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▶ To cite this version:

Matthias Függer, Thomas Nowak, Joel Rybicki. Majority Consensus Thresholds in Competitive Lotka-Volterra Populations. PODC '24: 43rd ACM Symposium on Principles of Distributed Computing, Jun 2024, Nantes, France. pp.76-86, 10.1145/3662158.3662823. hal-04786996

HAL Id: hal-04786996 https://hal.science/hal-04786996v1

Submitted on 16 Nov 2024

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ABSTRACT

One of the key challenges in synthetic biology is devising robust signaling primitives for engineered microbial consortia. In such systems, a fundamental signal amplification problem is the majority consensus problem: given a system with two input species with initial difference of Δ in population sizes, what is the probability that the system reaches a state in which only the initial majority species is present?

In this work, we consider a discrete and stochastic version of competitive Lotka–Volterra dynamics, a standard model of microbial community dynamics. We identify new threshold properties for majority consensus under different types of interference competition:

- We show that under so-called self-destructive interference competition between the two input species, majority consensus can be reached with high probability if the initial difference satisfies $\Delta \in \Omega(\log^2 n)$, where *n* is the initial population size. This gives an *exponential* improvement compared to the previously known bound of $\Omega(\sqrt{n \log n})$ by Cho et al. [Distributed Computing, 2021] given for a special case of the competitive Lotka–Volterra model. In contrast, we show that an initial gap of $\Delta \in \Omega(\sqrt{\log n})$ is necessary.
- On the other hand, we prove that under *non-self-destructive* interference competition, an initial gap of $\Omega(\sqrt{n})$ is necessary to succeed with high probability and that a $\Omega(\sqrt{n \log n})$ gap is sufficient.

This shows a strong qualitative gap between the performance of self-destructive and non-self-destructive interference competition. Moreover, we show that if in addition the populations exhibit interference competition between the individuals of the *same* species, then majority consensus cannot always be solved with high probability, no matter what the difference in the initial population counts.

CCS CONCEPTS

• Theory of computation \rightarrow Distributed algorithms; Distributed computing models.

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© 2024 Copyright held by the owner/au ACM ISBN 979-8-4007-0668-4/24/06

https://doi.org/10.1145/3662158.3662823

KEYWORDS

majority consensus, population dynamics

ACM Reference Format:

Matthias Függer, Thomas Nowak, and Joel Rybicki. 2024. Majority Consensus Thresholds in Competitive Lotka–Volterra Populations. In ACM Symposium on Principles of Distributed Computing (PODC '24), June 17–21, 2024, Nantes, France. ACM, New York, NY, USA, 11 pages. https://doi.org/10. 1145/3662158.3662823

1 INTRODUCTION

Synthetic biology is a discipline focusing on the rational engineering of biological systems [15, 16]. Early results built basic computational modules, such as memory [35], clocks [23, 30], and sensors [47] in the bacterium *Escherichia coli*. Recently, synthetic biologists have started to engineer *synthetic consortia* consisting of multiple interacting microbial species that collectively implement *distributed* biological circuits [12, 49, 56, 59]. This has lead the bioengineering community to face a fundamental challenge of distributed systems: the need for robust *coordination primitives* to coordinate the activities of all different microbial populations comprising the circuit [5, 12, 40, 49].

Synthetic biologists have recognized that (a) such problems are studied in the field of distributed computing and that (b) the existing models of distributed computing poorly capture key aspects of microbial systems [10, 25, 41, 45]. Classical models of distributed computing ignore even the most elementary *ecological processes* that take place in microbial consortia, such as the stochastic reproduction and mortality of individual cells and competition between different species [38, 61].

This limitation holds even for many popular models of distributed computing explicitly motivated by biological computation, such as the widely studied population protocol model [1, 8, 9, 11, 28]. Unfortunately, these fundamental ecological processes are (1) in general unavoidable in synthetic microbial consortia, (2) lead to stochastic fluctuations in the community size and composition, which are key drivers of microbial population dynamics [61], and importantly, (3) dealing with them is one of the main challenges in engineering microbial consortia [12, 40, 45].

While theoretical foundations of molecular and biological computation have gained increasing attention in recent years [3, 18, 27, 32, 33, 54, 55], there is scarcely any work focusing on the theory of distributed computing in *microbial consortia*, despite the fact that distributed computing has become a fundamental paradigm in synthetic biology. Here, we initiate the complexity theoretic

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study of the *computational power of programmable ecological interactions* [48] in microbial circuits.

1.1 The problem: majority consensus

Majority consensus is a fundamental problem in distributed computing [2, 8, 20, 21, 28, 29, 31, 53]. In this problem, each node in the system is given a local input bit, and the task is for each node to output the input bit given to the majority of the nodes.

Majority consensus has also been identified as a useful signaling primitive for engineered microbial consortia in theory [19] and practice [5]. The goal is to design a genetic circuit, where the (gene expression) state of each cell is determined by the species which is in the majority [5]. This primitive can be used as a robust differential signal amplifier [19] when composing complex circuits implemented by different populations [56]. However, it remains unclear how to efficiently solve this problem in the microbial setting, where individuals replicate, die, and compete with one another.

The performance of majority consensus protocols is typically studied as a function of the initial population size *n* and the initial difference Δ between the counts of the majority and minority input species. In *exact* majority consensus, the goal is to correctly compute the majority with probability 1 for any $\Delta > 0$, whereas in *approximate* majority consensus, the protocols are allowed to fail with a probability that depends on *n* and Δ . Typically, the aim is to obtain protocols that succeed with high probability in *n* for as small as possible Δ . Intuitively, the smaller Δ a protocol can deal with, the better the protocol tolerates noise: in the synthetic biology setting, such protocols are instrumental in amplifying signals produced by noisy (biological) processes, e.g., microbial subcircuits.

For example, in the stochastic population protocol model [7], where a random scheduler picks pairs of nodes to interact uniformly at random in each time step, both exact [1, 4, 28, 29, 53] and approximate [8, 22] versions of majority consensus have been studied. There is a simple (but challenging to analyze) 3-state protocol [8] that solves approximate majority consensus in $O(n \log n)$ interactions with high probability whenever $\Delta \in \Omega(\sqrt{n} \cdot \log n)$. This protocol can in principle implement a cell cycle switch in cellular populations [17]. In contrast, for *exact* majority consensus, it is known that any O(1)-state protocol requires $\Omega(n^2)$ expected interactions [1], but there is a $O(\log n)$ -state protocol that solves the problem in $O(n \log n)$ interactions in expectation [28].

1.2 Our focus: from molecular to microbial computation

The population protocol model captures uncertainty arising from unpredictable *interaction patterns*, but it does not capture *demographic noise*, i.e., the stochastic fluctuations in the community composition that arise from the chance events of the underlying biomolecular [10, 60] and ecological processes [38, 46, 61] taking place in microbial systems. For small populations, as in the case of synthetic microbial consortia, demographic noise is known to have a significant impact on the realized dynamics.

In this work, we take steps towards developing a theory of microbial computation that investigates the *computational power of ecological interactions*. Motivated by the recent experimental [5, 48] and mathematical modeling work utilizing ecological competitive mechanisms [6, 19, 50] in the design of distributed majority consensus algorithms in synthetic microbial consortia, we study the following question at the interface of theoretical computer science and synthetic ecology:

How does (a) demographic noise and (b) the choice of engineered, competitive mechanisms impact the performance of microbial majority consensus protocols?

We investigate the majority consensus problem in discrete Lotka– Volterra models of well-mixed, competitive microbial communities. These are standard models used in microbiology that explicitly model the reproductive and competitive dynamics of microbial species over time [24, 38, 61]. The analysis of *stochastic* population models is considered important but highly challenging in the biomathematics [13, 36, 44] and statistical physics communities [26, 43, 52, 57]. Recently, their rigorous analysis has started to gain interest also in theoretical computer science [6, 19, 22].

We develop new techniques to analyze the behavior of majority consensus dynamics in stochastic, competitive Lotka–Volterra models, and show that in principle (1) ecological processes can be *algorithmically exploited* to obtain robust majority consensus protocols, and that (2) different ecological mechanisms can have substantial impact on the performance of microbial protocols.

