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► **To cite this version:**

Denis Michel. Overlooked effects of wavefront reception with or without speed limit, from aberration to time measurement. Results in Optics, 2024, 17, pp.100741. hal-04769347v3

**HAL Id: hal-04769347**

**<https://hal.science/hal-04769347v3>**

Submitted on 14 Dec 2024

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# Overlooked effects of wavefront reception with or without speed limit, from aberration to time measurement

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**A general approach to wavefront reception applicable to any type of wave allows to point out some overlooked aspects and ignored consequences of established theories. The Doppler and aberration effects of electromagnetic waves, the cosmological redshift and the measurement of durations are all perceptual phenomena, and as such require the use of reception rather than Lorentz-transformed coordinates, since perceived durations relate proper to proper durations whereas the famous time dilation of special relativity relates proper to improper durations. Taking this subtlety into account rehabilitates the controversial Poincaré ellipsoid whose polar equation is just the relativistic Doppler effect. The application of this approach to the Galilean case whose transformed and received wavefronts are homothetic, reveals new aberration relations and the existence of a transverse Doppler effect very similar, in proportion to the respective wave velocities, to the relativistic one, thus forbidding in practice the test of his theory proposed by Einstein. The reasons for the long persistence of a classical angular Doppler formula divorced from wavefront reception are discussed, one of them ironically being the theory of special relativity.**

## Keywords:

Wavefront reception, transverse Doppler effect, aberration, relativity, time measurement.

## 1 Introduction

The viewing of a distant scene transmitted by light at the frequency of one frame per wave crest, would appear accelerated if the periods were shortened and slowed if the periods were lengthened. The time marked by a clock viewed on this movie would appear modified in the same way compared to our local clock. On the other hand, special relativity says that a clock in uniform motion is slowed down by a Lorentz factor ( $\gamma$ ) with respect to ours. In fact these two phenomena are not equivalent because the measured time distortions do not correspond to the orientation-independent time dilation of special relativ-

ity, which is not directly perceived but calculated for distant objects. Clarification of this point removes misunderstandings, resolves long-standing debates and rehabilitates Poincaré's ellipsoidal wavefront theory. The application of the same wavefront approach to the Galilean case is even more instructive and allows the improvement of the the current aberration and Doppler rules. This study, which is perfectly in line with the existing theories, aims at correcting erroneous interpretations. The most profound clarification concerns the classical aberration and Doppler effects, but even here the proposed correction does not really challenge a theory, since the classical Doppler effect, widely used in textbooks, has curiously never been rigorously demonstrated and is rather the result of erroneous postulates, such as the supposed absence of a transverse effect, as opposed to the relativistic Doppler effect.

## 2 The slowing of perceived time by stretching waves

### 2.1 Lessons from cosmological redshift

A proof of the direct relationship between the expansion of the electromagnetic wave and the duration comes from an astronomical observation, not originally intended for this purpose, made in the context of the expansion of the universe thanks to the type Ia supernovae (SNIa). SNIa are stellar explosions that are extremely luminous for a certain stereotypical duration, and which can be seen from very far away. The light from some very distant and therefore very old SNIa took a long time to reach us, and as space expanded during the light's journey, it stretched its wavelengths. In this context, a striking phenomenon was noticed: The duration of the brightness of SNIa depends on their distance, in exact proportion to their redshift, i.e. to the lengthening of the period.

$$\frac{\Delta t^{\text{app}}}{\Delta t} = \frac{T^{\text{app}}}{T} \quad (1)$$

For example, a distant SNIa with a redshift of  $T^{\text{app}}/T = 1.5$ , has a brightness duration that is exactly 1.5 times longer [1]. This observation shows that the simple fact of decreasing the frequency of the waves, increases the apparent durations. If we consider the question carefully, there is no magic here: the same scene viewed at 30

frames per second when the wavelengths are not stretched ( $T^{\text{app}}/T_0 = 1$ ) is viewed at 20 frames per second when the wavelengths are stretched by  $T^{\text{app}}/T_0 = 1.5$ , and therefore the viewing time will necessarily be longer to receive all the frames of the scene.

This cosmic time dilation has little to do with the famous time dilation of special relativity and the  $\gamma$  factor of the Lorentz transformations; nor with the Doppler effect related to the speed of the source, which will be addressed later. In fact, before the famous Hubble publication, Lemaître had shown that periods should follow expansion [2]. For an interval of universe

$$ds^2 = dt^2 - a(t)^2 d\sigma^2 \quad (2)$$

where  $d\sigma$  is the element length of a space of radius equal to 1, the equation of a light beam is

$$\sigma_2 - \sigma_1 = \int_{t_1}^{t_2} \frac{dt}{a} \quad (3)$$

where  $\sigma_1$  and  $\sigma_2$  are the coordinates of a source and an observer. A beam emitted later at  $t_1 + \delta t_1$  and arriving at  $t_2 + \delta t_2$  undergoes a shift such that

$$\frac{\delta t_2}{a_2} - \frac{\delta t_1}{a_1} = 0 \quad (4)$$

giving

$$z = \frac{\delta t_2}{\delta t_1} - 1 = \frac{a_2}{a_1} - 1 \quad (5)$$

where  $\delta t_1$  and  $\delta t_2$  can be considered as the periods at emission and reception respectively [2]. Obviously, if a procession of regularly spaced walkers crosses a stretching rubber band, their spacing on arrival will be stretched in the same ratio as the rubber band. The same reasoning applies to a series of wave crests. The ratio of the received wavelength to the emitted wavelength simply follows the increase in the distance  $D$  between the source and the receiver that occurred during the light's journey:

$$\frac{T^{\text{app}}}{T} = \frac{D_{\text{reception}}}{D_{\text{emission}}} \quad (6)$$

This generalized increase in wavelength, known as cosmological redshift, holds even when the sources and receivers are in the same inertial frame, showing that any

distortion of electromagnetic waves leads to an altered perception of time. Time distortion phenomena are not exclusively associated with relativity (Lorentz transformations in SRT or gravity in GRT) or with the relativistic Doppler effect, but are a matter of electromagnetic frequency modification, whatever the underlying mechanism.

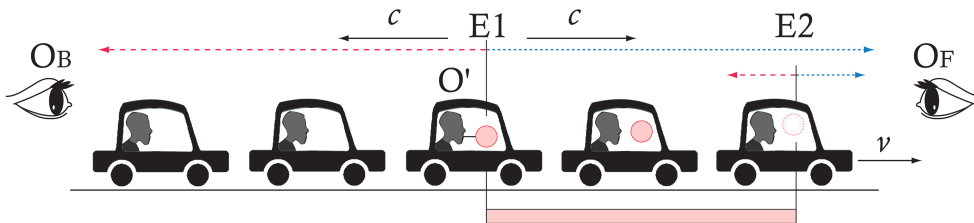
## 2.2 Wave reception is a physical process

The perception of compressed or expanded waves is not a simple optical illusion because the physical effects are real. A clear example is provided by the technique of atomic cooling. An atom can be immobilized by illuminating it from all sides with streams of light. As the atom moves in a given direction, the Doppler effect causes the light frequency, and therefore the light energy, to increase in front of it and decrease behind it, bringing the atom back to its original position [3].

## 3 Limit speed and causality

### 3.1 The limit speed of light

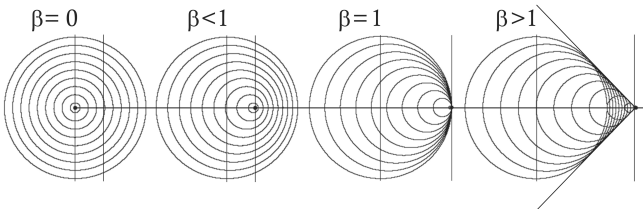
Physical laws are such that apparent inconsistencies are eliminated. A typical example is the speed limit of light, which prevents us from witnessing incongruous scenes and having to reconstruct them later. We have never seen a soap bubble emerge spontaneously from a cloud of droplets and then disappear into the tube of an experimenter who sucks it in. However, this is the vision we might have in the absence of limit velocity as shown in Fig.1. As shown by the arrows at the top of the diagram, as the car moves forward, the images of the bubble arrive at a greater distance from the observer  $O_B$  at the back of the car, and at a smaller distance from the observer  $O_F$  at the front of the car. Hypothetically, if the speed of the car exceeded the speed of light ( $v > c$ ),  $O_F$  could witness a reverse scene, the bursting of the bubble before its birth. Such a perception, which violates the principle of causality, is prevented by the unsurpassable speed of light. At worst, in the asymptotic case of a car traveling at nearly  $c$ , the birth and bursting of the bubble would appear to be simultaneous, and its lifetime would tend toward zero, but would never be negative. By comparison, if there were no speed limit, we could see the consequences before the causes.



**Figure 1.** Events of birth ( $E1$ ) and disappearance ( $E2$ ) of a soap bubble in a vehicle moving at constant speed  $v$  with respect to observers, one located at the back ( $O_B$ ) and the other at the front ( $O_F$ ) of the vehicle. The images of the formation and the bursting of the bubble reach the observers at the speed of light  $c$ .

### 3.2 Causality break in the absence of speed limit

In the soap bubble experiment of Fig.1, the vehicle can never reach the speed limit  $c$  of the wave carrying its image. As a consequence, the causal order of events is necessarily respected visually. We will always see the bubbles burst after they form, regardless of their relative speed with respect to any observer. This sequence of events respects causality and is consistent with the statistical arrow of time. But what would have happened if there were no speed limit? Let us imagine that the duration of the bubble is no longer measured visually but acoustically, assuming that tic and tac sounds are emitted at the birth and bursting of the bubble, and that the vehicle is a fast airplane that exceeds the speed of sound. If it were technically possible to listen to the sounds produced in the cockpit of the plane that broke the sound barrier, and assuming the existence of sound intensity corrections, we would hear the bubble burst before it appeared, as if on a soundtrack played backwards. We would also hear the pilot (un)swallow his coffee before it was (un)poured into his cup. In the absence of a speed limit, the wavefronts can arrive at the receptor as separate bubbles that are reversed in time, as shown by Christian Doppler in 1847 in his diagram of the cone of successive wavefronts emitted by a supersonic source [4] (right panel in Fig.2 for  $\beta > 1$ ).



**Figure 2.** Time reversal between sending and receiving for  $\beta = 1$ , the sound barrier for the sound wave. For  $\beta > 1$ , the most recently emitted wavefronts are received first.

