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Spalling rate of concretes subject to combined leaching and external sulfate attack

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ABSTRACT

A spalling rate model is established to estimate the damage of concretes subject to the combined action of leaching and external sulfate attack (ESA). Two sub-models are developed to consider the different damage patterns. The profiles of sulfate and hydroxide ions are obtained from the solution of a dual moving boundary problem (MBP). The supersaturation of ettringite crystal is evaluated from these profiles, the effective stress is calculated through poromechanics for pore crystallization, and the spalling depth is determined using the fracture criterion. The experimental validation confirms the proportionality between the spalling depth and the square root of exposure time, and the models give conservative results but on the correct magnitude order for spalling depth. The parametric analysis shows the foremost impact of aluminate content and sulfate diffusivity on damage rate. The main assumptions in models lead to conservative predictions, but yet the model results are meaningful for engineering use.

1. Introduction

The research on concrete materials subject to the external sulfate attack (ESA) has a lengthy history. The first report of sulfate attack dated back to 1818, and the expansive product was identified as ettringite in 1892[1]. Though there are still some debates today, such as the role of gypsum in material expansion[2, 3] and the physical origin of pore pressure creation[4], the general reaction-expansion mechanism of ESA has been rather clarified: the penetration of sulfate ions from external environments disturbs the pore aqueous equilibrium, resulting in the formation of gypsum and ettringite (AFt)[5, 6]; the formed products refine the pore structure[7, 8], and meanwhile accumulate pore pressure high enough to swell the material and deteriorate the mechanical properties[9, 10]. Among the obtained knowledge, the acceleration nature of leaching in ESA damage has been highlighted: Brown[11] found that ESA in neutral aqueous solution (pH=7) has a faster expansion rate and more loss of strength compared to ESA in aqueous solutions without controlling the acidity (alkalinity); Xiong and Jiang[12] reported more severe loss of Vickers hardness for cement pastes under the combined actions of leaching and ESA than those subject to leaching or ESA alone. In fact, the leaching is the most common accompanying process of ESA in aqueous sulfate-containing environments, such as seawater and groundwater, or in laboratory study with frequent replacement of sulfate solution. Thus, it is essential to take into account this factor for service life prediction of concretes exposed to ESA.

On the basis of available knowledge, the role of leaching in ESA damage of concretes can be summarized as follows: the ion species in pore solution, mainly calcium and hydroxide ions (Ca^{2+} , OH^-), transport outwards to external neutral environments through the aqueous phase; this transport process alters the ionic environment in pore solution and breaks the dissolution equilibria of solid phases of cement-based matrix, including both the cement hydrates such as the portlandite (CH) and the calcium silicate hydrates (CSH), and the products of sulfate reactions such as gypsum and ettringite (AFt) [13, 14, 15]; and meanwhile the dissolution of solid phases changes the pore structure, ionic diffusivity and strength of material [16, 17, 18, 19]. On material level, this aspect has been captured by several models considering the combined actions of leaching and ESA: Bary et al.[20, 21] related the loss of mechanical properties to the CSH decalcification and the formation of expansive products to the extent of calcium

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49 leaching; Cefis and Comi[22] decoupled the chemical and mechanical damages caused by leaching and ESA, and
 50 revealed qualitatively the existence of mechanical damage depth beneath the chemical one; Qin et al.[23] investigated
 51 the impact of ESA and leaching on material expansion through a chemo-transport-damage model and confirmed the
 52 mutual acceleration nature between ESA and leaching. These models elaborated most of knowledge available for ESA
 53 and leaching and reproduced satisfactorily of relevant material expansion results while a generalized and simplified
 54 model for engineering use is still missed. Actually, due to the much larger dimension of concrete surface compared to
 55 the ESA/leaching penetrating depth, the damage pattern in real sulfate-containing environments is usually manifested
 56 as concrete surface spalling rather than volumetric swelling as usually characterized in laboratory [12, 24, 25, 26].
 57 This damage pattern has been addressed by earlier works from Atkinson and Hearne[27] but no leaching effect was
 58 considered.

59
 60 This paper continues to explore this damage pattern for engineering use and attempts to propose simplified
 61 models, in parallel to the sophisticated multi-field modeling, to address the spalling rate of concrete subject to ESA
 62 and leaching. The models will incorporate the best knowledge of sulfate reactions and the pore-level consequence,
 63 and, if possible, obtain analytical solutions instead of numerical ones. In doing so, some simplifications are inevitable,
 64 e.g. the contribution of gypsum to sulfate reactions is neglected. The pore species transport is described by the
 65 sulfate and hydroxide ions through a dual moving boundary problem (MBP). The pore stress is estimated through
 66 poromechanics for ettringite crystallization in pores. Together with the adopted fracture criterion, the spalling depth is
 67 solved. Following this line, this paper is organized as follows: the spalling rate models are presented in Section 2;
 68 the model validations are given in Section 3 for spalling depths; the further parametric analysis is provided in Section 4;
 69 and the concluding remarks are summarized in Section 5.

70 2. Spalling rate model

71 2.1. Statement of problem

72 The combined action of ESA and leaching is illustrated in Figure 1 as a uni-dimensional problem in semi-infinite
 73 domain with given sulfate concentration and neutral pH value on the concrete surface. The concrete is regarded to be
 74 totally saturated by aqueous phases. The ionic transport is described by the inward diffusion of sulfate ions (ESA) and
 75 outward diffusion of hydroxide ions, cf. Figure 1a,b. The existence and stability of Aft crystals, ettringite, is determined
 76 through its supersaturation degree, β_{Aft} , in the aqueous pore solution. The newly formed ettringite after concrete

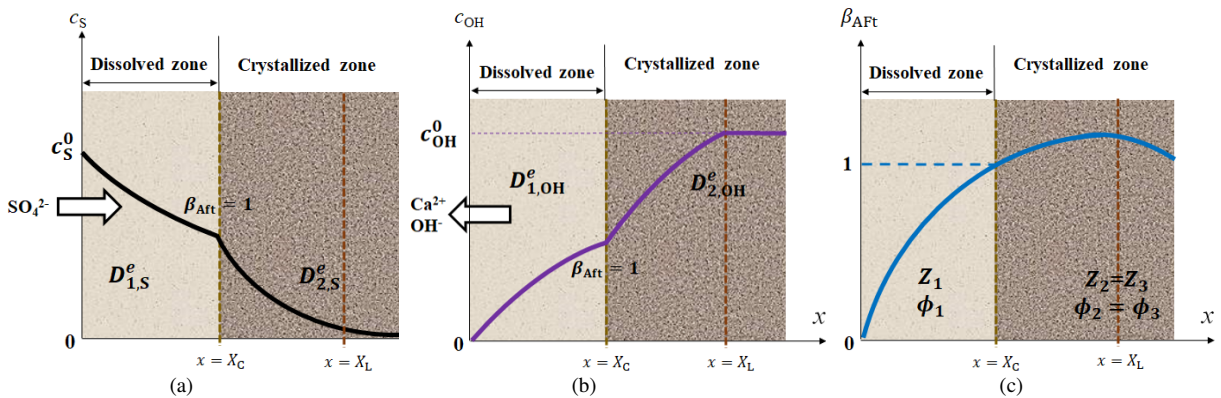


Figure 1: Transport and ionic distribution during ESA and leaching: (a) sulfate ions transport inwards across (Aft-)dissolved zone and (Aft-)crystallized zone; (b) hydroxide ions transport outwards across the crystallized and dissolved zones; (c) supersaturation degree distribution of Aft. The terms $D_{1,2,S,\text{OH}}^e$ stand for the effective diffusivities of SO_4^{2-} and OH^- for dissolved and crystallized zones, $\phi_{1,2}$ refer to the porosities of the two zones, $X_{C,L}$ represent the crystallization and leaching fronts, $c_{S,\text{OH}}^0$ are boundary SO_4^{2-} concentration and OH^- concentration of intact pore solution respectively. The term β_{Aft} denotes the supersaturation of Aft in aqueous solution.

77 hardening is assumed to be produced from the chemical reaction between the external sulfates and the hydrogarnets
78 C_3AH_6 , the most stable form of aluminum hydrates[28],



79 and the supersaturation degree of AFt writes,

$$\beta_{AFt} = \frac{a_{Ca^{2+}}^6 a_{Al(OH)_4^-}^2 a_{OH^-}^4 a_S^3}{K_{AFt}} \quad (2)$$

80 with K_{AFt} standing for dissolution constant of AFt and a_i the ionic activity for species i (mol/m^3). The dilute solution
81 is assumed for concrete pore solution, taking the ionic activity a_i numerically equal to the ionic concentration c_i .

82
83 Under these circumstances, the concrete can be divided into several zones following the sulfate ingress direction: the
84 dissolved zone (Z_1) where the formed ettringite dissolves due to the outward diffusion of OH^- ions with $\beta_{AFt} < 1$ [29],
85 the crystallized zone (Z_2) where enough sulfate ions exist with $\beta_{AFt} \geq 1$. In the deeper part of crystallized zone (Z_2),
86 the pore solution is not yet perturbed by the leaching, i.e. the OH^- ions remain at the intact level for pore solution,
87 corresponding to the intact zone with respect to leaching but not necessarily to sulfate ingress. This part is denoted
88 as the intact zone (Z_3). Some lengths are defined accordingly: X_C refers to the crystallization front, delimiting the
89 zones $Z_{1,2}$; X_L refers to the position of leaching front [30, 31], positioning the Z_3 zone. For easier notation, the zone
90 Z_2 refers to only the part between X_C and X_L hereafter. Using the definition of supersaturation β_{AFt} in Eq.(2), one can
91 make the following judgements: it will increase from the concrete surface to deeper part of Z_1 due to the increasing of
92 OH^- concentration with depth, it will decrease after $x > X_L$ due to the decreasing of sulfate concentration and stable
93 concentrations of other ions, and a maximum supersaturation must exist in Z_2 if the crystallization of ettringite has
94 ever occurred, cf. Figure 1c. In other words, these lengths observe

$$\beta_{AFt} = 1 : x = X_C \quad \text{and} \quad X_C \leq X_L \quad (3)$$

95 To build a solvable problem, we make further assumptions for the leaching and related physical properties for
96 these three zones. For leaching, only the dissolution equilibrium of CH is taken into account and the buffering effect of
97 CSH for Ca^{2+} and OH^- ions in pore solution is neglected. The analytical solutions of leaching front X_L are available
98 following such assumptions [30, 31]. For solid phases, Z_1 contains neither AFt nor CH, Z_2 contains AFt but no CH,
99 and Z_3 contains CH and AFt if the supersaturation in Eq.(2) reaches 1. For porosity in different zones, the porosity
100 ϕ_1 in Z_1 corresponds to the concrete totally leached, the porosity ϕ_2 in Z_2 corresponds to leached concrete with pores
101 refilled by ettringite crystals, and the porosity ϕ_3 in Z_3 to the intact concrete with possible AFt formation. Following
102 this argument, the porosity and transport properties are assumed to observe

$$\phi_1 = \text{const}, \phi_2 = \phi_3 = \text{const} \quad \text{and} \quad D_{1,2,i}^e = \text{const}. \quad (4)$$

103 with $D_{1,2,i}^e$ representing effective diffusivities of i ion in Z_1 and Z_2 respectively.

