

Assessing the matrix effects on MALDI-MS in the positive and negative ion mode detection for protein-protected metal nanoclusters

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5	Hao Yuan, ^a Djibril Lima, ^a Clothilde Comby-Zerbino, ^a Charlène Bouanchaud, ^a Fabien
6	Chirot, ^a Dipankar Bain, ^a Sanjun Zhang ^{b,c} and Rodolphe Antoine ^{a,*}
7	
8	a. Institut Lumière Matière UMR 5306, Université Claude Bernard Lyon 1,
9	CNRS, Univ Lyon, F69100, Villeurbanne, France.
10	b. State Key Laboratory of Precision Spectroscopy, East China Normal
11	University, No.500, Dongchuan Road, Shanghai, 200241, China
12	c. Collaborative Innovation Center of Extreme Optics, Shanxi University,
13	Taiyuan, 030006, Shanxi, China.
14	
15	Corresponding Author

16 * Rodolphe Antoine: rodolphe.antoine@univ-lyon1.fr

1 Abstract:

2 Protein-protected metal nanoclusters (MNCs) represent a new class of highly 3 photoluminescent nanomaterials that have wide applications. Suitable reaction 4 conditions combining protein and metal precursors can produce a vast range of 5 different NC sizes. The average number of metal atoms per protein can be determined 6 by mass spectrometry (MS). MS coupled with matrix-assisted laser desorption 7 ionization (MALDI) presents a number of advantages such as detection with high 8 sensitivity of nanoclusters with high molecular weights. Although many protein-9 protected MNCs have been characterized by MALDI-MS, a large dispersion in the 10 number of metal atoms have been reported mainly due to sample preparation. In this 11 work, we optimized the protocols for negative and positive ion detection mode as a 12 general MALDI-MS sample preparation method for protein-protected MNCs (bovine 13 serum albumin and lysozyme and with gold and silver). Negative and positive ion 14 mode detection was compared, showing that negative ion mode detection in MALDI-15 MS can also be used with acidic matrices. Obvious matrix effects on ion signals and 16 peak positions by MALDI-MS was observed. The average metal numbers of MNCs 17 embedded in proteins are different depending on the MALDI matrix. The matrix 18 effects give a warning for more serious consideration on MALDI-MS measurement 19 and spectra analysis of MNCs.

20

21 Keywords:

protein-protected metal nanoclusters, matrix-assisted laser desorption ionization mass
 spectrometry, matrix effects, positive/negative ion mode detection

24

1 1 Introduction

2 Mass spectrometry (MS) has been a cornerstone in structure characterization of 3 ligand-protected metal nanoclusters (MNCs) reaching atomic precision. [1-6] 4 Indeed, MS can provide direct and accurate information on the composition of such MNCs, both in terms of the number of ligands and on the number of metal 5 atoms. This is central to ensure for the atomic precision necessary to finely 6 tuning the physico-chemical properties of the MNCs.[6-8] Yet in 1996, 7 following the seminal Brust-Schiffrin synthesis method, [9] Whetten et al. 8 9 pioneered the field of MS characterization of ultra-small nanoparticles, by 10 using laser desorption ionization (LDI) to study dodecanethiol-protected gold nanoclusters (NCs) with masses in the range of 27-93 kDa.[10] Ionization 11 techniques softer than LDI, especially matrix-assisted laser desorption 12 13 ionization (MALDI) and electrospray ionization (ESI), later became more 14 popular to better preserve intact ligand-protected clusters.[11, 12] As they rely 15 on radically different ionization processes, these two techniques allow to 16 address the diversity of the chemical nature of the ligands used to stabilize 17 MNCs. ESI is particularly well-suited to ionize water soluble nanoclusters, and 18 has the advantage to cause reduced fragmentation as compared to MALDI, 19 even for fragile particles.[13] However, ESI usually yields multicharged 20 species, resulting in more complex spectra. MALDI ionization is then popular 21 to study nanoclusters with high molecular weights. For instance, it has been 22 used to assign approximate compositions to very large nanoclusters with mass 23 ranging from 300 to 400 kDa.[14, 15] In general MALDI performs better than 24 ESI for organic-soluble nanoclusters, but the choice of the matrix is critical. For 25 instance, Dass et al. proposed trans-2-[3-(4-tert-butylphenyl)-2-methyl-2-26 propenylidene] malononitrile (DCTB) as a matrix for MALDI-MS of intact 27 Au₂₅(PET)₁₈ clusters.[16] Then Kawasaki and coworkers reported a new

