

Mind the polar sun: Solar radiations trigger frequent heat stress in breeding king penguins, despite relatively cool air temperatures.

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1 Mind the polar sun: Solar radiations trigger frequent heat stress in breeding

2 king penguins, despite relatively cool air temperatures.

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16 Abstract

Polar and sub-polar animals evolved to thrive in cold climates and may thus be 17 18 particularly vulnerable to the rising temperatures associated with climate change. Polar and 19 sub-polar penguins may be especially vulnerable due to their dual habitat, alternating between 20 foraging in cold waters and breeding/moulting on an increasingly warm land. Here, we 21 characterized heat stress occurrence in breeding king penguins through behavioural observations and subcutaneous body temperature measurements. We show that heat stress 22 23 is frequent (> 20% of observations at mid-day) in king penguins breeding in the sub-Antarctic region, and that thermoregulatory mechanisms appear insufficient to maintain stable sub-24 25 cutaneous temperature. Air temperature alone was a poor predictor of heat stress occurrence, while the combination of high solar radiations, low wind speed and high temperatures was its 26 best predictor. Importantly, reproductive failure occurred on days warmer than average, 27 suggesting potential significant sublethal effects of heat stress being likely to affect population 28 dynamics. 29

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31 *Key words:* thermoregulation, temperature, seabird, climate change, heat wave, behaviour

32 Introduction

33 Heat waves, or extremely "hot" climatic events (Marx et al. 2021; Robinson 2001), are increasing in frequency with global warming, which is expected to exert direct thermoregulatory 34 35 pressure on most living organisms (Piatt et al. 2024; Stillman 2019). In endotherms, when ambient temperatures reach the upper limits of their thermoneutral zone (TNZ) (*i.e.* the range 36 37 of ambient temperatures in which metabolic rate is minimal) (Romanovsky 2007, 2018), the costs of active thermoregulation or the failure to properly thermoregulate may lead to reduced 38 reproductive success or survival (Boyles et al. 2011; Danner et al. 2021; Pipoly et al. 2013). 39 Although no real consensus exists regarding the definition of a 'heat wave', climate can be 40 41 defined as "hot" as soon as it triggers a stress response to maintain or restore body temperature and homeostasis through behavioural and physiological changes (*i.e.* heat stress; 42 Hansen 2009). 43

44 Studies on heat stress occurrence in wild animals are mostly conducted in species from arid, tropical or temperate regions, because of obvious extreme ambient temperatures (Bryant 45 2008; Conradie et al. 2020). Yet, cold-adapted species may be at greater risks of struggling 46 under hot conditions because of a narrower, cold-shifted TNZ and thermoregulatory 47 mechanisms specialized for cold environments (Beaman et al. 2024; Choy et al. 2021; 48 49 Grémillet et al. 2012; O'Connor et al. 2021). Even if maximum temperatures in polar regions 50 are seen as less extreme than in other parts of the globe, polar regions are warming at a faster 51 rate than any other place on earth (Clem et al. 2020; Stammerjohn & Scambos 2020; You et 52 al. 2021). Hence, animals adapted to cold environments may reach a breakpoint in thermoregulation efficacy more rapidly than other species in response to climate anomalies 53 (Beaman et al. 2024; Choy et al. 2021; O'Connor et al. 2021). 54

Seabirds from polar and subpolar regions are expected to be particularly sensitive to 55 heat stress, because they have to maintain a thick body insulation in order to forage in cold 56 57 waters (Davis & Darby 2012), but are increasingly exposed to high temperatures on land. It 58 has been suggested that since marine birds have an "easy access" to water to cool down, they 59 are unlikely to suffer much from hot conditions on land (Beaman et al. 2024). Yet, this assumption does not consider the high constraints that most seabirds face during reproduction, 60 which is also the timeframe where the heat load is expected to be the highest. For instance, 61 62 thermoregulatory behaviours such as bathing would directly impact nest attendance, thereby 63 increasing the egg or chick exposure to heat and predators (Olin et al. 2023). Direct and indirect (e.g. longer foraging times) effects of heat may contribute to decrease reproductive 64 65 success, leading to a trade-off between thermoregulation and reproduction (or even survival) in breeding adults. 66

Direct observation of thermoregulatory behaviours is an efficient and non-invasive way 67 to study heat stress in free-living animals (Olin et al. 2023). Panting is considered a sign of 68 heat stress as it is an energy-expensive evaporative cooling mechanism (Tan & Knight 2018). 69 70 Panting behaviour has for instance been observed in nesting guillemot (Olin et al. 2023), great 71 skuas (Oswald et al. 2008) and African penguins (Welman & Pichegru 2022), during days of 72 high air temperature. The use of more passive thermoregulatory behaviours (i.e. less 73 energetically costly than panting) has also been described in breeding seabirds, in order to increase convective and/or conductive heat loss for instance through spreading wings (Olin et 74 75 al. 2023), bathing (Oswald et al. 2008), crouching or standing (Cook et al. 2020). To evaluate 76 if thermoregulatory behaviours are sufficient to maintain normal body temperature, 77 measurements of body temperature are required. Various methods exist for measuring body temperature in wild animals (see(McCafferty et al. 2015) for a review). For animals being 78 identified using sub-cutaneous pit-tags, the replacement of traditional pit-tag with 79 thermosensitive ones offers the opportunity to collect body temperature (*i.e.* subcutaneous 80 temperature: T_{sc}) while minimizing invasiveness (Andreasson et al. 2023; McCafferty et al. 81 2015). 82

