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1 **Influence of the ethanol/dichloromethane ratio on the preparation of microsponges**
2 **composed of ethylcellulose and Eudragit or HPMCphthalate for hydrophilic drug**
3 **delivery**

4
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25 **ABSTRACT**

26 Microsponges (MS) have shown great potential for the incorporation of relatively higher
27 amounts of drugs. This is due to the presence of both surface pores and interconnected
28 channels that provide a greater contact area for the absorption of active agents. However, the
29 use of MS for carrying hydrophobic drugs is limited because the preparation methodology can
30 totally remove hydrophilic drugs. Therefore, this work aimed to investigate possible changes
31 in the proportion of organic solvents used to produce MS containing water-soluble drugs. A
32 modified *quasi*-emulsion solvent diffusion technique was used to formulate MS with
33 ethylcellulose and Eudragit RS 100 (MS-EE) or ethylcellulose and HPMCphthalate (MS-EH)
34 using different combinations of ethanol/dichloromethane ratios. The effects of the polymeric
35 composition and organic solvent ratio were evaluated on the morphology, size, swelling,
36 yield, drug content, entrapment efficiency and *in vitro* release of methylene blue (MB), a
37 hydrophilic drug model. Both polymer combinations resulted in spherical and porous
38 particles. MS-EE was larger (8 – 13 μ m) and displayed higher product yield (62 – 77%), but
39 MS-EH showed a higher drug entrapment (11 – 41%), which was higher with an increase in
40 the amount of ethanol amount used. Only MS-EH displayed *in vitro* MB release during 24 h
41 (70%). ATR-FTIR and FT-Raman analyses did not demonstrate chemical interactions
42 between MB and the polymers. Differential scanning calorimetry, thermogravimetry, and x-
43 ray diffraction confirmed the molecular dispersion of MB in the MS polymer matrix. *Ex vivo*
44 permeation studies by Franz cells and photoacoustic spectroscopy showed that MB could
45 diffuse out from the polymer matrix and permeate both pig skin and mucosa. The particles
46 were shown to be good carriers for MB and they provided controlled drug release and
47 permeation through skin and mucous tissue; however, the MS particles themselves are not
48 able to permeate these membranes. The use of ethanol in the solvent system constitutes a

49 good strategy to obtain MS of ethylcellulose and HPMCphthalate for delivery of MB and
50 suggests that MS is worthy of investigation as carrier for delivery other hydrophilic drugs.

51

52 *Keywords:* ethylcellulose; Eudragit RS100; 2-hydroxypropyl methylcellulose phthalate;
53 methylene blue; microsponges; drug delivery.

54 1. Introduction

55 Microparticles that are composed of a wide variety of polymeric materials (i.e.,
56 cellulose derivatives, other polysaccharides, or gelatine) can provide controlled drug release.
57 Moreover, polymeric microparticles can be incorporated into oral or topical pharmaceutical
58 dosage forms [1]. Microparticles can be classified into microcapsules (reservoir system) or
59 microspheres (solid matrix systems). In microspheres, the polymeric material forms a three-
60 dimensional network where the drug can be incorporated, absorbed or covalently bound to its
61 surface. Besides that, the system may be homogeneous or heterogeneous depending on
62 whether the drug is dissolved (molecular state) or suspended (particle form) [1, 2]. As a result
63 of the process used, porous systems may be obtained; which these can also be referred to as
64 microsponges (MS).

65 MS are a type of microspheres utilized as a drug delivery system. They display a rigid
66 or malleable matrix that range in size from 5 to 300 μm , depending on their composition.
67 They also can incorporate relatively high amounts of drugs into their numerous
68 interconnected channels, which also aids in the maintenance of the spherical structure [2,3].
69 Another typical characteristic of MS is the presence of pores on the surface, which can control
70 the drug release. A single 25- μm particle contains about 250,000 pores, which, together with
71 the interconnected channels, gives an internal area of about three meters in length and a total
72 volume of 1 ml/g of particle [4].

73 MS can be prepared by two distinct methodologies, liquid-liquid suspension
74 polymerization or *quasi*-emulsion solvent diffusion [5,6]. The first method is utilized when
75 the drug is capable of withstanding the conditions used during the polymerization and
76 generation of the porous structure [6]. The second one is most often applied due to its
77 simplicity and reproducibility. This methodology requires less organic solvent compared to
78 the first one [7]. *Quasi*-emulsion methodology requires two phases (organic and the aqueous

79 phases). The aqueous phase is composed of a polyvinyl alcohol (PVA) dispersion [8].
80 Meanwhile, the organic phase contains polymers, especially ethylcellulose (EC) and Eudragit,
81 the organic solvent (i.e. dichloromethane, ethanol and/or methanol) and the drug [2,3,7–27].

82 However, for *quasi*-emulsion methodology, the drug should be water-immiscible or
83 only slightly soluble. Otherwise, it would not be possible to trap hydrophilic drugs in MS,
84 because the step of forming the droplets would cause the drug to exit into the aqueous
85 medium [28–30].

86 Therefore, this work investigated whether a modification of the solvent system of
87 polymers [EC and Eudragit RS100 (ERS100) *versus* EC and 2-hydroxypropyl
88 methylcellulose phthalate (HPMCph)] could improve the entrapment efficiency of hydrophilic
89 drugs. These polymers are biologically inert, non-mutagenic, non-allergenic, non-toxic, non-
90 irritating and non-biodegradable [31,32]. Besides that, HPMCph is a polyanionic molecule
91 that possibly reacts with the positively charged group of methylene blue, preventing drug
92 diffusion. Moreover, to decrease the amount of dichloromethane used for the MS preparation,
93 different organic solvent proportions using a mixture with ethanol were tested.

94

95 **2. Materials and methods**

96 *2.1. Materials*

97 Methylene blue (MB) was purchased from Sigma-Aldrich (St. Louis, MO). Standard
98 premium ethylcellulose (EC) NF 20 was supplied by Dow (São Paulo, Brazil), Eudragit
99 RS100 (ERS100) was purchased from Evonik Pharma (Mumbai, India) and the 2-
100 hydroxypropyl methylcellulose phthalate (HPMC phthalate; HPMCph) from Shin-Etsu
101 Chemical (Tokyo, Japan). Polysorbate 80 was purchased from Synth (São Paulo, Brazil).
102 Polyvinyl alcohol (PVA) and poloxamer 188 (P188) were purchased from Neon (Suzano,
103 Brazil) and from BASF (Ludwigshafen, Germany), respectively. All other chemicals were

104 purchased from Nuclear (Diadema, Brazil) or Anidrol (Diadema, Brazil) and they were of
105 analytical grade.

106

107 2.2. Preparation of microsponges

108 MS were prepared by the *quasi*-emulsion technique [33]. The polymeric solution
109 (organic phase) was prepared using EC and ERS100 or EC and HPMCph in different ratios of
110 ethanol:dichloromethane (Table 1). The porogenic solution was dropped in the organic phase
111 to form a w/o emulsion. The porogenic solution was previously prepared with a 1% (v/v)
112 aqueous solution of sodium chloride and a sufficient amount of polysorbate 80 to obtain 1%
113 (v/v) dispersion; the MB (a drug model) is added at the end of this process with magnetic
114 stirring. The w/o emulsion was dropped into an aqueous dispersion of PVA or P188. The
115 resulting w/o/w emulsion remained under magnetic stirring during 24 h for organic solvent
116 evaporation. The particles were separated by centrifugation at 15,000 rpm for 10 min; then the
117 precipitate was dried at 40 °C in a hot air oven and stored in a desiccator until further analysis
118 [34].

