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Impact of transposable elements on the human genome

Jean Marc Deragon' and Pierre Capy2

Presence of transposable elements **(TEs)** in the human genome has profound effects on genome function, structure and evolution. TE mobility and inter-TE recombination are the origin of a large spectrum of mutations and genome reorganization leading to diseases. From the data provided by the Human Genome Project and from information on the detection and dynamics of **TEs** within and between species acquired during the last two decades, we now know that these elements are not only involved in mutagenesis but can also participate in many cellular functions including recombination, gene regulation, protein-coding RNA messages and, possibly, cellular stress response and centromere function. **TEs** also promote a general genome shuffling process that has been important for the evolution of several gene families and for the development of new regulatory pathways.

Key *words:* **diseases; human genome; transposable elements.**

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Introduction

Transposable elements (TEs) are defined as DNA sequences able to move from one genomic position to another in a replicative or nonreplicative process. In denaturation-reassociation experiments they represent a large part of the middle repetitive fraction of the genome (1). Most TEs encode protein(s) required for their mobility and use the host cellular machinery for their transcription and translation. TEs are present at different levels in all genomes from prokaryotes to vertebrates. For instance, in the yeast *Succharomyces cerevisiea* Ty elements account for 3.1% of the total genome *(2),* while in the maize *Zeu* mays this proportion is more than 50% (3). In humans, the latest estimates suggest that more than 40% of the

genome consists of TE sequences or is directly derived from them (4).

The impact of TEs on the host genome is not neutral. At the end of the 1970s and the beginning of the 1980s, they were generally considered as 'selfish genes', suggesting that these elements 'spread by forming additional copies of themselves within the host genome and did not contribute to the phenotype' **(5).** Later, it was assumed that 'selfish genes may not have effects on phenotypes, but many of them do' (6). Since the end of the 1980s this vision has changed slightly. Today TEs are considered as potentially useful for the host genome. More precisely, while the mobility of TEs can be highly deleterious for the host, **TEs** can also be beneficial generating new genetic structures and regulation pathways that can favour a better adaptation to environmental conditions.

In this respect, it is also interesting to mention that recent research has pointed out the effect of environmental stress on the activity and transposition of some TEs. For example, in bacteria stress can induce the activity of several insertion sequences (7), and in plants the *Tntl* elements can be activated by various types of biotic and abiotic stress (8). Thus, as McClintock wrote in 1984 (9): 'These elements are probably involved in the genome response to environ-

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mental challenges'. According to this view, although the mobility of TEs is a **risk** for an individual as they can be deleterious, at the population level they can be useful. In other words, they can be seen as 'useful parasites'.

Different classes *of* **TEs in the human genome**

In the human genome, two classes of elements are found (Fig 1): class I elements that use an RNA intermediate and a reverse transcriptase, and class I1 elements that use a DNA intermediate and a transposase. The most abundant class I elements are those belonging to the long interspersed elements (LINEs) and the short interspersed elements (SINEs). The former encode a reverse transcriptase while the latter probably use the proteins produced by the former. The abundance of these elements is relatively high as more than 10 000 copies of LINEs and *500* 000 copies of SINEs, in particular *Alu* elements, are found in each genome (10). Class I1 elements are less abundant, and most of them seem to be members of the *mariner-Tcl* superfamily. However, it has been estimated that

more than 100 000 degenerate copies of short DNA elements (180-1200 bp) with short inverted terminal repeats (14-25 bp) and with 2-8-bp target sites are present in the human genome (11). Most of these were considered as fossils. For a recent and detailed description of human TEs see **(4).**

Retroviruses are repeated sequences closely related to transposable elements. Evolutionary relationships between long terminal repeat (LTR) retrotransposons and retroviruses have been suspected since the end of the 1970s (12), and more recently these relationships have been clearly included in evolutionary models of transposable elements (1 **3,** 14). Several endogenous retroviruses have been described in the human genome (15). One of the main differences between these elements and the LTR retrotransposons is the presence of an envelope gene in retroviruses. In the present review our attention will focus mainly on transposable elements rather than on retroviruses.