## 4 Orientation-dependent perception of durations

The association between the redshift and the duration [1] illustrates the connection between perceived time and period distortion. Applying this principle to the kinetic Doppler effect, the occupants of a vehicle coming toward us should move rapidly, and then suddenly slow down as it moves away from us. As shown below, this is indeed what the theory of special relativity predicts. To illustrate this, let us calculate the apparent lifetime of the soap bubble inside the moving vehicle of Fig.1.

### 4.1 Orientation-dependent relativistic duration measurement

The basic tools of special relativity allow us to demonstrate the nature of the perceived time. In the frame of reference  $R$  of the eyes in Fig.1, let us consider the point of view of  $O_B$  who sees the car  $O'$  moving away from him. In the reference frame of the car  $R'$ , a soap bubble is temporarily formed. The clocks of  $O_B$  and  $O'$  are synchronized and coincide at  $t = t'$  when they cross each other. Let us consider the spacetime coordinates of the events: the formation of the bubble ( $E1$ ) and the reception of this image by  $O_B$  ( $E_R$ ). The image of the appearance of the bubble is emitted by the car  $O'$  at  $t'_{E1}$  and is received by  $O_B$  at a date which he notes  $t_R$  at the location  $x_R = 0$ . The corresponding coordinates in  $R'$  are given by Lorentz transformations:

$$x'_R = \gamma(x_R - \beta ct_R) = -\gamma\beta ct_R \quad (7a)$$

$$t'_R = \gamma\left(t_R - \frac{\beta x_R}{c}\right) = \gamma t_R \quad (7b)$$

The date  $t'_E$  of the appearance of the bubble in  $R'$  can be deduced from the distance traveled by the light ray in  $R'$ . The speed of the light ray  $c$  is given by the ratio

$$c = \frac{x'_E - x'_R}{t'_R - t'_E} = \frac{0 - (-\gamma\beta ct_R)}{\gamma t_R - t'_E} \quad (8a)$$

from which

$$t'_E = \gamma t_R(1 - \beta) = t_R \sqrt{\frac{1 - \beta}{1 + \beta}} \quad (8b)$$

This equation establishes the relation between the date of emission by  $O'$  of the image of the event  $E1$ , and the date of reception  $t_R$  of its image by  $O_B$ . The same reasoning is valid for the events of birth and bursting of the bubble, which gives a life interval of the bubble

$$\Delta t_R = \Delta t'_E \sqrt{\frac{1 + \beta}{1 - \beta}} \quad (9)$$

We simply rediscover the relativistic Doppler effect here, because the events  $E1$  and  $E2$  could just as well be the emissions of two successive wave crests. The reception events take place at the same location in the reference frame  $R$ , so the time interval between them  $\Delta t_R$  is a proper time for  $O_B$ . The emission events take place at the same location in the reference frame  $R'$ , so the time interval between them  $\Delta t'_E$  is a proper duration for  $O'$ . The proper lifetime of the bubble for  $O'$ , appears to be extended in the time of  $O_B$ , in a ratio different from the  $\gamma$  factor of the time dilation of special relativity. The latter is not directly perceived but can be calculated mathematically as follows. Once the coordinates in  $R'$  are obtained ( $x'_{E1} = 0$  and  $t'_{E1} = \gamma t_R(1 - \beta)$ ), we can determine them in  $R$  by applying the Lorentz transformations in the other direction

$$x_{E1} = \gamma(x'_{E1} + \beta ct'_{E1}) = \gamma\beta ct_R \sqrt{\frac{1-\beta}{1+\beta}} = \frac{\beta ct_R}{1+\beta} \quad (10a)$$

$$t_{E1} = \gamma\left(t'_{E1} + \frac{\beta x'_{E1}}{c}\right) = \gamma^2 t_R (1-\beta) = \frac{t_R}{1+\beta} \quad (10b)$$

By substituting in this last equation the value of  $t_R$  as a function of  $t'_{E1}$ ,

$$t_{R1} = t_{E1}(1+\beta) = t'_{E1} \sqrt{\frac{1+\beta}{1-\beta}} \quad (11a)$$

The relation between  $t_{E1}$  to  $t'_{E1}$  is obtained

$$t_{E1} = \frac{t'_{E1}}{\sqrt{1-\beta^2}} \quad (11b)$$

and as the next event of bursting of the bubble  $E2$ , follows the same rule,

$$\Delta t_E = \Delta t'_E \gamma \quad (11c)$$

The time dilation of special relativity is verified, but  $\Delta t_E$  cannot be timed by  $O_B$  because it is no longer a proper duration, since  $E1$  and  $E2$  do not occur at the same place in  $R$ . The time dilation of special relativity relates a proper duration and an improper duration, which cannot be measured directly.

Similar calculations can be made for the reception of images by  $O_F$ , still in  $R$  but at the front of the vehicle. The suffix  $R$  now refers to the reception of images by  $O_F$  while keeping the same orientation of the  $x$  axis, we have

$$x'_R = \gamma(x_R + \beta ct_R) = \gamma\beta ct_R \quad (12a)$$

$$t'_R = \gamma\left(t_R + \frac{\beta x_R}{c}\right) = \gamma t_R \quad (12b)$$

The light ray carrying to  $O_F$  the image of the appearance of the bubble has speed

$$c = \frac{x'_R - x'_{E1}}{t'_R - t'_{E1}} = \frac{-\gamma\beta ct_R}{\gamma t_R - t'_{E1}} \quad (13a)$$

from which

$$t'_{E1} = \gamma t_R (1+\beta) = t_R \sqrt{\frac{1+\beta}{1-\beta}} \quad (13b)$$

Repeating the same process for the image of the bubble bursting, the observer  $O_F$  measures a shorter life of the bubble with his stopwatch.

$$\Delta t_R = \Delta t'_E \sqrt{\frac{1-\beta}{1+\beta}} \quad (14)$$

But again, this does not change the relativistic time dilation, because for  $O_F$ ,

$$x_{E1} = \gamma(x'_{E1} + \beta ct'_{E1}) = \gamma\beta ct_R \sqrt{\frac{1+\beta}{1-\beta}} = \frac{\beta ct_R}{1-\beta} \quad (15a)$$

$$t_{E1} = \gamma\left(t'_{E1} + \frac{\beta x'_{E1}}{c}\right) = \gamma^2 t_R (1+\beta) = \frac{t_R}{1-\beta} \quad (15b)$$

and thus always

$$t_{E1} = \frac{t_R}{1-\beta} = \frac{1}{1-\beta} t'_{E1} \sqrt{\frac{1-\beta}{1+\beta}} = t'_{E1} \gamma \quad (16)$$

The time dilation actually measured by each point of a rest frame depends not only on the velocity modulus  $v$  of the moving reference frame, but also on the velocity vector  $\vec{v}$ . It will therefore be interesting to average these measurements for all possible orientations (see section 7.3).

## 4.2 Direct compensation for a round trip

The correction from perceived time to Lorentz-dilated time described above, which takes into account the travel time of light, is unnecessary for a round trip. Imagine a sprinter running the 100 meters at a significant fraction of the speed of light. Given the above calculations, this sprinter who wants to improve his record will prefer to be timed on sight (the old-fashioned way) by a judge at the finish rather than at the start. Indeed, if each stride of the sprinter has a proper duration of  $T$ , the judge at the front, as  $O_F$  on Fig.1, will see this duration reduced to  $T\sqrt{\frac{1-\beta}{1+\beta}}$  while the judge at the back, as  $O_B$  on Fig.1, will see this time increased to  $T\sqrt{\frac{1+\beta}{1-\beta}}$ . So, if the sprinter runs 100 meters at a constant speed in  $n$  strides, his measured time will be  $\Delta t_F^{\text{app}} = nT\sqrt{\frac{1-\beta}{1+\beta}}$  and  $\Delta t_B^{\text{app}} = nT\sqrt{\frac{1+\beta}{1-\beta}}$  respectively. The judges should correct their different measurements using the calculations of Eq.(10) and Eq.(15) because for the judge at the front, the path of the light showing the position of the sprinter and the path of the sprinter are oriented in the same direction, while for the judge at the back, the path of the light showing the position of the sprinter and the path of the sprinter are oriented in opposite directions. Interestingly, this correction is not necessary when the 100-meter straight run is converted into a round trip of two 50-meter. One of the judges is then placed at the start/finish point and the other at the turnaround point. In this case, the simple addition of the times perceived by the two judges, who always remain in the same inertial frame, gives the expected duration.

$$\frac{n}{2}T\sqrt{\frac{1+\beta}{1-\beta}} + \frac{n}{2}T\sqrt{\frac{1-\beta}{1+\beta}} = \frac{nT}{\sqrt{1-\beta^2}}$$

Of course, the sprinter will be disappointed to find that the judges have extended his course time, which on his own

stopwatch is only  $nT$ , but he will have two consolations: (1) now both judges are measuring the same duration, and (2) he will conclude that he has aged a little less during the race, by a  $\gamma$  factor, than the judges.

### 4.3 Orientation-dependent "Galilean lifetime" measurement

Electromagnetic time results from the speed limit of light combining time and space. But let us repeat the previous calculation of the lifetime of the soap bubble using only a Galilean wave emitted by the soap bubble, keeping the notation but replacing the Lorentz transformations by Galilean transformations. The corresponding coordinates in  $R'$  are given by:

$$x'_R = x_R - \beta ct_R = -\beta ct_R \quad (17a)$$

$$t'_R = t_R \quad (17b)$$

The date  $t'_E$  of the appearance of the bubble in  $R'$  can be deduced from the distance traveled by the Galilean ray at  $R'$ , whose speed  $c$  is given by

$$c = \frac{x'_E - x'_R}{t'_R - t'_E} = \frac{0 - (-\beta ct_R)}{t_R - t'_E} \quad (18a)$$

giving

$$t'_E = t_R (1 - \beta) \quad (18b)$$

The "Galilean lifetime" of the bubble is thus increased

$$\Delta t_{RB} = \frac{\Delta t'_E}{1 - \beta} \quad (19a)$$

and without redoing the whole calculation, we understand that for the observer  $O_F$

$$\Delta t_{RF} = \frac{\Delta t'_E}{1 + \beta} \quad (19b)$$

As expected, we find the classical longitudinal Doppler effect.

