

Let's focus on the insula in addiction: A refined anatomical exploration of insula in severe alcohol and cocaine use disorders

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1	Let's focus on the insula in addiction: A refined anatomical
2	exploration of insula in severe alcohol and cocaine use disorders
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- 26 Running title: Insula parcellation in sCUD and sAUD
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39 Abstract

Background: Theoretical and empirical contributions have identified insula as key in addiction. However, anatomical modifications of the insula in addictive states, and their variations across substance use disorders (SUD), remain to be specifically explored. We therefore explored the specificities and commonalities of insula grey matter (GM) 44 alterations in severe alcohol use disorder (sAUD) and severe cocaine use disorders45 (sCUD).

Methods: We explored insula GM volume through a refined parcellation in twelve subregions (six bilateral): anterior inferior cortex (AIC), anterior short gyrus (ASG), middle short gyrus (MSG), posterior short gyrus (PSG), anterior long gyrus (ALG) and posterior long gyrus (PLG). Using a linear mixed model analysis, we explored the insula volume profiles of 50 patients with sAUD, 61 patients with sCUD and 36 healthy controls (HC).

Results: In both sAUD and sCUD, we showed overall insular lower volume with a rightsided lateralization effect, and a major volume deficit in bilateral ALG. Moreover, differences emerged across groups, with higher left AIC and PLG volume deficits in sCUD compared to sAUD and HC.

55 Conclusions: We offered the first joint exploration of GM insular volumes in two SUD 56 through refined parcellation, thus unveiling the similarities and dissimilarities in volume 57 deficit profiles. Our results bring evidence complementing prior ones suggesting the 58 core role of the right and posterior insula in craving and interoception, two crucial 59 processes in addiction. Left AIC and PLG group differences also show that, while insula is a region of interest in SUD, sCUD and sAUD generate distinct insular profiles, which
 might parallel clinical differences across SUD.

62 Keywords: Parcellation, MRI, Brain volume, Addiction

63 Introduction

The insula is an ultra-connected cortical region [1] involved in wide array of processes, including sensory (e.g., olfaction [2]), affective [3] and cognitive (e.g., cognitive control [4]) domains. The insula also has a key functional role in interoception, the ability to build a representation of the internal self of the body, encompassing sensing, interpretation, integration and regulation of internal signals [5].

Interoceptive signals, traditionally defined as emanating from beneath the skin, are 69 brought to insula through the lamina I spinothalamocortical pathway (also called 70 interoceptive pathway) [6-8]. In the insula, those signals follow a posterior-to-mid-to-71 anterior processing, generating a progressive refinement of interoceptive representation, 72 notably through associations between insula and concomitant brain regions [6,8-9]. In 73 posterior insula, bodily information is processed, providing an objective 74 the representation of visceral bodily states, notably through connections with parietal 75

regions [10], such as the somatosensory cortex [11]. Then, in the rostral insula, a *subjective* meta-representation of interoceptive information is hypothesized [7], capitalizing on connections with the frontal cortex [10,12].

Such involvement in interoception through connections with somatosensory and frontal 79 areas had led theoretical models to postulate that insula constitutes a pivotal region in 80 addictive disorders, notably for the emergence of a key process in these disorders, 81 namely craving. Insula would integrate visceral interoceptive information related to 82 bodily effects of the substance consumed and refine such message with higher level 83 processes such as emotion and motivation [13]. In addition, through its bidirectional 84 connection to the amygdala-striatal neural system and prefrontal cortex [14], the insula 85 would enable an association between bodily effects of a substance and its pleasurable 86 effects, while disabling cognitive control [15-16]. Behavioral consequences of such 87 neural processing would therefore be craving, the irrepressible urge to further seek the 88 substance and its consumption, contributing to the onset and/or maintenance of 89 substance use disorder (SUD) [16-17]. 90

