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1 An improved Arbitrary Lagrangian-Eulerian
2 thermal-fluid model by considering powder deposition
3 effects on melting pool during Direct Energy Deposition
4 processes

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7 **Abstract**

8 Directed Energy Deposition (DED) has emerged notably by offering new
9 possibilities for (re-)manufacturing parts. The multi-phase thermal-fluid
10 models that simulate powder stream deposition are computationally too ex-
11 pensive. The mono-phase Arbitrary Lagrangian-Eulerian (ALE) method are
12 limited in prediction due to exclusion of powder deposition parameters. To
13 address this, we propose an improved 3D mono-phase thermal-fluid model
14 that incorporates powder deposition effects and employs a Moving Thermal-
15 Fluid (MTF) framework to accelerate simulations. Mass, energy, and mo-
16 mentum conservation equations are solved using the finite element method
17 (FEM) and an implicit time integration algorithm, and the ALE method
18 tracks the free surface during deposition. The improved ALE allows con-
19 sidering enthalpy and momentum related to powder deposition through the
20 implementation of new source terms in the energy and momentum conser-
21 vation equations, leading to more accurate predictions without significantly
22 increasing computing time. Numerical investigations into powder deposition
23 parameters, such as powder distribution, powder enthalpy, and powder mo-

24 mentum, are conducted to identify their impact on melting pool prediction.
25 The in-situ and ex-situ measurements of the melting pool are also performed
26 to check the efficiency of the proposed model. The applications highlight
27 the importance of incorporating powder stream effects and demonstrate the
28 proposed model's computational efficiency compared to the classical ALE
29 model.

30 *Keywords:* multiphysics model, ALE method, Directed Energy Deposition,
31 Thermal-fluid simulation, Powder deposition effects

32 1. Introduction

33 Additive manufacturing (AM) has attracted extensive attention and has
34 been developing greatly in the past few decades. The DED process is one
35 of the AM processes, where powders are delivered by the carrier gas flow
36 (such as Argon) onto the substrate or into the melting pool. The manufac-
37 tured part is printed layer upon layer, thus providing new possibilities for
38 manufacturing parts with complex geometries and different materials. DED
39 processes also present great potential for repair operations. However, the
40 manufactured parts may exhibit various defects, including high roughness,
41 surface defects, cracking, and microstructural heterogeneity. These issues
42 have limited the widespread industrialization of AM technologies. There-
43 fore, both experimental and numerical approaches are employed to gain a
44 better understanding of the physical challenges associated with the process,
45 with the ultimate goal of improving the quality of manufactured parts.

46 Shah *et al.* [1] experimentally investigated the effects of process param-
47 eters and melt pool variables on the surface roughness of the final part and
48 concluded that melt pool disturbance is a vital parameter in determining
49 the surface roughness. Pinkerton *et al.* [2] concluded that the hardness of
50 manufactured samples increased with increasing pulse frequency and had a
51 negative correlation with the pulse duty ratio. Li *et al.* [3] performed a com-
52 parative study of melt pool motion, temperature variation, and dendritic
53 morphology of Inconel 718 by applying pulsed-wave laser and continuous-
54 wave laser. Experimental results showed that the pulsed-wave laser resulted
55 in finer columnar dendrites. Recently, Jegou *et al.* [4] developed an in-situ
56 observation platform to observe the evolution of the melting pool during the
57 DED process, and high-quality images of the melting pool have been mea-
58 sured for better controlling the process. In general, the complex multiphysics

59 and multi-material phenomena occurring during the process make it impossi-
60 ble to capture all the essential information, and the experimental studies can
61 be very expensive considering that the process parameters can be numerous
62 [5]. In this context, numerical methods are alternative solutions and can
63 provide the quantities of interest at all points of the structure, which allow
64 for better understanding of the physical phenomena behind the process and
65 investigating the effect of each process parameter.

66 The numerical models that solve only heat transfer equations are efficient
67 in terms of computational time. However, these models are not predictive
68 because they do not account for transport phenomena and multiple interac-
69 tions. The formation of the deposited layer is generally performed by quiet
70 or inactive element techniques [6]. Various numerical techniques, such as the
71 apparent heat capacity method [7], enhanced thermal conductivity approach,
72 adaptive heat source methods [8, 9, 10], and state transformation (powder
73 to compact) [11], have been proposed and applied to improve the numerical
74 prediction quality. However, the capability of heat transfer models is guar-
75 anteed only for certain processing parameters, even with the aforementioned
76 numerical techniques, and calibrations are always necessary, which require
77 experimental data and are always time-consuming.

78 To increase the predictiveness of numerical models, the interactions among
79 the laser beam, powder stream, fluid flow, and heat transfer have been consid-
80 ered and modeled by multi-phase thermal-fluid models. The Computational
81 Fluid Dynamics (CFD) techniques have been exploited to model such pro-
82 cesses. The CFD models in DED processes are sophisticated, allowing for
83 the comprehensive modeling of various physical phenomena. Methods such as
84 the level-set [12, 13] and volume-of-fluid (VOF) [14] techniques are employed
85 to track interface evolution during deposition. Various physical phenomena
86 have been investigated by multi-phase models (air, metal), such as the role
87 of the powder stream on heat and fluid flow conditions [15], vaporization
88 phenomena and the impacts of vaporization on powder motion [16], and pre-
89 diction of inter-track voids [17], etc. More complex modeling frameworks,
90 such as those integrating the Discrete Element Method (DEM) and CFD,
91 have been proposed for DED simulations [18, 19]. These thermal-fluid mod-
92 els offer a unique perspective on modeling real powder particles, providing
93 insights into the physical mechanisms governing powder deposition. Studies
94 have demonstrated that the energy and momentum associated with pow-
95 der deposition can significantly alter temperature distribution and fluid flow
96 within the melting pool if the quantity of powder absorbed is substantial.

97 However, one main drawback is that the computational cost is too expen-
98 sive to simulate several millimeters. Another notable drawback of certain
99 methods, like the VOF technique, is the numerical diffusion of the diffuse
100 interface, leading to a loss of precision and issues with mass conservation
101 [20, 21]. Therefore, a fine mesh should be employed at the interface and its
102 future position, and consequently, the simulations become more computa-
103 tionally expensive. In the aforementioned multi-phase thermal-fluid models,
104 powder particles can be directly modeled, requiring a very fine grid size in
105 the micrometer range. They provide detailed insights into how each powder
106 particle affects the fluid dynamics within the melt pool. Despite efforts to
107 accelerate computations [22], the modeling domain remains limited to several
108 mm^3 with millions of elements.

109 The ALE-based mono-phase thermal-fluid models allow for the consid-
110 eration of only the substrate with the deposited layer, thus the ALE-based
111 thermal-fluid model is more computational efficient thanks to two facts: (i)
112 the modeling domain is much smaller in ALE method; (ii) the small viscos-
113 ity value of air leads to a smaller time step in volume of fluid and level-set
114 methods. Morville *et al.* [23] employed a 2D transient finite element thermal-
115 fluid model to improve the understanding of the phenomena responsible for
116 deleterious surface finish, and the dynamic shape of the molten pool is ex-
117 plicitly tracked by the ALE moving mesh technique. This approach has also
118 been extended to 3D models [24, 25, 26]. Kumar *et al.* [24] studied the
119 effect of melt pool convection and found that Marangoni–Benard convection
120 is dominant in fluid flow dynamics. Wirth *et al.* [25] proposed a physical
121 modeling and predictive simulation of the laser cladding process, where all
122 the input parameters are obtained from measurements without compromis-
123 ing assumptions or calibration. More recently, a local moving thermal-fluid
124 (MTF) framework has been proposed by Jia *et al.* [27]. This method involves
125 solving the exclusive thermal-fluid problem only in a small zone containing
126 the melting pool. Application in part-scale models has been demonstrated in
127 welding and DED, highlighting its computational efficiency. The ALE-based
128 thermal-fluid models are typically developed using the finite element method,
129 where the boundary of the modeling domain represents the interface between
130 the gas and the substrate with deposited layers. Material addition is gener-
131 ally modeled by increasing the volume of elements inside the melting pool.
132 The enthalpy and momentum brought by the powder stream are assumed to
133 be the same as the melting pool. These oversimplifications can sometimes
134 lead to a loss of precision.

135 In this study, we introduce an improved 3D ALE-based thermal-fluid
 136 model for modeling DED processes using the finite element method. This
 137 model accounts for surface tension effects (both the 'curvature effect' and
 138 the 'Marangoni effect'), buoyancy forces, free surface motion, and mass ad-
 139 dition. As the 'keyhole' mode is typically not involved, the free surface is
 140 explicitly tracked using the ALE method, ensuring reasonable computing
 141 times. Compared to the aforementioned classical ALE-based thermal-fluid
 142 model reported in the literature, the powder deposition parameters, including
 143 mass, enthalpy, and momentum related to powder particles, are integrated
 144 into the model through the addition of new source terms to the conservative
 145 governing equations. Meanwhile, the local moving thermal-fluid framework
 146 (MTF) is also incorporated into the current model to accelerate the simula-
 147 tions. Numerical investigations are first conducted to explore the impact of
 148 powder deposition parameters on fluid dynamics within the melting pool. In
 149 the second part, both the proposed model and the classical ALE method are
 150 employed to simulate the DED process, and experimental measurements are
 151 used to validate the proposed models.

