

In-depth investigation of genome to refine QTL positions for spontaneous sex-reversal in XX rainbow

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In-depth investigation of genome to refine QTL positions for spontaneous sex-reversal in XX rainbow trout --Manuscript Draft--

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Full Title:	In-depth investigation of genome to refine QTL positions for spontaneous sex-reversal in XX rainbow trout
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Keywords:	fish, sex determination, masculinization, intersex, neomale, genetics
Abstract:	Sex determination is a flexible process in fish, controlled by genetics or environmental factors or a combination of both depending on the species. Revealing the underlying molecular mechanisms may have important implications for research on reproductive development in vertebrates, as well as sex-ratio control and selective breeding in fish. Phenotypic sex in rainbow trout is primarily controlled by a XX/XY male heterogametic sex determination system. Unexpectedly in genetically XX all-female farmed populations, a small proportion of males or intersex individuals are regularly observed. This spontaneous masculinisation is a highly heritable trait, controlled by minor sexmodifier genes that remain unknown, although several QTL regions were detected in previous studies. In this work we used genome-based approaches and various statistical methods to investigate these QTL regions. We validated in six different French farmed populations DNA markers we had previously identified in a different commercial population on chromosomes Omy1, Omy12 and Omy20. We also identified functional candidate genes located that may be involved in spontaneous masculinisation by reducing germ cell proliferation and repressing oogenesis of XX-rainbow trout in the absence of the master sex determining gene. In particular, syndig1, tlx1 and hells on Omy1, as well as khdrbs2 and csmd1 on Omy20 deserve further investigation as potential sex-modifier genes to precise their functional roles as well as their interaction with rearing temperature. Those findings could be used to produce all-female populations that are preferred by farmers due to a delayed maturation of females and higher susceptibility of male trout to diseases.
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Dear Editors,

Please find here our manuscript entitled "In-depth investigation of genome to refine QTL positions for spontaneous sex-reversal in XX rainbow trout" that we are submitting for your consideration for publication as a research article in Plos One.

In this manuscript, we investigated the genetic architecture of spontaneous masculinization in rainbow trout (Oncorhynchus mykiss). Although this salmonids species has a heterogametic (XY) sex determining system controlled by a master gene (*sdY*), phenotypically masculinised fish are regularly observed in all-female farmed stocks. Better understanding the factors underlying sex determination in fish is highly interesting both from a fundamental biological perspective and for aquaculture purposes.

We confirmed on six different rainbow trout populations some minor sex-determining regions previously identified as involved in spontaneous maleness on chromosomes Omy1, Omy12 and Omy20 (Fraslin et al., 2000 published in Scientific Reports). We suggest new potential sex-modifier genes based on positional and functional information. Those findings suggest that spontaneous female-to-male sex-reversal could be associated with genetic factors able to reduce germ cell proliferation and arrest oogenesis.

We have no potential competing interests to declare and the content of the manuscript has not been published, or submitted for publication elsewhere. All authors have approved the manuscript for submission.

Best regards, Florence Phocas

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1	Running title: QTL for spontaneous sex-reversal in XX rainbow trout
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3	In-depth investigation of genome to refine QTL positions for spontaneous sex-reversal in
4	XX rainbow trout
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18	
19	Abstract
20	Sex determination is a flexible process in fish, controlled by genetics or environmental factors
21	or a combination of both depending on the species. Revealing the underlying molecular
22	mechanisms may have important implications for research on reproductive development in
23	vertebrates, as well as sex-ratio control and selective breeding in fish. Phenotypic sex in
24	rainbow trout is primarily controlled by a XX/XY male heterogametic sex determination
25	system. Unexpectedly in genetically XX all-female farmed populations, a small proportion of
26	males or intersex individuals are regularly observed. This spontaneous masculinisation is a
27	highly heritable trait, controlled by minor sex-modifier genes that remain unknown, although

several QTL regions were detected in previous studies. In this work we used genome-based
approaches and various statistical methods to investigate these QTL regions. We validated in

30 six different French farmed populations DNA markers we had previously identified in a 31 different commercial population on chromosomes Omy1, Omy12 and Omy20. We also 32 identified functional candidate genes located that may be involved in spontaneous 33 masculinisation by reducing germ cell proliferation and repressing oogenesis of XX-rainbow 34 trout in the absence of the master sex determining gene. In particular, syndig1, tlx1 and hells on 35 Omy1, as well as *khdrbs2* and *csmd1* on Omy20 deserve further investigation as potential sex-36 modifier genes to precise their functional roles as well as their interaction with rearing 37 temperature. Those findings could be used to produce all-female populations that are preferred 38 by farmers due to a delayed maturation of females and higher susceptibility of male trout to 39 diseases.

40

41 **Keywords:** fish, sex determination, masculinization, intersex, neomale, genetics

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43 **Statements and Declarations**

44 **Competing interests:** The authors declare no competing interests.

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- 46

47 Introduction

48 Sex reversal has been defined as a mismatch between the phenotypic and the genetic sex of an 49 individual [1]. In fish, sex reversal can be induced by hormonal treatments (estrogens to 50 feminize and androgens to masculinize) during critical fry stages [2, 3]. Manipulating the sexual 51 phenotype of fish has been used to prevent early maturity and reproduction, as well as increase 52 production in various cultured species or create subsequent sterile generations for fisheries 53 management [3]. Sex-reversed individuals can be used to produce populations that are 54 genetically all-male or all-female (also known as 'monosex'). Rainbow trout (Oncorhynchus mykiss) is a worldwide cultured salmonid species whose production is frequently based on all-55 56 female stocks. The production of large rainbow trout over 1 kg body weight has become a 57 growing commercial interest with the development of a market for fresh and smoked fillets. A 58 major bottleneck for this commercial market is the early maturation of males (1-2 year) relative 59 to females (2-3 years) that causes stopped growth, increased sensitivity to pathogens 60 (Saproleignia spp.), and reduced flesh quality. Therefore, all-female rainbow trout stocks are often preferred in aquaculture. These stocks are currently produced by crossing hormonal sexreversed genetically XX-females, known as neomales (XX males), with XX-females. The growing concerns or potential risks to human and environmental health make the prevalent approach of producing neomales via the oral application of the hormone 17-alpha methyltestosterone less sustainable. The finding of a sex control alternative to hormonal treatment based on a safe, consumer and environmentally friendly method is a major challenge for the production of all-female populations [4].

68 Sex determination and sex differentiation mechanisms in fish are diverse and complex. 69 Understanding sex-differentiation processes requires to unravel the origin and developmental 70 pathways of cells and organs involved in the formation of the primordial gonad. During primary 71 sex determination in vertebrates, the primordial bipotential gonad commits to either an ovary 72 or a testis developmental fate. The structure of fish gonads is similar to that of other vertebrates, with germ cells and associated supporting somatic cells intermixed. Within both ovary and 73 74 testis, a clear distinction between germ and somatic cells can be made, with the former having 75 the potential to mitotically divide and enter meiosis, and the latter differentiating into associated 76 structural and endocrine cell types. In teleosts, primordial germ cells (PGCs) are established 77 and specialized at the early blastula stage and migrate to the genital ridge during embryonic 78 development [5].

79 Most of the teleosts are gonochorists meaning that all individuals within a species develop either 80 as males or females and remain the same throughout their lives. Various systems of sex 81 determination have been observed in gonochoristic fishes [1], spanning from a genetic sex 82 determination system (GSD) with a genetic monofactorial system, XX/XY or ZZ/ZW, to 83 different multifactorial systems, or environmental sex determination (ESD). During sex 84 differentiation, the testis and ovary pathways are mutually antagonistic and compete for control 85 of gonad fate. Sex reversal occurs when the sexual trajectory of the gonad is changed to the 86 opposing pathway, switching the sexual phenotype of the organism to the opposite sex. The 87 change in trajectory often occurs because of a failure to maintain the initiated pathway or a 88 failure to repress the opposite pathway [6]. Once the gonad has committed to a particular fate, 89 it begins to produce sex-specific hormones that will drive the secondary process of sex 90 differentiation in which the somatic tissues of the organism differentiate toward one sex or the 91 other. The developmental pathway leading to steroid production in gonadal somatic cells 92 requires complex regulation of multiple genes [7] involved in the differentiation of steroid-93 producing cells. Sex steroids have local and direct effects on germ-cell development, but also 94 act as endocrine hormones to influence other cell types and organs involved in sex95 differentiation.