## 5 Doppler effects calculated from the perceived wavefront surfaces

According to the dictionary, time is perceived as the sequence of events. The density of the stream of received images will, of course, affect the tempo. This density is modified by the speed of the source relative to the receiver through the Doppler effect which was introduced in an academic way above in the section 4, but this extensive method was limited to the elementary collinear case. The very general non-academic approach used below will give the results in all directions at once, both for the Doppler effect and for the aberration relations. Moreover, it allows to revise the Galilean relations previously established. Concerning the relativistic aspect, this approach restores all its importance and validity to the Poincaré ellipsoid, which remains the subject of persistent debate. To better understand Poincaré's argument, let us first establish its basis.

### 5.1 The coordinates of the light front describe a sphere in all reference frames

Since light propagates at the same speed in all directions, its wavefront is necessarily spherical. Moreover, since the speed of light is the same in all reference frames, a sphere of a light wave in one reference frame  $S'$  must also be a sphere in the reference frame  $S$ . This is indeed what the Lorentz transformations ensure. The sphere

$$x'^2 + y'^2 + z'^2 = (ct')^2 \quad (20a)$$

transformed using

$$x' = \frac{x + \beta ct}{\sqrt{1 - \beta^2}}, \quad y' = y, \quad z' = z \quad \text{and} \quad t' = \frac{t + \frac{\beta x}{c}}{\sqrt{1 - \beta^2}}$$

becomes

$$\frac{(x + \beta ct)^2}{1 - \beta^2} + y^2 + z^2 = \frac{(ct + \beta x)^2}{1 - \beta^2} \quad (20b)$$

which simplifies into

$$x^2 + y^2 + z^2 = (ct)^2 \quad (20c)$$

There is no discussion of this result, which highlights the power of Lorentz transformations, and there is no point in trying to oppose the sphere attributed to Einstein and the ellipse attributed to Poincaré [5], since both authors were aware that a Lorentz-transformed light sphere is a light sphere received as an ellipsoid by an observer in relative motion [6, 7]. It happens that Doppler, aberration, and measured time are reception effects, and that confusing the reception coordinates with those designed to ensure Lorentz invariance would misleadingly suggest a violation of the Lorentz invariant, as in [8].

### 5.2 Contraction of lengths and of length-measuring instruments

The contraction of lengths in the direction of displacement continues to intrigue the general public because it has never been detected; but is it detectable in practice? Measuring an object means using an instrument in the reference frame of that object. So if the object moves along  $x$ , the  $x$  dimension of the measuring instrument will be shortened in the same ratio, making any detection impossible. But as Poincaré pointed out, if one does not use a material object as a measuring instrument, but an electromagnetic wave path (which is not subject to length shortening), then the wave path should appear stretched by comparison along  $x$  and take the form of an ellipsoid [5, 6, 7, 8, 9, 10] with a transverse/longitudinal axis ratio of  $\sqrt{1 - \beta^2}$ .

### 5.3 Equations of the spheres of the mobile frame

#### 5.3.1 The material sphere

From the mobile coordinate system, the surface of a material sphere of radius 1 has the equation

$$x'^2 + y'^2 + z'^2 = 1 \quad (21)$$

But from the fixed coordinate system, the sphere seen in its entirety would appear flattened in the  $x$  direction of motion. According to the Lorentz transformation for the  $x$  axis at  $t = 0$ ,

$$\left(\frac{x}{\sqrt{1-\beta^2}}\right)^2 + y^2 + z^2 = 1 \quad (22)$$

as defined by Einstein in chapter 4 of [6]. It can be converted in polar coordinates using  $x = \rho \sin \theta \cos \varphi$ ,  $y = \rho \sin \theta \sin \varphi$  and  $z = \rho \cos \theta$ , for  $R = 1$ ,

$$\rho = \sqrt{\frac{1-\beta^2}{1-\beta^2(1-\sin^2\theta\cos^2\varphi)}} \quad (23)$$

whose shape is represented in Fig.3A. It reduces in the two spatial dimensions  $x = \rho \cos \theta$  and  $y = \rho \sin \theta$  into the ellipse flattened along the  $x$  direction:

$$\rho = \sqrt{\frac{1-\beta^2}{1-\beta^2\sin^2\theta}} \quad (24)$$

#### 5.3.2 The light wavefront

The speed of light is constant in all frames and always gives 45° lines in all Minkowski diagrams regardless of the chosen point of view at rest. The time set to zero above is now set to one period conceived as the interval between two successive images. Inserting the period given by the fourth transformation into the first transformation (of  $x'$ ) gives

$$x' = x\sqrt{1-\beta^2} + \beta cT' \quad (25)$$

Using  $c = 1$  as Poincaré did, the surface of a single-period wavefront is the ellipsoid of equation

$$(x\sqrt{1-\beta^2} + \beta)^2 + y^2 + z^2 = 1 \quad (26)$$

whose conversion in polar coordinates yields the remarkably elegant equation

$$\rho = \frac{\sqrt{1-\beta^2}}{1+\beta\sin\theta\cos\varphi} \quad (27)$$

plotted in Fig.3B. It reduces to an ellipse in 2D polar coordinates. The radius  $\rho$  for the receiver is changed from  $\rho_0 = 1$  in the same ratio as the apparent wavelength.

$$\frac{\rho}{\rho_0} = \frac{\lambda^{\text{mov}}}{\lambda} = \frac{\sqrt{1-\beta^2}}{1+\beta\cos\theta} \quad (28)$$

This polar equation gives the complete collection of focal rays from the source to the wavefront surface for one period, so it is also the equation for the Doppler effect. Of course, we recover the rays collinear with the path of the source in front of and behind it, which were laboriously determined in section 4, but also all the other rays.

#### 5.3.3 The Galilean wavefront

Although the speed of sound has no common measure with that of light, the same letters  $c$  and  $\beta$  are used in both cases for ease of comparison. The Galilean transformations are simple:  $x' = x + vt$ ,  $y' = y$ ,  $z' = z$  and  $t' = t$ . Thus, the surface of the Galilean wavefront emitted by a source moving with velocity  $v$  in direction  $x$  is a sphere of the Cartesian equation

$$(x + vt)^2 + y^2 + z^2 = (ct)^2 \quad (29a)$$

Keeping the notation  $\beta = v/c$  where  $c$  is no longer a speed limit, for a time corresponding to a single period unit,

$$(x + \beta)^2 + y^2 + z^2 = 1 \quad (29b)$$

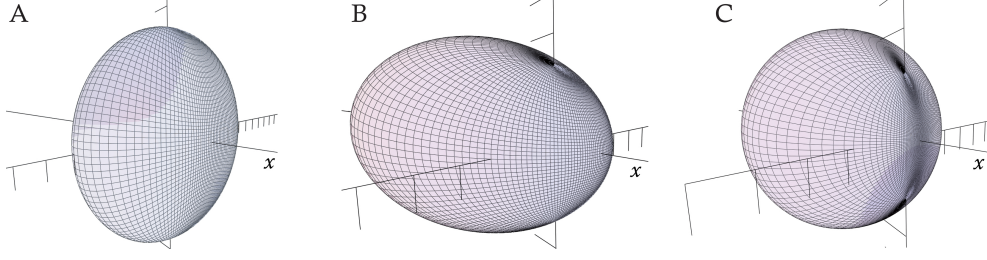
The wavelengths are directly obtained by converting this Cartesian equation in polar equation.

$$\rho = \sqrt{1-\beta^2(1-\sin^2\theta\cos^2\varphi)} - \beta\sin\theta\cos\varphi \quad (30)$$

shown in Fig.3C and which reduces in 2D in the Galilean circle

$$\frac{\rho}{\rho_0} = \frac{\lambda^{\text{mov}}}{\lambda} = \sqrt{1-\beta^2\sin^2\theta} - \beta\cos\theta \quad (31)$$

whose off-center aspect can also be understood as a change of the wave vector for the receiver [11, 12]. This Doppler effect expressed in frequencies is shown in Fig.4B. The notable points of these curves are listed in the tables 1 and 2 of appendix B. Note in these tables that for  $\theta = \pi/2$  (yellow lines in the tables), the results for the relativistic and Galilean Doppler effects are identical. The Doppler effects found in this way are functions of the angle  $\theta$  between the trajectory of the source and the source-receiver line exactly when the Doppler effect is received. But because of the travel time of the wave, the source is no longer in the position it was when it emitted both its image and the wave crests involved in the measured Doppler effect. The difference between this angle noted  $\theta'$  and  $\theta$  defines the phenomenon of aberration, long understood by Bradley in astronomy. Although Bradley's discovery largely predates the theory of relativity, today the relativistic aberration rules established by Einstein are well known, but strangely their Galilean counterparts are generally ignored, which may explain the problems existing with the classical Doppler formula.



**Figure 3.** Perspective view of the shapes of (A) the material sphere drawn to Eq.(23), (B) the received relativistic wavefront surface drawn to Eq.(27) and (C) the Galilean wavefront drawn to Eq.(30), for  $\beta = 0.9$ . In the wavefront panels B and C, the source is located at the intersection of the axes.