104 2.2. Diffusion of sulfate and hydroxide ions

105 The mass conservation of sulfate ions writes,

$$\frac{\partial C_S}{\partial t} = D_S^e \frac{\partial^2 c_S}{\partial x^2} \quad \text{with} \quad C_S = \phi c_S + n_S \quad (5)$$

106 where C_S and n_S represent the total sulfates in concrete (mol/m^3 concrete) and the bound sulfates in AFt (mol/m^3 concrete),
107 D_S^e denotes the effective sulfate diffusivity (m^2/s), and ϕ the concrete porosity. Using the second part of equation, the
108 mass conservation can be rewritten using aqueous sulfate concentration c_S as basic variable,

$$\frac{\partial c_S}{\partial t} = D_S \frac{\partial^2 c_S}{\partial x^2} \quad \text{with} \quad D_S = \frac{D_S^e}{\phi + n'_S} \quad (6)$$

109 Here n'_S denotes the derivative of n_S with respect to c_S , and D_S stands for the apparent sulfate diffusivity, combining
 110 the pore diffusion and the chemical AFt formation. Retaining Eq.(6) as basic expression, the sulfate transport in $Z_{1,2}$
 111 zones write,

$$\begin{cases} \frac{\partial c_S}{\partial t} = D_{1,S} \frac{\partial^2 c_S}{\partial x^2}, & x < X_C \quad \text{with} \quad D_{1,S} = \frac{D_{1,S}^e}{\phi_1 + n'_S}, \quad n'_S = 0 \\ \frac{\partial c_S}{\partial t} = D_{2,S} \frac{\partial^2 c_S}{\partial x^2}, & x \geq X_C \quad \text{with} \quad D_{2,S} = \frac{D_{2,S}^e}{\phi_2 + n'_S} \end{cases} \quad (7)$$

112 with $D_{1,2,S}$ denoting the apparent sulfate diffusivities in dissolved and crystallized zones respectively. Further, a linear
 113 relation is assumed between the bound sulfates n_S and aqueous sulfate concentration c_S , leading to constant apparent
 114 sulfate diffusivity in Z_2 , i.e.

$$n_S = \phi_2 r_S c_S n_{C_3AH_6} : \quad D_{2,S} = \text{const} \quad (8)$$

115 where $n_{C_3AH_6}$ is the available content of aluminum hydrates (mol/m³ concrete), and r_S a chemical factor related to AFt
 116 formation. The initial and boundary conditions write,

$$\begin{cases} c_S(x > 0, t = 0) = 0, \quad c_S(x = 0, t > 0) = c_S^0 \\ c_S|_{x=X_C^-} = c_S|_{x=X_C^+}, \quad D_{1,S} \frac{\partial c_S}{\partial x} \Big|_{x=X_C^-} = D_{2,S} \frac{\partial c_S}{\partial x} \Big|_{x=X_C^+} \end{cases} \quad (9)$$

117 with c_S^0 representing boundary sulfate concentration in external environment. The second expression depicts the
 118 concentration continuity and mass conservation of aqueous sulfates across the crystallization front X_C .

119

120 Also derived from the mass conservation, the hydroxide diffusion in the dissolved, crystallized and intact zones
 121 writes,

$$\begin{cases} \frac{\partial c_{OH}}{\partial t} = D_{1,OH} \frac{\partial^2 c_{OH}}{\partial x^2}, & x < X_C \\ \frac{\partial c_{OH}}{\partial t} = D_{2,OH} \frac{\partial^2 c_{OH}}{\partial x^2}, & X_C \leq x < X_L \\ \frac{\partial c_{OH}}{\partial t} = 0, & x \geq X_L \end{cases} \quad (10)$$

122 with $D_{1,2,OH}$ representing the apparent hydroxide diffusivities in $Z_{1,2}$ respectively. The initial and boundary conditions
 123 write,

$$\begin{cases} c_{OH}(x = 0, t > 0) = 0, \quad c_{OH}(x \geq X_L) = c_{OH}^0 \\ c_{OH}|_{x=X_C^-} = c_{OH}|_{x=X_C^+}, \quad D_{1,OH} \frac{\partial c_{OH}}{\partial x} \Big|_{x=X_C^-} = D_{2,OH} \frac{\partial c_{OH}}{\partial x} \Big|_{x=X_C^+} \\ n_{CH} \frac{dX_L}{dt} = -\phi_2 D_{2,OH} \frac{\partial c_{OH}}{\partial x} \Big|_{x=X_L} \end{cases} \quad (11)$$

124 with c_{OH}^0 standing for OH^- concentration in intact pore solution, n_{CH} denoting CH content (mol/m³ concrete). The
 125 second expression describes the concentration continuity and mass conservation of aqueous hydroxide ions across X_C ,
 126 and the third expression depicts the mass conservation of hydroxide ions across the leaching front X_L .

127 2.3. Solution of crystallization front through dual moving boundary problem

128 The Eqs.(7)-(11) constitute a dual moving boundary problem (MBP) for combined ESA and leaching. In 1D semi-
 129 infinite domain, a single MBP, with fixed concentration at external boundary and mass continuity across the moving
 130 boundary, provides the moving rate of boundary proportional to square root of time [32, 33]. On this basis, we express

131 the crystallization front X_C and leaching front X_L in terms of square root of time with their respective rate coefficients,
132

$$X_C = k\sqrt{t}, \quad X_L = \kappa\sqrt{t} \quad \text{with} \quad k \leq \kappa \quad (12)$$

133 with k and κ denoting the moving rates for the crystallization and leaching fronts ($\text{m/s}^{0.5}$). As long as the two rate
134 coefficients can be determined uniquely using the conditions in this dual MBP, the above expression can be proved to
135 be the correct solution for $X_{C,L}$, which will be demonstrated later in this section. On the basis of above linear relations,
136 the general solution takes the following form with the mathematical details given in Appendix A,
137

$$\begin{cases} \frac{c_S}{c_S^0} = A_1 \operatorname{erfc}\left(\frac{x}{2\sqrt{D_{1,S}t}}\right) + A_2, & \frac{c_{\text{OH}}}{c_{\text{OH}}^0} = a_1 \operatorname{erf}\left(\frac{x}{2\sqrt{D_{1,\text{OH}}t}}\right), & x < k\sqrt{t} \\ \frac{c_S}{c_S^0} = A_3 \operatorname{erfc}\left(\frac{x}{2\sqrt{D_{2,S}t}}\right), & \frac{c_{\text{OH}}}{c_{\text{OH}}^0} = a_2 \operatorname{erf}\left(\frac{x}{2\sqrt{D_{2,\text{OH}}t}}\right) + a_3, & k\sqrt{t} \leq x < \kappa\sqrt{t} \\ \frac{c_S}{c_S^0} = A_3 \operatorname{erfc}\left(\frac{x}{2\sqrt{D_{2,S}t}}\right), & \frac{c_{\text{OH}}}{c_{\text{OH}}^0} = 1, & x \geq \kappa\sqrt{t} \end{cases} \quad (13)$$

138 with the constants dependent on materials parameters,

$$A_{1,2,3} = A_{1,2,3}(k, D_{1,S}, D_{2,S}), \quad \text{and} \quad a_{1,2,3} = a_{1,2,3}(k, \kappa, D_{1,\text{OH}}, D_{2,\text{OH}}) \quad (14)$$

139 Now we turn to determine the two moving rates using the mass conservation of CH across the leaching front in
140 Eq.(11)₃ and the dissolution equilibrium of AFt in Eqs.(2),(3)₁. Putting the leaching front in Eq.(12) and the profile of
141 OH^- in Eq.(13) into Eq.(11)₃, the mass conservation of CH across the leaching front is expressed in terms of the two
142 rate coefficients k and κ ,

$$\kappa \exp\left(\frac{\kappa^2}{4D_{2,\text{OH}}}\right) = \frac{2}{\sqrt{\pi}} \frac{\phi_2 c_{\text{OH}}^0 \sqrt{D_{2,\text{OH}}}}{n_{\text{CH}}} a_2(k, \kappa) \quad (15)$$

143 The calculation of supersaturation degree of AFt needs to specify the ionic concentrations not explicitly included
144 in Eq.(13), such as $c_{\text{Ca}^{2+}}$ and $c_{\text{Al}(\text{OH})_4^-}$. Actually the two concentrations should satisfy all the dissolution equilibria
145 in multi-species ionic aqueous environment of pore solution[34]. Here for simplification, CH is regarded as the only
146 dissolution source for Ca^{2+} and the two ions, Ca^{2+} and OH^- , transport strictly together. These assumptions lead to,

$$\frac{c_{\text{Ca}^{2+}}}{c_{\text{OH}}^0} = \frac{c_{\text{Ca}^{2+}}^0}{c_{\text{OH}}^0} = \frac{K_{\text{CH}}}{(c_{\text{OH}}^0)^3} \quad (16)$$

147 with $c_{\text{Ca}^{2+}}^0$ and $c_{\text{OH}^-}^0$ as the initial calcium and hydroxide ions concentrations in Z_3 . Due to its very small value, the
148 aluminate concentration is considered as a constant by neglecting its pore transport and by assuming C_3AH_6 always
149 available in solid phases. These assumption lead to the aluminate concentration equal to its initial value in Z_3 . Together
150 with the only Ca^{2+} source from CH, the aluminate concentration can be expressed as,

$$c_{\text{Al}(\text{OH})_4^-} = c_{\text{Al}(\text{OH})_4^-}^0 = \sqrt{\frac{K_{\text{C}_3\text{AH}_6}}{K_{\text{CH}}^3} (c_{\text{OH}}^0)^5} \quad (17)$$

151 with $c_{\text{Al}(\text{OH})_4^-}^0$ as the initial concentration in Z_3 . Putting Eqs.(16),(17) into Eq.(2) gives the AFt supersaturation,

$$\beta_{\text{AFt}} = K' c_{\text{OH}}^{10} c_S^3 \quad \text{with} \quad K' = \frac{K_{\text{C}_3\text{AH}_6} K_{\text{CH}}^3}{K_{\text{AFt}}} \frac{1}{(c_{\text{OH}}^0)^{13}} \quad (18)$$

152 Then, substituting the profiles in Eq.(13) into Eq.(18) gives the second relation for the two moving rate coefficients
153 k and κ ,

$$\left[a_1(k, \lambda) \operatorname{erf} \left(\frac{k}{2\sqrt{D_{1,OH}}} \right) \right]^{10} \left[A_3(k) \operatorname{erf} \left(\frac{k}{2\sqrt{D_{2,S}}} \right) \right]^3 = \frac{1}{K'} \quad (19)$$

154 So far, the two moving rate coefficients can be solved through the CH mass conservation and the AFt dissolution
155 equilibrium expressed respectively in Eq.(15) and Eq.(19), which are mathematically solvable. This observation also
156 confirms the correctness of the proportionality between $X_{C,L}$ and \sqrt{t} , taken in Eq.(12). With solved moving rate
157 coefficients, we can determine the profiles in Eq.(13), the parameters in Eq.(14) and the AFt supersaturation profile
158 through Eq.(18), which lays ground for the pore pressure analysis. More generally, any law, which defines the relation
159 between c_S and c_{OH} at X_C , can serve as the second constraint, in the place of Eq.(19), to solve the two rate coefficients.

