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A tight relationship between BOLD fMRI activation/deactivation and increase/decrease in single neuron responses in human association cortex

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1 Abstract

2 The relationship between Blood-Oxygen-Level-Dependent (BOLD) responses in 3 functional magnetic resonance imaging (fMRI) and increases or decreases in neural firing rate across human brain regions, especially the association cortex, remains 4 5 largely unknown. Here, we contrast direct measures of neuronal activity in two 6 adjacent brain regions of the fusiform gyrus (FG) associated with fMRI increases (lateral FG portion) or decreases (medial FG portion) of the same category-selective 7 8 neural activity. In both individual brains tested across multiple recording sessions, a 9 frequency-tagging stimulation objectively identified a substantial proportion (about 10 70%) of face-selective neurons. While single-units recorded in the lateral FG showed a selective increase to faces, neurons localized in the medial FG decreased spiking 11 activity selectively to faces. Beyond a relative reduction to faces compared to non-12 face objects, about a third of single neurons found in the medial FG showed genuine 13 suppression of baseline spiking activity upon presentation of a face. These 14 15 observations clarify the nature of face-selective neural activity in the human brain, 16 which can be expressed both as increases and active suppressions of spiking activity, and, more generally, shed light on the physiological basis of the fMRI signal. 17

18 Introduction

19 Functional magnetic resonance imaging (fMRI) has revolutionized our 20 understanding of human brain function. While animal studies have characterized Blood-Oxygenation-Level-Dependent (BOLD) fMRI activation as a correlate of local 21 22 synaptic activity (Logothetis et al., 2001; Bartolo et al., 2011), the cellular 23 mechanisms of deactivation (negative BOLD signals) remain unknown. Since BOLD activity relies on contrasts between (two) conditions of interest, fMRI deactivations 24 25 have been attributed to various causes, i.e., (relative) decreases in neuronal activity (Shmuel et al., 2006; Devor et al., 2008; Boorman et al., 2010), (relative) increases 26 in neural activity (without concomitant compensation by cerebral blood flow (CBF) 27 increase) (Schridde et al., 2008), or hemodynamic contributions ("blood stealing"; 28 Harel et al., 2002). However, notwithstanding recent evidence of fMRI deactivation 29 30 associated with electrocorticographic alpha power decrease in the human visual 31 cortex (Fracasso et al., 2021), most studies have been carried out in animal models 32 and primary sensory or motor regions. Therefore, the relationship between BOLD 33 responses and increases or decreases in neuronal firing rate across human brain regions, especially the association cortex, remains unknown. 34

Here, thanks to a unique opportunity to record fMRI and spiking activity in 35 neighboring populations of neurons of the same region of the association cortex, we 36 37 shed light on the nature of the relationship between category-selective activity recorded at macroscopic and cellular scales. Specifically, two patients with refractory 38 39 epilepsy (P1, P2) were implanted with hybrid macro-microelectrodes in their fusiform 40 gyrus, a hominoid-specific cortical structure (Weiner and Zilles, 2016) that is critically 41 involved in face recognition (Cohen et al., 2019). While microelectrodes recording 42 single-unit activity located in the lateral portion of P1's middle fusiform gyrus (MidFG) (i.e., in cytoarchitectonic area FG4; Lorenz et al., 2017), a region known to elicit 43 larger BOLD activity to faces than non-face objects ('Fusiform Face Area', FFA; 44 45 Kanwisher et al., 1997), in P2 they fell in the medial MidFG portion (FG3), in which lower BOLD responses to faces than objects are typically observed (Pelphrey et al., 46 47 2003; Kanwisher, 2017; Gao et al., 2018). By recording face-selective neural responses both in spiking activity and fMRI signals (independent sessions) in two 48 neighboring regions of the same brains, we tested the hypothesis of a systematic 49 relationship between the two types of signals. In particular, we hypothesized that 50

51 fMRI deactivations to faces were associated with a majority of face-selective 52 decreases in spiking activity.

53 Materials and Methods

Participants. The study included two right-handed participants (aged 23 and 46) who were patients undergoing clinical intracerebral evaluation with depth electrodes (SEEG; Talairach and Bancaud, 1973) for refractory partial epilepsy in the Epilepsy Unit of the University Hospital of Nancy, France in 2019 (P1) and 2023 (P2). The participants gave written informed consent to participate to the study (REUNIE, 2015-A01951-48), which was approved by a national ethical committee (CPP Est III, N°16.02.01).

