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Based on auxetic foam: A novel type of seismic metamaterial for Lamb waves

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ABSTRACT

Seismic metamaterial (SM) has lately received significant attention in the field of vibration isolation and damping due to its wave manipulation and bandgap properties. However, the limitations of unit cell size and the difference of the material parameters of each component have made it challenging to generate an ultra-low frequency bandgap. In order to overcome this challenge, a novel type of 2D SM composed of auxetic foam and steel is proposed to attenuate seismic waves at ultra-low frequencies. Firstly, the band structure of the SMs and the vibration modes of the upper and lower bounds of the first complete bandgap are calculated and analyzed by using the finite element method, and the mechanism of the bandgap generation is clarified. The transmission spectrum under the Lamb wave incident on the SM is investigated. It is validated that Lamb waves have a good attenuation effect in the frequency range below 10 Hz. Secondly, a parametric study of the SM with auxetic foam-coated hollow steel columns is carried out. The numerical results show that the shape and height of the unit cell, the elastic modulus, density and Poisson's ratio of the auxetic foam play important roles in the formation of the bandgap. Finally, the numerical simulation verifies that adding through holes in the matrix which reduces the equivalent mass density of the matrix could widen the bandgap and enhance the effective attenuation of seismic waves. Based on theoretical model and combined with the exceptional material characteristics of auxetic foam, the focus of the study is to achieve a wide bandgap coverage for the seismic peak spectrum of 2 Hz which causes the principal damage of surface buildings.

Keywords: Auxetic, Negative Poisson's ratio, Bandgap, Seismic metamaterial, Vibration isolation, Phononic crystals, Ultra-low frequency

1. Introduction

1 Earthquakes and the secondary disasters caused by earthquakes are extremely destructive,
2 especially the collapse of buildings is one of the most important reasons for casualties and major
3 property losses. Therefore, improving the seismic performance of buildings and effectively reducing
4 this type of hazard has become an urgent task and a popular research topic. The seismic performance
5 of a structure depends on its mass, strength, deformability, and damping [1]. To ensure the safety of
6 the main structure, the conventional method of damping and dissipating energy is to direct the
7 energy input from the earthquake to a specific energy-consuming device, which is equivalent to
8 increasing the damping of the structure. However, the energy dissipation elements are often
9 integrated with the main structure, which easily causes excessive lateral deformation and residual
10 deformation of the main structure [2,3]. Residual deformation has a serious impact on the seismic
11 performance of structures that are about to collapse or may experience subsequent earthquakes.
12 Furthermore, excessive residual deformation may cause the repair cost to exceed the reconstruction
13 cost, resulting in a considerable economic loss [4-6]. The seismic metamaterials (SMs) [7-9]
14 proposed in recent years pave a new way to prevent this from happening.

15 Metamaterials [10-12] are a novel type of material with artificial structure, which has special
16 properties that natural materials do not have in nature. Metamaterials have many counterintuitive
17 properties, such as negative refraction [13,14], frequency bandgaps [15-17], cloaking [18,19],
18 negative Poisson's ratio [20-22], etc. In just over a decade, the concept of metamaterials has
19 gradually penetrated to the materials science, magnetism, mechanics, thermotics and other
20 disciplines. In 2010, it was rated as one of the top ten scientific and technological breakthroughs
21 affecting mankind in the 21st century by Science magazine [23,24]. According to the different
22 regulated elements, metamaterials can be divided into photonic metamaterials, mechanical

1 metamaterials and acoustic metamaterials, etc. Among them, photonic metamaterials are man-made
2 structures composed of tailored micro-or nanostructured metallodielectric subwavelength building
3 blocks. Mechanical metamaterials are artificial micro-structures designed to regulate elastic waves.
4 Their novel properties often depend on the structure rather than just the composition of the material
5 [25,26]. To date, common metamaterials include: left-handed materials, photonic crystals, phononic
6 crystals, zero refractive index materials, gradient refractive index materials, etc. Phononic crystals
7 [27-30] are periodic composites with elastic bandgaps composed of two or more types of elastic
8 media. One of the prominent characteristics is the separate bands in the elastic wave dispersion. As a
9 result, the stop bands or band gaps will be generated, in which the elastic wave cannot propagate.
10 The bandgap characteristics of phononic crystals have potential applications in the field of vibration
11 isolation and damping.

12 SMs are based on the local resonance theory of phononic crystals [31,32], and exploited the
13 bandgap characteristics of phononic crystals to control seismic waves [33]. In 2000, Liu *et al.* [34]
14 creatively proposed the concept of locally resonant phononic crystals, which can generate very low
15 frequency bandgaps under the condition of small size, greatly breaking through the limitations of
16 traditional phononic crystals. Later, to further validate that the small-sized metamaterials can control
17 long-wavelength seismic waves, Brule *et al.* [35] conducted seismic wave experiments with periodic
18 drilling holes on the ground. Huang *et al.* [36] studied the periodic columns and periodic wave
19 barrier structures in civil engineering, and proved the strong attenuation in the bandgap through
20 simulation. Yan *et al.* [37] proposed seismic metamaterials based on Lamb wave theory and
21 conducted experiments. Colombi *et al.* [38] found that the super-large local resonance structure
22 composed of forest and soil could produce two bandgaps with a wide frequency range. Subsequently,

1 various SMs that open the bandgap in a low frequency range based on local resonance have been
2 extensively studied and experimentally verified [39-41]. In almost all the studies, the main damage
3 to a building is the seismic wave with a frequency of 0.1-20 Hz [42-44]. Among them, to shield the
4 frequency spectrum of the peak seismic wave (about 2 Hz) that causes damage to the surface
5 buildings remained a prime objective [45,46].

6 Simple mechanical and thermodynamical models, which show auxetic behavior, were found in
7 the 80s of the 20th century[23,47,48]. Auxetic materials have unusual geometrical and mechanical
8 characteristics such as high shear stiffness, indentation resistance, improved damping and sound
9 absorption properties[49-53]. Streck *et al.* [54] proposed that auxetic material and structures or
10 composite with auxetic layer have better damping properties than materials with positive Poisson's
11 ratio. Bianchi *et al.* [55] described the vibration transmissibility behavior in conventional and
12 auxetic foams under low and high amplitude vibrations. For the bandgap characteristics of auxetic
13 materials, Ungureanu *et al.* [56] reported that the propagation of seismic waves with frequencies
14 ranging from 1 Hz to 40 Hz can be influenced by a decimeter scale version of auxetic-like
15 metamaterials buried in the soil. D'Alessandro *et al.* [57] obtained a single periodic structure with a
16 3D wide adjustable bandgap by appropriately combining the auxetic properties of negative Poisson's
17 ratio and the bandgap properties of phononic crystals. Bruggi *et al.* [58] investigated that directional
18 and full band-pass filters are found in the case of micro-structures, and the auxetic behavior is
19 caused by the rotational deformation of the periodic unit cell. However, there are still some
20 deficiencies in the application of auxetic materials in vibration reduction, especially in all-direction
21 wide bandgap in the ultra-low frequency band.