96 Over the last two decades evidence has accumulated that both somatic and germ cells play 97 critical roles in fish gonadal differentiation. However, the exact mechanisms and factors 98 involved remain to be elucidated [8, 9]. As evidenced from studies in mammals [10], somatic 99 cells may first differentiate in response to the activation of master sex-determining genes, and 100 subsequent differentiation of PGCs into male or female gametes follow in the differentiating 101 testis and ovary in response to signals derived from surrounding somatic cells. Alternatively, it 102 is possible that, first, PGCs interpret internal genetic or external environmental cues and directly 103 transform into spermatogonia or oogonia; then, the surrounding somatic cells may be induced by the germ cells to differentiate accordingly to provide an appropriate hormonal environment 104 105 for further gonadal differentiation [11]. It is thought that control of estradiol synthesis could play a key role not only for ovarian, but also for testicular differentiation and sex change in fish 106 107 [12]. This working hypothesis states that the gonadal aromatase gene, *cyp19a1a*, up-regulation 108 would be needed not only for triggering, but also for maintaining ovarian differentiation; and 109 that cyp19a1a down-regulation would be the only necessary step for inducing a testicular 110 differentiation pathway. In Atlantic salmon as well as in loach and goldfish, it was shown that 111 germ cells are not essential for gonadal sex differentiation [13]. Germ cell-free female gonads 112 develop an ovarian somatic structure in these species, unlike in zebrafish or medaka where all 113 germ cell-free fish develop somatic testes.

114 Evidence from both the zebrafish and medaka models [14, 15, 16, 17] suggests important 115 feminizing roles for the germ cells in gonadal differentiation and thus corroborates this 116 alternative hypothesis. In particular, when germ cells are ablated in medaka, XX fish show 117 female-to-male sex reversal, while XY fish exhibit male-to-female sex reversal [16]. Any 118 disruption of this germ cell/somatic cell cross-talk would then disrupt ovarian somatic cell 119 differentiation, leading to an absence of estrogen synthesis and a subsequent masculinization. 120 More subtle regulations not involving the complete loss of germ cells, but instead some 121 differential germ cell proliferation rates, could be also suggested as important triggers of 122 gonadal sex differentiation. In this respect, it is worth to note that the Japanese medaka sex 123 determining gene, *dmrt1Y*, has been found to be an inhibitor of germ cell proliferation [18] and 124 is only expressed during testicular differentiation [19].

In species with genetic sex determination (GSD), the master sex determining trigger is encodedby a gene on a sex chromosome, which activates a network of downstream regulators of sex

127 differentiation. Currently, there are more than 20 sex determining genes discovered, and as 128 many as 13 are derived from the TGF- β pathway [20]. This pathway thus plays an important 129 role in sex determination/differentiation of fishes but potentially regulating the number of germ 130 cells, and/or inhibit aromatase activity to determine and maintain sex (e.g. Chen et al. [21]). 131 Rainbow trout is a gonochoric species with an XX/XY GSD system. Interestingly, sdY the 132 master sex-determinating gene of rainbow trout and other salmonid species, does not belong to 133 the usual master sex gene families (the DM domain and Sox protein families), nor does it belong 134 to TGF- β signaling pathway. It is a truncated duplicated copy of the immune response gene *irf9* 135 [22]. While very specific to salmonids, the gene sdY is acting directly on the classical sex 136 differentiation regulation network in all vertebrates as its protein has been shown to interact 137 with foxl2 [23] that is a well-known conserved female differentiation factor [24]. foxl2 was also 138 shown to be involved in the polled intersex syndrome in goats, where a deletion of the gene 139 leads to triggers early testis differentiation and XX female-to-male sex reversal [25; 26].

140 Although phenotypic sex in rainbow trout is primarily determined by a male heterogametic 141 GSD system, spontaneous masculinization of XX fish is a repeatedly phenomenon observed in 142 various rainbow trout populations, at generally limited frequencies (~1-2%) with some 143 individuals being only partially affected (intersex) [27]. Spontaneous sex reversal is a highly 144 heritable trait [27] and several QTL associated with this trait were detected [28, 27], 145 highlighting the existence of several minor sex-determining genes that are independent of the 146 major sex determinant carried by the sex chromosome. In particular, in a previous study [27], 147 we identified in a French trout population two QTL located on chromosome 1 with a strong 148 evidence while two suggestive QTL were identified on chromosomes 12 and 20 using the 149 rainbow trout reference genome of Swanson line [29]. All SNP variants from these four QTL 150 regions that covered tens of genes were kept for further investigations in the present study.

Firstly, we sought to validate the existence of the four QTL in different French rainbow trout populations, and secondly, we wanted to refine their location in the initial discovery population by combining machine learning and principal components approaches, and considering the new reference genome derived for Arlee line [30].

155

- 156 Material and Methods
- 157 Sequenced Samples

158 The first data set was initially produced by Fraslin et al. [27]. The 4 QTL regions that were 159 discovered were further studied in depth in this work. The fish samples and records came from 160 the French rainbow trout farm "Les Fils de Charles Murgat" (Beaufort, France; UE approval 161 number FR 38 032 001). The 60 female sequenced genomes were initially aligned against the 162 reference assembly genome Omyk_1.0 (GenBank assembly accession GCA_002163495.1) of 163 the double haploid line Swanson from Washington State University (WSU). Following the 164 same procedure as described in Fraslin et al. [27], we realigned these 60 sequences against the reference genome USDA_OmykA_1.1 of the WSU double haploid line Arlee (GenBank 165 166 assembly accession GCA_013265735.3). This realignment was performed because French 167 rainbow trout populations were known to be phylogenetically closer to Arlee's genome that to 168 Swanson's one [31]. A total of 29,229,949 variants were obtained using a series of commands 169 using three different variant calling tools: GATK 4.2.2.0 [32], Freebayes 1.3.5 [33] and 170 SAMtools Mpileup 1.11 [34] Quality controls were done by using vcftools 1.15. First, indels 171 and SNPs located on un-located contigs or mitochondrial chromosome were removed. Then, a 172 filtering was performed on variant coverage according to the recommended settings by GATK 173 [35]. Final numbers of 21,517,540 SNPs and 4,850,647 indels, for a total of 26,368,187 174 variants, were kept for further analysis. While the percentage of properly paired sequence reads 175 over all sequenced pairs was in average 87.3% for the alignment against Swanson's genome 176 assembly, these statistics went up to 94.1% for the alignment against Arlee's genome assembly.

Among the 60 sequenced females, we considered the set of 23 dams with at least 10 progeny records for sex phenotype and extreme proportions of sex-reversed offspring, either at least 25% or below 6% of neomales among their XX daughters (see S1 Supplementary Tables, S1 Table). For each of the 3 chromosomes investigated in the present study, all SNPs that exhibited polymorphism among the genomes of the 23 dams with extreme ratios of offspring sex-reversal were kept for the final analysis, i.e. 854,157 SNPs for Omy1, 965,320 SNPs for Omy12 and 492,959 SNPs for Omy20.

184

185 Genotyped Samples

To validate the QTL detected by Fraslin et al. [27] as linked to spontaneous sex-reversal of XX trout, a set of 192 SNP were selected to design two 96 SNP genotyping arrays using microfluidic real-time PCR Fluidigm Kasp chemistry. The 192 SNPs (see S1 Supplementary Tables, S2 Table) correspond to 140 SNPs in the 2 main QTL identified on chromosome Omy1, 19 SNPs in a putative QTL region detected on Omy12 and 33 SNPs in a large 6 Mb region with putative QTL on Omy20. These arrays were used to genotype 315 fish from 6 diverse French populations of rainbow trout named by the letters A to F (Table 1) with at least 30 XX fish, including a minimum of 8 neomales, per population. Population A was made up of XX sibs from the same birth cohort as the parents used to produce the QTL discovery population [27]. Respectively, fish from populations B and C and those from populations E and F came from two other French breeding compagnies, while fish from population D came from a commercial site using fry from unknown origin.

Pieces of caudal fin sampled from those 315 fish were sent to Gentyane genotyping platform
(INRAE, Clermont-Ferrand, France) for genotyping after DNA extraction using the DNA
advance kit from Beckman Coulter following manufacturer instructions.

After quality control, 19 SNPs were eliminated (including 14 from Omy1) from the analyzes of all the populations because their genotyping rate was below 90%.

203

204 **Table 1** Description of the 6 rainbow trout XX populations used for QTL validation

Population	А	В	С	D	E	F
Number of genotyped fish	49	30	64	77	45	50
Phenotyped as female	34	22	32	29	31	32
Phenotyped as male (including intersex)	15	8	32	48	14	18
Phenotyped as pure male (no intersex)	8	nr	17	32	6	nr

- 205 nr: values not reported by the breeding compagny
- 206

207 Statistical analysis for validation of the existence of the QTL

An exact Fisher test was run for each of the 6 validation populations using the fisher.test function in R version 4.3.1 [36] which implements the method developed by Mehta and Patel [37, 38] and improved by Clarkson, Fan and Joe [39] to test the independence of genotypes at each SNP and phenotypic sex of fish.

This test was applied for the set of 173 validated SNPs across all six populations. In any of these populations, ~170 SNPs were polymorph and had a genotyping rate above 90%. To

214 account for multiple testing using Bonferroni correction, we considered that SNPs were

218 To confront the results between the validation and discovery populations, the latter threshold 219 value was also used to detect, by exact Fisher test, the association of all the sequence variants 220 observed in the 4 QTL regions with the average progeny sex-ratio of the 23 extreme dams of 221 the discovery population. A second, more stringent, threshold ($p_value < 0.002$) was considered 222 to focus on the main significant associations with sequence variants in the discovery population. 223 Because of the strong linkage disequilibrium observed across SNPs along the chromosome [40], 224 the QTL region on Omy20 was strongly expanded to test, one-by-one, all the variants spanning 225 the chromosome from 27 to 38 Mb.