Transposable elements *as* **mutagenic agents**

TEs can generate mutations either by *de novo* insertions or by DNA rearrangements. Mutations

Figure 1. Structure of the main classes of transposable elements found in the human genome. A and B, RNA polymerase III promotors; Core, conserved region of unknown function; ENDO, endonuclease; gag, capside gene; ITR, inverted terminal repeat; LINE, long interspersed element; LTR, long terminal repeat; pol, polyprotein including reverse transcriptase, integrase, ribonuclease H and protease domains; PBS, primer binding site; PlT, polypurine tract; RT, reverse transcriptase; SINE, short interspersed element; transposase, protein required for the excision and insertion of class II elements.

occurring in germ cells may cause hereditary disorders while somatic mutagenic events can be involved in oncogenesis. Although the frequency of mutations that can be attributed to TEs has not been determined empirically, it can be estimated on the basis of available sequence data. Nearly **1** in **600** mutations in humans are estimated to arise directly from the integration of TEs **(16),** and about 0.1% of known genetic disorders can be explained by this mechanism **(10).** Table **1** lists diseases that can be explained by TE insertions. Mutations resulting from homologous recombinations between TE repeats are more frequent, and it is estimated that another **0.3%** of known human genetic diseases can be explained by this mechanism (10). Table 2 lists diseases resulting from TE-mediated recombinations. It is likely that these frequencies are underestimates of the true contribution of TEs to diseases, as many mutation detection strategies are designed to identify point mutations and may overlook insertions. Also, many TE insertions and/or TE-induced recombinations may be lethal during embryogenesis.

pression in many ways. The major impact comes from the insertion of TEs in introns that disrupt splicing, causing exon skipping and intron sliding **(20).** TEs can also alter gene expression by integrating in the promoter region or can directly disrupt reading frames by integrating in exons. An unequal homologous recombination between two TEs located in different introns of a gene can lead to deletions and duplications of gene sequences. Similar effects can result if TE sequences recombine with non-TE sequences in nonhomologous recombination events. TE can also be implicated in ectopic recombination events generating large chromosomal rearrangements including chromosome translocation (see Table 2).

Two other mutagenic mechanisms linked to TE insertions are emerging from recent experimental results. The first is the capacity for SINE retroposons to be used as nucleation centres for *de novo* methylation **(36-38).** During or after integration, SINES are directly targeted by methylases, and this methylation can be propagated into flanking genomic sequences creating distal epigenetic modifications. Gene regulation can therefore be modified by these

De novo insertion of TEs can disturb gene ex-

Disease	Gene affected	TE implicated	
Acholinesterasaemia	Cholinesterase	Alu	
Acute intermittent porphyria	PBGD Alu		(17)
Alport's syndrome	COL4A3 Alu		$(18*)$
Apert's syndromet	FGFR ₂ Alu		(10^*)
Branchio-oto-renal syndrome	EYA1	Alu	(10^*)
Breast cancer	c-myc	LINE-1	$(18*)$
Breast cancer	BRCA ₂	Alu	(18^*)
B-cell lymphoma	Mlvi-2	Alu	$(18*)$
Duchenne muscular dystrophy+	Dystrophin	LINE-1	$(18*)$
Fukuyama-type congenital			
muscular dystrophy	FCMD	SINE-R.C2	(19)
Glycerol kinase deficiency	GK	Alu	(10^*)
Heart disease (risk factor)	ACE	Alu	(10^*)
Haemophilia At	Factor VIII	LINE-1	$(18*)$
Haemophilia B	Factor XI	Alu	$(18*)$
Hereditary desmoid disease+	APC	Alu	(10^*)
	APC	LINE-1	$(18*)$
Hypocalciuric hypercalcaemia and			
neonatal severe hyperparathyroidism	CaR	Alu	$(10*)$
Hereditary angioneurotic oedema type I	C1 inhibitor	Alu	(20^*)
Neurofibromatosis type I	NF ₁	Alu	$(18*)$
Gyrate atrophy of the choroid and retina	Ornitine δ-aminotransferase	Alu	$(18*)$
Mucopolysaccaridosis type VII	Beta-glucuronidase	Alu	(21)
Ovarian carcinoma	PROGINS	Alu	(10°)
Retinitis pigmentosa	RET3C11	Alu	(22)
Rheumatoid arthritis (susceptibility to)	HLA-DQB1 HERV-K		(23)
X-linked immunodeficiency (XSCID)	IL2RG Alu		(10^4)
X-linked agammaglobulinaemia	Btk	Alu	(10^*)

Table 1. Diseases generated by the presence **of** transposable elements **(TEs)** in gene coding regions.

'Including references therein

tMore than one independent event

HERV-K, human endogenous retrovirus: LINE, long interspersed element: SINE, short interspersed element.