160 2.4. Spalling depth

161 In this model, we assume that the pore pressure arises from the crystallization of ettringite and constitutes the
162 physical source for concrete expansion and damage. The crystallization pressure, P_C , is related to AFt supersaturation
163 through the Correns' equation[35],

$$P_C - P_L = \frac{RT}{V_C} \ln(\beta_{AFt}) \quad (20)$$

164 where P_L represents liquid pressure (Pa), R stands for the ideal gas constant (J/(mol·K)), T denotes the absolute
165 temperature (K), and V_C the molar volume of ettringite crystal (m³/mol). The poromechanics expresses the effective
166 stress on solid matrix, σ' , as[36],

$$\sigma' = \sigma + b(S_C P_C + S_L P_L) \quad \text{and} \quad S_C + S_L = 1 \quad (21)$$

167 where σ is the material total (external) stress (Pa), b is the Biot's coefficient, $S_{C,L}$ are the volume fractions of pore space
168 occupied by the crystals and the liquid respectively. Due to the dissolution of AFt and CH in Z_1 , it is assumed that
169 there is no pressure building-up for liquid phase and the liquid pressure is equal to atmosphere pressure. The crystal
170 saturation S_C can be evaluated from the bound sulfates content n_S ,

$$S_C = \frac{n_S V_C}{\phi_1} = r_S n_{C_3AH_6} V_C \frac{\phi_2}{\phi_1} c_S \quad (22)$$

171 Under a stress-free condition without external loading, the effective stress writes,

$$\sigma = 0 : \sigma' = \gamma c_S \ln(\beta_{AFt}) \quad \text{with} \quad \gamma = r_S n_{C_3AH_6} \frac{\phi_2}{\phi_1} b RT \quad (23)$$

172 2.4.1. Spalling rate without cracking effect on diffusivity

173 Consider first a uniaxial strain problem. The lateral strains are fixed to zero, $\epsilon_{yy,zz} = 0$, and the stress is free in x
174 axis, $\sigma_{xx} = 0$, leaving ϵ_{xx} as the only possible strain. Under these conditions, the effective stress in solid matrix along
175 x axis writes,

$$\sigma_{xx} = 0 : \sigma'_{xx} = \gamma c_S \ln(\beta_{AFt}) \quad (24)$$

176 In this case, the tensile stress σ'_{xx} induced by pore crystallization is the only cause of fracture of solid matrix and
177 the cracks induced by the pore crystallization are assumed to be arranged perpendicular to x axis, corresponding to
178 the layered spalling damage pattern. This pattern most probably occurs for elements such as walls with very large
179 (infinite) surface, perpendicular to x axis, of which the in-place strains $\epsilon_{yy,zz}$ can be assumed to be cancelled out.
180 Under this cracking pattern, the ionic diffusivity remains unaffected in the sulfate penetration direction. Holding this
181 assumption and using the expression in Eq.(24), we can express the effective stress σ'_{xx} through the Boltzmann variable
182 η in Eq.(A.1),

$$\sigma'_{xx} = \begin{cases} 0, & 0 \leq \eta < k \\ \gamma c_S^0 A_3 \operatorname{erfc} \left(\frac{\eta}{2\sqrt{D_{2,S}}} \right) \ln \left\{ K' \left[a_2 \operatorname{erf} \left(\frac{\eta}{2\sqrt{D_{1,OH}}} \right) + a_3 \right]^{10} \left[A_3 \operatorname{erfc} \left(\frac{\eta}{2\sqrt{D_{2,S}}} \right) \right]^3 \right\}, & k \leq \eta < \kappa \\ \gamma c_S^0 A_3 \operatorname{erfc} \left(\frac{\eta}{2\sqrt{D_{2,S}}} \right) \ln \left\{ K' \left[A_3 \operatorname{erfc} \left(\frac{\eta}{2\sqrt{D_{2,S}}} \right) \right]^3 \right\}, & \eta \geq \kappa \end{cases} \quad (25)$$

183 The fracture criterion is simply taken as the effective stress σ'_{xx} attaining the tensile strength of concrete σ_{ft} . Considering
184 Eq.(25), the spalling rate coefficient η' can be solved,

$$\sigma'_{xx}(\eta = \eta') = \sigma_{ft} : \quad \eta' = \frac{X_{\text{spalling}}}{\sqrt{t}} \quad (26)$$

185 where X_{spalling} stands for the spalling depth by ESA and leaching action. This solution reveals that, like crystallization
186 front and leaching front, the spalling depth is also proportional to the square root of time.

187 2.4.2. Spalling rate with cracking effect on diffusivity

188 Here we release the conditions of zero lateral strains in the precedent uniaxial strain problem and replace by free
189 stress conditions $\sigma_{yy,zz} = 0$, making $\epsilon_{yy,zz}$ as possible strains. Under these conditions, the effective stress in lateral
190 directions writes,

$$\sigma_{yy,zz} = 0 : \sigma'_{yy,zz} = \gamma c_S \ln(\beta_{AFt}) \quad (27)$$

191 In this case, the tensile stress $\sigma'_{yy,zz}$ induced by the pore crystallization can cause the fracture of solid matrix when
192 reaching σ_{ft} . This fracture process will generate cracking parallel to the x axis, possibly together with the spalling
193 pattern of cracking. This pattern may occur for elements such as beams and columns with finite size of surface,
194 perpendicular to the x axis, and bearing the free lateral surface, where the lateral stress $\sigma_{yy,zz}$ can be assumed to be zero.

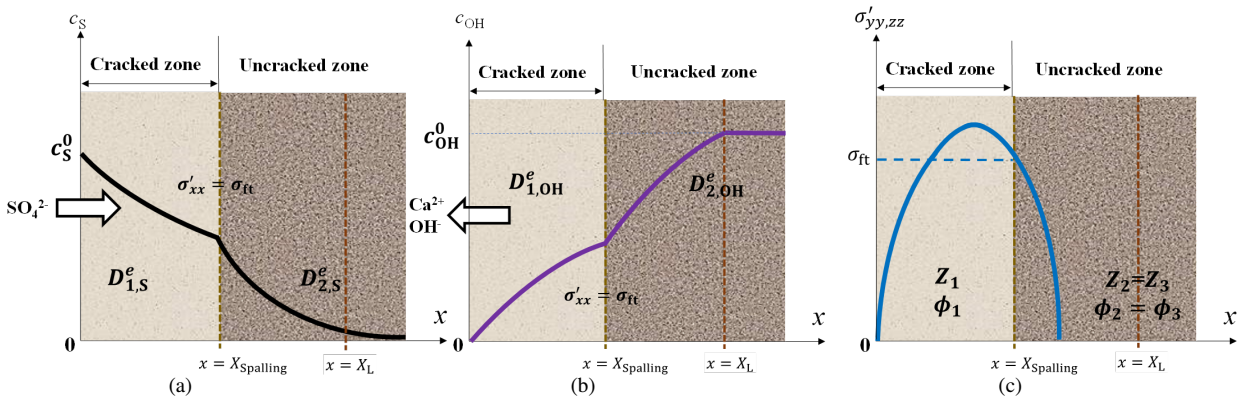


Figure 2: Transport and ionic distribution during ESA and leaching considering cracks: (a) sulfate ions transport inwards across spalling-cracking zone and uncracked zone; (b) hydroxide ions transport outwards across the uncracked zone and spalling-cracking zone; (c) effective stress distribution. The terms $D_{1,2,S,OH}^e$ stand for the effective diffusivities of SO_4^{2-} and OH^- for spalling-cracking zone and uncracked zone, $\phi_{1,2}$ refer to the porosities of the two zones, $X_{\text{spalling,L}}$ represent the spalling and leaching fronts, $C_{S,OH}^0$ are boundary SO_4^{2-} concentration and OH^- concentration of intact pore solution, and σ'_{xx} and σ_{ft} denote effective stress and tensile strength of concrete respectively.

195 Another particular case for this pattern refers to the 1D diffusion-crystallization process in axisymmetric coordinates
 196 where the hooping (circumferential) tensile stress in solid matrix dominates over other stress components and induces
 197 cracking parallel to the radical axis.

198 Since the presence of parallel cracks affect substantially the ionic diffusivity in the x axis direction, we need to
 199 adapt the dual MBP illustrated in Figure 1 to spalling-cracking case illustrated in Figure 2 to evaluate the spalling
 200 depth and rate: the zones $Z_{1,2,3}$ represent now the spalling-cracking zone, the un-cracked zone and the intact zone by
 201 leaching. The delimiting lengths are spalling depth X_{spalling} and leaching depth X_L . Like the solution to the original
 202 dual MBP, we assume,

$$X_{\text{Spalling}} = \eta' \sqrt{t}, \quad X_L = \kappa \sqrt{t} \quad (28)$$

203 We can substitute η' for k in the original MBP and solve the new problem following the same procedure as Section 2.3
 204 and the sulfate and hydroxide profiles take the same form as in Eq.(13). The only difference is the determination of the
 205 two new moving rate coefficients η' and κ . Instead of using the CH mass conservation and AFt dissolution equilibrium,
 206 the CH mass conservation and fracture criterion are used for their determination. And in this case, unlike in Eq.(12),
 207 the spalling-cracking front X_{spalling} can surpass the leaching front X_L , cancelling out the zone Z_2 .

208
 209 As $X_{\text{spalling}} < X_L$, the relations for solving the two moving rate coefficients are constituted by Eq.(15) and Eq.(27)
 210 using the fracture criterion $\sigma'_{yy,zz} = \sigma_{ft}$,

$$\begin{cases} \kappa \exp\left(\frac{\kappa^2}{4D_{2,\text{OH}}}\right) = \frac{2}{\sqrt{\pi}} \frac{\phi_2 c_{\text{OH}}^0 \sqrt{D_{2,\text{OH}}}}{n_{\text{CH}}} a_2(\eta', \kappa) \\ \sigma_{ft} = \gamma c_S^0 A_3(\eta') \operatorname{erfc}\left(\frac{\eta'}{2\sqrt{D_{2,S}}}\right) \ln \left\{ K' \left[a_1(\eta', \kappa) \operatorname{erf}\left(\frac{\eta'}{2\sqrt{D_{1,\text{OH}}}}\right) \right]^{10} \left[A_3(\eta') \operatorname{erfc}\left(\frac{\eta'}{2\sqrt{D_{2,S}}}\right) \right]^3 \right\} \end{cases} \quad (29)$$

211 As $X_{\text{spalling}} \geq X_L$, the two relations still come from the same expressions but totally decoupled,

$$\begin{cases} \kappa \exp\left(\frac{\kappa^2}{4D_{1,\text{OH}}}\right) = \frac{2}{\sqrt{\pi}} \frac{\phi_2 c_{\text{OH}}^0 \sqrt{D_{1,\text{OH}}}}{n_{\text{CH}}} a_2(\kappa) \\ \sigma_{ft} = \gamma c_S^0 A_3(\eta') \operatorname{erfc}\left(\frac{\eta'}{2\sqrt{D_{2,S}}}\right) \ln \left\{ K' \left[A_3(\eta') \operatorname{erfc}\left(\frac{\eta'}{2\sqrt{D_{2,S}}}\right) \right]^3 \right\} \end{cases} \quad (30)$$

212 Again, the unique determination of the two moving rate coefficients confirms the correctness of the relations taken in
 213 Eq.(28) for the new dual MBP problem. The spalling depth is also proportional to the square root of time.

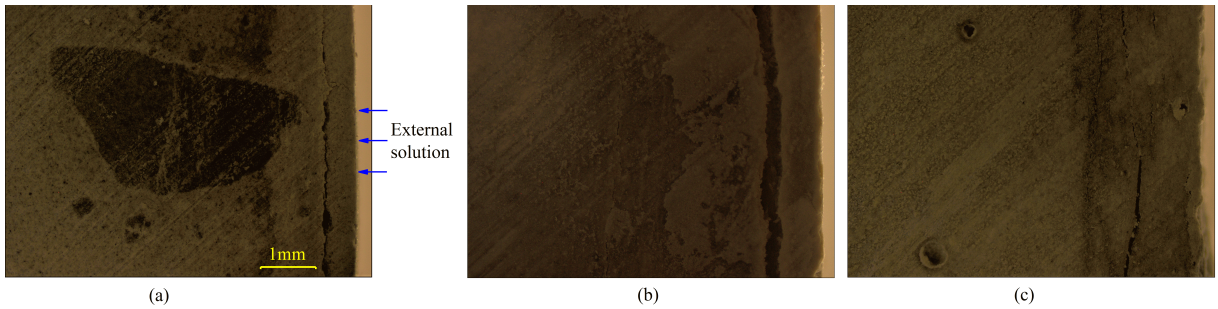


Figure 3: Cracking observation on cross sections of cement paste disks ($w/c=0.55$) by optical microscope for (a) 8-week, (b) 16-week and (c) 32-week immersion in sodium sulfate solution of 10g/L SO_4^{2-} with pH value controlled to 7.0 ± 0.1 . Right edge of each image is the exposure surface.

