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2 **Continuous-flow sludge settling: a mechanistic 2×2 dynamic**
3 **model, numerical validation and predictions for decision making**

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13 **ABSTRACT**

14 The separation of solid particles from the liquid phase is the final operation in an ac-
15 tivated sludge wastewater treatment plant. This paper introduces a one-dimensional,
16 knowledge-based dynamic model incorporating both mass and momentum balances,
17 designed for use in the development of a closed-loop controller. The objective of such
18 a future controller would be to regulate both energy consumption and the quality of
19 water discharged from the clarifier. This model is simulated using an explicit Euler
20 time discretization, along with a spatial discretization based on the finite volume
21 method. The fluxes are approximated using the Rusanov scheme, a method partic-
22 ularly suited for handling nonlinear hyperbolic systems that exhibit discontinuities
23 or shock waves.

24 Simulation results are compared with experimental data, obtained from mea-
25 suring the sludge blanket (the upper interface of the solid particle zone) during a
26 transient-state experiment in an urban wastewater treatment plant. Additionally,
27 two predictive scenarios are provided to demonstrate the potential of this model as

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28 a decision support tool in wastewater treatment processes.

29 **KEYWORDS**

30 Sludge continuous-flow settling process; one-dimensional model; 2x2 hyperbolic
31 partial differential equations system; Comparison of simulated results with
32 experimental data; Predictions.

33 **1. Introduction**

34 A thorough understanding of organic urban sludge settling in wastewater treatment
35 plants is essential for two main reasons: (a) to ensure that the clarified water discharged
36 into the environment meets required quality and environmental standards, and (b) to
37 guide decisions on appropriate closed-loop control strategies that can reduce operating
38 costs (e.g., energy) or improve effluent quality. During the settling process, the solid
39 particles in the sludge gradually settle within the suspension, resulting in the formation
40 of three distinct zones: the upper clarification zone, which contains only liquid; the
41 intermediate zone, where solid particles settle freely with minimal interaction; and the
42 compression zone at the bottom, where solid particles form a concentrated porous bed.
43 In this compression zone, the mass concentration of solid particles exceeds a critical
44 threshold, beyond which interaction forces between particles become significant.

45 These three zones are separated by two mobile interfaces: the sludge blanket,
46 which marks the boundary between the clarification and intermediate zones, and
47 another interface defined by the critical concentration threshold, which separates the
48 intermediate and compression zones [1–3]. If the clarifier’s downstream pump flow
49 rate is too low relative to the upstream sludge flow rate, solid particles may escape
50 from the system at the top, leaving only the two lower zones. In such cases, which are
51 undesirable, the clarification zone disappears entirely.

52

53 The literature on settling one-dimensional dynamic modeling (excluding biologi-
54 cal reactions) primarily addresses two cases: the batch settler, which is used to study
55 sludge settling properties, and the continuous settler (secondary clarifier) employed
56 in urban wastewater treatment plants. Two types of models are commonly proposed:

57 highly detailed two-dimensional (2-D) or three-dimensional (3-D) computational fluid
58 dynamics (CFD) models, often used for design purposes (see, for example, [4–6]), and
59 simplified one-dimensional (1-D) models. Since our objective is to develop a model
60 incorporating both mass and momentum balances for designing a closed-loop controller
61 to regulate both energy consumption and water quality at the top of the clarifier, the
62 highly detailed approach is not suitable. Instead, a 1-D model is recommended. In the
63 future, such a 1-D model could also be applied to develop a digital twin, serving as a
64 real-time management tool.

65 The measurements conducted at a short distance from the center of the clarifier
66 during the experiment described in Section 4 align with the design of a 1-D model. These
67 measurements include the depth of the sludge blanket and concentration measurements
68 at various heights over time. In these vertical settlers, the solid phase moves at a variable
69 velocity within the liquid phase. Consequently, regardless of the 1-D model considered,
70 it must incorporate either a dynamic momentum balance or a constitutive equation to
71 account for velocity variations in both space and time. The literature describes four
72 families of 1-D models:

- 73 1. Models based on a dynamic solid particle mass balance coupled with a constitu-
74 tive equation that defines the solid particle velocity, referred to as the batch or
75 hindered settling velocity [1, 7–12]. G. J. Kynch [13] initiated this approach which
76 was further improved by M.K. Stenstrom [14], Z. Z. Vitasovic [15], I. Takács [11],
77 R. Bürger [1] and S. Diehl [8], without being exhaustive. The double-exponential
78 Takács settling velocity function is frequently used [11]. The state-of-the-art model
79 in this family for wastewater treatment plants (WWTP) is the Bürger-Diehl
80 model, which includes capabilities for handling both compression and dispersion
81 effects [1];
- 82 2. Models based on a dynamic solid particle mass balance and a static momentum
83 balance, that defines the flux density function as a constitutive equation [3, 17];
- 84 3. Models based on both a dynamic solid particle mass balance and a dynamic mo-
85 mentum balance [2, 18–20]. This approach is more recent due to its two dynamic
86 balances, which are more difficult to process numerically;
- 87 4. Models based on both the dynamic solid particle mass and momentum balances,

88 along with the sludge blanket location as an additional variable, where the vari-
89 ation of the blanket location is governed by a dynamic equation derived from a
90 mass balance [21, 22]. This approach results in a coupled implicit PDE system
91 augmented by an ODE and is currently applied only to batch settling scenarios
92 with zero inlet and outlet flows.

93 An interesting review of family 1 and 2 clarifier 1-D dynamic models can be found in
94 [23]. In the first family, the models require at least five parameters that need to be
95 calibrated, in the constitutive equations of the Kynch batch flux density function, the
96 compression function and the dispersion functions [1]. In the second family, the models
97 require five parameters that need to be calibrated; in the constitutive equations of flux
98 density function, in the effective solid stress and the critical concentration [3, 17]. The
99 model presented in this paper, which belongs to family 3, requires the calibration of
100 five parameters. This analysis is not exhaustive. Its interest is to provide an indication
101 of the number of parameters that need to be calibrated in the constitutive equations
102 of the various models in the different families. However, any constitutive equation,
103 validated heuristically through experiments, can be used regardless of the number of
104 parameters involved. The review [23] presents tables with various constitutive equations,
105 in particular those related to Kynch batch flux density functions.

106 These four families of 1-D models are general and encompass a wide range of set-
107 tling processes, including primary and secondary settling in urban, mining, and coastal
108 zone contexts. Each model requires context-specific adaptation of parameter values.
109 The advantage of family 1 and 2 models is that they yield scalar partial differential
110 equation (PDE) models. In a different approach, family 3 models benefit from a direct
111 derivation from two conservation balances, providing an explicit representation of the
112 four forces acting on solid particles: pressure, effective inter-particle stress, gravity, and
113 drag force. However, family 3 models result in a system of weakly hyperbolic nonlinear
114 PDEs, making their numerical simulation more complex and requiring greater com-
115 putational resources. Family 4 models consist of coupled implicit PDE systems added
116 with an ODE, and their numerical simulation is as complex as that of family 3 models.
117 In [21], a two-PDEs system derived from both the solid particle mass and momentum
118 balances, along with an ODE representing the variation in the location of the sludge

119 blanket mobile interface is used (family 4 model). Simulating this model using a cen-
120 tred finite difference numerical scheme produces a sludge blanket descent dynamic that
121 aligns well with the measurements. However, this numerical scheme only captures the
122 change in the average concentration beneath the sludge blanket, as it cannot account
123 for spatial discontinuities or shock waves in certain state variables, such as solid particle
124 volume fraction. Consequently, it is unable to predict the temporal changes in sludge
125 concentration at the bottom or the lowest interface location between the intermediate
126 zone and the compression zone.

127 This paper is structured as follows: section 2 presents a one-dimensional functional
128 description of the secondary clarifier and a one-dimensional dynamic model belonging
129 to family 3, which describes the behaviour of sludge based on both dynamic mass
130 and momentum balances. This model is a 2x2 hyperbolic PDEs system with nonlinear
131 source terms, associated with constraints specific to two-phase suspensions with non-
132 constant velocity, as well as constitutive equations and boundary conditions. It is simpler
133 than the family 3 model presented in [2], which focuses on sedimentation in a river
134 estuary. Section 3 introduces a numerical scheme specifically designed for this nonlinear
135 hyperbolic system, which can exhibit discontinuities or shock waves. In section 4, an
136 experimental dynamic event is described, and the simulated results obtained using our
137 model are compared with experimental data collected from an urban water treatment
138 plant. Sections 5 and 6 present two predictive simulations of relevant fictitious scenarios
139 during an ongoing storm: one involving a second pump failure and the other with a
140 recirculation flow rate calculated to maintain a constant quantity of particles in the
141 secondary clarifier. Finally, section 7 presents the conclusions and future perspectives
142 for this work.