1.3 The model: stochastic Lotka–Volterra dynamics

We now introduce the stochastic two-species Lotka–Volterra (LV) models of competing microbial populations. These LV models generalize the models of microbial majority consensus previously studied in the distributed computing community [6, 19]. Lotka–Volterra dynamics can be derived mechanistically from several different biological assumptions. Here, we have derived the models assuming interference competition [39] between the species, as interference competition can be programmed into synthetic microbial populations using readily available genetic modules [48].

The Lotka–Volterra models. As typical for non-spatial biological population models [13, 60], our models are formally represented as chemical reaction networks [14, 37], assuming unit volume. For the sake of brevity, we do not give the full general definition of the chemical reaction network formalism, as we only work with two basic models. Following the standard reaction kinetics notation, the Lotka–Volterra model with *self-destructive* interference competition is given by

$$X_i \xrightarrow{\beta} X_i + X_i \qquad X_i \xrightarrow{\delta} \emptyset \qquad X_i + X_{1-i} \xrightarrow{\alpha_i} \emptyset \qquad X_i + X_i \xrightarrow{\gamma_i} \emptyset,$$
(1)

where X_i denotes an individual of species $i \in \{0, 1\}$, \emptyset denotes the removal of each reactant species and $\alpha_i, \beta, \delta, \gamma_i \ge 0$ are constant rate parameters. We note that here we treat the reactions with reactants $X_0 + X_1$ and $X_1 + X_0$ of different species formally as different reactions unlike typically in chemical reaction network formalism – this purely for notational convenience.

Our second model is the Lotka–Volterra model with *non-self-destructive* competition given by

$$X_i \xrightarrow{\beta} X_i + X_i \qquad X_i \xrightarrow{\delta} \emptyset \qquad X_i + X_{1-i} \xrightarrow{\alpha_i} X_i \qquad X_i + X_i \xrightarrow{\gamma_i} X_i,$$
(2)

for $i \in \{0, 1\}$. We often write $\alpha = \alpha_0 + \alpha_1$ and $\gamma = \gamma_0 + \gamma_1$. We say that the system is *neutral* if both species have identical rate parameters. Unless otherwise specified, we consider neutral communities. In both models, the reactions have at most two reactants. We call reactions with a single reactant *individual reactions* and reactions with two reactants *pairwise interactions* between individuals.

Biological interpretation. We assume a well-mixed setting as encountered in a bioreactor, with a growing microbial community consisting of two populations of different species. We primarily focus on the early stages of the microbial population dynamics, the so-called exponential phase of microbial growth, where the population size is far from the carrying capacity of the environment (e.g., growth is not limited by availability of nutrients or space).

Each individual of input species $i \in \{0, 1\}$ reproduces at percapita rate $\beta \ge 0$ and dies at per-capita rate $\delta \ge 0$. In addition to these reproductive dynamics, we assume that interactions between individuals happen via interference competition: individuals of species *i* encounter and individuals of species 1 - i at rate $\alpha_i \ge 0$, and individuals of the same species *i* encounter and kill each other at rate $\gamma_i \ge 0$. Competition between individuals of different species is called *interspecific*, whereas competition between individuals of the same species is *intraspecific*.

When species engage in a competitive interaction, the outcome can be either symmetric (both individuals die) or asymmetric (only one individual dies). These two cases correspond to the two different models (1) and (2), and they have biologically very distinct interpretations. The former scenario corresponds to *self-destructive interference* (e.g., cells release a bacteriocin via lysis), whereas the latter scenario corresponds to *non-self-destructive interference* (e.g., cells secrete a bacteriocin or puncture the membrane of other cells on physical contact). Both types of competition are exhibited by bacterial species [39]. Non-self-destructive interference can also be implemented in engineered populations using, e.g., programmable plasmid conjugation [51], as suggested by Cho et al. [19].

Stochastic kinetics. For both model variants, a configuration is a vector $\mathbf{x} = (x_0, x_1) \in \mathbb{N}^2$, where x_i gives the count of species *i* in the configuration. In a configuration (x_0, x_1) , the individual birth reactions of species *i* have propensity βx_i and individual death reactions have propensity δx_i . The propensity of an interspecific competition reaction is $\alpha x_0 x_1$ and the propensity of an intraspecific competition reaction is $\gamma x_i (x_i - 1)/2$. The total propensity of the configuration (x_0, x_1) is given by

$$\varphi(x_0, x_1) = \sum_{i \in \{0,1\}} \left(\alpha_i x_0 x_1 + \beta x_i + \delta x_i + \gamma_i x_i (x_i - 1)/2 \right).$$

Under continuous-time stochastic kinetics, the time until the next reaction in a configuration **x** is exponentially distributed with rate $\varphi(\mathbf{x})$. Given that the current configuration is **x**, the probability that *R* is the next reaction is $\varphi_R(\mathbf{x})/\varphi(\mathbf{x})$, where $\varphi_R(\mathbf{x})$ is the propensity of the reaction (as above).

The *stochastic trajectory* under stochastic kinetics is given by a continuous-time Markov process $\mathbf{X} = (\mathbf{X}_t)_{t \ge 0}$ on the state space \mathbb{N}^2 , where $Q(\mathbf{x}, \mathbf{y})$ gives the propensity of the chain from transitioning from state \mathbf{x} to state \mathbf{y} . In this work, we primarily focus on the *jump chain* $\mathbf{S} = (\mathbf{S}_t)_{t \ge 0}$ of \mathbf{X} , which is the discrete-time Markov chain given by the transition probabilities $P(\mathbf{x}, \mathbf{y}) = Q(\mathbf{x}, \mathbf{y})/\varphi(\mathbf{x})$

for $\varphi(\mathbf{x}) > 0$. If $\varphi(\mathbf{x}) = 0$, then $P(\mathbf{x}, \mathbf{x}) = 1$ and $P(\mathbf{x}, \mathbf{y}) = 0$ for $y \neq x$. Here, S_t represents the counts of both species after $t \in \mathbb{N}$ reactions have occurred.

Majority consensus. We say that species $i \in \{0, 1\}$ in a state $S_t = (x_0, x_1)$ is the *majority species* if $x_i > x_{1-i}$ holds. In particular, we say that the majority species in the initial configuration S_0 is the *initial majority species*. The species who is not the initial majority species is the *initial minority species*. We say that a configuration (x_0, x_1) has *reached consensus* if $x_0 = 0$ or $x_1 = 0$. In such a configuration, we say that the species *i* has won if $x_i > 0$ and $x_{1-i} = 0$. i.e., the species *i* is the majority species in the configuration (x_0, x_1) . We define the *consensus time* of the chain S to be

$$T(\mathbf{S}) = \inf\{t : \mathbf{S}_t \text{ has reached consensus}\}\$$

the minimum time until (at least) one of the species goes extinct. We say that the chain S *reaches majority consensus* if T(S) is finite and the initial majority species has positive count at time T(S). We define $\rho(S)$ to be the probability that the chain S reaches majority consensus.

Without loss of generality, we assume throughout that the first species is the initial majority species, i.e., $S_0 = (a, b)$ for some a > b > 0. We use n = a + b to denote the size of the total initial population. For every time step $t \ge 0$, we define $\Delta_t = S_{t,0} - S_{t,1}$ to be the difference between the counts of the initial majority and the initial minority species. In particular, Δ_0 gives the initial gap between the initial majority and minority species.

1.4 Our contributions

In this work, we are interested in how the probability $\rho(\mathbf{S})$ of majority consensus behaves as a function of the initial gap Δ_0 under stochastic kinetics. To this end, we say that $\Psi(n) \ge 0$ is a *majority consensus threshold* for a Lotka–Volterra model if $\rho(\mathbf{S}) \ge 1 - 1/n$ if and only if $\Delta_0 \ge \Psi$. We identify the asymptotic (and sometimes exact) majority consensus thresholds for two-species competitive Lotka–Volterra systems under different modes of inference competition. Our main results are summarized in Table 1 and are as follows.

