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Topical Issue – Musical Acoustics: Latest Advances in Analytical, Numerical and Experimental Methods Tackling Complex Phenomena in Musical Instruments

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3 Q1 Playability of self-sustained musical instrument models: statistical approaches

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13 Abstract - Self-sustained musical instruments, such as wind or bowed string instruments, are complex 14 nonlinear systems. They admit a wide variety of regimes, which sometimes coexist for certain values of the 15 control parameters. This phenomenon is known as multistability. With fixed parameters, the selection of a 16 regime and the shape of the transient depend not only on the values of the control parameters, but also on the initial conditions. In this article, we focus on the statistical influence of initial conditions on regime selection 18 and transient duration. An existing sample-based method called basin stability is presented to calculate the 19 probability of occurrence of each regime. A second sample-based method is proposed for the calculation of 20 the probability density function of transient durations. Additionally, a study taking into account specific 21 control scenarios is presented to highlight the influence of the distribution of initial conditions considered for 22 the statistical methods. These methods are presented on a Van der Pol oscillator seen as a prototypical musical 23 instrument model. They are then applied to a physical model of trumpet, to demonstrate their potential for a 24 high dimensional self-oscillating musical instrument. Finally, their interest regarding questions of playability is 25 discussed. 26

Keywords: Self-sustained musical instruments, Multistability, Basin stability, Transient duration, Playability

1 Introduction 29

30 Musical instruments are complex dynamical systems. 31 Some of them are self-sustained oscillators, meaning that 32 a continuous energy supply can make them oscillate. Wind 33 instruments and bowed string instruments belong to this 34 category. These instruments admit a wide variety of 35 regimes, which sometimes coexist for certain values of 36 control parameters. This is known as multistability. 37 Multistability is a common phenomenon in self-sustained 38 oscillators. It has been observed experimentally, theoreti-39 cally and numerically on a wide variety of instruments, 40 e.g., single and double reed instruments [1-3], flutes [4], 41 brass instruments [5], vocal folds [6] and bowed string 42 instruments [7]. There are multiple works focusing on map-43 ping the operating regimes of these instruments [8]. We find 44 in [9, 10] among the first curves representing the evolution 45 of the amplitude of a solution as a function of a control 46 parameter. The stability of these solutions is also studied 47 few years later [11]. A seminal work of Dalmont et al. [12] 48 represents bifurcation diagrams of self-sustained musical

49 instruments. These diagrams show the evolution of known 50 solution properties as a function of one or more control 51 parameters. The stability of these solutions is given, as well 52 as some key features, such as amplitude and fundamental 53 frequency for periodic solutions. Thanks to these diagrams, 54 one can predict the behavior of an instrument whose control 55 parameters vary in a quasi-static manner – i.e., slowly in relation to the system's rate of evolution. In the case of 56 57 multistability, bifurcation diagrams predict hysteretic behaviors, such as those of reed and brass instruments 58 59 [13] or flute-like instruments [14]. Some unexpected regimes are also predicted with this method, such as the ghost note 60 in brass instruments [15] or the wolf tone of the cello [16]. 61 Nevertheless, it is much more difficult to predict the regime 62 of a multistable instrument when the control parameters 63 vary rapidly. In particular, the blowing pressure dynamics 64 are shown to have an influence over the regime selection 65 in flute-like instruments [17]. Similar results are shown in 66 67 [18] for the saxophone.

Similarly, once the control parameters are fixed, the 68 time required to reach the steady state depends on the 69 70 previous evolution of the control parameters. This phenomenon was observed by [19, 20] on the clarinet. Generally 71

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1 speaking, the duration of attack transients [7, 21] or transi-2 tions between notes [22] are important topics, since the 3 quality of a transient perceived by a musician or a listener 4 depends greatly on its characteristics and duration [23]. The 5 duration of the transients that gives rise to a sound is thus 6 studied on multiple self-sustained instruments, from the 7 trombone [24] to the cristal Baschet [25], using linear stabil-8 ity analysis. Like the prediction of the playing regime, the 9 duration of the transient is estimated for control parameters 10 varving in a quasi-static manner. Linear stability analysis 11 cannot predict dynamic phenomena such as those observed 12 by [19, 20]. Finally, despite their high efficiency in the quasi-13 static regime, current methods for analyzing self-oscillating 14 instruments are limited when considering rapidly varying 15 control parameters. By taking these rapid variations into 16 account, the dimensionality of the problem becomes infinite 17 since the parameters can follow any time series. Conse-18 quently, it motivates researchers to limit their studies to 19 specific transient scenarios. For example, several authors 20 consider pressure ramps of variable slope and study the 21 influence of the pressure rise rate on the selected regime 22 [26, 27] or the transient duration [20]. In this article, we 23 study rapid variations in control parameters through 24 stochastic initial conditions, in cases of multistability 25 between an equilibrium and a periodic regime. We are 26 interested in situations where the musician moves quickly 27 from one quasi-static configuration to another, as is the case 28 during an attack [21, 28], a break or a change of note 29 [22, 29, 30]. Quasi-statistical analysis is not valid during this 30 transition and the new quasi-static configuration is studied 31 under unknown initial conditions. With this in mind, we 32 study the statistical influence of initial conditions on the 33 selected regime and on the duration of the transient. The 34 probability of obtaining each regime is estimated with an 35 already existing method called basin stability [31], and an 36 original approach is proposed to determine the probability 37 of obtaining each transient duration. Finally, the distribu-38 tions of initial conditions considered for these statistical 39 methods are discussed. In particular, initial conditions 40 generated by specific transient control scenarios are studied.

41 The remainder of the document is organized as follows. 42 The system chosen to introduce the methods is given in 43 Section 2. Section 3.1 focuses on the basin stability metric 44 and its use for musical instruments. The transient duration 45 is investigated in Section 3.2 and the influence of the 46 distribution of initial conditions is explored in Section 4. 47 To highlight the interest of the methods on more sophisti-48 cated and high dimensional systems, an application to a 49 physical model of trumpet is presented in Section 5. Differ-50 ent methods and results are discussed in Section 6. Perspec-51 tives and conclusions are drawn in Section 7.

52 2 Minimal multistable system

53 Most physical models of self-sustained musical instru-54 ments have no exact analytical solutions for transient or 55 steady-state regimes. Therefore, numerical methods are 56 often used to study them, such as finite differences [32] or harmonic balance method [33]. A number of authors have 57 proposed less detailed models with exact analytical solu-58 tions and only few state variables. These simplified models 59 60 help to investigate the mechanisms behind self-oscillations [34]. In order to compare our statistical approach with exact 61 analytical results, we have chosen to illustrate our methods 62 on such a system. In this article, we focus on a Van der Pol 63 oscillator with fifth-order nonlinearity, as described in [35]. 64 This oscillator is characterized by a region of multistability, 65 where both an equilibrium and a periodic solution are 66 stable. This feature can also be observed on several musical 67 instruments, such as saxophones [27] or brass instruments 68 69 [5]. Its phase space is of dimension two and can therefore be displayed simply, enabling more detailed dynamic 70 analysis. A technical publication [36] presents the musical 71 interest of this system and provides a demonstrator solving 72 it in real time (https://zenodo.org/records/8413627). Note 73 that even though the global behavior of musical instru-74 75 ments is varied and rich, the system studied here can be obtained by change of variables and polynomial expansions 76 from any system presenting this type of multistable behav-77 ior. It is only used to illustrate the interest of a statistical 78 79 approach to predict the behavior of a multistable system under transient excitations. 80 81

