3- CD8-] Pro-inflammatory macrophages: CD68+ PDL1+ [TREM2- CD3- CD8-] Inhouse cohort; Alezo+bev Multiplex immunochemsitry; n=9



0.5 0.0 Disease control (CR + PR + SD)

TREM2+ macrophages: CD68+ TREM2+ (PDL1- CD3- CD8-) CD8+ T-cells CD3+ CD8+ (CD68-) Inhouse cohort; Atezo+bev Multiplex immunochemistry; mey









Notch activation





External RNAseq Cohort

Highlights

- Single-cell-derived gene signatures were identified for 21 cell types in the advanced HCC TME
- Response to atezo+bev is driven by two distinct mechanisms: Immuneversus angiogenesis-driven
- Immunosuppressive myeloid cells and Notch activation contribute to therapy resistance
- Molecular stratification determines clinical outcome with atezo+bev in advanced HCC

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