### 5.3.4 Derivation of aberration and Doppler formulas from wavefront surfaces

#### • Relativistic aberration

The relativistic ellipsoid is wider on the  $x$  axis by  $1/\sqrt{1-\beta^2}$ , which makes the geometric comparison with the sphere difficult. To make their diameters coincide on  $x$  while preserving the proportions of the ellipse, let us just contract the radius orthogonal to the trajectory, from 1 to  $\sqrt{1-\beta^2}$ . For a source moving from left to right, the Cartesian equation of this simplified ellipse is

$$(x + \beta)^2 + \frac{y^2}{1 - \beta^2} = 1 \quad (32)$$

Its polar equation obtained using  $x = \rho \cos \theta$  and  $y = \rho \sin \theta$ , is

$$\rho = \frac{1 - \beta^2}{1 + \beta \cos \theta} \quad (33)$$

It allows us to easily obtain the aberration relations because, since it is not directional, the relativistic dilation transforms the ellipse in a homothetic way without changing its characteristics and angles. Since the intersection of the focal radius  $\rho$  and the perimeter of the ellipse does not correspond to a point tangential to the radius emanating from the center of the ellipse, let us consider the fixed frame of reference of this center from which the wave front propagated with spherical symmetry. We have

$$\cos \theta' = \frac{\beta + \rho \cos \theta}{R} \quad (34a)$$

where  $R$  is the large radius without flattening fixed at 1. Using the value of  $\rho$  given in Eq.(33), this equation becomes

$$\cos \theta' = \frac{\cos \theta + \beta}{1 + \beta \cos \theta} \quad (34b)$$

whose reciprocal form is as elegant.

$$\cos \theta = \frac{\cos \theta' - \beta}{1 - \beta \cos \theta'} \quad (34c)$$

These aberration relations can then be expressed in other ways

$$\sin \theta' = \sin \left( \cos^{-1} \left( \frac{\cos \theta + \beta}{1 + \beta \cos \theta} \right) \right) = \frac{\sqrt{1 - \beta^2} \sin \theta}{1 + \beta \cos \theta}$$

and

$$\tan \theta' = \frac{\sin \theta'}{\cos \theta'} = \frac{\sin \theta \sqrt{1 - \beta^2}}{\beta + \cos \theta} \quad (35)$$

#### • Two relativistic Doppler equations

Using the aberration relations, the Doppler effect can be written in two ways

$$\frac{f_{(\theta)}^{\text{mov}}}{f} = \frac{1 + \beta \cos \theta}{\sqrt{1 - \beta^2}} \quad (36a)$$

$$\frac{f_{(\theta')}^{\text{mov}}}{f} = \frac{\sqrt{1 - \beta^2}}{1 - \beta \cos \theta'} \quad (36b)$$

A more standard demonstration of these formulas is described in [6] and further detailed in [13].

#### • Galilean aberration

In the Galilean case,

$$\cos \theta' = \frac{\beta + \rho \cos \theta}{R} \quad (37a)$$

For  $R = 1$  and expressing  $\rho$  as function of the angle  $\theta$  (Eq.(31))

$$\cos \theta' = \cos \theta \sqrt{1 - \beta^2 \sin^2 \theta} + \beta \sin^2 \theta \quad (37b)$$

and conversely

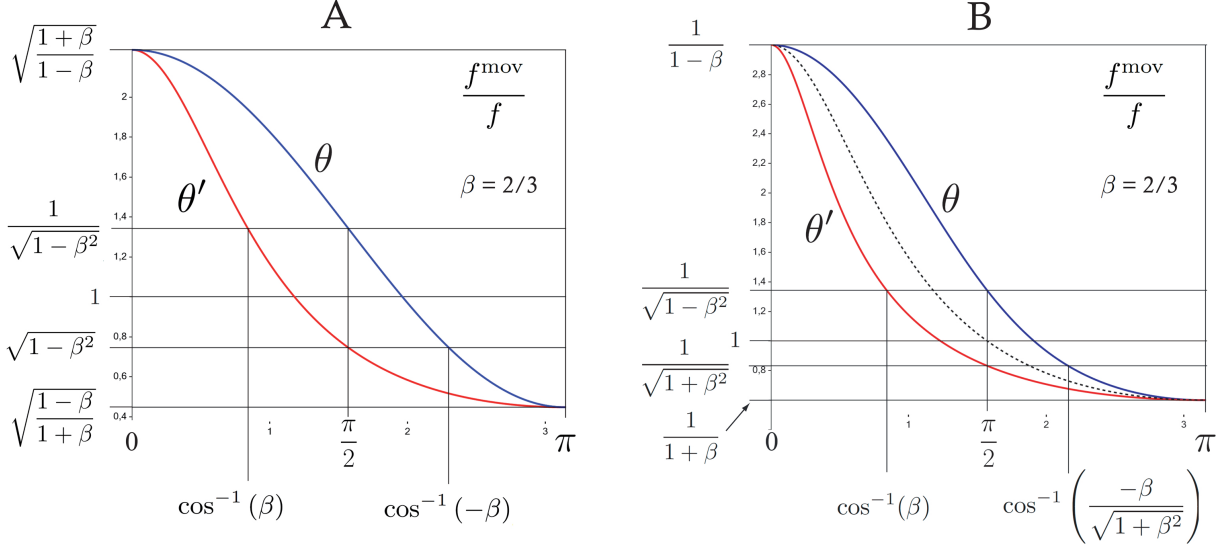
$$\cos \theta = \frac{\cos \theta' - \beta}{\sqrt{1 + \beta^2 - 2\beta \cos \theta'}} \quad (37c)$$

giving for the tangent

$$\begin{aligned} \tan \theta' &= \frac{\rho \sin \theta}{\beta + \rho \cos \theta} \\ &= \frac{\sin \theta \left( \sqrt{1 - \beta^2 \sin^2 \theta} - \beta \cos \theta \right)}{\cos \theta \sqrt{1 - \beta^2 \sin^2 \theta} + \beta \sin^2 \theta} \end{aligned} \quad (37d)$$



These new aberration relations were introduced in [9].



**Figure 4.** Doppler effects as functions of the angles  $\theta$  and  $\theta'$ . Since the true determinants of energies and colors are frequencies, Doppler effects are described in terms frequency ratios. **(A)** Relativistic Doppler effect deduced from the perceived ellipsoidal wavefront. **(B)** Galilean Doppler effect derived from the perceived spherical wavefront. The dashed black curve corresponds to the so-called classical Doppler effect, shown for comparison.

### • Two Galilean Doppler equations

As for the relativistic case, two Galilean Doppler formulas related through these reciprocal aberration relations, can be established depending on the angle considered. The Doppler formula as a function of  $\theta$

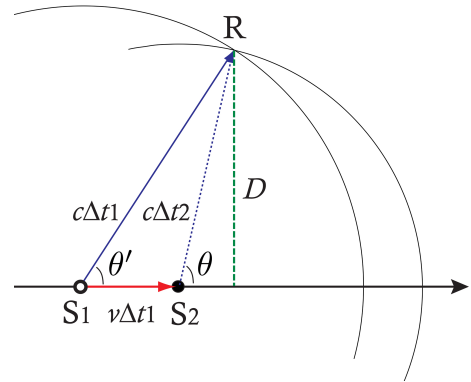
$$\frac{f_{(\theta)}^{\text{mov}}}{f} = \frac{1}{\sqrt{1 - \beta^2 \sin^2 \theta} - \beta \cos \theta} \quad (38)$$

was found above as the focal radius from the actual position of the source to the wavefront, which is independent of the distance of the receptor, because at this position the successive wavefronts are equidistant from the source. However, the successive distances between the source and receiver are no longer the same, so the Doppler formula as a function of  $\theta'$  must be derived differently, for example by applying the aberration relations to the Doppler function of  $\theta$ .

$$\frac{f_{(\theta')}^{\text{mov}}}{f} = \frac{1}{\sqrt{1 + \beta^2 - 2\beta \cos \theta'}} \quad (39)$$

This function of  $\theta'$  can be also be confirmed geometrically using the cosine law. By the way, Eq.(31) can also be found in this way but it does not hold for the the optical Doppler effect as assumed in [14] because spherical geometry cannot apply in this case. For the angle  $\theta'$ , let us imagine, as shown in Fig.5, that a source  $S$  located at an unspecified distance from a receiver  $c\Delta t_1$ , emits a signal and that at the same time as this signal is received, it

emits a second signal. This situation makes it possible to compare the durations for the source with the durations perceived by the receiver. In fact, by fixing the time of origin  $t = 0$  when the source is at the position  $S_1$  (Fig.5), then the duration that elapses between the two signals at the source is  $\Delta t_1$ . For the receiver and in Galilean coordinates, the first signal is received at time  $t_a = \Delta t_1$  and the second signal is received at time  $t_b = \Delta t_1 + \Delta t_2$ , which gives a duration between the two reception events of  $t_b - t_a = \Delta t_2$ .



**Figure 5.** Two successive pulses are sent to the receptor, with the second pulse being sent exactly when the first is received.

So the ratio between the perceived and local durations of the source is simply given by the ratio  $\Delta t_2/\Delta t_1$ . This is the Doppler effect, whether this duration is a wave period or not, as was shown earlier for the lifetime of the soap

bubble. All that remains is to find this ratio geometrically. During the journey of the first signal, the source will have traveled  $v\Delta t_1$ . So on the one hand we have

$$D = c\Delta t_1 \sin \theta' = c\Delta t_2 \sin \theta \quad (40a)$$

and on the other hand, the cosine law gives

$$(c\Delta t_1)^2 + (v\Delta t_1)^2 - 2vc\Delta t_1^2 \cos \theta' = (c\Delta t_2)^2 \quad (40b)$$

The combination of these two equations gives

$$\begin{aligned} \sin \theta &= \frac{c\Delta t_1 \sin \theta'}{\sqrt{(c\Delta t_1)^2 + (v\Delta t_1)^2 - 2vc\Delta t_1^2 \cos \theta'}} \\ &= \frac{\sin \theta'}{\sqrt{1 + \beta^2 - 2\beta \cos \theta'}} \end{aligned} \quad (40c)$$

as calculated reciprocally by Compton for a ray passing through a moving sphere [15]. Hence, the ratio of wavelengths is

$$\frac{c\Delta t_2}{c\Delta t_1} = \frac{\sin \theta'}{\sin \theta} = \sqrt{1 + \beta^2 - 2\beta \cos \theta'} \quad (40d)$$

or

$$\frac{\Delta t_1}{\Delta t_2} = \frac{1}{\sqrt{1 + \beta^2 - 2\beta \cos \theta'}} \quad (40e)$$

