

# Functionalized optical microcavities for sensing applications

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Abstract: Functionalized optical microcavities constitute an emerging highly sensitive and highly 13 selective sensing technology. By combining optical microcavities with novel materials, microcavity 14 sensors offer exceptional precision, unlocking considerable potential for medical diagnostics, phys-15 ical and chemical analyses, and environmental monitoring. The high capabilities of functionalized 16 microcavities enable subwavelength light detection and manipulation, facilitating precise detection 17 of analytes. Furthermore, recent advancements in miniaturization have paved the way for their in-18tegration into portable platforms. For leveraging the potential of microcavity sensors, it is crucial to 19 address challenges related to the need for increasing cost-effectiveness, enhancing selectivity and 20 sensitivity, enabling real-time measurements, and improving fabrication techniques. New strategies 21 include the use of advanced materials, optimization of signal processing, hybrid design approaches, 22 and employment of artificial intelligence. This review outlines the key strategies towards enhancing 23 the performance of optical microcavities, highlights their broad applicability across various fields, 24 and discusses the challenges that must be overcome to unlock their full potential. 25

Keywords: optical microcavities; functionalization; sensing applications

#### 1. Introduction

Optical microcavities attract much interest in optical sensing due to their capacity for leveraging the fundamental properties of light and the resonant effects for detecting subtle changes in their surroundings. This makes them highly versatile and attractive for a broad range of analyses, including measurements of physical and chemical parameters (e.g., temperature, humidity, stress, and pH) and detection of biological markers (e.g., enzymes, nucleic acids, and antibodies) [1–4].

Recent advances in optical microcavity technologies have enabled the development 35 of sensors that are not only more sensitive, but also more accurate in detecting specific 36 targets in complex and noisy environments. These improvements are essential for applications where accuracy and reliability are crucial, such as cancer diagnosis. Due to enhanced sensor performance, including higher sensitivity and selectivity, optical microcavities can be used for precise and reliable measurements, ultimately advancing decisionmaking processes in critical applications. 41

Despite these advances, significant limitations remain. One key limitation is the challenge of maintaining high sensitivity and selectivity in diverse and dynamic environments, particularly if trace amounts of analytes are to be detected and if operation under real-time conditions is required. The need for scalability and cost-effective fabrication 45

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methods also requires further improvement to ensure broader adoption of these technol-<br/>ogies. Additionally, the integration of novel materials and hybrid configurations in order<br/>to expand sensing capabilities remains insufficient, leaving room for innovations in both<br/>design and implementation.46474849

This review explores the latest developments in optical microcavity sensors and highlights how functionalization enhances their sensing capability. We provide an overview of the key materials used to improve sensor configurations and various techniques employed to optimize the performance of optical microcavities used for sensing. We also discuss the challenges facing further development and application of these technologies. 54

#### 2. Functionalized Optical Microcavities

Optical microcavities, such as Fabry–Pérot (FP) microcavities, microcavities based on plasmonic materials (e.g., photonic crystal fiber (PCF) plasmonic sensors and plasmon nanocavities), and whispering-gallery mode (WGM) microcavities (e.g., microring resonators) are emerging as powerful tools in sensing technologies. Depending on their configuration, these microcavities can either concentrate light, as do Fabry–Pérot microcavities and nanocavities, or generate evanescent waves at the interface of dielectric and plasmonic materials, as do PCF plasmonic sensors and WGM microcavities (Figure 1).



Figure 1. Schematics of the physical structure of various optical microcavities.

Optical microcavities operate through a resonance mechanism to confine the light. 65 Within their small volumes, light interacts with external objects or surrounding environment, which results in detectable spectral shifts, broadening or splitting of resonance 67 peaks, or changes in the intensity of light escaping the cavity. These effects, driven primarily by variations of the refractive index and optical confinement, make optical microcavities highly sensitive to even subtle environmental changes. 70

The two main detection mechanisms used with functionalized optical microcavities 71 are based on recording refractive index changes or fluorescence. Compared to other sensing systems, optical microcavities stand out for their superior performance due to two key 73 factors: their capacity for confining light within small volumes and their high potential for 74 localized and highly efficient chemical functionalization. This combination enables the 75 sensitive and selective detection of physical, chemical, or biological changes in surrounding media. 77

Functionalized optical microcavities combine materials with different optical prop-78 erties, such as high-reflectance materials (e.g., Bragg mirrors), plasmonic nanoparticles 79 (e.g., gold and silver ones), and quantum dots (QDs). These materials augment the detec-80 tion capability of the microcavity by enhancing light-matter interactions and boosting 81 sensitivity and selectivity. Additional strategies include the use of novel materials and 82 hybrid and other advanced designs, such as enhanced surface functionalization, and mi-83 crofluidics [5]. Furthermore, employing artificial intelligence (AI) algorithms to filter noise 84 and reduce false positives offers a path to even greater precision of detection. Despite the 85 resultant advantages, such as rapid response and versatility, challenges still remain in 86 terms of the ease of fabrication, long-term stability, and material compatibility. 87

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One of the key approaches involving plasmonic materials employs the surface plasmon resonance (SPR) and localized surface plasmon resonance (LSPR) phenomena. These effects ensure better light confinement and are foundational for highly sensitive sensors protect that respond to changes in the refractive index caused by analyte binding. Recent advances in nanometer-precise lithography and colloidal synthesis have enabled fine tunability of LSPR in the visible and near-infrared ranges, broadening the application scope of these sensors.