226

227 Statistical approaches for refinement of QTL locations in the discovery population

228 Machine learning approach using Random Forests

229 Random forest (RF) is a machine learning method that aggregates complementary information 230 from an ensemble of classification or regression trees trained on different bootstrap samples 231 (animals) drawn with replacement from the original data set [41]. First, every tree is built using 232 a bootstrap sample of the observations. Second, at each node, a random subset of all predictors 233 (the size of which is referred to as mtry hereafter) is chosen to determine the best split rather 234 than the full set. Therefore, all trees in a forest are different. A particularity of the RF is the out-235 of-bag data, which corresponds to the animals not included (roughly 1/3) in the bootstrap 236 sampling for building a specific tree. It can be used as an internal validation set for each tree, 237 which allows the computation of RF error rate based on misclassified animals in the out-of-bag data. RF can be applied successfully to "large p, small n" problems, and it is also able to capture 238 239 correlation as well as interactions among independent variables [42].

We considered the five following genomic zones to define haplotypes of subsequent SNPs spanning from 67 to 69Mb on Omy1, from 8.5 to 9.5Mb on Omy12, and, on Omy20: 27-29Mb, 33.5-35.5 Mb, and 36-37Mb. In total, we considered 21,310 variants for Omy1, 12,191 for Omy12, and 56,643 for Omy20, whatever their distance to genes in the QTL regions. We recoded the genotypes depending of the number of reference alleles present in the genotype:

we recoded the genotypes depending of the number of reference aneles present in the genotype.
"2" for a homozygous reference genotype, "1" for a heterozygous genotype, "0" for the
alternative homozygote; missing genotypes were encoded "5".

247 To minimize the RF error rates, we ran a preliminary set of analyses to establish the optimum 248 SNP window size (swind), the number of sampled trees (ntree), and the number of sampled 249 predictor variables (mtry) chosen at random to split each node. For any analysis procedure, the 250 more highly correlated the variables are, the more they can serve as surrogates for each other, 251 weakening the evidence for association for any single correlated variable to the outcome if all 252 are included in the same model. Therefore, to avoid redundancy of information and limit the 253 number of variables to analyze, we built some haplotypic genotypes by retaining one SNP out of two and gathering them in the same haplotype across a window of 80 initial SNPs 254 255 (correspond to swind=40). We varied swind from 20 to 200 subsequent SNPs, ntree from 100 256 to 1,000 and mtry from 5 to 20. The final parameter set (swind=40, ntree=400 and mtry=7) was 257 chosen as consistently giving the lower median error rate over 50 runs for any of the three tested 258 chromosomes. A final set of 246 haplotypes for Omy1 (131 for Omy1 a and 115 for Omy1 b), 259 152 haplotypes for Omy12 and 707 haplotypes for Omy20 (247, 288, and 172, respectively for 260 Omy20 a, Omy20 b and Omy20 c) were then analyzed by averaging results across 100 RF 261 runs. We used the package RandomForest 4.18 [43] in R version 4.3.1 [36].

Haplotypes were ranked by decreasing order of importance in the prediction model, using the mean decrease in Gini index. The Gini index measures the probability for a specific feature of being misclassified when chosen randomly. The higher is the value of mean decrease in Gini index, the higher is the importance of the variable in the model.

A list of 45 positional candidate genes was established based on results of the best ranked haplotypes as well as the preliminary Fisher exact tests on SNP.

268

269 Discriminant Analysis of Principal Components to identify the most promising variants

The Discriminant Analysis of Principal Components (DAPC) helps explore the genetic structure of biological populations. This multivariate method consists in a two-step procedure: 1) genetic data are centred and used in a Principal Component Analysis (PCA); 2) a Linear Discriminant Analysis is applied to the principal components of PCA. A basic matrix operation is used to express discriminant functions as linear combination of alleles, allowing one to compute allele contributions, i.e. to identify the most interesting variants [44].

We considered the 33,731 SNPs that were in the list of genes identified by RF or Fisher's exact test. We also studied the SNPs in the close upstream and downstream regions of theses genes

278 (± 2 kb). Filtering out SNPs with call rate below 100% using PLINK 1.9 [45], we applied DAPC

on a final set of 27,828 variants without any missing genotypes. We used the R adegenet package [46] to find by DAPC the main variants that best discriminate between dams with high and dams with low sex-reversal ratios in their offspring.

282

283 Annotation of genes and variants

Genes within QTL regions were annotated using the NCBI *O. mykiss* Arlee genome assembly USDA_OmykA_1.1. (GCA_013265735.3) (Gao et al. 2021). In addition to NCBI gene summaries, functional information for genes was extracted from the human gene database GeneCards® (<u>https://www.genecards.org/</u>) that also includes protein summaries from UniProtKB/Swiss-Prot (https://www.uniprot.org/uniprotkb/).

SNP annotation was performed for the 33,731 SNPs identified for the list of genes of interest, applying the software SNPEff4.3T [47] on the NCBI annotation file annotation released for *O. mykiss* Arlee genome reference assembly (GCA_013265735.3). These annotations permitted to retrieve any information about the predicted impact of the variants on the genes, and, in some cases, on the resulting proteins. Interesting variants were further studied using the Genome Data Viewer of NCBI to indicate their intronic or exonic positions within the genes.

295

296 **Results**

297 Validation of the existence of the 4 QTL in various French rainbow trout populations

298 Among the 173 SNPs that could be tested for their association with spontaneous sex-reversal 299 in the validation populations, 117 had a significant effect in at least one population. Among the 300 latter, 50 SNPs on Omy1 had at least an effect in population A that was genetically close to the 301 QTL discovery population. Most of these 50 SNPs had also an effect observed in populations 302 B, C and E. On the contrary, none of the SNPs tested on Omy12 or Omy20 had an effect in 303 population A, probably because of sampling issues for low frequency variants in the population. 304 However, 7 SNPs on Omy12 (out of 17 that could be tested) had an effect in populations C, D, 305 E and F; and 13 SNPs on Omy20 (out of 30 that could be tested) had an effect in populations 306 C, D and F.

The list of the 90 SNPs that were at least associated with a putative effect in 2 validations populations are given in S1 Supplementary Tables, S3 Table with indication of the level of significance in those populations. Table 2 reported the 63 SNPs that had at least a putative effect

- in four validation populations, or a clear significant effect in at least two populations. Out of
 these 63 SNPs, 50 were located within genes or in their close vicinity (< 5kb) and NCBI
- annotation for those genes are then reported in Table 2.
- 313

314 **Table 2** Most significant SNPs in at least 2 out of the 6 validation populations named A to F

315 (names in bold correspond to a p_value < 0.0005, others to a p_value < 0.005)

Omy	Position	P1	P2	P3	P4	NCBI gene annotation (Genecards symbol)			
1	67186650	A	В	С	F	synapse differentiation-inducing gene protein 1 (syndig1)			
1	67187724	A	В	С	F	synapse differentiation-inducing gene protein 1 (syndig1)			
1	67273221	A	В	С	F	1.982 kb upstream acyl-CoA synthetase short chain family member 1 (acss1)			
1	67319795	A	В	С	F	Close to visual homeobox 1 (vsx1)			
1	67340956	A	В	С	F	Close to ectonucleoside triphosphate diphosphohydrolase 6-like (entpd6)			
1	67353129	А	B	С	F	2.941 kb upstream <i>entpd6</i>			
1	67387599	B	С	F		barrier-to-autointegration factor (banf1)			
1	67387902	B	С	F		barrier-to-autointegration factor (banf1)			
1	67389450	B	С	F		phosphorylase, glycogen; brain (pygb)			
1	67395895	А	B	С	F	phosphorylase, glycogen; brain (pygb)			
1	67406669	В	С			phosphorylase, glycogen; brain (pygb)			
1	67410770	В	С			phosphorylase, glycogen; brain (pygb)			
1	67413276	В	С	D		phosphorylase, glycogen; brain (pygb)			
1	67434621	А	B	С		abhydrolase domain containing 12, lysophospholipase (abhd12)			
1	67435714	B	С			abhydrolase domain containing 12, lysophospholipase (abhd12)			
1	67461050	B	С			ninein-like (ninl)			
1	67464776	A	B	С	F	ninein- like (ninl)			
1	67494288	B	С			ninein- like (ninl)			
1	67522146	B	С			Closest gene: pleckstrin and Sec7 domain containing a (psda)			
1	67535219	B	С			pleckstrin and Sec7 domain containing a (psda)			
1	67546988	B	С			pleckstrin and Sec7 domain containing a (psda)			
1	67584462	B	С			shieldin complex subunit 2 (shld2)			
1	67626764	B	С			glutamate dehydrogenase 1 (glud1)			
1	68248684	А	В	С	E	Closest gene: solute carrier family 2 member 15a (slc2a15)			
1	68270478	А	В	С	E	4.901 kb upstream of solute carrier family 2 member 15a (slc2a15)			
1	68305255	А	В	С		Closest gene: solute carrier family 2 member 15a (slc2a15)			
1	68310800	А	В	С		Closest gene: fibroblast growth factor 8a (fgf8)			
1	68312110	Α	В	С		4.222 kb upstream of fibroblast growth factor 8a (fgf8)			
1	68315508	А	В	С		fibroblast growth factor 8a (fgf8)			
1	68316754	A	В	С		fibroblast growth factor 8a (fgf8)			