91 Such theories of insula as key craving neural substrate received initial empirical support through a seminal study reporting insular lesions to result in addictive 92 behaviors cessation, due to a sudden craving hiatus [18]. Moreover, insular lower volume 93 is found across substances-being, thus, conceptualized as being part of a common 94 addiction neural substrate [19]. However, while these empirical reports provide 95 invaluable information regarding insular role in addiction, they remain guite imprecise as 96 they relied on an overall exploration of insula. As previously mentioned, insula is not an 97 anatomically and structurally unified region, as it is rather composed of multiple 98 subregions with different functions [20-21]. Preclinical studies have supported such 99 argument by showing that rostral and posterior insula have differentiated involvement 100 levels in rats' drug-seeking behaviors (i.e., inactivation of anterior insula leads to 101 decrease in cocaine-seeking behavior, while posterior insula inactivation has no effect 102 [22]). Recent human studies further underlined the need for refined exploration of 103 insular subregions: in alcohol use disorder, insula has a differential volume deficit profile, 104 with both lateralization (i.e., right-sided [23]) and subregions (i.e., lower volume in 105 posterior insula [24]) specificities. In cocaine use disorder, similar right-sided volume 106

107 deficit lateralization effect has been observed [25] but there are no refined explorations 108 of insula subregions.

Despite these preliminary results, two major methodological limitations are 109 impeding results generalization across addiction field and, therefore, the understanding 110 of insula contributions in addiction onset and maintenance. First, heterogenous 111 parcellation techniques followed in insular studies lead to discrepancies in the 112 localization and numbers of insular subregions [26]. While, in rodent studies, an 113 anatomical parcellation of the insula is followed, in the human field insular parcellation 114 relies on functional (activation results), hindering comparisons between human and 115 animal fields but, also, within human field [26]. There are currently studies reporting 116 parcellation ranging from 2 to 13 anatomical or functional insula subregions [20], 117 118 hindering studies results comparison. Second, the lack of direct and refined comparison of insular subregions specificities across different substances. The few studies exploring 119 grey matter (GM) volume across substances rely almost exclusively on voxel-based 120 morphometry (VBM) technique [27], following whole-brain approaches rather than 121 targeting specific regions of interests, generating results at the overall insular level. 122 Therefore, these studies did not explore specifically similarities and differences in GM 123

abnormalities in different SUD at the insular subregions level. Noteworthy, the few VBM studies exploring brain morphology across SUD report notably shared volume deficit of the insula [27-28]–thus, again, providing first insights on insula role across addictions, while lacking in precise and refined exploration of the insula subregions profile of volume deficit for given substances.

We aimed at overcoming these limitations in addiction, by exploring GM insula subregions volume in two SUD: patients presenting a severe alcohol use disorder (sAUD) or a severe crack/cocaine use disorder (sCUD). We applied refined parcellation technique that allowed for insula bilateral parcellation in six subregions, thus ending up with a 6*2 insular parcellation [29]. Such atlas-based parcellation combines macro-anatomical and probabilistic technics, therefore enabling future studies comparisons, as probabilistic atlas allows for variability in shapes and volumes across subjects [29-30].

We hypothesized a main group effect, with patient groups showing significant lower volume compared to healthy control (HC). Given the lack of prior direct comparison of insula GM volume using refined parcellation between SUD, we had no specific hypothesis regarding the shared and dissimilar GM insula subregions profiles between sAUD and sCUD. Noteworthy, having shown in a prior study [24] that sAUD had a right-sided and antero-posterior gradient of GM volume deficit compared to HC, we wanted to go further by exploring the commonalities and differences in the pattern of abnormalities of insula in addiction, by adding a sCUD group to our priorly published data.

145

146 Methods

147 *Participants*

We recruited 61 patients (12 females) with a DSM-5 diagnosis of sCUD (DSM-5 criteria, 148 American Psychiatric Association, 2013). The current study comprised also 50 patients (6 149 females) with a diagnosis of sAUD (DSM-5 criteria, American Psychiatric Association, 150 2013), and 36 HC (6 females), priorly described in [24]. sCUD and sAUD were early 151 abstainers recruited by clinicians from inpatient treatment units (sCUD: Fernand Widal 152 Hospital and Garches Castle Clinic, France; sAUD: Caen University Hospital, France). 153 Patients underwent a first assessment during which clinicians assessed SUD. When 154 included in the study, sAUD showed no more physical symptoms of alcohol withdrawal-155