152 2. Mathematical modelling and methodology

153 Figure 1 presents the DED process with a co-axial nozzle. A co-axial
 154 nozzle moves in the scanning direction over the substrate. The powder par-
 155 ticles are delivered by the carrier gas, and a laser beam can heat the in-flight
 156 particles and the substrate. In general, the laser beam initializes a melting
 157 pool on the substrate. The particles that fall into the molten pool will be
 158 melted, and the track layer is created once the molten material solidifies.

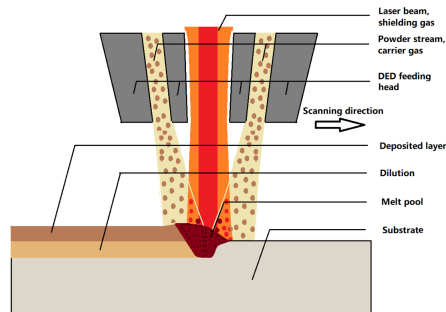


Figure 1: A schematic overview of DED process (co-axial nozzle).

159 The proposed model considers heat transfer, transport phenomena within
160 the melting pool, and, more importantly, the interactions between powder
161 and laser or powder and melting pool. The following classical assumptions
162 are made [23, 26, 28]:

- 163 • The fluid is assumed to be Newtonian, laminar, thermally dilatable,
164 and mechanically incompressible.
- 165 • The energy distribution of the laser beam is assumed to be a Gaussian
166 distribution.
- 167 • Only the powder falling in the region of the melt pool is melted. The
168 other powder will bounce off from the solidified substrate.
- 169 • The pressure evolution related to shielding gas and turbulence is small
170 and can be neglected.
- 171 • The powder stream is considered as a continuum medium.

172 To prepare the input parameters, the powder deposition parameters, such
173 as powder concentration, powder momentum, and the heating effect due to
174 powder-laser interaction, should be identified by numerical methods [29, 30,
175 31] or experimental measurements [32, 33, 34]. The identification of these
176 parameters is a subject of ongoing research topic, and this aspect will not be
177 detailed here since it is beyond the scope of the current study.

178 *2.1. Moving thermal-fluid (MTF) framework*

179 For a given modeling domain and mesh, solving the thermal-fluid problem
180 discretized by the P1+P1 element requires significantly more computational
181 resources (CPU time, RAM, disk space) compared to solving the heat transfer
182 problem discretized by the P1 linear tetrahedral element. This is due to
183 the higher number of degrees of freedom (DOF) involved in the thermal-
184 fluid problem. The MTF framework [27] aims to accelerate simulations by
185 partitioning the overall modeling domain into two parts: a small moving
186 zone containing the melt pool, where the thermal-fluid problem is solved,
187 and a considerably larger part where the heat transfer problem is addressed.
188 The domain is meshed with linear tetrahedral elements. The thermal-fluid
189 computational zone is defined by a cubic box co-moving with the laser beam.
190 Table 1 presents the governing equations in each computational zone, and
191 Table 2 provides a summary of the boundary conditions involved. More
192 details about MTF framework can be found in work of JIA *et al.* [27].

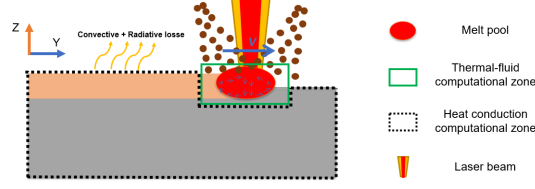


Figure 2: Application of MTF framework to DED simulations.

Table 1: Governing equations in different computational zone.

	Thermal-fluid computational zone (P1+P1)	Heat conduction computational zone (P1)
Governing equations	$\text{div } \mathbf{v} + \frac{\dot{\rho}}{\rho} = 0 \quad (1)$ $\rho \left(\frac{\partial H}{\partial t} + \mathbf{v} \cdot \text{grad } H \right) - \text{div}(\lambda \text{ grad } T) - Q = 0 \quad (2)$ $\rho \left(\frac{\partial \mathbf{v}}{\partial t} + \text{grad } \mathbf{v} \cdot \mathbf{v} \right) = -\text{grad } p + \text{div } \mathbf{s} + \mathbf{f}_{\mathbf{v}} \quad (3)$	$\rho \left(\frac{\partial H}{\partial t} \right) - \text{div}(\lambda \text{ grad } T) = 0$

(1) mass conservation equation, \mathbf{v} the velocity, $\frac{\dot{\rho}}{\rho}$ volume change.

(2) energy conservation equation, H the specific enthalpy, Q the heat source to simulate heating effects, λ thermal conductivity.

(3) momentum conservation equation, p being the fluid pressure, \mathbf{s} stress deviator, $\mathbf{f}_{\mathbf{v}}$ represents the volume forces.

Table 2: The associated boundary conditions.

Zone	Thermal-fluid computational zone	Heat conduction computational zone
Heat transfer	$\lambda \cdot \nabla T \cdot \mathbf{n} = -h_c (T - T_0) - \varepsilon \sigma (T^4 - T_0^4) \quad (1)$	$\lambda \cdot \nabla T \cdot \mathbf{n} = -h_c (T - T_0) - \varepsilon \sigma (T^4 - T_0^4) \quad (1)$
Fluid dynamics	Surface tension: $\sigma_{\text{surface}} = \gamma \mathbf{1}_S \quad (2)$	NO
ALE moving mesh	Free surface: $V(t) = \left(\mathbf{v} \cdot \mathbf{n} + \frac{\dot{a}}{\rho} \right) \cdot \mathbf{n}_i \quad (3)$	NO

(1) heat convective and radiative losses. Temperature should be in Kelvin.

(2) curvature and Marangoni effects, γ surface tension, $\mathbf{1}_S$ local surfacic 2D unit tensor.

(3) free surface moving equation, \mathbf{v} the velocity given by momentum conservation equation, $\frac{\dot{a}}{\rho}$ the free surface motion due to surfacial mass addition.

193 *2.2. Improved ALE thermal-fluid model*

194 As the fluid is thermally dilatible and mechanically incompressible, the
 195 volume change only depends on the temperature evolution. In the mechanics
 196 of fluid, the stress tensor can be expressed as:

$$\begin{aligned}\boldsymbol{\sigma} &= \mathbf{S} - p\mathbf{I} = 2\mu\mathbf{D}_m - p\mathbf{I} \\ \mathbf{D}_m &= \mathbf{D} + \frac{\dot{\rho}}{3\rho}\mathbf{I}\end{aligned}\tag{1}$$

197 where p is the pressure, μ is the viscosity of fluid, \mathbf{D} is the Eulerian strain
 198 rate ($\mathbf{D} = \frac{1}{2}(\text{grad } \mathbf{v} + (\text{grad } \mathbf{v})^\top)$), \mathbf{D}_m is the mechanical strain rate. The
 199 variations of ρ are only due to the thermal dilation effects, and $\frac{\dot{\rho}}{\rho} \cong -3\alpha\dot{T}$,
 200 where α is thermal expansion coefficient. The material is supposed to be
 201 mechanically incompressible.

202 Figure 3 focuses on some details in modeling the region of the melt pool.
 203 Let us consider a bounded modeling domain Ω with boundary $\partial\Omega$. Only the
 204 powder falling in the region of the melt pool ($\partial\Omega_i$) is melted, which creates
 205 a new track after cooling. It's important to note that the powder stream
 206 introduces not only mass addition, which can create new tracks, but also
 207 energy (powder heated by the laser beam) and momentum (powder impinging
 208 into the melting pool with initial velocity) perturbations within the melt pool
 209 across the interface. In general, the energy and momentum associated with
 210 the powder stream are ignored in classical ALE thermal-fluid models due to
 211 oversimplifications. Some aforementioned work [15, 18] shows that the energy
 212 and momentum related to the powder stream can significantly influence the
 213 fluid dynamics in the melt pool and thus the final track shape after cooling.
 214 Therefore, it is important to incorporate the powder deposition effects in
 215 ALE thermal-fluid models to benefit from their computational efficiency.

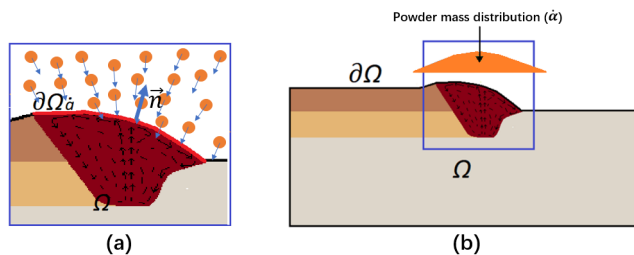


Figure 3: (a) powder deposition \dot{a} through free surface of the molten pool Ω_i , (b) modeling domain Ω and its boundary $\partial\Omega$.

216 To accurately calculate the fluid flow behavior and free surface evolution
 217 without significantly increasing the complexity of the thermal-fluid model,
 218 the present study introduces an improved ALE thermal-fluid model. This
 219 model aims to reveal the complex physical phenomena of DED processes, in-
 220 cluding fluid dynamics in the melt pool, heat transfer in solid and fluid states,
 221 free surface evolution, laser-substrate interaction, melting, and solidification
 222 processes. The mass addition is performed by introducing an additional
 223 velocity at the free surface ($\partial\Omega_{\dot{a}}$), which depends on powder distribution in
 224 space. The energy and momentum associated with the powder stream will be
 225 incorporated into the set of governing equations by adding additional source
 226 terms, which will lead to a more accurate and physically realistic prediction
 227 of fluid dynamics in the molten pool and final track shape. Since the powder
 228 deposition effects (mass, enthalpy, momentum) directly impact the surface of
 229 the melting pool, all these effects will be integrated through the 2D element
 230 of the free surface in the corresponding governing equations.