'Including references therein

tMore than one independent event

LINE, long interspersed element.

epigenetic modifications. The other mechanism involves the possibility that SINE insertions can modify chromatin organization and gene expression domains. Human SINEs have been shown to associate prefentially with matrix attachement regions (MARs) **(39,** 40). MARs are genomic DNA sequences with an affinity for a set of insoluble proteins constituting the nuclear skeleton (matrix). According to a current model, the final compaction accommodating the linear DNA molecule inside the nucleus is achieved by the folding of the DNA-histone solenoids into loops of various sizes. The base of such putative structural loops is attached via MARs to the nuclear matrix.

Thus, MARs are genomic elements that can potentially delimit individual structural (gene-expressing) units of chromatin. Changes in MAR activity following SINE integration, in either positive or negative direction, might be of significance for the structure and/or function of the genome, and a single event could modify the expression of a large number **of** genes. Recently, a plant SINE element, which presents many similarities to human SINEs, was shown to integrate into MARs and to modify MAR activity *in vitro* (AP Tikhonov, C Tatout, L Lavie, JL Bennetzen, *Z* Avramova and JM Deragon, unpublished observations, 2000). It remains to be determined whether

human SINES can also modify MAR activity upon integration.

Impact of TE RNA or TE proteins on cell biology

The purpose of TE RNA and proteins is to promote transposition. However, in several cases TE RNA or TE proteins have been implicated in physiological functions independent of the transposition process. One example is the putative role of *Alu* RNA on cell physiology (reviewed in (42)). Viral infection, inhibition of translational elongation and heat shock all increase *Alu* RNA abundance. A5 *Alu* RNA is a potent inhibitor of the **double-stranded-RNA-dependent** kinase (PKR), which is known to regulate translation (through the α -subunit of the eukaryotic initiation factor 2 (eIF2 α) phosphorylation), transcription (through nuclear factor **KB** (NFKB) phosphorylation) and tumour necrosis factor (TNF) - α -induced apoptosis (42), the over-expression of *Ah* RNA could have a profound effect on cell physiology. A second example of a physiological impact of TE RNA is the implication of a small (1.7-kb) LINE-1 transcript in human cell proliferation (43). In agreement with a role for LINE-1 in cell proliferation, an augmentation of LINE-1 RNA and/or of the LINE-1 p40 protein has been observed in several cases of breast and urothelial carcinomas (44, 45). Human endogenous retrovirus products have also been implicated as mediators of normal biological processes, such as cellular differentiation or cell fusion, and in the development of diseases, including autoimmune disease and tumour development (reviewed in (46)). Concerning the *mariner* DNA element, transcripts are abundant in sclerotic epileptic hippocampi, while no *mariner*specific RNA can be detected in nonsclerotic hippocampi (47). It is suggested that *mariner* activity could establish an epileptogenic substrate in the hippocampus.

Regulatory regions provided by TE sequences

Promoter regions of eukaryotic genes are organized in short protein-binding DNA motifs that direct the assembly of multiprotein complexes directing transcription. TEs are known to contain high-affinity binding sites for transcription factors. These binding sites are necessary for the transcription of the element itself but can also influence the transcriptional regulation of nearby genes. Therefore, following *de novo* integrations, TEs can create new regulatory functions that can be domesticated by the host. Examples of domestication are presented in Table 3. The *Alu* element is particularly rich in transcription factor

Table 3. Transposable elements (TEs) implicated in gene regulatory functions.

Locus	TE implicated	Function	Reference
Apolipoprotein B RNA editing deaminase gene	Alu	Promoter	(47)
BRCA-1	Alu	Carries an oestrogen receptor-dependent	
		enhancer	$(48*)$
Calbindin D ₂₈ K	RTVL-H	Promoter	(49^*)
CDC4L	RTVL-H	Promoter	(49")
CD ₈	Alu	Polyadenylation signal	(49^*)
cDNA 7, cDNA γ	THE-1	Polyadenylation signal	(49^*)
$CH-6$	RTVL-H	Polyadenylation signal	(49^*)
$CH-7$	RTVL-H	Polyadenylation signal	(49^*)
$cPB-3$	RTVL-H	Polyadenylation signal	(49^*)
Gamma chain of Fc and T-cell receptors	Alu	Carries $+$ and $-$ promoter response elements	$(48*)$
β -globin locus	ERV-9	Carries enhancer linked to locus control region	(50)
HHLA2 (immunogobuline-like)	HERV-H	Polyadenylation signal	(51)
HHLA3	HERV-H	Polyadenylation signal	(51)
Inosine monophosphate dehydrogenase type 1 53	Alu	Promoter	(52)
Keratin k18	Alu	Carries retinoic acid receptor binding sites	(48°)
Myeloperoxidase	Alu	Carries retinoic acid and thyroid hormone	
		receptor binding site	$(48*)$
Phospholipase A2-like (PLA2L)	HERV-H	Promoter	(49^*)
PLT	RTVL-H	Polyadenylation signal	(49^*)
Proliferating-cell nucleolar protein gene	Alu	Carries promoter response elements	(53)
Salivary amylase	HERV-E	Promoter	(49^*)
Wilms' tumour gene	Alu	Acts as silencer	$(48*)$

*Including references therein

ERV-1, HERV-H and RTVL-H are endogenous retroviruses.