Interspecific competition. In systems with interspecific competition and no intraspecific competition (i.e., the case $\alpha > 0$ and $\gamma = 0$) we show the following results. First, for self-destructive interference competition, we show that the majority consensus threshold lies in a polylogarithmic range between $\Omega\left(\sqrt{\log n}\right)$ and $O(\log^2 n)$. This is an *exponential improvement* in the previous upper bound of $O(\sqrt{n \log n})$ for self-destructive competition shown by Cho et al. [19] in the special case with $\delta = 0$ (i.e., a model with no individual death reactions). Our result applies to a much larger class of models: for any choice of β , δ , $\alpha > 0$, the chain reaches majority consensus with high probability provided that the gap is $\Omega(\log^2 n)$. In contrast, we show that if the gap is $o(\sqrt{\log n})$ the chain fails to reach majority consensus with constant probability.

Second, for non-self-destructive interference competition, we show that the majority threshold lies in a polynomial range between $\Omega(\sqrt{n})$ and $O(\sqrt{n \log n})$. This shows an *exponential separation* between competitive Lotka–Volterra models with self-destructive and

Competition	Self-destructive	Non-self-destructive	Reference
Interspecific only Both inter- and intraspecific Intraspecific only	$ \left \begin{array}{c} \Omega(\sqrt{\log n}) - O(\log^2 n) \\ \geq n - 1 \\ \infty \end{array} \right $	$ \begin{array}{c c} \Omega(\sqrt{n}) - O(\sqrt{n \log n}) \\ \geq n - 1 \\ \infty \end{array} $	Sec. 5 and Sec. 6 full paper [34] full paper [34]
Interspecific and $\delta = 0$ No competition ($\alpha = \gamma = 0$)	$O(\sqrt{n\log n})$ $n-1$	$O(\sqrt{n\log n}) (*)$ $n-1$	[19] and [6] [6]

Table 1: Worst-case majority consensus thresholds for different LV models. The first three rows are new results, the last two results are from prior work. (*) The model of [6] is not strictly a special case of the LV model, as it assumes bounded, non-mass-action birth rates.

non-self-destructive interspecific interference competition in these models.

In comparison, recently Andaur et al. [6] gave an upper bound of $O(\sqrt{n \log n})$ for a slightly different model with resource-consumer dynamics and interference competition. However, their proof is only for models without individual death reactions ($\delta = 0$), assumes bounded non-mass-action growth dynamics, and their analysis only guarantees majority consensus with probability $1 - O(1/\sqrt{n})$ and not with true high probability (i.e., probability $1 - 1/n^c$ for any constant c > 0).

Our upper bound holds for any constant $\alpha > 0$ and β , $\delta \ge 0$, i.e., our model also allows for individual death reactions. Moreover, we can show that majority consensus is reached with high probability provided that the gap is $\Omega(\sqrt{n \log n})$. Finally, proof technique can also be applied to the model of Andaur et al. [6] in a straightforward manner, yielding a stronger probability guarantee for majority consensus also in their model with bounded, non-mass-action growth rates.

For both self-destructive and non-destructive interspecific competition, we show that consensus is reached within O(n) events both in expectation and with high probability in the absence of intraspecific competition.

Intraspecific competition. Finally, unlike prior work, we also investigate majority consensus in systems with *intraspecific interference competition*, i.e., competition between the individuals of the same species. We show that systems with intraspecific competition can be have fundamentally different behavior in terms of majority consensus. Namely, we show that such majority consensus thresholds do not always exist.

First, if intraspecific and interspecific competition are equally strong ($\alpha = \gamma$) for self-destructive competition, then the probability of majority consensus is equal to the initial proportion of the majority species. This implies that majority consensus threshold is at n - 1, and that such systems cannot solve majority consensus with high probability (in the true sense). A similar result holds for non-self-destructive competition with $\gamma = 2\alpha$. Second, we also show that systems with only intraspecific interference competition have no majority consensus thresholds: given any gap, the chain fails to reach majority consensus with at least positive constant probability.

1.5 Technical challenges

For the stochastic LV models without intraspecific competition, our analysis uses a new technical approach for bounding the noise arising from individual reactions and asymmetric outcomes of nonself-destructive competition. We introduce a new "asynchronous, pseudo-coupling" technique that can be used to bound the behaviour of the two-species process before it reaches consensus using what we call "nice" single-species birth-death chains.

On a high-level, this approach is similar in spirit to the recent coupling techniques of Andaur et al. [6] and Cho et al. [19], which couple a two-species chain with easier to analyze single-species birth-death chains. However, there are key differences, and our approach is more general.

Limitations of prior coupling approaches. The existing techniques for microbial majority consensus [6, 19] are closely tailored to specific model variants they consider. When trying to use these techniques to the LV models, both of the previously existing coupling techniques critically break when the process is allowed to have certain stochastic events that may occasionally benefit the minority species (e.g., individual death reactions of the majority species decreasing the discrepancy).

Cho et al. [19] use elaborate coupling arguments between several different chains to first establish a bound on the extinction time, and then couple the two-species chain to parallel birth-only Yule processes to bound the probability of reaching majority consensus using the regularized incomplete beta function. In addition to the actual coupling argument, the proof critically assumes that no individual deaths can occur and that competition is self-destructive. Moreover, the bound obtained with this technique is exponentially far from the real bound, as we show. The submartingale argument of Andaur et al. [6] allows for non-self-destructive competition at the cost of forbidding individual death reactions and losing the guarantee of true with high probability majority consensus.

Overview of new techniques. We resolve the limitations of prior techniques by moving from usual Markovian couplings that update the single-species chain and the two-species chain simultaneously to a new type of an "asynchronous pseudo-coupling". That is, our construction is not strictly speaking a coupling between the two-species and single-species processes, as the coupled chains are not updated in lock-step. Despite this complication, we can still carefully extract useful information about the distribution of events in the two-species chain using stopping time arguments.

PODC '24, June 17-21, 2024, Nantes, France

This is achieved in part by also deriving a much more detailed accounting of the (demographic) noise arising from birth reactions of the minority species and death reactions of the majority species. We abstract the properties of the single-species chain required by the pseudo-coupling, and providing a more fine-grained analysis of the distribution of events in the single-species chain.

Furthermore, our technique makes far fewer assumptions about the structure of the two-species system and properties of the dominating chains. Therefore, we suspect that our approach can be further extended to analyze more realistic models beyond Lotka– Volterra dynamics such as models incorporating general unbounded resource-consumer dynamics (i.e., exploitative competition) in addition to interference competition. Indeed, our new techniques give both a simpler analysis and stronger guarantees of the previously studied microbial majority consensus dynamics [6, 19].

Our approach in a nutshell. Our main conceptual approach is to analyze the probability of reaching majority consensus $\rho(S)$ by considering the following random-length sum defined by

$$F(\mathbf{S}) = \sum_{t=1}^{T(\mathbf{S})} F_t,$$
 (3)

where $F_t = \Delta_{t-1} - \Delta_t$. Note that $F_t > 0$ if the discrepancy changed in favor of the initial minority species and $F_t < 0$ if the discrepancy changed in favor of the initial majority species at time step *t*.

The random variable F(S) counts how much the initial gap changes in favor of the initial *minority* species. This captures the effects of *demographic noise* on the difference between the initial majority and minority species before consensus is reached. Provided that T(S) is finite with probability 1, we have that $\rho(S) =$ $\Pr[F < \Delta_0] = 1 - \Pr[F \ge \Delta_0]$, that is, we can connect the probability of reaching majority consensus with an given initial gap to the cumulative density function of *F*. Since the random variables T(S)and $F_1, \ldots, F_{T(S)}$ are dependent, getting a handle on the distribution of *F* can be non-trivial. Moreover, in general $(F_t)_{t\ge 0}$ does not give a (sub)martingale, so it is not clear if one can easily employ readily available martingale concentration bounds to analyze the process.

To bound *F*, we observe that the demographic noise $F = F_{ind} + F_{comp}$ can be divided to two components, where F_{ind} is the noise arising out of reproductive dynamics (i.e., individual birth and death reactions) and F_{comp} is the noise arising from competition dynamics. This allows us to obtain a much more refined bound on total noise in the system by studying both components separately, which previous techniques were unable to do.

