

Stress Analysis in AAA does not Predict Rupture Location Correctly in Patients with Intraluminal Thrombus

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26 Abstract and key words

27 **Objectives**

A biomechanical approach to the rupture risk of an abdominal aortic aneurysm (AAA) could be a solution to ensure a personalized estimate of this risk. It is still difficult to know in what conditions, the assumptions made by biomechanics, are valid. The objective of this work was to determine the individual biomechanical rupture threshold and to assess the correlation between their rupture sites and the locations of their maximum stress comparing two computed tomography scan (CT) before and at time of rupture.

34

35 Materials and Methods

We included 5 patients who had undergone two CT; one within the last 6 months period before rupture and a second CT scan just before the surgical procedure for the rupture. All DICOM data, both pre- and rupture, were processed following the same following steps: generation of a 3D geometry of the AAA, meshing and computational stress analysis using the finite element method. We used two different modelling scenarios to study the distribution of the stresses, a "wall" model without intraluminal thrombus (ILT) and a "thrombus" model with ILT.

42

43 **Results**

The average time between the pre-rupture and rupture CT scans was 44 days (22-97). The median of the maximum stresses applied to the wall between the pre-rupture and rupture states were 0.817 MPa (0.555-1.295) and 1.160 MPa (0.633-1.625) for the "wall" model; and 0.365 MPa (0.291-0.753) and 0.390 MPa (0.343-0.819) for the "thrombus" model. There was an 48 agreement between the site of rupture and the location of maximum stress for only one patient,49 who was the only patient without ILT.

50

51 **Conclusion**

52 We observed a large variability of stress values at rupture sites between patients. The rupture

53 threshold strongly varied between individuals depending on the intraluminal thrombus. The site

54 of rupture did not correlate with the maximum stress except for one patient.

55 Key words: Peak wall stress, Finite Element Analyses, Ruptured abdominal aortic aneurysm

56

58 Introduction

The challenge in managing patients with aortic aneurysms is to estimate the relationship between the surgical risk and the benefit of no rupture. Actually, defining the rupture risk of an asymptomatic or symptomatic abdominal aortic aneurysm (AAA) is essential for patients with this condition. By consensus, a maximum aneurysm diameter of 55 mm represents the current surgical indication for AAA.¹⁻³ However, this diameter threshold does not consider interindividual variability. Indeed, small aneurysms may also be prone to rupture, while very large aneurysms may be observed without any symptoms.^{1,4}

Numerical simulation using Finite Element Analyses (FEA) is an approach that could enable 66 67 the prediction of rupture risks. Rupture of an aneurysm occurs when the local wall stress exceeds the local wall strength. Mechanical stresses mostly depend on the luminal pressure and 68 69 on the arterial geometry, whereas the wall strength is a patient-specific material property. The latter being unknown, research focused on stress estimation. Several studies highlighted the 70 71 relevance of biomechanical markers to estimate a risk of rupture by integrating factors such as patient geometry and characteristics into biomechanical criteria.⁵⁻¹⁰ However, although they are 72 statistically relevant, the significance of biomechanical markers at the individual level remains 73 to be demonstrated. 74

Moreover, the mechanisms leading to ruptures are not yet completely understood. Identifying the mechanism of rupture would enable to define more precisely an individual risk of rupture. A biomechanical approach to the rupture risk of an abdominal aortic aneurysm (AAA) could be a solution to ensure a personalized estimate of this risk. It is still difficult to know in what conditions, the assumptions made by biomechanics, are valid. The objective of this work was to determine the individual biomechanical rupture threshold and to assess the correlation between their rupture sites and the locations of their maximum stress comparing two Computed tomography (CT) scan before and at time of rupture.

83

84 Materials and Methods

We have conducted a retrospective study. The FEA method was applied to perform stress analyses on 5 patients who had a CT scan at the time of rupture and a CT scan within the 6 months prior to rupture. The use of these scan datasets permitted studying the evolution of the stresses of an AAA in the 6 months preceding the rupture.