THE-1, transposon-like human element.

binding sites **(55, 56)** and is used as a promoter element of many genes, including genes responding to retinoic acid or hormones **(56)** (Table **3).** Human endogenous retroviruses (HERV, RTVL) also possess strong transcriptional signals that carry promoter functions for genes. In addition, endogenous retroviruses provide the polyadenylation signal for many genes (Table 3). Thus, TEs function by dissiminating regulatory cassettes throughout the genome. These cassettes are raw materials that were used to select new regulatory pathways during evolution.

TE sequences as part of mRNA

Insertion of TEs in gene coding regions may contribute to protein evolution if the new combinations generated are either advantageous or neutral. In a large number of cases, pieces of TEs found in exons are translated in functional proteins (see Table **4).** Splicing-

'Including references therein

LINE, long interspersed element; MIR, mammalian interspersed repeat; TARE, transaldolase-associated repetitive element; THE-1, transposon-like human element.

mediated insertion of intronic elements is the major mechanism by which TE segments are introduced into mRNA **(66).** TE sequences are also raw genetic material from which new gene coding combinations are derived. TE sequences are also present in **3'-** and 5'-untranslated regions (UTRs) of mRNAs. **For** example, it is estimated that **5%** of fully spliced human mRNAs contain Alu sequences (67). The presence of TE elements in UTRs of human transcripts may influence their regulation, as these regions are known to be involved in the control of **RNA** stability and translation. An example of this type of regulation has been found in rodents in which **B1** and ID SINE retroposons, when present in 3'-UTRs, are a part of the regulatory elements that ensure a coordinated expression of a set of mRNAs **(68).**

Role *of* **TE in gene duplication events**

The evolution of certain gene families can be explained by TE-mediated duplications or deletions. The glycophorin gene family is composed of three paralogous copies. A three-step scenario was proposed to explain its expansion in the human genome. The first step, a duplication, is followed by an unequal homologous Alu-Alu recombination followed by a second duplication (20). Alu elements were also involved in the duplication of the *al-acid glycoprotein* gene **(69)** and in the duplication of the *opsin* gene **(70).** *Ah,* **LINE-1** and endogenous retroviruses also participated in the evolution and diversity of duplicated **HLA-B** and **HLA-C** genes **(71).** Several duplicated genes located in the class **I** region of the major histocompatibility complex (MHC) and potentially implicated in susceptibility to several diseases also appear to have been generated through retroelement recombination **(72, 73).**

Exon shuffling: *a* **byproduct** *of* **TE transposition and possible general mechanism for the evolution** *of* **new genes**

SINEs and LINEs use reverse transcriptase in their replication cycle. While the *5'* end of the template RNA used in that process is easily defined by the transcription initiation site of the element, its **3'** end will be determined by an external terminator (SINES) or by a RNA maturation event directed by a polyadenylation signal (LINES). As the terminator is provided by the genomic site, the length of SINE RNA will systematically include a variable amount of sequences copied from the integration locus. As for the **LINE-1** element, the polyadenylation signal has been shown to be very weak, and sequences derived from the **3'** flanks can also be included in the **LINE-1**

RNA. The possibility to reverse transcribe and integrate not only retrotransposon sequences but also variable amount of sequences from the integration site may represent a general mechanism for the evolution of new genetic combinations. Recently, a LINE-1 element was shown to be capable of transposing a gene placed in its **3'** flanking regions **(74).** This process, called exon shuffling, could have contributed to the evolution of new genes. It remains to be determined what the frequency of these events is, but taking into account the high number of SINEs and LINEs in the human genome, a fairly low probability of exon shuffling could still have a significant impact on gene evolution.