Intracerebral recordings acquisition. Electrophysiological data were 61 62 recorded from Behnke-Fried hybrid micro-macro electrodes (Ad-Tech Medical Instrument). Intracerebral depth electrodes were stereotactically implanted within the 63 64 participant's brains to delineate their seizure onset zone for clinical purposes. Electrode implantation sites were determined based on non-invasive data collected 65 during the early stages of the investigation and were verified by CT-scan fused with 66 67 a pre-operative T1-weighted MRI. The present report focuses on recordings from the macro-microelectrodes, i.e., electrodes with macro-contacts modified to include 8 68 recording microwires and one reference, protruding about 3 to 4 mm beyond the tip 69 70 of the macro-electrode (Ad-Tech Medical; see Salado et al., 2018 for details about 71 the macroelectrode implantation procedure). The macro-microelectrodes targeted 72 the right (P1) and the left (P2) MidFG. Although differential recordings in the same hemisphere(s) and individual brains would have been optimal, our results are 73 74 unlikely to be due to differences between the two individuals or hemispheres reported, for two reasons. First, notwithstanding the right hemisphere's dominance in 75 human face recognition (Jonas et al., 2016; Kanwisher, 2017; Gao et al., 2018), the 76 77 same contrasted pattern of BOLD activation/deactivation for faces in the 78 lateral/medial portions of the fusiform gyrus, respectively, has been systematically observed across hemispheres (Pelphrey et al., 2003; Fig. 2). Second, while a larger 79 80 number of single-units were found for P1 than P2 recordings, the proportions of face-81 selective units did not differ between the two individuals.

The microwires signal was recorded at a 30 kHz sampling rate, using a 256channel amplifier (BlackRock Microsystems), and recording activity was band-pass filtered (0.3-7500 Hz). Here we report microelectrode data recorded during 3 days (8 sequences performed in P1) and 11 days (14 sequences performed in P2). These differences across participants were due to the clinical context in which the experiment took place. Participants were tested individually and seated at 80 cm from the computer screen.

Microwires localization in the individual anatomy. The exact position of 89 each contact from the micro-macro electrode relative to the individual brain anatomy 90 91 was determined in each participant's brain by coregistrating the post-operative CT-92 scan with a T1-weighted MRI of the patient. The microwires coordinates were estimated at approximately 4 mm from the tip of the macroelectrode. In addition, the 93 location of microwires relative to fMRI-defined face-selective regions was determined 94 95 by having all participants perform an fMRI face localizer a few months after the SEEG procedure, using an fMRI version of the frequency-tagging paradigm 96 97 providing high SNR and reliability (Gao et al., 2018). After fMRI scanning, estimated 98 microelectrode coordinates were rendered into the T1-weighted MRI co-registered 99 and normalized to ACPC space with the functional Z-score map, using *MRIcroGL* for 100 visualization. The location of the microelectrodes was also defined in relation to the boundaries of the cytoarchitectonic areas FG2/FG4 and FG1/FG3 (Rosenke et al., 101 102 2017).

Stimuli. Stimuli were selected from a large pool of 200 to 218 non-face object 103 104 images and 45 to 48 face images (as in e.g., Jonas et al., 2016), presented in 105 grayscale or color sequences. Each image contained an unsegmented object or face 106 near the center, varying significantly in size, viewpoint, lighting conditions, and 107 background (Fig. 1). Images were equalized for mean pixel luminance and contrast, 108 but low-level visual cues associated with the two categories (faces and objects) 109 remained highly variable, naturally minimizing the contribution of low-level visual 110 cues to the face-selective neural responses (Rossion et al., 2015).