Intuitively, under self-destructive competition, there is only noise from the individual events, which are fairly rare under the mass action dynamics. This noise turns out to be "polylogarithmic". On the other hand, under non-self-destructive competition, there is additional noise coming from the chance outcomes of the competition events. We show there are O(n) competition events before extinction. The outcomes of the competition events are (intuitively) similar to a random walk on the line. The key challenge is that the events causing different types of noise are interleaved.

1.6 Open problems and future directions

We suspect that the bound of $O(\log^2 n)$ for self-destructive competition is not tight for all parameter ranges (e.g., it clearly is not tight in the case $\beta = \delta = 0$). The natural step is to identify the tight asymptotic majority consensus threshold in the case α , β , $\delta > 0$. Second, while we focus on Lotka–Volterra models, we believe our techniques are applicable to a wider variety of stochastic population models beyond the competitive, two-species Lotka–Volterra model.

We show that in the worst-case, intraspecific competition can badly hinder the probability of reaching majority consensus. For example, with self-destructive competition, we identify that the majority consensus threshold is $O(\log^2 n)$ with $\alpha > 0$ and $\gamma = 0$ and n - 1 when $\alpha = \gamma > 0$. An interesting open problem is to identify at which point does the majority consensus threshold enter a sublinear or polylogarithmic regime when $\alpha > 0$ is a fixed constant and $\gamma \rightarrow 0$.

On a conceptual level, our results suggest interesting *computational trade-offs* in the design of microbial circuits: majority consensus protocols utilizing self-destructive competition seem to be less sensitive to demographic noise than protocols based on non-selfdestructive competition. However, the trade-off is that the former is much more costly at the individual level. From a bioengineering perspective, it would be interesting to investigate if circuits utilizing non-self-destructive interference are evolutionarily more stable than circuits utilizing self-destructive competition.

Finally, one may surmise that the computational trade-offs implied by this work are solely theoretical. For example, idealized well-mixed, mass action Lotka–Volterra models do not capture the full range of microbial dynamics. However, such models have been experimentally observed to provide reasonable approximations in many situations [24, 38, 42] also in the context of synthetic microbial consortia [5, 48]. Regardless, future work should further investigate how relaxing the model assumptions influence the predicted computational trade-offs and experimentally test this. This requires developing new proof techniques for dealing with nonmass action models and/or explicit spatial dynamics.

1.7 Structure of the paper

We start with preliminaries in Section 2. In Section 3, we establish some useful results regarding the behavior of certain single-species chains, which we then use to bound the behavior of competitive two-species Lotka–Volterra chains in Section 4. Section 5 and Section 6 give bounds for majority consensus thresholds under selfdestructive and non-self-destructive interference competition.

Full proofs of all results can be found in the full version of this paper [34].

2 PRELIMINARIES

We use $\mathbb{N} = \{0, 1, ...\}$ to denote the set of nonnegative integers. For any n > 0, we use log *n* for the base-2 logarithm of *n* and ln *n* for the natural logarithm of *n*. For any integer n > 0, we write H_n to denote the *n*th Harmonic number given by the sum $\sum_{i=1}^{n} 1/i$, which is lower-bounded by ln *n*.

Concentration bounds. A random variable X is a *Bernoulli* random variable if X only takes values in $\{0, 1\}$. A random variable X is said

to be O(f(n)) with high probability if for any fixed constant $k \ge 0$ there exists a constant C(k) such that $\Pr[X \ge C(k)f(n)] \le 1/n^k$. To establish with high probability bounds, we use two standard results on the concentration of random sums.

LEMMA 2.1 (CHERNOFF BOUNDS). Let $X = X_1 + \cdots + X_n$ be the sum of n independent Bernoulli random variables. Then

- (1) $\Pr[X \ge (1 + \varepsilon) \cdot \mathbf{E}[X]] \le \exp(-\mathbf{E}[X] \cdot \varepsilon^2/(2 + \varepsilon))$ for any $\varepsilon > 0$, and
- (2) $\Pr[X \le (1 \varepsilon) \cdot \mathbb{E}[X] \le \exp(-\mathbb{E}[X] \cdot \varepsilon^2/2)$ for any $0 < \varepsilon < 1$.

We will also make use of a special case of Hoeffding's inequality for random variables restricted to the range [-1, 1].

LEMMA 2.2 (HOEFFDING'S INEQUALITY). Let $X = X_1 + \cdots + X_n$ be the sum of n independent random variables, where $X_i \in [-1, 1]$. Then for any $t \ge 0$

$$\Pr\left[|X - \mathbb{E}[X]| \ge t\right] \le 2 \cdot \exp\left(-\frac{2t^2}{n}\right).$$

For our lower bounds, we also make use of the following *anticoncentration bound* implied by the Central Limit Theorem.

LEMMA 2.3. Let $\varepsilon \in (0, 1)$ be a constant and X be the sum of n i.i.d. random variables X_1, \ldots, X_n with mean 0 and variance 1. Then there is a constant $\theta = \theta(\varepsilon) > 0$ such that for any sufficiently large n, we have $\Pr[X > \theta\sqrt{n}] \ge \varepsilon$.

Stochastic domination and couplings. Let X_1 and X_2 be two nonnegative random variables. If $\Pr[X_2 \ge x] \ge \Pr[X_1 \ge x]$ for all $x \ge$ 0, then we write $X_1 \preceq X_2$ and say that X_2 stochastically dominates X_1 . The random variable $(\widehat{X_1}, \widehat{X_2})$ is said to be a *coupling* of X_1 and X_2 if the distribution of $\widehat{X_i}$ is the same as the distribution X_i , that is, $\Pr[X_i \ge x] = \Pr[\widehat{X_i} \ge x]$ for any $x \ge 0$. We make use of the following simple lemma.

LEMMA 2.4. Let $X = X_1 + \cdots + X_n$ be a sum of (not necessarily independent) Bernoulli random variables and $Y = Y_1 + \cdots + Y_n$ be a sum of independent Bernoulli random variables.

- (1) If $\Pr[X_i = 1 \mid X_1, ..., X_{i-1}] \le \Pr[Y_i = 1]$ for each $1 \le i \le n$, then $X \le Y$.
- (2) If $\Pr[X_i = 1 | X_1, ..., X_{i-1}] \ge \Pr[Y_i = 1]$ for each $1 \le i \le n$, then $Y \le X$.

3 BOUNDS FOR NICE SINGLE-SPECIES CHAINS

Let $p, q: \mathbb{N} \to [0, 1]$ such that $p(n) + q(n) \le 1$ for all $n \ge 0$. The birth-death chain defined by p and q is the discrete-time Markov chain $N = (N_t)_{t \in \mathbb{N}}$ on the state space \mathbb{N} , where in each step the chain goes from state n to n + 1 with *birth probability* p(n), to state n - 1 with *death probability* q(n). The probability h(n) = 1 - p(n) - q(n) is the *holding probability* of the chain in state n. A state x is *absorbing* if p(x) = q(x) = 0.

We assume that p(n) > 0 and q(n) > 0 for all n > 0 and p(0) = q(0) = 0 so that 0 is the unique absorbing state. The *absorption time* or *extinction time* of a chain N is $E(N) = \min\{t : N_t = 0\}$, that is, the minimum time until the chain reaches the unique absorbing state. We say the chain is *nice* if there exist constants C, D > 0 such that $p(n) \le C/n$ and $q(n) \ge D$ for all n > 0.

Bounds on number of births for nice chains. For any nice chain started, we will bound the extinction time E(N) of a chain and the number B(N) of birth events that occur before extinction. We assume throughout that $N_0 = n$, and with a slight abuse of notation, we write E(N) = E(n) and B(N) = B(n) for such a chain N. We first asymptotically bound the extinction time. The lower bound follows immediately from the fact that the chain needs to decrement at least n times to reach state 0. For the upper bound, the result follows from a known result for the absorption time of discrete-time birth-death processes; see [58, Theorem 3.1] and [6, Lemma 3].

LEMMA 3.1. For any nice chain started in state n, its expected extinction time is $\mathbf{E}[E(n)] = \Theta(n)$.

Equipped with the above lemma, we can bound the number of birth events before extinction.