The heat load faced by wild animals depends on multiple climatic parameters, including 83 ambient temperature (T_{air}), humidity, solar radiations (SR) and wind speed (WS), but the vast 84 85 majority of studies focusing on heat stress have tested for air temperature as the sole climatic 86 variable, or in combination with relative humidity (RH) (Mitchell et al. 2024). While microclimatic 87 conditions measured as close as possible to the animal are the best way to quantify the heat exchange between an animal and its environment (Mitchell et al. 2024), such information is 88 not always available and researchers often rely on climatic data from meteorological services. 89 Using such data, various thermal indexes can be computed from climatic parameters (loannou 90 et al. 2022), and could be useful to determine a threshold above which an animal would be 91 impacted by "hot" conditions, especially in a complex natural environment. 92

93 Here, we suggest that king penguins (Aptenodytes patagonicus), the second largest 94 penguin species, may be particularly sensitive to heat and thus used as an early sentinel 95 regarding the risk of sub-polar and polar seabirds to suffer from heat stress on land in the 96 context of climate change. Indeed, king penguins are very well adapted to the cold, with a 97 performant body insulation for deep-diving and important fat stores (Davis & Darby 2012). 98 Modifying this insulation during breeding on land is however impossible, as penguins must 99 alternate between incubating/brooding on land during spring/summer at fluctuating ambient 100 temperatures (see Fig. 1, numerous days with maximum temperature > 15°C), and foraging at 101 sea in ca. 4°C water (Stonehouse 1960). The risks of heat stress are further accentuated as breeders reproduce on volcanic beaches with no access to shade, and must remain on land 102

while fasting for prolonged periods, around 2-3 weeks during egg incubation and around 1 103 week during chick rearing (Weimerskirch et al. 1992). During their stay on land, king penguins 104 105 breed in a dense colony, establishing a small territory against aggressive conspecifics (Côté 106 2000; Lemonnier et al. 2024) from which they cannot move or leave their egg/chick unattended 107 without very high risks of reproductive failure due to predation (Descamps et al. 2005). This 108 further accentuates the risk of heat stress by limiting access to cooling substrate (*i.e.* water) and triggering frequent antagonistic interactions. Although behavioural signs of heat stress 109 have been observed in polar and sub-polar penguin species (Stier & Lewden, pers. obs.), this 110 phenomenon remains to be investigated in details in sub-Antarctic and Antarctic species. 111

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Figure. 1 Breeding cycle and 2022 climatic conditions (daily maximum air temperature (in red) and
 maximum solar radiation (in orange) of king penguins breeding in Crozet Archipelago.

In this study, we investigated the occurrence of heat stress in free-living king penguins. 117 118 To this end, key parameters were examined : 1. the occurrence of heat stress by studying thermoregulatory behaviours; 2. the biological (*i.e.* breeding stage, sex and fasting duration) 119 and environmental (i.e. ambient temperature, solar radiations, relative humidity and wind 120 speed) factors triggering heat stress; 3. the efficacy of thermoregulatory behaviours in 121 122 maintaining normal (subcutaneous) body temperature under hot climatic conditions; 4. the 123 performance of different thermal indexes in predicting heat stress occurrence; and 5. the climatic conditions associated with reproductive failure. 124

125 Materials and Methods

126 Study model and site.

We studied king penguins breeding in the "Baie du Marin" colony on Possession Island 127 128 in the Crozet Archipelago (46°25'S; 51°52'E). Data were collected over two breeding seasons. 129 2021-2022 and 2022-2023. During breeding, male and female partners alternate between periods of foraging at sea with periods on land caring for the egg or chick (Figure 1). After 130 laying a single egg, females return to forage at sea while males take care of the first incubation 131 shift. Alternated guard continues throughout incubation (ca. 53 days) and brooding until the 132 133 chicks reach thermoregulatory independence (ca. 35 days) (Davis & Darby 2012). In this study, we monitored 72 breeding pairs (20 during 2021-2022 and 52 during 2022-2023). For each 134 breeding pair, male and female parents were individually marked during courtship using hair-135 dye (Schwarzkopf Palette Deep Black) and monitored daily throughout incubation and 136 brooding. The number of days on land (D_{land}), from the return of the birds on land until the day 137 of observation, was also considered in the analysis. The first incubation shift of males was 138 excluded from the analysis as they fast 2-3 weeks longer than females, which influences 139 greatly their physiology (Ancel et al. 2013; Cherel et al. 1988; Gauthier-Clerc et al. 2001). 140

141 Climatic parameters

142 Climatic data were provided by Météo France through a weather station located *ca*. 143 1km from the penguin colony (Station 98404004, 46°25'S; 51°51'E). The station provided air 144 temperature under shelter (T_{air} , °C), solar radiations (SR, J/cm²), wind speed (WS, m/s) and 145 relative humidity (RH, %) every hour.