156 as assessed by Cushman's scale [31]. HC, group-matched with sAUD and sCUD for education level and gender, were recruited in Caen. HC reported a low pattern of 157 alcohol consumption (score <6 for female and <7 for male at the AUDIT [32-33]), no 158 symptoms of severe depression (score <29 at the Beck Depression Inventory-II; BDI [34]) 159 and did not present signs of global cognitive alteration (score >126 at the Mattis 160 Dementia Rating Scale; MDRS [35]). All participants spoke French fluently and presented 161 no major medical/neurological disorders. HC and sAUD presented no polysubstance 162 abuse except for nicotine (assessed by the DSM-5 criteria, American Psychiatric 163 Association, 2013). sCUD were all primarily treated for a CUD. However, due to 164 characteristics inherent to this population, 62% of sCUD presented problematic alcohol 165 use, 21% problematic opioid use, 18% problematic THC use and 18% problematic 166 167 benzodiazepine use. Table 1 reports socio-demographic, clinical, neuropsychological and 168 substances-related variables.

The study protocol was approved by ethical boards (sCUD: Comité de Protection des Personnes Ile de France IV 15/01/2015 and Agence Nationale de Sécurité du Médicament 10/10/2014, no. IDRCB: 2014-A01169-38; sAUD and HC: Caen University Hospital ethical bord, CPP Nord Ouest III, no. IDRCB: 2011-A00495-36) and complied with the Declaration of Helsinki's ethical standards. All participants provided informedwritten consent prior to the study participation.

175

Table 1

176 *Procedure*

177 Volumetric data acquisition

For sCUD, high-resolution T1-weighted anatomical image were acquired with a Siemens 178 Magnetom 3T scanner (CENIR Imaging Center in Paris), using a 3D fast-field echo 179 sequence (176 sagittal slices, thickness = 1 mm, repetition time = 2300 ms, echo time = 180 2.9 ms, flip angle = 9° , field of view, 256x256 mm2, matrix, 256x256). 181 For sAUD and HC, high-resolution T1-weighted anatomical image were acquired on a 182 Philips Achieva 3T scanner (Philips Healthcare/Philips Medical Systems International B.V., 183 Eindhoven, The Netherlands) using a 3D fast-field echo sequence (sagittal, repetition 184 time = 20ms; echo time = 4,6ms; flip angle = 10°, 180 slices, slice thickness = 1mm, 185 field of view = 256x256 mm2, matrix = 256x256). 186

187 Volumetric data pre-processing

188 We processed volumetric magnetic resonance imaging (MRI) data using Statistical Parametric Mapping software (SPM12; Welcome Department of Cognitive Neurology, 189 Institute of Neurology, London, UK). We segmented MRI data into GM and normalized 190 them spatially to Montreal Neurological Institute (MNI) template (voxel size = 1.5mm³, 191 matrix = 121x145x121). We modulated these normalized GM images by Jacobian 192 determinants to correct brain volumes for brain size. We obtained a GM mask by taking 193 unmodulated HC's GM images in MNI space, averaging them, and thresholding resultant 194 mean image at 0.5. We applied resulting GM mask to modulated GM maps. 195 While images were obtained from two different scanners, they had the same tissue 196 properties (T1-weighted), acquired and reconstructed at the same resolutions (1mm³ 197 isotropic). They were also optimally processed to produce the best tissue maps with 198 199 corresponding resolutions [36]. Moreover, scanner differences are known to be minimal

and statistically insignificant when compared to group differences [37].

201 Volumetric Regions of Interest (ROI) extraction

202 We extracted each individual insular volumes using Faillenot's GM brain atlas [29], which 203 provides six bilateral insular GM regions of interest (ROI): six left (n° 20, 86, 88, 90, 92 and 94) and six right (n° 21, 87, 89, 91, 93 and 95). The six subregions are, from most rostral to posterior insula: anterior inferior cortex (AIC), anterior short gyrus (ASG), middle short gyrus (MSG), posterior short gyrus (PSG), anterior long gyrus (ALG), and posterior long gyrus (PLG).

208 Statistical analyses

209 We performed all statistical analyses on Jamovi 2.2.5 [38-39] using a significance level of 210 alpha 0.05 (bilateral).