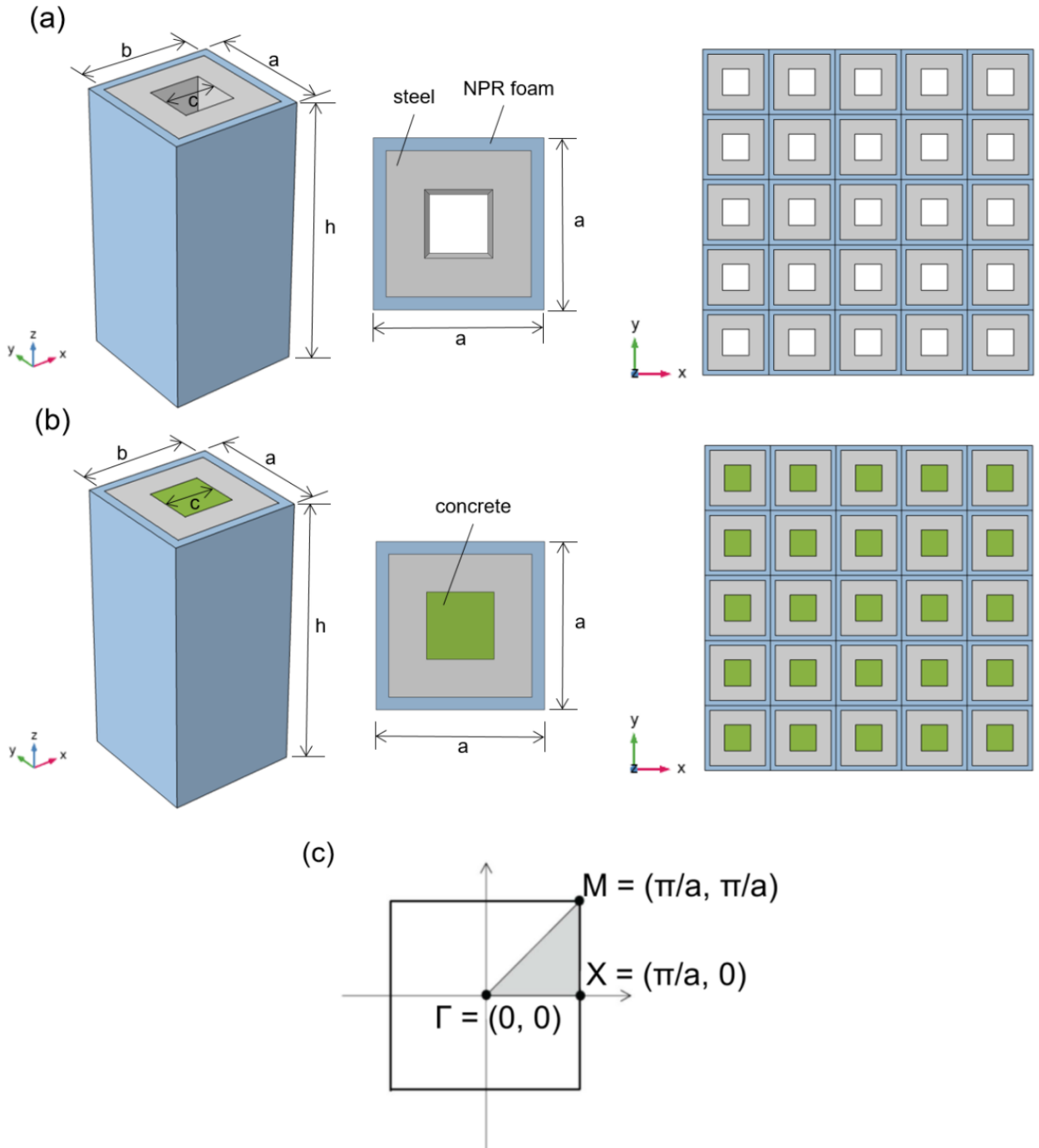
22 In this paper, a new 2D SM consisting of auxetic foam and steel composition is proposed to

1 achieve an ultra-low frequency bandgap. With the adjustable Poisson's ratio, elastic modulus and
2 density of auxetic foam, the bandgap range of phononic crystal can be changed to absorb seismic
3 waves with different frequencies and real-time changes. The specific contents are as follows: taking
4 auxetic foam as matrix and hollow steel columns as scatterers, the periodic hollow steel columns
5 (fillable concrete) are added into the matrix in order to calculate the band structure of the 2D SMs.
6 The wave propagation in the plane perpendicular to the column is studied by finite element method,
7 the bandgap characteristics are analyzed, and various factors affecting the bandgap characteristics
8 are discussed in detail. The calculation of the transmission spectrum also verifies that the seismic
9 wave is strongly and effectively attenuated in the bandgap, particularly in the range of 0.1-10 Hz,
10 which is of great significance for the protection of important civil buildings. This study could open
11 up new perspectives in the field of civil engineering and in related areas of ultra-low frequency
12 vibration-damping protection.

13 **2. Methods and models**

14 In this paper, a new 2D SM composed of auxetic foam and steel is proposed to achieve the
15 attenuation of Lamb waves, and the finite element method is used to numerically study its
16 performance. As schematically shown in Fig. 1(a), a is the lattice constant and the height is h . The
17 outer side-length and inner side-length of the hollow square steel column are denoted by b and c ,
18 where $b = 0.85a$ and $c = 0.4a$. Each metamaterial consists of hollow square steel column embedded
19 in auxetic foam periodically arranged in the x-y directions. The auxetic foams used in this research
20 were manufactured using open cell polyurethane foam through a thermo-mechanical process, and
21 the main skeleton is a reticular structure [59,60]. The structure in Fig. 1(b) is obtained by filling the
22 hollow column in Fig. 1(a) with a concrete inclusion. Fig. 1(c) shows a representative unit cell of the

1 corresponding reciprocal space. The blue, grey and green areas in Figs. 1(a-b) represent auxetic
 2 foam, steel and concrete, respectively. The corresponding material properties [61] and geometrical
 3 parameters of the unit cell are illustrated in Tables I and II, respectively.