89 **Study population**

Between 2010 and 2017, all patients who were managed urgently in the Vascular Surgery 90 Department of Nancy, Dijon or Besancon for ruptured AAA were studied. Only patients treated 91 92 for ruptured abdominal aortic aneurysm with CT scan diagnosis were taken into account. They also had to have an abdominal CT scan done in the 6 months before rupture to be included. CT 93 scans of ruptured AAA revealed an extravasation of contrast material associated with an intra-94 95 or retroperitoneal hematoma. Any patient with a posterior aneurysmal rupture or associated with infectious or inflammatory aorta was excluded. We decided to study only patients with a 96 case of anterior or lateral rupture. Chronic posterior ruptures are partly related to friction with 97 vertebral bodies and are therefore part of a different biomechanical failure mechanism.¹¹ We 98 chose to exclude posterior aneurysm rupture as, according to current theory, the spine plays a 99 critical role in the posterial rupture^{12,13} but it was not incorporated in our model. The failure 100 sites were then identified, when it was possible to visualize a contrast extravasation.¹⁴ 101

102 Computational modelling

The same protocol was applied for all models: generation of a 3D geometry of the lumen and thrombus of the AAA, volume meshing, and calculation of biomechanical criteria. (Figure 1) We chose to study a model without thrombus and a model with thrombus. The aneurysmal wall was modelled with shell elements¹⁵ whereas the thrombus was modelled with solid elements. FEA were performed by a single investigator.

108 Segmentation

Simpleware[™] ScanIP (Version N-2018.03-SP1; Synopsys, Inc., Mountain View, USA) was used to process CT DICOM datasets. The data were segmented in a semi-automatic way, based on thresholding criteria. For each CT scan, we segmented the lumen and thrombus of the AAA between the renal arteries and the aortic bifurcation. The segmentation of ruptured AAAs excluded the extra peritoneal hematoma which was identified thanks to the lower concentration of contrast agent after the haemorrhagic shock. The smoothing factor for all cases was assumed to be the same.

116 Mesh

The Synopsys' Simpleware[™] FE module was used for volumetric mesh generation. Each 3D
geometry was meshed using quadratic tetrahedral 3D elements. A previous mesh size study
permitted to determine the optimal mesh size, with about 150 000 nodes and 160 000 elements
for each FEA.

121 Finite Element Analysis

FEA were conducted using the Abaqus/CAE 2018 software (Dassault Systemes, SIMULIA, RI, USA).

We used two different modelling scenarios to study stress distributions. In the first scenario, the model consisted of a wall part, the pressure being applied onto it. In the second scenario, the model consisted of a wall part and a thrombus part. The pressure was applied on the inner surface.

To create the wall part from Abaqus, a membrane composed of STRI65 elements was applied
to the entire aneurysm to reproduce the aortic wall, defined with a thickness of 1.5 mm.⁸

130 The thrombus part consisted of C3D10 elements. It was assumed to be completely tied to the131 wall part by merging the common nodes of the boundary.

Such analysis on AAA usually requires computing the zero-pressure geometry of the aorta. 132 133 Since such computation can only be achieved when the patient-specific material properties are known, we preferred using the assumption proposed by Joldes et al. They performed the stress 134 analysis using linear elastic behaviour and infinitesimal strains, ratio between wall stiffness and 135 thrombus stiffness should be about 20:1. The wall part was assigned a Young's modulus of 136 100 000 MPa, and a Poisson's ratio of 0.48. The thrombus part was assigned a Young's 137 modulus of 50 000 MPa and a Poisson's ratio of 0.48.^{16,17} We used a 2:1 ratio and verified that 138 the 2:1 ratio and the 20:1 ratios gave the same location of the peak wall stress. 139

The same boundary conditions were assigned to the 10 cases (5 patients, pre- and rupture analyses). A uniform blood pressure was applied onto the luminal surface (120mmHg). The AAA was fixed at the renal arteries and the aortic bifurcation. It was assumed that there was no contact with neighbouring organs.

After performing the stress analysis, the following criteria were recorded: the mean of Peak
Wall Stress (PWS), the 99th percentile of the PWS. The considered stress component was the

first principal component. We used pre-rupture CT geometries to derive the peak wall stress
and only used the post-rupture CT scan to compare rupture locations and peak stress locations.

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149 Statistical Analysis

We conducted an observational analysis. Quantitative data were expressed as median(minimum-maximum) and qualitative data were expressed as numbers (percentage).