Table 1

Polymeric composition and organic solvent system utilized for the preparation of microsponges (MS).

Formulation	MB (%, w/w)	Porogenic		Organic phase		
		solution (ml)	EC (%, w/w)	ERS100 (%, w/w)	HPMCph (%, w/w)	Ethanol:Dich rat
MS-EE-50	0.25	5.0	0.5	0.1	-	50:
MS-EE-70	0.25	5.0	0.5	0.1	-	30:
MS-EE-80	0.25	5.0	0.5	0.1	-	20:
MS-EE-100	0.25	5.0	0.5	0.1	-	0:1
MS-EH-50	0.25	5.0	0.5	-	0.03	50:
MS-EH-70	0.25	5.0	0.5	-	0.03	30:
MS-EH-80	0.25	5.0	0.5	-	0.03	20:
MS-EH-100	0.25	5.0	0.5	-	0.03	0:1

MB = methylene blue; EC = ethylcellulose; ERS100 = Eudragit RS100®; HPMCph = 2-hydroxypropyl methylcellulose phthalate; PVA = polyvinyl alcohol; P188 = poloxamer 188.

119

120

121 2.3. Morphological analysis

122 The surface morphology of MS was investigated by two different methodologies. For
 123 the first analysis, the samples were coated with gold under argon atmosphere and observed in
 124 a scanning electron microscope (Quanta) under two magnifications (x500 or x1000). The
 125 second analysis was carried out using a fluorescence microscope (Axio observer 7, Carl Zeiss,
 126 Germany) with software Zenblue. The fluorescence was evaluated with DAPI and/or GFP
 127 filters with 25% of light source and 70 to 6000 μ s of exposure time. For these analyses, the
 128 MS samples were dispersed in water (1%, w/v).

129

130 2.4. Particle size analysis

131 The particle size analysis was carried out using dynamic light scattering analyser
 132 (Nanoplus-3, Particulate Systems, USA). A 1% aqueous dispersion (w/v) of MS was used to
 133 record the average particle diameter, polydispersity index, and zeta potential.

134

135 *2.5. Solubility properties of polymeric systems*

136 The solubility properties experiments were performed using an inverted microscope
137 (Axio observer 7, Carl Zeiss, Germany). The dry powder of MS (MS-EE-80 or MS-EH-80)
138 was placed into a Petri dish (32 mm) and 1.5 mL of ultrapure water or a 20% (v/v) ethanol
139 aqueous solution was added. Just after the contact of samples with water a picture was
140 captured (time 0) and another was taken of the same particles after standing overnight. The
141 diameter of 50 particles was determined by software ZenBlue (Carl Zeiss, Germany). The
142 solubility was assessed by the analysing the difference between the diameter at time 0 and
143 after 12 h.

144

145 *2.6. Determination of yield, drug content and entrapment efficiency*

146 The product yield was determined by dividing the practical weight of MS into the total
147 amount of drug and polymers used in the preparation of these particles times 100. To evaluate
148 the drug content and entrapment efficiency, 10 mg of MS were added in a 5 ml volumetric
149 flask containing 200 µl of methanol to disrupt the microparticles and extract the MB. After 10
150 minutes under ultrasound, the dispersion was filtered, and the absorbance was measured using
151 a UV-VIS double beam spectrophotometer (UV 18000 PC, Shimadzu, Tokyo, Japan) at a
152 wavelength of 664 nm. For the blank solution, the sample was composed of blank-MS. The
153 data were analysed by using a calibration curve obtained from a spectrophotometric method
154 that was previously developed and validated [35]. The drug content and the entrapment
155 efficiency were calculated according to equations below (1,2) [22,34,36]:

156

$$157 \text{ Drug content (\%)} = (\text{practical drug content in microsponges} / \text{theoretical weight of microsponges}) \times 100 \quad (1)$$

158

159 $Entrapment\ efficiency\ (\%) = (practical\ drug\ content\ in\ microsponges/theoretical\ drug\ content) \times 100$ (2)

160

161 *2.7. Attenuated total reflectance-Fourier transform infrared spectroscopy (ATR-FTIR) and*

162 *FT-Raman spectroscopy*

163 The ATR-FTIR was performed for pure substances, their physical mixtures, and
164 blank-MS and MS-MB using a Bruker FTIR spectrometer (VERTEX 70v, Ettlingen,
165 Germany). The scanning range was from 400 to 4000 cm^{-1} with 250 scans and resolutions set
166 at 4 cm^{-1} . FT-Raman spectra from the samples were recorded using the Bruker FT-Raman
167 spectrometer (RAM II, Ettlingen, Germany) with radiation of 1064 nm from an Nd: YAG
168 laser at 100 mW of power and liquid nitrogen cooled Ge detector. Each spectrum (range of
169 400 to 4000 cm^{-1} with 4 cm^{-1} of resolution) is the average of 250 scans [37].

170

171 *2.8. Thermal analysis*

172 Differential scanning calorimetry (DSC) and thermogravimetry (TGA) analysis (Q20
173 and Q50, T.A. Instruments, New Castle, USA) were performed in a compressed air
174 atmosphere with a heating rate of 10 $^{\circ}C/min$ over a temperature range of 30 to 600 $^{\circ}C$ (TGA)
175 and 30 to 200 $^{\circ}C$ (DSC). The samples (pure substances, their physical mixtures, blank-MS
176 and MS-MB) were accurately weighed into aluminium pans and sealed.

177

178 *2.9. X-ray analysis*

179 X-ray diffraction analysis of polymers, drug, and MS were performed using an x-ray
180 diffractometer D8 Advance, Bruker (Karlsruhe, Germany) employing Cu-K α radiation of
181 wavelength 1.5405 \AA , voltage 30 kV, and current 30 mA. The scans were run from 5 to 50 $^{\circ}$
182 ($2^{\circ}/minute$) 2θ [20].

183

184 *2.10. Evaluation of in-vitro drug release profile*

185 *In-vitro* MB release was assessed using a modified Franz's cell apparatus [37].
186 Purified water (20 ml) at 37 °C was utilized as a dissolution medium under constant magnetic
187 stirring (60 rpm). The amount of 30 mg of sample was placed directly into the release
188 medium, ensuring the sink conditions. At predetermined time intervals (30 min and 1, 2, 3, 4,
189 6, 8, 12 and 24 h), aliquots (2.0 ml) of the dissolution medium were withdrawn with
190 replacement of the same volume using release medium. The MB concentration was
191 determined by spectrophotometry ($\lambda = 664$ nm) according to the methodology previously
192 developed and validated [35]. To investigate the mechanism of MB release from MS, the data
193 generated from these release studies were fitted to the general equation (Equation 3) using
194 logarithmic transformations and least-squares regression analysis [38].

195

$$196 \quad Mt/M_{\infty} = k \cdot t^n \quad (3)$$

197

198 Where Mt/M_{∞} is the fraction of released drug, t is the time of release, k is the kinetic constant
199 of incorporation of the structural and geometric characteristics of the release device, and n is
200 the exponent that might indicate the mechanism of drug release.