Polymers are also used in optical microcavities due to their flexibility, transparency, 95 and biocompatibility [6]. The main developments in this area have focused on improving 96 the material properties, fabrication techniques, and integration strategies, including block 97 copolymer self-assembly and layer-by-layer assembly for precise control of the film thick-98 ness and composition [7]. Advanced techniques used with these materials include electro-99 spinning in the case of ultrafine polymer fibers with a high surface-area-to-volume ratio 100 and photopolymerization in the case of submicron-resolution 3D structures. They reduce 101 manufacturing time and support complex designs [8]. 102

Two-dimensional (2D) materials, such as graphene and transition metal dichalcogen-103 ides, show great promise for sensor applications due to their ultrathin structures and ex-104 ceptional sensitivity. These materials reduce losses, improve biocompatibility and ensure 105 molecular specificity [9], which makes them ideal for applications in early diagnosis of a 106 number of diseases, including cancer [10]. For example, graphene stands out for its excep-107 tional properties, including high electrical conductivity, large surface area, chemical in-108 ertness, and thickness (about 0.3 nm [11]), which make it a prime candidate for sensor 109 applications [12]. 110

Quantum dots, semiconductor nanocrystals ranging from 1 to 12 nm in diameter, 111 have unique optical and electronic properties, including high quantum yields, long fluo-112 rescence lifetimes, large extinction coefficients, narrow emission spectra, and high photo-113 stability. These properties can be tuned by adjusting their size [13], composition, or sur-114 face ligands [14]. Their surface chemistry can be modified to improve the sensor specific-115 ity, enabling their integration with various detection methods, such as fluorescence, elec-116 trochemistry, and Raman scattering measurements. By combining QDs with other nano-117 materials, e.g., molecularly imprinted polymers and noble metal nanoparticles, the sensor 118 performance can be significantly improved. These hybrid systems are particularly effec-119 tive in detecting complex analytes, including antibiotics and metal ions, by leveraging 120 both the optical properties of QDs and the functionalities of the other materials [15]. How-121 ever, challenges still remain related to optimizing real-world applications, particularly re-122 ducing the QD toxicity. 123

In biosensing applications of sensors based on optical microcavities, bio-recognition 124 elements play a critical role in enabling high sensitivity and specificity. When the target 125 analyte is recognized and bound by the sensing element of the sensor through specific 126 molecular interactions, its physical properties, such as the refractive index, are changed. 127 Bio-recognition elements include antibodies, enzymes, nucleic acids, aptamers, phages, 128 peptides, lectins, and molecularly imprinted polymers, all of which selectively interacting with the target molecules [16–18]. 130

Further improvement of functionalized optical microcavities is essential for advanc-131ing various sensing applications. Current efforts are focused on enhancing sensor perfor-132mance for detecting smaller analyte quantities, achieving faster real-time responses, and133enhancing the selectivity in complex environments. Future developments in functional-134ized microcavity designs are aimed at increasing the sensitivity to the degree where single135molecules can be detected and expanding the range of applications of the microcavities.136

#### 3. Fabry-Pérot Microcavities

Fabry–Pérot microcavities are optical resonators consisting of two reflective surfaces, 138 such as metal layers or Bragg mirrors (one-dimensional photonic crystals), separated by a 139

central cavity. This configuration enables the confinement of light inside the cavity, facil-140 itating precise optical interactions and high resonance quality. Common materials used 141 for the fabrication of FP microcavities include metals, such as gold and silver, known for 142 their excellent reflective properties; dielectric materials, such as TiO<sub>2</sub>, Ta<sub>2</sub>O<sub>5</sub>, ZnS, GaAs, 143 SiO<sub>2</sub>, and MgF<sub>2</sub>; and semiconductors, such as Si, Ge, and GaN. More recent designs use 144 novel combinations, e.g., Ag/Si [19] and Si/Si<sub>3</sub>N₄ [20], which substantially improve the 145 performance of these microcavities by ensuring the optimal photonic bandgap structure 146 and a superior refractive index contrast. 147

Fabry-Pérot microcavities offer a powerful research platform that enable precise 148 light-matter coupling, offering high-quality light confinement and strong resonance ef-149 fects. These properties make them effective for detecting refractive index changes due to 150 environmental factors, with applications in biology, chemistry, and environmental moni-151 toring, such as gas detection [21,22], food safety assessment and early cancer biomarker 152 detection, where timely intervention can significantly improve treatment outcomes [23]. 153 When the physical properties of FP microcavities are modified, they become exceptionally 154 sensitive tools for detecting variations in humidity, pressure, temperature [24], and ioniz-155 ing radiation [25]. 156