143 **2. A mechanistic 1-D 2x2 dynamic model**

144 ***2.1. Clarifier and settling description***

145 Fig. 1 illustrates a 1-D schematic representation of the clarifier (settling tank). If the
146 flow rate of the clarifier's downstream pump is appropriately calibrated in relation to
147 the upstream sludge feed flow rate, clarified water that meets environmental standards

148 can be released from the top. The contents of the open-air settler can be divided into
 149 three aforementioned zones, separated by two interfaces that move in space and time.
 150 The levels of these two interfaces are indicative only in this one-dimensional schematic
 151 representation and depend on the specific scenarios. They align with the simulations
 152 and measurements taken during the dynamic event, in which the sludge blanket rises
 153 above the feed level at certain moments. Depending on the scenario, the two interfaces
 154 may be situated very close to each other.

- 155 • The upper interface represents the sludge blanket, located at depth $z_v(t)$. This
 156 interface separates the clarification zone (which contains no solid particles) from
 157 the intermediate zone. The sludge blanket is measured using an ultrasound Royce
 158 device, positioned on the rotating deck above, which detects the depth at which
 159 there is a high gradient of solid particle concentration.
- 160 • The lower interface is defined by the intermediate/compression threshold, located
 161 at depth $z_c(t)$. At this depth, the behaviour changes as the solid particle concen-
 162 tration $C_s(z, t)$ exceeds the threshold C_c , above which interparticle stress becomes
 163 significant. Below $z_c(t)$, the liquid phase flows through the porous network, [27].

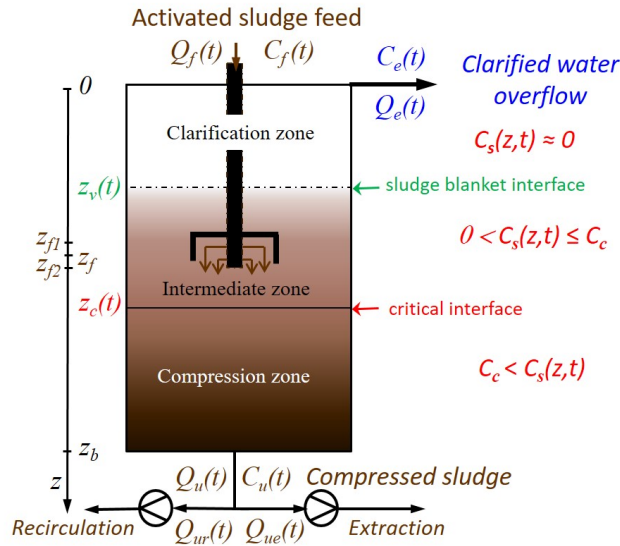


Figure 1.: One-dimensional schematic view of a sludge clarifier including relevant notations about flow rates, concentrations and depths.

164 The clarifier is connected to the wastewater treatment process at three locations: one

165 inlet and two outlets:

- 166 • one inlet where activated sludge flows into the clarifier by gravity from the up-
167 stream biological aeration tank at the volumetric flow rate of $Q_f(t)$ and a solid
168 particle concentration of $C_f(t)$. This activated sludge contains a high quantity of
169 microorganisms, while primary sludge does not. Practically, the activated sludge
170 feed enters through a skirt positioned at a depth of approximately $z = z_f$. This
171 skirt helps orient the particles' motion in the vertical direction. In our model, the
172 inlet velocity is assumed to be vertical only. The momentum and mass flows as-
173 sociated with the inlet are incorporated as source terms in the balance equations
174 (1) to (4),
- 175 • one top outlet where clarified water exits at $z = 0$, with a volumetric flow rate of
176 $Q_e(t)$ and a solid particle concentration of $C_e(t)$,
- 177 • one bottom outlet where compressed sludge is discharged at $z = z_b$, with a vol-
178 umetric flow rate of $Q_u(t)$ and a solid particle concentration of $C_u(t)$. A fraction
179 of the compressed sludge is recirculated to the aeration tank at a volumetric flow
180 rate $Q_{ur}(t)$, while another fraction is withdrawn from the clarifier at a volumet-
181 ric flow rate $Q_{ue}(t)$, such that the total flow rate $Q_u(t)$ satisfies the relationship
182 $Q_u(t) = Q_{ur}(t) + Q_{ue}(t)$.

183 If the flow rate of the downstream pump is too low compared to the upstream sludge
184 feed flow rate, solid particles may exit the system through the top along with the mix-
185 ture. Consequently, the content of the open-air settler is divided only into two zones: the
186 intermediate zone and the compression zone, with the clarification zone effectively dis-
187 appearing. Although this scenario is undesirable, the model of this uncontrolled system
188 must account for this situation to accurately reflect the physical phenomena involved
189 and to ensure proper integration with a closed-loop boundary controller in future ap-
190 plications.

191 ***2.2. 1-D general dynamic mass and momentum balances***

192 The objective of this model, based on both mass and momentum balances, is to calculate
193 the temporal changes in the solid particle concentration profile within the clarifier,

194 as well as the outlet concentrations, $C_e(t)$ and $C_u(t)$, given the activated sludge feed
 195 flow rate and concentration, $Q_f(t)$ and $C_f(t)$, along with the compressed sludge outlet
 196 flow rate, $Q_u(t)$. Additionally, the sludge blanket level, $z_v(t)$, is a measured variable
 197 and corresponds to the spatial position of the maximum gradient in the solid particles
 198 concentration, $C_s(z, t)$.

199 This dynamic model, which describes the behaviour of the sludge suspension in the
 200 clarifier, is based on two dynamic mass and momentum balances that can be formulated
 201 for the two phases under the following commonly used simplifying assumptions [23]:

- 202 1. The liquid and solid phases completely fill the constant volume of the clarifier.
- 203 2. There is no biological activity during the settling operation and the suspension is
 204 fully flocculated prior to sedimentation, [17].
- 205 3. The solid particles are of uniform size and shape, [3, 8, 9].
- 206 4. Particle concentration is uniform at a given depth, [8, 9].
- 207 5. Vessel wall friction is negligible.
- 208 6. The solid particles are small relative to the containing vessel and have the same
 209 density, [3].
- 210 7. The solid particle and fluid mass densities, ρ_s (kg/m^3) and ρ_l (kg/m^3), are
 211 constant, with no mass transfer occurring between the solid and liquid phases,
 212 [2, 3, 8, 9].
- 213 8. The open-air clarifier has a constant cross-sectional area.

214 As the liquid and solid phase densities ρ_l and ρ_s are constant, the most natural state
 215 variables are the particle (liquid) volume fraction, $\varepsilon_s(z, t)$ ($\varepsilon_l(z, t)$), along with the
 216 particle (liquid) flux, $f_s(z, t) = \varepsilon_s(z, t)v_s(z, t)$ ($f_l(z, t) = \varepsilon_l(z, t)v_l(z, t)$), where $v_s(z, t)$
 217 and $v_l(z, t)$ represent the Eulerian average velocities of the solid and liquid phases,
 218 respectively. The depth from the top of the clarifier is denoted by z , and the time is
 219 represented by t . Consequently, the solid particle mass concentration is expressed as
 220 $C_s(z, t) = \rho_s \varepsilon_s(z, t) (kg/m^3)$.

221

222 *Remark: for the sake of clarity, the notations in the following equations have*
 223 *been simplified by omitting (z, t) when the variables are considered for all z and all*

224 *t*. Additionally, the following subscript notation is used for the partial derivatives:

225 $\frac{\partial f}{\partial t} = \partial_t f$ and $\frac{\partial f}{\partial z} = \partial_z f$.