4

5

6 **Fig. 1.** Schematic representation of the SM structure: (a) unit cell and arrays composed of auxetic
 7 foam and hollow square steel column; (b) unit cell and arrays of concrete-filled column; (c) The
 8 First Irreducible Brillouin Zone (FIBZ) (the grey triangle of vertices Γ - X - M - Γ) for a square
 9 arrangement of inclusions.

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Table I

Material parameters of unit cell.

Material	Density (kg/m ³)	Young's modulus (Pa)	Poisson's ratio
Concrete	2500	4×10^{10}	0.3
Steel	7784	2.07×10^{11}	0.3
Auxetic foam	120	2.5×10^4	-0.8

Table II

Geometric parameters of unit cell.

a (m)	b (m)	c (m)	h (m)
10	8.5	4	20

For a square lattice of inclusions as in Fig. 1(c), the eigenmodes are evaluated for the wave vectors along the Γ -X-M- Γ directions of the highest symmetry of the Brillouin zone. Here we assume that the materials are linearly elastic, homogeneous, and isotropic, the governing equation for the in-plane wave propagation can be expressed as [62]

$$\nabla \cdot [\mathbf{C}(\mathbf{r}) : \nabla \mathbf{u}] = \rho(\mathbf{r}) \frac{\partial^2 \mathbf{u}}{\partial t^2} \quad (2.1)$$

where $\mathbf{C}(\mathbf{r})$ and $\rho(\mathbf{r})$ are the elastic tensor and mass density tensor of the material, respectively; $\mathbf{r} = (x, y, z)$ is location vector; the vector $\mathbf{u} = (u_x, u_y, u_z)$ is the displacement vector; $\nabla = (\partial/\partial x, \partial/\partial y, \partial/\partial z)$ is the differential operator; “ \cdot ”, “ $:$ ” denote the vector dot product and double-dot product of two dyadic, respectively; t is the time variable.

To determine the band structure of metamaterials with infinite periodic structure, the periodic cell analysis and the Bloch periodic boundary conditions covering a certain range of wave vectors are needed to solve the wave equation by using the finite element software. A relatively small range of wave vectors can cover the edge of the FIBZ. According to Floquet-Bloch theorem, calculations can be performed within FIBZ. After dividing the mesh with finite elements, the eigenvalue equation

1 of the discrete form of the unit cell can be expressed as

$$2 \quad (\mathbf{K} - \omega^2 \mathbf{M}) \cdot \mathbf{U} = 0 \quad (2.2)$$

3 where \mathbf{K} is the stiffness matrix and \mathbf{M} is the mass matrix, which are the functions of the wave vector
4 \mathbf{k} . ω is angular frequency. According to Floquet-Bloch theorem of wave propagation in periodic
5 structure [63], the wave field should have the following form

$$6 \quad \mathbf{u}(\mathbf{r}, t) = e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)} \mathbf{u}_{\mathbf{k}}(\mathbf{r}) \quad (2.3)$$

7 where $\mathbf{u}_{\mathbf{k}}(\mathbf{r})$ is displacement modulation function, $i = \sqrt{-1}$, \mathbf{k} is the wave vector. It can be seen from
8 equation (2.3) that Bloch periodic boundary conditions should be set on the bound of the unit cell to
9 solve equation (2.1), which is the displacement amplitude condition

$$10 \quad \mathbf{u}(\mathbf{r} + \mathbf{a}, t) = e^{i(\mathbf{k} \cdot \mathbf{a})} \mathbf{u}(\mathbf{r}, t) \quad (2.4)$$

11 where \mathbf{a} is the lattice translation vector. Simultaneous equations (2.2) and (2.4) can be used to solve
12 the eigenfrequency under the given wave vector \mathbf{k} .

13 In this paper, the commercial finite element software COMSOL Multiphysics 5.4 is used to
14 solve the eigenvalue equation and band structure. By substituting the characteristic frequency into
15 the governing wave equations (2.2), the corresponding eigenmode can be obtained. The band
16 structure can be obtained by sweeping the corresponding FIBZ of the wave vector \mathbf{k} .

17 **3. Results and discussion**

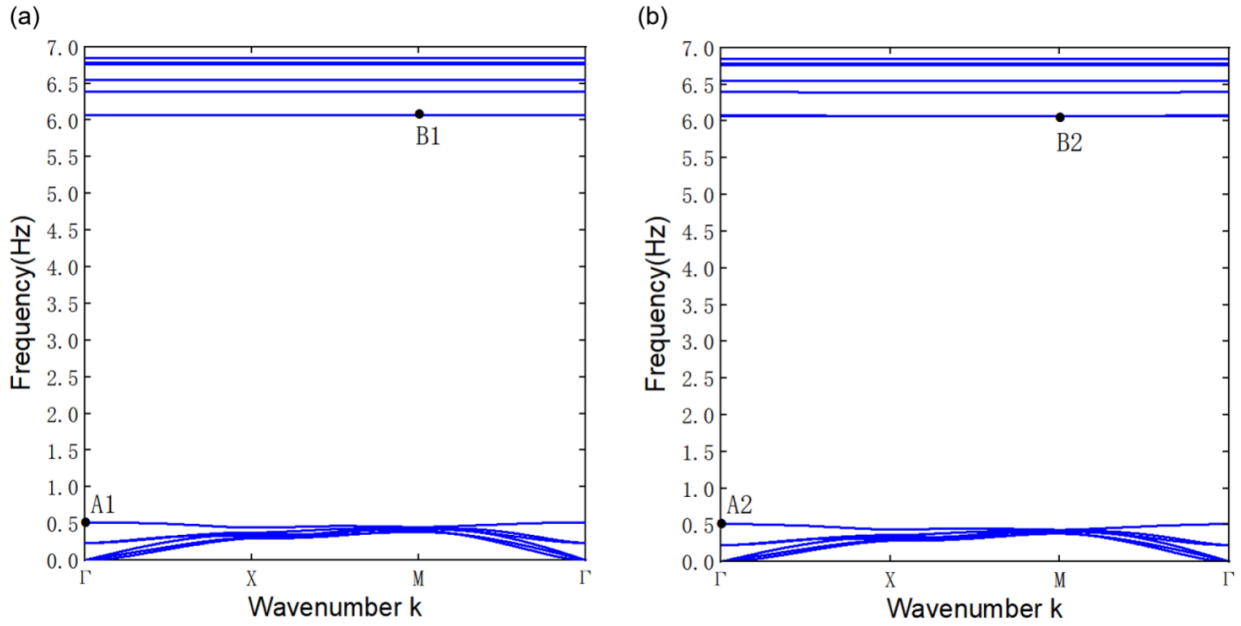
18 *3.1 Band structure*

19 For specific geological configurations, such as a deep layer with a smaller shear-wave velocity
20 compared to that in overlying layers, a substantial part of the seismic energy can be propagated in
21 the stiffer layer in the form of guided or Lamb waves [64]. Firstly, the band structure of this kind of