1	68329760	Δ	С			Closest gene: fibroblast growth factor 8a (fot8)	
1	68330279	A	B	С		Closest gene: E-box and WD repeat domain containing 4 (<i>thrw4</i>)	
1	68339634	Δ	С С	C		E-box and WD repeat domain containing 4 (<i>fbrw4</i>)	
1	68466812	C	С F			0.138 kh downstream of helicase. lymphoid specific (<i>hells</i>)	
1	68/6703/		r R	C		baliansa lumphoid apagifia (halla)	
1	68470380	л л	B	C C		helicase, lymphoid specific (hells)	
1	68405016	A	B	C		0.826 kb downstream of uncharacterized LOC118065305	
1	68406653	A	D	C C		1.562 kb downstream of uncharacterized LOC118965305	
1	68501373	A	D	C C		Closest gape : uncharacterized I OC118065305	
1	68502150	A	D	C C		Closest gene : uncharacterized LOC118965205	
1	68504070	A	D	C C		Closest gene : uncharacterized LOC118065205	
1	08304970	A	D	C C		Closest gene : uncharacterized LOC118965305	
1	68505133	A	В	C		Closest gene : uncharacterized LOC118965305	
1	68505220	A	В	C		Closest gene : uncharacterized LOC118965305	
I	68505500	A	В	C		Closest gene : uncharacterized LOC118965305	
1	68509786	Α	В	С		Closest gene : LOC110527930	
1	68511322	A	В	С		Closest gene : LOC110527930	
1	68519589	Α	В	С		0.205 kb upstream of LOC110527930	
1	68521100	A	В	С		uncharacterized protein LOC110527930	
1	68522155	Α	В	С		uncharacterized protein LOC110527930	
1	68524318	А	В	С		uncharacterized protein LOC110527930	
1	68529520	А	В	С	Е	uncharacterized protein LOC110527930	
1	68529535	A	В	С	Е	uncharacterized protein LOC110527930	
1	68529584	A	В	С	Е	uncharacterized protein LOC110527930	
1	68529621	A	В	С	Е	uncharacterized protein LOC110527930	
1	68529794	A	В	С	Е	uncharacterized protein LOC110527930	
1	68538278	A	B	С		uncharacterized protein LOC110527930	
1	68546628	A	B	С		uncharacterized protein LOC110527930	
1	68605451	A	B	С		collagen alpha-1(XIII) chain (col13a1)	
12	9164517	D	F			hyperpolarization-activated cyclic nucleotide-gated cation channel 1 (hcn1)	
20	34350986	D	F			3-hydroxymethyl-3-methylglutaryl-CoA lyase-like 1 (hmgcll1)	
20	34353785	D	F			3-hydroxymethyl-3-methylglutaryl-CoA lyase-like 1(hmgcll1)	
20	37496331	С	F			ENSOMYG0000068776	
20	37497790	D	F			ENSOMYG0000068776	

316

317 Extension of the QTL region boundaries in the discovery population

318 Because most of the SNPs located at the boundaries of the QTL regions reported by Fraslin et

al. [27] had a significant effect in some of the validation populations, we applied Fisher's exact

test on the dams' average progeny phenotypes in the discovery population for all sequence variants located in QTL regions enlarged by 0.5 Mb on each side. Based on these tests, we defined the new extended boundaries for the QTL that are given in Table 3. In particular, we enlarged the search of QTL in 3 different regions of Omy20, spanning from 27 to 29 Mb, 33.5

to 35.5 Mb, and 36 to 38 Mb, respectively for Omy20_a, Omy20_b and Omy20_c.

325

326 **Table 3** Extended boundaries of sex-reversal QTL regions in the current study (location on

327	Arlee reference	genome) com	pared to QTL	intervals re	ported by	Fraslin et a	1. [27]

Chromosome	Region name	QTL name	Start of QTL (bp)	End of QTL (bp)
Omy1	Z1	Omy1_a	67 000 061	67 960 401
Omy1	Z1	Omy1_b	67 960 402	68 999 919
Omy12	Z2	Omy12	8 500 067	9 499 932
Omy20	Z3	Omy20_a	27 000 149	28 998 983
Omy20	Z4	Omy20_b	33 508 998	35 499 146
Omy20	Z5	Omy20_c	36 000 252	36 999 928

328

- **Table 4** Most significant SNPs by Fisher's exact test ($p_value \le 0.002$) considering the 23
- dams with extreme progeny sex-ratios in the QTL discovery population.

Omy	Position	REF	ALT	p-value	NCBI gene annotation (Genecards symbol)
1	67931300	А	С	0,0017	opsin 4a (<i>ops4a</i>)
1	68330279	С	Т	0,0017	8.758 kb upstream of F-box and WD repeat domain containing 4 (fbxw4)
1	68832468	G	А	0,0013	Golgi-specific brefeldin A-resistance guanine nucleotide exchange factor 1 (gbf1)
20	27841889	А	G	0,0020	ARFGEF family member 3 (arfgef3)
20	27841897	Т	А	0,0020	ARFGEF family member 3 (arfgef3)
20	28044820	G	С	0,0017	v-akt murine thymoma viral oncogene homolog 3a (akt3a)
20	28206089	А	G	0,0017	SHH signaling and ciliogenesis regulator sdccag8 (sdccag8)
20	28224422	С	*,T	0,0020	SHH signaling and ciliogenesis regulator sdccag8 (sdccag8)
20	28270362	А	G	0,0017	centrosomal protein 170aa (cep170aa)
20	28333748	G	Т	0,0017	0.117 kb upstream leucine-rich pentatricopeptide repeat containing (<i>lrpprc</i>)

20	28695801	А	G	0,0006	calmodulin-lysine N-methyltransferase (camkmt)
20	28775897	А	Т	0,0013	30.316 kb downstream calmodulin-lysine N-methyltransferase (camkmt)
20	28803467	А	C,T	0,0005	45.584 kb upstream SIX homeobox 3a (six3a)
20	33670075	G	A,T	0,0017	KH domain-containing, RNA-binding, signal transduction-associated protein 2 (<i>khdrbs2</i>)
20	34018207	С	Т	0,0006	Dystonin (dst)
20	35051101	G	Т	0,0017	CUB and sushi domain-containing protein 1 (csmd1)
20	36030963	G	Т	0,0013	0.468 kb upstream uncharacterized ncRNA (LOC110499423)
20	37557112	С	G	0,0006	ENSOMYG0000068776*
20	37995993	Т	G,*	0,0017	79.980 kb upstream charged multivesicular body protein 6 (chmp6)

331 * ENSOMYG00000068776 on Omy20 (37,056,423 ... 37,561,145) is a paralog of *rbfox3* located on Omy23

In total, 246 SNPs had a p_value ≤ 0.005 (see S1 Supplementary Tables, S4 Table), and the 19 SNPs with a more stringent p_value ≤ 0.002 were mainly located within genes or in their close vicinity (Table 4).

335

336 Identification of the most relevant haplotypes and genes explaining sex-reversal in the 337 discovery population

338 Initially, we ran a RF analysis for each of the 3 chromosomes with all their QTL regions jointly 339 analyzed. Respectively for the RF on Omy1, Omy12 and Omy20, we presented the 30, 15 and 340 30 best ranked haplotypes based on Gini index as well as the corresponding genes, in S5, S6 341 and S7 Tables. For the 2-Mb region on Omy1 (246 haplotypes spanning from 67 to 69 Mb), the 342 median error rate was 28% with a minimum error rate of 15% and a maximum rate of 37% of 343 fish phenotypes wrongly classified as the opposite sex across 100 RF simulations. While the 344 RF analysis of error rate indicated that the 2 Mb tested on Omy1 were really useful to 345 discriminate the dams with high and low progeny sex-reversal ratios, the median error rate 346 derived from the RF analysis on Omy12 (152 haplotypes spanning 8.5 to 9.5 Mb) was 42% 347 (ranging from 29% to 51% across the 100 RF runs), indicating that the best haplotypes and 348 genes identified on this chromosome were not so strongly associated with sex-reversal. In terms of error rate, the RF analysis on the 707 haplotypes covering the QTL regions on Omy20 (247 349 350 haplotypes from 27 to 29 Mb, 288 haplotypes from 33.5 to 35.5 Mb, and 172 haplotypes from 351 36 to 37 Mb) gave intermediate results in-between the two previous analyses, misclassifying

one third of the dams on average with a minimum error rate of 29% and a maximum rate of38% across 100 RF simulations.