231 In the current study, mixed P1+P1 tetrahedral elements are used for the
 232 discretization of fluid elements. The finite element formulation of the fluid
 233 problem relies on a mixed velocity–pressure (\mathbf{v} , p) variational formulation
 234 [35]. The coupled heat transfer and fluid flow dynamic problems are governed
 235 by the following three equations:

236 Variational formulation of mass conservation

237 The weak formulation of mass balance is written :

$$\int_{\Omega} p^* \left(\operatorname{div}(\mathbf{v}) + \frac{\dot{\rho}}{\rho} \right) dv = 0 \quad (2)$$

with boundary condition : $\rho \mathbf{v} \cdot \mathbf{n} = \dot{a}$ on the free surface $\Omega_{\dot{a}}$.

238 where \dot{a} represents the powder concentration distribution in space. More
 239 details can be found in section 2.4.

240 Variational formulation of momentum conservation (Navier–Stokes 241 equations)

242 The variational formulation of conservation of momentum (Navier-Stokes
 243 equations) takes its form:

$$\begin{aligned}
& - \int_{\Omega} \mathbf{v}^* \rho \left(\frac{\partial \mathbf{v}}{\partial t} + \text{grad}(\mathbf{v}) \cdot \mathbf{v} \right) d\tau - \int_{\Omega} \mathbf{D}^* : 2\mu \mathbf{D}_m d\tau + \int_{\Omega} \text{div}(\mathbf{v}^*) p d\tau \\
& + \int_{\partial\Omega} \mathbf{v}^* \cdot \boldsymbol{\varphi}^d ds + \mathbf{S}_{\text{vol}} + \mathbf{S}_{\text{suf}} + \mathbf{S}_{\text{mom}} = 0
\end{aligned} \tag{3}$$

244 where $\boldsymbol{\varphi}^d$ prescribes surface force (equal to $\boldsymbol{\sigma} \cdot \mathbf{n}$) on $\partial\Omega_T$. \mathbf{S}_{vol} represents the
245 source term of volume force. \mathbf{S}_{suf} is the surface tension effects (corresponding
246 to \mathbf{f}_v in Table 1). \mathbf{S}_{mom} is the newly implemented source term to consider
247 the momentum related to the powder stream.

248 In the fluid flow simulation, the source term \mathbf{S}_{vol} can be used to describe
249 the buoyancy forces and is expressed as follows (Eq. 4):

$$\mathbf{S}_{\text{vol}} = \int_{\Omega} \mathbf{v}^* \cdot \mathbf{f}_v d\tau = \int_{\Omega} \mathbf{v}^* \cdot [\rho_0(1 - \alpha(T - T_0))\mathbf{g}] d\tau \tag{4}$$

250 with ρ_0 being the material density at the reference temperature T_0 , \mathbf{g} the
251 gravitational acceleration.

252 Since surface tension effects play an important role in fluid dynamics in
253 the molten pool [36, 37, 38], it is necessary to take these effects into account.
254 Different forms of classical techniques have been proposed in finite-element
255 codes [39, 40]. In the current study, we adopt an efficient approach based on
256 the equivalence between the effects of surface tension and those of a fictitious
257 stressed membrane bonded to the free surface [41]. (Eq. 5):

$$\mathbf{S}_{\text{suf}} = \int_{\partial\Omega_{\text{skin}}} \mathbf{D}^* : \boldsymbol{\tau} ds \tag{5}$$

258 To derive the source term \mathbf{S}_{mom} , we start with a volumetric mass addition
259 term \dot{A} (unit: $\text{kg} \cdot \text{s}^{-1} \cdot \text{m}^{-3}$) instead of the surface mass addition term \dot{a}
260 (unit: $\text{kg} \cdot \text{s}^{-1} \cdot \text{m}^{-2}$). In this case, the global momentum balance is now
261 written for any material domain Ω (Eq. 6):

$$\begin{aligned}
\frac{d}{dt} \left(\int_{\Omega} \rho \mathbf{v} d\tau \right) &= \int_{\Omega} \mathbf{f}_v d\tau + \int_{\partial\Omega} \boldsymbol{\varphi}^d ds + \int_{\Omega} \dot{A} \mathbf{v}_{\text{add}} d\tau \\
&= \int_{\Omega} \left(\mathbf{f}_v + \dot{A} \mathbf{v}_{\text{add}} \right) d\tau + \int_{\partial\Omega} \boldsymbol{\sigma} \cdot \mathbf{n} ds
\end{aligned} \tag{6}$$

262 with \mathbf{f}_v , the force volume density representing the external actions, and $\boldsymbol{\varphi}^d$,
263 the stress vector representing the areal stress density associated with the
264 contact actions between Ω and the rest of the medium. \mathbf{v}_{add} represents the

265 velocity vector of the powder stream. $\boldsymbol{\sigma}$ is the Cauchy stress tensor, and \mathbf{n}
 266 is the outgoing direction normal to $\partial\Omega$.

267 By integrating mass conservation into the left-hand sides of Eq. 6, we
 268 currently obtain :

$$\begin{aligned} \frac{d}{dt} \left(\int_{\Omega} \rho \mathbf{v} d\tau \right) &= \int_{\Omega} \mathbf{v} \left(\frac{d\rho}{dt} + \rho \operatorname{div}(\mathbf{v}) \right) d\tau + \int_{\Omega} \rho \left(\frac{\partial \mathbf{v}}{\partial t} + \operatorname{grad} \mathbf{v} \cdot \mathbf{v} \right) d\tau \\ &= \int_{\Omega} \dot{A} \mathbf{v} d\tau + \int_{\Omega} \rho \left(\frac{\partial \mathbf{v}}{\partial t} + \operatorname{grad} \mathbf{v} \cdot \mathbf{v} \right) d\tau \end{aligned} \quad (7)$$

269 By applying the divergence theorem to transform surface integrals into
 270 volume integrals, the final global momentum balance is finally written :

$$\rho \left(\frac{\partial \mathbf{v}}{\partial t} + \operatorname{grad} \mathbf{v} \cdot \mathbf{v} \right) d\tau - \left(\operatorname{div} \boldsymbol{\sigma} + \mathbf{f}_v + \dot{A} (\mathbf{v}_{\text{add}} - \mathbf{v}) \right) d\tau = 0 \quad (8)$$

271 To calculate an equivalent boundary condition applied on $\partial\Omega_{\dot{a}}$, for a given
 272 point P on the free surface $\partial\Omega_{\dot{a}}$, we consider an infinitely small surface dS
 273 centered on this point and a volume dV corresponding to the surface dS
 274 extruded inward from Ω through a thickness of e (see Fig. 4).

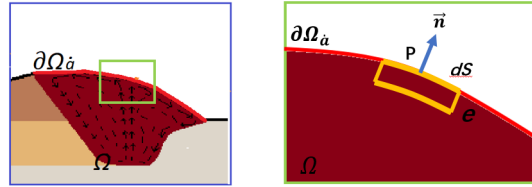


Figure 4: Calculation of equivalent boundary condition for representing momentum \mathbf{S}_{mom} .

275 In reality, the mass addition is performed by a surface mass flux \dot{a} through
 276 the free surface of the molten pool $\partial\Omega_{\dot{a}}$. Let's distribute this surface mass
 277 flow in the volume dV , and the relationship between the volume mass flow
 278 and surface mass flow can be expressed as $\dot{A} = \frac{\dot{a}}{e}$. Thus, the integration of
 279 partial differential equations governing the conservation of momentum (Eq.
 280 8) in the volume dV is finally written as (Eq. 9):

$$\int_{dV} \left(\rho \left(\frac{\partial \mathbf{v}}{\partial t} + \text{grad} \mathbf{v} \cdot \mathbf{v} \right) - \text{div} \boldsymbol{\sigma} - \mathbf{f}_v - \frac{\dot{a}}{e} (\mathbf{v}_{\text{add}} - \mathbf{v}) \right) d\tau = \quad (9)$$

$$\left(\rho \left(\frac{\partial \mathbf{v}}{\partial t} + \text{grad} \mathbf{v} \cdot \mathbf{v} \right) - \mathbf{f}_v \right) e dS - \dot{a} (\mathbf{v}_{\text{add}} - \mathbf{v}) dS - \int_{dV} \text{div} \boldsymbol{\sigma} d\tau = 0$$

281 By applying the divergence theorem on the term $\int_{dV} \text{div} \boldsymbol{\sigma} d\tau$ then letting
282 the thickness e tend to 0, one can deduce (Eq. 10):

$$\boldsymbol{\sigma} \cdot \mathbf{n} = \dot{a} (\mathbf{v}_{\text{add}} - \mathbf{v}) \text{ on surface } \partial\Omega_{\dot{a}} \quad (10)$$

283 Finally one has (Eq. 11):

$$\mathbf{S}_{\text{vol}} = \int_{\partial\Omega_{\dot{a}}} \mathbf{v}^* \cdot \dot{a} (\mathbf{v}_{\text{add}} - \mathbf{v}) ds \quad (11)$$

