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Unimodular hunting II

Bill Allombert* Gaëtan Chenevier†

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Abstract

Pursuing ideas in [CHE24], we determine the isometry classes of unimodular lattices of rank 28, as well as the isometry classes of unimodular lattices of rank 29 without nonzero vectors of norm ≤ 2 .

1. Introduction

For $n \geq 1$, we denote by \mathcal{L}_n the set of unimodular integral lattices in the standard Euclidean space \mathbb{R}^n , and by X_n the set of isometry classes of elements of \mathcal{L}_n . In the recent work [CHE24], the second author studied the cyclic Kneser neighbors of the standard lattice $I_n := \mathbb{Z}^n$ and classified the elements of X_n for $n = 26$ and 27 , developing a method initiated by Bacher and Venkov [BAC97, BV01]. Let $X_n^\emptyset \subset X_n$ be the subset of classes of lattices $L \in \mathcal{L}_n$ with no nonzero vector $v \in L$ such that $v.v \leq 2$. The main result of this paper is the following.

Theorem A. *We have $|X_{28}| = 374062$ and $|X_{29}^\emptyset| = 10092$.*

We refer to [CHE24] for some historical background on these questions, especially in lower dimensions. As we have $|X_{27}| = 17059$, the assertion about $|X_{28}|$ is equivalent to $|X'_{28}| = 357003$, where $X'_n \subset X_n$ denotes the subset consisting of classes of lattices with no norm 1 vectors. The 38 lattices in X_{28}^\emptyset had already been determined by Bacher and Venkov in [BV01]. Moreover, King's refinements of the Minkowski-Siegel-Smith mass formulae in [KIN03] gave the (not too far!) lower bounds $|X_{28}| > 327972$ and $|X_{29}^\emptyset| > 8911$.

As in [CHE24], our aim is not only to determine X_{28} and X_{29}^\emptyset but also to provide representatives of each isometry class as a (cyclic) d -neighbor of the

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standard lattice $I_n := \mathbb{Z}^n$. We briefly recall their concrete definition and refer to *loc. cit.* for more details. Let $d \geq 1$ and $x \in \mathbb{Z}^n$ with $\gcd(d, x_1, \dots, x_n) = 1$. Then $M_d(x) := \{v \in \mathbb{Z}^n \mid \sum_{i=1}^n v_i x_i \equiv 0 \pmod{d}\}$ is an index d sublattice of I_n . Setting $e = 1$ for d odd, $e = 2$ otherwise, and assuming furthermore x is d -isotropic, that is $\sum_{i=1}^n x_i^2 \equiv 0 \pmod{ed}$, then there are exactly e unimodular lattices $L \in \mathcal{L}_n$ with $L \cap I_n = M_d(x)$; they have the form

$$N_d(x') := M_d(x) + \frac{x'}{d}\mathbb{Z}$$

where $x' \in \mathbb{Z}^n$ satisfies $x'.x' \equiv 0 \pmod{d^2}$ and $x' \equiv x \pmod{d}$. For d odd, this unique lattice is denoted $N_d(x)$ or $N_d(x; 0)$. For d even, it only depends on the $\epsilon \in \{0, 1\}$ defined by $2x'.x \equiv x.x + \epsilon d^2 \pmod{2d^2}$, and is denoted $N_d(x; \epsilon)$.

Theorem B. *A list of (d, x, ϵ) such that the $N_d(x; \epsilon)$ are representatives for the isometry classes in X'_{28} and X_{29}^\emptyset are given in [AC20a] and in [AC20b].*

Let us first comment on these lists, starting with the 10092 elements in X_{29}^\emptyset . The statistics for the order of their isometry groups are given in Table 1.1. In particular, about 80 % of them have trivial isometry group $\{\pm 1\}$.

ord	2	4	6	8	12	16	20	24	32	36	40	48	60
#	8081	1465	6	293	28	91	1	21	32	1	3	15	1
ord	64	72	80	96	120	128	144	160	192	232	256	288	320
#	12	1	1	11	1	2	1	2	2	1	2	1	1
ord	384	768	864	960	1024	1536	2400	2592	3072	5184	6144	18432	24000
#	1	2	1	1	2	2	1	1	1	1	1	1	1

Table 1.1: Number # of classes of lattices L in X_{29}^\emptyset with $|\mathrm{O}(L)| = \text{ord}$.