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147Table 1. Heat indexes computed from air temperature, relative humidity, wind speed and solar radiations148using 4 different equations where Tg is the Globe temperature, THI the Temperature-Humidity Index, HLI149the Heat Load Index, and WBGT is the Wet-Bulb Globe Temperature. Tnwb is the natural wet-bulb Temperature150and can be calculated from Tair, RH and WS using equations in Carter et al. 2020.

Equation 1.	Tg = 0.01498 SR + 1.184 Tair - 0.0789 RH - 2.739	Hajizadeh et al. 2017
Equation 2.	THI = Tair + 0.36 Tw + 41.5	Thom et al. <u>1959;</u> Silva et al. 2007
Equation 3.	HLI = 33.2 + 0.2 RH + 1.2Tg - (0.82WS)0.1 - log (0.4 WS2 + 0.0001)	<u>Ghaugan</u> 2002; Silva et al. 2007
Equation 4.	$WBGT = 0.7Tnwb \times 0.2Tg \times 0.1Tair$	Liljegren et al. <u>2008;</u> Hajizadeh et al. 2017

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We calculated 4 different heat indexes to compare their predictive power to models including raw environmental variables (Table 1). We used the globe temperature (Tg, equation 1, Hajizadeh *et al.* 2017), the Temperature-Humidity Index (THI, equation 2, Thom 1959), the Heat Load Index (HLI, equation 3, Gaughan 2002; Silva *et al.* 2007) and the Wet-Bulb Globe Temperature (WBGT, equation 4, Hajizadeh *et al.* 2017; Liljegren *et al.* 2008), which are either a practical metric of dry heat load to which the biological responses of wild animals should be assessed (Tg, Mitchell et al. 2024), the most used indexes to predict heat stress occurrence in humans (THI, WBGT, Budd 2008), or the indexes that have been described to better predict heat stress in humans and farm animals (HLI and WBGT; Carter *et al.* 2020; Liljegren *et al.* 2008; Silva *et al.* 2007).

163 Thermoregulatory behaviours

Penguins' thermoregulatory behaviours were recorded using scan sampling every 3 164 165 days over a period of 40 minutes with an observation recorded every 2 minutes (maximum 21 observations per scan). The scans were performed during midday (mean= $14:35 \pm 1$ hour and 166 35 minutes). We gathered a total number of 1175 scans, scattered over 60 days (from 28th 167 November 2021 to 8th March 2022 and 4th December 2022 to 26th February 2023). The total 168 number of scans per individual (mean of 9 ± 3 scans) varied with the duration of their shift on 169 170 land and the survival of their offspring. We focused on 3 behaviours considered to have a thermoregulatory function, *i.e.* panting, wing spreading and exposure of the brood pouch (*i.e.* 171 a highly vascularized and featherless area that may serve as a thermal window to dissipate 172 173 heat; Handrich et al. 1997), and analysed their occurrence in terms of presence/absence 174 during the scan (1/0 respectively). Observations were carried out by 11 observers during 2 field 175 seasons.

176 Sub-cutaneous temperature

We recorded sub-cutaneous temperature (T_{sc}) using thermosensitive pit-tags 177 (BioTherm13 tag, Biomark) used for individual identification and placed in the upper back of 178 the individuals (Oswald et al. 2018). T_{sc} was recorded every 3 days during shifts on land (n = 179 856), just after behavioural scans and without handling of the animals. In our study species, 180 T_{sc} changes have been shown to mirror changes in core body temperature relatively well while 181 182 on land (Eichhorn et al. 2011; Lewden et al. 2017), and our own unpublished data reveal a significant correlation between T_{sc} and core body temperature in incubating adults (n = 37, r = 183 0.62, p < 0.001). 184

185 *Reproductive failure*

186 Survival of the egg or chick was monitored daily throughout the entire breeding season, 187 and the day of egg abandonment or chick disappearance was recorded. However, the exact 188 cause of reproductive failure remained unknown in the vast majority of cases.