211 To explore group differences in insular GM ROI volumes, we normalized each of the ROI measure by individual total intracranial volume (TIV) to correct for head-size differences. 212 We then used the resulting ROI by TIV computed score to calculate z-scores, using HC's 213 mean and standard deviation. We applied a linear mixed model analysis to the resulting 214 values, where dependent variable was the computed z-scores of GM volume, factors 215 were lateralization, subregions and group, covariates were age and gender and random 216 factor was participants. Significant main effects were followed by Bonferroni post-hoc 217 tests. 218

219 Moreover, due to the high prevalence of problematic alcohol use in sCUD patients, we conducted an exploratory analysis to examine whether sCUD patients with a 220 concomitant problematic alcohol use had GM volumes that differed significantly from 221 sCUD with no concomitant problematic alcohol use. We therefore conducted within 222 sCUD group linear mixed model analysis, where the computed z-scores of GM volume 223 was the dependent variable, factors were lateralization, subregions and group (i.e., sCUD 224 with problematic alcohol use Vs. no problematic alcohol use), covariates were age and 225 gender and random factor was participants. 226

227

228 Results

In the subsequent results section, we only report group-related interaction effects and p-values of significant post-hoc tests, for clarity's sake (Supplementary Table 1 for all effects).

232 Main effects

233 Mixed-model conducted on insula subregions z-scores revealed that all main effects 234 were significant: we found main effects of group (F(2, 144) = 39.37, p<0.001), 235 lateralization (F(1, 1584) = 52.14, p<0.001) and subregion (F(5, 1584) = 43.95, p<0.001). Subsequent post-hoc comparisons (both p<0.001) showed lower insula volume in sAUD 236 and sCUD compared to HC, while the two patient groups had, overall, similar insular 237 volume. Post-hoc comparisons on main lateralization effect revealed that right insula 238 had lower volume than left insula (p < 0.001). Following subregions post-hoc 239 comparisons, we found ALG to have significantly lower GM volume than all other five 240 subregions (from anterior to posterior insula, all p-values <0.001): AIC, ASG, MSG, PSG 241 and PLG. Moreover, PSG showed lower GM volume than AIC (p<0.001), ASG (p<0.001) 242 and MSG (p=0.002). PLG had lower volume than AIC (p=0.02) and ASG (p=0.003). 243

244 Group by lateralization interaction

We found significant lateralization by group interaction effect (F(2, 1584) = 19.83, p<0.001), with right-sided volume deficit for sAUD (p<0.001) and sCUD (p=0.002), which was not found in HC. Moreover, sAUD and sCUD had lower right insula volume than HC and the left insula of sAUD and sCUD had lower volume than the left insula of HC (all p-values <0.001). However, for both right and left insula, post-hoc comparisons showed that sAUD and sCUD had similar GM volume.

251 Group by subregion interaction

Group by subregion interaction effect was significant (F(10, 1584) = 14.06, p < 0.001). 252 Subsequent post-hoc comparisons revealed that all of the six insular subregions of the 253 patient groups had lower volumes than the ones of the HC (from rostral to posterior 254 insula): AIC (sAUD: p=0.007; sCUD: p<0.001), ASG (sAUD & sCUD with both p<0.001), 255 MSG (both p < 0.001), PSG (both p < 0.001), ALG (both p < 0.001) and PLG (both p < 0.001). 256 Volume differences between sAUD and sCUD were not significant. 257 Post-hoc comparisons showed that the ALG of sCUD and sAUD had lower GM volume 258 than all other five subregions (ant-to-post): AIC (sAUD & sCUD with both p<0.001), ASG 259 (both *p*<0.001), MSG (both *p*<0.001), PSG (both *p*<0.001) and PLG (both *p*<0.001). 260 Moreover, the AIC of sCUD showed lower GM volume than their ASG (p=0.002), the PSG 261 was more atrophied than their ASG and MSG, and their PLG had lower volume than 262 their ASG and MSG (all p-values <0.001). For sAUD patients, post-hoc comparisons 263 showed that MSG (p=0.04) and PSG (p<0.001) were more atrophied than AIC. 264

265 Group by lateralization and subregion triple interaction (Figure 1)

Subregion GM volume varied significantly depending on group and lateralization (F(10, 1584) = 4.43, p<0.001). More specifically, we found for all six right subregions that patient groups had lower volumes than HC's (ant-to-post insula): AIC (sAUD: p=0.03; sCUD: p<0.001), ASG (sAUD & sCUD with both p<0.001), MSG (both p<0.001), PSG (both p<0.001), ALG (both p<0.001) and PLG (both p<0.001). sAUD and sCUD patients had similar subregions GM volume.