MALDI matrix, e.g. α, β-diphenylfumaronitrile (DPF) for thiol-protected gold
 clusters.[17]

3 As biocompatibility is key to several applications of MNCs, recent strategies have been proposed to replace small organic thiolated ligands by 4 5 macromolecular templates, like proteins.[18, 19] Bovine (or human) serum albumin BSA (HSA) and lysozyme proteins have been largely used as 6 templates for directing MNCs, and some mass spectra were already 7 published.[20] Actually, they are large enough to accommodate several metal 8 9 atoms, allowing for producing highly luminescent species. Proteins not only 10 stabilize the MNCs but also reduce the ions from metallic salts to MNCs, due to 11 the presence of thiol groups.[21] The carboxyl and amino groups in proteins 12 help to coordinate metal ions and subsequently accommodate atoms in the 13 protein backbone.[22] Thus there is a simple correlation between the molecular 14 weight of protein (MW), therefore the number of amino-acids, and the number 15 of metal atoms. In the last few decades, other proteins (than BSA/HSA and 16 lysozyme) such as chicken egg white, eggshell membrane, hemoglobin, 17 ovalbumin, lactoferrin, keratin, lactalbumin, globulin, gluten and silk proteins 18 have been used in the synthesis of MNCs.[19] But with such proteins, MNCs 19 were produced without atomic precision (meaning that a distribution of metal 20 atoms is observed), therefore their mass characterization was tricky or even not 21 possible. Lysozyme and BSA were used in this work, because such proteins 22 correspond to the lowest MW and highest MW respectively to produce MNCs 23 with simple and reproducible protocols.

Since protein-protected MNCs are produced without atomic precision, for the nanocluster community, the most important information is the average number of metal atoms embedded in the protein templates, so commercial MALDI-TOF MS instrument in the linear mode is used, which is sufficient to address this point by comparing the mass addition of protein by encapsulating metal

1 atoms. Usually, protein-protected MNCs have been characterized by MALDI-2 MS using standard matrices compatible for proteins, such as sinapinic acid 3 (SA), 2,5-dihydroxybenzoic acid (DHB), or α -cyano- α -hydroxy cinnamic acid 4 (CHCA).[23-25] These matrices contain carboxylic groups favouring proton-5 transfer to the analyte, and thus the formation of protonated species. Even 6 protein signal in negative mode using the same matrices have also been 7 Consequently, most MS investigations of protein-protected reported.[26] clusters were performed in the positive ion mode. Besides, MALDI-MS 8 9 investigations on BSA-protected gold nanoclusters using similar synthesis 10 protocols led to some dispersion in the determination of the gold atoms 11 number.[27] This dispersion may be influenced by sample preparation issues, 12 e.g. use of different matrices and deposition protocols. The aim of the present work is to evaluate the effect of MALDI matrices for the MS characterization 13 14 of protein-protected MNCs in both negative and positive ion modes. To this 15 end, the MALDI response of a series of NCs of different metals, stabilized by 16 different proteins, have been compared using different matrices.

17

18 2 Material and Methods

19 **2.1 Chemical and reagents**

Tetrachloroauric(III) acid (HAuCl₄·3H₂O), bovine serum albumin (BSA), sinapinic acid (SA), 2,5-dihydroxybenzoic acid (DHB), alpha-Cyano-4hydroxycinnamic (CHCA), trifluoroacetic acid (TFA) were purchased from Sigma-Aldrich. Silver nitrate (AgNO₃), Sodium borohydride (NaBH₄) were purchased from Acros Organics. Lysozyme from chicken egg white (14.3 kDa), acetonitrile (ACN) were purchased from Carl Roth. All chemicals were used without further purification, and their solutions were prepared in MilliQ water
 (resistivity 18.2 MΩ).

3 **2.2 Preparation of protein-protected nanoclusters**

4 Three protein protected MNCs (BSA-AuNCs, BSA-AgNCs and Lysozyme-

5 AuNCs) were prepared and the synthesis conditions were shown in Figure S1.

6 2.2.1. Synthesis of BSA-Protected Gold Nanoclusters

BSA-protected clusters were synthesizing as described by Xie et al.[23]
Briefly, 5 mL of HAuCl₄ solution (10 mM) was mixed with 5 mL of BSA
solution (50 mg/mL). The pH of the solution was then adjusted to 11 by adding
1 M NaOH solution and then left for 24 h at 37 °C. The clear brown solution of
BSA-AuNCs was ultrafiltrated using a 50 kDa ultrafilter tube (GE Healthcare)
against MilliQ water and was kept the same volume after purification. The final
concentration of products is 23 mg/mL after purification by dry-weighting.