284 The final weak formulation of conservation of momentum is formulated
285 as follows (Eq. 12):

$$\begin{aligned} & - \int_{\Omega} \mathbf{v}^* \rho \left(\frac{\partial \mathbf{v}}{\partial t} + \text{grad}(\mathbf{v}) \cdot \mathbf{v} \right) d\tau - \int_{\Omega} \mathbf{D}^* : 2\mu \mathbf{D}_m d\tau + \int_{\Omega} \text{div}(\mathbf{v}^*) p d\tau \\ & + \int_{\partial\Omega} \mathbf{v}^* \cdot \boldsymbol{\varphi}^d ds + \int_{\Omega} \mathbf{v}^* \cdot \mathbf{f}_v d\tau + \int_{\partial\Omega_{\text{skin}}} \mathbf{D}^* : \boldsymbol{\tau} ds \\ & + \int_{\partial\Omega_{\dot{a}}} \mathbf{v}^* \cdot \dot{a} (\mathbf{v}_{\text{add}} - \mathbf{v}) ds = 0 \end{aligned} \quad (12)$$

286 Variational formulation of energy balance

287 Similarly to the derivation of momentum conservation equation, the global
288 energy balance equation for any material domain Ω is written (Eq. 13) :

$$\begin{aligned} \frac{d}{dt} \left(\int_{\Omega} \rho H d\tau \right) &= \int_{\Omega} s d\tau - \int_{\partial\Omega} \boldsymbol{\varphi} \cdot \mathbf{n} ds + \int_{\Omega} \dot{A} H_{\text{add}} d\tau \\ &= \int_{\Omega} \left(s + \dot{A} H_{\text{add}} \right) d\tau + \int_{\partial\Omega} \lambda \text{grad} T \cdot \mathbf{n} ds \end{aligned} \quad (13)$$

289 with s , the volumetric heat source. H represents the local enthalpy of volume
290 Ω and $\boldsymbol{\varphi}$, the heat flux density vector. $\boldsymbol{\varphi}$ is related to the temperature gradient
291 by Fourier's law: $\boldsymbol{\varphi} = -\lambda \text{grad} \mathbf{T}$.

292 The term $\int_{\Omega} \dot{A}H_{add}d\tau$ in Eq. 13 represents the energy input associated
 293 with the mass addition, with H_{add} the enthalpy of the powder stream which
 294 depends on the temperature of powder stream.

295 By integrating mass addition (\dot{A}) into the left-hand sides of Eq. 13, we
 296 currently obtain Eq. 14:

$$\begin{aligned} \frac{d}{dt} \left(\int_{\Omega} \rho H d\tau \right) &= \int_{\Omega} H \left(\frac{d\rho}{dt} + \rho \operatorname{div}(\vec{v}) \right) d\tau + \int_{\Omega} \rho \left(\frac{\partial H}{\partial t} + \mathbf{v} \cdot \operatorname{grad} H \right) d\tau \\ &= \int_{\Omega} \dot{A}H d\tau + \int_{\Omega} \rho \left(\frac{\partial H}{\partial t} + \mathbf{v} \cdot \operatorname{grad} H \right) d\tau \end{aligned} \quad (14)$$

297 By applying the divergence theorem to transform surface integrals into
 298 volume integrals, the final global energy balance is finally written (Eq. 15):

$$\rho \left(\frac{\partial H}{\partial t} + \mathbf{v} \cdot \operatorname{grad} H \right) - \operatorname{div}(\lambda \operatorname{grad} T) - s - \dot{A}(H_{add} - H) = 0 \quad (15)$$

299 We perform the integration of partial differential equations governing the
 300 conservation of momentum (Eq. 15) in the volume dV (Fig. 4), and we have
 301 (Eq. 16) :

$$\begin{aligned} \int_{dV} \rho \left(\frac{\partial H}{\partial t} + \mathbf{v} \cdot \operatorname{grad} H \right) - \operatorname{div}(\lambda \operatorname{grad} T) - s - \dot{A}(H_{add} - H) d\tau = \\ \left(\rho \left(\frac{\partial H}{\partial t} + \vec{v} \cdot \operatorname{grad} H \right) - s \right) edS - \dot{A}(H_{add} - H) dS - \int_{dV} \operatorname{div}(\lambda \operatorname{grad} T) d\tau = 0 \end{aligned} \quad (16)$$

302 By applying the divergence theorem on the term $\int_{dV} \operatorname{div}(\lambda \operatorname{grad} T) d\tau$ then
 303 letting the thickness e tend to 0, one can deduce (Eq. 17) :

$$\lambda \frac{\partial T}{\partial n} = \dot{a}(H_{add} - H) \quad \text{on surface } \partial\Omega_{\dot{a}} \quad (17)$$

304 Thus the final variational formulation of energy balance can be expressed
 305 as :

$$\begin{aligned} - \int_{\Omega} T^* \rho \left(\frac{\partial H}{\partial t} + \mathbf{v} \cdot \operatorname{grad} H \right) d\tau - \int_{\Omega} \operatorname{grad} T^* \cdot \lambda \operatorname{grad} T d\tau + \int_{\Omega} T^* s d\tau \\ + \int_{\partial\Omega} T^* q ds + \int_{\partial\Omega_{\dot{a}}} T^* \dot{a} (H_{add} - H) ds = 0 \end{aligned} \quad (18)$$

306 *2.3. ALE moving mesh*

307 After solving the global system (thermal-fluid and heat transfer), the
 308 temperature and velocity fields at nodes are determined. To track the free
 309 surface evolution, the ALE moving mesh technique is employed to account
 310 for the fluid dynamic effects as well as the mass addition. Therefore, the
 311 displacement corresponding to the free surface evolution depends on both
 312 the velocity field calculated from the momentum conservation equation and
 313 surface mass addition (\dot{a}). As it has been assumed that only the powder
 314 falling into the melt pool can be melted, which means that only the nodes
 315 processing a temperature beyond the melting temperature (T_f) can include
 316 the term $\frac{\dot{a}}{\rho}$. Finally, the displacement at node i can be expressed as (Eq. 19):

$$\Delta \mathbf{U}_i = \left(\mathbf{v} \cdot \mathbf{n} + \frac{\dot{a}}{\rho} \right) \Delta t \cdot \mathbf{n} \quad (19)$$

317 where $\Delta \mathbf{U}_i$ represents the displacement of the node i for a given time step
 318 Δt , and ρ is the density of powder particles. \mathbf{v} is the velocity field calculated
 319 from the momentum conservation equation.

320 Figure 5 illustrates the strategy for updating nodal position during ALE
 321 procedure, two temperature criteria (T_{melting} and T_{ALE}) have been defined
 322 (T_{melting} greater than T_{ALE}). To ensure a good mesh quality, the elastic
 323 domain ($T_{\text{element}} > T_{\text{ALE}}$) for reposition of nodes is larger than the melting
 324 pool since the mass addition can be important.

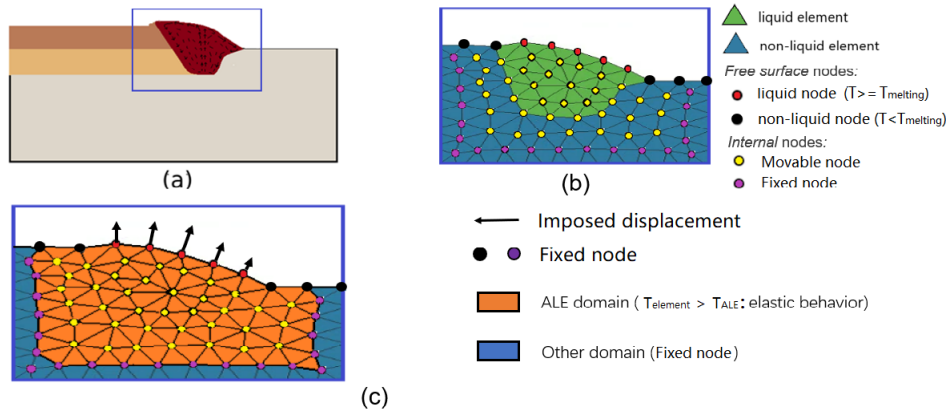


Figure 5: Illustration of ALE procedure for updating the nodal position.

325 2.4. Proposed global resolution schema

326 Figure 6 presents the proposed global resolution schema, which allows
 327 better appreciation of the proposed efficient resolution schema. This di-
 328 agram illustrates the interactions between the sub-sections, including the
 329 MTF framework, improved governing equations, and the ALE moving mesh.