201

202 *2.11. Ex-vivo study of MB permeation from MS*

203 *2.11.1. Preparation of biological tissues*

204 Ear skin and buccal mucous samples were obtained from white, young, and recently
205 slaughtered pigs (from a slaughterhouse authorized for human consumption by the Brazilian
206 Ministry of Agriculture). Skin tissues were cleaned with purified water, the subcutaneous fat
207 was gently removed and a skin square sample from the central region of the dorsal side of the
208 auricle was excised using scissors and a surgery scalpel. Buccal mucosa tissues were also

209 cleaned with purified water and the mucous layer was carefully separated from the muscle
210 and adipose tissue. Samples with wounds, warts, or hematomas were not used.

211

212 2.11.2. Permeation study using Franz cells apparatus

213 Tissue samples (skin or mucosa) were placed between the donor and receptor
214 chambers of the cell with the dermal side or mucous layer in contact with the receptor
215 medium. The receptor chamber was filled with phosphate buffer (pH 7.4) and kept at 37.0 ± 1
216 °C. The amount of 20 mg of MS-EH-MB was applied to the tissue. Samples of 0.50 ml were
217 withdrawn from the receptor medium (with a replacement of the same volume) at 30 min and
218 1, 2, 3, 4, 6, 8 and 24 h. Sink conditions were maintained in all cases, and the absence of air
219 bubbles was checked after each replacement. The MB concentration was analysed by
220 spectrophotometry ($\lambda = 664$ nm), according to methodology previously developed and
221 validated [35] and determined on the basis of the available area for permeation; these values
222 were plotted as a function of time ($\mu\text{g}\cdot\text{cm}^{-2}\cdot\text{min}^{-1}$) [39,40].

223

224 2.11.3. Retention study

225 MB cutaneous retention was performed after the end of the skin permeation study.
226 *Stratum corneum* (SC) was then tape-stripped 40 times, using an adhesive tape (Scotch 3M).
227 Permeation area of the epidermis (without SC) + dermis was then separated with scissors. The
228 MB contents in tape stripes and also in epidermis (without SC) + dermis were extracted using
229 methanol. The extractive solutions were analysed by spectrophotometry ($\lambda = 664$ nm) and the
230 amount of MB was calculated according to Equation (4) [41].

231

$$232 \quad Q_{real} = (C_{measured,t}/D)V + \sum Q_{real,t^{-1}} \quad (4)$$

233

234 Where Q_{real} is the accumulated permeated amount, $C_{measured,t}$ is the concentration measured
235 from the sample at time t , D is the dilution factor, V is the volume of Franz's cell, and $Q_{real,t}^{-1}$
236 is the accumulated permeated amount at time t^{-1} . The value of MB permeated was plotted as a
237 function of time ($\mu\text{g}\cdot\text{cm}^{-2}$) [40].

238 For determination of MB retention or permeation in mucosal tissue, the methodology
239 for drug extraction from mucosa and analytical methodology were the same.

240

241 *2.11.4. Determination of skin and mucous permeation by photoacoustic spectroscopy (PAS)*

242 The measurements were performed on sections of skin and mucous from pigs (1 cm^2),
243 30 minutes after applying 50 μl of aqueous dispersion (3.5%, w/v) of MS-blank (negative
244 control) or MS-MB. The PAS experimental setup was composed of an 800 W Xenon arc lamp
245 that was used as the light source. The light was diffracted by passing through the
246 monochromator with 3.16-mm input and output slots. The monochromator was equipped with
247 a diffraction grade for the UV–Vis spectral range from 200 to 800 nm. The mechanical
248 chopper was tuned at 13 Hz modulating the light that impinges the sample. Thus, the
249 monochromatic beam was focused on the sample placed inside the photoacoustic cell, which
250 was sealed with a transparent quartz window (8 mm diameter and 2 mm thickness). A
251 capacitive microphone was coupled to collect the photoacoustic signal that was generated
252 from the pressure variation resulting from the periodic heating of the sample. The depth of the
253 skin sample contributing to the photoacoustic signal was estimated using the thermal diffusion
254 length of 31 μs . The final photoacoustic signal is proportional to the sample absorption
255 coefficient, and then the photoacoustic spectra can be interpreted through absorption bands. In
256 all analyses, the skin was evaluated on apical (epidermis) and basal (dermis) layers [42–44].

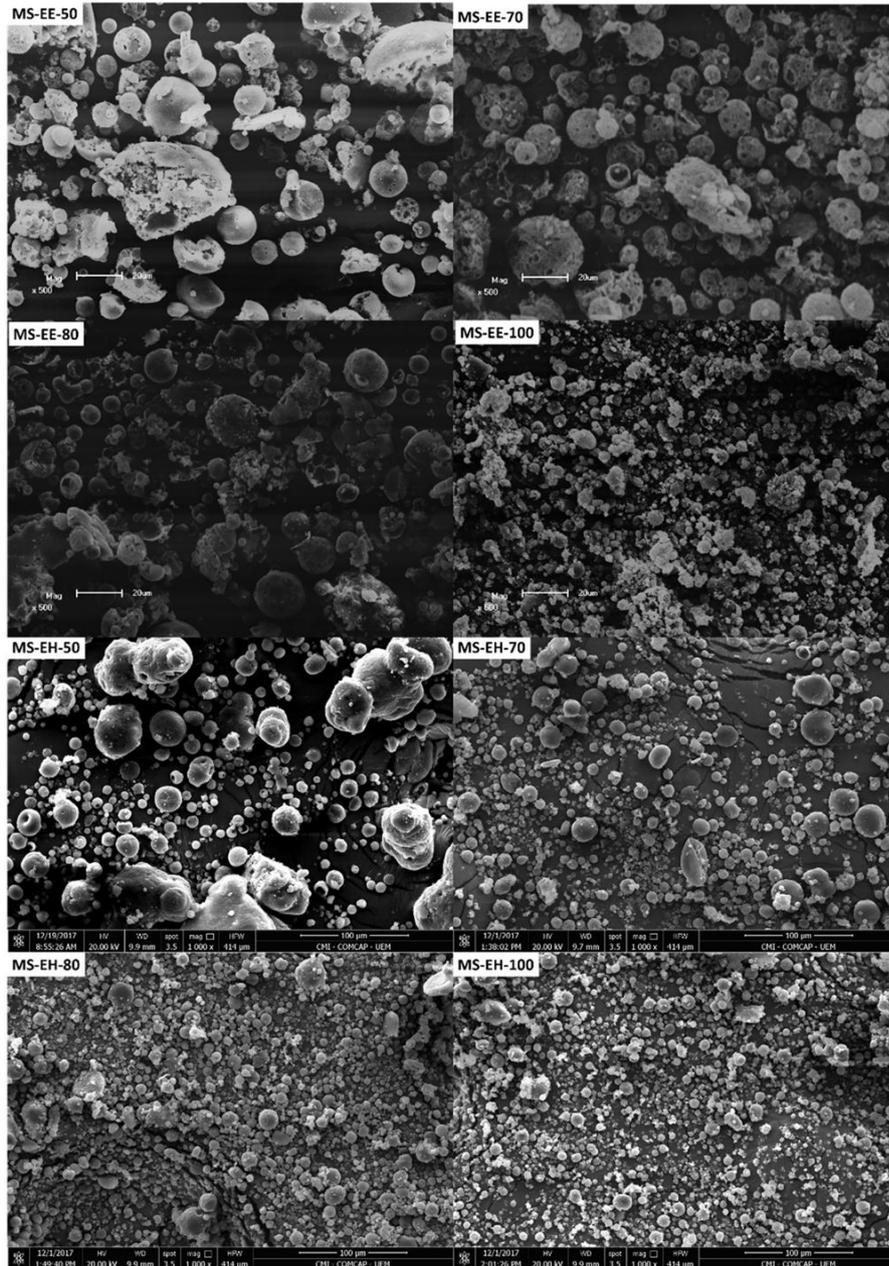
257

258 **3. Results and discussion**

259 3.1. Morphology and particle size

260 The particles prepared from EC:ERS100 or EC:HPMCph mixtures with an equal
261 proportion of ethanol and dichloromethane displayed the largest amorphous structures (Figure
262 1).