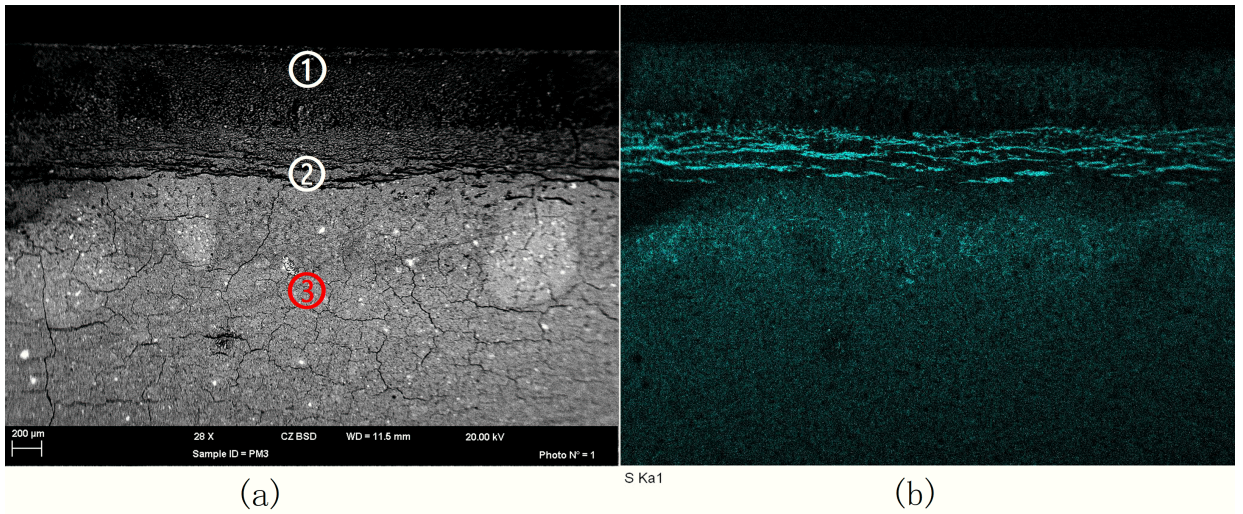


Figure 4: BSE (a) and sulfur element mapping (b) images on cross sections of cement paste disks ($w/c=0.55$) immersed in sodium sulfate solution of 10g/L SO_4^{2-} for 8 weeks. Top edge of each image is the exposure surface.

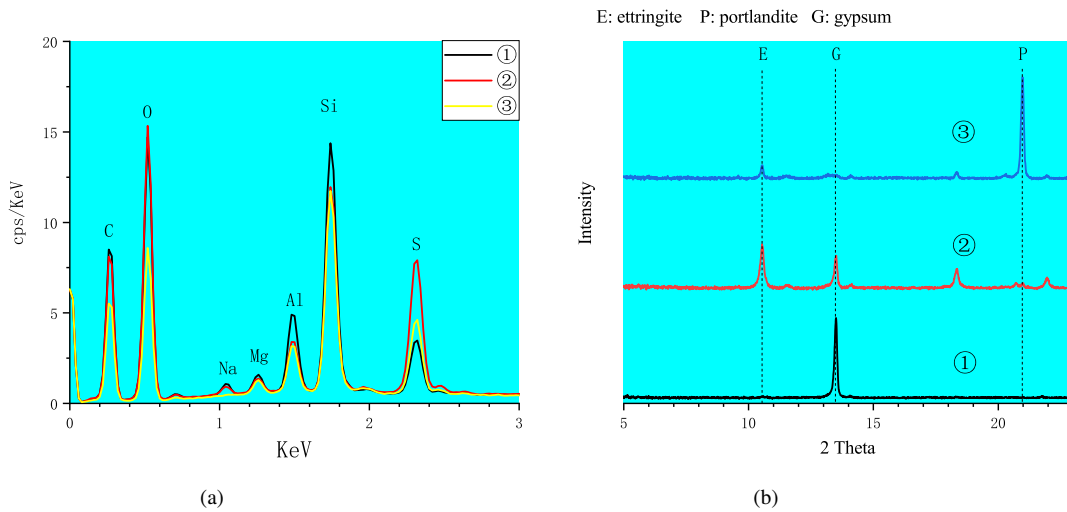


Figure 5: EDS (a) and XRD (b) results of cement paste disks ($w/c=0.55$) immersed in sodium sulfate solution of 10g/L SO_4^{2-} for 8 weeks using the samples from the three marked positions in Figure 4a.

214 3. Validation

215 3.1. Disk specimens

216 Cylinders of 11cm diameter and 22cm height were made from cement paste with a water to cement ratio (w/c)
 217 of 0.55. The cement used is CEM I Type (CEMI 52.5 N CE CP2). After 60d curing in saturated CH solution, disk
 218 specimens of 5cm height were sawed out from cylinders, and epoxy resin was applied on the lateral and bottom surfaces
 219 of disk specimens to leave the top surface exposed to external sulfate penetration. The disks were then immersed into
 220 a Na_2SO_4 solution of 10g/L sulfate, and a pH regulator was adopted to maintain $\text{pH}=7.0 \pm 0.1$ for the test solution,
 221 which was replaced every two weeks to ensure a consistent sulfate concentration [5].

222

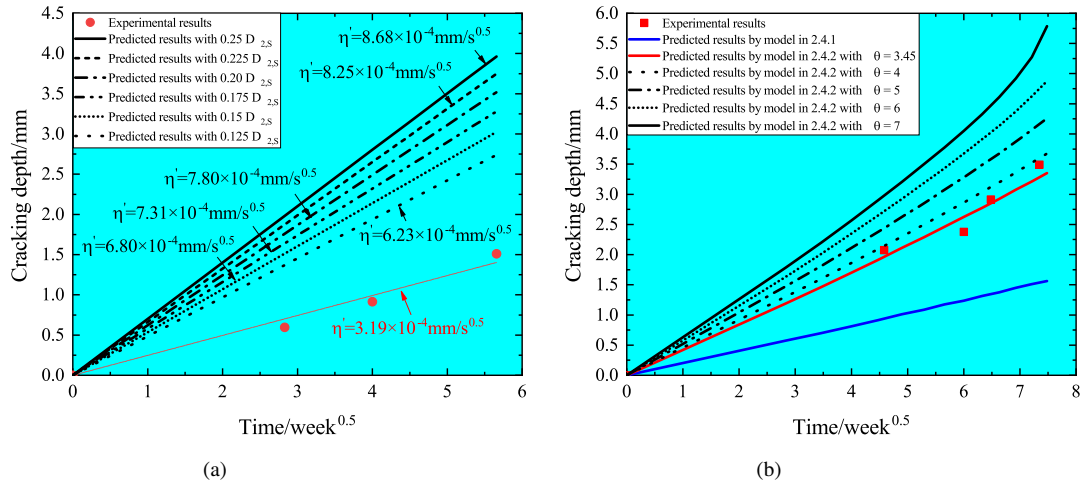


Figure 6: Cracking depth measured in experiments and spalling rate predicted by models for (a) disk cement paste specimens ($w/c=0.55$) immersed in sodium sulfate solution of 10g/L SO_4^{2-} , and (b) small cylinder cement paste specimens ($w/c=0.485$) exposed to 10g/L SO_4^{2-} in sodium sulfate solution [15]. θ is the magnification coefficient of apparent diffusivities in the cracked zone in Figure 2.

223 After being exposed to sulfate solution for 8, 16, and 32 weeks, the disk specimens were cut diametrically to obtain
 224 the diffusion direction cross-section. These cross-sections were observed by optical microscope, as shown in Figure 3.
 225 The cracks are almost parallel to exposure surface, and the measured cracking depths are given in Figure 6a in terms
 226 of the exposure time. The 8-week specimen was polished to conduct the back-scattered electron (BSE) image and the
 227 energy dispersive spectroscopy (EDS) mapping tests, and the results are provided in Figure 4. Figure 4a reveals that
 228 the single large crack in Figure 3a is actually composed of multiple tiny cracks, and Figure 4b shows how the sulfur
 229 element (S) is distributed in three zones: the surface zone with low sulfur content, the cracking zone rich in sulfur
 230 element, and the inner zone with a sulfur amount decreasing with depth. The very low content of sulfur element in
 231 surface zone supports the assumption that the bound sulfates in solid phases, in AFt, are dissolved by lower pH value,
 232 cf. Figure 1c. Further, powder samples were taken from the three zones, with their positions marked in Figure 4a, and
 233 subject to analysis of EDS and X-ray diffraction (XRD). The analysis results are given in Figure 5, and the EDS results
 234 in Figure 5a confirm the change of sulfur element in the three zones in Figure 4b. From the XRD results in Figure 5b,
 235 the surface zone contains only gypsum, the cracking zone beneath contains small amount of portlandite and gypsum
 236 but an important quantity of ettringite, and the deeper zone contains small amount of ettringite but large amount of
 237 portlandite. Actually these three zones correspond to the zones $Z_{1,2,3}$ in Figure 1. The clear reduction of portlandite
 238 peaks from the inner zone to the surface zone confirms the effect of leaching with depth. Comparing the ettringite and
 239 gypsum peaks in surface zone and cracking zone indicates that the ettringite is transformed into gypsum in the surface
 240 zone.

241
 242 Due to the damage pattern of layered spalling in Figure 3a-c, we adopt the spalling rate model without cracking
 243 effect on diffusivity in Section 2.4.1 for model validation, with the material parameters provided in Table 1. The
 244 chemical reaction coefficient r_S is calibrated from the works in [5], where the AFt amount was quantified through ^{27}Al
 245 Nuclear Magnetic Resonance (NMR). The contents of cement hydrate phases, n_{CH} and $n_{\text{C}_3\text{AH}_6}$, were determined from
 246 the mineral composition of cement and w/c ratio, following the method in [37]. The initial porosity ϕ_0 was measured
 247 by the mercury intrusion porosimetry (MIP), and porosity values in dissolved zone ϕ_1 and crystallized zone ϕ_2 were
 248 calculated from the pore release volume by CH dissolution and pore-filling volume by AFt formation. Using these
 249 values of porosity, the apparent diffusivities for sulfate and hydroxide ions in the two zones were evaluated through
 250 porosity-based models in Appendix B [38]. Literature has reported the rapid reduction of diffusivity, i.e. ageing effect

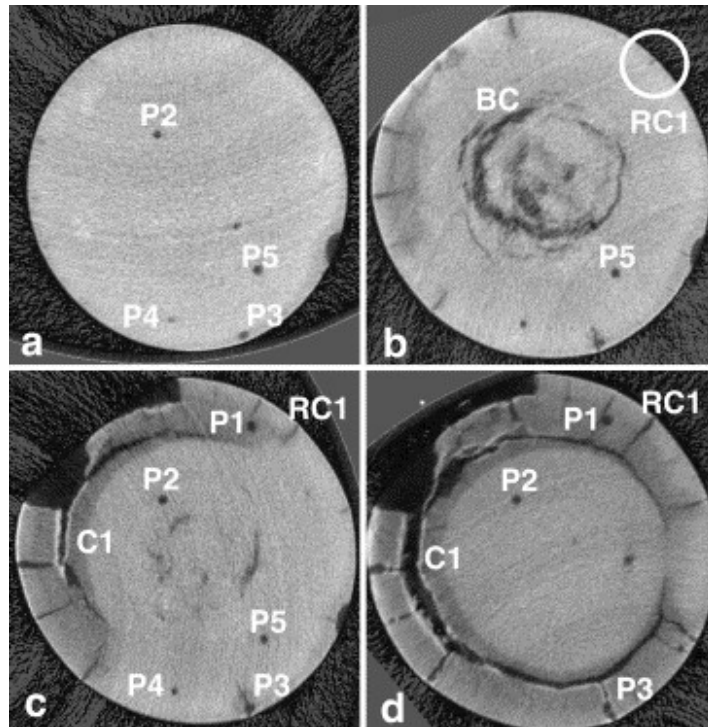


Figure 7: Cracking observation by microCT for Type I cement paste specimens ($w/c=0.485$) exposed to sodium sulfate solution of 10g/L SO_4^{2-} for (a) 21 weeks, (b) 36 weeks, (c) 42 weeks and (d) 52 weeks. The symbol C1 and RC1 stand for ring crack and radial crack, P1-P5 represent voids, and the field of view is 15.3mm(a), 15.1mm(b), 16.1mm(c) and 15.2mm(d). Source: images adapted from [29], Fig. 12. Reproduced with permission of Elsevier.