354 These results are consistent with the higher proportions of genetic variance explained by QTL 355 we estimated on Omy1 than on Omy12 or Omy20 [27]. In addition, we considered all together 356 the 1,105 haplotypes covering the QTL regions along the three chromosomes in a last RF 357 analysis. The empirical distribution of error rates for the full RF analysis gave median, 358 minimum and maximum values similar to the ones of the RF on Omy20 (33%, 29%, and 38%, 359 respectively) while we were expecting that these values will be at least as low as for the RF on 360 Omy1. Due to the very small size of our dataset, it is likely that we had too many variables in 361 the full RF analysis, creating some « noise » by considering over 1,100 haplotypes together in 362 the analysis to classify our 23 individuals.

363 Looking at the locations (Fig.1) of the best ten RF ranked haplotypes on the 2 Mb evaluated on 364 Omy1 (see S1 Supplementary Tables, S5 Table), they fell within the nine following genes, six 365 of them being identified with significant effects on sex-reversal in several validation 366 populations (Table 2) or within some significant SNPs in the discovery population (Table 4): 367 sdhaf4, tlx1, syndig1 and vsx1 genes located in QTL Omy1_a; cep68, fbxw4, hells, 368 LOC110527930, and *gbf1* in QTL Omy1 b. The first best-ranked haplotype included two genes 369 from QTL Omy1_b (sdhaf4 and tlx1), while the second and third best-ranked haplotypes were 370 in the sequence of the *syndig1* gene on Omy1_a.

371

Fig. 1 Positions of genes within QTL regions a and b on Omy1 with significant SNPs positions
in discovery or validation population as well as best ranked haplotypes

374

375 These results are not fully consistent with our first GWAS [27] using progeny imputed 376 genotypes and Bayesian mixed model methodologies. In this previous analysis, the syndig1 377 gene was not included in the credibility interval for Omy1_a. Although there was no SNP within 378 this gene among the most significant SNPs (p value ≤ 0.002) in the discovery population, 2 379 SNPs had, however, a p_value < 0.005 (see S1 Supplementary Tables, S4 Table). In addition, 380 syndig1 exhibited highly significant SNPs in four validation populations, including population 381 A. All these results make *syndig1* a very plausible candidate gene for QTL Omy1_a. As regards 382 to the initial QTL Omy1_b, there is now an extended credibility interval, including genes before 383 *slc2a15a* such as *tlx1* and *cep68*, and genes after the uncharactezized LOC110527930 such as *gbf1*. While LOC110527930 initially was our favourite causative gene for Omy1_b, genes such
as *tlx1*, *cep68*, *fbxw4* and *hells* are now prefered within Omy1_b region (Fig. 3).

On the 1 Mb tested on Omy12 (see S1 Supplementary Tables, S6 Table), the first three best haplotypes to explain sex-reversal were within the same two *hcn1* and *ccbe1* genes that were also identified in three populations unrelated to the discovery population (see S1 Supplementary Tables, S3 Table).

390 On the 5 Mb tested on Omy20 (Fig.2), unfortunately, there was no variant tested in the 391 validation populations for the eight genes corresponding to the ten best ranked haplotypes under 392 RF analysis (see S1 Supplementary Tables, S7 Table) that were in decreasing order of 393 importance: *lrrc59*, *csmd1*, *khdrbs2*, *abcg5*, *akt3*, *rgs17*, *caskin2* and *dst*. However, some highly 394 significants SNPs are detected in the discovery population for four of these genes (*akt3*, 395 *khdrbs2*, *dst* and *csmd1*, see Table 4).

396

Fig. 2 Positions of genes within QTL regions a, b and c on Omy20 with significant SNPs
positions in discovery or validation population as well as best ranked haplotypes

399

400 As regards to the ranking of the best haplotypes to explain sex-reversal across all the QTL 401 regions spanning the 3 chromosomes (see S1 Supplementary Tables, S8 Table), the first one 402 was positionned within *lrrc59* gene on Omy20_c, the second and third ones included *sdhaf4* 403 and *tlx1* on Omy1_b, and the fourth one was located within the *csmd1* gene on Omy20_b. The 404 next four best-ranked haplotypes were all on Omy20, located within abcg5, caskin2, khdrbs2, 405 and dst genes. Some genes identified on Omy1 in validation populations (syndig1, hells, fbxw4 406 and LOC110527930) appeared then as the subsequent haplotypes ranked with very similar Gini 407 indices (Fig. 1). Noticeably, most of the best-ranked haplotypes concerned sequences within 408 genes, and were consistently ranked between the full RF analysis and the RF limited to their 409 chromosomic location.

Therefore, based on the results of the 60 best ranked haplotypes on the Gini index of this full RF analysis, as well as the additional results from Fisher's exact tests (Tables 2 and 4), we identified a list of 45 genes (see S1 Supplementary Tables, S9 Table) whose variants in their sequence or in their close vicinity (2kb) were the most likely to explain a significant part of sexreversal phenotypes. 415

416 Identification of the potential causative variants combining DAPC and SNP annotations

417 We considered the corresponding sequence variants of those genes (± 2 kb) in a DAPC in order 418 to find the best 100 SNPs to discriminate among the 23 dams the ones with high progeny sex-419 reversal ratios from those with low ratios. Running a simple ACP on all those variants do not 420 allow to correctly discriminate the two groups of dams as shown by Fig.3A. On the contrary,

421 the DAPC allowed us to perfectly discriminate the two groups as demonstrated in Fig.3B.

422

423 Fig. 3 PCA of variants between "Fem" and "Mal" dam groups on 45 candidate genes

424 A. PCA of the variants in the 45 candidate genes (±2 kb) and B. PCA of the 100 best 425 variants selected by DAPC to discriminate the "Fem" group of the seven dams with low 426 progeny sex-reversal ratios and the "Mal" group of the 16 dams with high progeny sex-427 reversal ratios.

428

429 In S1 Supplementary Tables, S10 Table are given the genotypes for the 100 best SNPs that 430 were genotyped for the 23 dams among 27, 828 SNPs (without missing genotypes out of 33,731 431 SNPs), as well as for 5 additional dams with phenotypic sex recorded on at least 6 offspring in 432 the discovery population (see S1 Supplementary Tables, S1 Table). We considered these 5 dams 433 as an internal validation: 3 dams (noted AS, AT and AU) had a high progeny sex-reversal ratio 434 while no sex-reversed offspring were observed for the last 2 dams (noted BS and BT). Those 435 best 100 SNPs were located within or nearby the downstream or upstream regions of 16 genes 436 belonging to the list of the 45 genes previously identified by RF and Fisher's exact test: on 437 Omy1, syndig1, vsx1, and myoz1; on Omy12, hcn1 and ccbe1; on Omy20, arfgef3, cep170aa, 438 abcg5, khdrbs2, dst, hmgcll1, cilk1, rps6ka2, LOC110499423, lrrc59 and caskin2. Most of 439 these 100 SNPs were located in intronic regions of the genes, two were synonymous mutations 440 and none were splice donor variants in introns or missense variants in exons. When focusing 441 on the 50 best SNPs to discriminate between the two groups of dams, only *hcn1* remained on 442 Omy12; *syndig1* and *myoz1* were kept for Omy1_a and Omy1_b, respectively; and, all genes, 443 except LOC110499423, remained for QTL regions on Omy20.

444

445 **Discussion**

446

447 Significance of the different QTL regions across French rainbow trout populations

448 Considering the list of the 100 best-ranked SNPs under DAPC in the discovery population 449 confirms the hypothesis of two QTL on Omy1, one QTL on Omy12, and lead us to suggest the 450 existence of three distinct QTL on Omy20. All the QTL regions previously identified [27] are 451 confirmed as playing a role on sex-reversal in at least two populations different from the 452 discovery one. The most significant SNPs across several populations are located within genes 453 or in their close vicinity.

454 Regarding QTL Omy1 a, the candidate genes that appear as the most relevant to explain sex-455 reversal across populations were *syndig1*, *acss1*, *vsx1*, *entpd6*, *pygb* and *nin1*. In the initial study 456 [27], the peak SNPs for this QTL were located either within the *pygb* or *ninl* gene, depending 457 on the statistical approach. In particular, Fraslin et al. [27] mentioned a SNP located at 458 63,543,061 bp (on Swanson reference genome) within the ninl gene and annotated has a 459 missense variant. This SNP was not genotyped in the validation population, but in this study, a 460 very close SNP located at 63,530,416 bp on Swanson reference genome (corresponding to 461 67,434,621 bp on Arlee reference genome) has a putative effect in population A and a 462 significant effect at the genome level in populations B and C (see S1 Supplementary Tables, S3 463 Table).

464 As regards to the main QTL Omy1_b, Fraslin et al. [27] identified it with all peak SNPs located 465 in the intergenic region between the *hells* gene and the uncharacterized protein LOC110527930, 466 the latter being proposed as the most relevant positional candidate for explaining 14% of the 467 genetic variance of sex-reversal in the discovery population. However, in the present study, 468 other genes should also be considered as candidate genes in several validation populations. 469 Some were located before the *hells* gene (*slc2a15a*, *fgf8*, and *fbxw4*), while the most distal one, 470 coll3a1, was located after LOC110527930. This observation, as well as the identification of 471 syndig1 in QTL Omy1_a that was positioned before the first QTL interval defined in Fraslin et 472 al. [27] were the motivation to further study the sequence variants in larger genomic regions.