152

153 **Results**

We retrospectively identified 5 patients corresponding to our inclusion criteria (Figure 2). One patient came from the University hospital of Nancy, one patient came from the University hospital of Besancon and three patients came from the University hospital of Dijon. We included 5 patients, all men, who had a median age of 72 years (61-79). There was no history of diabetes, renal impairment or stroke. Four patients presented hypertension, five were smokers, two with dyslipidemia, coronary artery disease and peripheral arterial occlusive disease.

FEA were performed on 5 asymptomatic AAA, which ruptured secondarily. The median time
between the pre-rupture and rupture CT scans was 44 days (22-97). The AAA of Patient 1 had
the characteristic of not presenting an intra-luminal thrombus (ILT).

Table I shows FEA calculated parameters. Pre-rupture and rupture FEAs were compared with
the "thrombus" model and with the "wall" model. Respectively, the median was 0.365 MPa
(0.291-0.753) and 0.390 MPa (0.343-0.819) for the "thrombus" model. The median was 0.817
MPa (0.555-1.295) and 1.160 MPa (0.633-1.625) for the "wall" model. The stresses observed
on the "thrombus model" were higher in rupture than in pre-rupture stage, from 2.4 % to 96.7

%, without any link to the delay between the two CT scans. This was also observed in the "wall" 169 model, from 8.8 % to 98.9 %, with the exception of one patient. In this "wall" model, the 170 stresses were reduced by 10% for patient 4 in comparison with rupture. The stresses were 171 marginally higher in the wall model compared to the thrombus model, from 83-254% range for 172 the pre-rupture stage to 85-270% for the rupture stage. The rupture occurred for different 173 174 inhomogeneous stress values. It was not possible to define a common stress threshold value for 175 each AAA. Concerning the stress distribution, it seemed more obvious to find agreements in the wall model compared to the thrombus model (Figure 3 and 4). 176

The site of rupture was not visible for patient 4. For other patients, the rupture site was
visualized by contrast extravasation, wall continuity solution or intra-thrombus haemorrhage.
There was an agreement between PWS and rupture site for a single patient (patient 1), the one
who had the particularity of not presenting ILT. (Table I) (Figure 5)

181

182 **Discussion**

183 Rupture threshold

FEA can predict the rupture risk of an AAA for a predefined blood pressure.¹⁸ Through this work, we wanted to study more precisely the evolution of the peak wall stress based on preruptured and ruptured scanographic data of AAA within a short period of 6 months preceding the rupture. There are only 2 other studies comparing pre-rupture and rupture CT scans of the same patients, but the time between aneurysm rupture and the pre-rupture scan was significantly larger: 308 days for the work of *Erhart et al*¹⁴ and 731 days for the work of *Jalalzadeh et al*¹⁹. As expected, the stresses values of the rupture stage were larger than the pre-rupture stage.

191 These results were consistent with the work of *Erhart et al.*²⁰

The results of the study show a great dispersion of the stress values at rupture as well as a 192 variability of the evolution during the last six months preceding the rupture. The rupture 193 occurred for different stress values, with variations ranging from single to double. The rupture 194 195 stress value seems intrinsically patient specific. This could easily be explained by the fact that strength values may vary significantly with the thrombus geometry, which was shown to play 196 a prominent role on the proteolytic activity of the wall.^{6,21,22} The study of the stress distribution 197 of an AAA represents an indirect sign of rupture risk. This study does not allow to estimate the 198 199 individual risk of rupture. The wall strength has to be determined in order to derive an individual risk. It should be highlighted that the largest stresses were predicted in the absence of thrombus. 200 This could indicate a shielding role of the thrombus.²³ This would also confirm the role of the 201 thrombus in causing indirectly a decrease of the wall strength due to the increased proteolytic 202 activity. Accordingly, the wall model, though imperfect, can provide fast predictions. Published 203 204 models over the last 10 years have attempted to approach reality, but there is still a pressing need of simple models that can estimate accurately AAA rupture risk.^{24,25} 205

From the clinical point, these results have finally highlighted the need for ruptured or symptomatic AAA hospitalized patients to maintain minimal systolic blood pressure under 70 -90 mmHg in order to decrease the stresses applied to the arterial wall.^{26,27} Controlling the blood pressure would give important indications about the risk of rupture.