LEMMA 3.2. For any nice chain, the expected number of births satisfies $\mathbf{E}[B(n)] \in O(\log n)$.

With the bound on expected number of births, some calculations and the application of Markov's inequality and Chernoff bounds yield the following claims for all sufficiently large *n*.

LEMMA 3.3. Let k > 0. For any nice chain, there exists a C(k) such that $\Pr[B(n) \ge C(k) \cdot \log^2 n] \le 1/n^k$.

LEMMA 3.4. Let k > 0. For any nice chain, there exists a $\theta(k)$ such that $\Pr[E(n) \ge \theta(k) \cdot n] \le 1/n^k$.

4 DOMINATING CHAINS FOR LOTKA-VOLTERRA SYSTEMS

We now introduce a "pseudo-coupling" of single-species birth death chains and two-species Lotka–Volterra chains that can be used to *over-approximate* the consensus time and the number of "bad" individual events that can decrease the gap. Unlike previous "dominating chain" approaches [6, 19], which consider restricted choices of rate parameters, our approach works with any choice of positive parameters β , δ , α_0 , and α_1 . In particular, we allow individual death reactions with $\delta > 0$ and do not assume symmetric interference competition, i.e., $\alpha_0 \neq \alpha_1$ is possible. The condition for the dominating chain is also simpler; this comes with the cost that we do not get a coupling in the strict sense.

4.1 The chain domination lemma

Let $\mathbf{S} = (\mathbf{S}_t)_{t\geq 0}$ be a two-species chain. We say that an event at time step *t* is *bad* if it decreases the gap between the minimum and maximum species, i.e., if $\Delta_{t+1} = \Delta_t - 1$ holds *conditioned on* that the minimum species has positive count. Let P(a, b) be the probability that the chain **S** in state (a, b) has a bad *non-competitive* reaction. We say that an event at time step *t* is *good* if the species with the smaller count decreases in count. Let Q(a, b) be the probability that the chain **S** in state (a, b) has a *good* reaction. Note that the probability of bad competitive events is 1 - P(a, b) - Q(a, b).

Let $N = (N_t)_{t \ge 0}$ be a single-species birth-death chain defined by birth function p and death function q. We say that N is a *dominating chain* for **S** if for any $a, b \ge 0$ we have

(D1) $P(a, b) \le p(\min\{a, b\})$, and (D2) $Q(a, b) \ge q(\min\{a, b\})$.

LEMMA 4.1 (CHAIN DOMINATION LEMMA). Suppose the singlespecies chain $N = (N_t)_{t \ge 0}$ is a dominating chain for the two-species chain $S = (S_t)_{t \ge 0}$. If $N_0 \ge \min S_0$, then

(a) $T(\mathbf{S}) \leq E(N)$, and (b) $J(\mathbf{S}) \leq B(N)$,

where T(S) is the consensus time of S, J(S) the number of bad noncompetitive reactions in the chain S, E(N) is the extinction time of N, and B(N) the total number of births in the chain N.

To prove the lemma, we construct a Markov chain $(\widehat{\mathbf{S}}, \widehat{N})$ on the state space $\mathbb{N}^2 \times \mathbb{N}$. Strictly speaking, this will *not* be a coupling of **S** and *N*, as only the marginal distribution of \widehat{N} will equal the distribution of *N*. However, for each $t \ge 0$, we show how to extract random variables from $\widehat{\mathbf{S}}$ whose marginal distribution equals that of \mathbf{S}_t almost surely (i.e., with probability 1) for every $t \ge 0$.

The pseudo-coupling. We construct the Markov chain $(\widehat{S}, \widehat{N})$ as follows. We set $\widehat{S}_0 = S_0$ and $\widehat{N}_0 = N_0 \ge \min S_0$. Let $(\xi_t)_{t\ge 0}$ be a sequence of i.i.d. random variables distributed uniformly at random in the unit interval [0, 1). We determine the state of the chain for step t + 1 inductively:

- (1) Let $\widehat{N}_t = m$. We set \widehat{N}_{t+1} as follows:
 - (a) If $\xi_t \in [0, p(m))$, then set $\widehat{N}_{t+1} = \widehat{N}_t + 1 = m + 1$.
 - (b) If $\xi_t \in [1-q(m), 1)$, then set $\widehat{N}_{t+1} = \widehat{N}_t 1 = m 1$.
 - (c) Otherwise, set $\widehat{N}_{t+1} = \widehat{N}_t$. (A holding step occurs.)
- (2) Let S_t = (a, b). If min S_t ≠ N_t, we set S_{t+1} = S_t. Otherwise, we set S_{t+1} as follows:
 - (a) If $\xi_t \in [0, P(a, b))$, then sample \widehat{S}_{t+1} conditioned on the event that $\widehat{S}_t = (a, b)$ and the t^{th} event is a bad non-competitive event.
 - (b) If $\xi_t \in [1 Q(a, b), 1)$, then sample S_{t+1} conditioned on the event that $S_t = (a, b)$ and that the t^{th} event is a good competitive interaction.
 - (c) Otherwise, if $\xi_t \in [P(a, b), 1 Q(a, b))$, then sample S_{t+1} conditioned on the event that $S_t = (a, b)$ and that the t^{th} event is not a good competitive interaction or a bad non-competitive event.

One easily checks that, by construction, the marginal distribution of \widehat{N}_t is equal to the distribution N_t . Moreover, $\widehat{S}_{t+1} \neq \widehat{S}_{t+1}$ can hold only for steps, where $\widehat{S}_t = \widehat{N}_t$. So \widehat{S}_t does not necessarily have the same marginal distribution as S_t . For any $t \ge 0$, define $J_t(\widehat{S})$ to be the number of bad non-competitive events that have occurred in \widehat{S} by time *t* and $B_t(\widehat{N})$ to be the number of birth events that have occurred in \widehat{N} by time *t*.

We say that an event occurs *almost surely* if it happens with probability 1. For example, we say that N goes extinct almost surely if the extinction time of N is finite with probability 1.

LEMMA 4.2. If $\min \widehat{S}_0 = \widehat{N}_0$, then $\min \widehat{S}_t \leq \widehat{N}_t$ and $J_t(\widehat{S}) \leq B_t(\widehat{N})$ almost surely for all $t \geq 0$.

PROOF. We proceed by induction on $t \ge 0$. The base case t = 0is trivial. For the induction step, suppose min $\widehat{S}_t \le \widehat{N}_t$ and $J_t(\widehat{S}) \le$ PODC '24, June 17-21, 2024, Nantes, France

 $B_t(\widehat{N})$ almost surely. By the law of total probability, we have

$$\Pr[\min \widehat{\mathbf{S}}_{t+1} \le \widehat{N}_{t+1}] =$$

$$\Pr[\min \widehat{\mathbf{S}}_{t+1} \le \widehat{N}_{t+1} \mid \min \widehat{\mathbf{S}}_t = \widehat{N}_t] \cdot \Pr[\min \widehat{\mathbf{S}}_t = \widehat{N}_t] +$$

$$\Pr[\min \widehat{\mathbf{S}}_{t+1} \le \widehat{N}_{t+1} \mid \min \widehat{\mathbf{S}}_t < \widehat{N}_t] \cdot \Pr[\min \widehat{\mathbf{S}}_t < \widehat{N}_t].$$

The condition min $\widehat{\mathbf{S}}_t < \widehat{N}_t$ implies min $\widehat{\mathbf{S}}_{t+1} \le \widehat{N}_{t+1}$ since $\widehat{\mathbf{S}}_{t+1} = \widehat{\mathbf{S}}_t$ and $\widehat{N}_{t+1} \le \widehat{N}_t - 1$ by the transition probabilities of $(\widehat{\mathbf{S}}, \widehat{N})$, as given by rules (1) and (2). For min $\widehat{\mathbf{S}}_t = \widehat{N}_t$, writing $\widehat{N}_t = m$ and $\widehat{S}_t = (a, b)$, we distinguish three cases via the law of total probability:

- (1) $\xi_t \in [0, P(a, b))$
- (2) $\xi_t \in [1 q(m), 1)$
- (3) $\xi_t \in [P(a, b), 1 q(m)).$

In case (1), by property (D1) of dominating chains, we have $\xi_t \leq P(a, b) \leq p(m)$. Therefore, by rule (1a) we have $\widehat{N}_{t+1} = \widehat{N}_t + 1$ and $\min \widehat{S}_{t+1} \leq \min \widehat{S}_t + 1$ by rule (2a). Combining these inequalities implies $\min \widehat{S}_{t+1} \leq \widehat{N}_{t+1}$. In case (2), since $\xi_t \geq 1 - q(m) \geq 1 - Q(a, b)$ by (D2), we have $\widehat{N}_{t+1} = \widehat{N}_t - 1$ by rule (1b) and $\min \widehat{S}_{t+1} = \min \widehat{S}_t - 1$ by rule (2b). In case (3), we have $\xi_t \in [P(a, b), 1 - q(m)) \subseteq [p(m), 1 - Q(a, b))$. Thus $\widehat{N}_{t+1} \geq \widehat{N}_t$ and $\min \widehat{S}_{t+1} \leq \min \widehat{S}_t$. Therefore, in all cases, the probability of $\min \widehat{S}_{t+1} \leq \widehat{N}_{t+1}$ is equal to 1. This shows the first inequality.