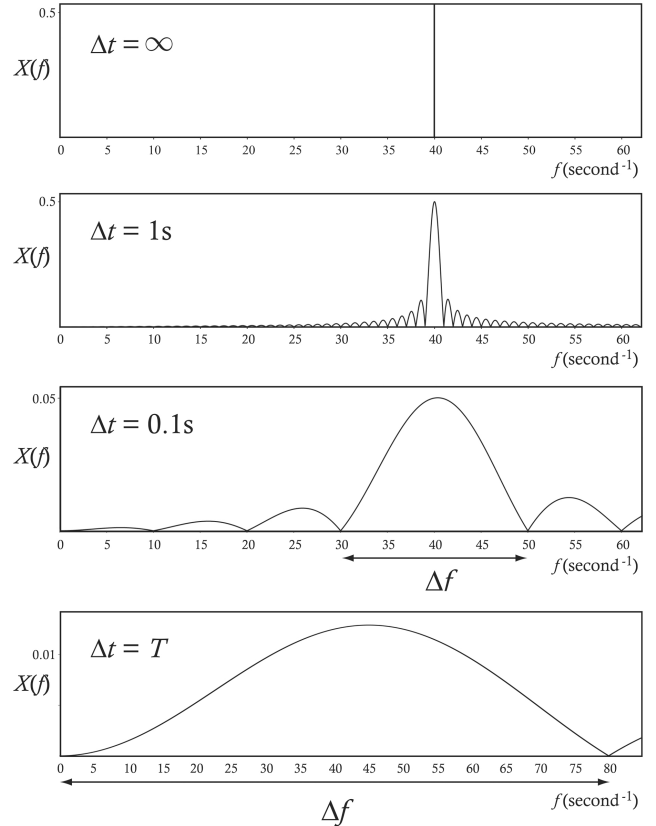
Again, this result which is identical to Eq.(39), is valid for any kind of duration. A Doppler effect basically relates a proper duration to a perceived duration, in both the relativistic and in the classical case, whether that duration is the lifetime of a soap bubble, as calculated in section 4, or the period of a wave. Introducing considerations such as fractions of a wave period would be unnecessarily disturbing. In fact, to the question what happens for a wave whose period is not exactly  $\Delta t_1$ , we can first answer that in principle it is always possible to perform the experiment with the wave whose period is precisely  $\Delta t_1$ . The number of Doppler formulas is not infinite; there is not one for every wavelength and for every source-observer distance. Furthermore, for a wave, calculations made for durations of the order of a period or a fraction of a period would be meaningless for determining a Doppler effect, because they would overestimate its precision. A Doppler effect is not applicable for less than one period and can only be defined for a series of successive wave crests, for which the question of the phase coincidence disappears. Expressing the Doppler effect as a function of a precise angle  $\theta'$  is illusory since the series of wave crests that allows it to be characterized does not correspond to a single  $\theta'$  but to a small range of  $\theta'$ . In fact the instantaneous frequency is never well defined for a continuously changing angle. Even for a collinear Doppler effect of a cosinusoidal signal

$$X(t) = \cos(2\pi f_0 t) \quad (41)$$

the imprecision of the frequency is given by the Fourier transform of this wave function and depends on the duration of the measurement.  $f_0$  is obtained precisely only for

the infinite signal duration of Eq.(41) by Dirac peaks (top panel of Fig.6).

$$X(f) = \frac{1}{2} [\delta(f - f_0) + \delta(f + f_0)] \quad (42)$$



**Figure 6.** Increasing imprecision in frequency as the duration of the signal decreases. Example of a sound of 40 Hertz (40 cycles per second). An infinite (non-physical) duration of the sound allows the absolute focusing of its frequency (top panel). We observe a broadening of the frequency peak ( $\Delta f$ ) and the appearance of parasite frequencies as the signal duration ( $\Delta t$ ) is shortened.

But for a finite duration  $\Delta t$ , the wave function is

$$X(t) = \text{rect}(t/\Delta t) \cdot \cos(2\pi f_0 t) \quad (43)$$

whose Fourier transform involves the cardinal sine function

$$X(f) = \frac{1}{2} \left| \frac{\sin(\pi\Delta t(f - f_0))}{\pi(f - f_0)} + \frac{\sin(\pi\Delta t(f + f_0))}{\pi(f + f_0)} \right| \quad (44)$$

whose graph shows the spread of the frequency peak with respect to  $f_0$  and the appearance of parasite frequencies. In the example of a 40 Hz wave chosen for Fig.6, a listening duration of a single period results in a frequency uncertainty ranging from 0 to 80 Hz (bottom panel of Fig.6). The formal treatment of a wave bubble corresponding to a single period gives the correct result, but its application is irrelevant in practice. For physically relevant finite signals and in particular for a continuously changing angular

Doppler effect, the product of the minimal imprecision in the frequency and the duration is a constant ( $\Delta t \cdot \Delta f = 2$ ) and the window  $\Delta t$  is all the shorter, theoretically zero, since the evolution of  $\theta$  is fast.

## 6 How to explain the persistence of a questionable Galilean formula

The currently accepted Doppler formula, which describes the frequency change of a moving source perceived by a static observer is

$$\frac{f^{\text{mov}}}{f} = \frac{1}{1 - \beta \cos \vartheta} \quad (45)$$

Its representation (dotted line in Fig.4B) gives an intermediate curve which does not allow to decide about the nature of the angle  $\vartheta$  between the trajectory of the source and the direction of the receiver. Its main characteristic is to cancel (value of 1) for an angle of  $\pi/2$ , but in the absence of a clear development, it is difficult to point to any specific error in its demonstration. Nevertheless, a number of arguments questioning its validity are listed below.

### 6.1 The success of the perceived wavefront approach

An argument specific to the present study is to ask why, while the wavefront approach is so effective in recovering all the correct relativistic formulas, it would not work for the Galilean case.

### 6.2 An orphan formula disconnected from aberration

The collinear Galilean Doppler equations are identical for the traditional and the new formulas. They are also confirmed by the rigorous approach to wave reception in the section 4.3. However, the intermediate angular values differ significantly, especially in two aspects: (i) the existence of a transverse Doppler effect for the new formulas but not for the old one, and (ii) two new formulas, depending on whether they are expressed in terms of  $\theta$  or  $\theta'$ , against only one old formula, as if the aberration had been neglected in the classical approach. The origin of the angle  $\vartheta$  of Eq.(45) is the source but in addition, it is necessary to specify whether the position of the source is to be taken into account when the wave is emitted or received. In the treatments of [16] or [17], it corresponds to  $\theta'$  used here, so in the following parts we will rewrite the Galilean Doppler formula as

$$\frac{f^{\text{mov}}}{f} = \frac{1}{1 - \beta \cos \theta'} \quad (46)$$

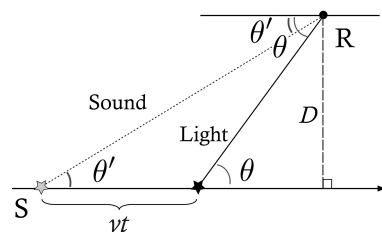
In an attempt to reconcile the usual (Eq.(46)) and new (Eq.(39)) formulas, Eq.(46) could be rewritten as a square root of a square.

$$\frac{f^{\text{mov}}}{f} = \frac{1}{\sqrt{1 + \beta^2 \cos^2 \theta' - 2\beta \cos \theta'}} \quad (47)$$

But we see that Eq.(47) and Eq.(39) are still different and that in addition, one cannot invoke the approximation of a very small angle  $\theta'$  (which would make  $\cos^2 \theta' \approx 1$ ) because of the alleged prediction that the Doppler effect must vanish ( $f^{\text{mov}}/f = 1$ ) when  $\theta' = \pi/2$  and  $\cos \theta' = 0$ . Moreover, while Einstein presented the relativistic Doppler formulas in pairs and in conjunction with two reciprocal aberration relations, in the rare demonstrations of the Galilean Doppler effect (later called classical in reference to relativistic) available in the literature, one finds no trace of an expression as a function of two angles, nor in conjunction with Galilean aberration rules. The aberration and Doppler formulas should be rigorously obtained together from the same approach, which must conjugate four equations for the two angles  $\theta$  or  $\theta'$ : two Doppler equations and two aberration equations. This is exactly what Einstein did in [6]. Interestingly, a recent manuscript [17] proposes to combine the old Doppler formula with the Galilean aberration rule of the new Doppler formula defined in [9]. This combination of Doppler and aberration formulas obtained in different ways, respectively approximate and strictly geometric, leads to a non-spherical Galilean wavefront.

### 6.3 Dual detection of the Doppler effect and of the position

An acceptable example of Galilean wave is provided by the sound, in the case of a source moving in a stationary propagation medium and a receiver stationary with respect to that medium. When measuring the Doppler shift of sound, the tracking of the source can lead to ambiguities in the estimation of the transverse position.



**Figure 7.** Since the speed of light can be considered as infinite compared to that of sound, the sound wave carrying the Doppler effect emitted by the source  $S$  at an angle  $\theta'$  toward the receiver  $R$ , and the light carrying the image of the source, emitted at an angle  $\theta$  toward the receiver, arrive together at the receiver.  $D$  is the shortest distance between the source and the receiver on the entire trajectory.

The light Doppler effect is, of course, measured by pointing the telescope at the source, but we know that this source has changed location while the light was traveling toward the telescope, so that its true position is invisible. In contrast for a Galilean wave, the information

about the Doppler effect and on the location of the source are carried by different channels and can be recovered simultaneously. As depicted in Fig.7, the Doppler effect of the sound is of course carried by the acoustic wave but the information about the position of the source is generally visual, i.e. carried by a light wave. This issue is concretely illustrated in the experimental application in Appendix A. If the source velocity is constant, both  $\theta$  and  $\theta'$  can be used, but if there is any doubt about the constancy of the velocity, then the use of  $\theta'$  is preferable, but this requires the use of a highly directional microphone to identify the spatial origin of the sound. Note also that the Doppler effects of sound involve many more parameters concerning the relative motion between the three actors: source, receiver and medium, which will not be discussed here.

## 6.4 The classical Doppler formula could have been strengthened by the relativistic one

Another possible reason for the consolidation of the traditional Doppler formula may have been a resemblance to the relativistic Doppler effect. Indeed, the relativistic Doppler shift is often derived as if it were the classical phenomenon, but modified by the addition of a time dilation term as explained in certain textbooks [18, 19, 20, 21]. Thus, the classical formula of the Doppler effect Eq.(46) has probably been aided by the advent of the relativistic Doppler effect, the form of which can suggest the confusing idea that it is simply the classical Doppler effect corrected by the relativistic dilation factor.

### 6.4.1 The puzzling idea that the classical Doppler effect is the "primary effect" of the relativistic one

According to approximate relativistic theories, the classical formula would be a primary Doppler effect of purely kinetic nature, which must be complemented by a so-called "secondary" effect of time dilation by the Lorentz factor (multiplication of the periods by  $1/\sqrt{1-\beta^2}$ ) to obtain the relativistic Doppler effect. In fact, kinetic and temporal effects cannot be dissociated in relativity and the Lorentz factor itself includes the change in kinetic energy. Nevertheless, this questionable principle has been accepted because it seems to work. The multiplication by  $\sqrt{1-\beta^2}$  of Eq.(46) does indeed give Eq.(36b), which is Einstein's relativistic Doppler formula where  $\theta'$  is the reception angle. This unfortunate identity has logically reinforced the presumed validity of Eq.(46) as the classical Doppler effect formula for generations of researchers and teachers. The consensus created in the scientific community by this apparent proof probably inhibited naive questions such as, for instance, if the only difference between the classical and relativistic Doppler effects is the dilation of the periods for the latter, then why should the classical and relativistic aberration rules be different? In

fact, the generalized correction by the dilation factor has a homothetic effect, which by itself cannot change the angles.