The motion of the considered oscillator is given by the following equation:

$$\ddot{x} + \left[-\mu + \sigma(x^2 + \dot{x}^2) + v(x^2 + \dot{x}^2)^2\right]\dot{x} + x = 0.$$
(1) 85
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We impose $\sigma = -1.5$ and v = 0.1 in order to obtain a multistable saxophone-like or brass-like behavior. The parameter μ is the control parameter of this simple "musical instrument" and its effect is analogous to the blowing pressure for wind instruments [36]. A harmonic balance method with only one harmonic gives the amplitude X of solutions of the form: 94

$$x = X \cos(t + \varphi).$$
 (2) 94
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There are up to three solutions, depending on the value of μ : **88**

$$\begin{cases} X_{Eq} = 0, \\ X_{2\pi+} = \sqrt{\frac{-\sigma + \sqrt{\sigma^2 + 4\mu\nu}}{2\nu}} & \text{if } \mu \ge \mu_{\text{SN}}, \\ \sqrt{\frac{-\sigma + \sqrt{\sigma^2 + 4\mu\nu}}{2\nu}} & \sqrt{\frac{-\sigma + \sqrt{\sigma^2 + 4\mu\nu}}{2\nu}} \end{cases}$$

$$X_{2\pi-} = \sqrt{\frac{-\sigma - \sqrt{\sigma^2 + 4\mu v}}{2v}} \quad \text{if } \mu_{\text{SN}} \ge \mu \ge \mu_{\text{H}},$$
 101

with $\mu_{\rm H} = 0$ and $\mu_{\rm SN} = -\frac{\sigma^2}{4\nu}$. These solutions consist of an equilibrium $(X_{\rm Eq})$ and two 2π -periodic solutions 102 103 $(X_{2\pi+} \text{ and } X_{2\pi-})$, which are represented on a bifurcation 104 diagram in Figure 1. This oscillator shows an inverse Hopf 105 bifurcation at $\mu = \mu_{\rm H}$ and a saddle-node bifurcation at 106 $\mu = \mu_{\rm SN}$. Between these two bifurcations, the system is 107 multistable: both the equilibrium $X_{\rm Eq}$ and the largest peri-108 odic solution $X_{2\pi+}$ are stable. Saxophones [27] and brass 109 instruments [5] are likely to present this bifurcation 110 sequence and therefore this multistability behavior. 111

A traditional bifurcation diagram only depicts the 112 steady state solutions. The influence of initial conditions 113 on the transient and the steady-state behavior is concealed. 114



Figure 1. Bifurcation diagram of a fifth order Van der Pol oscillator equation (1) with respect to the control parameter μ , with $\sigma = -1.5$ and $\nu = 0.1$. Stable solutions are represented with continuous lines, unstable solutions in broken lines and bifurcations are indicated with star markers. The region of multistability is shaded in grey.



Figure 2. Illustration of the sensitivity to the initial conditions, for the Van der Pol oscillator, regarding the steady state regime and the transient duration. On the left side, the phase plane of the system for $\mu = -3$, with trajectories coming from three close initial states (indicated with star markers). On the right side, the evolution of the position x with respect to time (dimensionless).

This influence can be seen on a phase plane for a given value 2 of the control parameters. In Figure 2, we show the phase 3 plane of the fifth order Van der Pol oscillator for $\mu = -3$, 4 which is a situation of multistability. For the remainder 5 of the paper, we use this value each time we need to choose 6 a specific μ for illustration. The equation of motion equation 7 (1) is numerically integrated with the function ode45 of 8 Matlab [37], for three initial states very close to one 9 another. In that case, the slight change in initial conditions 10 leads the system either to the equilibrium (in blue) or to the 11 stable limit cycle (in red). The two oscillating trajectories 12 have different transient durations: one is almost instanta-13 neous while the other lasts approximately one period.

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Figure 3. Basins of attraction of the fifth order Van der Pol oscillator ($\mu = -3$). Initial conditions taken in the red shaded area give rise to the periodic solution whereas initial conditions taken in the blue shaded area give rise to the equilibrium solution.

In short, Figures 1 and 2 illustrate that the steady state 14 15 and the transient duration of this minimal self-sustained musical instrument are sensitive to the initial conditions 16 and to the control parameter value around a bifurcation. 17 This sensitivity is merely a mark of non linearity and is 18 shared by most non linear dynamical systems. In the 19 Sections 3.1 and 3.2, we present two statistical approaches 20 to predict the selected regime and the transient duration for 21 such a system. 22

3 Methods

3.1 Basin stability

25 Some self-sustained musical instruments are multistable. In such a case, once their control parameters are 26 27 settled, their steady state depends exclusively on their initial state. The subset of initial conditions leading to a 28 29 specific attractor is called its basin of attraction [38, 39]. 30 In Figure 3, we depict the basins of attraction of the fifth order Van der Pol oscillator, for $\mu = -3$. The basin of the 31 32 equilibrium is shaded in blue and the basin of the periodic 33 solution is shaded in red. In that case, the two basins are 34 separated by the unstable limit cycle of amplitude $X_{2\pi-}$, plotted in broken line and given in equations (1) and (3). 35 In the general case, however, the geometry of a basin of 36 attraction can be more complex and its boundaries difficult 37 to find. We discuss this problem in Section 6. Moreover, in 38 the scope of this article, we consider that the initial condi-39 tions resulting from a quick change in the control parame-40 ters are unknown. They are randomly taken within a 41 subset of the initial state space. Since the initial state is 42 43 unknown, the precise geometry of the basins of attraction 44 does not suffice to predict its playing regime.

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To take into account the uncertainty of the initial state, we propose to use the notion of *basin stability*, a probabilistic metric introduced by [31]. The principle is to evaluate the size of the basins of attraction with respect to the total size of the considered space of initial states. The result gives the probability to reach each attractor, considering a random initial state. Formally, considering a subset of interest of the space of initial conditions Q, an attractor A, its basin of attraction B and a probability density function ρ , the basin stability $S_{\mathcal{B}}(A)$ is defined as follows:

$$\mathcal{S}_{\mathcal{B}}(A) = \int_{\mathcal{Q}} \mathbf{I}_{\mathcal{B}}(\mathbf{X}) \rho(\mathbf{X}) \mathrm{d}\mathbf{X}, \tag{4}$$

14 where

$$\mathbf{I}_{B}(\mathbf{X}) = \begin{cases} 1, & \text{if } \mathbf{X} \in B, \\ 0, & \text{otherwise.} \end{cases}$$
(5)

19 The probability density function ρ can follow a specific dis-20 tribution if the random choice of initial conditions is not 21 uniform. This aspect is discussed in Sections 4 and 6. Due 22 to the nature of ρ as a probability density function over 23 \mathcal{Q} , the basin stability metric is always comprised between 24 0 and 1. $S_{\mathcal{B}}(A) = 0$ indicates that the basin of attraction 25 of the solution is statistically never reached. $S_{\mathcal{B}}(A) = 1$ 26 means that the basin of attraction of A occupies all of the 27 region of interest \mathcal{Q} , and that A is reached by every trajec-28 tory. All the illustrations of Section 3 are realized with a 29 uniform distribution. The volumic integral in the expression 30 equation (4) of $\mathcal{S}_{\mathcal{B}}$ is rarely calculable exactly and we rather 31 compute the corresponding discrete sum. In other words, we 32 take N random samples in \mathcal{Q} and we evaluate the propor-33 tion of samples belonging to the studied basin of attraction. 34 The basin stability estimation, which is noted $\hat{\mathcal{S}}_{\mathcal{B}}$, then 35 36 writes:

$$\hat{\mathcal{S}}_{\mathcal{B}}(A) = M/N,\tag{6}$$

39 where M is the number of samples belonging to B, the 40 basin of attraction of A. As pointed out in [31, 40, 41], this 41 computation corresponds to N independent trials with 42 probability of success S_B . The resulting standard error 43 due to sub sampling writes:

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$$\operatorname{err}(\hat{\mathcal{S}}_{\mathcal{B}}) = \sqrt{\frac{\mathcal{S}_{\mathcal{B}}(1 - \mathcal{S}_{\mathcal{B}})}{N}}.$$
 (7)

48 In practice, $S_{\mathcal{B}}$ is unknown so it is replaced by its estimation 49 $\hat{\mathcal{S}}_{\mathcal{B}}$ in equation (7). This error only accounts for sub-sam-50 pling. It is interesting to notice that the error does not 51 depend on the dimension of the state space. As a result, 52 the basin stability is a metric suitable for low dimension 53 problems as well as for high dimension ones. In general, 54 for more complex systems, the basin boundaries are 55 unknown and the classification method would consist in 56 time integrating the system and observing toward which 57 attractor it would converge. Such strategy is illustrated in 58 Figure 4. However, for this minimal multistable system, 59 the basin boundary is analytically known: the boundary is 60 the unstable periodic solution whose \mathcal{L}_2 -norm $X_{2\pi-}$ is given



Figure 4. Illustration of the classification method in the general case. The plotted trajectories are obtained with time integrations starting from the stars as initial conditions. Red trajectories are inside the basin of attraction of the stable periodic solution $X_{2\pi+}$ whereas the blue ones belong to the basin of the equilibrium X_{Eq} .



Figure 5. Basin stability of the two stable solutions computed from 100 samples. In red: basin stability of the periodic solution. In blue: basin stability of the equilibrium. Error bars correspond to the standard error of the size estimation of the Monte-Carlo method due to sub-sampling equation (7). In black: basin stability computed with the analytic expression of the basin boundary (circle of known diameter $X_{2\pi-}$ given in (3)). The region of multistability is shaded in grey.

in equation (3). As a result, it is much faster to classify the samples with this analytical expression rather than with time integrations.

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Figure 5 gives the basin stability of the Van der Pol 64 oscillator. To compute it, we arbitrarily choose a subset of 65 the phase space \mathcal{Q} which includes all the attractors and 66 which is independent of μ . For $\mu \in [-7, 1]$ as in Figure 1, 67 the subset $\mathcal{Q} = ([-4, 4] \times [-4, 4])$ meets these condi-68 tions. To uniformly sample \mathcal{Q} , we use a Latin Hypercube 69 Sampling of 100 samples. The basin stability of the equilib-70 rium is plotted in blue and the one corresponding to the 71 periodic solution in red. The error bars correspond to the 72

 $\cdot \otimes 0$

 $\begin{array}{ll} 1 & {\rm standard\ error\ given\ in\ equation\ (7)}. \ In\ addition,\ within\ the \\ 2 & {\rm multistability\ region,\ i.e.,\ for\ } \mu_{\rm SN} \geq \mu \geq \mu_{\rm H},\ the\ exact\ basin \\ 3 & {\rm stabilities\ write:} \end{array}$

6 7 $\begin{cases} S_{\mathcal{B}}(X_{\mathrm{Eq}}) = \frac{\pi X_{2\pi-}^2}{\operatorname{area}(\mathcal{Q})}, \\ S_{\mathcal{B}}(X_{2\pi+}) = 1 - S_{\mathcal{B}}(X_{\mathrm{Eq}}). \end{cases}$ (8)

8 These exact solutions are represented with black lines. They 9 mainly remain inside the error bars estimated with $\hat{\mathcal{S}}_{\mathcal{B}}$ (i.e., 10 $\mathcal{S}_{\mathcal{B}}$ replaced by $\hat{\mathcal{S}}_{\mathcal{B}}$ in Eq. (7)). This validates the error esti-11 mation of the sample-based method given in equation (7). 12 Outside the multistability area, the only stable solution 13 has a basin stability equal to 1 whereas the other one has 14 a basin stability equal to 0. Regarding this metric, non 15 existing solutions and unstable solutions are identical and 16 have a zero basin stability. Inside the multistability area, 17 both solutions have a non zero basin stability. The stability 18 of the periodic solution increases with μ whereas the stabil-19 ity of the equilibrium decreases. One can observe that $\mathcal{S}_{\mathcal{B}}$ is 20 continuous at the Hopf bifurcation but discontinuous at the 21 saddle-node bifurcation. Indeed, in the first case, the basin 22 of attraction of the equilibrium shrinks progressively until it 23 becomes a dot in the phase space for $\mu = \mu_{\rm H}$. In the second 24 case, the periodic solution suddenly disappears at $\mu_{\rm SN}$, even 25 though its basin of attraction had previously occupied a 26 large part of \mathcal{Q} . The value of $\mathcal{S}_{\mathcal{B}}$ corresponds to the proba-27 bility to obtain the related solution, given a random initial 28 state inside Q. If a musician were to impose uniformly ran-29 dom initial conditions in $\mathcal{Q} = ([-4, 4] \times [-4, 4])$, Figure 5 30 indicates that he/she would have at least a 60% chance of 31 reaching the periodic regime in the steady state. However, 32 the assumption of a musician peeking a random initial 33 condition uniformly seems oversimplified. This topic is 34 discussed in Sections 4 and 6.

35 Overall, the basin stability indicates which values of the 36 parameters (here, the only parameter is μ) fosters one solu-37 tion or another. It gives the probability to produce a specific 38 regime, considering a subset \mathcal{Q} of the phase space and a 39 probability density function ρ of Q. The result depends 40 greatly on the choice of \mathcal{Q} and ρ . The standard error of this 41 metric depends on the number of samples and on the rela-42 tive size of the studied basin of attraction. It does not 43 depend on the phase space dimension however and thus is 44 very well adapted to high but finite dimensional systems.

45 3.2 Transient duration

46 In music, transient phenomena play a crucial role in 47 sound and instrument discrimination [42–44]. Musicians 48 may also have expectations concerning the characteristics 49 of these transients, and in particular concerning their 50 duration. For example, Guettler and Askenfelt [23] high-51 light the importance of the type and duration of violin 52 attacks on their quality as perceived by professional string 53 players. Several authors [21, 22, 25, 45] assume that musi-54 cians and instrument makers look for short transitions, 55 whether for the onset or for sequences of linked notes. 56 In this paper, we thus consider the reaction time of an



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Figure 6. (a) Phase trajectories and (b) time evolution of the associated norm of the system's state for 300 random initial conditions. Blue trajectories lead to the equilibrium and red trajectories lead to the periodic solution. The neighborhoods of the two stable solutions are shaded in grey. They are defined at a distance $\varepsilon = 0.1$ in \mathcal{L}_2 -norm from the stable solutions.

57 instrument – i.e., its tendency to produce short transients – 58 as a playability criterion. In self-sustained instruments, the 59 transient depends on the parameters of the system but also on the initial conditions, as can be seen on Figure 6. In this 60 figure, the evolution of the fifth order Van der Pol oscillator 61 has been computed for a large number of random initial 62 states, all other things being equal. In Figure 6a, the trajec-63 tories are represented in the phase plane whereas in 64 Figure 6b, the same trajectories are represented with respect 65 to time. The transient part of a trajectory is defined between 66 its initial state and the moment it reaches the neighborhood 67 of an attractor. The transient duration is denoted τ here-68 after. The neighborhoods of the two attractors are shaded 69 in grey and are defined at a distance ε in \mathcal{L}_2 -norm from 70 the attractor. Although the size of these neighborhoods 71 has an impact on the transient duration results, for now 72 it is arbitrarily set to $\varepsilon = 0.1$. Figure 6b highlights the 73 74 diversity of transient durations that can be obtained only

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Figure 7. (Top) Cumulative probability function of the transient duration distribution. In ordinate, the proportion of trajectories with a transient duration below the value given in abscissa. (Bottom) Corresponding violin plots. The box plots indicate the extreme values, the median, the upper and lower quartiles whereas the curves around represent the probability density function of the transient duration distribution. A latin hypercube of 1000 samples is used and the transient durations are defined with $\varepsilon = 0.1$.

by picking different initial conditions. Some tendencies seem to appear. For instance, for this distribution of initial conditions, trajectories leading to the equilibrium are usually longer than those leading to the periodic solution.