226

227 The sludge feed inlet is represented by an interval centered on z_f with a width of
228 Δz_f . (It allows the representation of different types of skirts that drive the flow of sludge
229 downwards). This area is located between $z_{f2} = z_f + \Delta z_f/2$ and $z_{f1} = z_f - \Delta z_f/2$.
230 The gate (Top-Hat) function, $\Pi(z, z_f, \Delta z_f)$, appears in the source terms related to the
231 sludge feed in the balance equations. This gate function is equal to 1 between z_{f1} and
232 z_{f2} and 0 elsewhere. For simplicity, it is denoted as $\Pi_f(z)$.

233

234 The dynamic mass balances for the solid phase and the liquid phase are formulated
235 as follows:

236

237 Solid phase mass balance:

$$\partial_t(\rho_s \varepsilon_s) + \partial_z(\rho_s f_s) = f_{1s}(Q_f, C_f)\Pi_f(z) \quad (1)$$

238 Liquid phase mass balance:

$$\partial_t(\rho_l \varepsilon_l) + \partial_z(\rho_l f_l) = f_{1l}(Q_f, C_f)\Pi_f(z) \quad (2)$$

239 where the discontinuous source terms, $f_{1s}\Pi_f$ and $f_{1l}\Pi_f$, represent the activated sludge
240 feed inlet in the solid and liquid mass balance equations, respectively. Both source
241 terms depend on Q_f , the total volumetric flow rate of the feed, and on C_f , the mass
242 concentration of solid particles at the feed inlet, and A , the section of the cylindrical
243 clarifier. Detailed definitions of the discontinuous source terms in the final PDE system
244 are provided in Section 2.5.

245 Similarly, the dynamic momentum balance equations can be formulated for both the
246 solid phase and the liquid phase, as referenced in [2, 28, 29]:

247

248 Solid phase momentum balance:

$$\begin{aligned} \partial_t(\rho_s f_s) &= -\partial_z(\rho_s f_s v_s) + \varepsilon_s \rho_s g - \varepsilon_s \partial_z P - \partial_z \sigma_e(\varepsilon_s) + r(\varepsilon_s)(v_l - v_s) \\ &\quad + f_{2s}(Q_f, C_f) \Pi_f(z) \end{aligned} \quad (3)$$

249 where:

$\varepsilon_s \rho_s g$	volumetric gravitational force (body force)
$\partial_z P(z, t)$	gradient of the pore pressure (hydrodynamic pressure)
$\partial_z \sigma_e(\varepsilon_s)$	gradient of the interparticle stress between the solid particles, [17].
$r(\varepsilon_s)(v_l - v_s)$	Stokes-like drag force i.e., liquid/solid dynamic interaction force representing viscous friction between the two phases. Here, $r(\varepsilon_s)$ is the resistance coefficient.

251

252 Liquid phase momentum balance:

$$\begin{aligned} \partial_t(\rho_l f_l) &= -\partial_z(\rho_l f_l v_l) + \varepsilon_l \rho_l g - \varepsilon_l \partial_z P - r(\varepsilon_s)(v_l - v_s) \\ &\quad + f_{2l}(Q_f, C_f) \Pi_f(z) \end{aligned} \quad (4)$$

253 where $f_{2s} \Pi_f$ and $f_{2l} \Pi_f$ are, respectively, the discontinuous source terms that represent the inflow of fluid and solid particles of the activated sludge at the feed inlet, characterized by a specific velocity. This momentum inflow at the feed level must be incorporated into the momentum balance, similar to how the mass input at the feed level is considered in the mass balance. These two terms depend on Q_f , C_f and A .

258

259 The next two sections present the specific constraints inherent to a two-phase suspension with non-constant velocity, as well as the constitutive relations for $\sigma_e(\varepsilon_s)$ and $r(\varepsilon_s)$.

261

262 **2.3. Specific constraints for two-phase suspensions**

263 Since the sludge is a two-phase (liquid and solid) suspension, the sum of the solid particle
 264 volume fraction and the liquid volume fraction is given by:

$$\varepsilon_l + \varepsilon_s = 1 \quad (5)$$

265 Therefore, the liquid volume fraction, $\varepsilon_l(z, t)$, can be easily calculated knowing ε_s .

266

267 As solid particles and fluid are considered incompressible, the total volume flux
 268 of the suspension (or mixture average volume velocity), denoted $v_m(z, t)$, can be
 269 calculated as the sum of the volume fluxes of the two phases. This relationship is
 270 expressed by the following equation:

$$v_m = \varepsilon_l v_l + \varepsilon_s v_s \quad (6)$$

271 Moreover, by applying equation (5), the sum of the two mass balances, (1) for the
 272 solid phase and (2) for the liquid phase, each divided by their respective phase densities,
 273 results in:

$$\partial_z v_m = \left[\frac{f_{1s}}{\rho_s} + \frac{f_{1l}}{\rho_l} \right] \Pi_f(z) \quad (7)$$

274 This implies that the v_m divergence is zero throughout the clarifier except in the feed
 275 zone. The inlet flow is assumed to be uniformly distributed along the vertical feed zone
 276 between z_{f1} and z_{f2} , with a constant volumetric flow per unit length. Due to global
 277 volume balance, the mean volume velocity v_m takes on specific values in different zones
 278 of the clarifier: it is equal to $-Q_e(t)/A$ for depths $z < z_{f1}$ and $Q_u(t)/A$ for depths

279 $z > z_{f2}$. Within the feed zone, v_m varies linearly, as expressed in equation (8):

$$v_m(z, t) = \begin{cases} -Q_e/A & \text{if } z < z_{f1} \\ \frac{1}{A\Delta z_f} [(Q_e + Q_u)z - z_{f1}Q_u - z_{f2}Q_e] & \text{if } z_{f1} \leq z \leq z_{f2} \\ Q_u/A & \text{if } z > z_{f2} \end{cases} \quad (8)$$

280 Thus, by using (5) and (6), the liquid phase velocity v_l can be expressed as a function
 281 of the solid volume fraction ε_s , the solid phase velocity v_s and the mixture average
 282 velocity v_m :

$$v_l = \frac{v_m - \varepsilon_s v_s}{(1 - \varepsilon_s)} \quad (9)$$

283 Note that this equation is well-defined since liquid occupies the interstices between solid
 284 particles throughout the clarifier, ensuring that $\varepsilon_s \neq 1$.

285 **2.4. Constitutive equations**

286 Some quantities, like the interparticle stress, σ_e , and some parameters, such as the
 287 drag force resistance coefficient, r , are functions of the solid particle volume fraction ε_s .
 288 These quantities are characterized by constitutive expressions, generally derived from
 289 experimental data. These expressions are empirical and depend on the specific properties
 290 of the sludge. Various constitutive equations have been proposed in the literature for
 291 different contexts, such as urban wastewater, mining effluents, estuarine, and coastal
 292 zones. A comprehensive overview of these approaches can be found in [23].

293 The following constitutive equations provided by [3] for $\sigma_e(\varepsilon_s)$ and by [2] for $r(\varepsilon_s)$
 294 are particularly well-suited for modeling the characteristics of urban sludge:

$$\sigma_e(\varepsilon_s, \alpha) = \alpha(\varepsilon_s) \sigma_0 \frac{\varepsilon_s^{n_s} - \varepsilon_c^{n_s}}{\varepsilon_c^{n_s}} \quad (10)$$

$$r(\varepsilon_s) = \frac{\rho_l g}{K(\varepsilon_s)} \text{ with } K(\varepsilon_s) = \frac{A_k}{\varepsilon_s^{2/(3-n_r)}} \quad (11)$$

295 where σ_0 , n_s , A_k and n_r are constant parameters that characterize the sludge, with
 296 permeability K (see Table 1 for the values used in simulations). The parameter $\alpha(\varepsilon_s)$
 297 is a piecewise constant parameter defined as follows:

$$\alpha(\varepsilon_s) = \begin{cases} 0 & \text{for } \varepsilon_s \leq \varepsilon_c \\ 1 & \text{for } \varepsilon_s > \varepsilon_c \end{cases} \quad (12)$$

298 where ε_c is the critical solid volume fraction intermediate/compression threshold. The
 299 function $\alpha(\varepsilon_s)$ equals zero in the intermediate zone, where the particles are relatively
 300 distant from one another due to low concentration, and equals one in the compression
 301 zone, where interparticle stress becomes significant as particles are in closer proximity.