However, for the left insula, the most rostral (AIC) and posterior (PLG) subregion of the 272 insula of sCUD had lower volume than the ones of both sAUD (AIC: p=0.006; PLG: 273 p<0.001) and HC (AIC: p<0.001; PLG: p<0.001), while sAUD and HC had similar AIC and 274 PLG volume. For the four other insular subregions, post-hoc comparisons showed 275 significant lower insula volumes for the two patient groups compared to HC, but no 276 277 difference between sAUD and sCUD (ant-to-post): ASG (sAUD: p=0.015; sCUD: p<0.001), 278 MSG (sAUD: p=0.002; sCUD: p=0.026), PSG (sAUD & sCUD with both p<0.001) and ALG (both *p*<0.001). 279

280

Figure 1

281 Exploratory sCUD (problematic alcohol use Vs. not) analysis (Supplementary Figure 1)

This linear mixed model analysis found that sCUD patients who had problematic alcohol use (n=38) did not show a different pattern of GM abnormalities of the insula than sCUD with no problematic alcohol use (n=23, F(1, 59) = 0.71, p=0.40).

285

286 Conclusions

This study provides a novel and refined exploration of insula GM profile in two 287 disentangling substances disorders, thus general insular 288 use macrostructural abnormalities across substances from substance-specific volume deficit profiles. More 289 specifically, our results have four main implications, respectively related to overall shared 290 GM insula lower volume, volume deficits lateralization effect, similarities and specificities 291 of the subregions GM abnormalities across substances, and specific volume deficits of 292 left AIC and PLG in sCUD. 293

Firstly, we showed shared alteration of overall insula GM volume in SUD. Theoretical models and empirical findings concur on insula key role in addiction [26] but a fundamental contradiction remains across previous work. On the one hand, major models [17] and seminal studies [18] theorize that addictive states are related to 298 increased insula activation (leading to intense and irrepressible craving [40]), as notably, insular damage would lead to cessation of addictive states [18]. However, on the other 299 hand, other studies report insula to be desensitized in addiction, as it undergoes major 300 structural (e.g., insula GM volume deficit in SUD [27]) modifications in addiction. At the 301 anatomical level, we found the insula to be structurally altered in addiction, since in 302 both sAUD and sCUD we found insula to have lower volume than in HC. While 303 anatomical findings warrant any conclusion at the functional level, we could however 304 hypothesize that, as soon as in the subclinical binge/intoxication stage [17], an 305 hypersensitized insula to substance-related cues would already be present [13]. Then, 306 due to mechanisms including notably neurotoxic effect of substances, a damaged insula 307 would thus be observed in the preoccupation/anticipation stage [17], when the 308 addiction is already set-which, due to its impediment, would maintain the addiction. 309 310 This suggests that insula would contribute to all stages of the addiction framework, albeit differently. Noteworthy, while longitudinal studies are needed to explore such 311 hypothesis, there are already reports in binge-drinkers of insula hyperactivation (e.g., 312 while undergoing the Iowa gambling task [41]) and of higher volumes of insula white 313 matter, that is notably associated to alcohol craving [42]. 314

Secondly, our results identify lateralization effect as we observed right-sided volume 315 deficit in both sAUD and sCUD. This lateralization trend, already reported in sCUD [43] 316 and sAUD [23], might constitute predisposing risk factor for sAUD emergence [44]. 317 While the current study design, focusing on insula GM at the anatomical level, prevent 318 any conclusion at the functional level, one possible explanation for this right-sided 319 lateralization effect could be found in insular role as neural substrate of craving and 320 interoception, which are both related to preferential activation of the right insula [45-321 47]. In fact, according to interoception models, as interoceptive information is being 322 processed through insula, it is in right anterior insula that interoceptive information 323 operates its final and highest-level processing, enabling a sense of the self [7;48]. Thus, 324 one implication of this stronger reduction of GM volume in right insula could be 325 associated to disruption of sentient-self that is observed in SUD, which takes multiple 326 327 forms such as interoceptive impairments [49], abnormal emotions experiencing and impeded decision making [50]. 328