251 of diffusivity, in the early phase of ESA [5]. To account for this important change, a discount ratio of 1/8-1/4 is applied
 252 to the computed apparent diffusivities in the crystallized zone on the basis of experimental observations in [15, 39].

253 The model prediction and the measured spalling (cracking) depths are given in Figure 6a. From the experimental
 254 results, a linear relation does exist between the spalling (cracking) depth and the square root of exposure time.
 255 Accordingly, the proportionality between X_C and \sqrt{t} is validated, confirming that the spalling damage is dominated
 256 by diffusion process. For the predicted and measured values of spalling depth, the two groups of values are at the same
 257 order of magnitude, and the predicted values are systematic larger than the measured values. Apart from the various
 258 sub-models borrowed from literature, the main reason behind is the neglected buffering effect of CSH on leaching.
 259 Actually the CSH can provide both Ca^{2+} and OH^- ions into pore solution as CH is consumed out, and this effect
 260 can notably slow down the leaching front[31]. Nevertheless, this overestimation can be acceptable for engineering use
 261 which prefers conservative results.

262 3.2. Small cylinder specimens

263 Naik et al.[15] prepared cylinder cement paste specimens with diameter of 12.5mm and length of 45mm. The
 264 specimens were cast in ASTM type I Portland cement with a w/c ratio of 0.485. The small cylinders were sealed with
 265 parafilm and cured in a saturated lime bath for 1d, then demoulded and cured in a saturated lime bath for 2d. The
 266 cured samples were then immersed into sodium sulfate solution containing 10g/L sulfate, and the test solution was
 267 replaced on a weekly basis. After being exposed to sulfate solution for 21, 36, 42 and 52 weeks, the cylinder specimens
 268 were taken out for crack observation through X-ray microtomography (microCT) method, as shown in Figure 7. The
 269 measured values for cracking (spalling) depth are given in Figure 6b.

270 From the microCT images in Figure 7, the damage pattern includes obvious radial cracks. These cracks will
 271 provide more direct transport channel for external ions than the capillary pores, and this cracking impact should be
 272 considered in model validation. To this aim, the spalling rate model with cracking effect on diffusivities, in Section
 273 2.4.2, is adopted to simulate the damage behaviors of the small cylinder specimens. Another issue to perform the

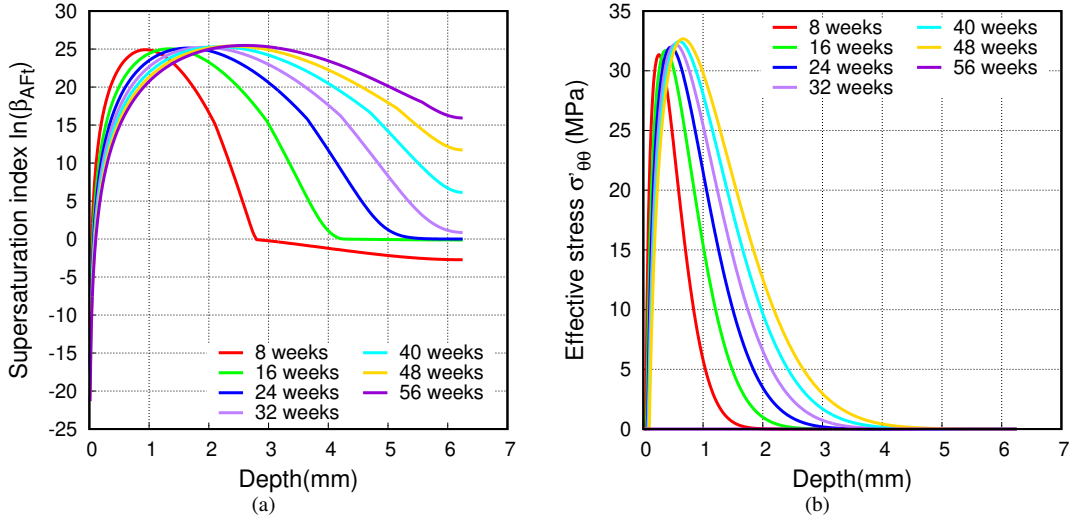


Figure 8: Profiles of AFt supersaturation (a) and effective stress in tension (b) predicted by spalling rate model for small cylinder specimens ($w/c=0.485$) exposed to sodium sulfate solution containing $10g/L SO_4^{2-}$.

274 analysis involves the coordinate system: the spalling rate model expressed in 1D semi-infinite domain should be
 275 converted to 1D axis-symmetric coordinate. The mathematical expression is given in Appendix C. Since there is no
 276 explicit analytical solution for the spalling depth X_C in 1D axis-symmetric coordinate, the spalling rate model is solved
 277 numerically through the platform Bil[40]. Table 1 lists all the parameters needed in the spalling rate model except the
 278 impact of cracking on the diffusivity value in Z_1 zone. A magnification factor, θ , is adopted here to quantify the cracking
 279 effect on diffusivity in Z_1 ,

$$\theta = \frac{D_{1,S}}{D_{2,S}} = \frac{D_{1,OH}}{D_{2,OH}} > 1 \quad (31)$$

280 Gérard and Marchand[41] measured the parameter θ in the range of 4-7 on cracked disk specimens, and this value range
 281 is adopted to simulate the spalling depths. The results are given in Figure 6b and this figure also includes the simulation
 282 results from the spalling rate model without cracking effect on diffusivity in Section 2.4.1. From these results, some
 283 observations can be made: first, the cracking effect should be taken into account otherwise the spalling depth will
 284 be largely underestimated; second, the spalling rate model considering the cracking effect, with reasonable values
 285 for the magnification factor, successfully reproduces the spalling depths. Again, the conservative results with correct
 286 magnitude order are obtained from the model predictions. The supersaturation degree β_{AFt} and the effective stress σ'_{xx}
 287 are calculated and shown in Figure 8 for $\theta=4$. The results show that both the supersaturation and the effective stress
 288 have an extremum (local maximum), and within 8-week immersion the supersaturation and effective stress accumulate
 289 to high values within 1-2mm from the surface. Note that the large values in Figure 8(b) do not refer to the actual levels
 290 of tensile stress in the solid matrix which will be released by fracture. With 1D axis-symmetry transformation and
 291 reasonable assumption for cracking impact on diffusivities, the results in Figure 6b confirm the concept of spalling
 292 depth and validate the related modeling.

293 4. Further discussion

294 4.1. Parameter analysis

295 Multiple parameters intervene in the spalling rate models in Eq.(26) and Eq.(29). This section is dedicated to the
 296 analysis of key parameters to obtain some generalized observations using these models. Conceptually, the spalling rate
 297 scales to four groups of parameters: the ionic diffusivity in different zones, the surface boundary ionic concentrations,
 298 the chemical contents in concrete, and the mechanical properties related to crystallization and fracture, i.e.

$$\eta' = f\left(D_{1,S}, D_{2,S}; D_{1,OH}, D_{2,OH}; c_S^0, c_{OH}^0; n_{CH}, n_{C_3AH_6}; \sigma_{ft}, \frac{RT}{V_C}\right) \quad (32)$$

299 The number of basic dimensions is three: length(L), mass(M) and time (T). Note that the absolute temperature T
 300 is combined into the variable group RT/V_C and the dimension is cancelled out. According to the Buckingham
 301 theorem[42], eight independent dimensionless quantities exist and Eq.(32) can be converted into a function of these
 302 eight dimensionless quantities. We retain the hydroxide diffusivity in dissolved zone $D_{1,OH}$, boundary hydroxide
 303 concentration c_{OH}^0 and tensile strength σ_{ft} as basic variables, and express Eq.(32) through the following dimensionless
 304 quantities,

$$\eta^- = f'\left(D_S^-, k_1, \frac{D_{2,S}^-}{K_1}; c_S^-, \frac{n_{CH}}{c_{OH}^0}, \frac{n_{C_3AH_6}}{c_{OH}^0}; \frac{\sigma_{ft} V_C}{RT}\right) \quad (33)$$

with $\eta^- = \frac{\eta'}{2\sqrt{D_{1,OH}}}$, $D_S^- = \sqrt{\frac{D_{2,S}}{D_{1,OH}}}$, $k_1 = \sqrt{\frac{D_{2,OH}}{D_{1,OH}}}$, $K_1 = \sqrt{\frac{D_{1,S}}{D_{2,S}}}$, $c_S^- = \frac{c_S^0}{c_{OH}^0}$,

Table 1
Parameters adopted in the spalling rate models

Parameter(unit)	Disk	Small cylinder[15]	Parameter analysis
material type	paste		concrete
w/c ratio (-)	0.55	0.485	0.40
Initial capillary porosity ϕ_0 (-)	0.33	0.25	0.11
Porosity in Z_1 zone ϕ_1 (-)	0.402	0.335	0.155
Porosity in Z_2 zone ϕ_2 (-)	0.347	0.248	0.121
Chemical reaction coefficient r_s (m^3/mol)	0.035		
Aluminum hydrates content $n_{C_3AH_6}$ (mol/m^3)	320	520	198
CH content n_{CH} (mol/m^3)	2158	2557	1345
Magnification factor θ (-)	-	4, 5, 6, 7	-
Apparent OH^- diffusivity in Z_1 zone $D_{1,OH}$ ($10^{-11} m^2/s$)	4.20	1.25, 1.56, 1.87, 2.18	1.23
Apparent OH^- diffusivity in Z_2 zone $D_{2,OH}$ ($10^{-12} m^2/s$)	6.76	3.12	5.83
Apparent SO_4^{2-} diffusivity in Z_1 zone $D_{1,S}$ ($10^{-12} m^2/s$)	8.52	1.36, 1.70, 2.04, 2.38	2.50
Apparent SO_4^{2-} diffusivity in Z_2 zone $D_{2,S}$ ($10^{-13} m^2/s$)	1.12	0.34	1.50
Dissolution equilibrium constant of AFt at 25°C $\log(AFt)$ (-)	-44.55[43]		
Dissolution equilibrium constant of C_3AH_6 at 25°C $\log(C_3AH_6)$ (-)	-20.49[43]		
Dissolution equilibrium constant of CH at 25°C $\log(CH)$ (-)	-5.14[43]		
Molar volume of ettringite V_C ($10^{-3} m^3/mol$) (-)	0.710[43]		
Biot's coefficient b (-)	0.69[44]		0.54[44]
Tensile strength σ_{ft} (MPa)	2.0[44]		3.1[44]
Measured spalling rate ($10^{-4} mm/s^{0.5}$)	3.19	5.73	-