302 Thus, the constitutive equation for $\sigma_e(\varepsilon_s, \alpha)$ varies according to the zones within the
 303 clarifier. The formulation of $\sigma_e(\varepsilon_s, \alpha)$ is selected to be a continuous function at $\varepsilon_s = \varepsilon_c$.

304

305 ***2.5. 1-D sludge continuous settling 2 × 2 dynamic model***

306 With all these considerations taken into account, the four dynamic balance equations
 307 presented in section 2.2 can be expressed only in terms of the solid particle volume
 308 fraction, ε_s , and the solid particle volume flux, f_s . This is achieved after applying all
 309 the simplifications implied by assumptions 1. to 8. and utilizing the algebraic equations
 310 specific to this two-phase suspension established in section 2.3.

311 Moreover, a simplified expression of the pore pressure gradient can be considered for
 312 this system because the settling is very slow and the suspension is at a low concentration.
 313 This leads to a pressure profile that is identical to the static gradient due to Archimedes'
 314 buoyancy force, expressed as $\partial_z P = \rho_l g$, and remains consistent throughout the duration
 315 of the operation, [22]. It constitutes an additional 9th assumption:

316 9. $\forall z \in [0, z_b], \partial_z P = \rho_l g.$

317 Then, the solid particle volume fraction ε_s and flux f_s variations can be expressed in

318 conservative form by manipulating equations (1), (3) and (9), [20], as follows:

$$\partial_t \varepsilon_s + \partial_z f_s = \frac{f_{1s}(Q_f, C_f)}{\rho_s} \Pi_f(z) \quad (13)$$

319

$$\begin{aligned} \partial_t f_s + \partial_z \left(\frac{f_s^2}{\varepsilon_s} + \frac{\sigma_e(\varepsilon_s)}{\rho_s} \right) &= \varepsilon_s g \left(1 - \frac{\rho_l}{\rho_s} \right) + \frac{r(\varepsilon_s)(\varepsilon_s v_m - f_s)}{\rho_s \varepsilon_s (1 - \varepsilon_s)} \\ &+ \frac{f_{2s}(Q_f, C_f)}{\rho_s} \Pi_f(z) \end{aligned} \quad (14)$$

320 where:

$$f_{1s}(Q_f, C_f) = \frac{C_f(t) Q_f(t)}{A \Delta z_f} \quad (15)$$

321

$$f_{2s}(Q_f, C_f) = \frac{C_f(t) Q_f^2(t)}{A^2 \Delta z_f} \quad (16)$$

322 *Remark: Most papers in the literature define a model using the state variables (ε_s, v_s)*
 323 *[2, 18, 19, 21, 22]. However, for mathematical developments and numerical considera-*
 324 *tions, the variables (ε_s, f_s) seem to us to be more appropriate.*

325

326 The matrix form of the 2×2 PDE model of the urban sludge settling is as follows:

$$\partial_t \mathbf{x} + \partial_z \mathbf{F}_s(\mathbf{x}) = \mathcal{S}_1(\mathbf{x}) + \mathcal{S}_2 \quad (17)$$

327 where \mathbf{x} represents the state variable vector:

$$\mathbf{x} = \begin{pmatrix} \varepsilon_s \\ f_s \end{pmatrix}$$

328 $\mathbf{F}_s(\mathbf{x})$ represents the flux vector:

$$\mathbf{F}_s(\mathbf{x}) = \begin{pmatrix} f_s \\ \frac{f_s^2}{\varepsilon_s} + \frac{\sigma_c(\varepsilon_s)}{\rho_s} \end{pmatrix} \quad (18)$$

329

330 and $\mathcal{S}_1(\mathbf{x})$ and \mathcal{S}_2 are the source terms:

$$\mathcal{S}_1(\mathbf{x}) = \begin{pmatrix} 0 \\ \varepsilon_s g \left(1 - \frac{\rho_l}{\rho_s}\right) + \frac{r(\varepsilon_s)(\varepsilon_s v_m - f_s)}{\rho_s \varepsilon_s (1 - \varepsilon_s)} \end{pmatrix}$$

$$\mathcal{S}_2 = \begin{pmatrix} \frac{f_{1s}(Q_f, C_f)}{\rho_s} \Pi_f(z) \\ \frac{f_{2s}(Q_f, C_f)}{\rho_s} \Pi_f(z) \end{pmatrix}$$

331 *2.6. Boundary conditions*

332 To determine the appropriate boundary conditions, we express the left-hand side of the
333 nonlinear hyperbolic system (17) in quasi-linear form using the Jacobian matrix $\mathbf{J}(\mathbf{x})$:

$$\partial_t \mathbf{x} + \partial_x \mathbf{F}_s(\mathbf{x}) \partial_z \mathbf{x} = \partial_t \mathbf{x} + \mathbf{J}(\mathbf{x}) \partial_z \mathbf{x}$$

334 where

$$\mathbf{J}(\mathbf{x}) = \begin{cases} \begin{bmatrix} 0 & 1 \\ \frac{-f_s^2}{\varepsilon_s^2} & \frac{2f_s}{\varepsilon_s} \end{bmatrix} & \text{for } 0 < \varepsilon_s \leq \varepsilon_c \\ \begin{bmatrix} 0 & 1 \\ \frac{-f_s^2}{\varepsilon_s^2} + \frac{\sigma_0 n_s}{\rho_s \varepsilon_c^{n_s}} \varepsilon_s^{n_s-1} & \frac{2f_s}{\varepsilon_s} \end{bmatrix} & \text{for } \varepsilon_s > \varepsilon_c \end{cases} \quad (19)$$

335 The spectrum of the Jacobian matrices $\mathbf{J}(\mathbf{x})$, denoted $Sp(\mathbf{J}(\mathbf{x}))$, provides the eigen-
 336 values, λ_J :

$$Sp(J(x)) = \begin{cases} \left\{ \frac{f_s}{\varepsilon_s}, \frac{f_s}{\varepsilon_s} \right\} & \text{for } 0 < \varepsilon_s \leq \varepsilon_c \\ \left\{ \frac{f_s}{\varepsilon_s} - \sqrt{\frac{\sigma_0 n_s}{\rho_s \varepsilon_c^{n_s}} \varepsilon_s^{n_s-1}}, \frac{f_s}{\varepsilon_s} + \sqrt{\frac{\sigma_0 n_s}{\rho_s \varepsilon_c^{n_s}} \varepsilon_s^{n_s-1}} \right\} & \text{for } \varepsilon_s > \varepsilon_c \end{cases} \quad (20)$$

337 The eigenvalue analysis reveals that the system's spatial characteristics shift at
 338 the threshold ε_c . When $0 < \varepsilon_s \leq \varepsilon_c$, the Jacobian matrix has a double positive real
 339 eigenvalue, indicating that the upper zone of the system is weakly hyperbolic. In
 340 this zone, particles move downward under applied forces without obstruction from
 341 particles further downstream. Conversely, if $\varepsilon_s > \varepsilon_c$, the matrix exhibits two distinct
 342 real eigenvalues, characterizing the lower zone as strictly hyperbolic. Consequently, the
 343 complete system remains weakly hyperbolic overall.

344 Additionally, since the dimensionless ratio $\frac{f_s}{\varepsilon_s \sqrt{\frac{\sigma_0 n_s}{\rho_s \varepsilon_c^{n_s}} \varepsilon_s^{n_s-1}}}$ is less than 1 in the compres-
 345 sion zone (where $\varepsilon_s > \varepsilon_c$), the two eigenvalues have opposite signs. This implies a
 346 downstream-driven regime in this zone, meaning that particle movement is constrained
 347 by the particles ahead due to the interparticle stress σ_e .

348 To specify the boundary conditions, we first recall that during clarifier operation, the
 349 upstream activated sludge feed volumetric flow rate, $Q_f(t)$, and concentration, $C_f(t)$,
 350 are known and measured. Additionally, the withdrawal (either recirculated or removed)
 351 volumetric flow rate at the bottom, $Q_u(t)$ is controlled by the selected pump rate on
 352 the downstream pipe. Consequently, the top overflow rate, $Q_e(t)$, is also known, as it
 353 follows from the constant sludge volume in the clarifier that $Q_f(t) = Q_u(t) + Q_e(t)$.
 354 Using these known quantities, we can deduce the boundary conditions necessary to
 355 close this system of weakly hyperbolic first-order PDEs, based on the continuity of the
 356 two fluxes.