210

211 Correlation between rupture site and maximum stress

We were able to find an agreement between the maximum stress location and the rupture site for only one out of the five patients, the one without thrombus. For the other patients, none of the models with or without ILT showed any correlation between the distribution of the maximum stress and the rupture site. Some studies on small cohorts have studied the correlation

between PWS and rupture site. The results were contradictory. Some studies found a correlation 216 between the rupture site and PWS or PWRR (PWS/Wall strength) location. ^{8,14,28} The fact that 217 the PWS location and the rupture site agreed only for the thrombus-free patient could indicate 218 219 that the thrombus would participate in a redistribution of the stresses applied to the wall, or that the thrombus would induce a local decrease of the wall strength due to larger proteolytic 220 activity. Thus, the ILT could cause a change in the stresses applied to the aneurysmal wall and 221 222 simultaneously a change of strength, related to its thickness and distribution. Doyle et al studied 223 CT data of a secondarily ruptured case. They observed that, on the pre-rupture data, the peak wall stress was located on the rupture site. However, only one case was presented in their study, 224 which was an AAA without thrombus.²⁹ 225

*Metaxa et al*³⁰ studied the failure site of an AAA. They were able to point out that the maximum 226 stresses were located at the shoulders of the AAA and that the rupture occurred preferentially 227 in the zone where the growth of the aneurysm was the most important. They also determined 228 that the wall failure site did not coincide with the thrombus failure site. Thus, we could suggest 229 230 that the maximum stresses were not a sufficient indicator for estimating the individual rupture risk. It appears that the patient-specific strength of the aneurysmal wall is needed to evaluate 231 the rupture site, even when we have recent pre-rupture scans. Moreover, the thrombus plays an 232 essential role on the stress distribution in the wall. The work of Wang et al^{31} also showed that 233 the thrombus thickness would influence the localization of the maximum stress and the stress 234 distribution. In addition, the rupture location in the thrombus does not correspond exactly to the 235 rupture location in the wall as demonstrated by *Metaxa et al*, which emphasizes the complexity 236 of the role played by ILT.³⁰ 237

It was observed that rupture occurs preferentially in the posterolateral region, which is in agreement with our results (3 patients out of 4). This observation could be related to the external constraints applied onto the AAA.⁴ Moreover, the effects of surrounding tissues, not accounted

for in our model, could explain the deviation between the actual rupture location and the 241 location of peak wall stress. Farsad et al^{13} investigated the role of the spine in the development 242 of AAA, showing that it could promote anterior and posterolateral AAA progression. Therefore, 243 the spine therefore has an impact on the distribution of stresses on the wall. This is in agreement 244 with the work of Kim et al^{32} on thoracic aortic aneurysms. They showed that the tissues 245 surrounding the thoracic aorta should be taken into account when studying the stresses applied 246 to the wall. Finally, studies on cerebral aneurysms have also highlighted the importance of the 247 perianeurysmal tissue on rupture.³³ 248

As we applied a similar wall strength for all situations, we chose not to calculate the RPI.³⁴ Indeed, the RPI map would be similar to the stress map and the location of the peak wall stress would be the same as the location of peak RPI. A possible interesting future work would be to have regionally varying strength values to derive the RPI. However, assessing patient-specific and region-specific strength remains challenging.³⁵ These variabilities are the main reason explaining the discrepancy between the location of peak wall stress and the location of observed rupture.

256

257 Limitations of the model

The small sample size is a limitation of this work. We favored short times between the 2 scans over the sample size, unlike other studies where the time between aneurysm rupture and the pre-rupture scans was significantly larger.^{14,19}

Material properties cannot be derived from CT scans so the same model was used for all patients. The model did not take into account wall calcifications, surrounding organs, wall thickness and layer-specific material properties. To overcome these limitations, we used the Joldes approach¹⁶, which did not require information on material properties and neglects geometric nonlinearities.^{10,17,36,37}

ILT modeling does not take into account all the complexity of its composition and its role in
 AAA rupture. The complexity of ILT mechanics deserves future studies to evaluate how it
 affects the location of the peak wall stress in the wall.³⁸

We decided to use the 99th percentile stress which was more reliable as a biomechanical imaging
 marker than PWS, in order to avoid all false positives related to segmentation defects.^{39,40}

We assumed a uniform 1.5mm wall thickness as it was not possible to measure the thickness from CT scan data. However, adopting a constant wall thickness is one of the limitations of this work. Several studies ⁴¹⁻⁴⁴ took into account the thickness of the wall to compute the wall stress in AAA. They observed that this had an impact on the distribution of stresses. Taking into account wall thickness could help to refine rupture site.