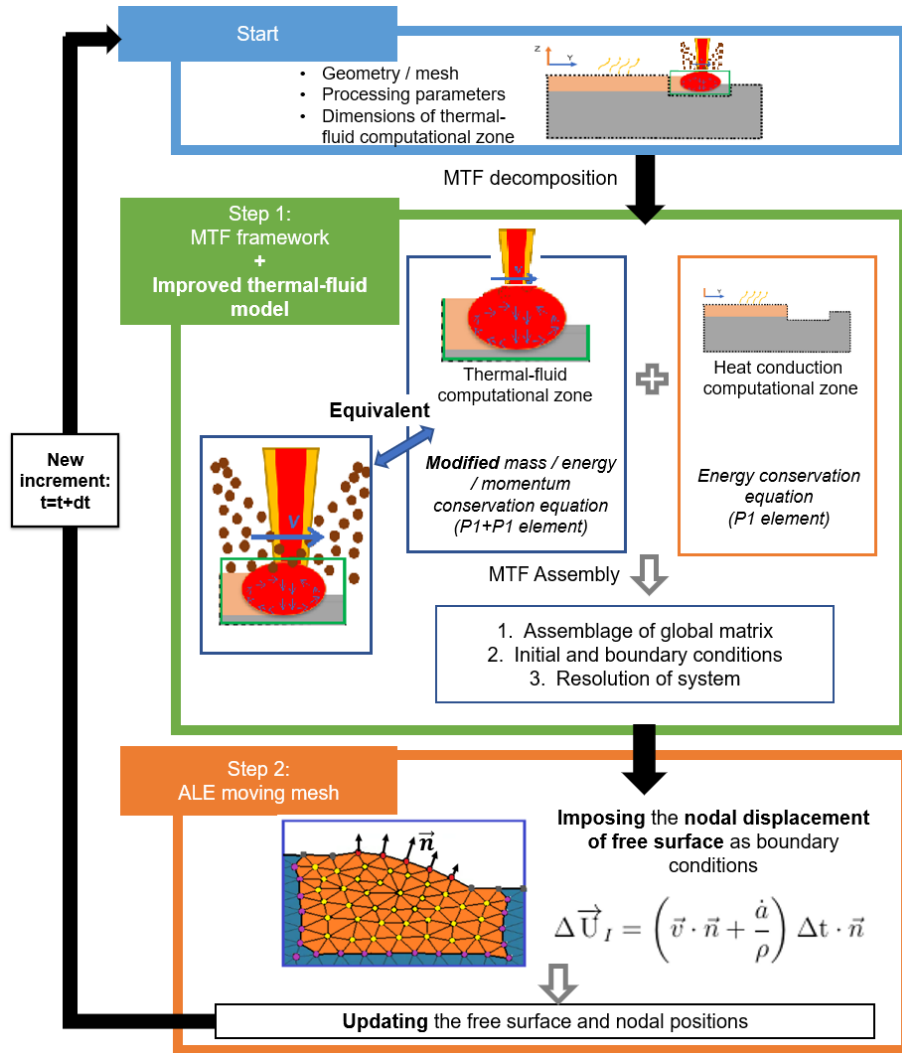


Figure 6: Overview of proposed global resolution procedure.

330 3. Numerical case studies

331 In this section, two kinds of numerical tests in DED simulations are pre-
332 sented to assess the efficiency of the proposed model. Thanks to the imple-
333 mentation of source terms in the thermal-fluid governing equations, different
334 powder deposition parameters can be efficiently accounted for by the pro-
335 posed ALE method for the first time, and the effects of these parameters
336 (powder flux distribution, enthalpy of powder flux, velocity of powder par-
337 ticles) will be investigated numerically. These studies contribute to a better
338 understanding of the DED process and further accelerate the optimization
339 of fabrication parameters.

340 The first example involves a fixed nozzle and laser in space, and temperature-
341 independent material properties are used to simplify the physical model and
342 comparisons. Since this analysis focuses solely on the numerical models, the
343 physical models (material properties) are of less importance, as the material
344 and operational parameters can vary. Our primary interest is in observing
345 the trends in melting pool size when different powder deposition parameters
346 are employed, highlighting the significance of considering these parameters
347 in numerical models. Using constant material properties simplifies the nu-
348 merical resolution as well as the comparison of numerical results, particularly
349 regarding the predicted melt pool size, allowing us to better isolate the di-
350 rect effects of powder deposition parameters. In this case study, numerous
351 powder deposition parameters will be investigated in detail. The effects of
352 each parameter will be quantified through comparisons of numerical results,
353 such as temperature evolution and melt pool size.

354 The second application involves simulating the DED process with a mov-
355 ing nozzle and laser beam, where temperature-dependent material properties
356 are employed. The simulation case is supposed to be more realistic than the
357 first one. Both the classical ALE thermal-fluid model and the improved ALE
358 thermal-fluid model will be utilized to simulate the same case. Comparisons
359 of the numerical results will underscore the importance of considering pow-
360 der deposition parameters in achieving more accurate simulations. The de-
361 velopments are integrated into FE software SYSWELDTM version 2023 [42],
362 and the pre/post-treatment are prepared by Visual-Environment [43] in this
363 study. Certainly, the idea can be extended and implemented in other soft-
364 ware. For simplification, the improved ALE-based thermal-fluid model will
365 be called "Improved ALE" in the sequel.

366 *3.1. Fixed nozzle and laser beam*

367 Figure 7 shows the configuration and mesh of the test case with a fixed
 368 nozzle and laser beam, with some basic dimensions provided. This numerical
 369 test includes about 17k nodes and 90k 3D elements, and the smallest element
 370 dimension is $100 \mu\text{m}$ in the powder deposition zone. The energy distribution
 371 of the laser beam and powder deposition parameters (mass concentration,
 372 enthalpy, momentum) are simplified and defined by a constant value or a
 373 spatial-temporal function. All external surfaces can exchange freely with the
 374 air.

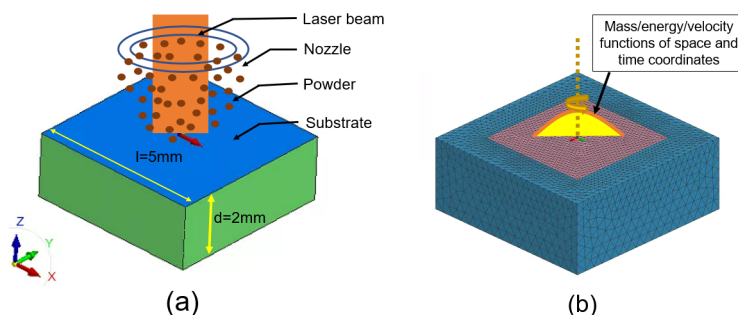


Figure 7: Configuration and mesh of test case with fixed nozzle and laser beam.

375 The laser beam is projected in the z direction, and the center of the laser
 376 beam coincides with the origin of the coordinate system. As the numerical
 377 results will not be validated against the experiments, the intensity of laser
 378 power is described by a Gaussian function as:

$$Q(x, y) = \frac{\eta BP}{\pi * R^2} * \exp\left(-\frac{B * (x^2 + y^2)}{R^2}\right) \quad (20)$$

379 where $Q(x, y)$ is the laser power intensity distribution at Gauss point that
 380 depends on the relative position (x, y) compared to the center of the laser
 381 beam (See Figure 7); R , B , η , P represent the radius of the laser beam,
 382 coefficient of Gaussian distribution, coefficient of absorption, and the power
 383 of the laser beam. The exact parameters are detailed in Table 3.

384 In the literature, the powder concentration depends on the choice of de-
 385 position distance between the surface of the substrate and the nozzle. In
 386 general, the converging point is selected to improve the catching efficiency
 387 by the melt pool, and experience shows that the powder concentration at the
 388 converging point follows a Gaussian distribution [44]:

Table 3: Parameters of the laser beam.

Power (P)	Radius (R)	Absorptivity (η)	Gaussian function (B)
Watt	m	-	-
300	0.001	0.4	1

$$\dot{a}(r) = \frac{C_p * \dot{m}}{\pi * r_p^2} * e^{-\frac{C_p * r^2}{r_p^2}} \quad (21)$$

389 where C_p , r , r_p , \dot{m} are the Gaussian parameters, distance from the deposition
390 center in the plane X-Y, effective radius of powder deposition, and mass
391 deposition rate (kg/s), respectively. Table 4 shows the powder concentration
392 parameters that are employed in the numerical test.

Table 4: Powder concentration parameters in fixed nozzle case.

Mass flux (\dot{m})	Radius (r_p)	Gaussian parameter (C_p)
kg/s	m	-
0.00013	0.001	1

393 The temperature of powder stream can be defined by a constant value or
394 a time-space function as the powder concentration, and this parameter can
395 be measured experimentally or simulated. In the current tests, a constant
396 value T_p that represents the average temperature is used for simplification.

397 To consider the effect of momentum (a product between mass and veloc-
398 ity), the velocity can be defined by a vector or a time-space function, which
399 should be determined experimentally or numerically. Same as the tempera-
400 ture, a constant and uniform vector $v*(0, 0, 1)$ is used to describe the velocity
401 of powder flow (the coordinate system is shown in Figure 7).

Table 5: Material properties used in first test [45, 46].

Density	Specific heat	Thermal conductivity	Surface tension	Surface tension gradient	Dynamic viscosity (liquid)
kg/m ³	J/(kg K)	W/mK	N/m	N/(m T)	Pa s
8000	450	14.7	1.51	2.28*10 ⁻⁴	0.007

402 For simplifying the complexity of model, table 5 presents the constant
403 material properties of 316L in the first case. The melting temperature is of

404 1450 °C. It's important to note that dynamic viscosity needs to be defined
 405 based on the element state (liquid, non-liquid). The non-liquid elements are
 406 assigned a larger viscosity value (about 100 Pa s) to better simulate the solid
 407 state. The surface tension (γ) value at melting temperature (T_m) is given in
 408 Table 5, and the surface tension can be written as $\gamma(T) = \gamma + (T - T_m) \cdot d\gamma$.

409 To investigate the importance of powder deposition parameters, all the
 410 simulations are performed in two steps. Firstly, a thermal-fluid simulation
 411 without powder deposition for 0-0.25 s, and an initial melting pool is created.
 412 Secondly, the powder deposition starts at 0.25 s for a duration of 0.1 s.
 413 Both classical ALE method and improved ALE method are employed. The
 414 classical ALE method makes strong assumptions that the powder absorbed
 415 has the immediately same velocity and temperature as the melting pool,
 416 and the powder deposition parameters are ignored. Thus the melting pool
 417 predicted by the classical ALE method is generally much deeper and larger,
 418 in general, a lower non physical laser absorptivity should be calibrated to
 419 have a better approximation. The melting pool simulated by classical ALE
 420 method is shown in Figure 8.

