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## Article ANALYSIS OF ECOLOGICAL NETWORKS: LINEAR INVERSE MODELING AND INFORMATION THEORY TOOLS

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**Abstract:** In marine ecology, the most studied interactions are trophic, in networks called food webs. Trophic modeling is mainly based on weighted networks, where each weighted edge corresponds to a flow of organic matter between two trophic compartments, containing individuals of similar feeding behaviors and metabolisms, and with the same predators.

To take into account the unknown flow values within food webs, a class of methods called Linear Inverse Modeling has been developed. The total of linear constraints, equations and inequations, defines a multidimensional convex bounded polyhedron, called a polytope, within which lie all realistic solutions to the problem. To describe this polytope, a possible method is to calculate a representative sample of solutions by using the Monte Carlo Markov Chain approach.

In order to extract a unique solution from the simulated sample, several goal (cost) functions –also called Ecological Network Analysis indices– have been introduced in the literature as criteria of fitness to the ecosystems. These tools are all related to information theory. Here are introduced new functions that potentially provide a better fit of the estimated model to the ecosystem.

Keywords: Divergence; Entropy; Linear inverse modeling; Trophic systems

#### 1. Introduction

The description of ecosystems is often based on networks of interactions, of different types. For terrestrial ecosystems, recent developments concern different types of interactions, sometimes gathered into a common model called multiplex [11]. In marine ecology, the most studied interactions are trophic, i.e. interactions between predators. These highly complex food webs have been described by numerous models widely used to describe the impact of human activities on marine ecosystems; see [1] and [13]. They are also important tools for the sustainable management of marine and coastal environments [19].

There are many ways to model food webs. Flows from preys to predators can be represented by non-quantified interactions and then the model is a graph (web) with vertices (the species) and edges (the interactions). Trophic modeling for ecosystem-based management of fisheries and other management questions is mainly based on weighted networks; see for example the Ecopath-Ecosim-Ecospace model [5]. Each link corresponds to a flow of organic matter between two trophic compartments, collecting individuals of similar feeding behaviors and metabolisms, and with the same predators.

In ecology, field studies are often associated to laboratory experiments to estimate some flows within food webs, and many flow values remain unknown, which the Linear Inverse Modeling (LIM) takes into account; see [22]. LIM relies on the principle of steady state for the biomass of all compartments, i.e., the sum of the inflows and outflows through the components of the system equals the rate of change in their standing stocks, most often considered negligible or null. This yields a set of linear equations, the Mass Balance

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**Copyright:** © 2024 by the authors. Submitted to *Proceedings* for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). Equations (MBE), describing the steady state or mass balance. Then, constraints are added 36 from field measurements of mass transfers like local estimations of primary production, 37 respiration or diet contents, etc.. Additional constraints come from experiments or study of 38 other ecosystems. All these constraints constitute a set of linear inequalities that impose 39 linear combinations of flows to be between certain values. The total of MBE and inequalities 40 defines a bounded multidimensional polyhedron, called a polytope, within which lie all 41 realistic solutions to the problem. 42

A first idea to describe the polytope was to select a single solution inside this space 43 of possible solutions, assuming a least square of the flows; see [31]. Then, methods were 44 developed to describe the solution space by calculating a representative sample of all 45 possible solutions by the Monte Carlo approach, see [16], and more efficiently the Monte 46 Carlo Markov Chain (MCMC) approach, see [17] and [27]. Further, Linear Inverse Modeling 47 Monte Carlo Markov Chain (LIM-MCMC) methods involve mass balanced models (the 48 biomass of each species is assumed constant) that consider the uncertainty in the estimations 49 and link them to the variability of the living; see [22,27]. As such, a range of possible results 50 is provided, that makes LIM-MCMC advantageous by allowing to include uncertainties in 51 the data; see [12]. 52

Still, the question remains to extract a unique solution from this simulated sample. In 53 [3], we proposed a numerical optimization of some pertinent goal functions that directly 54 yields a unique functioning point of the ecosystem, with a low computing time. This 55 straightforward approach allowed us to infer properties on the stability of the selected 56 state, and hence on the evolution of the ecosystem. Precisely, the assumption is that the 57 biomasses are the stationary state of a dynamical system determined by the constraints on 58 the flows. Determining the stability of this fixed point informs on how the biomasses will 59 vary. In this sense, this approach is data-driven by the information on both the biomasses 60 and the biological constraints. 61

Such an optimization may also be inferred in a probabilistic framework, where the flows are all seen as random variables. MCMC thus amounts to simulating samples drawn from the probability density functions (pdfs) of the flows, while the optimization can be processed in the space of pdfs satisfying the biological constraints.