189 Statistical analysis.

Penguins' observations (behavioural scans and T_{sc}) were merged with climatic 190 parameters by the closest time of the day, with a maximum delay of 30 minutes. The statistical 191 analysis was performed in R version 4.3.2 (R Core Team 2023). The effects of T_{air}, SR, WS 192 193 and RH on the probability of displaying the 3 thermoregulatory behaviours during the scans were analysed using generalised linear mixed models (R package 'lme4', version 1.1-35.1), 194 assuming a binomial distribution (link=logit) and after scaling of the climatic parameters. Linear 195 196 mixed models with a normal distribution were used to assess the effects of climatic parameters on T_{sc}. As individuals were measured several times over the breeding season, bird identity was 197 198 included as a random effect, as well as the identity of the observers (except for T_{sc}, recorded 199 by a pit-tag reader and therefore not subject to inter-observer variation). Year (2021-22 vs. 200 2022-23), sex (Male vs. Female), reproductive stage (Incubation vs. Brooding) and day of the shift ('D_{land'}) were included as fixed effects in the models. T_{sc} was further analysed depending 201 202 on the presence or absence of panting behaviour for each individual during the preceding behavioural scan. Breakpoint analysis of T_{sc} against T_{air}, SR and HLI was performed using the 203 204 segmented package (version 2.0-2). A Davies test was used to test for the significance of a change in slope in each linear model (Muggeo 2008). 205

Models including all variables but no interaction terms (*i.e.* No-interaction models) were 206 compared to two-ways interaction models between environmental variables (e.g. Tair*SR or 207 208 WS*RH), and to a null model, using corrected Aikaike's information criterion (AICc). The 209 models presented in the results are the best fitted models from model comparison. To assess model fit, 'DHARMa' package was used (version 0.4.6). Variance inflation factors from the No-210 211 interaction models were all under 3, suggesting no substantial bias from collinearity between predictor variables (namely climate parameters) occurred (see Zuur et al. 2010). Conditional 212 and marginal R² were used to compare the predictive power of each model (*i.e.* No-interaction 213 model, Interaction model, heat indexes). 214

Non-parametric Wilcoxon tests were used to analyse the differences in climatic conditions during days in which egg or chick mortality was observed, compared to days where no reproductive failure was recorded (in terms of maximum T_{air} , maximum SR and maximum HLI).

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220 Results

221 Behavioural thermoregulation

222



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Figure 2. Predicted probabilities of thermoregulatory behaviours (panting (brown), wing spreading (blue) and exposure of the brood pouch (red)) occurrence according to (A) solar radiations, (B) wind speed, (C) air temperature and (D) relative humidity. Predicted probabilities were calculated from generalized linear mixed models; N = 144, n = 1175.

Independently of climatic conditions, panting was the most frequently observed thermoregulatory behaviour (23.4% of behavioural scans included panting behaviour), followed by wing spreading (9.7%) and exposure of the brood pouch (7.7%). Over the 60 days with behavioural scans, there were 27 days where at least one individual was observed panting (26 days for wing spreading and 16 days for brood pouch exposure).

The probability of observing panting behaviour was mainly explained by solar radiations (SR) and wind speed (WS), while air temperature (T_{air}) and relative humidity (RH) did not significantly impact panting as main effects (Table 2A, Fig 2). Four two-ways interactions

significantly explained panting probability, with the most notable being the interaction between 236 T_{air} and SR, revealing that panting only increased with increasing T_{air} when SR were moderate 237 to high (Fig 3; see ESM for details on other interactions). Males tended to display panting 238 239 behaviour more frequently than females (p = 0.067), but the probability to observe panting 240 behaviour did not significantly vary with reproductive stage or between the two breeding 241 seasons we studied (Table 2A). Panting probability significantly decreased with the time spent 242 on land (D_{land}), meaning that the birds' susceptibility to suffer from heat stress gradually decreased after returning from the sea (Table 2A, Fig 4A). 243



Figure 3. Predicted probability of (A) Panting depending on air temperature and solar radiations; solar radiations are represented as maximum (380), median (264) and minimum (24) registered during behavioural scans; (B) wing spreading according to air temperature and wind speed (2nd quartile (4.65), median (9.18), 3rd quartile (13.71)); (C) Brood pouch exposure according to air temperature and humidity (2nd quartile (67), median (73), 3rd quartile (84)). Predicted probabilities were calculated from generalized linear mixed models; N = 143 n = 1123

251 The probability of observing wing spreading increased under high solar radiations, high T_{air} and low wind speed, while relative humidity had no significant impact on this behaviour (Fig 252 2C, Table 2B). Three two-ways interactions also significantly explained wing spreading 253 probability, with the most notable being the interaction between T_{air} and WS (Table 2B), 254 255 revealing that wing spreading increased with increasing temperature when wind speed was low to moderate (Fig 3B; see ESM Figure S1 for details on other interactions). Males 256 significantly spread their wings more often than females and brooding birds more than 257 incubating ones, but the probability to spread wings did not significantly change along the time 258 spent on land nor between the two breeding seasons we studied (Table 2B). 259