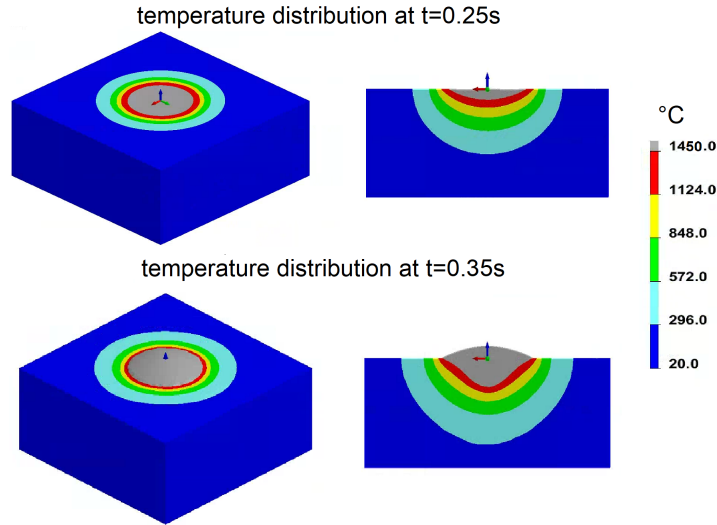


Figure 8: Temperature distribution and melting pool at the beginning ($t=0.25$ s) and end ($t=0.35$ s) of powder deposition simulated by Classical ALE.

421 The simulation with the improved ALE method is performed with an
 422 average powder temperature of $T_p = 1000$ °C and a powder flow velocity of
 423 $v = -1$ m/s in axis z. The temperature distribution at $t = 0.35$ s is presented

424 in Figure 9. The melting pool predicted by the improved ALE method is
 425 smaller than that of the classical ALE method. This can be explained by
 426 the fact that the unmelted powder (at 1000 °C) absorbed can cool down the
 427 melting pool. Therefore, a smaller melting pool will subsequently absorb less
 428 powder, resulting in a smaller height and length of the melting pool simulated
 429 by the improved ALE method compared to that given by the classical ALE
 430 method.

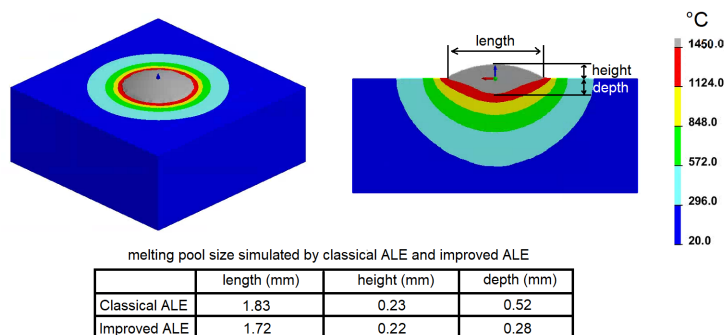


Figure 9: Temperature distribution and melting pool at $t=0.35$ s simulated by improved ALE.

Table 6: Different powder deposition parameters.

Analysis	ID	Gaussian (C_p)	Temperature (T_p)	Velocity (V_p)
Powder concentration	C-0.5	0.5	1000°C	1 m/s
	C-1	1	1000°C	1 m/s
	C-3	3	1000°C	1 m/s
Powder temperature	T-1	3	800°C	1 m/s
	C-3	3	1000°C	1 m/s
	T-3	3	1500°C	1 m/s
Powder velocity	C-3	3	1000°C	1 m/s
	V-2	3	1000°C	4 m/s
	V-3	3	1000°C	8 m/s

431 Turning now to the investigation of powder deposition parameters, we ex-
 432 amine the effects of powder concentration, powder temperature, and powder
 433 velocity (see Table 6). It should be noted that the Gaussian parameter (C_p)
 434 plays an important role, directly modifying the quantity of powder absorbed

435 by the melting pool, and consequently affecting the associated enthalpy and
 436 momentum. Therefore, the powder concentration parameter is the most
 437 dominant.

438 The first investigation is the effect of powder concentration on tempera-
 439 ture distribution and melting pool. To individually investigate the powder
 440 concentration effect, the assumption here is that the laser power reaching at
 441 the surface will not depend on the powder concentration (without evolution
 442 of laser attenuation).

443 With an increase in powder concentration, the initial melting pool pre-
 444 sented in Figure 10 will absorb more powder. Therefore, the simulation case
 445 with $C=3$ exhibits the highest absorption among all the simulations. An-
 446 other interesting finding is that the depth in case $C=3$ is the lowest, which
 447 confirms that unmelted powder reduces the depth of the melting pool, lead-
 448 ing to smaller penetration. Meanwhile, the length of the melting pool in case
 449 $C=3$ becomes larger, which may be explained by the fact that the melting
 450 liquid tends to elongate to achieve more stability. To highlight the effect of
 451 powder temperature and powder velocity on the melting pool, a Gaussian
 452 parameter of 3 is taken for the following analysis.

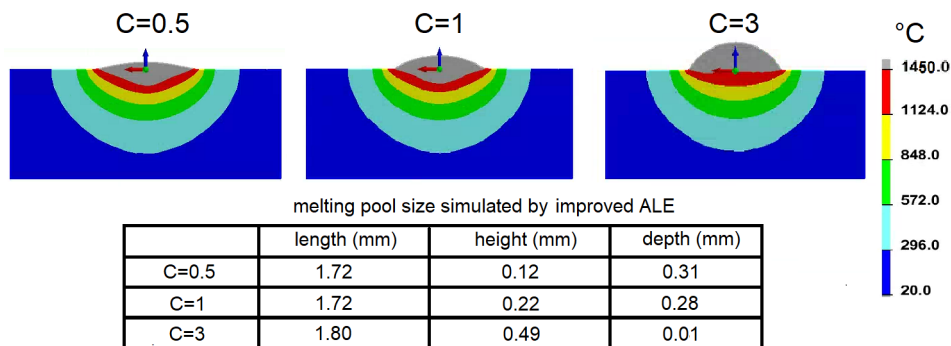


Figure 10: Investigation the effect of powder concentration on the temperature distribution and melting pool at $t=0.35$ s simulated by improved ALE.

453 Figure 11 provides a comparison of the melting pool with different pow-
 454 der temperatures predicted by the proposed model. The higher the powder
 455 temperature, the larger and deeper the melting pool becomes. In contrast,
 456 the height of the melting pool becomes smaller with an increase in the pow-
 457 der temperature. This may be explained by the fact that the quantity of
 458 powder absorbed by the melting pool remains almost the same; therefore, a
 459 larger melting pool will lead to a smaller melting height.

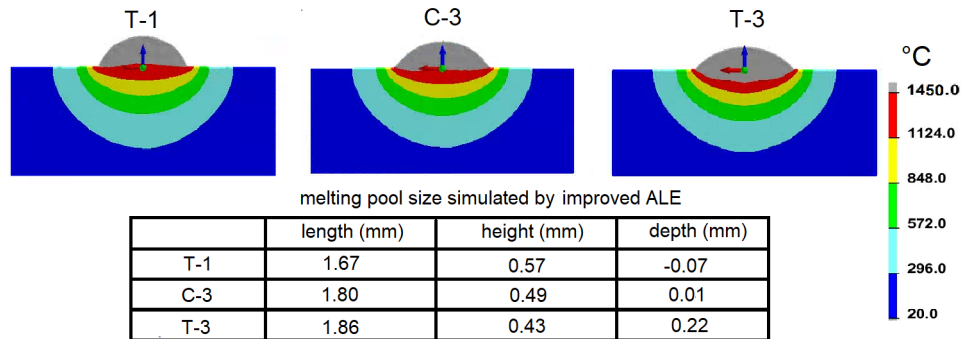


Figure 11: Investigation the effect of powder temperature of the temperature distribution and melting pool at $t=0.35$ s simulated by improved ALE.

460 The last comparison concerns the effect of powder velocity (Figure 12).
 461 Since the gradient of surface tension is positive, the fluid circulates from
 462 the border to the center. Therefore, one can imagine that deposited powder
 463 could accelerate the circulation, which may increase the depth and length
 464 of the melting pool, consequently leading to a smaller height of the melting
 465 pool.

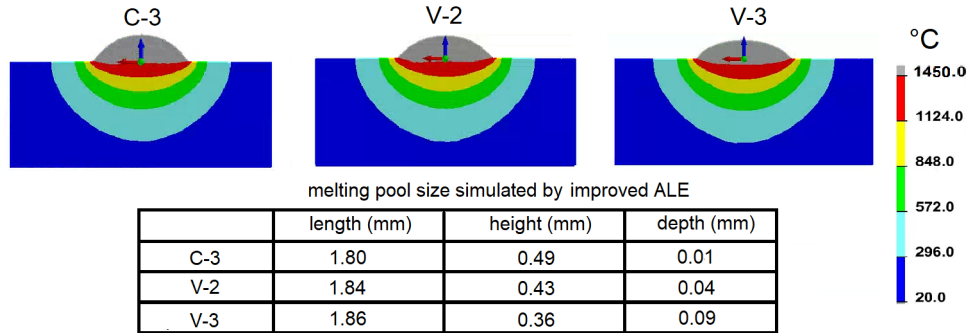


Figure 12: Investigation the effect of powder velocity of the temperature distribution and melting pool at $t=0.35$ s simulated by improved ALE.