Obtaining SPR in FP microcavities further sharpens the resonance peaks (i.e., nar-157 rows the linewidths), which decreases the detection threshold. At the same time, LSPR 158 provides a high spatial resolution due to localized field enhancement facilitated by metal 159 nanoparticles. Combining these mechanisms in FP microcavities amplifies the resonance 160 sensitivity and tailors the optical responses, thus enhancing the sensing capability. Some 161 innovative designs use the metal/cavity/multilayer porous TiO<sub>2</sub> photonic crystal structure 162 to employ Tamm plasmon resonance for detecting traces of heavy metals [26]. Fabry-Pé-163 rot microcavities, which confine light between two mirrors to create resonant optical 164 modes, can support Tamm plasmon resonance if properly configured, which results in 165 hybrid Tamm plasmon-cavity modes. These configurations have the potential for higher 166 sensitivity [27] and detection accuracy [28]. Nanoparticles embedded in the layers further 167 enhance the detection capability by boosting refractive index contrasts [29,30]. 168

Polymers and inorganic materials are also employed in FP microcavity designs to 169 expand their functional capabilities. For example, simulation predicts an impressive sen-170 sitivity of gamma radiation sensors based on porous silicon doped with polymers, which 171 makes these configurations valuable for medical applications [25] (Figure 2 (a)). The sen-172 sor incorporates porous silicon layers with a high refractive index contrast, doped with a 173 polymer of polyvinyl alcohol, carbol fuchsin and crystal violet (Layers A and B in Fig.2 174 (a)). The cavity itself is formed from the same polymer composition (Layer D in Fig.2 (a)). 175 The results show a sensitivity of 0.265 nm/Gy to gamma radiation dose and a QF of 12,701. 176 Incorporation of QDs into FP microcavities has proven versatile, enabling applications 177 where single-photon sources [31] are required. In sensing, to further improve the sensitiv-178 ity of porous silicon microcavity biosensors, water-soluble CdSe/ZnS QDs have been pro-179 posed for amplifying the refractive index signal as a consequence of the high refractive 180 index of QDs [32]. This further highlights the potential of QDs for real-time, portable mon-181 itoring and low-detection-limit applications [33]. 182

2D materials, including graphene and WS<sub>2</sub> monolayers, have been explored in terms 183 of improving the optical properties of FP microcavities. The inclusion of graphene sheets 184 at the interface between cavity and mirror, as is shown in Figure 2 (b), enhances the absorption of the incident energy by increasing the interaction path lengths of electromagnetic waves within the left-handed material (LHM). LHM refers to a metamaterial with 187 negative permittivity and permeability, which plays a crucial role in a FP cavity as an 188 absorber [34].

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Figure 2. (a) Schematics of an optical microcavity used for gamma radiation detection. The micro-<br/>cavity is made of porous silicon layers with a high refractive index contrast, doped with a polyvinyl<br/>alcohol, carbol fuchsin and crystal violet (Layers A and B). The cavity itself is formed from the same<br/>polymer composition (Layer D). (b) Schematics of an optical microcavity made of metamaterial<br/>(LHM), SiO2 and graphene sheets at the interface between cavity and mirror.192

Similarly, some configurations of FP microcavities based on dielectric Bragg mirrors, 197 such as the MgF<sub>2</sub>, ZnSe, and graphene monolayer at the interface between cavity and mir-198 ror, exhibit exceptional sensitivity, signal-to-noise ratio, and resolution metrics for appli-199 cations in cancer cell detection. The sensors based on these microcavities have a sensitivity 200 as high as 290 nm/RIU, and a quality factor of 2270.74 [35]. WS<sub>2</sub> monolayers support plas-201 monic Fano resonance in FP cavities, further advancing their optical performance [36]. 202 These advancements meet the growing demand for miniaturized biosensors, particularly 203 those designed for point-of-care cancer detection. Innovative designs, such as graphene-204 embedded defect one-dimensional photonic crystals, have enabled real-time identifica-205 tion of various cancer cell types, including basal, cervical, and breast cancer cells [35]. In 206 addition, coupling FP microcavities with metamaterials, e.g., MXenes, at at the interface 207 between cavity and mirror and optimization of the cavity parameters ensure sharp, dis-208 tinguishable resonance peaks and enhanced sensor performance [37]. 209

Metal-organic frameworks have also been integrated into FP microcavities. In this 210 case, the sensing benefits from their high specific surface area and customizable function-211 alities [38]. These properties of metal-organic frameworks enhance the microcavity inter-212 actions with analytes, and the functional groups can target specific molecules. FP micro-213 cavities based on metal-organic frameworks are used, e.g., in colorimetric sensing, where 214 variations of the thickness and optical density of the FP film provide precise detection. 215 Another example is humidity sensing [39,40]. Thus, the use of metal-organic frameworks 216 further broaden the scope of applications of FP microcavities. 217

The versatility of FP microcavities in biosensing is augmented through functionalization of the sensing area with receptor molecules. When target biomolecules bind to these receptors, a measurable shift in the resonant response occurs, facilitating the detection of a wide range of biomarkers [41,42].