Face localizer paradigms. A well-validated Fast Periodic Visual Stimulation (FPVS) face localizer paradigm was performed to define face-selective neural activity. Highly variable natural images of faces were embedded periodically within a 114 rapid 6 Hz stream of object images. In the fMRI version (from Gao et al., 2018; also, Laurent et al., 2023), mini-face blocks ("bursts") were presented for 2.167 s every 9 s 115 to account for the sluggishness of the BOLD response (i.e., F = 1/9 = 0.111 Hz). 116 117 Each mini-block consisted of a set of seven face images alternated with six non-face 118 object images to avoid category adaptation and maximize the contrast between faces and objects (Fig. 1A). Each fMRI sequence lasted 405 s and contained 44 119 120 cycles of face bursts (including a 4.5 s baseline at both the beginning and end of 121 each sequence, respectively). During intracerebral recordings, highly variable natural 122 images of faces were inserted periodically every fifth image (i.e., face-selective 123 frequency at 1.2 Hz = 6/5 Hz) as usually performed (**Fig. 1B**; Rossion et al., 2015; 124 Jonas et al., 2016). A stimulation sequence lasted 70 s, including 66 s of stimulation at full contrast flanked by 2 s of fade-in and fade-out, with gradual increases and 125 126 decreases in contrast, respectively. All images were presented with a sinusoidal stimulation contrast to provide a smooth transition between successive images. 127 128 Participants were unaware of the periodicity of the faces. During both fMRI and 129 intracerebral recordings, participants stared at a small black cross presented at the 130 center of the stimuli and detected rare brief nonperiodic color changes (70-10 times 131 per sequence for fMRI/iEEG respectively, for 500 ms) of the fixation cross (black to 132 red). The experiments were conducted using Matlab for P1 and Java 8 for P2.

Spike sorting. Spike detection and clustering were carried out using an 133 automatic algorithm, based on a Bayesian approach (Le Cam et al., 2023). The 134 clusters were visually reviewed and classified into single-units (SU) and multi-units 135 136 (MU) based on their spike shape, variance, inter-spike interval distribution, and the 137 presence of a refractory period. Of all the sessions held by the two participants, 299 SU and 62 MU were identified. Across all independent sessions (i.e., recorded on 138 139 different half-days), 206 SU and 39 MU were retained for analyses. Signal processing and frequency-domain analyses were similar to previous SEEG studies 140 141 (Jonas et al., 2016; Hagen et al., 2020). An average of 2 units per contact were 142 isolated.

Intracerebral recordings analyses. Analyses were performed using the free
 software *Letswave 6,* running on MATLAB R2022a. A Fast Fourier Transform (FFT)
 was applied to the iEEG recordings, and the amplitude spectrum was cut into 1 Hz

146 segments centered on the face-selective frequency and its three additional harmonics (i.e., 1.2 Hz, 2.4 Hz, 3.6 Hz, and 4.8 Hz), as well as on the base 147 148 stimulation frequency and its harmonics (i.e., 6 Hz, 12 Hz, and 24 Hz). The 149 amplitude of these FFT segments was summed and transformed into a Z-score. Z-150 scores were computed as the difference between the amplitude at the target 151 frequency bin and the mean amplitude of 20 surrounding bins (10 on each side) 152 divided by the standard deviation of amplitudes in the corresponding 20 bins. SNR 153 spectra were also calculated as the ratio between the amplitude at each frequency 154 bin and the average of the corresponding 20 surrounding bins (11 on each side, 155 excluding the 2 bins directly adjacent to the bin of interest). A cluster (SU or MU) was 156 considered as showing a significant response for faces and classified as "face-157 selective" if the Z-score at the target frequency bin exceeded 1.64 (i.e., p < 0.05 one-158 tailed). In addition, face-selectivity was characterized at a more conservative 159 threshold (i.e., Z > 2.32, p < 0.01). To isolate face-selective responses from 160 responses to non-face objects in the time domain (as in Fig. 2C, F), an FFT notch 161 filter (filter width = 0.05 Hz) was then applied on the 70 s single or multi-units time-162 series to remove the general visual response at 6 Hz and two additional harmonics 163 (i.e., 12 and 18 Hz). To account for the sinusoidal modulation of contrast, the face 164 onset time was shifted forward by 33 ms (~1/5 of a 6 Hz cycle duration). This delay 165 was estimated by comparing SEEG responses to sequences presented with 166 sinewave or squarewave (i.e., abrupt) contrast modulation of visual stimulation. The 167 onset of face-selective response was delayed by 30-35 ms for sinusoidal visual 168 stimulation, which corresponds to 4 screen refresh frames (33 ms) and 35% of the 169 full contrast. The spike trains of each identified cluster were then segmented into 1-s 170 segments around face onset, and the resulting epochs were temporally smoothed 171 (20 ms time-window) and averaged. The net average spike rate was calculated by subtracting each sequence's mean baseline spike rate in a [-0.166–0 s] time-window 172 173 relative to face onset. Finally, the latency was computed as the time point at which 174 net firing crossed the baseline +/- 2.58 s.d. value (i.e., p < 0.01, two-tailed percentile 175 bootstrap) for at least 30 ms. as described in Jacques et al., 2022.