1 SM is calculated by numerical simulation. The unit cell composed of auxetic foam and hollow
2 square steel column is selected, in which the periodic boundary conditions are applied to the four
3 vertical planes of the unit cell. The top and bottom boundary conditions are set as free boundary
4 conditions. Fig. 2(a) shows the band structure of SM with auxetic foam-coated hollow steel columns
5 based on a plate structure environment. It could be seen that the first complete bandgap, with a
6 width of 5.555 Hz from 0.515 Hz to 6.070 Hz, is exhibited between the sixth band and the seventh
7 band. To better illustrate the bandgap property, an important parameter of relative bandwidth is
8 defined as $2(f_u - f_l)/(f_u + f_l)$, with f_u and f_l being the frequencies of the upper and lower
9 boundaries of the BG, respectively [41]. By calculation, the relative bandwidth of the SM is as high
10 as 1.687, which means that it can satisfy the broader seismic demand. Since the ninth and tenth
11 bands are flat, it can be considered that the second, third, and fourth bandgaps are merged into a
12 large complete bandgap. Thus, the bandgap range of the SM is between 0.515 Hz and 6.575 Hz, and
13 seismic waves in this frequency range cannot propagate through the SM. It is worth noting that the
14 bandgap produced by this novel type of 2D SM contains the main peak spectrum frequency 2 Hz
15 that causes seismic damage, which can effectively attenuate seismic waves.

16 A square column filled with a concrete inclusion was designed and studied as shown in Fig.1(b),
17 and the corresponding band structure is illustrated in Fig. 2(b). It could be seen that the first
18 complete bandgap lies between the sixth and seventh bands, and the frequency ranges from 0.511 Hz
19 to 6.070 Hz. Similarly, since the ninth band and the tenth band are horizontal, the second, third, and
20 fourth complete bandgaps can be superimposed to a wider complete bandgap which extends from
21 6.071 Hz of the eighth band to 6.757 Hz of the eleventh band. In addition, it is also found that the
22 location where the complete bandgaps of the concrete-filled column appear is almost the same as

1 that of the non-filled structure (Figs. 2(a-b)).



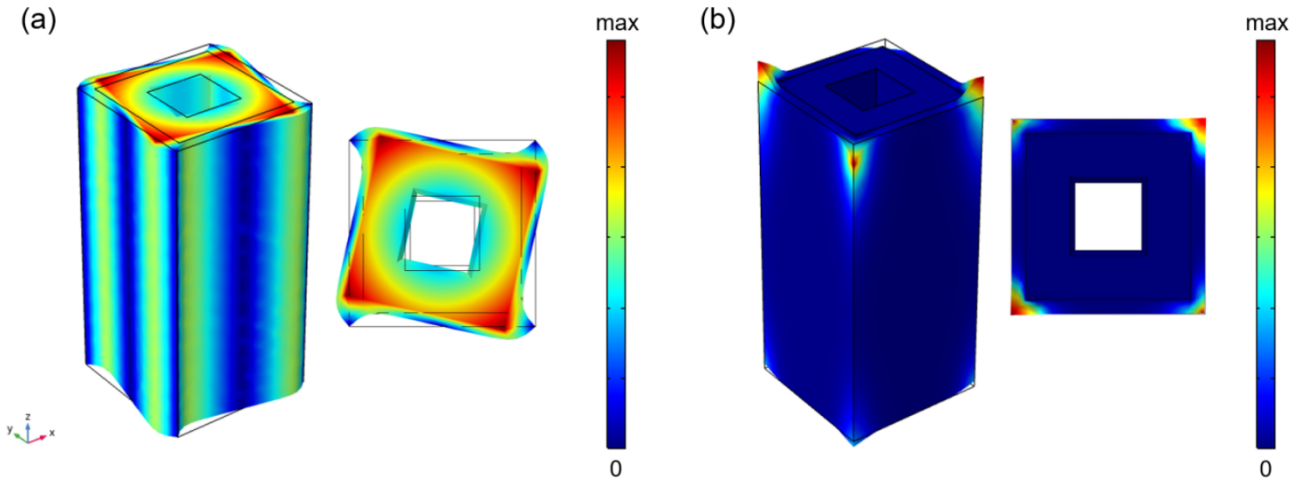
2
3 **Fig. 2.** Band structure for Lamb waves: (a) hollow square column; (b) concrete-filled square
4 column.