14

15 2.2.2. Synthesis of BSA-Protected Silver Nanoclusters

As previous synthesis,[28] aqueous solutions of AgNO₃ (1.6 mL, 7.5×10^{-3} M) 16 17 and BSA (0.8 mL, 74 mg/mL) were mixed under vigorous stirring. After 2 min 18 with white suspension, 0.08 mL of 1 M NaOH was added, and the solution 19 turned to clear. Half an hour later, an aqueous solution of NaBH₄ (60 µL, 112 20 mM, dissolved in 0.1 M. NaOH) was added, and the reaction mixture 21 immediately changed to dark brown. The stirring continued for 1 h at room 22 temperature. Final solution was purified using a dialysis tubing (MWCO of 23 50kDa). The purified BSA-AgNCs with concentration of 21 mg/mL was stored 24 in a 4 °C fridge for later use.

25 2.1.3. Lysozyme-Protected Gold Nanoclusters

1 Lysozyme-templated gold clusters was synthesized based on the previous 2 report.[29] The final concentration was 150 μ M and 0.625 mM for Lysozyme 3 and Au³⁺, respectively. The pH was adjusted to 12 using 1 M NaOH, after 4 adding Au³⁺. After 4 hours stirring at room temperature, red luminescence 5 appeared, indicating the formation of clusters (see Figure 1). The solution was 6 purified by a 3000 Da ultrafilter tube (Sartorius) with a raw concentration of 3.3 7 mg/mL.

8 2.3 Optical spectroscopy

9 Absorption and photoluminescence spectra were characterized for verifying the 10 formation of same MNCs as reported (Figure 1). The molar concentration was 11 estimated by the concentration of protein before synthesis reaction, based on an 12 assumption that all added protein participated the formation of MNCs 13 (concentration of BSA-AuNCs mother solution is 376 µM, concentration of 14 BSA-AgNCs is 351 µM, and concentration of Lysoyme-AuNCs is 150 µM). 15 For UV-vis absorption spectra, the samples were diluted with water to a final 16 concentration of 10 µM and then were measured on an Avantes AvaSpec-2048 17 spectrophotometer with an AvaLight DH-S deuterium lamp with water as 18 reference. Fluorescence emission and excitation spectra were recorded with a 19 Horiba FluoroMax-4 spectrophotometer at excitation and emission slits of 5 nm 20 bandpass. The samples were diluted with water to a final concentration of 20 21 µM. All measurements were conducted with a 1 cm quartz cuvette.

22 **2.4 MALDI-TOF MS**

MALDI-TOF MS analysis was conducted on ultrafleXtreme mass spectrometer (Bruker) in linear mode, equipped with 355 nm Nd:YAG laser. The signal was accumulated in 50000 shots; the intensity of the laser beam was the same in all experiments. MS instrument calibration was done with BSA (Sigma-Aldrich,

- 1 66431 Da), Insulin (Sigma-Aldrich, 5733.49 Da), Myoglobin (Sigma-Aldrich,
- 2 16952.30 Da) proteins in CHCA matrix. A groundsteel target was used.
- 3



Figure 1. Absorption spectra of BSA-AuNCs (A), BSA-AgNCs (C), lysozyme-AuNCs (E) and
photoluminescence emission and excitation spectra of BSA-AuNCs (B), BSA-AgNCs (D), lysozymeAuNCs (F). Emission spectra were recorded with excitation wavelength at 450 nm and excitation
spectra were measured with emission wavelength at 665 nm (BSA-AuNCs), 677 nm (BSA-AgNCs), 680
nm (Lysozyme-AuNCs). Insets: Nanoclusters photographs under day light and UV-light of 365 nm.