357

358 The continuity of the mass flux of solid particles at the top of the clarifier is expressed

359 as:

$$Af_s(0, t) = -\varepsilon_s^e(t)Q_e(t) \quad (21)$$

360 where $\varepsilon_s^e(t)$ denotes the solid particle volume fraction at the very beginning of the top
361 overflow outlet.

362

363 The continuity of the solid particle momentum flux at the top of the clarifier is
364 expressed as:

$$A \left(\frac{f_s^2(0, t)}{\varepsilon_s(0, t)} + \frac{\sigma_e(\varepsilon_s(0, t))}{\rho_s} \right) = \frac{\varepsilon_s^e(t)Q_e(t)^2}{A_e} \quad \text{if } \varepsilon_s(0, t) > 0 \quad (22)$$

365 where A_e is the surface area of the top overflow.

366 The continuity of the mass flux of solid particles at the bottom of the clarifier is expressed

367 as:

$$Af_s(z_b, t) = \varepsilon_s^u(t)Q_u(t) \quad (23)$$

368 where $\varepsilon_s^u(t)$ is the solid particle volume fraction at the very beginning of the bottom
369 output pipe.

370

371 And the continuity of the solid particle momentum flux at the bottom of the
372 clarifier is expressed as:

$$A \left(\frac{f_s^2(z_b, t)}{\varepsilon_s(z_b, t)} + \frac{\sigma_e(\varepsilon_s(z_b, t))}{\rho_s} \right) = \frac{\varepsilon_s^u(t)Q_u(t)^2}{A_u} \quad \text{if } \varepsilon_s(z_b, t) > 0 \quad (24)$$

373 where A_u is the cross-sectional area of the downstream clarifier pipe.

374

375 The state-space representation of continuous sludge settling in a clarifier is thus for-
376 mulated by the nonlinear hyperbolic system given in (17) added with the constitutive
377 equations for $\sigma_e(\varepsilon_s, \alpha)$, $r(\varepsilon_s)$ and $\alpha(\varepsilon_s)$ as defined in (10), (11) and (12), respectively.

378 The algebraic relation for v_m is provided in (8), and the influence of the sludge feed is
379 specified in (15) and (16). The boundary conditions are established in (21), (22), (23)
380 and (24).

381 Given any initial condition within the physical domain, and applying the boundary con-
382 ditions stated in (21), (22), (23) and (24), the system has a unique solution that remains
383 within this domain. Consequently, this nonlinear infinite-dimensional state-space model
384 is well-posed.

385 The simulation uses a numerical scheme specifically designed for such nonlinear hyper-
386 bolic systems, which are known to exhibit discontinuities or shock waves.

387 **3. Numerical scheme**

388 The review [23] offers an insightful discussion on numerical schemes developed for
389 nonlinear hyperbolic PDEs, nonlinear parabolic PDEs, and mixed hyperbolic-parabolic
390 PDEs. The numerical scheme applied to the 2×2 nonlinear hyperbolic system in
391 this paper necessarily differs due to the presence of two coupled PDEs and additional
392 source terms. The simulations were conducted using explicit Euler time-discretization
393 along with an efficient numerical scheme specifically designed for hyperbolic and
394 weakly hyperbolic nonlinear PDE systems. This involved a finite volume method
395 spatial-discretization of Godunov type using the Rusanov approximation for the fluxes
396 [24], [26]. Based on the integral form of the balance laws, this approach is particularly
397 effective for simulating fluid mechanics, as well as heat and mass transfer processes.
398 One of its key advantages is its ability to locally preserve balance laws with respect to
399 the fluxes [26].

400

401 The state space vector is spatially discretized on a uniform mesh consisting of N_z
402 volumes, each with thickness Δz and a constant cross-sectional area, A . Each volume i
403 lies between an upstream boundary, indexed $i - \frac{1}{2}$, and a downstream frontier boundary,
404 indexed $i + \frac{1}{2}$.

405 Equation (17) can be expressed in integral form as follows:

$$\int_{i-1/2}^{i+1/2} \frac{d\mathbf{x}(z, t)}{dt} dz = \mathbf{F}_{i-\frac{1}{2}}(t) - \mathbf{F}_{i+\frac{1}{2}}(t) + \int_{i-1/2}^{i+1/2} (\mathcal{S}_1(\mathbf{x}) + \mathcal{S}_2) dz \quad (25)$$

406 where $\mathbf{F}_{i\pm\frac{1}{2}}(t) = \mathbf{F}_s(\mathbf{x}(z, t))|_{z=(i\pm 1/2)\Delta z}$

407

Considering that the state variables are uniform within each mesh and are represented by, $\bar{\mathbf{x}}_i(t)$, such that:

$$\bar{\mathbf{x}}_i(t) = \frac{1}{\Delta z} \int_{i-1/2}^{i+1/2} \mathbf{x}(z, t) dz$$

408 equation (25) can be approximated by:

$$\frac{d\bar{\mathbf{x}}_i(t)}{dt} = \frac{1}{\Delta z} \left(\mathbf{F}_{i-\frac{1}{2}}(t) - \mathbf{F}_{i+\frac{1}{2}}(t) \right) + \mathcal{S}_1(\bar{\mathbf{x}}_i) + \mathcal{S}_2 \quad (26)$$

409 where we assume that $\mathcal{S}_1(\bar{\mathbf{x}}_i)$ provides a good approximation for $\frac{1}{\Delta z} \int_{i-1/2}^{i+1/2} \mathcal{S}_1(\mathbf{x}) dz$.

410

411 Let $\mathbf{F}_{i\pm\frac{1}{2}}^n$ denote an approximation of the fluxes $\mathbf{F}_{i\pm\frac{1}{2}}(t)$ as a function of $\bar{\mathbf{x}}_i^n$ at time
412 t_n .

413 The equation (26) can therefore be expressed as follows:

$$\frac{\bar{\mathbf{x}}_i^{n+1} - \bar{\mathbf{x}}_i^n}{\Delta t} = \frac{1}{\Delta z} \left(\mathbf{F}_{i-\frac{1}{2}}^n(\bar{\mathbf{x}}_i^n) - \mathbf{F}_{i+\frac{1}{2}}^n(\bar{\mathbf{x}}_i^n) \right) + \mathcal{S}_1(\bar{\mathbf{x}}_i^n) + \mathcal{S}_2 \quad (27)$$

414 For hyperbolic systems, special attention is required when selecting these approx-
415 imations [25], [26]. Here, the Rusanov approximation is chosen because it effectively
416 captures shock waves in the model:

$$\mathbf{F}_{i-\frac{1}{2}}^n(\bar{\mathbf{x}}_i^n) = \frac{1}{2} \left(\mathbf{F}_s^n(\bar{\mathbf{x}}_{i-1}^n) + \mathbf{F}_s^n(\bar{\mathbf{x}}_i^n) \right) - \frac{w_{i-\frac{1}{2}}^n}{2} \left(\bar{\mathbf{x}}_i^n - \bar{\mathbf{x}}_{i-1}^n \right) \quad (28)$$

417 where $w_{i-\frac{1}{2}}^n$ represents the propagation velocity of the fastest wave immediately
418 around the interface $i - \frac{1}{2}$ at t_n . To ensure the stability of this method, the mesh

419 size must satisfy the Courant-Friedrichs-Lewy (CFL) condition, namely, $|w_s^n \frac{\Delta t}{\Delta z}| < 1$.
420 This propagation velocity, w_s^n , corresponds to the velocity of the fastest wave in the
421 hyperbolic system at t_n and is calculated from (20) [25].

422

423 *Remark: The Rusanov numerical scheme is also effective for weakly hyperbolic*
424 *systems, such as (17), and will yield a continuously smooth solution in cases involving*
425 *a rarefaction wave [26].*

426

427 The model and numerical scheme developed in the previous sections will be applied
428 in section 4 to simulate an experimental dynamic event for validation purposes, and in
429 section 5 to simulate two hypothetical scenarios, worst-case and closed-loop, to assess if
430 the predicted clarifier behaviour is sufficiently consistent for use as a decision support
431 tool.