In ecology, Ecological Network Analysis (ENA) indices, and have been introduced in 66 the literature as criteria of fitness to the ecosystems; see [3,15,17,29]. They are all related to 67 Shannon information theory. Some are based on Shannon entropy, while others are based on Kullback-Leibler divergence [18] or Shannon mutual information. In this paper, we 69 present several of these classical goal (cost) functions. Then we consider goal functions 70 that take into account reference distributions that may not belong to the polytope but are 71 of interest, for instance resulting from previous studies of the same ecosystem. We also 72 consider goal functions based on Rényi entropy and divergence, that may fit better to 73 particular food systems by adjusting the associated parameter. Their potential optimization 74 opens the way to a better fit of the chosen unique solution among the MCMC sample. 75

#### 2. The constraints, the polytope of solutions, and the probabilistic framework 2.1. The constraints

We will denote by V the set of all vertices of the trophic network (directed graph), and E is the set of all oriented edges – denoted by ij when going from vertice i to vertice j, with |E| = n.

In many situations, all biomasses  $B_i$  of the species can be measured with accuracy. On 81 the other hand, the flows  $F_{ij}$  between the species (or compartments) are much more difficult 82 to evaluate. Therefore, we will adopt here the standard viewpoint that the biomasses are 83 given and the flows between nodes are unknown. 84

Mathematically, the flow is a vector  $F = (F_{ii})_{ii \in E}$  of dimension n = |E| with non 85 negative components - also called flows, satisfying Kirchoff law on all vertices,

$$\sum_{i \in N^+(i)} F_{ij} - \sum_{j \in N^-(i)} F_{ji} = 0, \quad i \in V,$$
(1)

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where the successors and predecessors of a vertex *i* are  $N^+(i) = \{j \in V : ij \in E\}$  and  $N^{-}(i) = \{ j \in V : ji \in E \}.$ 

The flows  $F_{ii}$  satisfy biological constraints. For example, a fish cannot eat more than a 89 certain percentage of its biomass. These constraints are numerous thanks to the important 90 knowledge that has been acquired over the years on the functioning of species ecosystems 91 and can be summarized as a system of linear equations and inequations over the flux space. 92

#### 2.2. The polytope of solutions

The set S of solutions F to the constraints system is a convex subset of  $\mathbb{R}^n$ , where *n* is 94 the number of edges of the graph. Precisely, for any such ecosystem, 95

$$\mathcal{S} = \{F = (F_{ij})_{ij \in E} \in \mathbb{R}^n : F_{ij} \ge 0, \ AF = b, \ GF \le h\},\tag{2}$$

where A and G are matrices of sizes  $m \times n$  and  $k \times n$  and b and h vectors of sizes m and k, 96 where *m* and *k* are, respectively, the number of equality and inequality constraints (with 97 m < n so that the system AF = b is under-determined). 98

The defined domain is the intersection of hyperplanes and half-spaces and hence is a convex polyhedron. In addition, biological constraints encompass bounds on flows, so  ${\cal S}$ 100 is bounded. The same is true for most alike ecosystems. Such bounded polyhedrons are 101 called polytopes. Apart from the MBE which involve many flows in the same equation, 102 most constraints involve a small number of flows. Therefore matrices A and G are mainly 103 composed of 0 and a few 1. 104

#### 2.3. The probabilistic framework

The problem can also be presented in terms of pdfs, or proportions. Let  $f_{ij} = F_{ij}/F_{..}$ 106 denote the proportion of flows from vertex (i.e., compartment) i to vertex j with respect 107 to the total flow in the system, where  $F_{..} = \sum_{ij \in E} F_{ij}$ . The marginal distributions  $(f_{i.})_{i \in V}$ 108 and  $(f_j)_{j \in V}$ , where  $f_i = \sum_{j \in N^+(i)} f_{ij}$  and  $f_j = \sum_{i \in N^-(j)} f_{ij}$  represent the proportions of, 109 respectively, outflows and inflows of trophic compartments. The polytope of admissible 110 flows defined by (2) is identified to 111

$$S = \{ f = (f_{ij})_{ij \in E} \in \mathbb{R}^n : 0 \le f_{ij} \le 1, Af = a, Gf \le g \},$$
(3)

where  $a = b/F_{..}$  and  $g = h/F_{..}$ .