1 3 Results

Three protein-protected MNCs (BSA-AuNCs, BSA-AgNCs and Lysozyme-AuNCs) were prepared and their optical spectra are given in Figure 1. Proteinprotected MNCs fluoresce in the red spectral region and the emission band at 600–800 nm with excitation maxima (at 450-550 nm).[20, 30]

6 3.1 Optimization of the sample preparation protocol for negative and 7 positive ion mode

A critical step in MALDI MS is sample preparation. Unfortunately, the optimal way to obtain decent ion signal is highly dependent on the matrix and on the nature of the sample. We investigated different sample preparation strategies to optimize the response of the present protein-protected MNCs in the positive and negative mode using three matrices: SA, DHB, and CHCA. Table S1 summarizes the different conditions investigated based on BSA and BSA-AuNCs. Reproducibility of MALDI-MS results are summarized in Table S2.

15 To optimize MALDI protocols, we changed the concentration of analytes, the 16 solvent, the matrix:analyte ratio and deposition structure (as exemplified in Fig. 17 2 for BSA-AuNCs). An intense peak at ~66 kDa corresponding to the singly 18 charged 1+ ions is observed. Additional small peaks near 140000 m/z and at 19 ~33 kDa correspond to dimer of BSA proteins and doubly charged 2+ ions of 20 BSA respectively, as usually observed in MALDI-TOF MS measurements.[31, 21 32] First, MALDI-MS with different concentrations of MNCs were investigated 22 (see Figure 2A), ranging from 6.7 µM to 33 µM. Then, different solvents used 23 for both samples and matrix were investigated, with water-acetonitrile volume 24 friction of 70/30 or 50/50, adding TFA or not (Figure 2B). Different methods were compared for the deposition, ranging from the co-deposition of a mixture 25 of the MNCs and matrix solutions, to the depositions of different layers 26

alternating the matrix and the MNCs solutions (Figure 2C). Besides, we
 recorded the MALDI-MS spectra with different volume ratio of matrix to
 analyte (from 1:1 to 10:1) as shown in Figure 2D.



Figure 2. Mass spectra for BSA-AuNCs in negative ion mode using different deposition protocols (see
table S1 for details on protocols). A: MALDI mass spectra with different concentration of BSA-AuNCs,
corresponding to Test 2 in Table S1; B: MALDI mass spectra with different solvent, corresponding to
Test 3 in Table S1; C: MALDI mass spectra with different deposition structure of simple mixing vs.
layer-by-layer sandwich structure, corresponding to Test 2 and 3 in Table S1; D: MALDI mass spectra
with different ratio of matrix to sample, corresponding to Test 4 in Table S1.

11 The optimal deposition protocol for both positive and negative mode was found 12 to be as follow: The saturated CHCA, SA, DHB matrices solutions (16 mg/mL 13 for CHCA, 33 mg/mL for SA, 100 mg/mL for DHB) were prepared in water-14 acetonitrile (50/50 vol.). 5 μ L nanoclusters solution (30 μ M in 50/50 wateracetonitrile) was mixed with 10 µL saturated matrix under agitation (equivalent
 ratio 2:1). 1 µL of mixture was deposited to the groundsteel target with drying
 at ambient temperature.

4 **3.2** Positive vs negative ion mode detection in MALDI-MS

5 MALDI matrices used in this work contain carboxylic groups favouring protontransfer to the analyte, and thus the formation of protonated species. However, 6 7 Cassady and coworkers nevertheless reported that peptides and proteins 8 displayed good signals in the negative ion mode using acidic MALDI 9 matrices.[26] The compelling advantages of negative ion mode detection in 10 high-mass MALDI-MS were also emphasized for homomeric protein 11 complexes.[33] This section aims at confirming previous measurements 12 obtained on pure proteins with protein-protected MNCs and comparing the 13 positive and negative mode MALDI-MS.

14 The optimized protocol described above was applied to all matrices and 15 samples and MALDI-TOF spectra were collected both in the positive and 16 negative ion mode. The intensities of 1 charge peak are compared in Fig. 3 for 17 BSA-protected gold nanoclusters. Whatever the matrix, MALDI-TOF peaks for 18 AuNCs in positive mode lead to a higher signal than in negative mode, in 19 particular when CHCA is used as matrix. This strong difference in signal 20 intensity regarding ionization modes in MALDI was already evidenced by 21 previous studies on ion production for peptides and proteins. [26, 34]

22 **3.3 Matrix effects on MALDI-MS in positive and negative mode**

Interestingly and also unexpectedly, we can observe an obvious difference on the position of the peak in MALDI-MS corresponding to BSA-AuNCs mixed with three matrices (CHCA, SA, DHB), which means different gold number can be obtained with different matrices for the same sample. This is better