The remodeling related to the retro or intra-peritoneal hematoma complicated semi-automaticsegmentation.

Due to lack of information, we had to apply a uniform blood pressure of 120 mmHg on all models. However, the occurrence of an AAA rupture leads to a state of hemorrhagic shock and therefore a modification of the stresses applied to the aneurysm wall.

281

282 Predictive biomechanical markers of rupture

While many studies have highlighted the superiority of biomechanical markers¹⁰, the exact mechanism of AAA rupture is not yet known. It would seem that estimating such markers could be considered as indirect signs of increased risk of rupture. They could not be interpreted on an individual scale of risk of rupture. To know to what stress the aneurysmal wall ruptures, it might be interesting to take into account the stress values for a non-aneurysmal wall portion of the same patient or to try to determine the site of the wall with the lowest strength.

290

291 Conclusion

292 In conclusion, rupture risk estimation for AAA based on PWS presented a large inter-individual

variability and did not correlate with the rupture site. We submitted that the site of rupture was

determined by the regional variations of the wall resistance rather than the wall maximum stress

and that the ILT played a major role in these variations.

296

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300

- 301 We did not ask for ethics committees as we were outside the Jardé law. There was no change
- 302 in current clinical practice.

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- **Figure 1**. Steps of Finite Element Analyses
- 434 **Figure 2**. Flow Chart
- **Figure 3**. Stress maps (first principal component) obtained with the FEA of the wall model
- 436 Patient 1
- 437 A1: pre-rupture, anterior view (min +0.062 [MPa]; max +0.752 [MPa])
- 438 B1: pre-rupture, posterior view (min +0.062 [MPa]; max +0.752 [MPa])
- 439 C1: rupture, anterior view (min +0.068 [MPa]; max +0.819 [MPa])
- 440 D1: rupture, posterior view (min +0.068 [MPa]; max +0.819 [MPa])
- 441
- 442 Patient 2
- 443 A2: pre-rupture, anterior view (min +0.079[MPa]; max +0.959[MPa])
- 444 B2: pre-rupture, posterior view (min +0.079[MPa]; max +0.959[MPa])
- 445 C2: rupture, anterior view (min +0.117[MPa]; max +1.404[MPa])
- 446 D2: rupture, posterior view (min +0.117[MPa]; max +1.404[MPa])
- 447

- 449 A3: pre-rupture, anterior view (min +0.068[MPa]; max +0.817[MPa])
- 450 B3: pre-rupture wall model, posterior view (min +0.068[MPa]; max +0.817[MPa])
- 451 C3: rupture wall model, anterior view (min +0.135[MPa]; max +1.625[MPa])
- 452 D3: rupture wall model, posterior view (min +0.135[MPa]; max +1.625[MPa])

- 454 Patient 4
- 455 A4: pre-rupture, anterior view (min +0.107[MPa]; max +1.295[MPa])
- 456 B4: pre-rupture, posterior view (min +0.107[MPa]; max +1.295[MPa])
- 457 C4: rupture, anterior view (min +0.096[MPa]; max +1.160[MPa])

⁴⁴⁸ Patient 3

458 D4: rupture, posterior view (min +0.096[MPa]; max +1.160[MPa])

459

460 Patient 5

- 461 A5: pre-rupture, anterior view (min +0.046[MPa]; max +0.554[MPa])
- 462 B5: pre-rupture, posterior view (min +0.046[MPa]; max +0.554[MPa])
- 463 C5: rupture, anterior view (min +0.052[MPa]; max +0.633[MPa])
- 464 D5: rupture, posterior view (min +0.052[MPa]; max +0.633[MPa])