The probability of observing the brood pouch lifted increased with SR, T_{air} , RH and decreased with WS (Fig 2B, Table 2C). The interaction between T_{air} and RH was also significant, revealing that brood pouch exposure only increased with increasing T_{air} when RH was low (Table 2C, Fig 3C). Sex, breeding season and the time spent on land did not significantly influence the probability of exposing the brood pouch, but brooding individuals were more likely to exhibit this behaviour than incubating ones (Table 2C). 266Table 2. Summaries of the most parsimonious generalized linear mixed models predicting thermoregulatory267behaviours and subcutaneous temperature: (A) panting, (B) wing spreading, (C) exposure of the brood268pouch and (D) sub-cutaneous temperatures according to climatic parameters (air temperature: Tair: solar269radiation: SR; wind speed: WS; relative humidity: RH), their interactions, and biological parameters (sex [Male vs270Female], season [2021-2022 vs. 2022-2023], reproductive stage [incubating vs. brooding], and days spent on land271Dland).

	(A) Panting			(B) Wing spreading			(C) Brood pouch exposure			(D) Tsc			
	OR	CI	р	OR	CI	р	OR	CI	р	Est.	CI	р	
(Intercept)	0.15	0.07-0.32	<0.001	0.07	0.02-0.20	<0.001	0.00	0.00-0.03	<0.001	37.71	37.50-37.92	<0.001	
T _{air}	1.26	0.82-1.94	0.283	1.96	1.53 - 2.50	<0.001	1.55	1.03-2.33	0.037	0.08	0.00-0.15	0.037	
RH	1.42	0.99-2.03	0.059	0.89	0.53 – 1.49	0.666	2.53	1.63-3.93	<0.001	0.09	0.02-0.16	0.017	
SR	4.32	2.84-6.55	<0.001	4.15	2.75-6.28	<0.001	7.98	4.25 - 14.96	<0.001	0.45	0.39-0.52	<0.001	
WS	0.27	0.18-0.40	<0.001	0.54	0.37-0.81	0.003	0.24	0.14-0.41	<0.001	-0.32	-0.390.26	<0.001	
Season [2]	1.57	0.77-3.21	0.216	0.46	0.20-1.09	0.079	5.14	0.97 – 27.34	0.055	-0.05	-0.23-0.13	0.595	
Sex [M]	1.57	0.97-2.54	0.067	1.81	1.12 - 2.92	0.015	0.71	0.39-1.29	0.259	0.09	-0.06-0.24	0.241	
D _{land}	0.84	0.79-0.89	<0.001	1.00	0.94-1.06	0.996	0.98	0.91-1.06	0.635	-0.04	-0.05 0.02	<0.001	
Repro. St [Incub.]	0.96	0.61-1.49	0.845	0.32	0.19-0.55	<0.001	0.12	0.06-0.25	<0.001	-0.20	-0.330.07	0.002	
$T_{air} \times RH$	0.34	0.22-0.52	<0.001				0.37	0.23-0.58	<0.001				
$T_{air} \times SR$	1.57	1.04-2.38	0.033							0.25	0.18-0.31	<0.001	
T _{air} x WS				1.69	1.12-2.57	0.013				-0.22	-0.310.14	<0.001	
$SR \times WS$	0.67	0.44-1.03	0.068										
SR x RH	2.06	1.34-3.15	0.001	1.98	1.14-3.45	0.016							
WS x RH	1.82	1.21-2.73	0.004	1.80	1.09-2.94	0.020							
Random effects													
σ²	3.29			3.29			3.29			0.68			
$\tau_{00 \; \text{ID bird}}$	0.46			0.00			0.05			0.07			
τ _{00 ID observer}	0.09			1.18			7.31						
ICC	0.14						0.69			0.10			
N ID bird	143			143			143			140			
N ID observer	11			11			11						
N Obs.	1123			1123			1123			856			
R ² m/R ² c	0.684/0.729			0.646 / NA			0.479 / 0.839			0.369 / 0.430			

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Figure 4. Evolution of (A) panting probability and (B) subcutaneous temperature along the duration of the
 shift on land. Values are predicted from linear mixed models presented in Table 2. N = 143, n = 1123 for panting;
 N = 140, n = 856 for T_{sc}.



278 Subcutaneous temperature





Figure 5. Breeding king penguins' sub-cutaneous temperatures (T_{sc}) according to (A) the interaction between air temperature and solar radiations, and (B) air temperature and wind speed. Values are predicted from the linear mixed model presented in Table 2D; N = 140, n = 856.