5 These tendencies stand out when the distributions of 6 the transient durations are represented graphically. Infor-7 mation on a distribution can be displayed as in Figure 7. 8 The upper panel gives the cumulative distribution function, 9 i.e., the proportion of trajectories with a transient duration 10 shorter than the value τ_i represented in abscissa. The two 11 lower panels depict the corresponding violin plots [46, 47], 12 where the box plots give the extreme values (tips of the 13 whiskers), the median and the two quartiles, and the 14 shaded curves represent the probability density function 15 of the distributions. To compute the latter, we used the 16 kernel density estimator of Matlab (ksdensity). This statis-17 tic information is calculated for each attractor separately. 18 As in Section 3.1, we use a Latin Hypercube Sampling to 19 uniformly explore the space of initial states. With this 20 sampling, we do not control the exact number of samples 21 in each basin of attraction, but it can be estimated with 22 the basin stability $\mathcal{S}_{\mathcal{B}}$ previously computed. As a result, 23 for lowest values of $\mathcal{S}_{\mathcal{B}}$, the statistical transient analysis 24 relies on only few samples and may not be very representa-25 tive. It should be remembered that such misrepresentations 26 occur only on highly improbable regimes. If a specific



Figure 8. Violin plots of the transient duration with respect to the control parameter μ . In blue: equilibrium. In red: periodic solution. Number of samples: N = 1000. Panel (b) is a magnified version of the transients leading to the periodic solution. The region of multistability is shaded in grey.

case calls for in-depth study of these regimes, the region 27 of interest \mathcal{Q} can be adapted, leaving the method otherwise 28 unchanged. The cumulative distribution function lends 29 itself well to interpretation in musical context, where one 30 31 could define a longest acceptable transient duration. The probability of the transient being shorter than this upper 32 33 limit can be read directly on the cumulative distribution function, under the hypothesis of random initial conditions 34 35 following a uniform law.

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We use the violin plot representation in Figure 8 to depict the evolution of the transient duration distribution with respect to the control parameter μ . Plotting the probability density function over the boxplot is particularly interesting when there are multiple maxima in the distribution since multiple maxima are not visible on a box plot. For instance, some examples of bi-modal distributions can be observed around the saddle-node bifurcation (for $\mu \approx \mu_{\rm SN}$).

Figure 8 shows that most transients leading to the 45 periodic solution are very short compared with its period 46 $(T = 2\pi)$. Indeed, the violin plots are centered around 47 low values of τ (a magnified view for $\mu = -3$ is visible in 48 Fig. 9). However, the maximum transient durations are 49 50 markedly larger than the median duration, up to 800 times longer for $\mu = -0.26$. This indicates that, for most values of 51 52 μ , a few initial conditions have extremely long transients.



Figure 9. Control scenarios used to compute the transientinformed initial conditions. The multistability region is shaded in gray. μ_0 is the initial value of μ , μ_{max} is the overshoot, μ_{end} is the final value of μ , at which the basin stability is computed, μ_{H} and μ_{SN} are the value of μ at the Hopf and at the saddle-node bifurcations and τ_{att} is the attack duration.

1 In fact, these particular initial states are very close to the 2 unstable limit cycle. In that case, the trajectory follows 3 the unstable limit cycle for some time while slowly diverging 4 from it, which lengthens the transient duration. These rare 5 and very long durations have particularly large values in 6 the vicinity of the Hopf bifurcation. For the equilibrium, 7 transient durations are longer and distributed more uni-8 formly for all the values of μ . Outside the vicinity of the 9 two bifurcations, the transient durations leading to both 10 solutions statistically decrease as μ increases. This is coher-11 ent with the fact that $-\mu$ is the linear part of the damping. 12 As μ increases, the system becomes less and less damped 13 until μ eventually becomes positive and energy is added 14 to the system. Near the saddle-node bifurcation, this trend 15 does not apply. The equilibrium becomes the only stable 16 solution but its transient duration globally increases around 17 $\mu_{\rm SN}$. This is a usual phenomenon around a saddle-node 18 bifurcation. It is interpreted by Strogatz in [38] as the 19 remaining *ghost* of a nearby attractor that does not exist 20 anymore. Around the Hopf bifurcation, the periodic solu-21 tion becomes the only stable solution but its maximum 22 transient duration significantly increases. However, apart 23 from these very few long transients, Figure 8b shows that 24 the overall trend does not change significantly for the peri-25 odic solution around the Hopf bifurcation. Considering ini-26 tial conditions chosen from a uniform distribution, these 27 long transients are highly improbable.

4 Influence of the distribution of initialconditions

In order to provide a more meaningful analysis in a context of musical performance, we consider another distribution for the initial conditions, informed by temporal
evolutions of the control parameter. More precisely, we
now consider initial conditions resulting from a transient

variation of the control parameter μ , just before it reaches 35 a constant target value. Our goal is therefore to consider 36 what we call hereafter a "transient-informed" distribution 37 38 and to analyze how it affects the basin stability results. To obtain a transient-informed distribution, we compute 39 the time evolution of the system under several transient 40 variations of μ . These transient-informed distributions 41 could also be used to compute the transient duration statis-42 tics, but we only focus on the basin stability here, since the 43 44 results are more remarkable.

4.1 Control scenarios

Systems such as the fifth order Van der Pol oscillator 46 presenting an inverse Hopf bifurcation followed by a 47 48 saddle-node bifurcation produce a sound of non-zero amplitude when the control parameter exceeds the Hopf bifurca-49 tion (this is the case for the trumpet and the saxophone for 50 51 certain sets of parameters). Nevertheless, it is possible to reduce the sound amplitude afterward by decreasing the 52 control parameter under the Hopf bifurcation value, into 53 54 the multistability region. To produce a low-volume sound 55 at the onset, one can attempt to realize this gesture quickly by reducing the control parameter, and move the system 56 57 into the multistable region before the limit cycle is attained. However, this strategy may fail if the system remains too 58 59 close to the equilibrium during the transient. It would become trapped in the equilibrium's basin of attraction 60 when the parameter stops varying, which would result in 61 no stable sound being produced. We consider piecewise 62 linear control scenarios, as depicted in Figure 9. These 63 scenarios are defined by four parameters: their initial 64 value μ_0 , their maximal value $\mu_{\rm max}$ that corresponds to 65 what can be called the overshoot value, their final value μ_{end} 66 at which the basin stability is computed and their duration 67 $\tau_{\rm att}$ that corresponds to the "attack duration". For simplic-68 69 ity, we choose the same slope (in absolute value) for the increasing and the decreasing phases (before and after 70 71 the overshoot).

72 The order of magnitude of μ_0 is based on the bifurcation 73 diagrams of the trumpet models presented in [5]. The objec-74 tive is to give as much importance to the multistability as in 75 these trumpet models. Therefore μ_0 is set to have a similar ratio between the size of the multistability region $[\mu_{\rm SN}, \mu_{\rm H}]$ 76 and the size of the stability region of the equilibrium $[\mu_0, \mu_H]$ 77 78 as in the models of [5]. μ_0 is then set to $\mu_0 = -25$. At the end of the attack, $\mu = \mu_{end}$ and the basin stability is computed 79 for this value of μ . The overshoot μ_{\max} and the duration of 80 the attack τ_{att} are the two remaining parameters of the con-81 trol scenarios. In the following, we study the influence of a 82 83 given overshoot $\mu_{\rm max}$ on the regime selection, while the attack duration $\tau_{\rm att}$ remains free to take different values. 84 We arbitrarily choose $\mu_{\rm max} \in [1, 10]$ and $\tau_{\rm att} \in [1, 20]$ 85 (dimensionless values). In [21], Ernoult and Fabre measured 86 pressure rise times going approximately from 5 to 150 ms, 87 for all fingerings between C5 (1046.5 Hz) and C6 88 (2093 Hz). Therefore, by choosing $\tau_{\text{att}} \in [1, 20]$, we keep a 89 90 ratio between the longest and the shortest attacks with 91 the same order of magnitude as in [21].