263



264

265 **Fig. 1.** SEM images of MS-EE and MS-EH prepared using 0, 20, 30 or 50% (v/v) of ethanol

266

(original magnification: x500 (MS-EE); x1000 (MS-EH)).

267

268 Decreasing the ethanol proportion promoted the formation of small and homogenous
269 particles, which can be confirmed by size analysis (Table 2). It is known that the size of the
270 particles is influenced by several factors. Among these are the volume and ratio of organic
271 solvents. In this sense, those that exhibit higher vapor pressure lead to an increase in kinetic
272 energy which consequently increases the diffusion of solvent from the organic solution to air,
273 producing smaller particles [3,32]. Another factor that can influence the size is the drug:
274 polymer ratio [45]. Particulate systems produced with higher polymer concentration are larger
275 due to the higher availability of these substances; this occurred with MS-EE which present
276 about 10% more polymers than MS-EH.

277

Table 2

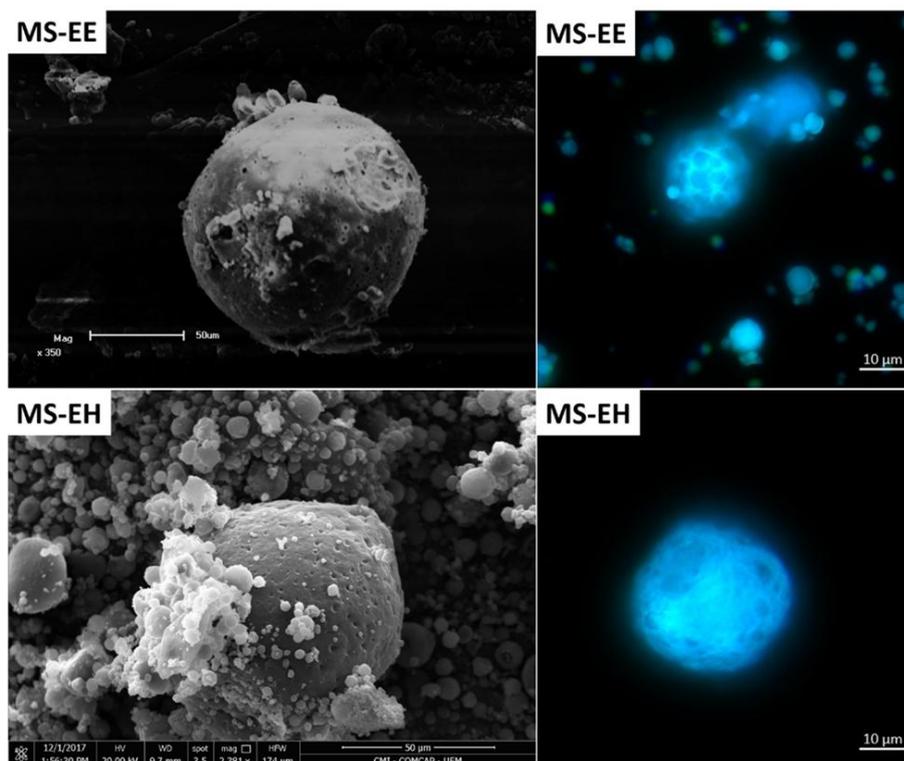
Evaluation data of methylene blue (MB) loaded microsponges prepared with ethylcellulose-Eudragit RS100 (MS-EE) or ethylcellulose:HPMCph (MS-EH) in different organic solvent ratios (ethanol:dichloromethane, 50:50; 40:60; 30:70; 0:100).

Formulation	Particle size (μm)	PDI*	Product yield (%, w/w)	MBcontent (%, w/w)	Entrapment efficiency (% w/w)
MS-EE-50	12.92	4.06	62.30 \pm 29.66	0.003 \pm 0.000	1.29 \pm 0.01
MS-EE-70	11.34	2.86	69.12 \pm 15.42	0.003 \pm 0.000	1.47 \pm 0.03
MS-EE-80	9.05	5.07	70.56 \pm 19.68	0.003 \pm 0.000	1.50 \pm 0.01
MS-EE-100	7.91	0.46	77.38 \pm 12.54	0.003 \pm 0.000	1.25 \pm 0.00
MS-EH-50	7.44	1.59	52.79 \pm 1.41	0.103 \pm 0.000	41.35 \pm 0.15
MS-EH-70	4.47	0.37	49.59 \pm 0.92	0.062 \pm 0.001	25.12 \pm 0.26
MS-EH-80	3.48	0.26	48.21 \pm 0.97	0.045 \pm 0.000	18.01 \pm 0.55
MS-EH-100	2.26	0.70	44.82 \pm 0.67	0.027 \pm 0.001	10.78 \pm 0.20

*PDI = polydispersity index

278

279 Moreover, MS composed of EC-ERS100 and EC- HPMCph possess a porous surface,
280 independent of the organic solvent concentration used (Figure 2). These results showed that
281 both combinations of polymers could produce spheres with characteristics of MS.
282



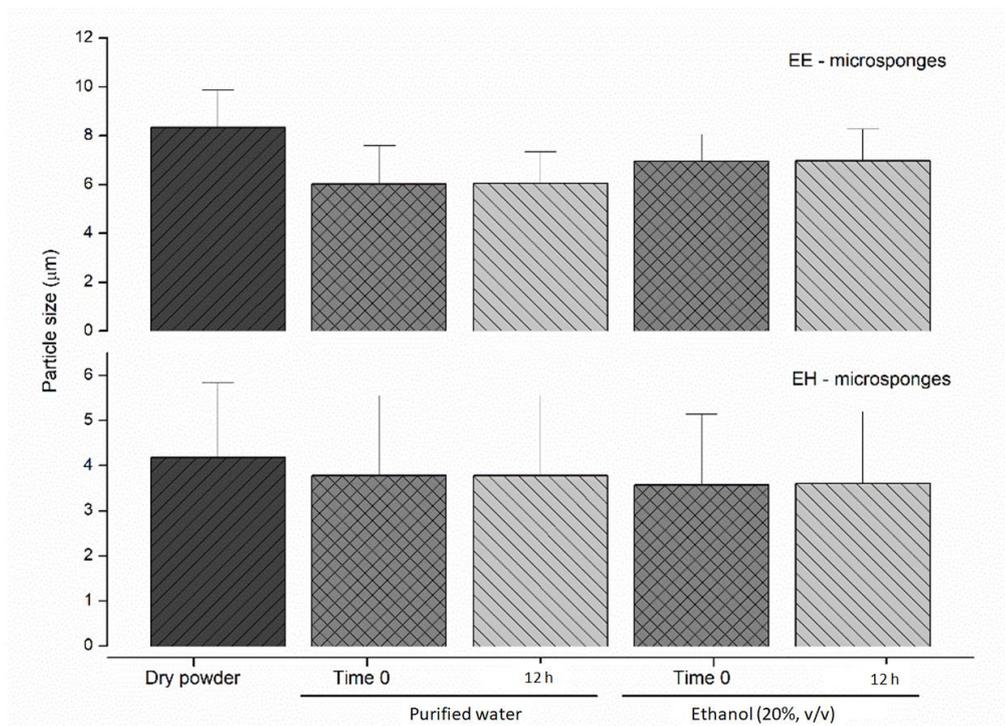
283
284 **Fig. 2.** SEM and fluorescence images of porous on the surface of MS-EE and MS-EH
285 microsponges (SEM original magnification x350 (MS-EE), x2381 (MS-EH); fluorescence for
286 both MS is original magnification x400).