Subcutaneous temperature (T_{sc}) increased under high T_{air}, SR and RH, and decreased in 283 response to high WS (Table 2D). The best predictive model included significant interactions 284 between Tair and SR and between Tair and WS (Figure 5, Table 2D), revealing that king 285 penguin's T_{sc} was especially elevated when T_{air} and SR increased concomitantly, along with a 286 decrease in WS. Males and females did not show significant differences in their T_{sc}, but 287 brooding individuals had a higher T_{sc} than incubating ones (Table 2D). T_{sc} also significantly 288 decreased along the shift on land (Figure 4B, Table 2D). Breakpoint analyses showed that T_{sc} 289 significantly increased with Tair when a threshold of 16.0 ± 1.1 °C was reached (Davies test: p 290 = 0.005; Figure S2A), or when SR reached 125.3 \pm 11.7 J.cm⁻² (Davies test: p < 0.001; Figure 291 S2B). However, no significant change of slope was found using the heat load index (HLI) 292 293 (breakpoint 56.12 \pm 2.5; Davies test: p = 0.18; Figure S2C).

T_{sc} was significantly higher in panting than non-panting individuals (37.8°C vs. 37.2°C, 294 χ^2 = 48.1, p < 0.001), and increased with T_{air} and SR in panting individuals while this was not 295 the case or less pronounced for non-panting animals (χ^2 Panting*T_{air} = 7.5; p = 0.006; Figure 296 6A; χ^2 Panting*SR = 14.3; p < 0.001; Figure 6B). Moreover, the increase of WS was not 297 298 sufficient to decrease T_{sc} in panting individuals compared to non-panting birds (χ^2 Panting^{*}T_{air} = 10.2; p = 0.001; Figure 6C). Relative humidity had no effect on T_{sc} as a main effect, but the 299 T_{sc} of panting individuals slightly decreased with increasing RH (χ^2 Panting*RH = 4.3; p = 0.038 300 301 Figure 6D).



Figure 6. Relationship between air temperature (A), solar radiations (B), wind speed (C) or relative humidity (D) and king penguin's sub-cutaneous temperature according to the use of panting as a thermoregulatory behaviour. N = 140, n = 856.

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306 Evaluating the relevance of T_{air} and heat indexes in predicting heat stress occurrence

Models only including T_{air} poorly explained the occurrence of thermoregulatory behaviours or variation in T_{sc} , with R² ranging from 0.07 to 0.23 (Table 3). Solar radiations always performed better than T_{air} in predicting heat stress occurrence, with R² > 0.24 (Table 3). Models containing T_{air} , RH, SR and WS as main effects (R² ranging from 0.30 to 0.67) and models including these 4 variables and their two-ways interactions (R² ranging from 0.37 to 0.68) were the best predictive models for all explained variables (Table 3). Models including heat indexes (Globe temperature (Tg), Temperature-Humidity (THI), Heat Load Index (HLI) and Wet-Bulb Globe Temperature (WBGT) explained less variance in thermoregulatory behaviours and T_{sc} than models (with or without interactions) including T_{air} , RH, SR and WS as explanatory variables (Table 3). THI explained the least of all heat index for each explained variables (marginal R² were ~0.2 point lower than the other heat indexes).

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	Panting			Wing spreading			Brooding	pouch e	exposure	Tsc			
	AICc	R²m	R²c	AICc	R²m	R²c	AICc	R²m	R²c	AICc	R²m	R²c	
Tair	1067.6	0.23	0.30	659.82	0.14	0.29	517.69	0.15	0.58	2514.05	0.071	0.10	
SR	928.96	0.49	0.52	601.93	0.33	0.45	462.88	0.42	0.69	2343.39	0.24	0.28	
Total	740.06	0.67	0.71	554.86	0.44	0.59	401.65	0.50	0.80	2277.08	0.30	0.35	
Inter.	695.47	0.68	0.73	545.37	0.57	0.69	382.09	0.48	0.84	2181.49	0.37	0.43	
Tg		0.44	0.51		0.28	0.46		0.29	0.65		0.20	0.24	
ТН		0.21	0.28		0.13	0.27		0.14	0.58		0.062	0.092	
WBGT		0.45	0.52		0.37	0.56		0.29	0.66		0.19	0.23	
HLI		0.47	0.54		0.28	0.46		0.30	0.68		0.20	0.24	

Table 3. Model comparison for the prediction of panting, wing spreading, brood pouch exposure and Tsc based on Akaike's information criterion (AICc), marginal R² (R²m) and conditional R² (R²c). The best predictive model with interactions ('Inter.') between all 4 climatic parameters (Tair, SR, WS and RH), is compared to a model without interactions ('Total'), a model with only Tair or SR and 4 models including Heat indexes: globe temperature (Tg), Temperature-Humidity Index (THI), Wet-Bulb Globe Temperature (WBGT) and Heat Load Index (HLI).