Figure 10. Example of a transient-informed distribution generated with 10 control scenarios of different durations. (•) Preinitial Latin Hypercube of size $N_0 = 10$; (•) states of the system when $\mu = \mu_{\text{max}}$; (•) transient-informed distribution at the end of the attack. The black dotted line is the fast eigendirection of the equilibrium at $\mu = \mu_{\max}$ and the red one is its slow eigendirection at $\mu = \mu_{end}$.

4.2 Distribution generation

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2 To generate a transient-informed distribution of initial conditions for a specific μ_{end} , we apply 10 control scenarios 3 4 with different durations $(\tau_{\text{att}} \in [1, 20])$ and with a unique 5 value of $\mu_{\rm max}$, to $N_0 = 10$ pre-initial conditions chosen close 6 to the equilibrium (Latin Hypercube Sampling taken inside 7 $x \in [-0.1, 0.1]$ and $\dot{x} \in [-0.1, 0.1]$). As a result, we obtain a 8 transient-informed distribution of N = 100 initial conditions 9 depicted in Figure 10. Firstly, it can be observed that this 10 distribution is far from being uniform; most transient-11 informed initial conditions are very close to either the 12 equilibrium or the periodic solution. It is expected for such 13 a system to leave an unstable equilibrium through its fast 14 eigendirection (i.e., associated with the eigenvalue of high-15 est modulus) and to converge towards a stable equilibrium 16 through its slow eigendirection (i.e., associated with the 17 eigenvalue of smallest modulus). Such behavior is explained 18 by Strogatz in example 5.2.3, p.133 of [38]. Precisely, 19 around the equilibrium in Figure 10, the states of the 20 system at $\mu = \mu_{end}$ are gathered along a specific direction 21 whereas the states of the system at $\mu = \mu_{max}$ are regrouping 22 along another direction. In addition, we plot on the same 23 figure the fast eigendirection of the equilibrium at $\mu = \mu_{\text{max}}$ 24 and its slow eigendirection at $\mu = \mu_{end}$. At the overshoot, 25 the system clearly leaves the equilibrium through its 26 fast eigendirection. At the end of the attack, some of the 27 trajectories converge toward the equilibrium. If the simula-28 tion was performed over a longer duration, they would 29 eventually gather along the slow eigendirection of the 30 equilibrium.



Figure 11. Basin stability of the periodic solution computed with different distributions. The red curves are computed with transient-informed distributions of initial conditions, each curve corresponding to a specific overshoot $\mu = \mu_{max}$. The black curve is computed with a uniform distribution (it is the same as in Fig. 5 without the error bars).

4.3 Basin stability with transient-informed distribution

The basin stability is then computed using these transient-informed distributions. The results are shown in Figure 11 for three different overshootvalues. For the sake of readability, only the basin stability of the periodic solution is represented (we recall that the basin stability of the equilibrium is the complementary to one).

The result computed with the uniform distribution is represented in black. Firstly, this Figure 11 highlights that the basin stability depends greatly on the considered distribution, even though some features are preserved: $S_{\mathcal{B}}(\mu)$ is discontinuous at $\mu = \mu_{\rm SN}$, continuous at $\mu = \mu_{\rm H}$ and monoto nously increasing for the cases where $\mu_{\rm max}=3$ and 4. In the case where $\mu_{\rm max} = 2$, the overshoot scenario does not suffice to leave the basin of the equilibrium and no oscillations are observed in the multistable region. Secondly, for 47 48 the situation considered, this Figure 11 shows that the 49 large-overshoot attacks are more likely to make the system end up on the periodic solution. Moreover, the basin stabil-50 ity increases faster when μ_{end} gets closer to μ_{H} . In terms of 51 playability, a slowly varying $\mathcal{S}_{\mathcal{B}}$ can be interpreted as a 52 region where the difficulty to produce a sound does not 53 54 depend much on the final value of the control parameter. 55 For the situation under study, this means that for a given value of $\mu_{\rm max}$, all the attacks with $\mu_{\rm end}$ in this region of slow 56 varying $\mathcal{S}_{\mathcal{B}}$ have almost the same probability to converge 57 towards the periodic solution. As a result, if we ignore the 58 difficulty for a musician to maintain $\mu > \mu_{\rm SN}$, there is no 59 additional difficulty to reducing the sound amplitude to 60 its minimal value. 61

5 Application to a trumpet model

In order to evaluate the interest of the methods intro-63 duced in previous sections to a more advanced and high 64

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4 5.1 Presentation of the model

5 The model used is identical to the one considered in [5]. 6 It is detailed in Appendix A. The lips are represented by a 7 one-degree-of-freedom oscillator. The air column inside the 8 body of the instrument, also known as the resonator, is 9 modeled by a modal truncation of its input impedance. 10 These two elements are coupled by a nonlinear function 11 that models the air jet through the lip channel. In this arti-12 cle, we consider an 11-mode truncation of the resonator and 13 fix the resonance frequency of the lips around the second impedance peak ($f_{\rm L} = 200$ Hz and $f_2 = 232.7$ Hz). This peak 14 corresponds to the lowest note of the instrument, in 15 16 "normal" playing conditions (the first peak being associated 17 with the "pedal note"). The variables of this model are the 18 position and velocity of the lip as well as the real and 19 imaginary parts of the 11 modal pressures. This results in 20 a system of dimensionality 24. The parameter values used 21 in this article are given in Appendix A.

22 5.2 Bifurcation diagram

23 Unlike the fifth-order Van der Pol oscillator, this 24 trumpet model has no analytical solutions. To determine 25 its solutions, numerical continuation can be used. Here, 26 we use the Manlab software [33]. The bifurcation diagram 27 of the model is shown in Figure B1. The amplitude of the 28 mouthpiece pressure p and the frequency of the sound pro-29 duced f_{play} are plotted with respect to the blowing pressure 30 p_0 . In this zone, the system exhibits an inverse Hopf bifur-31 cation followed by a saddle-node bifurcation, like the Van 32 der Pol oscillator presented in previous sections. The 33 periodic regime is associated with the second mode of the 34 resonator, represented on the bottom panel of Figure B1 35 by a horizontal dotted line.

36 5.3 Basin stability and transient durations computed 37 with bSTAB

38 To calculate the system's basin stability and transient 39 durations, we use the bSTAB toolbox [48]. For our applica-40 tion, we have made a number of modifications to this tool-41 box, which are detailed in Appendix B. These modifications 42 rely on convergence criteria in order to identify when the 43 system has reached steady state. The numerical integration 44 lasts until these criteria are met, rather than for an arbi-45 trary time which would be identical for all trajectories. 46 These modifications allow us both to reduce the duration 47 of the time integrations required to compute basin stability, 48 and to calculate the duration of transients (which is not 49 provided in the original toolbox). These criteria must be 50 defined carefully, in order to avoid samples missclassifica-51 tion, leading to errors in the estimation of basin stability 52 and transient durations.