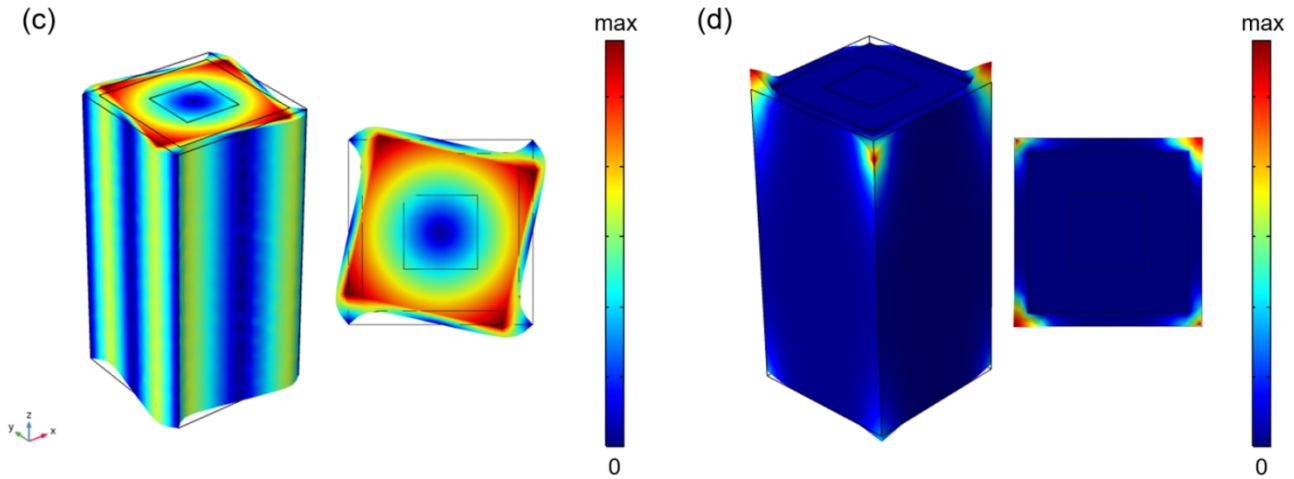
5 3.2 Vibration modes

6 In order to investigate the generation mechanism of the bandgaps for plate-like SMs, the
7 vibration modes of the upper and lower bounds of the first complete bandgap were calculated. The
8 results are as shown in the figure: Figs. 3(a-b) show the vibration modes of the lower and upper
9 bounds of the first bandgap of the hollow square column (Fig. 2(a)), and the upper and lower bounds
10 of the bandgap take points B1 and A1 respectively; Figs. 3(c-d) respectively show the vibration
11 modes of the lower and upper bounds of the first complete bandgap of the concrete-filled column
12 (Fig. 2(b)), and the upper and lower bounds of the bandgap are taken as points B2 and A2,
13 respectively. It can be seen from the figure that the square lattice of these structures can be regarded
14 as the periodic arrangement of the scatterers (steel part) connected by the connectors (auxetic foam
15 part). At the lower bound of the complete bandgaps, as shown in Fig. 3(a) and Fig. 3(c), the

1 vibration is mainly concentrated on the scatterers, and the connectors act as springs between the
2 neighboring scatterers. For the upper bound of the complete bandgaps, as shown in Fig. 3(b) and
3 3(d), the vibrations are mainly concentrated on the connectors, while the scatterers barely vibrate.

4 The lower bound (A1) corresponding to Fig. 3(a) was analyzed. It could be seen that the
5 scatterer rotates around the central axis, while the connectors of adjacent units have obvious
6 anti-phase vibration around the scatterer, and the middle of the connector is almost motionless. At
7 the upper bound (B1) shown in Fig. 3(b), the scatterer remains stationary and the connector vibrates
8 in the z-axis direction. Figs. 3(c-d) show the vibration modes of the lower bound (A2) and upper
9 bound (B2) of the first complete bandgap of the concrete-filled column. Obviously, the vibration
10 mode of the structure filled with concrete is similar to that of the structure without concrete, because
11 the combination of steel and concrete is equivalent to a scatterer. Here, the auxetic foam still acts as
12 a spring, while the low frequency wide bandgap is due to the existence of local resonance units in
13 the structure. Both the similarity of band gap and the similarity of vibration modes are beneficial to
14 the practical application of structures. For example, the concrete-filled columns can be constructed
15 as the lower foundation of buildings when attenuating seismic waves, while hollow square columns
16 can be built around buildings to save material costs.





1
2 **Fig. 3.** The vibration modes at the first complete bandgaps: (a) A1 point at 0.515 Hz; (b) B1 point at
3 6.070 Hz; (c) A2 point at 0.511 Hz; (d) B2 point at 6.070 Hz. The color indicates the total
4 displacement (u) ranging from 0 to maximum.

5 3.3 Frequency domain analysis

6 The band structure and bandgap of 2D SMs are calculated based on the infinite unit cell model.
7 Although the position and width of bandgap can be explained, the damping effect of finite period
8 structure cannot be fully reflected. Obviously, in practice all periodic structures are finite. To
9 validate the accuracy and performance of bandgap, a finite SM system based on transmission
10 spectrum analysis is performed. The SM system is composed of auxetic foam-coated hollow square
11 columns arranged periodically. Through the observation of the displacement field of the SM, the
12 position and width of the bandgap are validated, which further proves that the SM proposed could
13 shield seismic waves.

14 The commercial finite element software COMSOL Multiphysics 5.4 was used to calculate the
15 frequency domain of the SM system composed of 6 unit cells. The parameters of the unit cell were
16 consistent with those shown in Table 1 above. Low reflection boundary conditions or perfectly
17 matched layers were applied at both ends of the x - direction to prevent the reflection of waves from
18 the bound, and periodic boundary conditions were applied along the y - direction. Firstly, an incident

1 surface waves with polarizations along x - y - z directions is applied at the interface between the low
2 reflection boundary and the homogeneous part on the left side of the SM. The acceleration A_1
3 behind the SM is then collected, and the acceleration A_0 is collected at the same acquisition point
4 when there is no SM. Finally, as shown in Fig. 4(a), perform a frequency sweep analysis on the
5 frequency range from 0 Hz to 7 Hz (excluding 0 Hz) to calculate the transmission coefficient. In the
6 result, the data of acceleration A_1 and A_0 are derived respectively, and the transmission spectrum
7 calculation formula is set to $TS = 20 \times \log_{10}(A_1/A_0)$, processing through Matlab or Origin can obtain
8 the result of transmission spectrums. Fig. 4(a) shows the transmission spectrums of the 2D SM. It
9 can be found that there is a large attenuation in the frequency range of 0.515 - 6.070 Hz, which
10 basically coincides with the first bandgap of the band structure. The transmission characteristics in
11 this region have an average attenuation of over 200 dB, and the maximum attenuation intensity
12 reaches 352 dB, which has excellent shock absorption characteristics.

13 To further validate the shielding capability of the SM proposed, the total displacement of the
14 incident wave when vibrating at two frequency points inside the bandgap and outside the bandgap
15 are given, respectively, as shown in Figs. 4(b-c). It could be found that at 0.34 Hz outside the
16 bandgap (Fig. 4(b)), the incident wave is partially reflected, but some of it still passes through the
17 SM. It can be found that the matrix vibration at this time is slightly larger than the scatterer.
18 However, when the frequency is 3.5 Hz in the bandgap (Fig. 4(c)), the incident wave is almost
19 completely reflected and confined to the soil on the left. This can be explained that under the
20 excitation of the elastic wave of a specific frequency, each scatterer resonates and interacts with the
21 elastic wavelength wave traveling wave to restrain its propagation. Hence, this SM can provide an
22 area with almost no Lamb waves interference.