Thirdly, sCUD and sAUD patients have differential pattern of volume deficits of insular subregions. These results, which further highlight the need to follow refined parcellation of insula, provides first insights on the patterns of commonalities and specificities in 332 substance profiles of macrostructural abnormalities. Regarding shared insular GM lower volume between SUD, there is major GM volume deficits of the ALG (localized in the 333 posterior insula) compared to other insula subregions. Current study results concur with 334 prior ones regarding volume deficit of posterior insula in sCUD [51] and sAUD [52]. As 335 above-mentioned, interoceptive information follows posterior-to-mid-to-anterior 336 processing, corresponding to a refinement pathway [6,8-9]. In posterior insula, visceral-337 related information (e.g., effect of substance on body) is processed [7]. While the current 338 study design provides information solely at the anatomical level, such results could be 339 related, at the functional level, with impairments of the first steps of interoceptive 340 information processing, which provides objective representation of internal states [7]. 341 This could explain impairments of body-related perception in SUD, with reports of, for 342 example, heightened interoceptive sensibility [53] and hyperalgesia [54]. Regarding 343 specific patterns of lower insular GM volume for sCUD and sAUD, volume deficits 344 observed in ALG expand to surrounding subregions (i.e., PSG & PLG) in sCUD while, for 345 sAUD, AIC seems more preserved than its concomitant subregions (i.e., MSG & PSG). 346 However, to further explore this hypothesized parallel between interoception, craving 347

348 and insula volume deficits, further studies going deeper than basic volumetric 349 exploration and including measures of these processes are needed.

Finally, exploring sAUD and sCUD patterns of insular volume deficit following 350 twelve-subregions (six bilateral) parcellation further set lights not only to the similarities 351 but also to the differences in patterns of insula volume deficits. While the integrity of 352 rostral and posterior subregions of left insula (i.e., AIC & PLG) of sAUD patients is 353 maintained, this is not the case of sCUD patients. While the current study design using 354 anatomical data prevents any conclusion at the functional level, possible explanations 355 for such differences could be inherent to each SUD specificities. For example, a study 356 exploring the similarities and differences between the two disorders at the clinical level 357 found sCUD patients to be characterized by heightened impulsivity [55]. As previously 358 359 mentioned, anterior insula might highjack cognitive control [14] through its bidirectional connections with the frontal cortex [10,12]. One explanation of the study results could 360 be that impulsivity, which is core to sCUD, is related to this specific GM lower volume of 361 AIC. Another specificity of sCUD has also been underlined through insula maker-gene 362 exploration and profiling, revealing that insula expresses cocaine-related genes [56]. The 363 differentiated pattern of GM lower volume in sCUD could be related to this 364

365 differentiated gene expression [1,56]. This differentiated pattern of volume deficit could also be related to specific neurotoxic mechanisms and effects of these substances [57], 366 which could thus lead to differentiated impairments of insular GM. Thus, to further 367 comprehend these differences and their association to differentiated volume deficit 368 profiles, further studies are needed that could, for example, look at insula activation in 369 sCUD and sAUD but also explore the association between macro- and microanatomy 370 specificities of sCUD's insula and their association to clinical profiles of sCUD patients. 371 Moreover, it would be of interest for future studies to acquire such data on the same 372 scanner, as our current study data were acquired on two scanners. However, given the 373 fact that our mixed model has a R-squared conditional value of 0.78, meaning that our 374 fixed and random model effects explain 78% of the overall variance, it is unlikely that 375 the group effect is solely explained by the scanner effect. 376

To conclude, the current study offers the first direct comparison of GM insular volume in two SUD, using refined parcellation. We found sCUD and sAUD to share similarities in their overall profile of insula volume deficits, notably regarding right-sided volume deficit effect but, also, in major alteration of ALG, a region related to the processing of bodily-information. However, specificities emerged when looking more precisely at insula subregions, with most anterior (AIC) and posterior (PLG) subregions of left insula being atrophied in sCUD, while preserved in sAUD. Nevertheless, the current study results lack prior literature to identify intrinsic differences of these two subregions, as studies rarely compare directly insula GM volume across substances and, to our knowledge, never using such a refined parcellation. Our results thus constitute a first step in the exploration of insula profile of volume deficit specificities in addiction more generally.

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402	Poireau, Emmanuelle Volle, Florence Vorspan & Anne-Lise Pitel declare no conflict of
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