Figure 12. Bifurcation diagram of the 11-mode trumpet model, calculated with Manlab. Top pane: amplitude of the oscillations $||p||_{\mathcal{L}_2}$ versus blowing pressure p_0 . Bottom pane: fundamental frequency of the oscillations f_{play} versus blowing pressure p_0 . Stable solutions are shown as solid lines, unstable solutions as dotted lines. Bifurcations are represented by stars.

5.3.1 Initial conditions from a uniform distribution

As for the Van der Pol oscillator, we first consider a uniform random distribution of initial conditions Q_u selected inside a hyper-rectangle. This hyper-rectangle is chosen large enough to contain all the solutions, whatever the value of p_0 . Its bounds are set as the extrema of the stable periodic solution at $p_0 = 0.8$ kPa, rounded up to the nearest integer value.

The basin stability calculated with Q_u for N = 30061 samples is given in Figure 13a, the transient duration distri-62 63 butions are given in Figure 13b and a zoomed-in view is given in Figure 13c. The basin stability shares some features 64 with the Van der Pol oscillator. Indeed, it is discontinuous 65 at the saddle-node bifurcation and remains continuous at 66 the Hopf bifurcation. The observations and comments 67 made about this feature on the simple Van der Pol oscilla-68 69 tor translate directly to this more complicated system. In addition, $\mathcal{S}_{\mathcal{B}}$ is monotonous. However, unlike the Van der 70 Pol oscillator, for dynamical systems of dimensionality 71 72 three or more, the basins' boundaries are different than the unstable periodic solutions. These objects even have 73 different dimensionalities (the basins' boundaries are hyper-74 surfaces and the limit cycles are hyperlines). Consequently, 75 76 the basin stability of the equilibrium cannot be inferred 77 from a size estimate of the unstable periodic solution and 78 the sampling approach is then required.

Concerning transient durations, the Hopf bifurcation 79 induces a few long transients in its vicinity, leading both 80 to the equilibrium and to the periodic solution. This is probably related to the increasing timescale of the slowest 82 eigendirection whose stability is reversed at the Hopf 83

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Figure 13. Basin stability (a) and transient duration distributions (b) of the trumpet model calculated with a uniform Q_u distribution of N = 300 initial conditions. The dotted lines in (b) indicate the limit of the scale used for the zoomed view in (c). Error bars are given by the equation (7).

bifurcation. Eventually, the timescale of this eigendirection goes to the infinity at the Hopf bifurcation. The ghost effect around the saddle-node bifurcation, where the periodic solutions do not exist yet, is also visible but less than for the Van der Pol oscillator. This may be due to the fact that in a phase space of dimensionality two, for a value of the control parameter slightly below the value of the saddlenode, the trajectories initiated far away from the equilibrium necessarily have to enter the region of influence of this ghost limit cycle. On the contrary, in a phase space of higher dimensionality, these trajectories can pass away from this region. Moreover, the median and the interquartile range of both solutions increase around the Hopf bifurcation (even though it is hardly noticeable for the periodic solution with that scale).

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Finally, this trumpet model sometimes exhibits transients of more than several seconds, which is extremely long compared to usual musical timescales. Beyond a certain duration, these asymptotic regimes can be considered unplayable or at least difficult to play. However, the regime that is heard during one of these extremely long transients can be treated as a playable regime by the musician, even if it is unstable. In this respect, one could see a percussion instrument as a dynamic system with only one asymptotic solution, the equilibrium, but whose transients are long enough to be used to make music. We could then consider a "transient" category for samples with a transient duration exceeding a certain "musical duration", rather than classifying them according to their asymptotic solution.

5.3.2 Initial conditions from a distribution based on archetypal time variations of p_0

In the following, we study the influence of attack tran-33 sients on basin stability. We apply the same method as in 34 Section 4 to generate distributions Q_{tb} based on transient 35 evolutions of p_0 . Again, we consider pressure rises with 36 overshoot (see Fig. 9), in the manner of a strong tonguing 37 attack or a sforzando, as can be found in [49]. The configu-38 39 ration and the parameters chosen here differ from [49]. Consequently, we consider slightly different control 40 41 transients than those measured in that article, so that $p_{0,\mathrm{max}} \in [1,\,10]$ kPa and $\tau_{\mathrm{att}} \in [10,\,500]$ ms. Ten attack 42 transients are applied to each sample of a uniform dis-43 tribution of $N_0 = 100$ pre-initial conditions. This leads to 44 a final distribution of N = 1000 initial conditions. Each 45 variable of the pre-initial distribution is selected in ranges 46 0.3 times smaller than in Section 5.3.1. These ranges are 47 chosen arbitrarily and have a significant influence on the 48 results. 49

In Figure 14a, we present the influence of the attack 50 duration $\tau_{\rm att}$ on the behavior of the system. Each curve 51 has a fixed value of $\tau_{\rm att}$ and 10 different values of 52 $p_{0,\max} \in [1, 10]$ kPa. It is striking to see that the basin 53 stability does not evolve monotonously with $\tau_{\rm att}$. Indeed, 54 one would expect that the longer the system stays in the 55 region of monostability of the periodic solution, the higher 56 57 the chances it has to end up in its basin of attraction.



Figure 14. Basin stability of the trumpet model calculated with distributions \mathcal{Q}_{tb} of N = 1000 initial conditions based on attack transients (10 scenarios applied to each of the $N_0 = 100$ pre-initial conditions). (a) Each curve corresponds to a specific attack duration $\tau_{\rm att}$. (b) Each curve corresponds to a specific overshoot value $p_{0,\text{max}}$. Only the basin stability of the periodic regime is shown.

However, when τ_{att} increases from 10 to 50 ms, $S_{\mathcal{B}}$ 1 2 decreases. $S_{\mathcal{B}}$ does not evolve much for $\tau_{\text{att}} \in [50, 100]$ 3 ms, and it then increases, as expected, for $\tau_{\rm att} > 100$ ms. 4 These observations can be analyzed by considering recent 5 results concerning dynamical bifurcations [50]: how close 6 the system has come to the equilibrium when the Hopf 7 bifurcation is crossed is crucial to predict the dynamics 8 above the bifurcation. This closeness is the result of the time 9 spent by the system below the bifurcation but also of the 10 number of significant digits used in the simulation (numer-11 ical noise is therefore inevitable). This makes direct inter-12 pretation not straightforward. In Figure 14b, we study 13 instead the influence of the overshoot amplitude $p_{0,\max}$ for 14 various attack durations $\tau_{\text{att}} \in [10, 500]$ ms. In that case, 15 $\mathcal{S}_{\mathcal{B}}$ increases with the overshoot value, until it reaches its 16 maximal value for $p_{0,\max} \in [5, 7]$ kPa. Hence, it seems that 17 there are optimal values of transient control parameters to 18 maximize $S_{\mathcal{B}}$. Further study would be needed to under-19 stand the dynamical reasons leading to this non trivial 20 behavior.

6 Discussion

6.1 Computational cost

The methods presented in this paper allow to compute the basin stability and the transient duration distributions of a system. These metrics describe the global, statistical behavior of a system and could be very useful to study 26 27 musical instruments, notably their playability. However, in order to take into account all the potential behaviors of 28 a system, their computation can be costly. As a result, 29 the computation cost limits the possible applications of 30 these methods, for parametric studies for example. 31

Initially, [31] proposed to use Monte-Carlo techniques to numerically estimate the basin stability. Some limitations of these techniques have been drawn [40] when applied on strange basins of attraction (e.g., fractal and riddled/ intermingled). However, such basins remain to be exhibited on self-sustained musical instruments. Some authors also proposed enrichment to the metric, to take into account uncertainties and variations of the parameters [51, 52]. Additionally, improvements on classical Monte Carlo approaches, notably using machine learning tools, show promise to accelerate basin stability computation. This could be interesting for more complex musical instrument models. For example, [41] proposed a method based on support vector machines. The idea is to find the basins' boundaries with a limited number of samples. Work still needs to be done to determine which method should be used depending on the situation and on the classification cost of a single sample. Indeed, if the classification of each sample is fast, it may be slower to find basins' boundaries rather than to apply a simple Monte-Carlo size estimation. For instance, it is the case for the Van der Pol oscillator presented in this article.