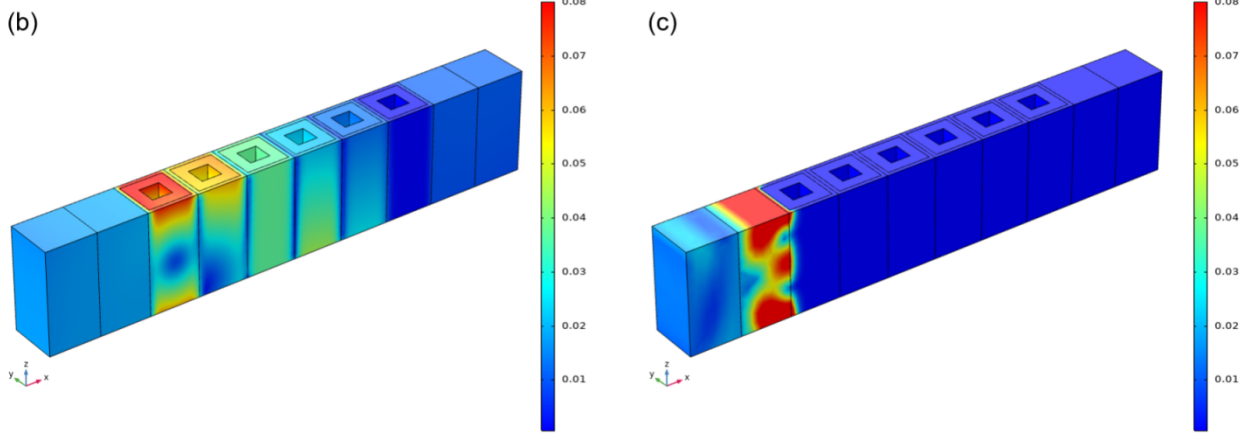
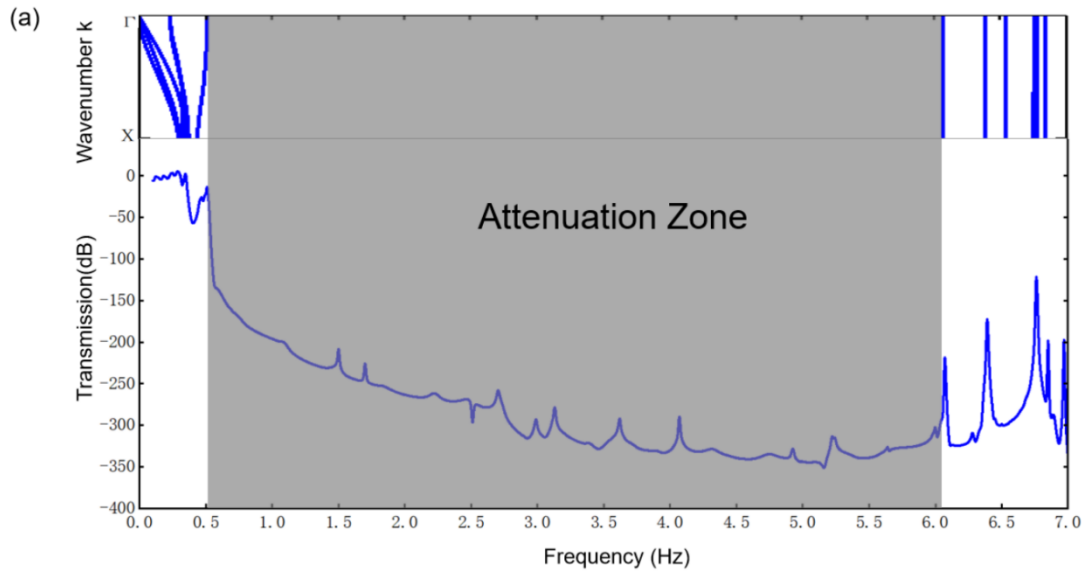


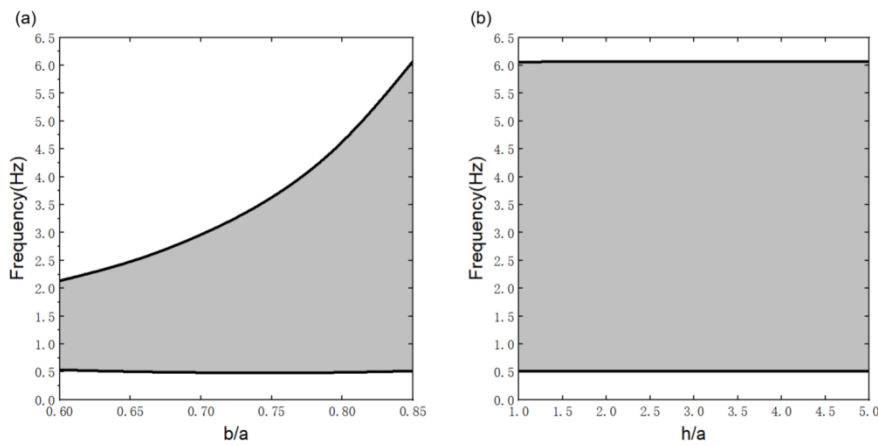
Fig. 4. Frequency domain analysis for the 2D SM with 6 unit cells: (a) Transmission spectrum in the frequencies range of 0 Hz-7.0 Hz; (b) The total displacement field with frequencies of 0.34 Hz and (c) 3.5 Hz. The color legends represent the displacement amplitude. (Highlighted grey region indicates attenuation zone that coincides with the first bandgap in the ΓX direction.)

3.4 Effect of geometric parameters

From the analysis above, it could be seen that the generation of the ultra-low frequency complete bandgap is due to the presence of local resonance units in the structure. To further illustrate the mechanism of the local resonance bandgap, the variation of the bound frequency of the plate structure shown in Fig. 2(a) with the change of a single parameter is investigated in Fig. 5.

Fig. 5(a) shows the calculation results obtained by changing only the outer side-length b of the hollow square steel column while keeping the lattice constant a and the inner side-length c unaltered.

1 Fig. 5(b) shows the influence of different height h on the bound frequency of the first bandgap when
 2 other parameters remain the same as previously mentioned. In Fig. 5(a), it is obvious that the
 3 complete bandgap widens and shifts toward higher frequencies with the increase of b/a . Since the
 4 upper bound frequency of the bandgap increases monotonously, while the frequency of the lower
 5 bound is basically unaltered, the width of the bandgap increases. This property can be used to realize
 6 a highly efficient rainbow trapping effect. In Fig. 5(b), it is interesting to note that the height of the
 7 unit cell influences inhibited frequencies than other considered parameters. With the increase of h/a ,
 8 the lower bound frequency and the upper bound frequency of the first complete bandgap are almost
 9 unaltered, which is a critical feature of SMs with local resonant bandgaps. In other words, when the
 10 filling rate remains unaltered, different heights may have little effect on the first bandgap start-stop
 11 frequency or on the ultra-low bandgap start-stop frequency. Hence, the specific dimensions of
 12 seismic metamaterial unit cells could be chosen based on the practical application and the resulting
 13 maximal threat wavelength.