1 highlighted in Fig. 4 and Fig. S2 for BSA-protected gold nanoclusters in both 2 negative and positive modes. As usually addressed for BSA-protected gold 3 nanoclusters, [20] a broad mass distribution is observed corresponding to a 4 distribution of the number of gold atoms in the protein template. While the 5 width of the mass distribution is not too much affected by the nature of matrix, 6 the average number of gold atoms (centre of the mass distribution) strongly 7 depends on matrix. For instance, using CHCA the mass distribution is centred 8 around m/z 68958, corresponding to an average of 12 Au atoms. In contrast, 9 with DHB, the average mass is at m/z 70216, consistent with an average of 18 10 gold atoms attached to the protein. An intermediate result is obtained with SA.

As visible in Fig. 4 and Figure S2, the reduction in the average number of gold atoms in the protein is correlated to an increase of the intensity at the mass of bare BSA (m/z 66 500). The same trend is observed in both the positive and the negative ion mode. We tentatively interpret this correlation as the result of fragmentation of part of the protein-cluster complexes, leading to the loss of several neutral Au atoms, and eventually to the observation of bare BSA ions.



Figure 3. Maximum Intensity of 1 charge peak in MALDI-MS of BSA-AuNCs with different matrix
(CHCA, SA, DHB) in negative ion mode detection vs. positive ion detection mode. Inset: Zoom-in
image for comparison in negative mode.



Figure 4. Normalized MALDI-TOF mass spectra in negative ion mode detection of BSA (black curve)
and BSA-AuNCs with different matrix: CHCA (red curve), SA (orange curve), DHB (pink curve). The
blue line corresponds to the m/z value of the intensity maximum of the distribution of BSA-AuNCs, with
confirmation of 2-Gaussian fitting as described in Figure S4.

6

7 **3.4 Matrix effects on MALDI-MS. Different metal and protein templates.**

8 In order to evaluate how universal is the trend reported for positive and 9 negative modes in MALDI-MS for BSA-AuNCs, we changed both the protein 10 template (from BSA to lysozyme) and the nature of metal atoms (from gold to 11 silver). Results are displayed in Fig. 5 (for BSA-AgNCs) and in Fig. 6 (for 12 Lysozyme-AuNCs). As for gold clusters, the average number of silver atoms 13 observed on BSA strongly depend on the matrix (Fig. 5). Moreover, reduced 14 average number of Ag atoms on the protein also correlates with an increase of 15 the signal of bare BSA. However, in the case of silver the highest number of Ag 16 atoms is observed using SA (17 Ag atoms), while CHCA and DHB lead to the observation of significantly smaller species (9-10 Ag atoms). 17

When lysozyme is used as a scaffold for gold MNCs instead of BSA (Fig. 6), the number of Au atoms in the protein ranges from 1 to 5, thus significantly less than what was observed for BSA. The number of gold atom in protein is correlated to the size of the protein template from BSA (607 amino-acid residues) to lysozyme (only 130 amino-acid residues). While the max peak position seems similar, the width of the distribution for Lysozyme-AuNCs is found to depend on the matrix. The width of the distribution is strongly
 decreased with CHCA as matrix, meaning that most probably evaporation of
 gold atoms has occurred.



Figure 5. Normalized MALDI-TOF mass spectra in negative ion mode detection of BSA (black curve)
and BSA-AgNCs with different matrix: CHCA (red curve), SA (orange curve), DHB (pink curve). The
blue line corresponds to the m/z value of the intensity maximum of the distribution of BSA-AgNCs.



9 Figure 6. Normalized MALDI- TOF mass spectra in negative ion mode detection of Lysozyme (black
10 curve) and Lysozyme-AuNCs with different matrix: CHCA (red curve), SA (orange curve), DHB (pink
11 curve). The blue line corresponds to the m/z value of the intensity maximum of the distribution of
12 Lysozyme-AuNCs