TE and centromere function

The LINE-1 element has been proposed to play a role in the evolution of centromere function **(75).** The DNA sequences necessary and sufficient to form an active human centromere are not known, but *a*satellite repeats are considered to play an essential role in that function **(76).** The amount of alphoid sequences between homologues can be highly variable as, for example, two chromosome **21** homologues were shown to possess 420 kb and *5.6* Mb of alphoid sequences at their centromere, respectively **(77).** The length of alphoid sequences in the centromere of one chromosome can increase following unequal crossovers with its homologue or after a massive *de novo* amplification process (ie 'saltatory amplification'). As a consequence, the human centromeric regions could increase indefinitely in size without a mechanism to limit their expansion. The LINE-1 retrotransposon is known to insert frequently in alphoid satellite sequences **(78).**

A recent study of the centromeric and pericentromeric regions of chromosome **5 (79)** has shown that many LINE-1 elements were present within the a-satellite at the boundary between alphoid and nonalphoid sequences on both sides of the centromere. However, LINE-1 elements were excluded from the central region of the centromere that would be composed of uninterrupted α -repeats. Surprisingly, pericentromeric LINE-1 are highly polymorphic in human populations (presence/absence polymorphism), and several independent LINE-1 insertions can be defined as all present/all absent haplotypes (74, 79). These results suggest that intrachromosomal recombination involving pericentromeric LINE-1 elements on each side of the centromere is used as a mechanism to loop out alphoid sequences (uninterrupted and LINE-1 containing alpha repeats) in compensation to α -repeats expansion processes. In that case, LINE-1 would have been domesticated to limit human centromere obesity. However, LINE-1

could also have a damaging effect as its accumulation in centromeric regions could limit the length of uninterrupted α -repeats needed for correct functioning of centromeres. LINE-1 retrotransposons would therefore have both potential damaging and repairing effects on human centromeres.

One characteristic of the evolution of centromeric repeats is that, like most tandemly repeated DNA families, they undergo repeated rounds of recombination (mainly intrachromosomally) leading to homogenization of repeats within and between chromosomes (ie concerted evolution). The centromere protein B (CENP-B) is a highly conserved protein that can bind to a degenerate motif (ie the CENP-B box) found in most centromeric satellite sequences. CENP-B gene probably evolved from a transposase gene as the protein is homologous to the transposase of the *Tigger* transposon and as the CENP-B box is homologous to the inverted repeats of the same transposon **(80).** Based on these similarities, CENP-B, by its putative nicking activity, could promote homologous recombination reactions that lead to the homogenization of satellite repeats. Therefore centromere evolution has been highly influenced by a protein that most likely evolved from a transposable elementcoding region.

TEs as new generation molecular markers

The presence or absence of retroelements (ie SINEs, LINEs, retrotransposons) at different loci has been used recently to evaluate the ancestral relationships of host species. In contrast to classical phylogenetic tools, where many characters are needed to construct a significant phylogenetic tree, a few consistent retroelement insertions are sufficient to infer filiations (reviewed in **(81, 82)).** Retroelement insertional variability can be weighted very highly for several reasons. Retroelements have very low insertion site specificity **(83, 84)** and can insert essentially anywhere in the genome so that the probability of insertions at identical positions in two distinct lineages (ie convergent insertions) is highly unlikely. Retroelement insertions are very stable despite their repetitive nature. Unlike other markers where the ancestral state is ambiguous, the ancestral state of a retroelement insertion is known and always represents the absence of the element at a given locus. In humans, polymorphic insertions of the SINE *Alu* have been used to support the African origin of man **(85)** and the Asian origin of native American populations **(86).** *Alu* insertions (for a recent example see **(87))** as well as endogenous retroviral sequences **(88)** are also useful in the evaluation of genetic relations between man and higher primates. The LINE-1 element has also been used recently to study rodent evolution (89) and is now being developed to study also human population dynamics. Finally, *Ah* elements can be used as mutiple-loci polymorphic DNA markers for linkage mapping of the human genome (90).

Conclusion

As shown in this review, TEs have a profound impact on the human genome. This impact includes structural rearrangements, mutational insertions and interaction with the host cellular machinery. While TE-induced variations are generally deleterious to the host, as reported in Tables **1** and *2,* some insertions are advantageous and have probably been selected for (Table **3).** Therefore, these elements are probably not

as 'selfish' as proposed in the 1980s. The analysis of TE dynamics and evolution in several organisms suggests the existence of a coevolution process between hosts and TEs. Indeed, a genome needs to be plastic to solve the challenges of the environment rapidly. In other words, a genome without any TEs probably represents an evolutionary 'cul-de-sac'. Therefore, even if these elements may have a deleterious impact on the host genomes at the individual level, they can also be seen as a useful genetic load at the population level. Finally, the understanding of the dynamics of such elements in a genome, and in particular, in the human genome, will probably be useful to our understanding of several diseases and how to treat them.

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