14
 15 **Fig. 5.** The lower bound frequency and the upper bound frequency of the first complete bandgap for
 16 the SM as the ratio of (a) b/a ; (b) h/a . (The grey region represents the first complete bandgap.)

17 *3.5 Effect of material parameters*

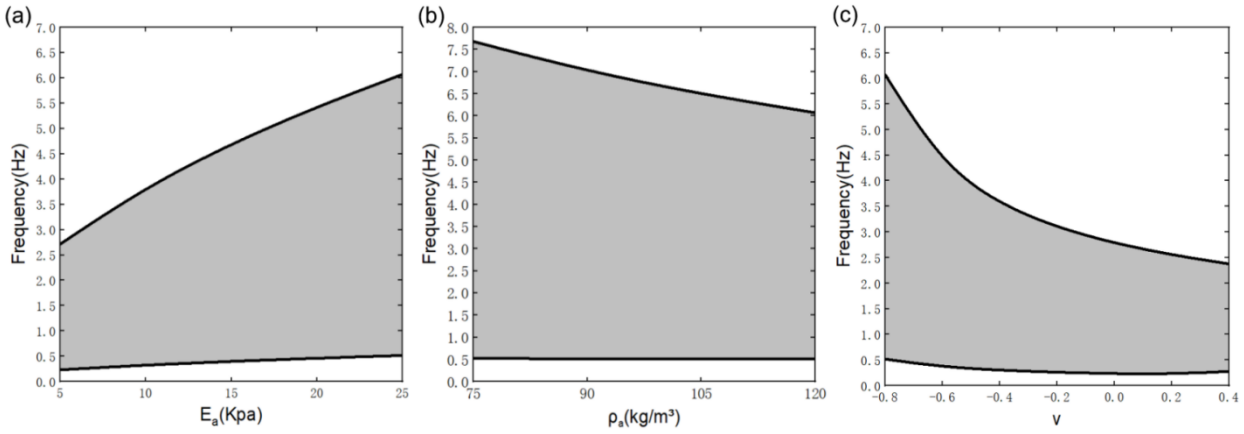
18 The bandgap width and frequency of upper and lower bounds are closely related to the material

1 properties. In previous section, the relationship between the first complete bandgap and geometric
2 parameters was discussed. In this section, the influence of the elastic parameters of auxetic foam on
3 the first complete bandgap was mainly discussed, and the shaded part represented the first complete
4 bandgap. Existing studies have found that by changing the size and volume compression ratio of
5 conventional foams, a series of auxetic foams with different auxetic effects can be made. Meanwhile,
6 the corresponding Young's modulus and density also change accordingly. The Young's modulus E ,
7 density ρ and Poisson's ratio ν of auxetic foam are usually varied in the range of 5~25 kPa, 75~120
8 kg/m³ and -1.5~0 [59,60,65,66]. In order to compare the effect of positive and negative Poisson's
9 ratio on the resonance structure, the value range of Poisson's ratio is set as -0.8~0.4. Similarly, based
10 on the above-mentioned SM unit cell to ensure that other factors remain unaltered, the changes of
11 Young's modulus E , density ρ and Poisson's ratio ν on the bandgap are discussed respectively.

12 Fig. 6(a) shows the width variation of the first complete bandgap for different values of Young's
13 modulus. When Young's modulus of auxetic foam increases from 5 kPa to 25 kPa, the lower bound
14 frequency of the bandgap rises slowly, while the bandgap sizes are enlarged due to the rapidly shift
15 of pass bands towards higher frequencies. This occurs due to the increase of the matrix stiffness,
16 resulting in corresponding changes of the mode shapes. It is worth noting that reducing the stiffness
17 of the matrix can reduce the bandgap frequency. The influence of the matrix density on the width of
18 the first complete bandgap is shown in Fig. 6(b). When the density of the auxetic foam increases
19 from 75 kg/m³ to 120 kg/m³, the complete bandgap decreases gradually and steadily shifts to a lower
20 frequency range. Although the lower bound of the bandgap remains almost unaltered, the width of
21 the bandgap becomes narrower because the upper bound of the bandgap shifts to a lower frequency.

22 As can be seen from Fig. 6(c), when the Poisson's ratio increases from -0.8 to 0.4, the upper

1 bound frequency declines rapidly. In contrast, the lower bound has different changes. When the
 2 Poisson's ratio increases from -0.8 to 0.2, the lower bound of the bandgap decreases slowly; and the
 3 lower bound increases slightly with the Poisson's ratio increasing from 0.2 to 0.4. In general, the
 4 complete bandgap width decreases gradually. It can be predicted that the complete bandgap will
 5 eventually close with the Poisson's ratio continues to increase. In summary, material parameters
 6 such as Young's modulus E , mass density ρ , and Poisson's ratio ν have a significant effect on the
 7 width and location of the complete bandgap.



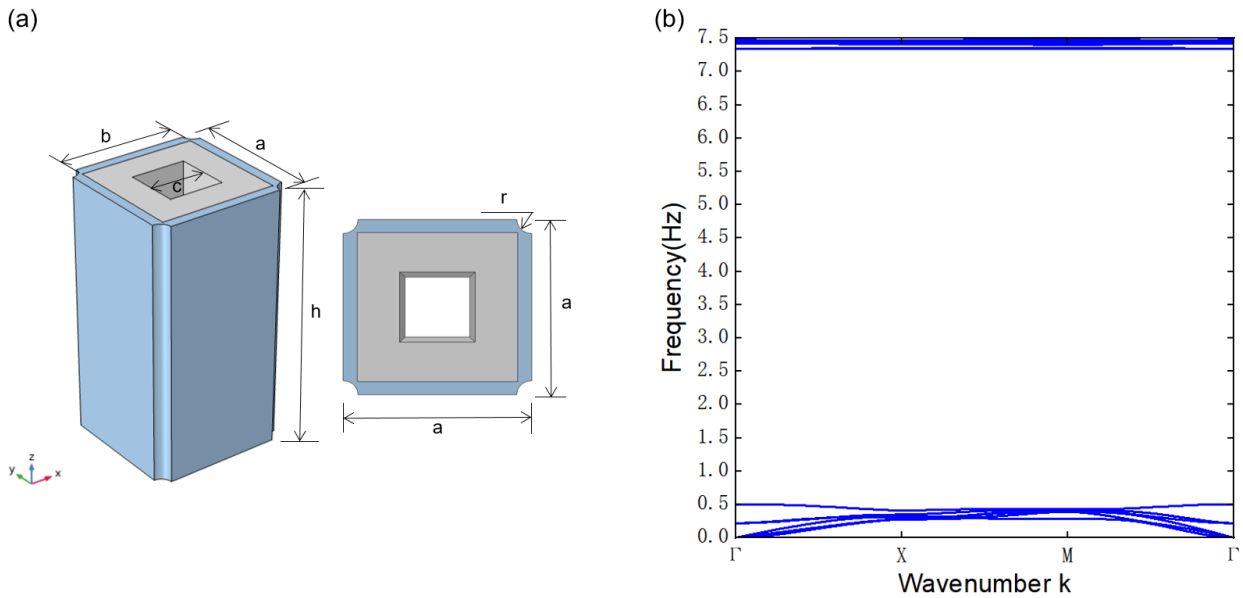
8
 9 **Fig. 6.** Effect of different material parameters of auxetic foam on the first complete bandgap: (a) the
 10 Young's modulus E ; (b) the mass density ρ ; (c) the Poisson's ratio ν . (The grey region represents the
 11 first complete bandgap.)