287

288 3.2. Solubility properties of systems

289 The solubility of MS was evaluated by monitoring the increase of particle diameter
290 after a predetermined time interval. The diameter can be changed due to the solvent
291 penetration into the particles and, consequently, the solubility properties of polymers used for
292 the preparation. Figure 3 displays the effect of ultrapure water and ethanol solution on MS.
293 No solubility process was observed after 12 h in both cases. It is known that Eudragit RS 100

294 shows water solubility [46]. Moreover, a low solubility rate was already expected in MS-EH
295 since HPMCph presents this property. However, as the concentrations of these two polymers
296 are 17 times lower than EC, their presence did not display a significant influence on the
297 parameter ($p > 0.05$).
298



299

300 **Fig. 3.** Results of solubility analysis of methylene blue loaded microsponges at the time 0 and
301 after 12 h in purified water or ethanol solution 20% (v/v).

302

303 3.3. Product yield, drug content and entrapment efficiency

304 The yield of MS-EE was about 43% higher than those prepared with HPMCph (Table
305 2). This difference can be explained by the change of polymer used with EC. Studies that used
306 the EC-Eudragit combination showed equal or even higher yields than those observed in MS-
307 EE, demonstrating that even small changes in the preparation methodology may influence this
308 parameter's results [7,16,18,32]. The use of different compositions of organic solvent was

309 only relevant for the MS-EH, where the lower the volume of dichloromethane, the higher the
310 yield.

311 The volume and different types of solvent used also influenced the drug content and
312 entrapment efficiency. Organic solvents that have a lower evaporation rate have lower kinetic
313 energy; consequently, this reduces the diffusion rate of the solvent from the internal to the
314 external phase and increases the probability of entrapping the hydrophilic drug within the MS
315 [32]. Thus, MS prepared with higher volumes of ethanol have higher entrapment efficiencies.
316 However, high polymer concentrations provide larger particles, which require more time to
317 become rigid, and this increases the time available for drug diffusion out of the MS [8], as can
318 be observed for MS-EE.

319

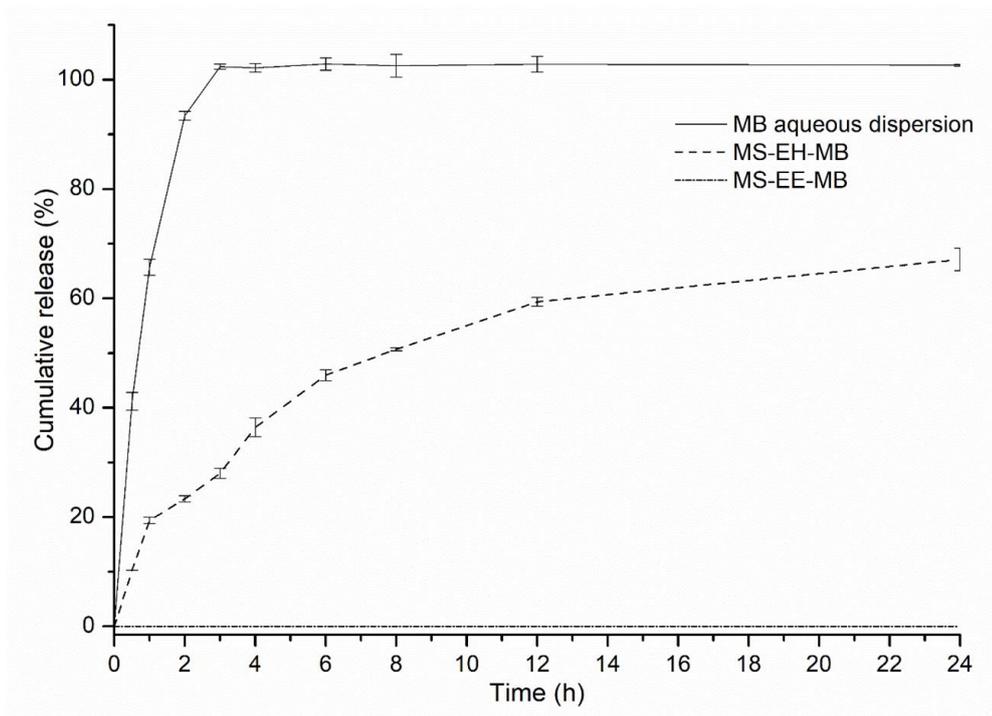
320 *3.4. In-vitro drug release profile*

321 The MS physicochemical characteristics were investigated in order to understand the
322 behaviour of system aiming the future development of drug delivery systems to be
323 administered locally (e.g. skin and mucosae). Therefore, topical administration would suggest
324 a temperature of 32 °C. However, this temperature can be higher when the administration is in
325 the mucosa of different regions of the organism such as vaginal, buccal, nasal, and rectal
326 [41,43]. In this sense, the analyses were performed considering the temperature of 37 °C.
327 Moreover, the MS are proposed for local administration, and since MB is highly soluble in
328 water, the release test was performed using an aqueous medium as the first step to understand
329 the system behaviour. Biorelevant media should be used in the future. An inert membrane
330 (e.g. cellulose acetate membrane) is frequently used for the *in vitro* drug release tests using
331 Franz cells, modified Franz cells, or other apparatus as a support to separate the donor
332 compartment from the acceptor compartment [37]. Sometimes the presence of this membrane
333 influences the drug release constituting a limiting aspect. In this study, we have firstly

334 performed a test with cellulose a acetate membrane, but the drug could not be released
335 properly [41,43]. Thus, considering the size of particles, the formulation was placed inside the
336 acceptor compartment together with the release medium. Therefore, the test setup was
337 prepared without membrane support and it was possible to withdraw the samples at the pre-
338 determined time intervals without removing particles from the dissolution medium.

339 The *in-vitro* MB release profile was not performed with MS-EE-MB despite the fact
340 this sample presented a low entrapment efficiency and drug content. The results found are
341 below the detection and quantification limits for the methodology used for the quantification.
342 As indicated in the mentioned tests, this system does not prove to be a viable alternative for
343 the entrapment of hydrophilic drug. This may be associated with the process chosen to
344 prepare the MS. There was diffusion of the MB to the external phase due to the greater
345 affinity for the aqueous medium.