FMRI acquisition. The two participants were scanned at the CHRU-Nancy,
with a 3T Siemens Magnetom Prisma system (Siemens Medical System, Erlangen,
Germany) with a 64-channel head-neck coil. Anatomical images were collected

179 using a high-resolution T1-weighted magnetization-prepared gradient-echo image 180 (MP-RAGE) sequence (192 sagittal slices, TR = 2300 ms, TE = 2.6 ms; flip angle 181 (FA) = 9°, field of view (FOV) = 256 × 256). Functional images were collected with a 182 T2*-weighted simultaneous multi-slice echo planar imaging (SMS EPI) sequence (TR = 1500 ms, TE = 30 ms, FA = 72°, FOV= 240×240 mm², voxel size = 2.5 mm 183 184 isotropic, matrix size = 96×96 for sequences done in 2019 or matrix size = 80x80 for 185 sequences done in 2022, interleaved), which acquired 44 obligue-axial slices 186 covering the entire temporal and occipital lobes. The total duration of each sequence 187 (run) for P1 (tested in 2019) was 333 s including 9 s of dummy scans (222 TRs). The 188 total duration of each run for P2 (tested in 2022) was 405 s including 9 s of dummy 189 scans (270 TRs). Images were back-projected onto a projection screen by an MRI-190 compatible LCD projector. The participants observed the sequences through a mirror placed within the FR head coil. The images subtended a viewing angle of 8° × 8° 191 (33.4 cm × 33.4 cm) at a viewing distance of 240 cm. Three fMRI sequences were 192 performed for each patient, who were scanned 6-8 weeks after the microelectrode 193 recordings. 194

195 FMRI analyses. As in Laurent et al. (2023), the volumes of each run were first 196 rigidly realigned with each other, and a mean functional image of the runs was 197 computed for co-registration with the anatomical image, using SPM12. The volumes 198 were also spatially smoothed with a Gaussian kernel of 2 mm (FWHM; i.e., full width 199 at half maximum). The functional runs were averaged across runs in the volumetric space. Then, a Fourier analysis was performed using the FFT function in Matlab 200 201 without windowing. The FFT was applied on the entire BOLD response time-course 202 and the amplitude spectrum was directly derived from the Fourier transform 203 coefficients. Amplitudes at the face stimulation frequency (i.e., at F = 0.111 Hz) were 204 converted into Z-scores, using the mean and standard deviation of the amplitude at 205 neighboring frequencies (see Gao et al., 2018 for details). The relative activations 206 and deactivations of the neural responses at the face stimulation frequency were 207 defined by the phase of the BOLD response. For each individual, the histogram (20 bins) of phase values of all the voxels with a Z-score > 3.1 and with a positive phase 208 209 value was calculated. The phase value of the histogram bin that has the largest 210 number was used as the center phase (ϕ) to define all the voxels with their phase 211 values within $\phi \pm \pi/2$ as activations (+ sign) and voxels with their phase values

outside of this window as deactivations (- sign). These signs were then applied to Zscore maps to obtain the final response map.

214 **Results**

In both MidFG regions sampled, robust differential activity to natural images of faces *vs.* non-face categories was objectively recorded with a similar well-validated frequency-tagging paradigm in fMRI (**Fig. 1A**) and microelectrode electrophysiological recordings (**Fig. 1B**).