Actually, S can be considered, and hence MCMC applied, in a smaller space. Let 113 *d* be the rank of *A*, that is the dimension of the subspace of  $\mathbb{R}^n$  defined by the system 114 Af = b. One way to present this is to write  $f = f_p + Ax$  where  $f_p$  is a particular solution 115 in S, and A is the orthonormal matrix obtained from A, e.g., through the well-known QR-116 decomposition. Indeed in this subspace, only inequality constraints remain, say  $\tilde{G}x \leq \tilde{h}$ 117 with G = GA and  $h = Gf_v - h$ , that define the volume delimited by the intersection of 118 hyperplanes. MCMC methods are then applied to the latter system, that is in a smaller 119 space. 120

In ecological studies, goal functions appear either as indices of the behavior of the 122 system, or as a means of comparison between estimated solutions. Actually, they are 123 potential tools for determining one solution from the MCMC numerous simulated ones. 124

Many goal functions can be considered in relation to ecosystems. They are either sta-125 tistical tools, information theory quantities, or purely ecological indexes. The most classical 126 are the mean, the quadratic energy, and the so-called ENA, all quantities derived from 127 entropy. An important characteristics is whether the goal function includes information 128 from the constraints or not. Further, only convex (or concave) functions yield a unique 129 optimum corresponding to a unique functioning state of the system. 130

The natural setting is to give all these functions in terms of the pdfs f. Indeed, the 131 advantage of considering pdfs for ENA indices, computed in different situations, is that 132

#### 3. The Goal Functions

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different ecosystems can be compared. This makes it possible to compare different stations or different time periods. For example, [20] compare seven spatial sub-sections of the Seine estuary at two time periods; they were thus able to describe the change in the functioning of the estuary's food web, linked to the construction of the Le Havre port extension called Port2000, but also to the change in the precipitation regime that occurred over the same period. Such comparisons are essential in the search for indicators of marine ecosystems health.

#### 3.1. Classical ENA

The most usual function is the empirical mean of all flux,

$$E(f) = \sum_{ij \in E} f_{ij}.$$
 (4)

The quadratic energy, giving rise to the least squares method, is also classical, with

$$Q(f) = \sum_{ij \in E} f_{ij}^2.$$
(5)

Various functions involving the concept of entropy of distributions have been considered in the literature on ecosystems, under various specific names. See [4] and the references therein for a synthesis of these Shannon entropic indices, and [6] for details and more on information theory tools. Shannon's definition of entropy S gave birth to information theory; see [26] and [6]. It was introduced in the field of ecological systems by [21], through the so-called Mc Arthur index *C*, 149

$$C(f) = \mathbb{S}(f) \tag{6}$$

$$= -\sum_{ij\in E} f_{ij}\log(f_{ij}) = -\sum_{ij\in E} \frac{F_{ij}}{F_{..}}\log\left(\frac{F_{ij}}{F_{..}}\right).$$
(7)

Note that the basis of the logarithm is non pertinent, since only comparison or optimization are to be considered.

The mutual information is also a classical tool in information theory. It was introduced in ecology by [14], that calls it ascendency, as 154

$$A(f) = \sum_{ij \in E} f_{ij} \log\left(\frac{f_{ij}}{f_{i.}f_{.j}}\right) = \sum_{ij \in E} \frac{F_{ij}}{F_{..}} \log\left(\frac{F_{ij}F_{..}}{F_{i.}F_{.j}}\right).$$
(8)

The ascendency can be written  $K(f|(f_{i.}) \otimes (f_{.j}))$ , that is the Kullback-Leibler divergence with respect to the product distribution  $(f_{i.}) \otimes (f_{.j}) = (f_{i.}f_{.j})$ . This function is neither convex nor concave, which hinders it from being used as an optimization function; see [3].

While A/C also serves to measure the degree of constraint of the system, in order to keep a balance between A and C, [9] prefers

$$G_{A/C}(f) = \frac{A(f)}{C(f)} \log\left[\frac{A(f)}{C(f)}\right],\tag{9}$$

for which we fail to find an interpretation in terms of pdfs.

The symmetrized conditional entropy becomes in ecology the system redundancy (overhead), introduced in [30] as the negative quantity  $\Phi = C - A$ , that is to say 163

$$\Phi(f) = -\sum_{ij\in E} f_{ij} \log\left(\frac{f_{ij}}{f_{i.}}\frac{f_{ij}}{f_{.j}}\right) = -\sum_{ij\in E} \frac{F_{ij}}{F_{..}} \log\left(\frac{F_{ij}^2}{F_{i.}F_{.j}}\right).$$
(10)

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Several indices drawn from overhead and ascendency have been proposed as health indicators based on marine ecosystem functioning; see [24] and [10].

Note that in the literature, these ENA indices have sometimes been multiplied by  $F_{...,}$  167 to make them depend on the total mass of the system. Then they can only been used as tools in analyzing the system, and neither for determining a flow solution to the constraints nor for comparing two systems. 170

3.2. Goal function involving a reference

An added value to determining a pertinent solution in S is to incorporate information on the system that is not given in terms of the set of MBE and inequalities. In this aim, the following goal functions involve some reference pdf, say  $f^*$ , known a priori to be informative on the behavior of the ecosystem. In particular, a solution to the problem obtained in a previous study, or a previous year, may not be solution to the present, but can be considered as a reasonable reference. Another natural way is to consider the middle of the constraint intervals, in particular to take into account regular feeding habits of most species; see [3].