For the second inequality, we proceed in a similar manner and note that a bad non-competitive event can occur in $\widehat{\mathbf{S}}$ only in the case (1). But then by rule (1a) and (2a) we get that $J_{t+1}(\widehat{\mathbf{S}}) = J_t(\widehat{\mathbf{S}}) + 1 \leq B_t(\widehat{N}) + 1 = B_{t+1}(\widehat{N})$. This completes the induction.

For every positive integer k, define the random time $\tau(k)$ as the k^{th} smallest time t such that min $\widehat{\mathbf{S}}_t = \widehat{N}_t$. This is a stopping time of the Markov chain $(\widehat{\mathbf{S}}, \widehat{N})$ [14, Chapter 2, Definition 7.1].

LEMMA 4.3. Suppose $\widehat{S}_0 = S_0$ and $\min \widehat{S}_0 = \widehat{N}_0$. If N goes extinct almost surely, then $\tau(k + 1) < \infty$ almost surely and the marginal distribution of $\widehat{S}_{\tau(k+1)}$ equals the distribution of S_k for every $k \ge 0$.

PROOF. We proceed by induction on *k*. For the base case, by the hypothesis on the initial states, we have $\tau(1) = 0 < \infty$ and $\widehat{S}_{\tau(1)} = \widehat{S}_0 = S_0$. This proves the base case.

For the induction step, assume that $k \ge 1$ and that $\widehat{S}_{\tau(k)}$ and S_{k-1} are equally distributed. By the strong Markov property [14, Chapter 2, Theorem 7.1], the process $(\widehat{S}_{\tau(k)+t}, \widehat{N}_{\tau(k)+t})_{t\ge 0}$ is a Markov chain with the same transition probabilities as $(\widehat{S}, \widehat{N})$. By definition of $\tau(k)$, we have min $\widehat{S}_{\tau(k)} = \widehat{N}_{\tau(k)}$. By the definition of the transition probabilities of $(\widehat{S}, \widehat{N})$ for this case, the states $\widehat{S}_{\tau(k)+1}$ and S_{k+1} are equally distributed. We have that

$$\widehat{\mathbf{S}}_{\tau(k)+t} = \widehat{\mathbf{S}}_{\tau(k)+1} \text{ for all } 1 \le t < \tau(k+1) - \tau(k) + 1$$

$$\tag{4}$$

almost surely by the definition of $\tau(k+1)$ and the transition probabilities of $(\widehat{S}, \widehat{N})$. In particular, if $\tau(k+1) < \infty$, we deduce that $\widehat{S}_{\tau(k+1)}$ has the same distribution as $\widehat{S}_{\tau(k)+1}$, which, in turn, has the same distribution as S_k . It remains to prove that $\tau(k+1) < \infty$ almost surely. By Lemma 4.2 and (4),

$$\min \widehat{S}_{\tau(k)+1} < \widehat{N}_{\tau(k)+t} \text{ for all } 1 \le t < \tau(k+1) - \tau(k) + 1.$$
 (5)

Since *N* goes extinct almost surely, we have $N_t \rightarrow 0$ almost surely. Since \widehat{N}_t and N_t are equally distributed, $\lim_{t\to\infty} \widehat{N}_{\tau(k)+t} = 0$ almost surely. Thus, there exists some $t \ge 1$ such that $\min \widehat{S}_{\tau(k)+1} \ge \widehat{N}_{\tau(k)+t}$ almost surely, as $\min \widehat{S}_{\tau(k)+1} \ge 0$. This contradicts $\Pr[\tau(k+1) = \infty] > 0$, so this probability is hence zero and so $\Pr[\tau(k+1) < \infty] = 1$.

Proof of the chain domination lemma (Lemma 4.1). We are now ready to give the proof of the chain domination lemma. For the first claim, observe that by Lemma 4.3, the marginal distribution of $(\widehat{S}_{\tau(k+1)})_{k\geq 0}$ has equal distribution with the chain $(S_k)_{k\geq 0}$ almost surely. In particular, since $\tau(k + 1) \geq k$ always and the minimum cannot increase after hitting 0, we have that

$$\begin{aligned} &\Pr[\min \mathbf{S}_k > 0] = \Pr[\min \widehat{\mathbf{S}}_{\tau(k+1)+1} > 0] \\ &\leq \Pr[\min \widehat{\mathbf{S}}_k > 0] \le \Pr[\widehat{N}_k > 0], \end{aligned}$$

as by Lemma 4.2 the event min $\widehat{S}_k \leq \widehat{N}_k$ holds almost surely. This implies that $T(\widehat{\mathbf{S}}) \leq E(\widehat{N})$ almost surely. Therefore, for the consensus time, we get that $T(\mathbf{S})$ is stochastically dominated by E(N), as

$$\Pr[T(\mathbf{S}) > k] \le \Pr[T(\widehat{\mathbf{S}}) > k] = \Pr[E(\widehat{N}) \ge T(\widehat{\mathbf{S}}) > k]$$
$$\le \Pr[E(\widehat{N}) > k] = \Pr[E(N) > k].$$

This establishes the first claim (a) that $T(S) \leq E(N)$.

The next step is to show the second claim, i.e., $J(S) \leq B(N)$, where J(S) is the number of bad non-competitive reactions that occur in S and B(N) is the number of births that occur in chain N. By Lemma 4.2 and Lemma 4.3, we have for any $k, x \geq 0$ that

$$\begin{aligned} \Pr[J_k(\mathbf{S}) > x] &= \Pr[J_{\tau(k+1)}(\widehat{\mathbf{S}}) > x] \\ &\leq \Pr[B_{\tau(k+1)}(\widehat{N}) \ge J_{\tau(k+1)}(\widehat{\mathbf{S}}) > x] \\ &\leq \Pr[\tau_{(k+1)}(\widehat{N}) > x] \le \Pr[B(\widehat{N}) > x] \\ &= \Pr[B(N) > x]. \end{aligned}$$

That is, for any $k \ge 0$, we have $J_k(S) \preceq B(N)$ and so $J(S) = \sup\{J_k(S) : k \ge 0\} \le B(N)$.

4.2 Constructing a dominating chain for competitive Lotka–Volterra systems

Let be a two-species Lotka–Volterra chain S with $\gamma = 0$ and $\alpha_{\min} = \min{\{\alpha_0, \alpha_1\}} > 0$. We will now define a *nice* birth-death chain *N* that is a dominating chain for S. By the chain domination lemma and results for nice chains given in Section 3, this implies that the consensus time of S is O(n) in expectation and with high probability and that the number of bad non-competitive events is $O(\log n)$ in expectation and $O(\log^2 n)$ with high probability. Let $\vartheta = \beta + \delta$, $\alpha = \alpha_0 + \alpha_1$. Define the birth probability function *p* and death probability function *q* as

$$p(m) = \frac{\vartheta}{\alpha m + \vartheta}$$
 and $q(m) = \frac{\alpha_{\min}}{\alpha + 2\vartheta}$

for all m > 0 and p(0) = q(0) = 0. Since p attains its maximum at p(1), we have that $p(m) + q(m) \le p(1) + q(m) \le 1$ for all $m \ge 0$. Let $(N_t)_{t\ge 0}$ be the birth-death chain defined by p and q and fix $N_0 \ge \min S_0$. Since $p \in O(1/m)$ and q(m) is a positive constant for m > 0, the chain is nice.