### 6.4.2 Additional confusion caused by an inappropriate wave averaging mode

The puzzling concept of the secondary Doppler effect, which is supposed to be specific to relativity and which probably gives credit to the current classical Doppler effect, has itself been consolidated by the inappropriate use of the arithmetic mean for averaging Doppler effects. In the articles validating the relativistic Doppler effect, longitudinal [22] and transverse [23], it is explained that the relativistic Doppler effect, unlike the classical one, includes a secondary transverse effect. Ives and Stilwell simultaneously measured the longitudinal wavelengths of approach ( $\lambda_a$ ) and recession ( $\lambda_r$ ), with and against the motion of the particles. They then compared the wavelength shifts with their so-called "center of gravity" which is calculated as an arithmetic mean [23]. Knowing the relativistic longitudinal effects to be demonstrated, they calculated

$$\begin{aligned}\lambda_{\text{mean}} &= \frac{\lambda_a + \lambda_r}{2} \\ &= \frac{1}{2} \left( \lambda_0 \sqrt{\frac{1-\beta}{1+\beta}} + \lambda_0 \sqrt{\frac{1+\beta}{1-\beta}} \right) \\ &= \frac{\lambda_0}{\sqrt{1-\beta^2}} \sim \lambda_0 + \frac{\lambda_0}{2} \beta^2\end{aligned}\quad (48)$$

They concluded that  $\lambda_{\text{mean}} \neq \lambda_0$  due to transverse Doppler shift

$$\frac{\Delta\lambda}{\lambda_0} = \frac{\lambda_{\text{mean}} - \lambda_0}{\lambda_0} \sim \frac{1}{2} \beta^2$$

This conclusion results from a misuse of the arithmetic mean. Perhaps judging the appearance of Eq.(48) satisfactory, these authors did not look at what is going on for the frequency  $f_{\text{mean}}$  which corresponds to this  $\lambda_{\text{mean}}$ . However, since the collinear Doppler effect during the approach for wavelengths corresponds to the Doppler effect during the recession for frequencies and vice versa, they would have found that the result is the same

$$f_{\text{mean}} = \frac{f_0}{\sqrt{1-\beta^2}}\quad (49)$$

But for any photon, the product: frequency  $\times$  wavelength is a well known constant

$$f\lambda = c\quad (50)$$

and therefore the above approach is obviously wrong as we would have

$$f_{\text{mean}} \lambda_{\text{mean}} = \frac{\nu_0 \lambda_0}{1-\beta^2} \neq c\quad (51)$$

In fact, the arithmetic mean used in [22, 23] is inappropriate for averaging Doppler effects because as explained in section 7.2, it cannot work for both frequencies and wavelengths.

### 6.4.3 The transverse effect supposedly specific of relativity

Finally, the acceptance of the classical Doppler effect by the scientific community may have been favored by the mistaken intuition that it should cancel ( $f^{\text{mov}}/f = 1$ ) when the source is the closest. The absence of Doppler shift predicted by the currently accepted equation Eq.(46) seems very reasonable [24], but it is nevertheless incorrect, as a rigorous analysis of the spherical wavefront (Table 2 in Appendix B) shows. For a moving source and static receiver, the Galilean transverse effect obtained for a reception angle  $\theta' = \pi/2$ , is

$$\left(\frac{f^{\text{mov}}}{f}\right)_{\text{transverse}} = \frac{1}{\sqrt{1+\beta^2}} \quad (52)$$

When this effect is received, the source is at the distance  $\beta D$  from the nearest point (Table 2). By comparison, the famous relativistic transverse effect, which Einstein envisioned as a possible confirmation of special relativity theory [25], is

$$\left(\frac{f^{\text{mov}}}{f}\right)_{\text{transverse}} = \sqrt{1-\beta^2} \quad (53)$$

These effects are both  $1 - \frac{\beta^2}{2} + \mathcal{O}(\beta^4)$  and differ only by  $\beta^4/4$ , making the discrimination proposed by Einstein technically very delicate.

## 7 Mean Doppler effects

### 7.1 Sum of Doppler effects

The sums of the Doppler-modified durations can include the summation of Doppler effects on periods. Depending on whether the calculation is based on the point of emission of the signal or the position of its source (angle  $\theta'$  or  $\theta$  respectively), we recover the asymmetry of Einstein's traveling clock experiment [6]. The result of the subsection 4.2, which adds opposite collinear Doppler effects, can be generalized to all observer orientations around the emission point

$$\frac{1}{2\pi} \int_{\theta'=0}^{2\pi} \frac{1-\beta \cos \theta'}{\sqrt{1-\beta^2}} d\theta' = \frac{1}{\sqrt{1-\beta^2}} \quad (54)$$

while this summed Doppler effect cancels out if the origin of the angle is the actual location of the source.

$$\frac{1}{2\pi} \int_{\theta=0}^{2\pi} \frac{\sqrt{1-\beta^2}}{1+\beta \cos \theta} d\theta = 1 \quad (55)$$

But in addition to this temporal average, there is also an energetic average of Doppler effects.

### 7.2 The appropriate mean for averaging frequencies

The addition of Doppler effects is useful for summing durations but not for calculating an energetic average Doppler effect, as in the subsection 6.4.2. Mathematically, there are several modes of averaging that apply differently to the specific situations. These different types of averaging include, when applied to two Doppler effects,

- The arithmetic mean:  $\frac{1}{2} \left( \frac{f_1^{\text{mov}}}{f} + \frac{f_2^{\text{mov}}}{f} \right)$
- The geometric mean:  $\left( \frac{f_1^{\text{mov}}}{f} \frac{f_2^{\text{mov}}}{f} \right)^{\frac{1}{2}}$
- The harmonic mean:  $\frac{2f}{f_1^{\text{mov}} + f_2^{\text{mov}}}$

The appropriate one is necessarily the geometric mean, because it is the only one that holds for both periods and frequencies, such that

$$\langle f_1, f_2 \rangle = \frac{1}{\langle T_1, T_2 \rangle} \quad (56)$$

As a matter of fact, the use of geometric averages for wavelengths has already been empirically applied and, in particular, satisfies the rule of color reflectance fusion.

### 7.3 Mean relativistic Doppler effect

The product involved in the geometric mean for all angles  $\theta$  around the source using Eq.(36b) can be transformed into a sum by going through the logarithms. Expressed in periods

$$\begin{aligned} \left\langle \frac{T^{\text{mov}}}{T} \right\rangle &= \left( \prod_{\theta=0}^{\pi} \frac{T^{\text{mov}}(\theta)}{T} \right)^{\frac{1}{\pi}} \\ &= \exp \left[ \frac{1}{\pi} \int_{\theta=0}^{\pi} \ln \left( \frac{\sqrt{1-\beta^2}}{1+\beta \cos \theta} \right) d\theta \right] \end{aligned} \quad (57)$$

But one can also start directly with products, using two-by-two geometric averages between points symmetrically positioned apart from  $\pi/2$  by an angle  $\xi$  ranging from 0 to  $\pi/2$ .

$$\begin{aligned} &\left\langle \frac{T^{\text{mov}}}{T} \left( \frac{\pi}{2} \pm \xi \right) \right\rangle \\ &= \frac{\sqrt{1-\beta^2}}{\sqrt{[1+\beta \cos(\frac{\pi}{2}-\xi)][1+\beta \cos(\frac{\pi}{2}+\xi)]}} \\ &= \sqrt{\frac{1-\beta^2}{1-\beta^2 \sin^2 \xi}} \end{aligned} \quad (58)$$

This half geometric averaging minimizes the inequality between the global geometric mean and the residual arithmetic mean, which is obtained by summing the geometric means of all symmetric  $\xi$ ,

$$\left\langle \frac{T^{\text{mov}}}{T} \right\rangle < \frac{2}{\pi} \sqrt{1-\beta^2} \int_{\xi=0}^{\frac{\pi}{2}} \frac{d\xi}{\sqrt{1-\beta^2 \sin^2 \xi}} \quad (59)$$

whose last term is Legendre's complete elliptic integral of the first kind [26]. The right side of Eq.(59) is less than one since the square root of the elliptic integral should be removed to obtain 1.

$$\frac{2}{\pi} \sqrt{1-\beta^2} \int_{\xi=0}^{\frac{\pi}{2}} \frac{d\xi}{1-\beta^2 \sin^2 \xi} = 1 \quad (60)$$

More precisely,

$$\left\langle \frac{T^{\text{mov}}}{T} \right\rangle < \frac{2}{\pi} K \left( \frac{\beta^2}{\beta^2-1} \right) \sim 1 - \frac{\beta^2}{4} - \frac{7\beta^4}{64} \quad (61)$$

## 7.4 Mean Galilean Doppler effect

The geometric mean of the Galilean Doppler effects obtained before and after the nearest point is independent of  $\theta$  and less than 1. Using Eq.(38) and for periods,

$$\left\langle \frac{T^{\text{mov}}}{T} \right\rangle = \left( \prod_{\theta=0}^{\pi} \frac{T^{\text{mov}}(\theta)}{T} \right)^{\frac{1}{\pi}} = \sqrt{1-\beta^2} \sim 1 - \frac{\beta^2}{2} - \frac{\beta^4}{8} \quad (62)$$

In both cases, perceived time appears globally contracted, contrary to a time dilation.

$$\left\langle \frac{T^{\text{mov}}}{T} \right\rangle < 1$$

Note that the mean Galilean Doppler effect is  $1/\gamma$  while the mean relativistic one is not.