Arguing as in [CHE24, Sect. 12] (in particular, using [GAP]), we checked that only two of these 10092 lattices have a non-solvable isometry group¹. This is very little compared to case of lower dimensions (see *loc. cit.*). Their isometry groups have order 2400 and 960, and are respectively isomorphic to $\mathbb{Z}/2 \times S_5 \times D_{10}$ and $\mathbb{Z}/2 \times \mathbb{Z}/4 \times S_5$. These two lattices are furthermore *exceptional* in the sense of Bacher and Venkov, *i.e.* have a characteristic vector of norm 5 (see §2 for the unexplained terminology in this introduction). Actually, exactly 105 lattices in X_{29} are exceptional, and the statistics for the order of their isometry groups are given by Table 1.2.

¹Actually, there are only five L such that $|\mathrm{O}(L)|$ is both $\equiv 0 \pmod{4}$ and not of the form $p^a q^b$ with p, q primes.

ord	2	4	8	12	16	20	32	40	48	64	80	96	192	960	1024	2400	24000
‡	20	31	24	2	10	1	3	3	3	1	1	1	1	1	1	1	1

Table 1.2: Number ‡ of exceptional lattices L in X_{29}^0 with $\text{ord} = |\text{O}(L)|$.

We now consider the 357003 rank 28 unimodular lattices with no norm 1 vectors. According to King, there are 4722 possible root systems for them: see [CHE24] Table 1.2. We found it convenient² to split these lattices L according to the integer $i(L)$ defined as the maximal integer $i \geq 1$ such that the root lattice A_{i-1} may be embedded into L . For instance, we have $i(L) = 1$ (resp. 2, 3) if, and only if, the root system of L is empty (resp. rA_1 , resp. $rA_2 sA_1$ with $r \geq 1$).

i	1	2	3	4	5	6	7	8	9	10	11	12	13	14
‡	38	20560	121684	126661	55585	20919	6712	2935	960	516	168	142	45	35
i	15	16	17	18	19	20	21	22	23	24	25	26	27	28
‡	8	20	3	3	1	5	1	0	0	1	0	0	0	1

Table 1.3: Number ‡ of lattices L in X'_{28} with $i = i(L)$.

Only 238 of the 357003 lattices in X'_{28} have a non solvable *reduced isometry group* (see §2 below). Four of them have a Jordan-Hölder factor not appearing for unimodular lattices of smaller rank. Those 4 lattices have an empty root system, and thus belong the Bacher-Venkov list; their isometry groups are described in Table 1.4.

$ G $	9170703360	348364800	4838400	58240
G	$(\mathbb{Z}/2 \cdot \text{PSp}_6(3)) : \mathbb{Z}/2$	$\mathbb{Z}/2 \times (\text{O}_8^+(2) : \mathbb{Z}/2)$	$((\mathbb{Z}/2 \cdot \text{HJ}) : \mathbb{Z}/2) : \mathbb{Z}/2$	$\mathbb{Z}/4 \times \text{Sz}(8)$

Table 1.4: The isometry groups G of the 4 lattices in X'_{28} whose reduced isometry group has a “new” Jordan-Hölder factor, using GAP’s notations.