466 The effect of powder concentration, powder temperature, and powder
 467 velocity on the melting pool are investigated individually. Powder concen-
 468 tration has the most significant and direct effect on the temperature and
 469 consequently on the dimensions of the melting pool. Powder temperature
 470 has a direct impact on the melting pool; in general, the powder will cool
 471 down the melting pool during the DED process. Powder velocity can modify

472 the local mass transfer effect in the melting pool, thus changing the tem-
 473 perature. However, the effect of powder temperature and velocity depends
 474 strongly on the quantity of powder absorbed.

475 3.2. Mobile nozzle and laser beam

476 3.2.1. Experiments set-up

477 Figure 13 presents the experimental setup, which has been developed
 478 to perform DED processes with in-situ observation [4]. The RGB camera
 479 captures the size of the melting pool in-situ on the upper surface. An optical
 480 microscope is employed after the process to determine the width and depth
 481 of the melt pool, as well as the fusion profile.

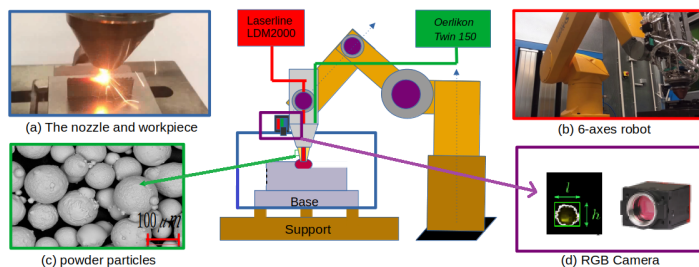


Figure 13: Schematic view of the experimental setup.

482 Table 7 summarizes all the devices applied in the experimental setup.
 483 The samples are produced by a water-jet process, which allows increasing
 484 the absorptivity of laser.

Table 7: Devices of the experimental setup.

Parameters	Values
Laser and optics	Laserline LMD2000, Spot size: 1.4 mm
Nozzles	Precitec - ZM YC50 DIS II Carrier Gas (inner) Precitec - ZM YC50 DAS 0.5 II (outer)
Powder injectors	Oerlikon Twin 150
Powder	oerlikon metco, metcoclad 316L-Si, $106 \pm 45\mu\text{m}$
6-axis Robot	Staubli RX160
Carrier gas	Argon
RGB camera	Precitec ZO YW30 CAM90° YW50 660

485 Table 8 details the parameters employed in the experiments. Experiment
 486 1 (Exp-1) and Experiment 2 (Exp-2) maintain identical line energy, calcu-
 487 lated as the ratio of laser power to scanning speed. Similarly, all experiments
 488 maintain the same powder deposition rate, calculated as the powder feed
 489 rate divided by the scanning speed, at approximately 0.015 g/mm. Cur-
 490 rently, in-situ measurements for mass/enthalpy/momentum of powder flow
 491 are unavailable. As a result, only two experiments have been conducted
 492 to assess the efficiency of both the classical ALE method and the proposed
 493 model for the same benchmark.

Table 8: Fabrication parameters used in experiments.

Label	Laser power W	Scanning speed mm/s	Powder feed rate g/min	Carrier gas rate L/min
Exp-1	250	10	6.8	6
Exp-2	350	14.1	9.6	6

494 3.2.2. Numerical model set-up

495 Figure 14 shows the geometry and some basic dimensions of the mesh.
 496 The experimental results indicate that the melting pool size stabilizes after
 497 a printing length of 5 mm. To reduce computational costs, a mesh with a
 498 length of 10 mm has been prepared for the simulations. Symmetry conditions
 499 are also applied to further reduce the mesh size, with the smallest element
 500 dimensions being approximately 100 μm (y direction) \times 100 μm (x direction)
 501 \times 6 μm (z direction).

502 Table 9 shows the material properties of 316L employed in the numerical
 503 models. The melting temperature is 1450 $^{\circ}\text{C}$, and a positive gradient of
 504 surface tension has been used in the current study.

Table 9: Material properties of 316L stainless steel used in this model [45, 46, 47].

Parameters	20 $^{\circ}\text{C}$	1400 $^{\circ}\text{C}$	1450 $^{\circ}\text{C}$	2800 $^{\circ}\text{C}$
Thermal conductivity [W/mK]	14.7	28.3	28.3	28.3
Specific enthalpy [kJ/kg]	0	831.5	1165.4	2062.24
Density [kg/m ³]	8000	7300	7300	7300
Dynamic viscosity [Pa s]	1000	1000	0.007	0.007
Surface tension [N/m]	-	1.50	1.51	1.83

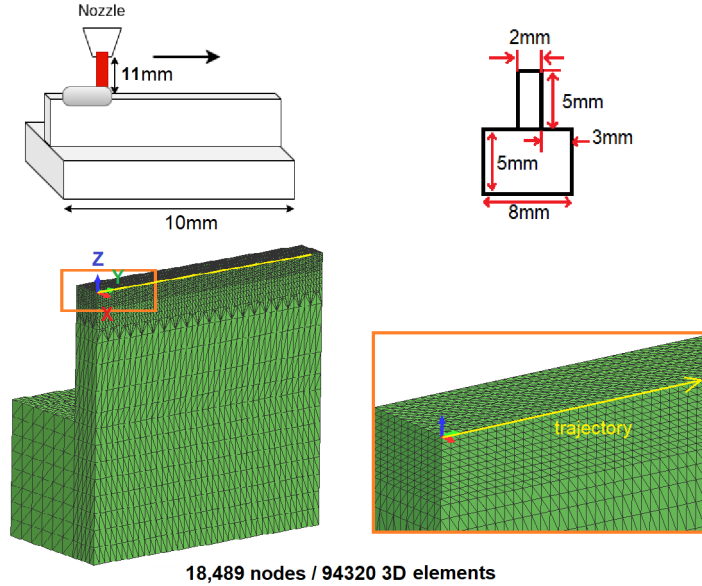


Figure 14: Schematic representation of geometry and its dimensions.

505 For the fiber laser, the intensity of laser power is generally described by
 506 a Gaussian function using the same formulation (Eq. 20). The diameter
 507 of the laser beam (1.4 mm) has been measured experimentally. Since the
 508 laser power intensity after attenuation caused by the powder stream is not
 509 measured in this study, a small value of the Gaussian function parameter (B)
 510 is used to approximate the laser attenuation effect. The absorptivity value
 511 is calibrated numerically to obtain the same melting pool width. Table 10
 512 provides the laser power parameters.

Table 10: Parameters of heat source.

Power (P)	Radius (R)	Absorptivity (η)	Gaussian function (B)
Watt	mm	-	-
250/350	0.7	0.45	1

513 In the current model, the powder-related parameters (powder concen-
 514 tration, enthalpy due to the laser-powder interaction, momentum related to
 515 the powder deposition) should be measured or calculated/calibrated before
 516 the simulations. These powder-related parameters serve as the inputs for
 517 the proposed model. The powder concentration can be fitted by a Gaussian

518 distribution, which can be expressed by:

$$\dot{a}(r) = \frac{B * \dot{m}}{\pi * r_s^2} * e^{(-B * \frac{r^2}{r_s^2})} \quad (22)$$

519 with $B = 2.0$ for EXP-1 and 1.2 for EXP-2, $r_s = 1$ mm. \dot{m} is the mass
520 deposition rate shown in Table 10.

521 Turning now to the definition of momentum associated with powder flow,
522 we consider that powder particles have the same speed as the carrier gas.
523 Therefore, the velocity of powder particle is expressed as:

$$|v_p| = \frac{V_{\text{gas}}}{\pi * (R_{\text{ext}}^2 - R_{\text{int}}^2)} \quad (23)$$

524 where V_{gas} is the debit of gas ($\text{L} \cdot \text{min}^{-1}$); R_{ext} (7 mm) and R_{int} (6.5 mm)
525 are the radius of external and internal nozzle. For simplifying the definition
526 of velocity field, all the particles are supposed to have the same value, ap-
527 proximately equal to $V_z = -|v_p| * \cos(\theta)$ where θ is the angle between speed
528 vector and axis z (see Figure 14).

529 Similar to the powder concentration, the temperature of powder particles
530 can depend on the distance to the centre of deposition and travelling trajec-
531 tory. In the current study, a Gaussian distribution is employed to describe
532 the temperature distribution in space:

$$T(r) = C * T(0) * e^{(-C * \frac{r^2}{r_T^2})} \quad (24)$$

533 where C , r_T and $T(0)$ are parameters to define temperature distribution. In
534 the current study, $T(0) = 1000$ °C, $C = 1.1$ and $r_T = 1$ mm are used.

535 3.2.3. Comparisons of results

536 Figure 15 shows the temperature distribution and velocity field. Thanks
537 to the MTF framework, the thermal-fluid problem is solely performed in a
538 small zone containing the melting pool, where the velocity field is presented.
539 The MTF framework can considerably accelerate the simulation without re-
540 ducing the precision of the numerical solution. A time step of $2 * 10^{-4}$ s is
541 employed for all the simulations. Both the classical ALE model and the
542 improved ALE model are used to model the same problem. Thanks to the
543 MTF framework, the proposed improved ALE model is approximately two
544 times more efficient than the classical ALE model, highlighting the enhanced
545 computational efficiency of the proposed model.