A promising novel sensor not requiring probe pre-modification is an optofluidic microbubble placed inside an FP microcavity. This design combines a high-quality factor (~10<sup>5</sup>), small mode volume (approximately one-sixth of the mode volume of the original 224 FP microcavity), and high robustness (i.e., good tolerance to non-parallelism (5°) and cavity length changes). These features enable an ultrahigh refractive index sensitivity (679 226 nm/RIU) and an ultrasmall refractive index resolution (~10<sup>-7</sup> RIU at 950 nm), representing 227 a significant improvement over the original FP microcavity, which had a refractive index 228 resolution of  $\sim 10^{-4}$  RIU. This configuration provides a low detection limit and a wide de-229 tection range for biomolecules, e.g., several femtograms per milliliter for IgG and less than 230 a picogram per milliliter for human serum albumin [2]. Another important example is a 231 hybrid optofluidic microcavity composed of a microsphere and FP microcavities, which 232 is characterized by exceptionally low effective mode volumes (0.3-5.1 µm<sup>3</sup>) and high-qual-233 ity factors (from 1×10<sup>4</sup> to 5×10<sup>4</sup>). This hybrid design leverages the advantages of both com-234 ponents: the microsphere confines light to small mode radius (0.26–0.9 µm) and mode 235 volume, provides beam focusing due to the lensing effect, and mitigates geometrical 236 losses by refracting or reflecting misaligned light [43]. In this configuration, the micro-237 sphere serves as both a waveguide and a lens, enhancing the overall performance of the 238 system. In addition, a convex microlens array structure inside the FP cavity has been pro-239 posed, which ensures a 5.6-fold increase in the quality factor than that of the original FP 240 cavity [44]. 241

Porous silicon microcavities, in particular, have gained much attention for their low 242 cost, easy fabrication, and fine control over the material's refractive index. Recent devel-243 opments include the use of aptamers for protein biomarker detection, enhanced by the 244 addition of 3D-printed microfluidic systems with micromixer architectures [45]. These in-245 novations have improved sensor performance, ensuring detection limits as low as 50 nM. 246 The integration of diverse materials and mechanisms in FP microcavities further extends 247 their research, technological, and medical applications, reinforcing the potential of FP mi-248 crocavities in advanced sensing and diagnostic technologies. 249

Furthermore, FP microcavities are integral to compact and stable spectrometer designs. SERS-active materials based on FP microcavities have proven to be two orders of magnitude more sensitive than conventional ones based on silicon substrates [46]. By addressing challenges related to achieving high spectral resolution and overcoming manufacturing constraints, spectral reconstruction algorithms have been developed. These innovations significantly enhance the resolution and functionality of spectrometers, making them suitable for various high-precision applications. 256

Recent advancements have also extended the capability of FP microcavity sensing to the degree required for terahertz frequency-domain spectroscopy [47], further broadening their application scope. The versatility of FP microcavities makes them all the more important as promising tools across a wide range of applications, driving innovation and discovery in science and technology. 261

### 4. Plasmonic microcavity-based sensors

Plasmonic materials, particularly gold and silver, are promising for sensing applica-263 tions due to their capacity for supporting SPR and LSPR, enabling enhanced optical sen-264 sitivity and precision. SPR occurs in thin metal films when the frequency of the evanescent 265 wave matches that of the surface plasmons, enabling broadband light confinement [48]. 266 In contrast, LSPR is induced by electron oscillations confined to metal nanoparticles and 267 is highly sensitive to the size, shape, and composition of the particles, as well as the re-268 fractive index of the surrounding medium. Nanoparticles, in particular, offer unique ad-269 vantages at the molecular level because their small size minimizes bulk effects typical of 270 films. This means that only the bound molecule contributes to the LSPR signal, which 271 makes it possible to detect single molecules [49,50]. This makes LSPR ideal for detecting 272 analytes in subwavelength-scale volume. Combination of the advantages offered by the 273 SPR and LSPR effects and the use of 2D materials in plasmonic cavity sensors has been 274 shown to improve a number of performance parameters, such as sensitivity, LOD, and 275 detection range [51,52]. This combination has been extensively studied in terms of appli-276 cations in gas sensing and biosensing, including cancer biomarker detection [53]. Plas-277 monic integrated systems are also used for chemical sensing, temperature monitoring [54], 278 humidity and pressure measurements [55], as well as food safety and environmental mon-279 itoring [56]. 280

These plasmonic materials have been integrated into different microcavity designs, 281 including plasmonic PCF sensors. PCFs based on plasmonic materials are optical fibers 282 with air channels forming ordered arrays that efficiently guide and confine light by re-283 flecting it internally. These microcavities, with enhanced light–matter interactions, consti-284 tute a highly sensitive alternative to conventional fibers. Geometric parameters, such as 285 the channel size, spacing, and the thickness of the plasmonic metal film, significantly affect the wavelength sensitivity. 287

The sensitivity of PCF SPR sensors is largely determined by the plasmonic material 288 used. Typically, these are silver, gold, aluminum, copper, bismuth, or palladium, with 289 gold standing out for its high chemical stability and a large change in resonant peak upon 290 interaction with the analyte [55]. Innovative designs of plasmonic PCFs, elaborated with 291 intense use of computational methods, ensure high spectral sensitivity and resolution 292 [57,58]. For example, D-type fiber optic sensors are easy to fabricate and allow for the 293 integration of gold films near the core to obtain strong evanescent waves that interact ef-294 fectively with the surrounding medium, which enhances the biosensor sensitivity [59]. 295