12 *3.6 Effect of equivalent mass density of matrix*

13 In addition, the effect of the equivalent mass density of matrix on the first bandgap frequencies
 14 was analysed. Seen from Fig. 6(b), the lower bound frequency is basically independent of the
 15 characteristics of the matrix material, while the upper bound frequency only decreases with the
 16 increase of the density of the matrix material. This occurs due to the increase of the equivalent mass
 17 of the matrix, resulting in a decrease in the characteristic frequency of the vibration mode
 18 corresponding to the upper bound of the bandgap. Therefore, in order to obtain a wider bandgap, the
 19 original structure was optimized. As shown in Fig. 7(a), ensuring that other parameters remain

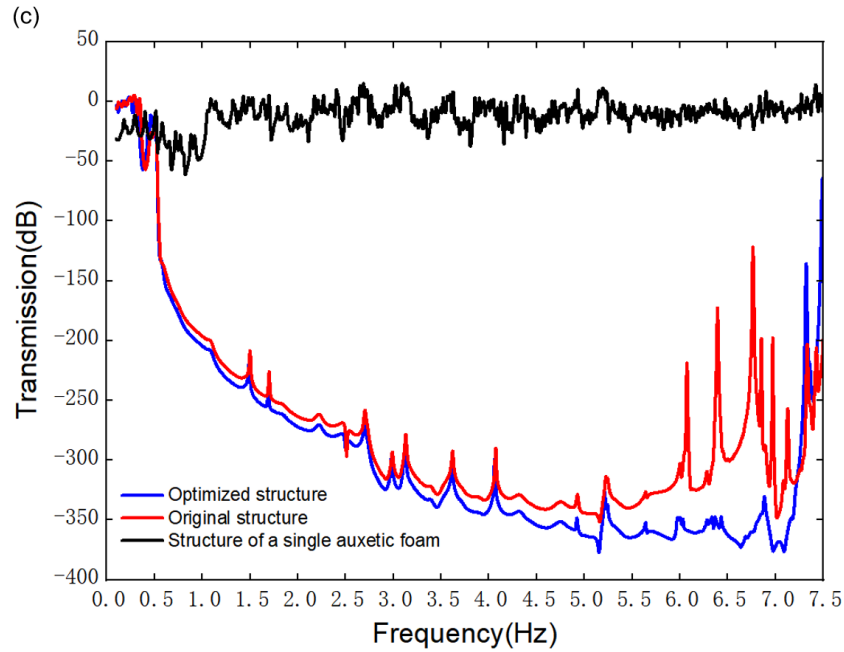
1 unaltered, a small hole with a radius of $r = 0.8$ m is dug at each of the four corners of the unit cell to
 2 reduce the equivalent density of the matrix. Simulations show that the frequency range of the first
 3 complete bandgap of the optimized structure is 0.505-7.341 Hz shown in Fig. 7(b), the relative
 4 bandwidth is 1.743. Owe to the upper bandgap of the first complete bandgap increases, and the
 5 lower bandgap is almost unaltered, the relative bandwidth of the first bandgap has increased
 6 significantly by about 3.3% compared with the original structure in Fig. 2(a). The attenuation area of
 7 the optimized structure in Fig. 7(c) completely coincides with the bandgap of the band structure in
 8 Fig. 7(b), wherein the attenuation intensity is significantly increased compared to the previous one
 9 by comparing with the transmission spectrum of the original structure. Furthermore, the
 10 transmission spectrum of the SM composed of a single auxetic foam was also simulated. The results
 11 indicate that the SM has almost no attenuation to the Lamb wave below 7.5 Hz, which means the
 12 SM has no ultra-low frequency bandgap.

13



14

15



1
 2 **Fig. 7.** Effect of the equivalent mass density of matrix on the first bandgap frequencies: (a)
 3 Optimized structure after digging small holes in the four corners of the unit cell; (b) Band structure
 4 for optimized structure; (c) Transmission spectrums in the ΓX direction of optimized structure,
 5 original structure, and structure of a single auxetic foam.

6 **4. Conclusion**

7 In summary, a novel type of 2D seismic metamaterial (SM) composed of auxetic foam and steel
 8 is proposed for the first time. It is noted that the 2D SM can effectively attenuate seismic waves,
 9 resist seismic wave peaks and reduce the damage to buildings due to its wide bandgap and ultra-low
 10 frequency. By utilizing the commercial finite element software COMSOL Multiphysics, the band
 11 structure of the SM plate is systematically studied. Simulations show that the SM plate generates a
 12 complete bandgap between 0.515 Hz and 6.070 Hz, including 2 Hz of the main wave peaks causing
 13 earthquake damage. The vibration modes at the upper and lower bounds of the first complete
 14 bandgap are calculated to examine the generation mechanism of the bandgap. It is found that the
 15 generation of the ultra-low frequency bandgap is due to the existence of local resonance elements in
 16 the structure. The transmission spectrum calculation proves that the structure has a good attenuation
 17 effect in the corresponding frequency range. Moreover, with the variation in geometrical parameters

1 and change in material properties of the auxetic foam, the bandgap width and location would change.
2 By adding small holes in four corners of the matrix, it is proved that reducing the equivalent mass
3 density of the matrix can widen the bandgap width and enhance the effective attenuation in the local
4 resonant bandgap. This study manifests that the proposed SM can attenuate seismic waves in the
5 frequency range of its ultra-low bandgap to protect important civil buildings from earthquake
6 hazards.

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