346 Therefore, it would be expected that the same would happen for the MS-EH-MB
347 system. However, the MB release from this system reached almost 70% in 24 h (Figure 4).
348 Also, the drug release occurred gradually, which was also not expected for a hydrophilic drug.
349 This unexpected result can be explained by the use of HPMCph, because it is a polyanionic
350 polymer that possibly interacted with positively charged group of MB. This would prevent the
351 drug from diffusing into the aqueous phase during the preparation. Moreover, this system
352 could provide an MB modified release in relationship to pure MB (Figure 4). The inherent
353 dissolution of pure MB in water does not represent the release, but it was performed as a
354 comparative (as a reference) considering the influence of the protocol utilized to determine
355 the MB release profile from microsponges.



356

357 **Fig. 4.** *In-vitro* release profile of methylene blue (MB) from aqueous dispersion (0.25%, w/w)
 358 and from microsponges MS-EE-MB and MS-EH-MB.

359

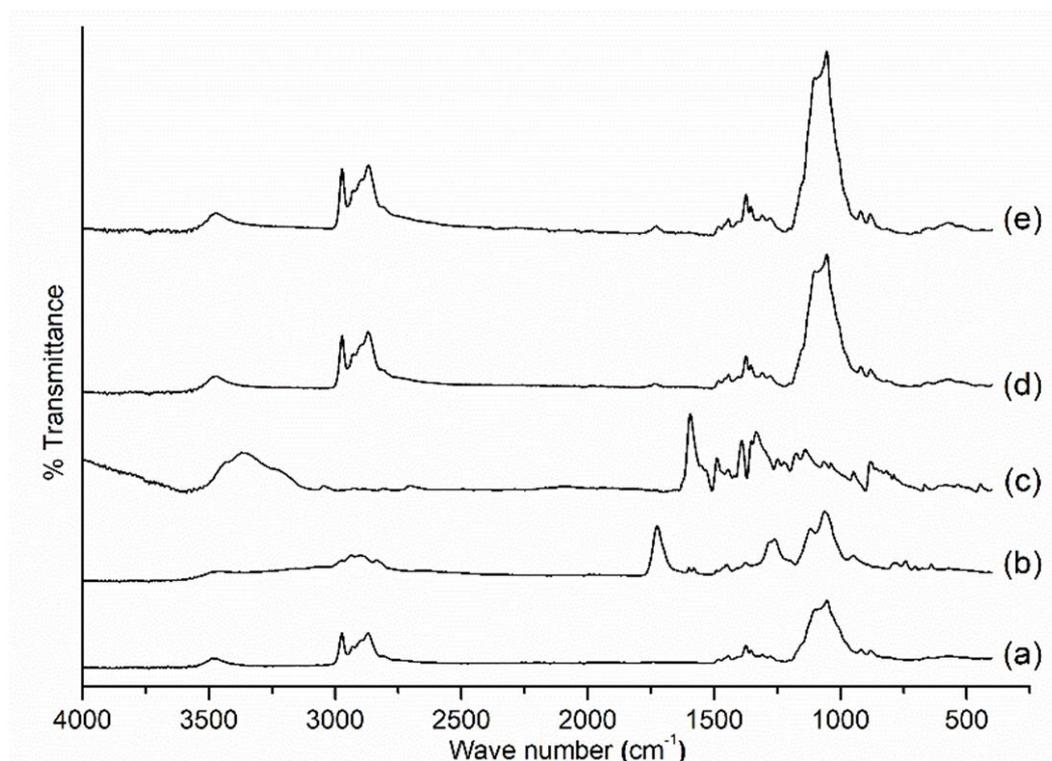
360 As mentioned before, the MB from MS-EH-MB showed prolonged release; therefore,
 361 it was a better fit with the Korsmeyer-Peppas equation. Besides, the kinetic release parameters
 362 were calculated in order to evaluate the mechanism involved in the release of MB. For
 363 spherical structures, an n -value = 0.43 indicates controlled release by Fickian diffusion, and n
 364 = 0.85 indicates that release occurs by relaxation of polymer chains (transport Case II).
 365 Values within this range indicate anomalous behaviour, i.e., the diffusion process and
 366 polymeric chains swelling influence the release. The observed n -value (0.523) indicates that
 367 MB release was governed by diffusion through the MS channels and by HPMCph swelling
 368 (anomalous behaviour) [9,47]. The discrepancy with the swelling test could be related to the
 369 low degree of swelling and a low concentration of the HPMCph, which was shown to be
 370 incapable of changing the particle size but could influence the drug release.

371

372 3.5. Drug–polymer interaction study for MS-EH

373 To evaluated the physical states and the stability of materials and to ensure the drug-
374 polymer compatibility and interaction, ATR-FTIR, FT-Raman, TGA, DSC and X-ray powder
375 diffraction analyses were performed [34,48]. These analyses were used for evaluating MS
376 prepared with EC and HPMCph, because they gave the best results for drug content,
377 entrapment efficiency, and *in-vitro* drug release.

378 The ATR-FTIR spectra of EC (Figure 5a), HPMCph (Figure 5b), MB (Figure 5c),
379 blank-MS-EH (Figure 5d), and MS-EH-MB (Figure 5e) are shown in Figure 5. Spectra of MB
380 showed bands at 3371 cm^{-1} (N-H stretching of aliphatic primary amine), 1595 cm^{-1} (N-H
381 bending of secondary amine), 1488 and 1332 cm^{-1} (aromatic nitro compounds), 1390 cm^{-1}
382 (deformation of multiplet C-H), 1139 cm^{-1} (N-H of tertiary amine), and 879 cm^{-1} (aromatic C-
383 H). However, for blank-MS and MS-MB, their spectral analysis appeared with prominent
384 peaks characteristics of EC (3485 , 2976 - 2869 , 1375 , and 1035 cm^{-1}), one peak of HPMCph
385 (1726 cm^{-1}), and in both cases MB peaks are absent. These results suggest no chemical
386 interaction or changes during the preparation process and MB stabilization into MS. The
387 Raman spectrum of MB showed bands with two peaks, one at 1625 and other at 1400 cm^{-1}
388 (C-C ring stretching and C-N symmetrical stretching, respectively). In contrast to the ATR-
389 FTIR spectra, it is possible to observe both characteristic peaks of a pure drug in the FT-
390 Raman spectra for MS-MB.



391

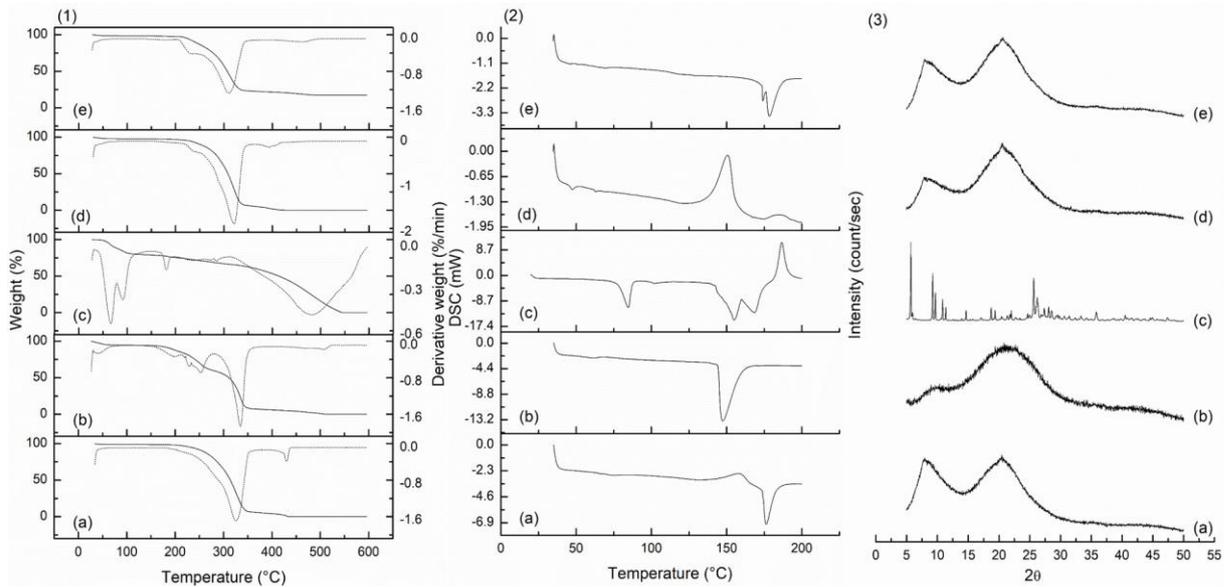
392 **Fig. 5.** ATR-FTIR spectra of: (a) ethylcellulose, (b) HPMCph, (c) methylene blue, (d) MS-
 393 EH-blank and (e) MS-EH-MB.