305 Not attempting to make parametric analysis for all these quantities, we retain two dimensionless variables related
 306 to sulfates, the normalized boundary sulfate concentration c_S^- and the relative apparent sulfate diffusivity D_S^- in
 307 crystallized zone, to study their impact on the normalized spalling rate η^- . The simulation results are shown in Figure 9,
 308 indicating that η^- falls rapidly with the reduction of normalized boundary concentration c_S^- and relative diffusivity D_S^- .
 309 Obviously, the lower sulfate concentration on surface boundary and smaller sulfate diffusivity lead to a slower and lower
 310 sulfate profile, which in turns gives lower supersaturation degree and effective stress level. Besides, the spalling rate
 311 η^- becomes zero as c_S^- and D_S^- become low/small enough. As shown in Figure 8, both the supersaturation degree and
 312 effective stress have a local maximum, the zero spalling rate is either due to the maximum $\beta_{AFt} < 1.0$ with no AFt

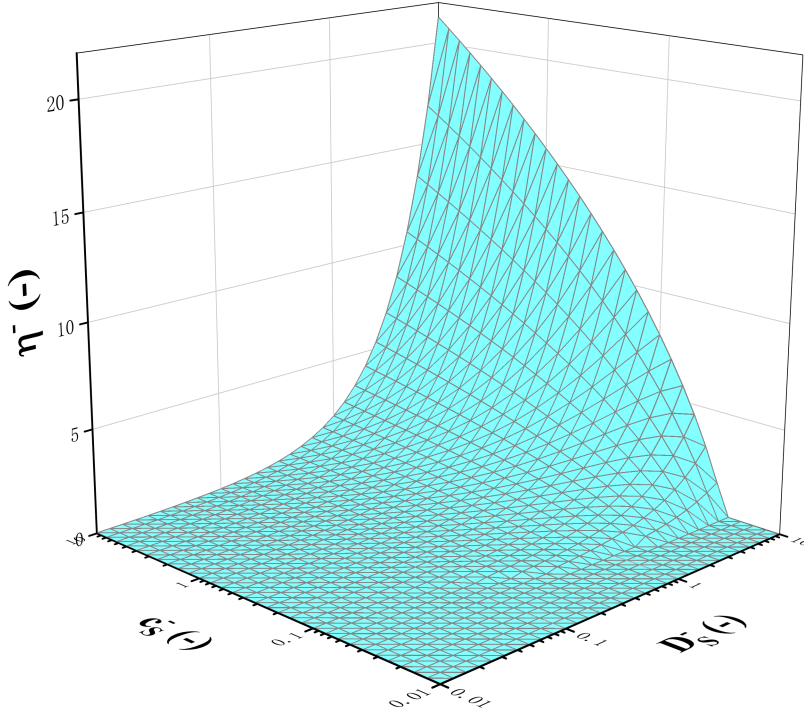


Figure 9: Normalized spalling rate in terms of normalized boundary sulfate concentration and relative sulfate diffusivity. Normalized spalling rate η^- is the ratio of spalling rate η' to the square root of apparent hydroxide diffusivity in dissolved zone $D_{1,\text{OH}}$: $\eta^- = \eta' / 2\sqrt{D_{1,\text{OH}}}$. Normalized concentration c_s^- is the ratio of boundary sulfate concentration c_s^0 to the boundary hydroxide concentration c_{OH}^0 : $c_s^- = c_s^0 / c_{\text{OH}}^0$. Relative sulfate diffusivity D_s^- is ratio of apparent sulfate diffusivity in crystallized zone $D_{2,S}$ to the apparent hydroxide diffusivity in dissolved zone $D_{1,\text{OH}}$: $D_s^- = \sqrt{D_{2,S} / D_{1,\text{OH}}}$.

313 formed or due to the maximum $\sigma' < \sigma_{\text{ft}}$.

314

315 Four single parameters are selected for parametric analysis to deliver clearer messages for engineering use: the
 316 boundary sulfate concentration c_s^0 representing the intensity of environmental sulfates, the apparent sulfate diffusivity
 317 in crystallized zone $D_{2,S}$ depicting the concrete compactness, the aluminium phase content $n_{\text{C}_3\text{A}}$ and the portlandite
 318 content n_{CH} describing the main chemical characteristics of concrete. The other parameters for a typical concrete
 319 material are given in Table 1 and Table B.1. The simulation results are shown in Figure 10. The spalling rate η'
 320 increases rapidly with c_s^0 till 30 mol/m³, then increase with slower rates in Figure 10a. The message for engineering
 321 use would be the gradation of environmental action intensity in terms of external sulfate concentration should better
 322 be made in logarithm scale. Figure 10b shows η' increases with $D_{2,S}$: if $D_{2,S}$ is limited to 10⁻¹² m²/s, the usual value
 323 of concrete cover thickness, 50mm, can resist the sulfate spalling for 100 years for the given conditions. Figure 10c
 324 presents three stages for spalling rates in terms of C₃A content: $\eta' = 0$ when $n_{\text{C}_3\text{A}}$ is in the range of 0-60 mol/m³;
 325 η' quickly rises to around 2.5 mm/a^{0.5} for $n_{\text{C}_3\text{A}}$ in the range of 60-100 mol/m³; slower increase of η' with $n_{\text{C}_3\text{A}}$
 326 over 100 mol/m³. The significant influence of $n_{\text{C}_3\text{A}}$ is due to the assumption for sulfate reactions in Eq.(8): bound
 327 sulfates n_s are proportional to $n_{\text{C}_3\text{A}}$. It is interesting to compare this simulation results with some engineering rules of
 328 thumb: for concretes exposed to severe sulfate environments, the C₃A content is normally limited to 5% of the cement
 329 contents[45]. For typical concretes with 300-400 kg Portland cement in binders, the limit of C₃A is 15-20 kg, rather
 330 near to the simulation result of 60 mol/m³, i.e. 16.2 kg/m³ concrete. On the contrary, the results in Figure 10d shows
 331 the CH content n_{CH} has little influence on spalling rate until decreasing to about 120 mol/m³ or 8.88 kg/m³ concrete.

332

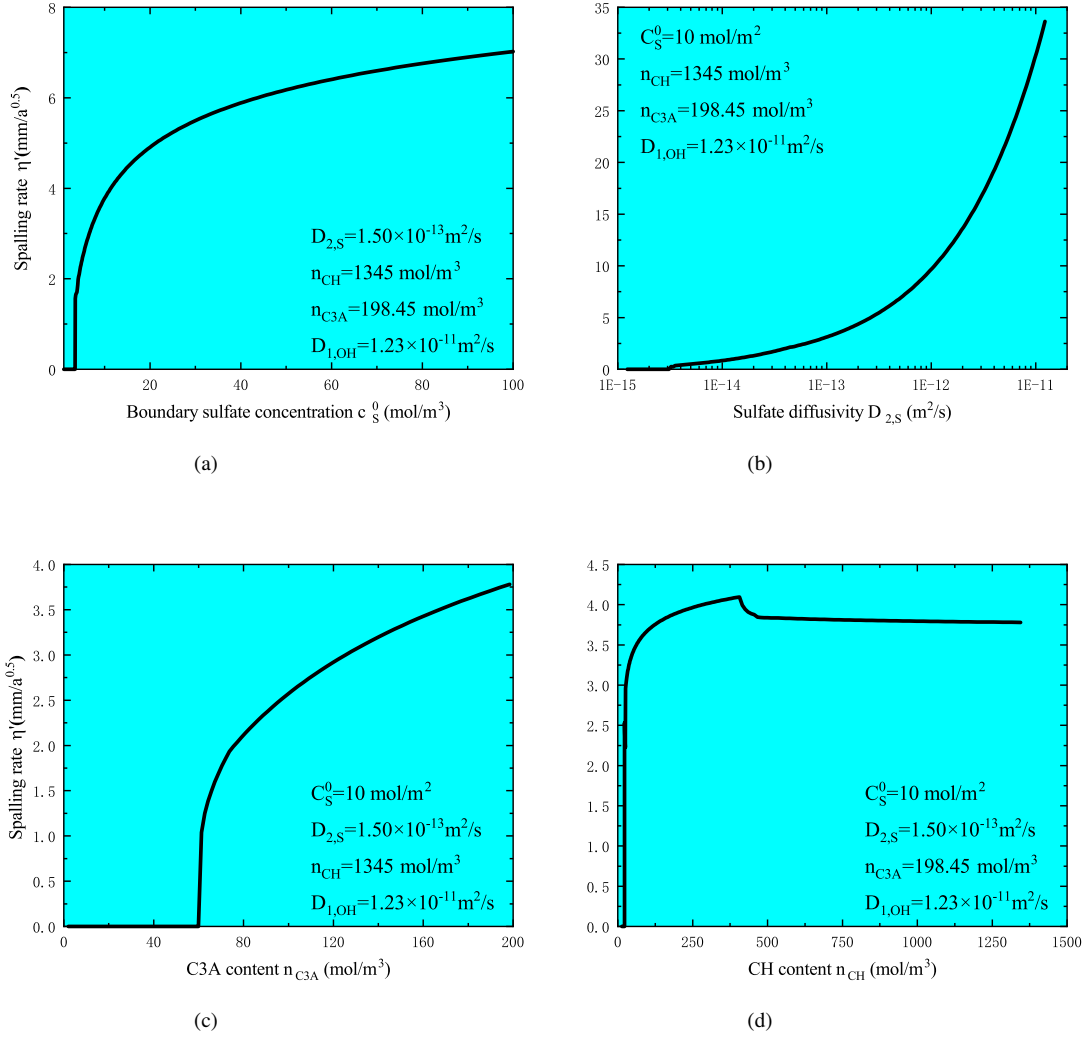


Figure 10: Influence on spalling rate by a) boundary sulfate concentration, b) sulfate diffusivity, c) aluminum phase content, d) portlandite phase content on a concrete material with a w/c of 0.4.

333 To explore further η' in Figure 10d, we plot the moving rates of three fronts and the A_3 parameter in spalling rate
 334 model in terms of n_{CH} in Figure 11. The results in Figure 11a show that higher n_{CH} leads to slower moving rates for
 335 crystallization front k and leaching front κ , resulting in smaller A_3 values in Eq.(A.5) in Figure 11b. Figure 11a shows
 336 moreover the drop in η' occurs after intersecting with κ curve, indicating the spalling front reaching into intact zone
 337 Z_3 in Figure 1. Under this condition, the effective stress takes Eq.(25)₃, where the A_3 value is relevant to the effective
 338 stress level. Because of the decreasing A_3 value with the CH content n_{CH} in Figure 11b, the effective stress is decreased,
 339 leading to the the smaller spalling rate. But again, this occurs only after the spalling font reaching the intact zone Z_3
 340 and this decrease of spalling is less important in magnitude compared to the range $n_{CH} < 400$ mol/m³ in Figure 10d.