432 **4. Simulation of a dynamic event at SYSTEPUR plant**

433 A dynamic event was experimentally applied to a full-scale clarifier located at the
434 SYSTEPUR wastewater treatment plant in Vienne, France [31]. This urban treat-
435 ment facility features two biological aeration tanks operating in parallel and two
436 secondary clarifiers also running in parallel. Each secondary clarifier is equipped with
437 two ON/OFF pumps for sludge recirculation and two ON/OFF pumps for sludge
438 extraction. In accordance with the control strategy, one or two recirculation pumps
439 are always in operation, while zero, one, or two extraction pumps may also be active.
440 Additionally, there is a third recirculation pump available solely for emergencies. Our
441 experimental study focused on investigating the dynamic evolution of the separation
442 between liquid and sludge particles within the clarifier.

443

444 The inlet flow was artificially increased by approximately twofold by redirecting
445 the outlets of the two biological aeration tanks to a single clarifier for a duration of
446 about 8 hours (from $t=1:40$ to $t=9:45$). This led to a sudden rise in the flow rate of
447 activated sludge Q_f at the inlet to the secondary clarifier. Continuous monitoring was

448 conducted for flow rates $Q_f(t)$, $Q_{ur}(t)$ and $Q_{ue}(t)$, the depth of the sludge blanket
 449 $z_v(t)$, as well as the particle concentrations $C_f(t)$ and $C_{ur}(t)$. The sludge blanket level
 450 was measured using an ultrasound Royce device mounted on the rotating deck above.
 451 In this section, the simulated results generated by our model are compared with the
 experimental data specifically collected to validate the 1-D model.

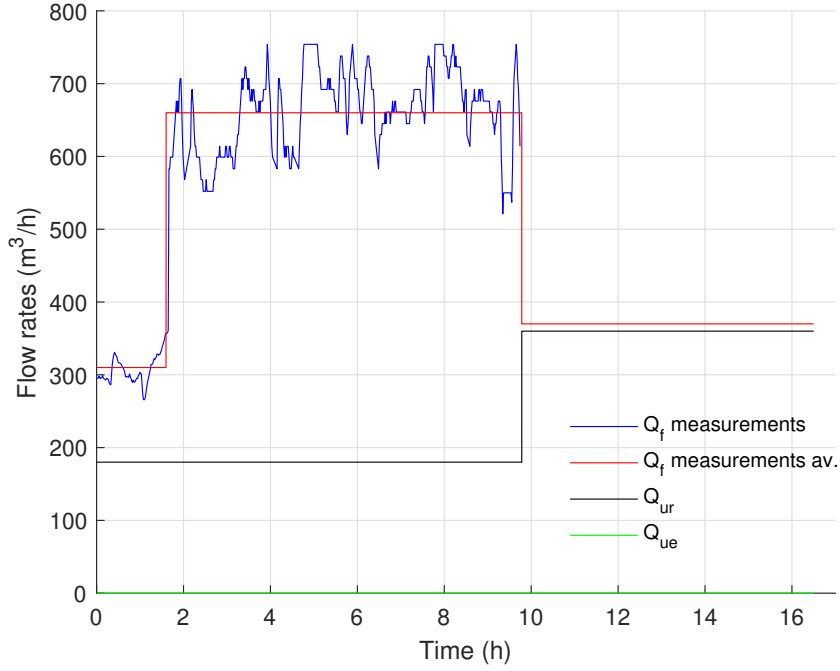


Figure 2.: Measured activated sludge feed flow rate and average value, $Q_f(t)$, recirculation flow rate, $Q_{ur}(t)$ and extraction flow rate, $Q_{ue}(t)$.

452

453 During this experimental study, the sludge recirculation flow rate was set at
 454 $Q_{ur} = 180 \text{ m}^3/h$ until 9:45, utilizing one recirculation pump. Following this time, the
 455 recirculation flow rate increased to $Q_{ur} = 360 \text{ m}^3/h$, with two recirculation pumps in
 456 operation. Throughout the entire duration of the experiment, the sludge extraction
 457 flow rate $Q_{ue}(t)$ remained zero. Figure 2 presents the experimental time profile of $Q_f(t)$
 458 along with the average measurements for $Q_f(t)$ and the other flow rate values.

459

460 A uniform 200-node spatial mesh, along with the parameter values listed in Ta-
 461 ble 1, which were selected to align with the measurements, was employed to conduct
 462 the simulations. The Chauchat model [2] is part of the same family of models (family

3) as the one presented in this paper, while the Garrido et al. model [3] incorporates a static momentum balance and thus also requires constitutive equations for the forces involved. The corresponding parameter values were determined using the least squares method for a batch sludge settling experiment, and a trial-and-error approach was utilized to fine-tune them for the continuous sludge settling scenario. The flow rate $Q_f(t)$ and the solid particle concentration $C_f(t)$ of the activated sludge at the inlet of the clarifier used in the simulations are averaged values (as represented by the red curve in Fig. 2 and the corresponding value in Table 1).

Some parameter values in Table 1 pertain to the characteristics of the clarifier or

A	1175 m^2	clarifier section
A_k	$9.81 \cdot 10^{-4} \text{ m/s}$	*C
C_c	4.18 kg/m^3	*E
C_f	2.83 kg/m^3	averaged feed concentration
Δt	1 s	numerical time discretization
Δz	10 cm	numerical spatial discretization
Δz_f	10 cm	feed zone height
ε_c	$4.1 \cdot 10^{-3}$	*E
n_r	2	*C
n_s	11	*G
ρ_s	1030 kg/m^3	*E
ρ_l	1000 kg/m^3	liquid density
σ_0	0.5 kg/ms^2	*G
z_b	2.8 m	clarifier height
z_f	1.8 m	central location of the feed zone

*G: determined by fitting the measurements to the constitutive equations from [3]

*C: determined by fitting the measurements to the constitutive equations from [2]

*E: determined by fitting the measurements to our model

Table 1.: Model parameter values.

471

to the numerical discretization. The other parameter values are derived from the measurements and ranges suggested in [2] and [3], which have been adapted from mineral to organic sludge. Notably, the density of mineral particulate systems is approximately twice that of organic sludge, which significantly alters the settling dynamics due to the differences in the forces exerted on the solid particles.

477

Fig. 3 presents the simulated and measured values for the sludge blanket level, which are in close agreement. This figure indicates that, immediately after the dynamic event was applied at the inlet of the clarifier at $t=1:40$, the sludge blanket level,

480

481 $z_v(t)$, increases to a depth of 0.48 m by 9:40. At this point, the activation of a second
 482 recirculation pump leads to a decrease in the sludge blanket level until the end of the
 483 measurement period. This observation suggests that the model effectively captures the
 key settling phenomena occurring within the clarifier.

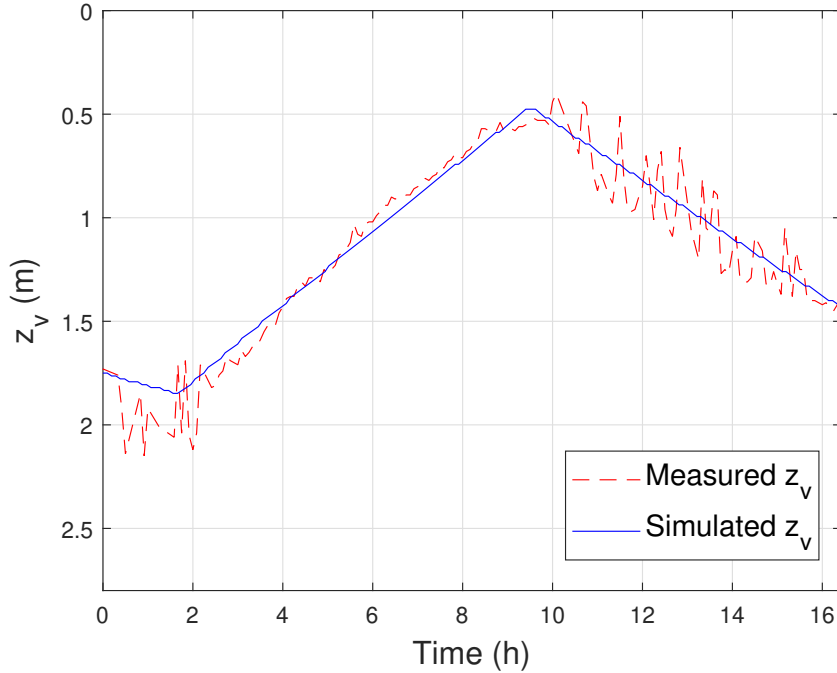


Figure 3.: Comparison of simulated and measured sludge blanket levels, $z_v(t)$, in the dynamic event scenario.