In the previous study [27], the QTL on Omy12 was detected using the 57K chip, but not
considering imputed sequence variants in the discovery population. In the present study, this
QTL is not validated in population A although this population is closely related to the discovery
one. Nevertheless, the QTL is validated in population D and F with a significant SNP identified

within *hcn1* gene. We can hypothezise that the QTL is then segregating in the discoverypopulation, but either at a too low frequency or too small effect to be statistically detected.

The QTL region on Omy20 is not validated in population A, but two QTL are segregated on Omy20 in populations C, D and F, with significant SNPs located in two distant genes: *hmgcll1* located around 34.4 Mb, and ENSOMYG00000068776 gene located around 37.5 Mb. The same hypothesis as for the QTL on Omy12 can thus be proposed for the absence of statistical validation in population A. In addition, in an INRAE experimental rainbow trout line, a QTL for spontaneous sex-reversal was also identified on Omy20 (previously named RT17) but with a very imprecise position [28].

In the next paragraphs, we describe the putative functional roles of our best positional candidate genes for the two QTL on Omy1 as well as for the most promising genes for the three different QTL on Omy20. Other positional candidate genes on Omy12, Omy20_a and Omy20_b with less functional evidence are presented in an additional section [see S1 Appendix].

I

490

491 syndig1 as the best positional and functional candidate gene for QTL Omy1_a

492 It is very likely that one or a few variants in the *syndig1* (synapse differentiation-inducing gene 493 protein 1) sequence or in its close downstream region (< 1kb) are the causative variants 494 explaining a part of the sex-reversal phenotype. First of all, 4 SNPs were significant in two to 495 four validation populations (see S1 Supplementary Tables, S3 Table). In the discovery 496 population, the RF analysis highlighted 3 haplotypes in the gene (see S1 Supplementary Tables, 497 Suplementary table 5), among which 3 missense variants were annotated. The DAPC ranked 3 498 SNPs within or dowstream syndig1 (see S1 Supplementary Tables, S10 Table) among the 100 499 best SNPs to discriminate the low and high sex-reversed progeny dams. However, among the 500 50 best SNPs, the only 2 retained were located in downstream intergenic positions. We 501 speculate that these latter positions were kept to the detriment of SNPs within syndig1 due to 502 linkage desequilibrium between SNPs of the two close genes syndig1 and vsx1 (visual system 503 homeobox 1). Indeed, a unique SNP was retained from vsx1 in the list of the 100 best SNPs and 504 was filtered out in the list of the 50 best ones. We may speculate that vsx1 may play a minor 505 additional role to explain sex-reversal as significant SNPs were detected both within gene in the discovery population and in an upstream region in 4 validation populations. It is worth 506 507 mentionning the existence of 5 missense variants in *vsx1*. This gene is expressed during early 508 embryogenesis as well as in the adult retina. It participates in regulating retinal progenitor proliferation, differentiation and functional maintenance of bipolar cells [48, 49, 50]. As far as
we know, no direct link has been suggested between *vsx1* and sex determination in any species.

As regards to *syndig1*, this gene encodes a protein that belongs to the interferon-induced transmembrane family of proteins. It plays a critical role during synapse development to regulate *ampar* (α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptor) content and have a role in postsynaptic development and maturation [51, 52]. Although *syndig1* expression is mainly restricted in the embryonic retina in mice [53], its expression has been detected also in granulosa cells at the small antral stage in sheep [54] suggesting a potential role in follicular development in vertebrates.

518

519 Multiple candidate genes for QTL Omy1_b, with two favourites: *tlx1* and *hells*

520 While this QTL was identified as the one explaining the largest proportion of genetic variance 521 of spontaneous sex-reversal [27], the previous study pointed on different candidate genes than 522 in the current one. In the first study, the three putative functional candidate genes were fgf8a, 523 *cyp17a1* and LOC110527930 based on progeny imputed genotypes, while the current analysis 524 of dams' sequences pointed to genes located upstream, prioritizing tlx1 (T cell leukemia 525 homeobox 1) for RF analysis and myoz1 (myozenin-1) for DAPC. It seems, in fact, that two 526 different QTL should be distinguished within Omy1_b, Omy1_b1 and Omy1_b2, on each side 527 of cyp17a1. Although cyp17a1 is known to be involved in gonad masculinization in the 528 common carp and zebrafish [55, 56], no variant in this gene was significantly associated with 529 sex-reversal in rainbow trout, neither in the discovery population, nor in the validation ones. 530 The new analyses highlighted genes, myoz1 and tlx1, that are located in region Omy1_b1 before 531 the start of the QTL region previously defined at *slc2a15a* gene [27]. However, it is possible 532 that a cis-regulatory element within the QTL region could control at long-distance the 533 expression of *cyp17a1*, influencing sex differentiation in rainbow trout. Such long-distance cis-534 regulatory elements have been found to control sex like in the Sry-deficient Amami spiny rat 535 where the male-specific upregulation of sox9 is controlled by an enhancer region 0.5 Mb 536 upstream of sox9 [57].

537 The initial Omy1_b is now defined as a second genomic region Omy1_b2, spanning from *fbxw4* 538 to *gbf1* (including *hells* and LOC110527930). While the region Omy1_b2 was clearly 539 associated with sex-reversal in four validation populations, there was no SNP among the 100 540 best ranked by DAPC. The RF analysis, however, identified some haplotypes among the 10 541 best ones to explain sex-reversal on Omy1, putting similar emphasis to four genes: *fbxw4*, *hells*, 542 LOC110527930 and *gbf1*. In addition, Fisher's exact test gave a p value ≤ 0.002 for a SNP 543 within *gbf1* (Golgi-specific brefeldin A-resistance guanine nucleotide exchange factor 1) in the 544 discovery population, while SNPs in this gene were unfortunately not tested in the validation 545 populations as outside the limits of the initial credibility interval for the QTL. As far as *fbxw4* 546 (F-box and WD repeat domain containing 4) gene is concerned, no direct link with gonad 547 development, oogonia or spermatogognia could be established. This gene is a member of the 548 F-box/WD-40 gene family that may participate in Wnt signaling which is a pathway involved 549 in gonadal differentiation (see S1 Supplementary Tables, S9 Table). As regards to gbf1, it is 550 one of the guanine-nucleotide exchange factors (GEF) for members of the Arf family of small 551 GTPases involved in vesicular trafficking in the early secretory pathway (see S1 Supplementary 552 Tables, S9 Table). A *gbf1* expression was shown throughout spermatocyte development in the 553 Golgi apparatus with expression extending into early spermatids where it localizes also to 554 acrosome [58]. The positionnal candidate gene *hells* (helicase lymphoid specific) in Omy1 b2 555 is a very convincing functional candidate gene for sex-reversal. *hells* gene (also known as *lsh*) 556 is a member of the snf2 helicase family that is involved in cellular proliferation, and required 557 for normal development and survival in the mouse [59]. snf2-like helicases play a role in 558 chromatin remodeling, and the *hells* protein has been implicated in the control of genome-wide 559 DNA methylation [60]. Analysis of ovarian explants obtained from hells mutant females 560 demonstrates that lack of *hells* function is associated with severe oocyte loss and lack of ovarian 561 follicle formation [61]. Although *hells* is expressed in undifferentiated embryonic stem cells, it 562 is significantly downregulated upon differentiation [62]. Because of the neonatal lethality of 563 the *hells* -/- mice, Zeng et al. [63] used allografting of testis tissue from *hells* -/- mice to study 564 postnatal male germ cell differentiation. They showed that proliferation of spermatogonia was 565 reduced in the absence of *hells*, and germ cell differentiation arrested at the midpachytene stage, 566 implicating an essential role for HELLS during male meiosis [63].

As regards to $Omy1_b1$, there is no direct evidence for a potential role of myoz1 gene in sexreversal (see S1 Supplementary Tables, S9 Table). The second gene pointed out for $Omy1_b1$, tlx1 (also known as hox11), encodes a nuclear transcription factor that belongs to the NK-linked or NK-like (*nkl*) subfamily of homeobox genes. The encoded protein is essential for cell survival during spleen development in fish [64] as in mammals [65, 66], and is also involved in specification of neuronal cell fates during embryogenesis (see S1 Supplementary Tables, S9 Table). At the molecular level, depending on the cellular context and its interaction with 574 transcriptional cofactors, tlx1 can either activate or repress gene transcription [67]. tlx1-575 dependent regulation of retinoic acid (RA) metabolism is critical for spleen organogenesis [68]. 576 RA is the active metabolite of vitamin A that is required for vertebrate embryogenesis [69, 70]. 577 In mice, the transcription factor steroidogenic factor 1 (nr5al also known as SF1) regulates RA 578 metabolism during germ cell development [71]. nr5al is a transcriptional activator that is 579 essential for sexual differentiation and formation of the primary steroidogenic tissues. 580 Mutations within nr5a1 underlie different disorders of sexual development, including sex 581 reversal. In patients with disorders of sexual development, a mutant form of nr5al was shown 582 to be defective in activating tlx1 transcription [72]. In consequence, we can hypothetize that 583 genetic variants in *tlx1* may also directly deregulate RA metabolism, inducing sex-reversal.