394

395 Figure 6.1c shows the thermogram and first derivative thermogram of the drug, where
 396 is possible to observe peaks for four steps. The first two steps correspond to the loss of
 397 hydration water (between 50 and 100 °C) with a weight loss of approximately 19%. The next
 398 step, between 170 and 190 °C, is related to decomposition reaction with a loss of around 23%.
 399 In the last one the pure drug mass reached 2% of the initial weight; that is, the MB is almost
 400 completely degraded as temperatures reach 340 and 540 °C. For EC (Figure 6.1a), the
 401 decomposition occurs in two steps (200 and 340 °C), where the weight loss was about 87%,
 402 and another, between 420 and 440 °C, where the weight percentage decreased to
 403 approximately 0% of the initial value. For HPMCph (Figure 6.1b), the decomposition was
 404 above 160 °C with a weight loss of 40%, then a more pronounced loss at 350 °C where the
 405 residual mass was only 9% of the original. The physical mixture (Figure 6.1d) and MS-EH-

406 MB (Figure 6.1e) showed curves more similar to those of EC, which is present in high
 407 amounts, but the decomposition occurs at a lower temperature, when compared to isolated
 408 polymer, especially in samples of MS. This indicates a possible interaction between the
 409 polymers components and the drug.

410



411

412 **Fig. 6.** (1) Thermogravimetric analysis (TGA, solid line) and derivative thermogravimetric
 413 (DTG, dash line) curves, (2) DSC thermograms, and (3) X-ray diffraction patterns of: (a)
 414 ethylcellulose, (b) HPMCph, (c) methylene blue, (d) MS-EH-blank and (e) MS-EH-MB.

415

416 The DSC curves of MB (Figure 6.2c) showed peaks in the region of 90 - 110 °C,
 417 corresponding to the melting point of the compound [49]. Moreover, more two endothermic
 418 peaks were displayed in the region of 154 - 167 °C, corresponding to the drug decomposition
 419 [49]. The thermogram of EC (Figure 6.2a) and HPMCph (Figure 6.2b) presents peaks at
 420 around 177 °C and 150 °C; these represent the softening transition, which depicts its semi-
 421 crystalline nature, and a glass transition depicting its amorphous state, respectively [34,50,51].
 422 The thermogram of MS-EH-MB (Figure 6.2d) showed two endothermic peaks at around 170

423 °C, indicating as a possible interaction between the polymers with the MB as well partial
424 protection of the drug by the structure of MS.

425 X-ray powder diffraction patterns of MB, EC, MS-EH-blank, and MS-EH-MB are
426 displayed in Figure 6.3. Sharp peaks were observed for MB (Figure 6.3c) at diffraction angles
427 of (2θ) 5.68°, 9.22°, 9.67°, 10.83°, 11.34°, 14.65°, 18.73°, 19.38°, 25.64°, and 26.23°,
428 indicating a crystalline nature of the pure drug. Both samples of MS, blank (Figure 6.3d), and
429 MB-loaded (Figure 6.3e) displayed a broad peak that is characteristic of an amorphous
430 structure. The polymers EC (Figure 6.3a) and HPMCph (Figure 6.3b) also presented a broad
431 peak without diffraction. These results could indicate that the crystalline nature of MB was
432 completely lost, and there was not a recrystallization process during the evaporation and/or
433 drying steps. This MS amorphous state indicates that the systems have a better condition to
434 promote the MB release. Thus, the drug is probably totally dispersed in the polymeric matrix
435 [37].

436

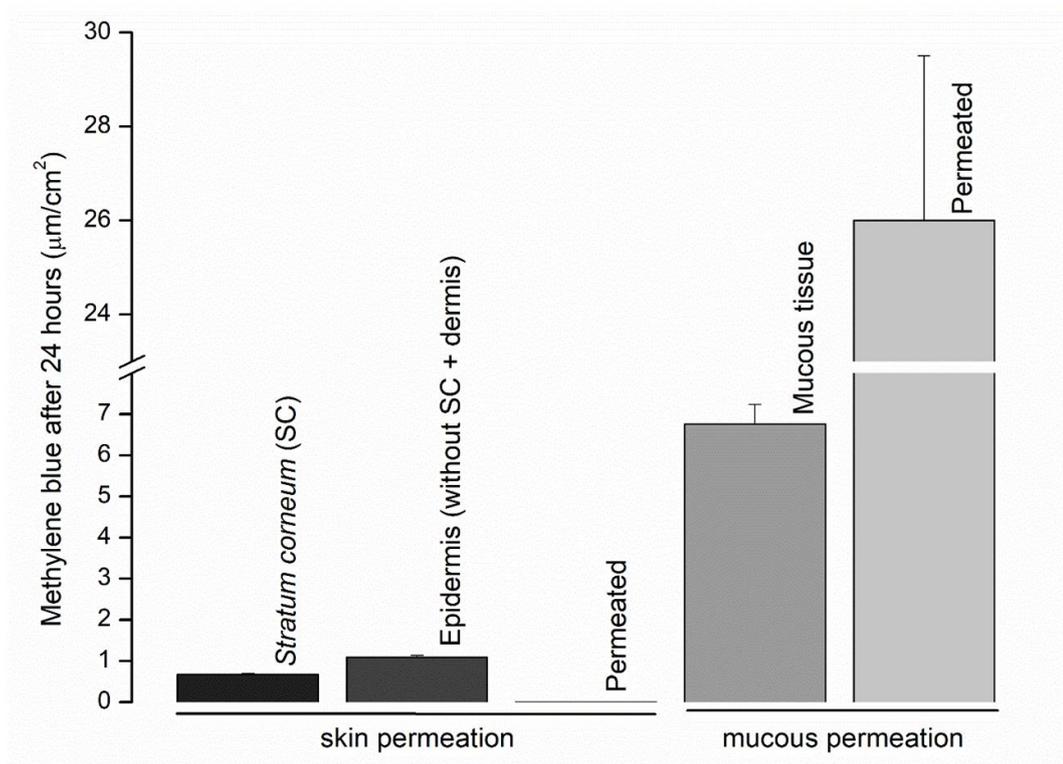
437 3.6. *Permeation studies*

438 During the development of new systems is important to understand the behaviour in
439 different conditions. The results of this study can be utilized for the selection of the best
440 formulation candidates for the future design of microsponges for the delivery of different
441 hydrophilic drugs to be administered locally on skin and mucosae. The permeation of
442 substances through the skin or mucous membranes is related to physicochemical proprieties
443 when incorporated into complex systems. In the skin case, the permeation depends on the
444 presence of natural barriers that block the entry of several components, such as *stratum*
445 *corneum* (SC) [52]. Even knowing this, the study was conducted with full thickness skin to
446 investigate the microparticulate system's influence on penetration and permeation of the skin

447 by MB released from the microsponges. For oral mucous, the drug permeation is associated
448 with keratinization degree and thickness [53,54].