As noted above, SPR sensor performance can be enhanced by incorporating 2D ma-296 terials, such as graphene, which strongly interact with biomolecules to significantly in-297 crease sensitivity. These SPR sensors detect subtle variations in the refractive index. For 298 instance, a maximum amplitude sensitivity of 14,847.03 RIU-1 and an average wavelength 299 sensitivity of 2000 nm/RIU have been demonstrated for graphene-based SPR sensors [60]. 300 The addition of graphene oxide to gold nanoparticles improves biomolecule adsorption, 301 reducing the LOD to nanogram scale [61]. Graphene combined with other materials, e.g., 302 magnesium fluoride (MgF<sub>2</sub>), has been used to coat PCF SPR sensors. MgF<sub>2</sub> is an ideal ma-303 terial for coatings due to its ability to support a wide wavelength range from ultraviolet 304 to infrared and aids in the excitation of surface plasmon waves, enhancing sensor perfor-305 mance. By adding an MgF2 layer, the average wavelength sensitivity and amplitude sensi-306 tivity have been increased from 2500 nm/RIU-1 to 4000 nm/RIU-1 and from 12,155.2 RIU-1 307 to 16,905.15 RIU<sup>-1</sup>, respectively. This sensor has been proposed for detecting aqueous so-308 lutions [62]. Molybdenum disulfide (MoS<sub>2</sub>) nanosheets are another example of a 2D ma-309 terial enhancing SPR sensor sensitivity [63]. The MoS<sub>2</sub> nanosheets are anchored to the 310 gold layer surface and their function is to help the immobilization of monoclonal antibod-311 ies through hydrophobic interactions. This strategy was used for the quantitative analysis 312 of E. coli bacteria with a sensitivity of 3135 nm/RIU (Figure 3). 313



Figure 3. Schematic representation of the experimental setup of the developed fiber optic SPR im-315munosensor for the detection of *E. coli*. Reproduced with permission from Kaushik et al. (2019) [63],316published by Elsevier, Amsterdam, The Netherlands.317

Another approach to enhancing the performance of plasmonic sensors is to protect 318 the plasmon layer from oxidation. For example, coating of the silver layer with phosphorene has been reported to increase the wavelength sensitivity to 1800 nm/RIU within 320 a refractive index range of 1.39-1.45 and the maximum amplitude sensitivity to 268 RIU-<sup>-1</sup>, with an analyte refractive index of 1.40 [64]. Other materials, such as titanium dioxide 322 (TiO<sub>2</sub>), are also employed to protect silver films from oxidation and enhance the SPR effect 323 [65]. 324

The combination of various prospective materials discussed above in a single sensor 325 architecture leads to additional improvements of plasmonic sensors. For instance, com-326 bining gold as the primary plasmonic layer with graphene and Ti<sub>3</sub>C<sub>2</sub>Tx-MXene increases 327 the sensitivity and stability, facilitating early detection of cancer biomarkers at small con-328 centrations [66]. Carbon nanotubes and graphene oxide amplify signals, improve sensi-329 tivity, and provide high chemical stability in fiber-optic-based biosensors, with carbon 330 nanotubes promoting molecularly imprinted polymer formation and graphene oxide en-331 hancing the interaction between the SPR and the molecules [67,68]. QDs are incorporated 332 into functionalized sensing systems to improve the detection of antibiotics, biomarkers, 333 and metal ions [15]. For example, CsPbBr<sub>3</sub> QDs enhance the energy transfer from the core 334 mode of PCFs to the SPR mode of the metal, boosting sensing performance while preserv-335 ing the narrow full width at half maximum [69]. 336

A recent breakthrough is an immunosensor based on a fiber-optic Fabry-Pérot inter-337 ferometer for single-molecule detection that combines AuNP-embedded graphene oxide 338 with a D-shaped optic fiber to address the challenges of the low spectral contrast and lim-339 ited area of contact between light and material. The sensitivity and selectivity of the sensor 340 are improved due to the localized confinement of the electromagnetic field of gold nano-341 particles, ensuring an ultrahigh refractive index sensitivity of 583,000 nm/RIU and an LOD 342 of 17.1 ag/mL in the case of progastrin-releasing peptide detection [70]. Similarly, combin-343 ing ZnO nanowires and WS<sub>2</sub> nanosheets provides a wide surface area for functionaliza-344 tion and antibody/nanoparticle immobilization in plasmon wave-based fiber sensors, 345 providing a sensitivity of detection of 1.32 ng/mL and an LOD of 84 pg/mL for an alpha-346 fetoprotein solution at concentrations below 1000 ng/mL [71,72]. GO-enhanced sensors 347 have been found to be 2.5 times more sensitive than gold-coated fiber optic sensors [73]. 348

Despite these advances, challenges remain in scaling up the fabrication of PCF sensors for commercial use due to the high production costs and complexity. Researchers are continually exploring ways to simplify the sensor design in order to facilitate miniaturization and increase cost-effectiveness while ensuring a high sensitivity for leveraging the potential of plasmonic sensors in medical diagnosis, environmental monitoring, and other fields. Recent trends in these developments include the use of microstructured fibers, optimization of the sensor geometry and fabrication of hybrid systems. 359