584 Gathering all the information presented here above for the QTL on Omy1, we can conclude that 585 there is a strong statistical and biological evidence of significant involvment in the sex 586 determination and differentiation cascade of *syndig1*, *tlx1*, *hells* and *gbf1*, and, putatively also 587 of *vsx1*, and *myoz1*. Notably, at the only exception of *myoz1*, all these proteins are relatively 588 well conserved in terms of protein identity across various fishes and mammals (Table 5).

589

- 590 Table 5 Protein sequence identities of genes associated to sex-reversal in rainbow trout across
 591 six species in Vertebrates
- 592

rainbow trout / target	Atlantic salmon	Medaka	Zebrafish	Mouse	Sheep	Human
(% id AA)						
syndig1	98%	58%	69%	65%	67%	59%
vsx1	96%	69%	84%	62%	47%	52%
fbxw4	99%	68%	71%	58%	58%	58%
hells	98%	79%	79%	65%	64%	64%
myoz1a	92%	56%	63%	39%	37%	40%
tlx1	95%	75%	82%	61%	58%	61%
gbf1	99%	82%	83%	72%	72%	72%

hcn1	99%	84%	84%	74%	68%	68%
arfgef3	99%	76%	84%	71%	72%	72%
cep170aa	98%	63%	68%	53%	54%	55%
abcg5	100%	91%	94%	87%	90%	88%
khdrbs2	98%	76%	82%	70%	69%	69%
dst	96%	72%	71%	60%	59%	62%
cilk1	99%	70%	NA	32%	55%	55%
csmd1	99%	79%	89%	76%	76%	77%
lrrc59	98%	71%	62%	58%	58%	58%
caskin2	91%	54%	54%	59%	59%	59%

593

arfgef3 as the best functionnal candidate for Omy20_a due to its activation of the estrogen/ERα signaling pathway

596 *arfgef3* gene (named also *big3*) is a member of the *big1/sec7p* subfamily of ADP ribosylation 597 factor-GTP exchange factors (ARF-GEFs). The protein is very well conserved across 598 vertebrates (Table 5). arfgef3 overexpression has been pointed out as one of the important 599 mechanisms causing the activation of the estrogen/ERa signaling pathway in the hormone-600 related growth of breast cancer cells [73]. As summed up in the introduction, the germ cells 601 seem to play a critical role in sex determination, especially in the feminization of gonads. PGCs 602 may first interpret internal genetic or external environmental cues and directly transform into 603 oogonia; then, the surrounding somatic cells may be induced by the germ cells to differentiate 604 accordingly to provide an appropriate hormonal environment for further gonadal differentiation 605 [11]. In support of this hypothesis, high temperatures were reported to decrease the number of 606 germ cells, which often accompanies female-to-male sex reversal [17]. Guiguen et al. [12] 607 suggested that over-expression of germ cell proliferation or anti-apoptotic germ cell factors in 608 the female gonads could promote ovarian differentiation.

609

610 khdrbs2, dst, hmgcll1 and csmd1 as functional candidates for QTL Omy20_b

611 Implication of khdrbs genes in sex determination and regulation of alternative splicing

In nematodes, *gld*-1, the ortholog of *khdrbs* (KH domain-containing, RNA-binding, signal transduction-associated protein 2), is localized in the germ cell cytoplasm. This gene is indispensable for oogenesis and meiotic prophase progression and it can stimulate sex determination of males in the hermaphrodite germ line [74, 75, 76]. In fruit fly however, *nsr*, the ortholog of *khdrbs* regulates some male fertility genes in the primary [77].

617 In vertebrates, *khdrbs1*, *khdrbs2* and *khdrbs3*) genes encode respectively for the 618 SAM68, SLM1 and SLM2 proteins that have RNA binding and signal transduction activities 619 [78, 79]. In fish, the *khdrbs1* was further duplicated through the teleost-specific whole genome 620 duplication, forming *khdrbs1a* and *khdrbs1b*. In zebrafish, all the *khdrbs* genes were found to 621 be primarily expressed in the brain during early development and at the adult stage. *khdrbs1a* 622 was also found to be expressed in the gonad primordium as well as abundantly expressed in the 623 gonads of adult fish along with *khdrbs1b*, and *khdrbs3* [80]. In our study, eight intronic *khdrbs2* 624 variants were ranked among the 100 best SNPs in DAPC (see S1 Supplementary Tables, S10 625 Table), and an indel/SNP variant was identified with a p-value < 0.002 in the discovery 626 population (Table 4). In mouse, *khdrbs1* and *khdrbs3* are predominantly expressed in the brain 627 and testis, whether khdrbs2 is exclusively expressed in the brain [81]. khdrbs2 knockout mice 628 are fertile fertile whereas *khdrbs1* knockout mice show impaired fertility in males [81]. *khdrbs3* 629 is involved in spermatogenesis via directly binding to RNA [81]. In addition, khdrbs1 knockout females displayed a reduction in the number of developing ovarian follicles, alteration of 630 631 estrous cycles, and impaired fertility (e.g. [82]). It seems that the invertebrate genes gld-1 and 632 nsr that are orthologs of khdrbs1 and khdrbs3, respectively, have similar roles in the regulation 633 of gametogenesis [80].

634

635 dst and hmgcll1 as novel players in sex determination and differentiation in fish?

636 dst (dystonin) encodes a member of the plakin protein family of adhesion junction plaque 637 proteins. dst isoforms are expressed in neural tissue where it regulates the organization and 638 stability of the microtubule network of sensory neurons to allow axonal transport. Low 639 temperatures significantly impact dst splicing in killifish, stickleback and zebrafish [83]. Gene 640 ontology annotations related to this gene include calcium ion binding and actin binding (see S1 641 Supplementary Tables, S9 Table). As far as we know, dst has not yet been demonstrated as 642 being involved in sex determination or differentiation. Nevertheless, there is no statistical doubt 643 that this gene is invoved in sex-reversal in rainbow trout. A SNP was highly significant (p-value 644 = 0.0006) in the discovery population (Table 4) with homozygous TT dams exhibiting high ratios of progeny sex-reversal (see S1 Supplementary Tables, S10 Table). In addition, 4
haplotypes within the gene ranked among the 60 best in the full RF analysis (see S1
Supplementary Tables, S8 Table), and 15 intronic SNPs were ranked among the 100 best in
DAPC, 10 remaining in the 50 best SNPs (see S1 Supplementary Tables, S10 Table).

649 hmgcll1 (3-hydroxymethyl-3-methylglutaryl-CoA lyase-like 1) was first characterized as a 650 lyase activity enzyme localized in extra-mitochondrial region involved in ketogenesis for energy production in nonhepatic animal tissues (see S1 Supplementary Tables, S9 Table). A 651 652 novel role of *hmgcll1* in cell cycle regulation was recently suggested [84] that could be linked 653 with a putative functional role on sex-reversal. Statistically, there is no doubt about this effect. 654 Two SNPs within the gene were significant in two validation population (Table 3). In the 655 discovery population, an upstream intergenic variant at position 34,345,558 bp was among the 656 50 best ranked in DAPC, with homozygous TT dams exhibited high ratios of progeny sex-657 reversal (see S1 Supplementary Tables, S10 Table).

658

659 csmd1 is associated to gonadal failure in mammals

660 The *csmd1* (CUB and sushi multiple domains protein 1) gene was one of the best positional 661 candidates in our RF and DAPC analyses with 8 SNPs being among the 50 best ones to 662 discriminate the two groups of extreme phenotypes. This gene encodes for a large (>3,000 663 amino acids) transmembrane protein. The family of proteins csmd (CUB and sushi multiple 664 domains) is one of the three types of the complement system-related proteins involved in the 665 recognition of molecules in innate immune-system and in the central nervous system (reviewed 666 in [85]). csmd1 is predicted to act upstream of, or within, several processes, including learning 667 or memory, and reproductive structure development. The protein encoded by *csmd1* is highly 668 conserved between vertebrates with 89% amino acid sequence identity between trout and 669 medaka and still 77% between trout and human or mouse (Table 5). In humans and mice, *csmd1* 670 was associated with gonadal failure in both sexes [86]. Significant association between early 671 menopause and rare 5'-deletions of csmd1 was detected in humans. In mice, knockout females 672 show significant reduction in ovarian quality and breeding success, and *csmd1*-knockout males 673 show increased rates of infertility and severe histological degeneration of the testes. We did not 674 observe any variants predicted with moderate or high impacts on protein expression in this gene. 675 But, as indicated by Lee at al. [86], intronic variants mainly located in introns 1 and 2 likely 676 harbored functional elements that can influence *csmd1* expression in testis.