484

485 It is noteworthy that the measurement of the sludge blanket exhibits minimal noise
 486 during upward movement; however, it becomes more noisy when moving downwards.
 487 This phenomenon occurs because sludge particles do not all descend at the same
 488 velocity or in the same manner, and the sensor detects the highest particles. The
 489 simulation using the one-dimensional model represents average behaviour.

490

491 Five simulated solid particle concentration spatial profiles (from t_1 to t_5) of the
 492 dynamic event are presented in Fig. 4.a. For each of these spatial profiles, the particle
 493 concentration at the top of the clarifier, $C_s(0, t)$, approaches zero. The spatial profiles
 494 show a sharp increase at the depth of the sludge blanket, followed by a more gradual
 495 rise as the critical concentration threshold, C_c (indicated by the red dashed line), is

496 surpassed. Similar to the experimental observations, a change in behaviour is observed
 497 below the lower interface when the compression threshold is exceeded, due to the
 498 presence of interparticle stress. This results in a higher concentration of solid particles in
 499 the lower compression zone. The sludge blanket level corresponds to the spatial position
 500 of the maximum concentration gradient of the solid particles. It also corresponds to
 501 the location of the shock wave (discontinuity). It should be mentioned that there is
 no intermediate zone present in this continuous settling process. Five simulated solid

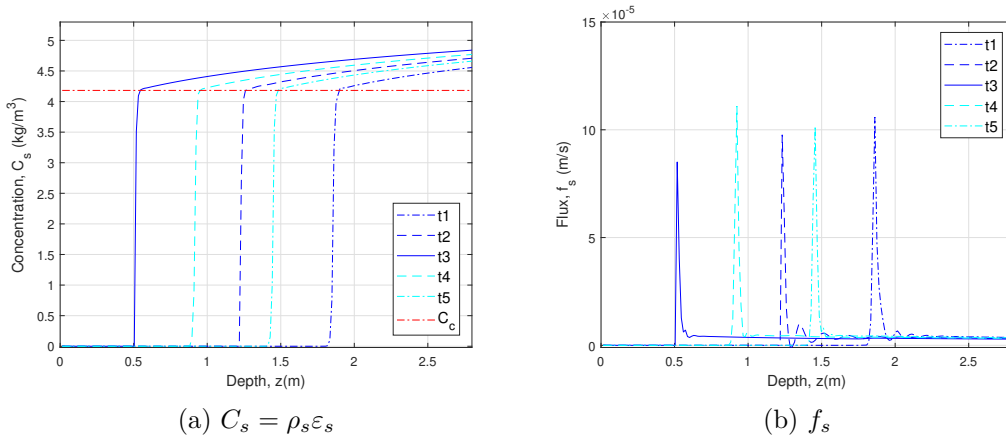


Figure 4.: Simulated state variables vertical spatial profiles at 5 timepoints. (Blue curves: the sludge blanket rises, cyan curves: the sludge blanket descends.)

502

503 particle flux spatial profiles (from t_1 to t_5) of the dynamic event are shown in Fig. 4.b.
 504 The particle flux at the bottom of the clarifier, $f_s(z_b, t)$, is approximately $2.9 \cdot 10^{-6}$ m/s .
 505 The sludge blanket level corresponds to the spatial position of the maximum flux peak,
 506 which reaches around $9 \cdot 10^{-5}$ m/s , approximately 30 times the flux at the bottom,
 507 $f_s(z_b, t)$. This indicates the location of the shock wave for the solid particle flux variable.

508

509 Currently, the runtime for a simulation on a workstation equipped with Intel Xeon
 510 processor at 3.8 GHz is 11 *min*. While this duration may seem lengthy, it can be
 511 attributed to the inherently slow nature of the settling phenomenon. Additionally, the
 512 numerical scheme must effectively capture the variations in the position of the sludge
 513 blanket, which represents a concentration discontinuity.

514

515 The model presented in section 2. and validated with measurements in section 4.2.

516 is now available for predicting clarifier behaviour in various scenarios.

517 **5. Predictive simulation assuming ongoing storm and second pump failure**

518 In this section, we simulate two scenarios: first, the effects of a prolonged storm, and
519 second, the consequences of the second pump failing at 9:45 (worst-case scenario).
520 The aim of these simulations is to evaluate whether the proposed model, along with
521 its boundary conditions, can predict consistent clarifier behaviour. In a real-world
522 scenario, if the second pump were to fail, the operator would start an emergency pump
523 to ensure the proper recirculation flow upon receiving an alarm. Thus, this scenario
524 represents a hypothetical situation.

525

526 Flow rates are illustrated in in Fig. 5.a, where ($Q_f(t)$ remains constant at $660\text{ m}^3/s$
527 instead of experiencing a drop, and $Q_{ur}(t)$ stays at $180\text{ m}^3/s$). The predicted evolution
528 of the sludge blanket level over time is presented in Fig. 5.b. Five predicted solid
529 particle concentration spatial profiles (from t_1 to t_5) are shown in Fig. 6.a, while
530 the predicted concentration at the top of the clarifier is illustrated in Fig. 6.b. It is
531 noteworthy that solid particles would begin to be discharged through the overflow
532 starting at 12:15, with a concentration of 1.94 kg/m^3 , three hours after the failure
533 began. Thus, the proposed model with its boundary conditions effectively represents
534 the potential for particles to exit the top of the clarifier when faced with inadequate
535 management strategies.

536

537 **6. Predictive simulation assuming ongoing storm with recirculation flow** 538 **rate calculated to maintain particles quantity in the clarifier**

539 In this second predictive scenario, assuming an ongoing storm (with the flow rate $Q_f(t)$
540 consistent with section 5), we aim to investigate the effects of adjusting the recirculation
541 flow rate, Q_{ur} , to be dependent on the concentrations at the top and bottom of the
542 clarifier, as well as on the feed concentration and flow rate. The relationship is defined

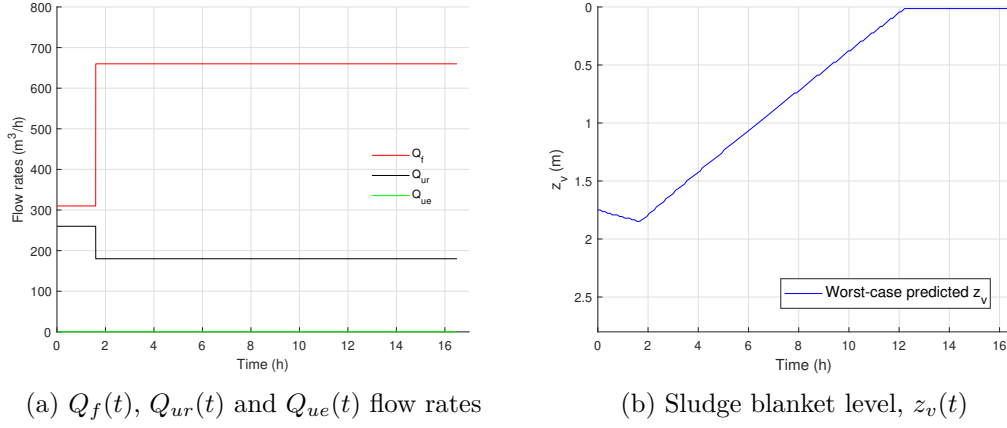


Figure 5.: Flow rates and sludge blanket level prediction in case of ongoing storm and 2nd pump failure at 9:45 (worst-case scenario).