219

220 Fig. 1. The frequency-tagging Face Localizer paradigm in fMRI (Gao et al., 2018) and 221 intracerebral electrophysiological recordings (Jonas et al., 2016). In both cases, the same 222 variable natural non-face images alternate at a 6 Hz rate (1 fixation/image). (A) During a 405 s fMRI 223 run, a "mini-burst" of 7 images of variable faces (purple: "F") alternating with 6 non-face object images 224 (orange: "O") is presented every 9 s (0.111 Hz). A full run is composed of 44 cycles (3 s shown here). 225 (B) During intracerebral recordings, variable face images are inserted periodically every fifth image 226 (1.2 Hz). Each recording includes two 70-s stimulation sequences (3 s shown here). With both 227 recording methods (and EEG; Rossion et al., 2015), this paradigm provides robust population-level 228 face-selective activity devoid of low-level sensory confounds at the tagged frequencies. Here it is 229 applied to single and multi-unit recording activity in the human fusiform gyrus.

230 FMRI category-selective responses

Fig. 2A and D illustrate the category-selective regions identified with fMRI, on 231 axial, coronal, and sagittal slices in both participants (Z > 3.1; p < 0.001), relative to 232 the microelectrodes location. Fast Fourier Transform (FFT) of the BOLD response 233 234 time-courses is used to define face-selective voxels in the frequency-domain (0.111 Hz; Gao et al., 2018). BOLD frequency spectra at each voxel are transformed into Z-235 236 scores, which can either be positive (higher response to face than non-face stimuli, i.e., BOLD signal increase) or negative (lower response to faces than non-faces, i.e., 237 238 BOLD signal decrease).



240 Fig. 2. Relationship between BOLD signal and neuronal activity in the human MidFG. (A), (D) 241 Significant face-selective activations (hot colors) and deactivations (cold colors) on axial, coronal, and 242 sagittal slices (Z > 3.1; p < 0.001). The estimated location of the microelectrode (green circle) falls in 243 left MidFG activation in P1 and right MidFG deactivation in P2. (B), (E) Representative raster plots of 244 two face-selective single-units (SU) showing activity increase in P1 and decrease in P2 to faces, 245 respectively. The representative face-selective SU in panel B also exhibits a high increase in firing 246 rate to the general visual stimulation at 6 Hz. Each line corresponds to a 1 s epoch time-locked to the 247 onset of a face (at 0 s), from a 140 s of recording. SU waveforms are shown in the upper-right 248 corners. (C), (F) Average time-courses of all face-selective SU identified (N = 45 across 4 sessions in

249 P1, N = 99 across 7 sessions in P2; Z > 2.32, p < 0.01), showing response increase to faces in P1 250 and decrease to faces in P2.

As expected, faces elicited larger BOLD responses than non-face objects in the lateral MidFG, but lower responses in the medial MidFG (Pelphrey et al., 2003; Gao et al., 2018). Microwires in P1 (estimated Talairach location -40, -46, -19; **Fig. 2A**) fell in the lateral MidFG face-selective region: the Fusiform Face Area ("FFA", peak coordinate: -35, -51, -18) (Quiroga et al., 2023), while microwires in P2 (29, -41, -12; **Fig. 2D**) located in the medial MidFG, an unprecedented sampled region with lower (deactivated) BOLD signal for faces.

258 Cellular category-selective responses

259 Recordings of category-selective spiking activity in P1 and P2 took place over up to 11 days, with single-units detected on 7 microwires per session. Across all 260 261 independent sessions (i.e., on different half-days), we recorded 245 units (N = 206single-units, SU and N = 39 multi-units, MU; pooled over 4 sessions for P1 and 7 262 263 sessions for P2) firing to variable pictures of faces and objects. Following FFT, 264 common visual responses to faces and objects were identified at the base frequency rate and harmonics (6, 12, and 18 Hz) while face-selective responses were 265 measured at the specific face-stimulation frequency and harmonics (i.e., 1.2, 2.4, 266 3.6, and 4.8 Hz; Fig. 1B) (Rossion et al., 2015; Jonas et al., 2016). Despite a brief 267 268 recording time for each unit (2 sequences of 70 s), only a small proportion of single neurons failed to respond (i.e., no significant base rate response: N = 10/62, 16.1% 269 270 in P1; $N = 17/144 \ 11.8\%$ in P2; all Z < 1.64, all $p_s < 0.05$; no difference between 271 participants, p = 0.54, Pearson's χ^2). Among all visually responsive neurons, we 272 found a very high proportion of face-selective neurons (p < 0.05) in both activated and deactivated MidFG regions (P1: 98.1%; N = 51/52; P2: 86.6%; N = 110/127). 273 274 Even at a more conservative threshold (p < 0.01), strong face-selectivity is observed (P1: 86.5%; N = 45/52; P2: 77.9%; N = 99/127; no difference between the two 275 276 individuals, p = 0.27, Pearson's χ^2 test).