677

678 *lrrc59* and *caskin2* as the best positional candidates for QTL Omy20_c.

As far as we know, no direct effect of *lrrc59* (leucine-rich repeat-containing protein 59) on sex determination is known. This gene enables RNA binding activity and cadherin binding activity (see S1 Supplementary Tables, S9 Table). A key role for *lrrc59* in the organization and regulation of local translation in the endoplasmic reticulum has been suggested [87]. Statistically, it was the best-ranked haplotype on the full RF analysis (see S1 Supplementary Tables, S8 Table), indicating a strong importance of *lrrc59* to predict sex-reversal.

685 caskin2 (CASK Interacting Protein 2) encodes a large protein that may be involved in protein-686 protein interactions (see S1 Supplementary Tables, S9 Table). caskin2 downregulates genes 687 associated with endothelial cell activation and upregulates genes associated with endothelial 688 cell quiescence [88]. Morpholino knockdown of caskin2 in zebrafish results in abnormal 689 vascular development characterized by overly branched intersegmental vessels and failure to 690 form the dorsal longitudinal vessels, while *caskin2* knockout mice are viable and fertile [88]. 691 caskin2 is not well conserved across vertebrates, including only 54% AA identity between 692 rainbow trout and either Medaka or Zebrafish (Table 5). Statistically, two haplotypes including 693 parts of caskin2 were among the best ranked haplotypes in the full RF analysis (see S1 694 Supplementary Tables, S8 Table). In addition, 3 SNPs (2 intronic and an upstream intergenic 695 variant) were among the 50 best ranked in DAPC (see S1 Supplementary Tables, S10 Table). 696 The expression of *caskin2* was down-regulated in gonads of *Gobiocypris rarus* of both sexes, 697 when exposed to a 7-day exposure to 17α -methyltestosterone which had a sex-reversal effect 698 [89]. In Coho salmon, androgens were revealed to play a major role in stimulating primary 699 ovarian follicle development and the transition into secondary follicles [90].

700

701 **Putative role of** *foxl3* **in germ cell fate decision**

Intriguingly, *foxl3*, a co-paralog gene of *foxl2*, is located on Omy20 spanning from 22,942,515 to 22,948,650 bp, upstream the QTL regions we studied on the same chromosome. As *foxl3* is expressed in the gonads of teleosts, we checked if any variant within *foxl3* could be associated to sex-reversal in our study, and could not find any. However, we can speculate that *foxl3* is involved in germ cell fate decision in rainbow trout. Indeed, *foxl3* was already suggested to be involved in the onset of oocyte meiosis, and/or in the regulation of male specific genes during late testis development and testis maturation in salmonids [91, 92, 93]. It was also demonstrated 709 in medaka that *foxl3* expression is only maintained in female germ cells, and that functional 710 sperm is produced in the ovary of a *foxl3* loss-of-function mutant [94]. In addition, *foxl3* was 711 shown to initiate oogenesis in medaka through two genetically independent pathways, meiosis 712 and follicular development, and that a third pathway independent of foxl3 may also be 713 hypothetized [95]. Last, foxl3 expression levels were strongly increased in gonads during the 714 natural female-to-male sex reversal in the rice field eel (Monopterus albus). foxl3 and miR-9 715 seemed to be involved in physiological processes that promote oocyte degeneration in the 716 ovotestis and stimulating spermatogenesis in spermatogonia [96]. Therefore, we can 717 hypothetize that expression of *foxl3* may be regulated by some other genes, presumably located 718 in the QTL regions identified on Omy20 in our study, similarly to the long-distance cis-719 regulation observed for *sox9* expression [57]. Further investigation should try to validate such 720 speculations, as well as the causative variants and precise functional roles of the identified genes 721 in our QTL regions.

722

723 Conclusions

724 While considerable scientific effort has been made over the last fifty years to unravel 725 mechanisms for sex-determination and differentiation, the nature of genetic variations that 726 regulate these mechanisms in fish is still not well-known. We confirmed on several French 727 commercial rainbow trout populations, the importance of some genomic regions from 728 chromosomes Omy1, Omy12 and Omy20 as minor sex-determing genes involved in maleness 729 in the absence of the master sex-determing gene sdY in rainbow trout. Together, our results are 730 consistent with a model in which spontaneous female-to-male sex-reversal in rainbow trout is 731 associated with genetic factors able to reduce germ cell proliferation and arrest oogenesis. The 732 main candidate genes that we suggested based on positional and functional information are 733 syndig1, tlx1, hells and gbf1 on Omy1, and arfgef3, khdrbs2, dst, hmgcll1, csmd1, lrrc59 and 734 caskin2 on Omy20. Most of these genes were previously indicated as playing roles in 735 developmental pathways in vertebrates.

From a fish farmer perspective, further investigation is still needed before any operationnal use of the genotypes at minor sex-modifier genes either to eradicate spontaneous sex-reversal in all-female production stocks or to produce progeny from free-hormone neomales in rainbow trout. Indeed, the high heritability of maleness [27] suggests that the use of spontaneously masculinised individuals as progenitors of all-female stocks would increase the frequency of 741 undesirable masculinised progeny. Improve knowledge about the joint effects of genetic basis 742 and environmental factors determining spontaneous maleness in all-female stocks is then 743 needed to search for a trade-off combining together genetic and environmental control of gonad 744 masculinisation according to the destination of the fish (broodstock vs all-female production 745 stock). High temperature during development masculinizes many fish species [97]. In rainbow 746 trout, high rearing temperature during the early phase of fry development after hatching was 747 shown to increase the frequency of sex reversal in XX females to a greater or lesser extent 748 depending on the genetic background of the trout lines [98, 99]. Further investigation should 749 therefore focus on the interactions between genetics and rearing temperatures to determine the 750 key combination of factors to produce all-female progeny from free-hormone neomales in 751 rainbow trout.

752

753 Supplementary Information

754

755 S1 Appendix (S1-Appendix.doc)

Positional candidate genes on Omy12 and Omy20 without known evidence of a functional
 role linked to sex-reversal

758

S1 Supplementary Tables (S1-Supplementary Tables.xls). This excel file includes 10
supplementary tables whose titles are listed below.

S1 Table Number of progeny (female, intersex and male) and proportion of neomales per
 sequenced dam

S2 Table Positions on the Swanson reference genome and flanking sequences of the 192
SNPs used in validation

765 S3 Table List of the 192 SNPs detected in 6 validation populations (named A to F) with

- 766 their positions on Swanson (SW) and Arlee (AR) reference genomes
- 767 S.4 Table List of most significative SNPs found in Omy1, Omy12 and Omy20 QTL
- 768 S5 Table Mean, Minimum and Maximum values of the 30 haplotypes with the highest
- 769 Gini index over 100 runs of Random Forests for Omy1 QTL

S6 Table Mean, Minimum and Maximum values of the 10 haplotypes with the highest
Gini index over 100 runs of Random Forests for Omy12 QTL

S7 Table Mean, Minimum and Maximum values of the 30 haplotypes with the highest
Gini index over 100 runs of Random Forests for the 3 Omy 20 QTL (Z3, Z4, Z5)

- 774 S8 Table Mean values of the 60 haplotypes with the highest Gini index over 100 runs of
- 775 Random Forests for the QTL regions of Omy1 (Z1), Omy12 (Z2) and Omy20 (Z3 to Z5)
- 776 S9 Table List of potential candidate genes for QTL on Omy1, Omy12 and Omy20 with
- 777 their descriptions on GeneCards, UniProtKB/Swiss-Prot and NCBI databases.
- 778 S10 Table Location, annotation, alleles and genotypes for sequenced dams of the 100 most
- important SNPs in DAPC analysis. Genotypes in blue are considered as male genotypes,
- 780 genotypes in orange as female genotypes and genotypes in light orange are heterozygous.
- 781
- 782

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787

788 Author contributions AD: Data curation, Formal analysis, Preparation of tables and figures, 789 Writing—review and editing. CF: Data curation, Formal analysis, Preparation of figures, 790 Writing-review and editing. AB: Data collection, Writing-review and editing. CP: Data 791 collection. YG: Data collection, Interpretation, Writing-review and editing. EQ: 792 Conceptualization, Data collection, Funding acquisition, Project administration, Writing-793 review and editing. FP: Conceptualization, Methodology, Supervision, Statistical analysis, 794 Interpretation, Project administration, Writing-original draft, review, and editing. All authors 795 read and approved the final version of the manuscript.

796

797 Data availability Raw sequence data that were used in this study are deposited in the ENA
798 (Project PRJEB75960 in <u>https://www.ebi.ac.uk/ena/browser/view/PRJEB75960</u>).

799

800 **Declarations**

Animal and human rights statement We declare that all applicable international, national, and/or institutional guidelines for sampling, care, and experimental use of organisms for the study have been followed and all necessary approvals have been obtained. As part of the standard breeding practices for a commercial breeding program, the handling of fish was not subject to oversight by an institutional ethic committee. Animals were treated in compliance with the European Communities Council Directive (9858/CEC) for the care and use of farm animals.

808

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