13 4 Discussion

14 CHCA, DHB, and SA are common matrices for MALDI largely used in peptide 15 and protein analysis. The formation of protonated analytes is usually favoured 16 since such matrices contain carboxylic groups, prone to act as proton donors. In 17 the present study, we confirm that CHCA, DHB, and SA are useful for MALDI

in getting ion signal in protein-protected MNCs. Even if such matrices are 1 2 acidic matrices, we provide supporting evidence for the formation of negative 3 analyte ions in protein-protected MNCs (confirming what was already observed 4 for bare proteins). However, the ion intensity obtained in negative mode are 5 much lower than those reported for positive mode. Concerning processes for 6 ionizing analytes with both ion polarity in MALDI, it was suggested that two 7 matrix molecules were involved in the MALDI process and may yield a pair of protonated and deprotonated matrix ions that produce analyte molecules in 8 9 protonation or deprotonation states.[35] Energy transfer process was also 10 suggested, where following laser irradiation a nearby excited matrix molecule 11 can transfer its energy to the analyte dimer through a short range energy 12 transfer mechanism and trigger disproportionation, which leads to one 13 protonated and one deprotonated analyte being produced simultaneously.[34] 14 Proteins may have numerous acidic sites and may readily be deprotonated (see 15 Table S3). Clearly similar processes are certainly occurring for protein-16 templated MNCs.

17 However, we would like to emphasize here that optical properties in bare 18 proteins and in protein entrapping nanoclusters are drastically different. Indeed, 19 proteins are mostly transparent to near-UV light (in particular at 355 nm), while 20 protein-protected MNCs strongly absorb in the near-UV range (Figure 1). In 21 particular, such MNCs present molar absorption coefficients similar to those of 22 MALDI matrices (compare Tables S4 and S5). Thus, following laser 23 irradiation, the internal energy in protein-templated MNCs is certainly higher 24 than for bare proteins. This higher internal energy in the system may open new 25 channels, in particular extensive metal atom evaporation. The Au-S bond 26 dissociation is 3.2 eV,[36] while the Au-Au bond dissociation energy is only 27 2.3 eV.[37] Dissociation energies of gold clusters as a function of cluster size

are normally slightly higher than 3 eV.[38] The photon energy of the laser used
 in this study (355nm) is 3.49 eV.

3 We also reported in this study a strong matrix effect on the fragmentation in protein-templated MNCs. In particular, CHCA matrix lead to the observation of 4 5 the stronger reduction of the average number of metal atoms in MNCs. This 6 effect might be related to the very high molar absorption coefficients at 355 nm as compared to DHB and SA matrices. Also, we cannot exclude a matrix-7 8 dependent specific interaction with MNCs. probably related to 9 crystallization/desolvation processes issues, facilitating energy transfer process.

10 While detailed information have been accumulated concerning structure-11 property relationships of atomically precise MNCs protected by small organic 12 ligands (single crystal diffraction and theoretical prediction),[1] it is not the same case for protein-protected MNCs (due to the challenge of growing single 13 crystals of protein-protected MNCs and limitations in computational 14 15 chemistry). Structural motifs of nanoclusters in protein templates are not known 16 and still under debate. Clearly, a better structure-property relationship of 17 protein-protected MNCs is demanded and would help to better understand the 18 observed strong matrix effect and the associated fragmentation processes.

19 In summary, the negative ion mode detection in MALDI-MS can also be a good 20 way for addressing mass characterization of protein-protected MNCs in 21 complement to positive ion detection mode. The optimal deposition protocol 22 for both positive and negative mode was found to be as follow: The saturated 23 CHCA, SA, DHB matrices solutions (16 mg/mL for CHCA, 33 mg/mL for SA, 24 100 mg/mL for DHB) were prepared in water-acetonitrile (50/50 vol.). 5 µL 25 nanoclusters solution (30 µM in 50/50 water-acetonitrile) was mixed with 10 26 µL saturated matrix under agitation (equivalent ratio 2:1). 1 µL of mixture was 27 deposited to the groundsteel target with drying at ambient temperature. DHB

1 matrix seems to be the optimal matrix for protein-protected gold nanoclusters,

2 while SA matrix seems optimal for silver nanoclusters.

However, obvious matrix effects give a warning for more serious consideration on MALDI-MS measurement and spectra analysis of MNCs. This matrix effect is mainly due to the fact that MNCs strongly absorb in the near UV range. An alternative might be to use IR-MALDI-MS to efficiently desorb and ionize protein-protected MNCs without too much fragmentation with moderate laser fluence. Works under this line are currently implemented in our lab.

9

10 Declaration of Competing Interest

11 There are no conflicts to declare.

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14 Appendix A. Supplementary data

15 All the data are presented in this article and the Supplementary Materials.

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