326 Climatic conditions and reproductive failure

Over the two breeding seasons, 72 eggs were laid, 65 hatched and 53 chicks survived 327 328 until thermal emancipation, resulting in a 26.4% rate of reproductive failure. The days where reproductive failure occurred (17 dates where parents were not found, or the chick was found 329 dead) were significantly hotter than the days where no death was observed. This was true 330 considering maximum T_{air} (T_{air} = 10.8 ± 3.3 °C, n = 166 for normal days vs. 12.6 ± 3.2 °C, n = 17 331 for days with reproductive failure, W=2103.5, p = 0.002), and maximum HLI (63.5 ± 6.2 vs. 332 333 65.5 ± 5.7 , W = 2474, p = 0.004). Maximum SR did not differ between days with or without reproductive failure (SR = 283.8 ± 95.2 J.cm-² vs. 293.1 ± 73.5 J.cm-², W=3065, p=0.54) 334

335 Discussion

336 Sub-Antarctic islands are expected to warm by 2.3 to 2.9°C over the next 100 years 337 (Nel et al. 2023), and these trends for mean temperature do not take into account the frequency 338 and magnitude of climate anomalies such as heat waves. Heat waves will likely become more 339 frequent in the coming decades (Piatt et al. 2024; Stillman 2019), especially during the austral 340 spring and summer, *i.e.* the breeding season of many endemic species (Chambers et al. 2014). Although sub-Antarctic or Antarctic penguins were never previously described panting in the 341 literature, our study unambiguously show that king penguins do experience heat stress while 342 breeding on land, at a frequency being far from anecdotical, with >20% of the behavioural 343 344 scans including heat stress.

While many studies on heat stress in wild animals focus on air temperature alone, the 345 heat load experienced by wild animals depends markedly on other climatic factors (Mitchell et 346 347 al. 2024). Here we show that the use by breeding king penguins of thermoregulatory behaviours indicative of heat stress was influenced, in order of magnitude, by solar radiations, 348 wind speed, air temperature and humidity. Additionally, several interactions between climatic 349 350 factors were significant in explaining the occurrence of heat stress, even if models without interactions were only slightly less predictive of heat stress occurrence than models including 351 352 interactions (Table 3). Panting behaviour was the most observed thermoregulatory behaviour, 353 and increased in frequency under high solar radiation and low wind speed, as well as under 354 high air temperature when solar radiations were moderate to high. Wing spreading was the second most observed thermoregulatory behaviour, and was more likely to be observed under 355 high solar radiation, high air temperature and low wind speed. Exposing the brood pouch was 356 the least observed behaviour, which can likely be explained by a trade-off between evacuating 357 358 heat and protecting the egg/chick against predators. Yet, this behaviour was more frequent under high heat load (i.e. high solar radiations, high humidity, low wind speed and high air 359 temperature), which supports our hypothesis regarding its potential use as a thermal window. 360 High Tair has been reported to trigger heat stress in several seabirds and/or high latitude 361 362 species (Cook et al. 2020; Holt & Boersma 2022; O'Connor et al. 2021; Olin et al. 2023; 363 Welman & Pichegru 2022). While an increase in air temperature as a main effect increased the probability to observe wing spreading or brood pouch exposure in breeding king penguins, 364 it did not for panting. Yet, air temperature in interaction with solar radiation explained panting 365 366 behaviour frequency, to a point that virtually no bird would show panting around 20°C when 367 solar radiations were low, while ca. 20% of the studied population was already observed panting below 10°C if sun exposure was maximal (Figure 3A). These results echo recent 368 369 findings in Guillemots, which showed an increased probability of panting with Tair in sunexposed individuals around 15°C, compared with individuals in shaded areas in which panting
started around 25°C (Olin *et al.* 2023).

372 While behavioural thermoregulation may enable animals to maintain normal body 373 temperature under increasing heat load (Talbot et al. 2017), our results on subcutaneous 374 temperature suggest that thermoregulatory mechanisms employed by king penguins were 375 likely insufficient to maintain a stable body temperature. We first showed that subcutaneous 376 temperature rose under increasing heat load (high solar radiation, air temperature and humidity, as well as low wind speed). More importantly, we demonstrated that panting birds 377 have a higher subcutaneous temperature than non-panting ones, and that subcutaneous 378 379 temperature increased with air temperature only in panting king penguins. Those results also suggest that hyperthermia occurs under hot conditions, but measurements of core body 380 temperature are needed to properly confirm this. Our results contrast with studies in dovekies 381 (Alle alle) suggesting that the core body temperature of this Arctic species is not challenged 382 while attending the breeding colony on land (Grunst et al. 2023; maximum T_a = 13.5°C over 11 383 days) or in a captive setting up to 25°C (i.e. substantially above the natural maximal 384 temperature; Beaman et al. 2024). Yet, solar radiation was not investigated in the 385 386 aforementioned studies, and empirical evidence, including in our king penguins, suggest that solar radiation is a key factor conditioning the heat load faced by wild animals (Mitchell et al. 387 388 2024).