LEMMA 4.4. The nice birth-death chain N defined by p and q is a dominating chain for S.

The following is a straightforward consequence of the chain domination lemma (Lemma 4.1), Lemma 4.4, and the results given in Section 3.

THEOREM 4.5. Let S be a Lotka–Volterra system with $\alpha_{min} > 0$ and $\gamma = 0$ and an initial population of size n > 0. The consensus time T(S) and the number J(S) of bad non-competitive events satisfy

(a) $\mathbf{E}[T(\mathbf{S})] \in O(n)$ and $T(\mathbf{S}) \in O(n)$ with high probability, and (b) $\mathbf{E}[J(\mathbf{S})] \in O(\log n)$ and $J(\mathbf{S}) \in O(\log^2 n)$ with high probability.

PROOF. By construction, *N* is a nice birth-death chain and a dominating chain for the two-species chain **S**. Set $N_0 = n$. By Lemma 4.1, we have that $T(\mathbf{S}) \leq E(N)$ and $J(\mathbf{S}) \leq B(N)$. The claim (a) follows now from the fact that $E(N) \in \Theta(n)$ in expectation and with high probability by Lemma 3.1 and Lemma 3.4. The claim (b) follows from Lemma 3.2 and Lemma 3.3.

5 LOTKA-VOLTERRA SYSTEMS WITH SELF-DESTRUCTIVE COMPETITION

In this section, we show that the threshold for high probability majority consensus lies between $\Omega(\sqrt{\log n})$ and $O(\log^2 n)$ for neutral Lotka–Volterra systems with self-destructive interspecific competition and no intraspecific competition as given by Eq. (1) with $\alpha > 0$, $\beta, \delta \ge 0$ and $\gamma = 0$.

Demographic noise under self-destructive competition. Under selfdestructive competition, competitive events cannot change the difference between the two species. Thus, only demographic noise from the individual birth and death reactions play a role. Let I(S)denote the total number of such events before the chain reaches consensus by time T(S). Let $F_t = \Delta_{t-1} - \Delta_t$. Recall from Eq. (3) that the probability of reaching majority consensus is given by $\rho(S) = 1 - \Pr[F \leq \Delta_0]$, where

$$F = \sum_{t=1}^{T(S)} F_t = \sum_{k=1}^{I(S)} F_{t(k)}$$
(6)

and t(k) is the time when the *k*th non-competitive event occurs. The equality follows from the fact that competitive reactions cannot change the gap under self-destructive competition and for any time step *t* for which a competitive interaction occurs we have $F_t = 0$.

5.1 Upper bound for self-destructive competition

THEOREM 5.1. Let $k \ge 0$ be a constant. Suppose S is a Lotka– Volterra system with self-destructive competition and $n = S_0 + S_1$. There is a constant C(k) such that if $\Delta_0 > C(k) \log^2 n$, then

$$\rho(\mathbf{S}) \ge 1 - 1/n^k.$$

PROOF. By Theorem 4.5, the consensus time T(S) is finite with probability one. From Eq. (6) we have that the probability of reaching majority consensus is given by $\rho(S) = 1 - \Pr[F \le \Delta_0]$. Now

$$1 - \rho(\mathbf{S}) = \Pr[F \ge \Delta_0] \le \Pr[J(\mathbf{S}) \ge \Delta_0] \le 1/n^{\kappa}$$

whenever $\Delta_0 \ge C(k) \log^2 n$, where C(k) is the constant given by Theorem 4.5. This is because the J(S) non-competitive reactions

that reduce the gap between (current) minority and majority species will not exceed Δ_0 with high probability.

5.2 Lower bound for self-destructive competition

We now show that with self-destructive competition, a Lotka–Volterra system S can fail to reach majority consensus with constant probability if the initial gap is $o(\sqrt{\log n})$. The idea is to show that when the gap is this small, with at least constant probability, the noise from the individual events will bring the chain into a state (a, a), for some a > 0, where both species have equal counts. From such a state, a system with identical species (i.e., equal rate parameters), both species have equal probability of winning majority consensus (i.e., going extinct last). The next lemma expresses this observation more formally.

LEMMA 5.2. For a Lotka–Volterra system S with identical species,

$$1 - \rho(\mathbf{S}) \ge \frac{1}{2} \cdot \Pr[\Delta_t = 0 \text{ for some } t < T(\mathbf{S})]$$

The next lemma applies to Lotka–Volterra systems with selfdestructive and non-self-destructive competition and establishes a lower bound on the number I(S) of individual events.

LEMMA 5.3. Let $\vartheta = \beta + \delta$, $\alpha > 0$ and $\gamma = 0$. If $\vartheta > 0$, then there exist constants f, g > 0 such that $I(\mathbf{S}) \ge f \log m$ with probability at least $1 - 1/m^g$, where m is the initial count of the minority species.

We are now ready to prove that if the initial gap $\Delta_0 \in o(\sqrt{\log n})$, then Lotka–Volterra systems with self-destructive interspecific competition can fail to reach majority consensus with constant positive probability.

THEOREM 5.4. Suppose **S** is a neutral Lotka–Volterra system with $\beta = \delta$, self-destructive competition $\alpha > 0$, and $\gamma = 0$. Let $\varepsilon > 0$ be a constant. Then there exists a constant $\phi > 0$ such that if $\Delta_0 \le \phi \sqrt{\log n}$, then $\rho(\mathbf{S}) \le 1/2 + \varepsilon$ for all sufficiently large n.

PROOF. Let $S_0 = (m', m)$ be the initial state of the Lotka–Volterra chain, where $m' \ge m > 0$ and $m' \le m + \phi \sqrt{\log n}$ so that the initial gap is $\Delta_0 \le \phi \sqrt{\log m}$. By Lemma 5.3, there is a constant f > 0such that there are $I(S) \ge K = f \ln m$ individual events before the chain reaches consensus with probability at least $1 - 1/m^g$. Let t(k)be the time exactly k > 0 individual events have happened. As before, the probability of reaching majority consensus is given by $\rho(S) = 1 - \Pr[F \ge \Delta_0]$, where

$$F = \sum_{t=1}^{T(S)} F_t = \sum_{k=1}^{I(S)} F_{t(k)}$$

as competitive reactions do not lead to a change in the gap under self-destructive competition. For $1 \le k \le K$, let $X_k = F_{t(k)}$ conditioned on the event that $I(\mathbf{S}) \ge K$. By assumption $\beta = \delta$, so we have

$$\Pr[X_k = 1 \mid \mathbf{S}_{t(k)} = (a, b)] = \frac{\delta a + \beta b}{(\beta + \delta)(a + b)} = \frac{1}{2}$$
$$= \frac{\beta a + \delta b}{(\beta + \delta)(a + b)}$$
$$= \Pr[X_k = -1 \mid \mathbf{S}_{t(k)} = (a, b)]$$

Thus, conditioned on $I(S) \ge K$, the random variables X_1, \ldots, X_K are (conditionally) independent. Moreover, they have mean $\mathbf{E}[X_k] = 0$ and variance $\mathbf{Var}[X_k] = 1$. Let $X = X_1 + \cdots + X_K$. By Lemma 2.3, for any constant $\varepsilon > 0$, we can choose a constant $\theta > 0$ such that

$$\Pr[X > \theta \sqrt{K} \mid I(\mathbf{S}) \ge K] \ge 1 - \varepsilon/2$$

for all sufficiently large *K*. Set $\phi = 3\theta\sqrt{f}$. For large enough *n*, we have $n \leq 3m$ and hence $\phi\sqrt{\log n} \leq \theta\sqrt{K}$. Conditioned on the event $I(S) \geq K$, we have that with probability $1-\varepsilon/2$ the two-species chain reaches some state (a, a), where a > 0. From this point onward, by Lemma 5.2, the probability that the majority species not winning is at least 1/2, as the system is neutral. Therefore, when *n*, and thus *m*, is sufficiently large, the probability to reach majority consensus is at most

$$\rho(\mathbf{S}) \le \Pr[I(\mathbf{S}) < K] + \Pr[X \le \theta \sqrt{K} \mid I(\mathbf{S}) \ge K] + 1/2$$
$$\le 1/m^g + \varepsilon/2 + 1/2 \le 1/2 + \varepsilon. \qquad \Box$$