The most classical tool in information theory is the Kullback-Leibler divergence

$$\mathbb{K}(f|f^*) = \sum_{ij \in E} f_{ij} \log\left(\frac{f_{ij}}{f_{ij}^*}\right),\tag{11}$$

where  $f^* = (f_{ij}^*)$  is some reference pdf of flows that makes sense in terms of the ecosystem. Note that [4] considers it as a "structural term".

The divergence is not a mathematical distance because it is not symmetric in f and  $f^*$ . Still, it is nonnegative and null only if  $f = f^*$ , and minimizing K determines the projection in terms of divergence of the reference  $f^*$  on the set S of solutions f to the constraints; see [6] and [7].

It is worth remembering that the entropy S in Equation (6) is, up to constants, the Kullback-Leibler divergence with respect to the uniform distribution on the flows, with  $f_{ij}^* = 1/n$ . This uniform distribution is well-known to maximize the entropy when no information is a priori available.

A simple generalization of the quadratic function *Q* is the well-known  $\chi^2$ -distance

$$\chi^{2}(f) = \sum_{ij \in E} \frac{(f_{ij} - f_{ij}^{*})^{2}}{(f_{ij}^{*})^{2}}$$

see [3] for an application, where for both for  $\mathbb{K}$  and  $\chi^2$ , we have chosen  $f^*$  for each flow as the middle of the interval defined by the inequality constraints.

#### 3.3. Rényi goal functions

Shannon type entropy quantities have been generalized to many others, that – to the best of our knowledge – have not yet been used in ecological networks. For a better fit of the goal function to the problem through the choice of a parameter s, we propose to consider the family of Rényi entropy, introduced in [23], and defined for positive  $s \neq 1$  by

$$R_s(f) = \frac{1}{1-s} \log \left[ \sum_{ij \in E} (f_{ij})^s \right], \tag{12}$$

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with associated divergence

$$R_s(f|f^*) = \frac{1}{1-s} \log \left[ \sum_{ij \in E} \frac{(f_{ij})^s}{(f_{ij}^*)^{s-1}} \right].$$
 (13)

The value of the parameter *s* has to be chosen. In a first stage, s = 1/2 and s = 2 can 200 illustrate the role of the regions separated by the threshold s = 1. Note that Shannon 201 entropy appears as the limit of Rényi entropy when *s* tends to 1; see [6]. 202

Similarly to the Shannon case, Rényi mutual information is Rényi divergence with 204 respect to the product distribution  $(f_i, f_{,i})$ , 205

$$A_{s}(f) = R_{s}(P|(f_{i.}) \otimes (f_{.j})) = \frac{1}{1-s} \log \left[ \sum_{ij \in E} \frac{(f_{ij})^{s}}{(f_{i.}f_{.j})^{s-1}} \right].$$
(14)

The associated quantities  $A_s(f)/R_s(f)$  and  $R_s(f) - A_s(f)$  may also be of use in further 206 studies. 207

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#### 4. Conclusion

Classically in ecology, ENA indices are used to bring more information on an estimated 209 model, or as a tool for comparing two of them. Considering them as goal (cost) functions in 210 [3] opens the field to full mathematical optimization methods, as soon as the convexity of 211 the function is assessed. Adding to the collection divergence-like functions, that take into 212 account reference pdfs, should lead to a better fit to a priori information on the ecosystem. 213 Tools from extended information theory, such as Rényi's, also may yield an optimized 214 solution that is a better fit to certain complex systems, by a pertinent choice of the parameter. 215

A fully determined food web model (one in which all the flows are known, mainly 216 from mean of observations) is presented in [2], that describes the eight habitats of the 217 Sylt-Romo Bight. These food webs are composed of 56 living compartments and 3 non-218 living compartments. In [25], the known flows are replaced with inequalities at four 219 decreasing levels of information block per block. Then a unique solution is chosen within 220 the LIM-MCMC sample by optimizing a variety of goal functions. Some technical issues 221 of computation time regarding the R package limsolve used in this paper made the 222 randomization difficult. This will be corrected by using a new version of limsolve updated 223 in C++, that will appear soon; see [8]. Then, a meaningful comparison of goal functions on 224 the ecosystems of [2] at decreasing levels of information will be conducted by the authors. 225

#### Abbreviations

The following abbreviations are used in this manuscript:

MBE	Mass-Balance Equations	
pdf	probabilistic density function	
ENA	Ecological Network Analysis	229
LIM	Linear Inverse Modeling	
MCMC	Monte-Carlo Markov Chain	

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