Combining microcavity and plasmonic effects has been demonstrated to ensure ul-356 trasensitive detection. For example, a hybrid microcavity-based SERS sensor provides de-357 tection limits of 3.16 pg/mL for cardiac troponin I and 4.27 pg/mL for creatine kinase MB, 358 outperforming the traditional fluorescence and ELISA techniques [74]. Recent SERS de-359 velopments in microfluidic systems have enabled femtogram-level detection for the bi-360 omarker of Alzheimer's disease and Epstein-Barr virus [75,76]. SERS enables ultrasensi-361 tive detection of analytes down to single molecules, using a metal-dielectric nanocavity 362 engineered from the SARS-CoV-2 RBD protein and silver. By enhancing the quality factor 363 of the cavity with a silver shell, a sub-femtogram sensitivity for viral antigen detection is 364 achieved without the use of Raman reporter molecules. This label-free method allows 365 high-performance optical detection and conformational analysis of viral proteins at phys-366 iologically relevant levels [77]. 367

Surface-enhanced Raman scattering analysis is a powerful analytical technique offering remarkable sensitivity that allows single-molecule detection. However, it has substantial limitations. One key challenge is the need for reproducibility of the SERS signals, because the technique relies on the quality and uniformity of nanostructured substrates, 371 which can vary during fabrication. Commonly used substrates, such as silver, are prone 372 to oxidation and degradation, which reduce the performance over time. SERS also strug-373 gles with complex sample matrices, where nonspecific adsorption, interfering signals, or 374 matrix effects may hinder accurate detection. Additionally, some types of molecules with 375 weak Raman activity or without strong affinity to SERS-active surfaces (e.g., nonpolar/hy-376 drophobic molecules), are difficult to detect. The performance also depends on environ-377 mental factors, such as pH, temperature, and ionic strength. The high cost of SERS analysis 378 and the complexity of substrate preparation also limit its scalability for routine applica-379 tions. 380

The ongoing development of novel designs increases the versatility of plasmonic microcavity-based sensors, enabling capabilities such as single-molecule detection. However, further research is needed to address manufacturing challenges and improve scalability. 381

## 5. Whispering-Gallery Mode Microcavities

Whispering-gallery mode microcavities, such as microdisks, microtoroids, and mi-386 crospheres, are distinguished by their high-quality factors, high sensitivity, and long pho-387 ton confinement times. These properties make them ideal for advanced light-matter in-388 teraction studies and a wide range of sensing applications, such as the detection of bio-389 molecules and chemical substances (including gases) and temperature, pressure, humid-390 ity, and strain sensing. These innovations demonstrate the potential of WGM microcavi-391 ties in revolutionizing precision sensing across various research and industrial applica-392 tions. 393

When combined with plasmonic nanoparticles or thin metal layers, WGM resonators 394 significantly enhance light-matter coupling. This configuration has proven useful in de-395 tecting ultrasmall virus particles, such as the MS2 RNA virus, even at very low concentra-396 tions [78]. Plasmonic-enhanced WGM systems are particularly valuable in cavity quan-397 tum electrodynamics, single-photon sources, and sensing because they enable signal en-398 hancement beyond the detection limits of conventional biosensors. The combination of 399 whispering-gallery modes and nanoplasmonics can ensure an almost two times more in-400 tense Raman spectroscopy signal for sensitive molecular fingerprinting compared with 401 the traditional purely plasmonic approach [79] (Figure 4). Moreover, nanoparticles depos-402 ited on the surface of a WGM microcavity can enhance Rayleigh scattering, facilitating 403 effective WGM coupling [80]. 404



Figure 4. Schematic of the combination of whispering-gallery modes and nanoplasmonics for enhancing Raman spectroscopy. Reproduced from Mao et al. (2023) [79], published by Springer Nature under a Creative Commons Attribution 4.0 International License <a href="http://creativecommons.org/licenses/by/4.0/">http://creativecommons.org/licenses/by/4.0/</a>.406407408408409409409

Originally fabricated from silica, WGM microcavities have also been made of polymers in recent years. Polymers offer significant advantages, such as low cost, adaptability, 411

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and compatibility with diverse fabrication techniques [81]. Their inherent elasticity makes 412 them suitable for strain or deformation sensing, and their tunable refractive indices enable 413 the integration of bioactive molecules, including antibodies, enzymes, and DNAs. Such 414 functionalized WGM sensors detect changes in refractive index upon target molecule 415 binding, producing strong optical signals. For example, microring resonators fabricated 416 by femtosecond laser two-photon photopolymerization (TPP) have a temperature sensi-417 tivity of 9.51 × 10<sup>-2</sup> nm/°C and a refractive index sensitivity of 12.50 nm/RIU, which shows 418 their potential for precise sensing applications [82]. However, photopolymerization faces 419 some challenges, such as the need for specific light-sensitive monomers and restricted 420 light penetration depths, which may limit its applicability in some sensor designs. Opti-421 mizing the designs, such as incorporating tapered waveguides into polymer ring resona-422 tors or increasing the undercut size in WGM microdisks, enhances the sensitivity and de-423 creases the detection threshold. The use of biodegradable materials for healthcare appli-424 cations, particularly in transient sensing devices for personalized diagnosis, represents a 425 growing area of research. The use of polymers further advances the optical sensor tech-426 nology, with ongoing efforts to address challenges related to stability and performance 427 under varying environmental conditions. Innovations in fabrication methods, such as 428 drop-on-demand inkjet printing for optical gas sensors employing polymer WGM micro-429 cavities, have enabled the integration of multiple sensing materials on a single microchip. 430 These systems have quality factors exceeding 10<sup>6</sup> [83]. 431