341 4.2. Critical analysis

342 In order to obtain simplified and analytical solution for the spalling rates, we inevitably introduced some strong
 343 assumptions, including the constant diffusivity in different zones, the leaching of only solid phase of CH, the constant
 344 concentration of aluminates in pore solution, and the instantaneous formation of AFt. This section is dedicated to the

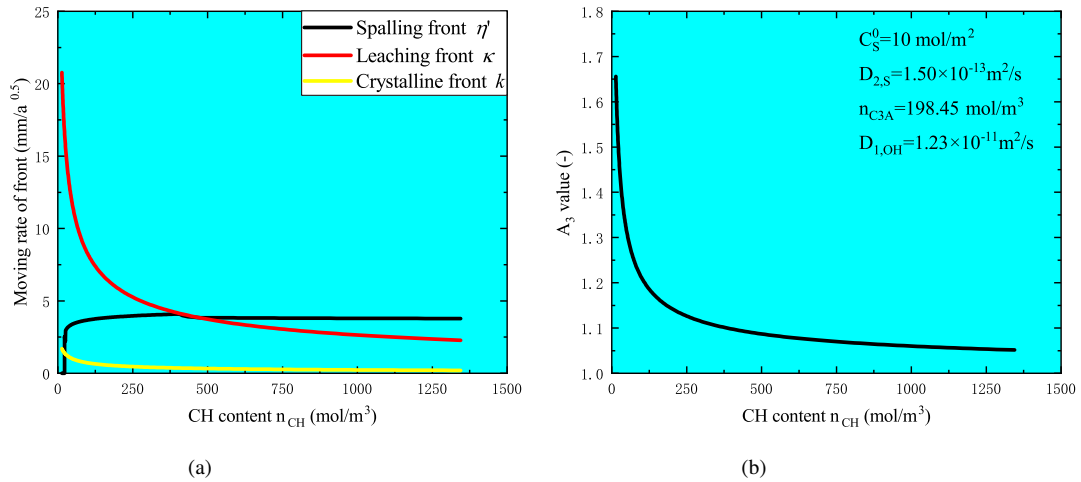


Figure 11: Further analysis of impact of CH content of typical concrete with $w/c=0.4$: (a) moving rates of the fronts, (b) A_3 value in spalling rate models.

345 discussion of the advantages and limits of these assumptions so that the valid application scope of spalling rate models
 346 can be clarified.

347 The constant diffusivity comes first. On space scale, constant values of apparent diffusivity are attributed to the
 348 three zones: the dissolved zone Z_1 , the crystallized zone Z_2 and the intact zone Z_3 in Figure 1, or the cracked zone
 349 Z_1 , the uncracked zone Z_2 and the intact zone Z_3 in Figure 2. For Z_1 in Figure 1, the AFt and CH are assumed totally
 350 dissolved, the pore structure is altered accordingly, and it is rational to assume a constant diffusivity. For Z_1 in Figure
 351 2, the crack openings provide more efficient transport channels for pore species to transport. Considering the relevant
 352 experimental results ($\theta=4-7$ [41]), it is rational to attribute a different diffusivity from the bulk concrete. But here, the
 353 attributed diffusivity remains constant during the spalling process, meaning that the crack openings should be stable
 354 otherwise the model in Section 2.4.2 should be reviewed. For Z_2 , either the crystallized zone (Figure 1) or uncracked
 355 zone (Figure 2), the formation of AFt and the leaching of CH are assumed to cancelled their respective effect, pore
 356 filling or enlarging, on pore structure, and the diffusivity of intact concrete is taken. For Z_3 zones, the intact concrete
 357 properties are retained due to the very limited AFt formation and no leaching state. Thus, the zone Z_2 and Z_3 merge
 358 into one region in terms of the transport properties. On time scale, the diffusivity is also assumed constant. This is
 359 challenged by some experimental works[5, 15, 39], which showed the apparent diffusivity decreasing rapidly with
 360 exposure time during ESA. It is an alert for the model application: for real engineering cases, one should use long-term
 361 diffusivity values instead of the short-term diffusivity values measured in laboratory.

362 Then come the leaching, the aluminate concentration and AFt formation. As aforementioned, if leaching involves
 363 only portlandite, the buffering capacity of CSH, the main cement hydrates, is neglected, leading to the overestimation
 364 of leaching rate κ and leaching front X_L . This assumption will be reasonable for concretes using the Portland
 365 cement as the main component of binder of which the CH content is important compared to CSH. For binders
 366 containing large quantity of supplementary cementitious materials (SCM), this assumption possible gives spalling
 367 rates too overestimated to be useful due to the (very) low CH content. Further, the assumption of constant aluminate
 368 concentration as its value in intact pore solution, cf. Eq.(17), also overestimates its real value, which should be scaled
 369 to the actual concentrations of Ca^{2+} and OH^- . Accordingly, the supersaturation in Eq.(2) is also overestimated, in
 370 turn leading to the overestimation of spalling rate. Thus, both assumptions lead to overestimation of spalling rates, i.e.
 371 results on conservative side in engineering terms. An improvement may be to include the aluminate ions into the pore
 372 transport and establish a new dissolution front for the solid aluminate phases. In our models, the AFt amount is set to
 373 be proportional to the sulfate concentration in pore solution, cf. Eq.(8). This has double implications: first, the sulfate
 374 reaction is complete and will consume out all the solid aluminate phase; second, the AFt formation is instantaneous
 375 without kinetics. So far, there is no unanimity on the kinetics of ettringite crystallization: authors adopted the first-order

376 or second-order reaction assumption in the literature[21, 46, 47]. But, some experimental results seem to support,
 377 at least partially, our assumption: the relative ettringite content measured by the energy dispersive X-ray diffraction
 378 (EDXRD) approaches the sulfate concentration profile [15]. So, our assumption tends to overestimate the quantity and
 379 rate of AFt formation, leading to the overestimation of the supersaturation, effective stress and spalling rate.

380 To summarize, the assumptions taken in the models, such as leaching of only CH phase, constant aluminate
 381 concentration and complete/instantaneous AFt formation, all lead to the overestimation of the effective stress and
 382 the spalling rate. In engineering terms, the models provide predictions on conservative side. The constant diffusivity
 383 assumption makes trade-off of detailed pore chemistry with simplified and analytical solutions for spalling rates.
 384 The model validations in Section 3 confirm the correct magnitude order and the conservative nature of spalling
 385 rates predicted by our models, and such models can already help the engineers to choose the appropriate design
 386 options. Actually, such models are just expected to provide correct prediction on the order of magnitude of spalling
 387 rate, and prudence should be taken as the models are confronted with experimental results. The models should be
 388 more reasonably applied to concretes using mainly Portland cement as binder. Moreover, the application of these
 389 models should check the actual strain and stress conditions of elements to make the correct choice of damage patterns,
 390 especially as external loading and reinforcement are present in structural elements. Last point to be noted is the
 391 external sulfate environments: the models apply only as the sulfates dominate in the external aggressive agents; as
 392 the other aggressive ions, such as magnesium and chlorides, are present with important concentrations, other damage
 393 mechanisms intervene and these models lose their validity.

394 5. Conclusion

395 1. A spalling rate model is proposed for concrete exposed to the combined action of ESA and leaching. The pore
 396 transport considers the dissolution-diffusion of hydroxide ions and the binding-diffusion of external sulfate ions. The
 397 formation of AFt is dictated by the supersaturation degree, the effective stress from pore crystallization is evaluated
 398 through poromechanics using the Correns' law, and the fracture criterion is set as the effective tensile stress attaining the
 399 tensile strength. The spalling depth is defined as the position where the fracture criterion is satisfied. Two sub-models
 400 are developed with respect to two damage patterns: the surface layered spalling and the surface in-plane cracking.
 401 For these two cases, the semi-infinite space is divided into three zones: dissolved/cracked zone, crystallized/uncracked
 402 zone and the intact zone to leaching. On this basis, the dual moving boundary problems are established and solved for
 403 the sulfate and hydroxide profiles. The moving rates for dissolved/cracking front and leaching front are also solved as
 404 proportional to the square root of exposure time, so is the spalling depth. Such models incorporate the main knowledge
 405 of concrete damage by sulfate chemical reactions and provide analytical results for the damage rate.

406 2. The established models are validated against two sets of experiments on cement paste specimens exposed to
 407 external sulfate solution with pH value of solution controlled to neutral state. The sub-model for layered damage
 408 pattern, without cracking effect on diffusivity, is validated against uni-dimensional sulfate diffusion on disk specimens,
 409 and the spalling depths measured are used to validate the sub-model. With the consideration of decreased diffusivity
 410 by ageing effect, the model gives conservative prediction for spalling rate but on the same order of magnitude as
 411 measured one. Then, the sub-model for in-plane cracking is employed to predict the sulfate attack on smaller cylinder
 412 specimens. With the help of coordinate transformation, the spalling rate is predicted using the usual range values for
 413 cracking magnification for diffusivity, and the model prediction gives conservative results but in good agreement with
 414 measured cracking depths. Through the validations, the spalling depth is first confirmed to scale linearly with the square
 415 root of exposure time, indicating the the sulfate damage is dominated by diffusion processes. Due to the conservative
 416 nature and correct prediction on magnitude order of spalling depth, these models have good potential for engineering
 417 use.

418 3. For the spalling rate model, four groups of parameters interplay: the diffusivities, the boundary ionic concentra-
 419 tions, the contents of reactive solid phases, and the mechanical properties related to fracture. Through dimensionless
 420 quantity analysis, the normalized spalling rate drops rapidly as the normalized sulfate concentration and the relative
 421 sulfate diffusivity decrease. The single parameter analysis shows that the sulfate diffusivity, in physical aspect, and
 422 the aluminate content, in chemical aspect, are two foremost impact factors for spalling damage rate, which provides
 423 the basis for technical specification for concretes exposed in such environments. For the intensity classification of
 424 combined action of ESA and leaching, the gradation on logarithmic scale of external sulfate concentration is more
 425 appropriate. The portlandite content has less important impact on the spalling rate, so the CH content is not relevant
 426 to the spalling rate control.

427 4. Some strong assumptions are made in the modeling for the sake of simplified models and analytical results for
 428 spalling depth. Among these assumptions, the leaching of only CH phase, the constant aluminate concentration and
 429 complete/instantaneous AFt formation all leads to the overestimation of the effective stress and the spalling rate. The
 430 models will be more reasonably applied to concretes using Portland cement as the main component of binder. As
 431 long as the prediction is on the correct order of magnitude for spalling depth, the conservative nature of prediction is
 432 favored by engineering use. The constant diffusivity assumption on time scale needs attention when the models are
 433 applied to real engineering cases, and the long-term diffusivity values should be used instead of laboratory short-term
 434 measurements or theoretically estimated value because ageing can occur for diffusivity. Moreover, as other aggressive
 435 ions (Mg^{2+} , Cl^-) are present along with sulfates in an important concentration, the models should not be used as the
 436 damage mechanism would be different from AFt formation.