54 If the transient duration statistics and the basin stability are both studied, the two metrics can be computed 55 simultaneously, using the same time integrations (as in 56 Sect. 5). The cost needed to compute the basin stability 57 with Monte-Carlo methods only depends on the size of 58 the basin as indicated by the absolute standard error given 59 by equation (7). For systems with higher dimensions, that 60 cost could increase if the classification cost does. Moreover, 61 the <u>relative</u> standard error, which writes $\operatorname{err}_{\operatorname{rel}}(\mathcal{S}_{\mathcal{B}}) =$ 62 $\sqrt{\frac{1/\mathcal{S}_{\mathcal{B}}-1}{N}}$, increases as $\mathcal{S}_{\mathcal{B}}$ decreases. Higher dimension 63 systems may have more stable solutions which lead to smal-64 ler basin stability values. More samples would thus be 65 needed to keep the relative standard error low. This stan-66 dard error formula stands for independent trials with only 67 68 two outcomes – the sample is either inside or outside the studied basin of attraction. The calculation of the transient 69 duration distributions does not enter into this category 70 71 since the outcome is a continuous random variable: a tran-72 sient duration. With a sparse sampling, some transient 73 behaviors that appear for specific initial conditions might 74 be missed. Consequently, it could be interesting to estimate 75 the convergence of the probability density functions in order to chose an adequate number of samples. 76

The statistical methods presented here can be applied applied at low computational cost on the fifth order

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1 Van der Pol oscillator, because the two attractors and the 2 boundary of the basins of attraction are analytically known 3 and characterized by their \mathcal{L}_2 -norm. On more complex 4 systems, the attractors and basins' boundaries usually do 5 not have analytic expressions. The main difficulty encoun-6 tered in carrying out the statistical analysis of the trumpet 7 model presented in Section 5 was to define adequate classi-8 fication and stopping criteria. Indeed, non-linear systems 9 sometimes evolve with very varied dynamics, making it dif-10 ficult to distinguish between a steady state and a transient 11 regime with slow dynamics. This kind of behavior leads to 12 significant differences in transient duration between differ-13 ent initial conditions, especially at a bifurcation, where 14 one eigendirection becomes infinitely slow. These discrepan-15 cies in transient durations are particularly visible in 16 Figure 13b, around the Hopf bifurcation. In this regard, 17 the Manlab solutions were very helpful to define efficient 18 classification and convergence criteria (see Appendix B). 19 For systems with a wide range of transient durations, we 20 would strongly recommend to set a convergence criteria 21 rather than to use a fixed integration time, as this is the 22 case in the version of bSTAB currently online. This would 23 improve performances and limit missclassification.

24 6.2 Initial conditions and musical gestures

25 In Section 3, the presented statistical approach is based 26 on a uniform distribution of initial conditions. This is a gen-27 eral distribution and it allows different instrument configu-28 rations to be compared. However, the resulting probabilities 29 probably fail to translate the musician's playing experience. 30 As a first attempt to tackle this issue, we study in Section 4 31 initial conditions resulting from an archetypal control 32 gesture. This approach highlights the importance of the 33 considered distribution of initial conditions and it can be 34 interpreted in musical terms more directly than random 35 initial conditions. We would like to draw the reader's atten-36 tion to the fact that the results obtained with this transient-37 based approach not only depend on the transient control 38 parameters, but also on the choice of the pre-initial condi-39 tions. Overall, applicative studies on playability would 40 greatly benefit from a preliminary description of the control 41 scenarios and, where relevant, initial conditions distribu-42 tions. In that perspective, it could be of great interest to 43 measure transient blowing scenarios provided by humans 44 (musicians and non-musicians) in an experimental study. 45 Moreover, as illustrated by Figure 10, dynamical systems 46 are more likely to cross specific regions of their phase space 47 during transients. Choosing distributions of initial condi-48 tions based on the slow and fast eigendirections (depending 49 on the solutions that exist during the transient) could also 50 be an interesting idea.

51 In this paper, we focus on initial conditions induced by 52 the fast evolution of one control parameter. However, musi-53 cians may also impact the initial conditions by acting 54 directly on a state variable. One example among many 55 others is the use of the tongue in reed instruments to impose 56 initial reed positions and velocities. Measurements of ton-57 gue-induced reed positions in a clarinet are presented in [53] for example. To improve the statistical approaches, 58 these initial conditions should also be taken into account. 59

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6.3 Stability, transient duration and playability

Other studies were interested in predicting the transient 61 duration of a self-sustained musical instrument [24, 25]. 62 A common approach is to linearize the system around an 63 unstable equilibrium and to consider the exponential 64 growth of a perturbation. This exponential growth depends 65 on the positive real part of the eigenvalues of the matrix of 66 derivatives (i.e., the jacobian matrix). This method is better 67 adapted for studying initial conditions close to an equilib-68 rium solution. The Floquet theory might be used in the 69 same way for initial conditions next to a periodic solution, 70 71 although it has yet to be applied in that manner to a musi-72 cal instrument model (for more details on the Floquet the-73 ory, refer to [54, 55]). In this article, we consider initial 74 conditions not necessarily close to any solution. Hence, 75 these linear analyses might not be valid for every sample. 76 On more complex systems with several competing multi-77 stable solutions, its local nature prevents it from giving a 78 complete analysis of the transients. However, the system-79 atic and fast nature of linear analysis makes it a good 80 candidate to bolster certain sections of a complete statisti-81 cal study.

82 Finally, the statistical study of the trumpet model pre-83 sented in Section 5 highlights several interests of the methods. The long transients observed in Figure 13 raise the 84 question of the playability of a regime as a function of its 85 86 transient duration. In a standard musical context, we argue that a regime that takes several seconds to establish cannot 87 88 really be considered playable. Therefore, certain stable 89 regimes could actually be unplayable due to transient behaviors, which shows the relevance of nuancing the 90 notion of stability using transients when studying playabil-91 ity. The transient-based analysis of Section 5.3.2 also indi-92 93 cates that the transient control parameters of a musical 94 gesture may have nontrivial optimal values. Future works 95 could analyze these types of transient control scenarios into more details, relying on the statistical approaches as playa-96 97 bility guidelines.

7 Conclusion and perspectives

99 In this article, we present a sample-based approach that can be used to enrich a bifurcation diagram. In this study 100 101 we use it to investigate two playability issues: the prediction of the steady state in multistable situations and the predic-102 tion of the transient duration. The method used for the first 103 issue is called the basin stability [31], whereas the method 104 proposed for describing the responsiveness of a dynamical 105 system is new, to the authors' knowledge. These two 106 methods rely on distributions of initial conditions that 107 108 represent the transient action of a musician. The results strongly depend on this choice of distribution and we 109 110 proposed a method based on time integration to generate 111 transient-informed distributions.

The methods are then applied to a physical model of 1 2 trumpet with the aim to evaluate their interest in a more 3 practical case. The transient-based basin stability of this 4 model present non trivial tendencies regarding some tran-5 sient control parameters. Moreover, very long transients 6 are highlighted, which raises the question of the playability 7 of such asymptotic regime. Overall, these statistical methods 8 show interest for the analysis of musical instruments, but 9 they can also be extended to a large variety of systems.

10 Future works will focus on two aspects. First, these 11 methods will be applied on musical instrument models in 12 configurations showing multiple oscillating regimes and rich 13 transients. Then, measurements on musicians will be con-14 ducted to evaluate the initial conditions that they induce. 15

depending on the desired musical effect.