The incorporation of 2D materials represents another feasible way to improve the 432 performance of WGM-based optical devices and promote the development of novel pho-433 tonic and optoelectronic devices employing enhanced light-matter interaction [84]. Func-434 tionalizing over-modal microcavities with single-layer graphene has been used to engi-435 neer microcomb sensors with high chemical selectivity and sensitivity [85]. Similarly, 436 MXene nanosheets, such as Nb<sub>2</sub>C ones, have been integrated into WGM microcavities to 437 enhance electrochemiluminescence (ECL), enabling sensitive detection of miRNA in tri-438 ple-negative breast cancer. Here Nb<sub>2</sub>C nanosheets are MXene-derived luminescent mate-439 rials and  $SiO_2$  optical microspheres are assembled on the electrode surface to form a whis-440 pering gallery mode (WGM) optical microcavity, which localized photons and amplified 441 the ECL signal [86]. In addition, the possibility of multimode sensing using WGM micro-442 cavities has been demonstrated, which further enhances the resolution and dynamic range 443 of sensing [87]. 444

These advances, coupled with continuous innovations in materials, optimized geometries and fabrication techniques, further expand their applicability and improve their performance, making them indispensable tools in next-generation sensing systems. 447

## 6. The advantages and drawbacks of different microcavity designs for sensing applications

Fabry-Pérot microcavities have a larger functional surface area compared to other 450 optical microcavity designs, enabling enhanced sensor performance upon functionaliza-451 tion with specific receptors or nanomaterials. However, whereas they have a higher qual-452 ity factor than plasmonic cavities, their light confinement volume is significantly larger 453 than that of nanoparticle-based microcavities, precluding the detection of single mole-454 cules. This limitation could be overcome by making the reflective surfaces convex or con-455 cave or by incorporating an array of convex microlenses into the FP microcavity [44]. Ad-456 ditionally, spectral tuning using (electro)mechanical positioners has been explored as a 457 method for precisely adjusting the spacing between the mirrors [31,88]. Some materials, 458 such as porous silicon, offer tunability to meet various detection requirements and are 459 cost-effective and simple to manufacture. However, their scalability remains a problem 460 because they are sensitive to the manufacturing conditions, such as resistivity of silicon 461 wafers, temperature, etching solutions. Further research is needed to optimize microcav-462 ity designs, refine the adjustment of the parameters, and enhance long-term stability. 463

In contrast, SPR-based microcavities have small mode volumes, enabling single-mol-464 ecule detection. Although their quality factors are often low because of high losses in the 465 metal, combination with 2D nanomaterials increases their capacity for functionalization 466 and biocompatibility. These advantages are particularly obvious in the case of PCFs, 467 where electric field confinement is further optimized. In particular, D-shaped fibers are 468 easy to fabricate and functionalize while retaining a high sensitivity. Novel designs of 469 these systems offer improved adaptability for a variety of detection applications. Never-470theless, challenges persist in scaling up their production because precise and controlled 471 manufacturing of each component is required. 472

Regarding WGM microcavities, despite their exceptional sensitivity and resolution, 473 several limitations hinder their broader application. Tracking specific modes confines 474 sensing performance to a single data channel, complicating multimodal data collection. 475 The limited dynamic range of WGM sensors poses an issue because mode shifts beyond 476 the laser scanning range disrupt measurements; on the other hand, extending the range 477 often sacrifices resolution. Furthermore, noise interference reduces the accuracy of detect-478 ing subtle changes, whereas the necessity of reliably tracking the same mode interferes 479 with reproducibility and standardization. Addressing these issues is critical for expand-480 ing the applicability of WGM sensors [87]. 481

In conclusion, while Fabry-Pérot, SPR-based, and WGM microcavities each offer 482 unique advantages in sensor performance, they also face challenges that limit their 483 broader application. Fabry-Pérot microcavities have large functional surfaces but struggle 484 with single-molecule detection due to their larger light confinement volume. SPR-based 485 microcavities excel at single-molecule detection but face issues with low quality factors 486 and scalability. Particularly, SERS techniques have been optimized for detection of ul-487 tralow concentrations, even in complex samples, through the electromagnetic field con-488 finement. WGM microcavities provide high sensitivity and resolution, yet are limited by 489 mode tracking, dynamic range, and noise interference. Continued research is needed to 490 address these limitations and improve the scalability, sensitivity, and reliability of these 491 technologies for more diverse applications. 492

#### 7. Prospects

Functionalized microcavities have proven remarkably versatile in biosensing, envi-494 ronmental monitoring, chemical and physical sensing, and other applications. The use of 495 novel materials and approaches, including artificial intelligence [89], has significantly en-496 hanced sensor performance, increasing sensitivity, selectivity, and the capability for de-497 tecting low analyte concentrations in complex environments. This is particularly critical 498 for cancer diagnosis [35]. The combination of different microcavity configurations and 499 techniques, such as SERS-based sensing integrated with Fabry-Pérot, plasmonic micro-500 cavity sensing or WGM, within a single sensor helps overcoming many limitations, lead-501 ing to synergistic enhancement of performance. 502