389 Taken together, the 4 climatic variables (T_{air}, SR, WS and RH) we used predicted heat stress occurrence more accurately than Tair alone, or the four thermal indexes calculated from 390 climatic parameters. These indexes have been established for humans and farm animals 391 392 (Gaughan 2002; Ioannou et al. 2023), which likely explain why they seem less suited for wild 393 seabirds such as penguins. Developing heat indexes specifically for wild endotherms would enable to better predict the response of natural populations to heat waves. Considering the 394 tremendous impact of solar radiation and wind speed on animal heat load, modelling future 395 changes in climatic parameters beyond the sole air temperature seems of critical importance 396 397 to predict the coping capacity of wild species in the context of climate change. Yet, for many 398 species, macro-climatic conditions may only reflect poorly the micro-climatic conditions experienced by animals, and thus on-site measurement of micro-climate is needed (Mitchell 399 400 et al. 2024).

Beyond climatic parameters, biological factors were also important in predicting the risks of king penguins to suffer from heat stress. First, sex did seem to play a role (albeit small) in explaining variation in heat stress occurrence, since males spread their wings more often and had a tendency to pant more than females. This may, at least partly, be linked to the higher

size of beak and flipper relative to body mass found in females (Kriesell et al. 2018), which 405 likely provides higher heat dissipation capacity through those thermal windows. Second, 406 407 brooding parents generally suffered more from hot conditions than incubating ones, as they 408 spread their wings and exposed their brood pouch more often, as well as exhibited higher 409 subcutaneous temperature than incubating individuals. Yet, brooding adults were not panting 410 more than incubating ones. Considering that panting is extremely expensive in terms of water 411 (Adélie penguins can lose water up to 0.3% of their body mass per hour while panting; Chappell & Souza 1988), our results may suggest that brooding individuals could limit the use of panting 412 to avoid severe dehydration (Smit et al. 2016), especially because breeding king penguins do 413 414 not seem to drink while fasting on land (Cherel et al. 1988). Brooding adults are also known to 415 exhibit higher aggression rates towards conspecifics than incubating ones (Côté 2000), which could result in elevated body temperatures due to both physical activity and stress response 416 (Knoch et al. 2022). Finally, king penguins seemed especially sensitive to heat stress when 417 freshly returned from the sea to incubate or brood on land. Indeed, they showed a higher 418 probability to pant and a higher subcutaneous temperature during their first few days on land. 419 This can be due to the progressive switch into the hypometabolic fasting state (2-5 days are 420 needed to reach a stable hypometabolic state; Cherel et al. 1988), but also to a progressive 421 422 thermal acclimation to on-land conditions. The mechanisms involved in such a potential transient thermal acclimation on land remain to be investigated. 423

424 The impact of heat stress on breeding king penguins in our study was sublethal, 425 contrary to what has been reported in Magellanic (Holt & Boersma 2022) and king penguins (Arriagada 2023) breeding in Argentina. Yet, sublethal effects may have drastic consequences 426 on population dynamics (Conradie et al. 2019), especially if reproduction is affected. 427 Reproductive failure may be related or accentuated by hot environmental conditions since 428 parents may abandon reproduction because of heat stress, or be more susceptible to egg/chick 429 predation when suffering from heat stress. Our results show that climatic conditions were hotter 430 during days where reproductive failure occurred, which suggest that heat stress may be an 431 432 important constraint on reproductive success for king penguins, as this has been demonstrated previously in common Guillemot (Olin et al. 2023). Better understanding the sublethal 433 consequences of heat stress in king penguins is thus needed to predict more accurately the 434 changes in population dynamics expected under the various climate change projections. 435

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437 Data availability

438The datasets used in this manuscript are available on *FigShare* (Noiret et al. (2024). HotKing439dataset: heat stress in breeding king penguins. figshare. Dataset.440https://doi.org/10.6084/m9.figshare.26968723.v2).

bioRxiv preprint doi: https://doi.org/10.1101/2024.09.09.611977; this version posted September 13, 2024. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

441 Author's contribution

442 Study design: AS, VAV, AL. Funding acquisition: AS, JPR, PB, VAV. Data collection in the field: CL, CB,

MM, MH, FB, EM, VAV, AS. Data analysis: AN. Writing original draft: AN and AS. Writing review and
editing: AL, CL, CB, MM, MH, FB, EM, JPR, PB, VAV.

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446 Ethical note

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Figure S1. Predicted probability of (A) Panting depending on air temperature and relative humidity (B) panting depending on solar radiations and relative humidity (C) panting depending on wind speed and relative humidity (D) wing spreading depending on solar radiations and relative humidity and (E) wing spreading depending on wind speed and relative humidity; probabilities were calculated from generalized linear mixed models; N = 143, n = 1123 relative humidity (%) is represented as second quartile (67), median (73) and 3rd quartile (84) registered during behavioural scans.



Figure S2. Breakpoint analyses between king penguins' sub-cutaneous temperatures (T_{sc}) and (A) air temperature; (B) solar radiations and (C) heat load index (HLI) computed from air temperature, solar radiations, wind speed and relative humidity. Segmented models are shown in red, and estimated breakpoints with confidence intervals are shown in blue. N = 140, n = 856.

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