## 7.5 Comparison of the mean Galilean and relativistic values

For the Galilean circle, the situation is geometrically clear. On the axis crossing the source and orthogonal to its trajectory, the wavefronts are narrowed by  $\sqrt{1-\beta^2}$ , narrower in front of this axis and wider behind it, with an overall average equal to the transverse axis. Strikingly, the properties of this axis are exactly the same for the ellipse (compare the yellow lines between Table 1 and Table 2 in Appendix B). However, since the ellipse is longer at the back, the global average of the distances between successive wave crests is slightly higher.

# 8 Discussion

## 8.1 New tools for comparing and revising wave perception

This study unambiguously links the Doppler effect to the geometry of the perceived wavefront surfaces, which leads to a change in the apparent durations for electromagnetic waves, in accordance with the theory of special relativity. This geometric approach overcomes the limitations of phase-based treatments below one period to determine frequencies, that are meaningful only for a set of periods. Although electromagnetic and Galilean waves are fundamentally different in nature, it is instructive to compare their properties. Their differences in terms of aberration and the Doppler effect are more subtle than expected and depend entirely on the respective received wavefronts: ellipsoidal for electromagnetic waves and spherical for Galilean waves. Some explanations are proposed to explain the persistence of an erroneous classical Doppler formula in the recent literature and in university courses. In fact, the currently accepted Doppler equation has been strangely shaped by the knowledge of the relativistic one, while the two Doppler effects obey radically different laws. It suffers from unproven assumptions widely used in textbooks such as: (1) there is no transverse classical Doppler effect; (2) the relativistic Doppler effect corresponds to the Galilean Doppler effect modified by the Lorentz dilation factor. Einstein himself took the previous formula of the Doppler effect (not yet called classical) for granted, which logically led him to believe that the transverse effect he had discovered was specific to the relativistic Doppler effect. His contributions were so important that he cannot be asked to verify the previous formulas. The timing of perceived durations, like the Doppler and aberration effects, is a receiving process that depends on the velocity vector.

## 8.2 Conclusion

Unlike the time dilation of special relativity, perceived time is not uniform in a global inertial frame. The appropriate tool for converting proper to proper durations is the Doppler effect, which applies not only to wave periods, to which it is usually limited, but to any duration. The Doppler effect is generally considered to be a long-established phenomenon, corresponding to a dead branch of fundamental physics, now confined to general education. According to the historical recollections of [27], one of the difficulties Christian Doppler had in convincing the scientific community in 1842 was that his theory seemed too mathematically simple to describe physics. As this study suggests, using only elementary algebra, the Doppler effect seems to remain both mathematically simple and physically subtle.

**Acknowledgements.** I thank S. Di Matteo for enlightening discussions.

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

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# Appendices

## A Classical Doppler measurement

The relativistic Doppler effects predicted by Einstein have been perfectly verified experimentally in their longitudinal [22] and transverse [23] versions, but curiously the angular Doppler effect, which predates relativity, has remained little studied. Moreover, the study of [23] was based on the unverified idea that the so-called classical Doppler effect has no transverse effect, which is disputed here. Any ordinary movie is a joint recording of image and sound, but since these two types of waves reach the camera and microphone at different speeds, they actually describe separate moments in the recent past (Fig.7). To illustrate this subtlety, let us analyze the shift in sound frequency during the passage of an airplane by analyzing image and sound in parallel.

### A.1 Determination of an airplane speed and rest frequency

The asymptotic values of the apparent frequencies heard when the source arrives, written  $f_a$  and those measured

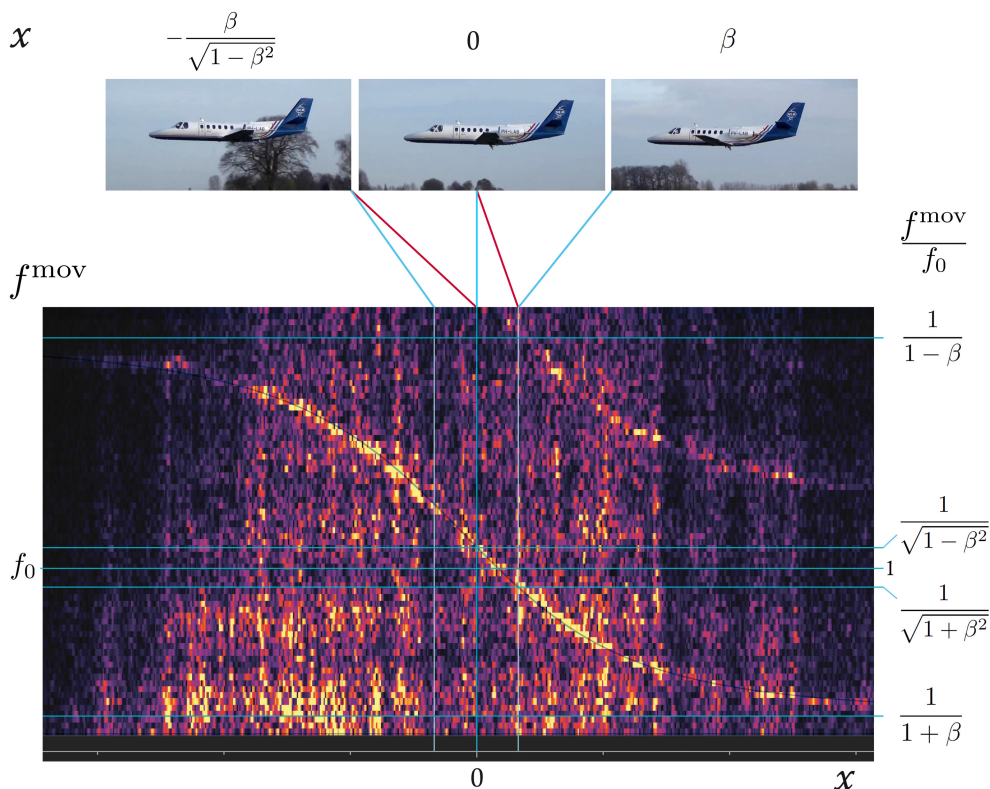
when the source recedes, written  $f_r$ , are sufficient to determine the source velocity, even without knowing the source frequency  $f_0$ . Indeed,  $f_a$  and  $f_r$  are related by

$$f_0 = f_a (1 - \beta) = f_r (1 + \beta) \quad (\text{A.1a})$$

from which

$$\beta = \frac{f_a - f_r}{f_a + f_r} \quad (\text{A.1b})$$

The frequencies given by the spectrogram  $f_a=6750$  Hz and  $f_r=4338$  Hz, give  $\beta = 0.2175$  (at  $15^\circ\text{C}$ ,  $74$  m/s or  $266$  km/h). Once  $\beta$  is known, the equalities of Eq.(A.1a) allow us to find the rest frequency:  $f_0 = 5282$  Hz. Note that although it is called rest frequency,  $f_0$  may not exist when the aircraft is stopped with the engines on, for example if this sound is generated by the flow of the apparent wind.



**Figure A1.** Doppler effect illustrated by a dominant frequency recorded during the passage of an airplane at low altitude. The blue lines connecting the images of the planes to the spectrogram indicate the actual coincidence of sound and image on the film, while the red lines connect the recorded frequencies to their actual points of emission.



## A.2 Doppler formulas as a function of distance

Angular Doppler formulas are not very practical for analyzing experimental results because they compress the far zones and induce a distortion between the linear recording of the moving source at constant speed. The correspondence between any angle  $\vartheta$  and the distance is

$$D = -X \tan \vartheta \quad (\text{A.2})$$

where  $D$  is the shortest source-observer distance over the whole source trajectory, and  $X$  is the distance of the source from this closest point.

$$\frac{X}{D} = x = -\frac{\cos \vartheta}{\sqrt{1 - \cos^2 \vartheta}} \quad (\text{A.3})$$

$$\cos \vartheta = -\frac{x}{\sqrt{1 + x^2}} \quad (\text{A.4})$$

By applying this relation to the angles  $\theta$  and  $\theta'$  presented previously, we obtain, for the classical Doppler formula

$$\left(\frac{f^{\text{mov}}}{f}\right)_{\text{classical}} = \frac{1}{1 + \frac{\beta x'}{\sqrt{1 + x'^2}}} \quad (\text{A.5})$$

and for the formulas deduced from the spherical wavefront, the Doppler effects are described as functions of the coordinates of the position of the source ( $P$ ) and of the emission point ( $E$ ), by setting  $\vartheta = \theta$  or  $\theta'$  respectively.

$$\left(\frac{f^{\text{mov}}}{f}\right)_P = \frac{\sqrt{1 + x^2}}{\beta x + \sqrt{1 - \beta^2 + x^2}} \quad (\text{A.6})$$

and

$$\left(\frac{f^{\text{mov}}}{f}\right)_E = \frac{1}{\sqrt{1 + \beta^2 + 2\beta \frac{x'}{\sqrt{1 + x'^2}}}} \quad (\text{A.7})$$

As a check, we can verify that Eq.(A.6) gives the average Doppler effect determined with its angular counterpart Eq.(62). The geometric mean of the Galilean Doppler effect is

$$\forall x, \left\langle \frac{f(-x)}{f_0}, \frac{f(+x)}{f_0} \right\rangle = \frac{1}{\sqrt{1 - \beta^2}} \quad (\text{A.8})$$

The Doppler effect of the sound is naturally carried by the acoustic wave but the information about the position of the source is generally visual, i.e. carried by light (Fig.7). When the wave emitted in  $X$  reaches the receiver, the source has traveled a distance that depends on the duration  $\Delta t$  of the flight of the wave from the source to the receiver. This path, of length  $c\Delta t$ , is the hypotenuse of a right triangle whose other two sides are the shortest distance  $D$ , and the distance  $X$  separating the source from the nearest point. So Pythagoras says

$$(c\Delta t)^2 = D^2 + X^2 \quad (\text{A.9a})$$

from which

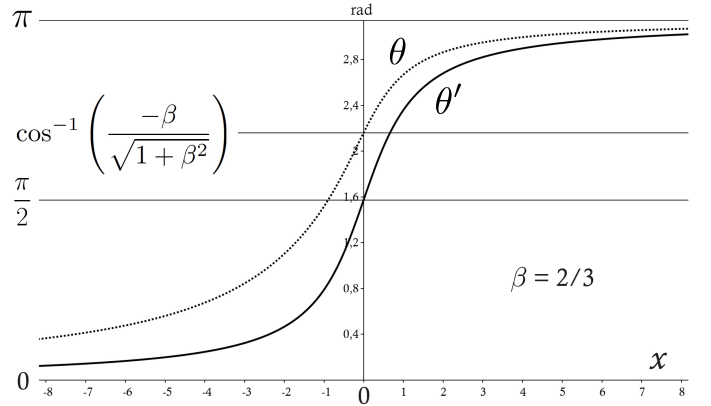
$$\Delta t = \frac{\sqrt{D^2 + X^2}}{c} = D \frac{\sqrt{1 + x^2}}{c} \quad (\text{A.9b})$$

During this time, the source will have traveled

$$\Delta X = v\Delta t = \beta D \sqrt{1 + x^2} \quad (\text{A.9c})$$

or in normalized distance

$$\Delta x = \beta \sqrt{1 + x^2} \quad (\text{A.9d})$$



**Figure A2.** Plots of Galilean angular aberration as a function of distance. Dashed curve: Angle  $\theta$  between the trajectory of the source and the source-receiver direction according to Eq.(A.10). Solid line curve: angle  $\theta'$  between the trajectory of the source and the line connecting the emission point to the receiver.