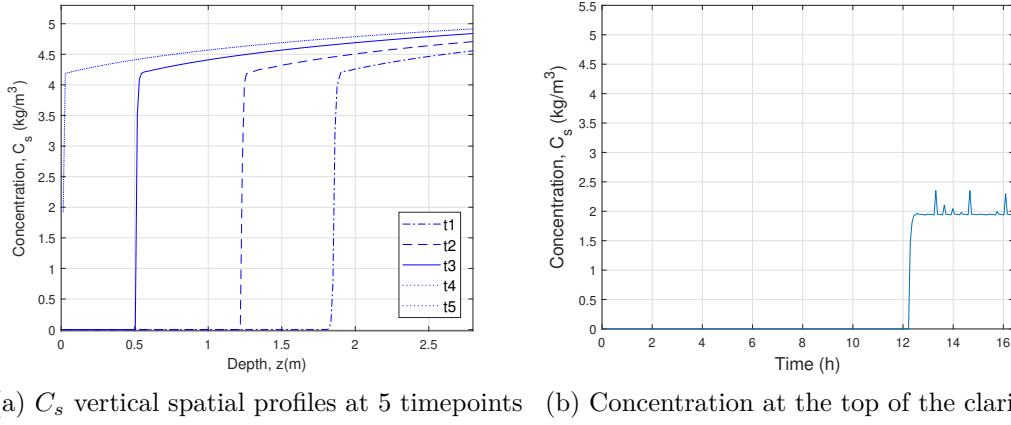
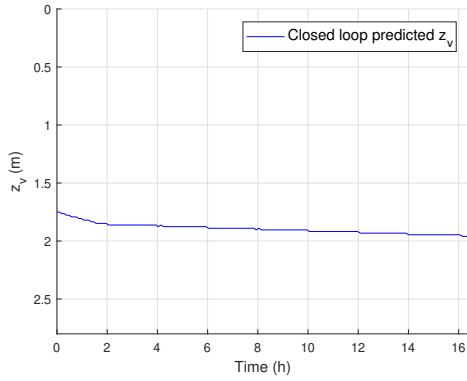


Figure 6.: Predicted solid particle concentration C_s in case of ongoing storm and 2nd pump failure at 9:45 (worst-case scenario).

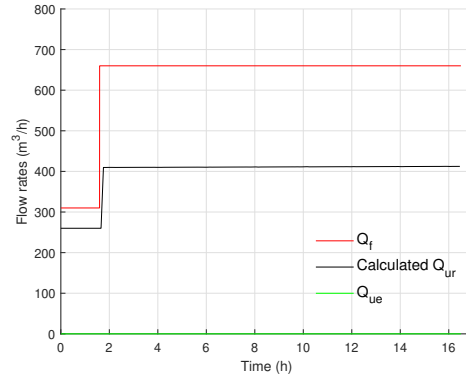
543 as follows: $Q_{ur}^{n+1} = \min \left(\frac{(C_f - \rho_s \bar{\varepsilon}_{s1}^n) Q_f}{\rho_s (\bar{\varepsilon}_{sN}^n - \bar{\varepsilon}_{s1}^n)}, Q_{urmax} \right)$ where index 1 refers to the top of
 544 the clarifier and index N to the bottom. This formulation aims to stabilize the sludge
 545 blanket at a specific depth. Implementing this requires a variable-flow pump in good
 546 working condition (without failures) and appropriate concentration and flow sensors,
 547 such as total suspended solids (TSS) optical probes or soft TSS sensors.

548

549 The flow rates Q_f and Q_{ue} , representing the scenario, along with the calculated flow
 550 rate, Q_{ur} , are illustrated in Fig. 7.b. It can be observed that two pumps are operating,
 551 yielding a total flow rate of approximately $410 m^3/s$. The predicted evolution of the
 552 sludge blanket level over time is presented in Fig. 7.a. We can observe that the sludge



(a) Sludge blanket level, $z_v(t)$



(b) $Q_f(t)$, $Q_{ur}(t)$ and $Q_{ue}(t)$ flow rates

Figure 7.: Sludge blanket level prediction and calculated Q_{ur} flow rate assuming ongoing storm and recirculation flow rate calculated from top and bottom particles volume fractions and feed concentration and flow rate.

553 blanket level is gradually moving down instead of remaining constant. This behaviour
 554 is attributed to a slightly altered distribution of solid particles in the lower part of the
 555 clarifier beneath the sludge blanket.

556 7. Conclusions and perspectives

557 To the best of our knowledge, the mechanistic one-dimensional dynamic model of urban
 558 sludge settling in a continuous secondary clarifier presented in this paper, along with the
 559 numerical scheme, is the only model-numerical scheme combination in family 3 specifi-
 560 cally designed for urban sludge. Its originality and advantages lie in the fact that both
 561 mass and momentum balances are dynamic, explicitly accounting for the four forces
 562 acting on the solid particles: pressure, effective inter-particle stress, gravity and drag
 563 force. The numerical scheme used for the simulation is designed to address the specific
 564 requirements of 2×2 hyperbolic and weakly hyperbolic nonlinear PDEs systems with
 565 source terms. It uses a finite volume method for spatial-discretization combined with the
 566 Rusanov approximation of the fluxes [26]. This scheme calculates all necessary variables
 567 for making operational decisions regarding the clarifier, including the evolution of the
 568 solid particle concentration profile over time as well as the outlet concentrations $C_e(t)$
 569 and $C_u(t)$ and sludge blanket position $z_v(t)$, given the activated sludge feed flow rate
 570 and concentration $Q_f(t)$ and $C_f(t)$, as well as the compressed sludge outlet flow rate

571 $Q_u(t)$.

572 This numerical scheme effectively captures the two mobile interfaces that develop be-
573 tween the three zones during the sludge settling process. To ensure its stability, it is
574 essential to calculate the propagation velocity of the fastest wave in the system, as this
575 value is used to define the time steps in accordance with the Courant-Friedrichs-Lewy
576 (CFL) condition. It should be noted that simulating the 2x2 hyperbolic system, includ-
577 ing nonlinear source terms, is more challenging and requires greater computational effort
578 than the simulation of models from families 1 and 2, which is a disadvantage. Future
579 comparative studies could be conducted to quantify the differences in predictions when
580 considering the three families of models for continuous sludge settling.

581 This paper also presents comparisons with experimental data collected from an urban
582 water treatment plant during a dynamic event, showing that the simulations capture the
583 main settling phenomena within the clarifier. Additionally, this paper presents predic-
584 tions for two relevant scenarios that differ from the validation scenario and are realistic.
585 The 1-D model proposed here will be used in future works for the design of a closed-loop
586 controller focused on regulating energy consumption and water quality at the top of the
587 clarifier, ultimately contributing to real-time computer-aided management.

588 Additionally, a decision support tool based on family 3 models will be more effective
589 if it incorporates periodic parameter estimation to adapt to the variability of sludge
590 (primary, secondary, from mines, cities, etc.) and atmospheric conditions. It should
591 also be noted that estimating the parameters of the presented dynamic model to align
592 with the measurements provides an indirect method for determining the intermedi-
593 ate/compression threshold, C_c .

595	Index i stands for liquid phase (l) or solid phase (particles) (s).
	$\alpha(\varepsilon_s)$ piecewise constant intermediate/compression zone flag
	A cylindric clarifier section (m^2)
	$A_e(t)$ overflow surface (m^2)
	A_u outlet pipe section (m^2)
	$C_i(z, t)$ solid (liquid) phase mass concentration (kg/m^3) $C_i(z, t) = \rho_i \varepsilon_i(z, t)$
	$C_f(t)$ mass concentration of solids at the activated sludge feed (kg/m^3)
	$\varepsilon_i(z, t)$ solid (liquid) phase volume fraction
	ε_c solid volume fraction intermediate/compression zone threshold
	$f_s(z, t)$ system average volumetric flux (m/s)
	$P(z, t)$ excess pore pressure (Pa)
	$Q_f(t)$ volumetric flow rate of the activated sludge feed (m^3/s)
	$Q_e(t)$ volumetric flow rate of the clarified water released at the top of the
596	clarifier (m^3/s)
	$Q_u(t)$ volumetric flow rate of the compressed sludge which is pumped from
	the bottom of the clarifier (m^3/s)
	$r(\varepsilon_s)$ resistance coefficient of the drag force proposed by Darcy and Ger-
	sevanov in a two-phase model ($kg.m^{-3}.s^{-1}$)
	ρ_i solid (liquid) phase density (kg/m^3)
	$\sigma_e(\varepsilon_s)$ effective solid stress function (Pa)
	$v_i(z, t)$ solid (liquid) phase average velocity (m/s)
	$v_m(z, t)$ volume average velocity (total volume flux of the suspension) (m/s)
	z_b cylindric clarifier height (m)
	$z_c(t)$ intermediate/compression interface location (m)
	z_f average location of the activated sludge feed zone (m)
	$z_v(t)$ sludge blanket location (m)

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604 **References**

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