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440 A. Solution of dual moving boundary problem

441 The Fick's second law with constant diffusivity can be reduced to an ordinary differential equation using Boltzmann
 442 variables [48]. For the dual MBP defined through Eq.(7) to Eq.(11), the following Boltzmann variables are defined.

$$\eta = \frac{x}{\sqrt{t}} \quad \text{and} \quad k = \frac{X_C}{\sqrt{t}}, \quad \kappa = \frac{X_L}{\sqrt{t}} \quad (\text{A.1})$$

443 A.1. Sulfate diffusion

444 The sulfate diffusion equations in Eq.(7) can be rewritten using the Boltzmann variables as

$$-\eta \frac{\partial c_S}{\partial \eta} = \begin{cases} 2D_{1,S} \frac{\partial^2 c_S}{\partial \eta^2}, & \eta < k \\ 2D_{2,S} \frac{\partial^2 c_S}{\partial \eta^2}, & \eta \geq k \end{cases} \quad (\text{A.2})$$

445 The initial condition, boundary condition and the moving boundary conditions can be also expressed in terms of these
 446 Boltzmann variables by putting Eq.(A.1) into Eq.(9),

$$\begin{cases} c_S(\eta \rightarrow \infty) = 0, \quad c_S(\eta = 0) = c_S^0 \\ c_S|_{\eta=k^-} = c_S|_{\eta=k^+}, \quad D_{1,S} \frac{\partial c_S}{\partial \eta} \Big|_{\eta=k^-} = D_{2,S} \frac{\partial c_S}{\partial \eta} \Big|_{\eta=k^+} \end{cases} \quad (\text{A.3})$$

447 It is readily to verify that the following solution satisfies both Eq.(A.2) and Eq.(A.3),

$$\frac{c_S}{c_S^0} = \begin{cases} A_1 \operatorname{erfc}\left(\frac{\eta}{2\sqrt{D_{1,S}}}\right) + A_2, & \eta < k \\ A_3 \operatorname{erfc}\left(\frac{\eta}{2\sqrt{D_{2,S}}}\right), & \eta \geq k \end{cases} \quad (\text{A.4})$$

448 And the integral constants $A_{1,2,3,4}$ are expressed as,

$$\begin{cases} A_1 = \frac{1}{1 - \operatorname{erfc}\left(\frac{K_2}{K_1}\right) + K_1 \operatorname{erfc}(K_2) \exp\left(K_2^2 - \frac{K_2^2}{K_1^2}\right)} \\ A_2 = 1 - A_1, \quad A_3 = K_1 \exp\left(K_2^2 - \frac{K_2^2}{K_1^2}\right) A_1 \end{cases} \quad (\text{A.5})$$

449 with

$$K_1 = \sqrt{\frac{D_{1,S}}{D_{2,S}}}, K_2 = \frac{k}{2\sqrt{D_{2,S}}} \quad (\text{A.6})$$

450 A.2. Hydroxide diffusion

451 The hydroxide diffusion equations in Eq.(10) can be rewritten using the Boltzmann variables as

$$-\eta \frac{\partial c_{\text{OH}}}{\partial \eta} = \begin{cases} 2D_{1,\text{OH}} \frac{\partial^2 c_{\text{OH}}}{\partial \eta^2}, & \eta < k \\ 2D_{2,\text{OH}} \frac{\partial^2 c_{\text{OH}}}{\partial \eta^2}, & k \leq \eta < \kappa \end{cases} \quad (\text{A.7})$$

The initial condition, boundary condition and the moving boundary conditions can be also expressed in terms of these Boltzmann variables by putting Eq.(A.1) into Eq.(11),

$$\begin{cases} c_{\text{OH}}(\eta = 0) = 0, \quad c_{\text{S}}(\eta \geq \frac{X_{\text{L}}}{\sqrt{t}}) = c_{\text{OH}}^0 \\ c_{\text{OH}}|_{\eta=k^-} = c_{\text{OH}}|_{\eta=k^+}, D_{1,\text{OH}} \frac{\partial c_{\text{OH}}}{\partial \eta} \Big|_{\eta=k^-} = D_{2,\text{OH}} \frac{\partial c_{\text{OH}}}{\partial \eta} \Big|_{\eta=k^+} \end{cases} \quad (\text{A.8})$$

452 It is readily to verify that the following solution satisfies both Eq.(A.7) and Eq.(A.8),

$$\frac{c_{\text{OH}}}{c_{\text{OH}}^0} = \begin{cases} a_1 \operatorname{erf}\left(\frac{\eta}{2\sqrt{D_{1,\text{OH}}}}\right), & \eta < k \\ a_2 \operatorname{erf}\left(\frac{\eta}{2\sqrt{D_{2,\text{OH}}}}\right) + a_3, & k \leq \eta < \kappa \\ 1, & \eta \geq \kappa \end{cases} \quad (\text{A.9})$$

453 And the integral constants $a_{1,2,3,4}$ are expressed as,

$$\begin{cases} a_2 = \frac{1}{\operatorname{erf}(\lambda) - \operatorname{erf}\left(\frac{k_2}{k_1}\right) + k_1 \operatorname{erf}(k_2) \exp\left(k_2^2 - \frac{k_2^2}{k_1^2}\right)} \\ a_1 = k_1 \exp\left(k_2^2 - \frac{k_2^2}{k_1^2}\right) a_2, \quad a_3 = 1 - \operatorname{erf}(\lambda) a_2 \end{cases} \quad (\text{A.10})$$

454 with

$$k_1 = \sqrt{\frac{D_{2,\text{OH}}}{D_{1,\text{OH}}}}, k_2 = \frac{k}{2\sqrt{D_{1,\text{OH}}}}; \quad \lambda = \frac{\kappa}{2\sqrt{D_{2,\text{OH}}}} \quad (\text{A.11})$$

455 B. Transport properties

456 A multi-scale model is adopted to estimate the ionic diffusivity for SO_4^{2-} and OH^- in this study [38]. Following
457 this scheme, the ionic diffusivity is expressed as

$$D_i^e = \frac{1 - \lambda_{\text{ca}} v_{\text{ca}}}{1 - \lambda_{\text{fa}} v_{\text{fa}}} v_{\text{paste}} f(\phi_{\text{p}}) D_0^i \quad (\text{B.1})$$

458 where D_i^e is the effective diffusivity of ion species i in the concrete pore solution, $\lambda_{ca,fa}$ are the tortuosity parameters
 459 for the coarse and fine aggregates, $v_{ca,fa}$ are the volumetric fractions of coarse and fine aggregates in concrete, $f(\phi_p)$
 460 is the percolation function for ionic diffusion through pores, ϕ_p is the capillary porosity of cement paste in concrete,
 461 and D_i^0 is the diffusivity of ion i in pure water. The percolation function takes the following form[49],

$$f(\phi_p) = 0.001 + 0.07\phi_p^2 + 1.8 < \phi_p - 0.18 > (\phi_p - 0.18)^2 \quad (B.2)$$

462 with $< x > = x$ when $x \geq 0$ and $< x > = 0$ when $x < 0$. Without binding between the ions and the solid matrix, the
 463 apparent diffusivity writes,

$$D_i^a = \frac{D_i^e}{\phi} \quad (B.3)$$

464 For sulfate ions, there exists chemical binding between the ions and the solid matrix. The apparent sulfate diffusivity
 465 in crystallized zone $D_{2,S}$ takes into account the chemical binding by AFt formation through,

$$D_{2,S} = \frac{D_{2,S}^i}{\phi_2(1 + r_S n_{C_3A})} \quad (B.4)$$

466 The parameters and the apparent diffusivities in the dissolved and crystallized zones are provided in TableB.1 for
 467 different usages.

468 C. Diffusion in 1D axis-symmetry case

469 In 1D axis-symmetry case, the Fick's second law with constant diffusivity is converted into radical coordinate
 470 system as,

Table B.1
Parameters and values for apparent diffusivity of SO_4^{2-} and OH^- ions

Parameter(unit)	Disk	Small cylinder[15]	Parameter analysis
Initial capillary porosity ϕ_0 (-)	0.33	0.25	0.11
Porosity change $\Delta\phi_1$ (-) by leaching	0.072	0.085	0.045
Porosity change $\Delta\phi_2$ (-) by sulfate attack	0.055	0.087	0.034
Porosity in dissolved zone ϕ_1 (-)	-0.402	-0.335	-0.155
Porosity in crystallized zone ϕ_2 (-)	0.347	0.248	0.121
Pore percolation function in Z_1 zone $f(\phi_1)$ (-)	0.0320	0.0155	0.0474
Pore percolation function in Z_2 zone $f(\phi_2)$ (-)	0.0178	0.0059	0.0175
Fine aggregate content v_{fa} (-)	0	0	0.26
Coarse aggregate content v_{ca} (-)	0	0	0.39
Cement paste content v_{paste} (-)	1	1	0.35
OH^- diffusivity in water D_{OH}^0 (10^{-9} m ² /s)	5.27		
SO_4^{2-} diffusivity in water D_S^0 (10^{-9} m ² /s)	1.07		
Effective OH^- diffusivity in Z_1 zone $D_{1,OH}^e$ (10^{-12} m ² /s)	16.86	8.20	1.91
Effective OH^- diffusivity in Z_2 zone $D_{2,OH}^e$ (10^{-12} m ² /s)	9.39	3.09	0.71
Effective SO_4^{2-} diffusivity in Z_1 zone $D_{1,S}^e$ (10^{-12} m ² /s)	3.42	1.67	0.39
Effective SO_4^{2-} diffusivity in Z_2 zone $D_{2,S}^e$ (10^{-12} m ² /s)	1.91	0.63	0.14
Apparent OH^- diffusivity in Z_1 zone $D_{1,OH}$ (10^{-11} m ² /s)	4.20	2.45	1.23
Apparent OH^- diffusivity in Z_2 zone $D_{2,OH}$ (10^{-11} m ² /s)	2.71	1.25	0.58
Apparent SO_4^{2-} diffusivity in Z_1 zone $D_{1,S}$ (10^{-12} m ² /s)	8.52	4.97	2.50
Chemical reaction coefficient r (m ³ /mol)	0.035		
Aluminum phase content n_{C_3A} (mol/m ³)	320	520	198
Apparent SO_4^{2-} diffusivity in Z_2 zone $D_{2,S}$ (10^{-13} m ² /s)	4.50	1.35	1.49

$$\frac{\partial c}{\partial t} = D \left(\frac{1}{r} \frac{\partial c}{\partial r} + \frac{\partial^2 c}{\partial r^2} \right) \quad (\text{C.1})$$

471 Accordingly, the sulfate and hydroxide diffusion equations with a moving boundary R_M and leaching front R_L write,

$$\begin{cases} \frac{\partial c_S}{\partial t} = D_{1,S} \left(\frac{c_S}{r} + r \frac{\partial^2 c_S}{\partial r^2} \right), & \frac{\partial c_{OH}}{\partial t} = D_{1,OH} \left(\frac{c_{OH}}{r} + r \frac{\partial^2 c_{OH}}{\partial r^2} \right), & R_M \leq r \leq R \\ \frac{\partial c_S}{\partial t} = D_{2,S} \left(\frac{c_S}{r} + r \frac{\partial^2 c_S}{\partial r^2} \right), & \frac{\partial c_{OH}}{\partial t} = D_{2,OH} \left(\frac{c_{OH}}{r} + r \frac{\partial^2 c_{OH}}{\partial r^2} \right), & R_L \leq r < R_M \\ \frac{\partial c_S}{\partial t} = D_{2,S} \left(\frac{c_S}{r} + r \frac{\partial^2 c_S}{\partial r^2} \right), & \frac{\partial c_{OH}}{\partial t} = 0, & 0 \leq r < R_L \end{cases} \quad (\text{C.2})$$

472 where R represents the radius of cylinder specimen. For sulfate diffusion, the initial condition and boundary conditions,
473 at the surface boundary and moving boundary in Eq.(9), write,

$$\begin{cases} c_S(r < R, t = 0) = 0, & c_S(r = R, t > 0) = c_S^0 \\ c_S|_{r=R_M^-} = c_S|_{r=R_M^+}, & rD_{1,S} \frac{\partial c_S}{\partial r} \Big|_{r=R_M^-} = rD_{2,S} \frac{\partial c_S}{\partial r} \Big|_{r=R_M^+} \end{cases} \quad (\text{C.3})$$

474 For hydroxide diffusion, the initial condition and boundary conditions, at the surface and moving boundaries in Eq.(11),
475 write,

$$\begin{cases} c_{OH}(r = 0, t > 0) = 0, & c_{OH}(r \leq R_L) = c_{OH}^0 \\ c_{OH}|_{r=R_M^-} = c_{OH}|_{r=R_M^+}, & rD_{1,OH} \frac{\partial c_{OH}}{\partial r} \Big|_{r=R_M^-} = rD_{2,OH} \frac{\partial c_{OH}}{\partial r} \Big|_{r=R_M^+} \\ n_{CH} \frac{dR_L}{dt} = -\phi_2 D_{2,OH} \frac{\partial c_{OH}}{\partial r} \Big|_{r=R_L} \end{cases} \quad (\text{C.4})$$

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