The point of emission can be calculated from the actual position of the source when the Doppler effect is detected.

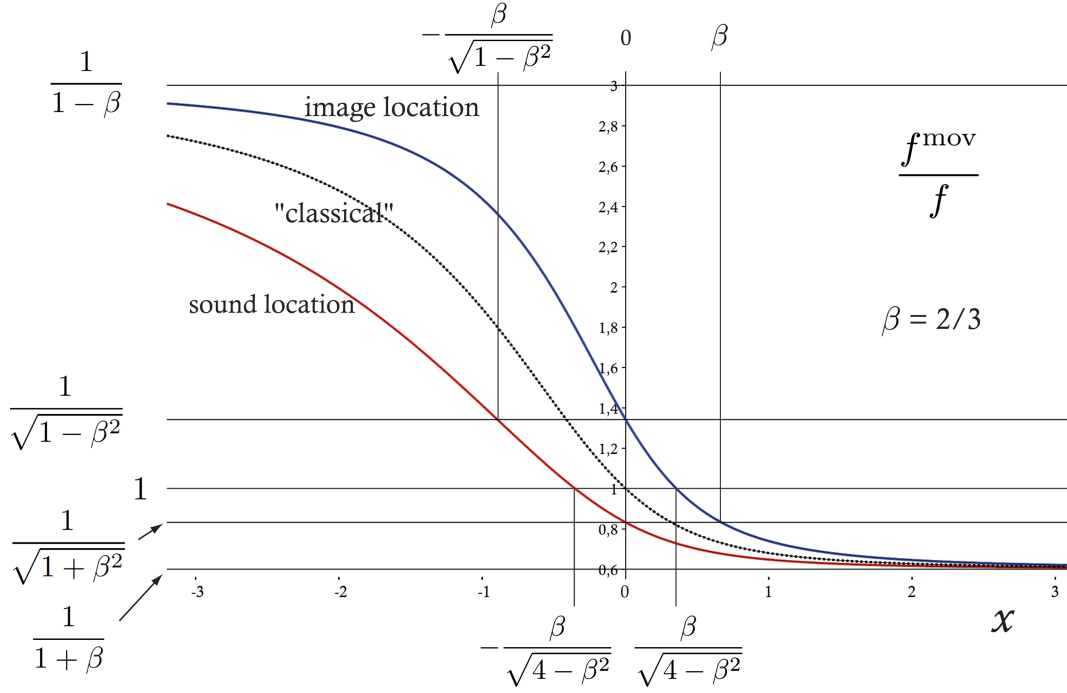
The angle  $\theta' = \cos^{-1}\left(-\frac{x}{\sqrt{1 + x^2}}\right)$  whose origin is the point of emission, is expected to become  $\theta$  when replacing  $x$  by  $x + \Delta x$ ,

$$\theta = \cos^{-1}\left(\frac{-(x + \beta\sqrt{1 + x^2})}{\sqrt{1 + (x + \beta\sqrt{1 + x^2})^2}}\right)$$

which can be rewritten

$$= \cos^{-1}\left(\frac{\left(-\frac{x}{\sqrt{1 + x^2}}\right) - \beta}{\sqrt{1 + \beta^2 - 2\beta\left(-\frac{x}{\sqrt{1 + x^2}}\right)}}\right) \quad (\text{A.10})$$

Expectedly in this form, Eq.(A.10) is analogous to the aberration formula Eq.(37c). These functions are shown in Fig.A2. The introduction of Eq.(A.10) into the Doppler formula Eq.(38), gives back the curve of Eq.(A.7). Conversely, the introduction into the Doppler formula Eq.(39) of the angle  $\theta$  obtained by conversion of  $\cos^{-1}\left(-\frac{x}{\sqrt{1 + x^2}}\right)$  by the aberration formula Eq.(37b), gives the curve of Eq.(A.6). The Doppler functions derived from this approach are shown in Fig.A3.



**Figure A3.** Doppler effect of the sound as a function of the relative position of a moving source on its path, for a stationary receiver in the absence of wind, expressed as a function of either the coordinate of the emitting point (lower red curve drawn to Eq.(A.7)), or of the visually detected source (upper blue curve drawn to Eq.(A.6)). The dashed black curve shown for comparison is that of the classical Doppler formula drawn to Eq.(A.5). The increment of the coordinate  $x$  is the minimum distance between the source and the receiver.

### A.3 Curve fitting and conclusions

The theoretical equation combining the simultaneously recorded image and sound is Eq.(A.6) where the ordinate is the sound Doppler shift and the abscissa  $x$  is the spatial coordinate of the source determined visually. Inserting the previously measured value of  $\beta$  into this equation gives the horizontal increment  $x = 1$ . At  $x = 0$  (5156 Hz, Doppler effect of 1.025) the observer's line of sight is perpendicular to the plane trajectory. The Doppler effect for  $x = 0$  is expected to be

$$\frac{f^{\text{mov}}}{f_0} \text{ orthogonal} = \frac{1}{\sqrt{1 - \beta^2}}$$

As explained in the main text, this is not the transverse Doppler effect which is

$$\frac{f^{\text{mov}}}{f_0} \text{ transverse} = \frac{1}{\sqrt{1 + \beta^2}}$$

This latter effect (5156 Hz, Doppler effect of 0.977) is received only when the plane has moved away from the transverse position by a distance  $\beta D$  from the nearest point. Given the delay of 0.323 seconds measured from the video, it corresponds to 110 m from the transverse

position. In summary, the accuracy of the curve fit shown in Fig.A3 can be verified by checking the frequencies for the following two points:

- $x = 0 \rightarrow f^{\text{mov}} = f_0 / \sqrt{1 - \beta^2}$
- $x = \beta \rightarrow f^{\text{mov}} = f_0 / \sqrt{1 + \beta^2}$

In addition, for image and sound to match,  $x = 0$  must coincide with the most transverse position of the source. This can be seen in Fig.A1 in the apparent orientation of the wings and the alignment of the side windows of the cockpit. Once these three criteria are met, the rest of the curve fits remarkably well (Fig.A1). The blue lines connect the images and the sounds which are superimposed on the video. But this apparent simultaneity is only an illusion of reception, as shown by the red lines that connect the sound to the position of the plane where it was actually emitted. This offset is, of course, due to the difference in speed between light and sound to get from the plane to the camera [28]. The sound received when the plane is seen perfectly in profile was sent at the position  $x = -\beta / \sqrt{1 + \beta^2}$ , which would belong to the curve drawn to Eq.(A.7) if added to the same diagram.

## B Points of comparison between relativistic and Galilean angular Doppler effects

**Table 1:** Some relativistic correspondences between angles, distances and Doppler effects. The green line corresponds to the transverse Doppler effect, and the yellow line is the only common point with the Galilean Doppler effect of Table 2.

Origin of the angle		Distance to the nearest point of the		Doppler effect
source	point of emission	source position	wave emission	
$\theta$	$\theta'$	$x$	$x'$	$f^{\text{mov}}/f$
0	0	$-\infty$	$-\infty$	$\sqrt{\frac{1+\beta}{1-\beta}}$
$\frac{\pi}{2}$	$\cos^{-1} \beta$	0	$-\frac{\beta}{\sqrt{1-\beta^2}}$	$\frac{1}{\sqrt{1-\beta^2}}$
$\cos^{-1} -\frac{1-\sqrt{1-\beta^2}}{\beta}$	$\cos^{-1} \frac{1-\sqrt{1-\beta^2}}{\beta}$	$\frac{1}{\sqrt{2}} \sqrt{\frac{1}{\sqrt{1-\beta^2}} - 1}$	$-\frac{1}{\sqrt{2}} \sqrt{\frac{1}{\sqrt{1-\beta^2}} - 1}$	1
$\cos^{-1} -\beta$	$\frac{\pi}{2}$	$\frac{\beta}{\sqrt{1-\beta^2}}$	0	$\sqrt{1-\beta^2}$
$\pi$	$\pi$	$+\infty$	$+\infty$	$\sqrt{\frac{1-\beta}{1+\beta}}$

**Table 2:** Some Galilean correspondences between angles, relative distances and Doppler effects. The unit of angle is radian and the unit of distance is the minimum distance between the mobile source and the stationary receiver. The green line corresponds to the transverse Doppler effect, and the yellow line is the only common point with the relativistic Doppler effect (Table 1).

Origin of the angle		Distance to the nearest point of the		Doppler effect
source	point of emission	source position	wave emission	
$\theta$	$\theta'$	$x$	$x'$	$f^{\text{mov}}/f$
0	0	$-\infty$	$-\infty$	$\frac{1}{1-\beta}$
$\frac{\pi}{2}$	$\cos^{-1} \beta$	0	$-\frac{\beta}{\sqrt{1-\beta^2}}$	$\frac{1}{\sqrt{1-\beta^2}}$
$\cos^{-1} -\frac{\beta}{2}$	$\cos^{-1} \frac{\beta}{2}$	$\frac{\beta}{\sqrt{4-\beta^2}}$	$-\frac{\beta}{\sqrt{4-\beta^2}}$	1
$\cos^{-1} -\frac{\beta}{\sqrt{1+\beta^2}}$	$\frac{\pi}{2}$	$\beta$	0	$\frac{1}{\sqrt{1+\beta^2}}$
$\pi$	$\pi$	$+\infty$	$+\infty$	$\frac{1}{1+\beta}$