Recent advances in fabrication methods and technologies allow better control over 503 the sizes and geometric parameters, which are crucial for sensor designs where perfor-504 mance is highly sensitive to dimensional accuracy. Despite these improvements, even 505 more precise methods are required to achieve consistent performance. Miniaturization 506 and combination with microfluidics are essential for adapting sensors to real-world envi-507 ronments, enabling lab-on-chip configurations suitable for commercial applications. 508 However, practical implementation remains a challenge requiring new solutions for cost 509 reduction, scalability, and user-friendliness. 510

Whispering-gallery mode sensors have already been demonstrated to have high po-511tential in biological detection. However, their extreme sensitivity to environmental factors512necessitates strategies for noise reduction, such as advanced data processing algorithms.513Simplifications of design have resulted in fibreless systems [90], with polymers emerging514as viable materials for cost-effective manufacturing. Miniaturized spectrometers are being515developed to further enhance the portability and applicability of the sensors. In the case516

of FP cavities, optimizing fabrication processes to improve the quality factor and reproducibility remains an essential goal. Similarly, PCFs require more precise manufacturing to meet the optical requirements necessary for homogeneity and good performance in assembling nanomaterials. 520

Artificial intelligence plays a transformative role in improving sensor performance, 521 enabling the processing of complex signals and recognition of the patterns that would 522 otherwise be undetectable. By combining advantages of different configurations, e.g., bal-523 ancing the optimal confinement volumes and quality factors, researchers are pushing the 524 boundaries of multiplexed and multimodal detection, providing a wider range of analyte 525 detection capabilities in the same device. For example, the integration of machine learning 526 has been used for predicting the resonant peak wavelength of more complex systems such 527 as 2D photonic crystal biosensor employed for cancer diagnosis. The machine learning 528 models includes repeating training, testing, and optimization resonant wavelength with 529 dependent and independent features of a 2D PC biosensor system [91]. In addition, ma-530 chine learning has been used to predict reflectance results, which can be employed to op-531 timize designs and reduce the simulation time [92]. The use of neural network also con-532 tributes to enhance the accuracy and efficiency of sensor, potentially revolutionizing hy-533 brid optical-digital systems [93,94]. Hybrid sensor technologies integrating microfluidics 534 [5], artificial intelligence, and the Internet of things pave the way for next-generation lab-535 on-chip devices, tattoo-based sensors [95], and other groundbreaking innovations. 536

Spectral resolution is another key characteristic in sensing performance. Sensitivity 537 alone does not ensure good performance if the system cannot detect small spectral shifts 538 due to noise. Recent developments in multimode optical microcavity sensors have ena-539 bled multiplexed detection by using several resonant modes simultaneously, effectively 540 acting as multiple sensors within a single device [96]. Here, machine learning-based mul-541 timode optical microcavity sensors employ algorithms to analyze the multimode shift 542 data of the sensors, enhancing the system performance by enabling efficient data fusion 543 and pattern recognition. This approach reduces human error and accelerates analysis. 544

Efforts towards miniaturizing sensor devices, such as computational spectrometers 545 with spectral encoding and reconstruction algorithms [97], will enhance their portability 546 and accessibility. Additionally, the development of nontoxic, biodegradable materials will 547 support sustainability and broader adoption across diverse applications. Future research 548 should also focus on multichannel detection, hybrid sensors, and balance between performance parameters without sacrificing accuracy, with robust, scalable, and user-friendly 550 sensor systems as the ultimate goal. 551

#### 8. Summary and Outlook

Nanomaterials are transforming current sensing technologies by significantly en-553 hancing the performance of sensors, making use of the unique properties of these materi-554 als, such as a large surface area accessible for functionalization, efficient electric field con-555 finement, and strong interactions with analytes. Optical microcavity sensors integrated 556 with nanomaterials offer exceptional sensitivity, resolution, and selectivity, enabling the 557 detection of analytes at very low concentrations. Nanomaterials, including nanorods, nan-558 owires, and nanocomposites, play crucial roles in improving sensor performance. Nano-559 rods and nanowires enhance biocompatibility and provide a larger surface area for anti-560 body functionalization, whereas nanocomposites facilitate the LSPR effect, further boost-561 ing the sensing capability. To increase specificity, optical fibers coated with engineered 562 nanomaterials can be functionalized with antibodies against the target analytes or mark-563 ers. The integration of machine learning algorithms allows more accurate data interpreta-564 tion, making the devices more versatile and efficient by overcoming the limitations of tra-565 ditional methods. Combining nanophotonic structures with microfluidics offers compact, 566 effective solutions for bioanalytical applications, enhancing both performance and usabil-567 ity. Nanocrystals, such as QDs, are driving advancements in designing highly sensitive, 568 flexible devices. Future trends in the development of these technologies are expected to 569

focus on improving performance and scalability and facilitating their integration into mul-<br/>tifunctional systems. Although functionalized optical microcavities have already demon-<br/>strated remarkable sensitivity, further efforts are called for to achieve miniaturization,<br/>sufficient cost-effectiveness, and applicability to real-time monitoring, and to ultimately<br/>expand their practical use in various fields.570572573

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