6 LOTKA-VOLTERRA SYSTEMS WITH NON-SELF-DESTRUCTIVE COMPETITION

In this section, we show that the threshold for high probability majority consensus lies between $\Omega(\sqrt{n})$ and $O(\sqrt{n \log n})$ for Lotka–Volterra systems with non-self-destructive interspecific competition and no intraspecific competition. That is, we consider the model given by the reactions

$$X_i \xrightarrow{\beta} X_i + X_i \qquad X_i \xrightarrow{\delta} \emptyset \qquad X_i + X_{1-i} \xrightarrow{\alpha_i} X_i,$$

where α_i , > 0, β , $\delta \ge 0$ and $i \in \{0, 1\}$. For the upper bound, we allow for non-symmetric competition $\alpha_0 \ne \alpha_1$. That is, the minority species can be a better competitor than the majority species.

Unlike in the previous section with self-destructive competition, when we have non-self-destructive competition, also the competition events give arise to noise which influences the gap between the two species. In particular, there will be $\Theta(m)$ competition events in a system with initial minority of size *m*, so the noise term *F* will essentially be $\Omega(\sqrt{m})$ and at most $O(\sqrt{m \log m})$ as we will see.

6.1 Upper bound

THEOREM 6.1. Suppose S is a Lotka–Volterra system with non-selfdestructive interspecific competition and $S_0+S_1 = n$. For any constant $k \ge 0$, there is a constant $\theta(k)$ such that if $\Delta_0 > \theta(k) \cdot \sqrt{n \log n}$, then $\rho(S) \ge 1 - 1/n^k$.

PROOF. Let I(S) be the number of individual events before the chain reaches consensus and K(S) be the number of competitive events before the chain reaches consensus. Clearly, T(S) = I(S) + K(S). Now

$$F = \sum_{t=1}^{T(S)} F_t = X + Y$$
, where $X = \sum_{i=1}^{I(S)} X_i$ and $Y = \sum_{i=1}^{K(S)} Y_i$

where *F* and *F*_t are defined as before in Eq. (3), and *X*_i is the outcome of the *i*th non-competitive event and *Y*_i the outcome of the *i*th competitive event. That is, *X*_i = 1 if the gap between the initial minority and majority species decreases during the *i*th non-competitive event before the chain reaches consensus, and *X*_i = -1 otherwise. Similarly, *Y*_i = 1 if the gap between the initial minority

Matthias Függer, Thomas Nowak, and Joel Rybicki

and majority species decreases during the *i*th competitive event before the chain reaches consensus, and $Y_i = -1$ otherwise.

Recall that, as before, the probability of majority consensus is $\rho(S) = \Pr[F < \Delta_0]$. By Theorem 4.5(b), we have that $\Pr[J(S) > \theta \sqrt{n \log n}] \le \Pr[J(S) > \theta \log^2 n] \le 1/n^{k+1}$ for some constant $\theta > 0$. That is, there are with high probability $O(\log^2 n)$ events that decrease the gap between the (current) majority and minority species before the chain reaches consensus.

We now show that *Y* is $O(\sqrt{n \log n})$ with high probability. By Theorem 4.5(a), we have that $\Pr[K(S) \ge cn] \le \Pr[T(S) \ge cn] \le 1/n^{k+1}$ for some constant c > 0 and all sufficiently large *n*. Conditioned on the event $K(S) = \ell$, the sum *Y* is a sum of ℓ (conditionally) independent random variables taking values between [-1, 1]. Thus, by the law of total probability and applying Hoeffding's inequality with $t = \sqrt{(k+1)cn \ln n}$, we get

$$\Pr[Y \ge t] = \sum_{\ell=0}^{\infty} \Pr[K(\mathbf{S}) = \ell] \cdot \Pr[Y \ge t \mid K(\mathbf{S}) = \ell]$$

$$\leq \sum_{\ell=t}^{cn} \Pr[K(\mathbf{S}) = \ell] \cdot \Pr[Y \ge t \mid K(\mathbf{S}) = \ell]$$

$$+ \sum_{\ell > cn} \Pr[K(\mathbf{S}) = \ell]$$

$$\leq 2 \cdot \sum_{\ell=t}^{cn} \Pr[K(\mathbf{S}) = \ell] \cdot \exp\left(-\frac{2t^2}{\ell}\right) + \Pr[K(\mathbf{S}) > cn]$$

$$\leq 2cn \cdot \exp\left(-\frac{2t^2}{cn}\right) + 1/n^{k+1}$$

$$\leq \frac{2c}{n^{2k+1}} + 1/n^{k+1} \leq 2/n^{k+1}$$

for all sufficiently large *n*. Note that $t + \theta \sqrt{n \log n} \le \theta(k) \cdot \sqrt{n \log n}$ holds for some sufficiently large constant $\theta(k) \ge \theta'$ depending only on *k*. Therefore, if $\Delta_0 \ge \theta(k) \sqrt{n \log n}$, then

$$1 - \rho(\mathbf{S}) = \Pr[F \ge \Delta_0] \le \Pr[J(\mathbf{S}) + Y \ge \Delta_0]$$

$$\le \Pr[J(\mathbf{S}) \ge \theta \cdot \sqrt{n \log n}] + \Pr[Y \ge t] \le 3/n^{k+1} \le 1/n^k$$

for all sufficiently large n, proving the claim.

6.2 Lower bound

THEOREM 6.2. Let $\varepsilon > 0$ be a constant. Suppose S is a neutral Lotka–Volterra system with $\beta = \delta$, non-self-destructive competition and $\gamma = 0$. Then there exists a constant $\phi > 0$ such that if $\Delta_0 \le \phi \sqrt{n}$, then then $\rho(S) \le 1/2 + \varepsilon$ for all sufficiently large n > 0.

PROOF. Suppose $S_0 = (m + \Delta_0, m)$ is the initial state of the chain S with m > 0 and $\Delta_0 \le \phi \sqrt{m}$, where $\phi > 0$ is a constant we fix later. Now the total initial population size is $n = 2m + \Delta_0 \in \Theta(m)$ and the initial gap is $\Delta_0 \in O(\sqrt{n})$.

Let X_1, \ldots, X_m denote the outcomes of the first *m* events, where $X_i = -1$ if Δ_i decreases in the event and $X_i = 1$ otherwise. By definition, the random variables are independent and identically distributed: $\Pr[X_i = 1] = 1/2 = \Pr[X_i = -1]$ since the competition rates satisfy $\alpha_0 = \alpha_1 > 0$, as the system is neutral (i.e., the species have identical rate parameters) and $\beta = \delta$. Thus, for each $1 \le i \le m$, we have $\mathbf{E}[X_i] = 0$ and $\operatorname{Var}[X_i] = 1$. Letting $X = X_1 + \cdots + X_m$ and

applying Lemma 2.3, we get

$$\Pr[X \ge \Delta_0] = \Pr[X \ge \theta \sqrt{m}] \ge 1 - \varepsilon$$

Thus, before reaching consensus, the chain reaches some state (a, a), where a > 0, with probability at least $1 - \varepsilon$. From this point onward, by Lemma 5.2, the probability that the majority species losing is at least 1/2, as the species have identical birth, death and competition rates. Therefore, when *m* is sufficiently large, the probability to reach majority consensus is at most

$$\rho(\mathbf{S}) \le \Pr[X < \theta \sqrt{m}] + 1/2 \le 1/2 + \varepsilon. \qquad \Box$$

ACKNOWLEDGMENTS

This research was supported by the ANR projects DREAMY (ANR-21-CE48-0003) and COSTXPRESS (ANR-23-CE45-0013).

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