449 The permeation analyses performed using Franz cells apparatus shows that MB can
450 diffuse out from the MS and completely permeate the oral mucous tissues only. It is known
451 that mucous presents a permeation of around 4 and 4000 times greater when compared to the
452 skin [53]. Another point to consider is the hydrophilic nature of MB, because the skin has a
453 hydrophobic barrier that hinders the passage of substance with an affinity for water, as was
454 already observed [41]. After 24 h, the amount of MB present in SC was determined, as well as
455 in epidermis without SC + dermis and oral mucous tissues (Figure 7). The results support that
456 skin acts to prevent the drug from passing through these layers (MB penetration, but not MB
457 permeation). Despite MB being a hydrophilic substance, it could pass through the barrier
458 *stratum corneum* and reach epidermis and dermis. The log P of MB (5.85) [55,56] should be
459 considered, which would justify the relative affinity for the lipophilic regions of the skin.
460 Microsponges acted as a finite MB reservoir, and after 24 h a drug concentration gradient was
461 evident in skin, considering that the MB amounts in epidermis and dermis were determined
462 together.

463



464

465 **Fig. 7.** Amount of methylene blue (MB) present in *stratum corneum* (SC), epidermis without
 466 SC + dermis, permeated through the skin after 24 h, and mucous permeation (mucous tissue
 467 retention and permeation).

468

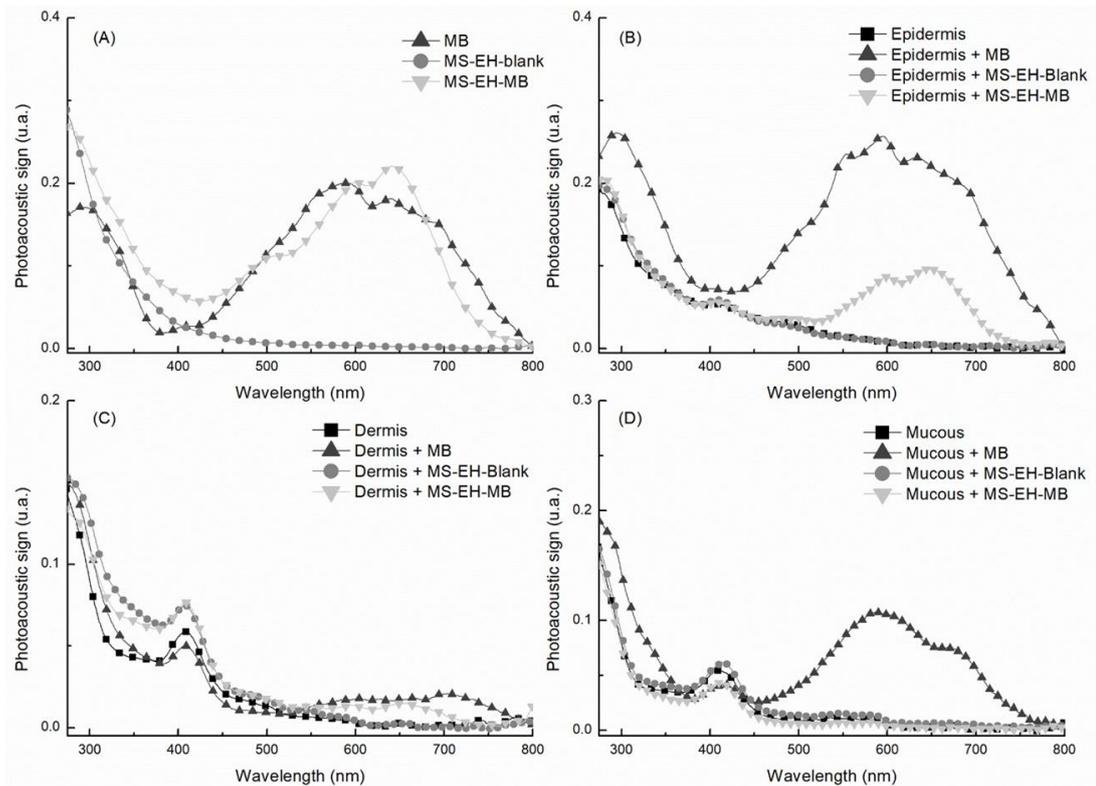
469 In addition, the skin and mucous MS permeability was also investigated by PAS. This
 470 technique allows the analysis of low concentration, optically opaque and highly scattering
 471 samples in complex biological systems such as the skin and mucous tissues [43,57,58]. PAS
 472 can be employed to determine the absorption characteristics of the products topically applied
 473 and define the depth of penetration [58].

474

475 Figure 8a displays the photoacoustic spectra of MB and MS-EH-MB, where the
 476 presence of three peaks can be observed (300, 500, and 700 nm). The MS-EH-blank presented
 477 an absorption band between 200 and 450 nm. Figures 8b, 8c, and 8d present the spectra
 478 obtained from readings on the epidermis, dermis and mucous, respectively. In the mucous
 control spectra, it is possible to observe a band near to 400 nm, which is a characteristic of the

479 presence of blood. After 30 min in contact with the MB-aqueous solution, the biological
480 tissues showed similar spectra when compared to a solution without the tissues. When in
481 contact with the epidermis, dermis and mucous, the MS-EH-blank did not show the
482 absorption band. That is, the MS demonstrated no ability to cross and permeated the layers
483 due to its large size. However, as the MS-EH-MB was applied the tissues displayed
484 absorbance between 550 and 700 nm, demonstrating that the drug was able to diffuse out
485 from MS and cross all skin layers and oral mucous. In this sense these particles can be
486 considered to be a good carrier for drugs.

487



488

489

Figure 8.

490

491 **4. Conclusions**

492

493

Both polymer combinations could be used to prepare microsponges with spherical shape, porous surface, and interconnecting channels. However, only the microsponges

494 composed of ethylcellulose and HPMCphthalate could entrap methylene blue, the hydrophilic
495 model drug. The presence of different ethanol proportions in the organic phase during the
496 preparation of microsponges provided particles with different physicochemical characteristics.
497 An increase of dichloromethane ratio provided particles with a smaller size, lower product
498 yield, drug content, and entrapment efficiency when compared to microsponges prepared with
499 the same volume of ethanol and dichloromethane. Moreover, the system composed of
500 ethylcellulose and HPMCph showed a modified and constant drug release after 12 h. The
501 particles were shown to be a good carrier for the methylene blue allowing controlled drug
502 release and permeation through skin and mucous tissue; however, the microsponges are not
503 able to permeate these membranes. Therefore, the utilization of ethanol in the solvent system
504 constitutes a good strategy to obtain microsponges of ethylcellulose and HPMCph for
505 delivery of methylene blue, and this suggests that this system is worthy of investigation as a
506 carrier for delivery other hydrophilic drugs.

507

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517

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