16 O3 Data availability statement

17 No new data were created or analyzed in this study.

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Appendix A

Trumpet model

81 We consider the brass instrument model described in [5]. The convention used to represent the lip position is given in Figure A1. 82 83 The dimensioned and unregularized equations are as follows:

$$\ddot{x} + \frac{\omega_L}{Q_L} \dot{x} + \omega_L^2 (x - x_0) = \frac{p_0 - p}{\mu_L},$$

$$\dot{p}_n - s_n p_n = Z_c C_n u \,\forall n \in [1, N_m],$$

$$p = 2 \sum_{n=1}^{N_m} \Re(p_n),$$
(A1)

$$u = W \sqrt{\frac{2|p_0 - p|}{\rho}} \cdot \operatorname{sign}(p_0 - p) \cdot \max(x, 0),$$
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with

$$\begin{cases} \omega_L = \sqrt{\frac{k}{m}}, \\ \mathcal{Q}_L = \frac{\sqrt{km}}{c}, \\ \mu_L = \frac{m}{s}. \end{cases}$$
(A2)

91 S is the surface area of the lip to which the pressures p_0 and p are 92 applied, μ_L is the surface mass of the lip, Q_L its quality factor 93 and ω_L its angular eigenfrequency. The resonator is represented by its input impedance, which is treated as a sum of N_m modes 94 with poles s_n and residues $\mathit{C}_n.$ The lip allows air to pass through 95 96 a rectangular surface of height x and width W. The system 97 equation (A1) is scaled as follows:

$$\begin{cases} \ddot{\tilde{x}} + \frac{\omega_L}{Q_L}\dot{\tilde{x}} + \omega_L^2(\tilde{x} - 1) = \omega_L^2(\gamma - \tilde{p}), \\ \dot{\tilde{p}}_n - s_n \tilde{p}_n = C_n \tilde{u} \quad \forall n \in [1, N_m], \\ \tilde{p} = 2\sum_{n=1}^{N_m} \Re(\tilde{p}_n), \end{cases}$$
(A3)

$$\tilde{u} = \zeta \sqrt{|\gamma - \tilde{p}|} \cdot \operatorname{sign}(\gamma - \tilde{p}) \cdot \max(\tilde{x}, 0).$$
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Variables affected by this scaling are marked with a symbol and 102 are defined as follows: 103

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Figure A1. Schematic diagram of the one-degree-of-freedom lip model. Assume x = 0 when the lip is in the closed position and note x_0 the position of the lip at rest (the situation shown here).

$$\begin{cases} \tilde{x} = \frac{x}{x_0}, \\ p_M = \mu_L \omega_L^2 x_0, \\ \tilde{p} = \frac{p}{p_M}, \\ \gamma = \frac{p_0}{p_M}, \\ \tilde{p}_n = \frac{p_n}{p_M}, \\ u_M = \frac{p_M}{Z_c}, \\ \tilde{u} = \frac{u}{u_M}, \\ \zeta = Z_c W x_0 \sqrt{\frac{2}{\rho_{PM}}}. \end{cases}$$
(A4)

Irregular functions appearing in the flow term are regularized:

$$\begin{cases} |\bullet|_{r} = \sqrt{\bullet^{2} + \epsilon}, \\ \operatorname{sign}(\bullet)_{r} = \frac{\bullet}{|\bullet|_{r}}, \\ \max(\bullet, 0)_{r} = \frac{\bullet + |\bullet|_{r}}{2}. \end{cases}$$
(A5)

10 The regularization term ϵ is arbitrarily set to $\epsilon = 10^{-6}$.

11Time is scaled by the first modal angular frequency $\omega_1 = \Im(s_1)$ 12and the concerned variables are written with a symbol. Finally, we13separate the real R_n and imaginary I_n parts of the modal pressures14 p_n , and the system we solve is as follows:

$$\begin{cases} \ddot{\mathbf{x}} + \frac{\hat{\omega}_L}{Q_L} \dot{\mathbf{x}} + \hat{\omega}_L^2 (\tilde{\mathbf{x}} - 1) = \hat{\omega}_L^2 (\gamma - \tilde{p}), \\ \dot{\hat{R}}_n = \Re(\hat{s}_n) \tilde{R}_n - \Im(\hat{s}_n) \tilde{I}_n + \Re(\hat{C}_n) \tilde{u} \\ \forall n \in [1, N_m], \\ \dot{\hat{I}}_n = \Re(\hat{s}_n) \tilde{I}_n + \Im(\hat{s}_n) \tilde{R}_n + \Im(\hat{C}_n) \tilde{u} \\ \forall n \in [1, N_m], \\ \tilde{p} = 2 \sum_{n=1}^{N_m} \Re(\tilde{p}_n), \\ \tilde{u} = \zeta \sqrt{|\gamma - \tilde{p}|_r} \cdot \operatorname{sign}(\gamma - \tilde{p})_r \cdot \max(\tilde{x}, 0)_r. \end{cases}$$
(A6)

Table A1. Resonator modal parameters. Complex residues C_n and poles s_n and resonance frequencies f_n .

n	$C_n (\mathrm{kg} \cdot \mathrm{s}^{-1} \cdot \mathrm{m}^{-4})$	$s_n (\mathrm{rad} \cdot \mathrm{s}^{-1})$	f_n (Hz)
1	744.6	-13.98 + i522.5	83.15
2	954.5	-22.42 + i1462	232.7
3	1335	-28.64 + i2187	348.1
4	2582	-37.64 + i2907	462.6
5	3140	-45.82 + i3658	582.1
6	4191	-49.82 + i4339	690.6
7	4013	-58.42 + i5029	800.4
8	2602	-66.77 + i5705	908.1
9	1278	-72.24 + i6459	1028
10	909.7	-94.40 + i7211	1148
11	620.7	-128.6 + i7931	1262

The parameter values chosen for this article are as follows:

$$\begin{cases} \omega_L = 2\pi \times 200 \,\mathrm{rad} \cdot \mathrm{s}^{-1}, \\ \mu_L = 2 \,\mathrm{kg} \cdot \mathrm{m}^{-2}, \\ Q_L = 3, \\ x_0 = 1e - 4 \,\mathrm{m}, \\ W = 8 \cdot 10^{-3} \,\mathrm{m}, \\ Zc = 1.83 \,\mathrm{kg} \cdot \mathrm{s}^{-1} \cdot \mathrm{m}^{-4}, \\ \epsilon = 10^{-6}. \end{cases}$$
(A7)

Appendix B Modifications to bSTAB

The bSTAB toolbox [48] has been designed to calculate the basin stability of any dynamic system as automatically as possible. It generates initial conditions, performs a time integration for each of them, then classifies the obtained regime by comparing it to reference signals. In our case, we compare the peak-to-peak amplitude of R_2 , the real part of the second modal pressure, to that of the MANLAB solutions. Since classification must be performed on the steady part of the regime, the time integration continues until the following convergence criteria are met.

During the last duration t^{\star} :

- 1. The amplitude of R_2 is within ϵ of that of a reference solution;
- 2. The difference between the amplitude of R_2 and that of the reference decreases.

The transient duration is defined as the duration after which the envelope of R_2 stays within ϵ of the amplitude of the reference solution. In this article, we choose $\epsilon = 0.1$.

The computation of the transient duration is illustrated in Figure B1a. The corresponding trajectory is also represented in Figure B1b, with its projection along x, R_2 and R_4 .

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Figure B1. (a) Computation of the transient duration (represented by the dotted line) and (b) projection of the corresponding trajectory along x, R_2 and R_4 . The red dot is the initial state, the blue cross is the equilibrium, the red line is the stable periodic solution and the black broken line is the unstable periodic solution.

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