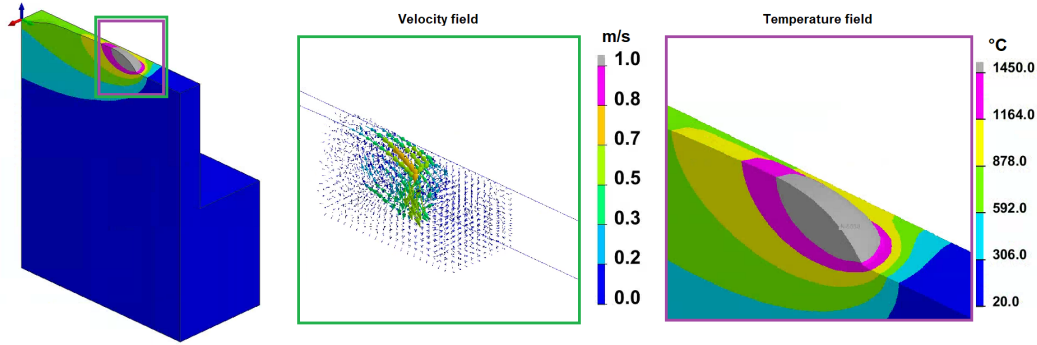


Figure 15: Temperature distribution and velocity field.

546 Compared to the improved ALE method, the classical ALE method uti-
 547 lizes the same powder concentration parameters (Eq. 22) and heat source
 548 parameters (Table 10). The key distinction lies in the fact that the improved
 549 ALE method incorporates the enthalpy and momentum associated with the
 550 powder flux, while all the other parameters remain the same. Compared to
 551 the classical ALE method, the improved ALE method introduces new input
 552 parameters into the model, resulting in more physically accurate simulations
 553 with the possibility of finer control.

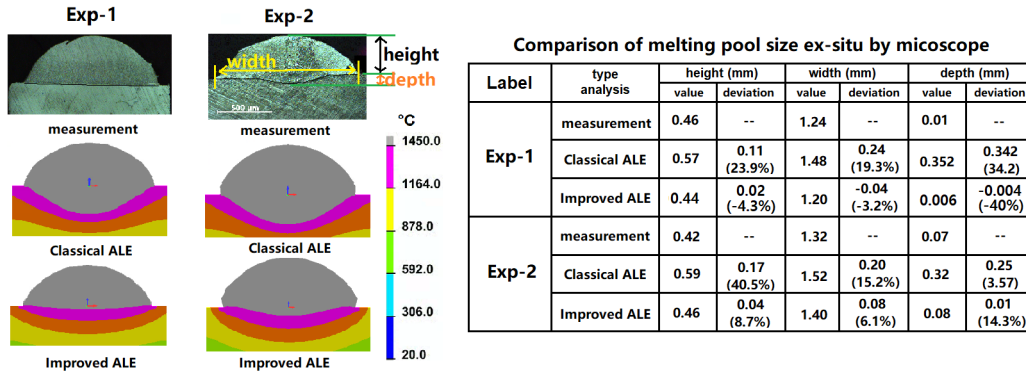


Figure 16: Melting pool size observed in ex-situ measurements and simulations.

554 Figure 16 illustrates the shape of the melting pool measured and simu-
 555 lated after solidification, with the melting pool dimensions provided in the
 556 table. The deviation represents the difference between the simulated and
 557 measured dimensions. A symmetry condition is used to reproduce the com-

558 plete fusion profile. The comparisons confirm that the improved ALE method
 559 enables better simulation of the DED process by considering the effects (en-
 560 thalpy, momentum) of the powder flux, showing excellent agreement with
 561 the experiments. In contrast, the classical ALE method overestimates the
 562 melting pool size due to its oversimplifications.

563 Figure 17 illustrates the measured and simulated height of the track after
 564 cooling, offering insights into the evolution of the melt pool height as a
 565 function of printing distance. The comparison indicates that the improved
 566 ALE model provides a more accurate prediction of the height of the newly
 567 formed track compared to the classical ALE model. Furthermore, both ALE
 568 models demonstrate a smooth prediction of the track’s height, while the ex-
 569 perimental measurements show oscillations, likely attributed to the presence
 570 of non-melted powder particles and measurement noise.

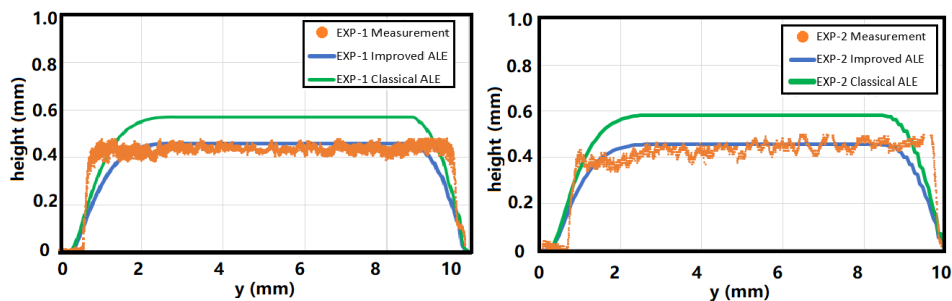


Figure 17: height of new formed track in printing direction.

571 Turning to the comparison of melting pool size during the process, the
 572 melting pool length and width are captured in-situ by the RGB camera.
 573 Figure 18 presents the comparisons of the melting pool observed in-situ and
 574 simulated. Generally, a good correlation of melting pool size has been ob-
 575 served for both the Classical ALE and Improved ALE methods. Compared
 576 to ex-situ measurements (1.24 mm in Exp-1 and 1.32 mm in Exp-2), it is
 577 noteworthy that the in-situ measurements (1.26 ± 0.2 mm in Exp-1 and
 578 1.32 ± 0.2 mm in Exp-2) have almost same estimation of the melting pool
 579 width, which confirms the camera gives good measurements. The melting
 580 pool width/length confirms that the improved ALE method provides better
 581 predictions in both cases. The melting pool size predicted by the Classical
 582 ALE tends to be consistently overestimated compared to the measurements
 583 due to its oversimplifications.

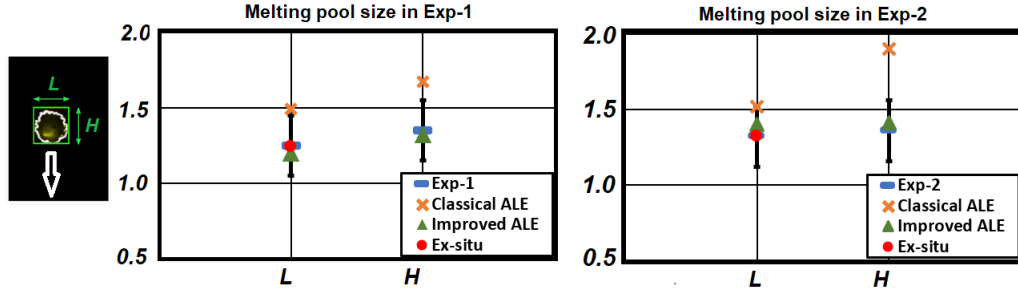


Figure 18: Melting pool size observed in in-situ measurements and simulations.

584 According to the aforementioned comparisons of melt pool size (in-situ
 585 and ex-situ), the improved ALE model demonstrates better accuracy in pre-
 586 dicting the melt pool size across all cases compared to the classical ALE
 587 model, thus confirming the fidelity and improved performance of the pro-
 588 posed model.

589 4. Conclusions and perspectives

590 In this study, we introduced an improved ALE method for DED simula-
 591 tion, applying the MTF framework to accelerate the simulations. Compared
 592 to the classical ALE method, the improved ALE approach considers the ef-
 593 fects of powder (mass, enthalpy, momentum) on melting pool simulation,
 594 which requires more input parameters, resulting in a more physically accu-
 595 rate and predictive simulation. The proposed model provides the possibility
 596 of more controlling of the simulation, which gives better correlation with the
 597 experiments. The proposed ALE model is not as sophistic as multi-phase
 598 CFD models that model directly powder particles, while it strikes a balance,
 599 providing a good compromise between the finer resolution of multi-phase
 600 CFD models and the classical over-simplified ALE model.

601 The first application with the fixed nozzle shows that the variation of
 602 temperature and momentum of powder particles can impact the melt pool
 603 size. The parameters of powder concentration have an signification effect on
 604 the final melt pool shape. The momentum/enthalpy depend on both veloc-
 605 ity/temperature of the powder and the mass absorbed rate by the melting
 606 pool.

607 The second application in DED simulation shows the efficiency of pro-
 608 posed model in simulating the real engineering case. The classical ALE

609 method has over-estimated the melting pool size, especially the depth, due to
610 its non-physical assumptions and simplifications. The improved ALE method
611 has successfully predicted the melting pool size.

612 The future developments concerns firstly development of experimental
613 measurements or numerical methods (finite volume method coupled with
614 discrete element method) for calculation powder-related parameters (powder
615 distribution, velocity, temperature). Secondly, a static refined mesh is em-
616 ployed for the entire melted zone in the current study, which is computational
617 cost. Therefore, it is possible to use the adaptive mesh refinement (AMR)
618 technique [48] to accelerate the simulation, especially for large-scale geome-
619 try. Additional diagnostic tools should be developed and utilized to obtain
620 more experimental data, which will provide stronger support for validating
621 and enhancing the numerical results.

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