465

- 466 Figure 4. Stress maps (first principal component, inner side of the wall) obtained with the FEA
- 467 of the thrombus model
- 468 Patient 1
- 469 A1: pre-rupture, anterior view (min +0.062 [MPa]; max +0.752 [MPa])
- 470 B1: pre-rupture, posterior view (min +0.062 [MPa]; max +0.752 [MPa])
- 471 C1: rupture, anterior view (min +0.068 [MPa]; max +0.819 [MPa])
- 472 D1: rupture, posterior view (min +0.068 [MPa]; max +0.819 [MPa])

473

- 474 Patient 2
- 475 A2: pre-rupture, anterior view (min -0.070 [MPa]; max +0.370 [MPa])
- 476 B2: pre-rupture, posterior view (min -0.070 [MPa]; max +0.370 [MPa])
- 477 C2: rupture, anterior view (min -0.172 [MPa]; max +0.379 [MPa])
- 478 D2: rupture, posterior view (min -0.172 [MPa]; max +0.379 [MPa])

- 480 Patient 3
- 481 A3: pre-rupture, anterior view (min -0.145 [MPa]; max +0.290 [MPa])
- 482 B3: pre-rupture, posterior view (min -0.145 [MPa]; max +0.290 [MPa])

483 C3: rupture, anterior view (min -0.143 [MPa]; max +0.572 [MPa])

484 D3: rupture, posterior view (min -0.143 [MPa]; max +0.572 [MPa])
485

- 486 Patient 4
- 487 A4: pre-rupture, anterior view (min -0.044 [MPa]; max +0.365 [MPa])
- B4: pre-rupture, posterior view (min -0.044 [MPa]; max +0.365 [MPa])
- 489 C4: rupture, anterior view (min -0.111 [MPa]; max +0.389 [MPa])
- 490 D4: rupture, posterior view (min -0.111 [MPa]; max +0.389 [MPa])
- 491
- 492 Patient 5
- 493 A5: pre-rupture, anterior view (min -0.171 [MPa]; max +0.302 [MPa])
- 494 B5: pre-rupture, posterior view (min -0.171 [MPa]; max +0.302 [MPa])
- 495 C5: rupture, anterior view (min -0.031 [MPa]; max +0.343 [MPa])
- 496 D5: rupture, posterior view (min -0.031 [MPa]; max +0.343 [MPa])
- 497
- 498 Figure 5. CT scan and stress maps (first principal component) obtained with the FEA of499 ruptured AAA. The rupture site is visualized by the red circle;
- 500 Patient 1: A1, rupture anterior view of the thrombus model, (min +0.068 [MPa]; max +0.819
- 501 [MPa]); A2, rupture anterior view of the wall model (min +0.068 [MPa]; max +0.819 [MPa])
- 502
- 503 Patient 2: B1, rupture anterior view of thrombus model, (min -0.172 [MPa]; max +0.379
- [MPa]); B2, rupture anterior view of the wall model (min +0.117[MPa]; max +1.404[MPa])
- Patient 3: C1, rupture anterior view of the thrombus model, (min -0.143 [MPa]; max +0.572
- 506 [MPa]); C2, rupture anterior view of the wall model (min +0.135[MPa]; max +1.625[MPa])

507

508	Patient 5: D1, rupture anterior view of the thrombus model, (min -0.031 [MPa]; max +0.343
509	[MPa]); D2, rupture anterior view of the wall model (min +0.052[MPa]; max +0.633[MPa])
510	
511	



Figure 1.



Figure 2.















Figure 5.

	CT scan data				Thrombus model		Wall model		
	MAD (mm)					99th percentile stress (MPa)			
Patient	Time between the two CT scan (days)	AAA pre ruptured	AAA ruptured	CTA rupture location	PWS location	AAA pre ruptured	AAA ruptured	AAA pre ruptured	AAA ruptured
1	97	54	59	Right anterior	Right anterior	0.753	0.819	0.753	0.819
2	44	74	76	Left lateral	Third proximal	0.370	0.379	0.959	1.404
3	22	51	57	Left postero- lateral	Right lateral	0.291	0.572	0.817	1.625
4	36	90	97	-	Third proximal	0.365	0.390	1.295	1.160
5	90	53	58	Left postero lateral	Right and left antero lateral	0.303	0.343	0.555	0.633

Table I. Morphological data and FEA calculated parameters of AAA