We then determined whether face-selective single neurons (p < 0.01) recorded in activated or deactivated face-selective regions exhibited different types of responses to faces relative to non-face objects. While a large majority of faceselective neurons in the lateral MidFG (P1) showed a significant relative increase in 281 spike rate to faces (88.9%; N = 40/45; Fig. 2B, C), most neurons in the medial MidFG (P2) showed significant relative spike rate decrease to faces (95.9%; N =282 95/99; Fig. 2E, F). While the mean onset response latency did not differ between 283 284 increases and decreases (118.2 ms vs. 127.3 ms, respectively; p > 0.05, two-tailed 285 percentile bootstrap; Fig. 2C, F), a significantly lower response (i.e., absolute average firing rate) was found for decreases than increases ([130-300 ms]; 3.69 286 287 spikes/sec for increases, ranging from 0.99 to 7.42 spikes/sec; -2.73 spikes/sec for 288 decreases, ranging from -0.44 to -9.67 spikes/sec; *t*-test p < 0.01). A final noteworthy 289 observation is that, among the 95 single-units showing a reduced firing rate to faces (P2), 34 lacked a significant general visual response (at 6 Hz; i.e., 34,3%; p < 0.05). 290 Beyond a mere relative reduction to faces compared to non-face objects, responses 291 292 of such "face-exclusive" single neurons therefore appear to reflect genuine 293 suppression of baseline spiking activity upon presentation of a face.

294 **Discussion**

Although BOLD signals correlate better with local field potentials (LFP) than 295 spikes on a trial-by-trial basis (Logothetis et al., 2001), positive correlations have 296 297 been observed between SU and BOLD in human (Mukamel et al., 2005; Nir et al., 298 2007) and non-human primates (Goense and Logothetis, 2008). Here thanks to a 299 unique combination of fMRI and microelectrode recordings in the same individual human brains, we report a striking correspondence between increases/decreases in 300 301 BOLD activity and (SU) neuronal firing, in two neighboring areas of the human 302 association cortex. By showing that the sign of the BOLD signal fluctuation during 303 visual stimulation matches the sign of SU activity, our findings shed light on the physiology of BOLD signals, revealing in particular that BOLD decreases can be due 304 305 to relative, but also absolute, spike suppression in the human brain. Such transient spikes suppression could be due to suppression/reduction of synaptic inputs to the 306 307 recorded neuron(s) or to inhibitory inputs to the neuron(s).

308 Up to now, the cellular basis of the relative BOLD decrease to faces in the 309 medial portion of the fusiform gyrus, attributed to a smaller increase to faces relative 310 to non-face objects or an absence of response to faces (Kanwisher, 2017), has 311 remained somewhat of a mystery. Importantly, due to both the lack of a fusiform gyrus in non-hominoid primates (Weiner and Zilles, 2016) and of reported BOLD 312 deactivation to faces in the monkey brain, single-unit recordings in macaque 313 314 monkeys' face-selective cortical regions (Tsao et al., 2006; Bell et al., 2011) could 315 not address this issue. Here, our neurophysiological recordings in humans suggest 316 that faces do not only elicit a strong decrease of neuronal activity relative to non-face objects in the medial MidFG, but a genuine suppression of baseline spiking activity in 317 a substantial proportion of the units recorded. Thus, beyond contributing to clarify the 318 physiological basis of the fMRI signal, our study shed light on the nature of face-319 320 selective neural activity in the human brain, which can be expressed both as 321 increase and active suppression of spiking activity. Inhibitory cellular activity is 322 thought to play an important balancing role to maintain stable function of cortical 323 circuits (Vogels et al., 2011), and has been shown to play a key role in cortical 324 category-selectivity (Wang et al., 2000). Further direct recordings in the human

association cortex will be necessary to determine the functional relevance (i.e.,
 relationship to visual recognition behavior) and the cellular mechanisms (linked to
 cyto- and myelo-architecture; Lorenz et al., 2017) underlying these category selective (excitatory and) inhibitory neuronal activities.

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