We finally discuss the proofs of Theorems A and B. The ingredients are the same as those described in the introduction of [CHE24]: systematic study of all the cyclic Kneser d -neighbors of I_n for $d = 2, 3, \dots$ (“coupon-collector” problem), bet on fine enough isometry invariants, splitting according to root systems thanks to King’s results [KIN03], use of clever visible root systems

²Almost all unimodular lattices L in our lists with $i(L) = i$ are of the form $N_d(x; \epsilon)$ where the pair (d, x) has index i in the sense of Sect. 5.

to bias the search, and case-by-case more specific methods for the remaining lattices with small masses (suitable 2-neighbors, exceptional lattices, “addition of D_m ”, visible isometries).

However, compared to the work *loc. cit.*, the computations here are of a much larger scale, as the number of lattices in Theorem A already indicates. There are in particular 4722 different root systems R such that X_{28}^R is non empty, and during our search we were forced to study several hundreds of them case by case. In the last Sect. 6 we give five examples of such a study (including $R = \emptyset$ in dimension 29) in order to illustrate some important techniques that we used. Let us insist now on a few novelties that made our computations possible.

1. The choice of invariant. It is crucial for our method to have at our disposal an invariant which is both fine enough to distinguish all of our lattices, and fast to compute. For a lattice L and $i \geq 0$, we set

$$(1.1) \quad R_{\leq i}(L) = \{v \in L \mid v.v \leq i\}, \quad R_i(L) = \{v \in L \mid v.v = i\} \text{ and } r_i(L) = |R_i(L)|.$$

Unimodular lattices of rank ≤ 29 tend to be generated over \mathbb{Z} by their $R_{\leq 3}$, which is thus a natural candidate for an invariant. However, we are not aware of any classification of finite metric sets of the form $R_{\leq 3}(L)$ or $R_3(L)$, contrary to the case of $R_{\leq 2}(L)$ (which is the theory of root systems). In §3 we define, for an integral lattice L , an invariant that we denote $BV(L)$ and which only depends on $R_{\leq 3}(L)$. It is a variant of one of the invariants used by Bacher and Venkov in their classification of X_{28}^\emptyset and X_{27}^\emptyset , hence the notation. A surprising fact, which eventually follows from our computation, but for which we do not yet have a theoretical explanation, is the following.

Theorem C. *Let L and L' be two rank n unimodular lattices with $r_1(L) = r_1(L') = 0$. Assume either $n \leq 28$, or $n = 29$ and $r_2(L) = r_2(L') = 0$. Then L and L' are isometric if, and only if, we have $BV(L) = BV(L')$.*

In particular, L and L' are isometric if, and only if, $R_{\leq 3}(L)$ and $R_{\leq 3}(L')$ are isometric.

2. Algorithmic improvements. In §4, we discuss a simple probabilistic algorithm whose aim is to find \mathbb{Z} -basis consisting of small vectors of a given lattice, and which allowed to substantially shorten the computation of the order of the (reduced) isometry groups of our lattices. In §5, we give some details about the Biased Neighbor Enumeration algorithm informally described in [CHE24, §1.10] and that we repeatedly used in our search.

The programs used to perform the computations were written in the GP language with some critical parts in C using the libpari library. They were run on the two clusters PlaFRIM and Cinaps. We used the parallel programming interface of GP to make efficient use of the clusters, by allowing to switch between POSIX threads and MPI depending on the hardware available.

The total CPU time was about 72 years. Fortunately, it may be checked independently, and a posteriori, that the given lists are complete: it is enough to check that our lattices have distinct BV invariants and that the sum of their masses coincides with the mass of X_n . See [AC20a] and [AC20b] for the relevant PARI/GP source code for this check. This is much shorter, and “only” requires a few hours in dimension 29, and about 27 days in dimension 28. It simultaneously proves Theorems A, B and C. ³

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2. Notation and terminology

We use mostly classical notation and terminology. Let L be an integral Euclidean lattice. The (finite) isometry group of L is denoted by $O(L)$. The notations $R_{\leq i}(L)$, $R_i(L)$ and $r_i(L)$ have been introduced in Formula (1.1). We refer *e.g.* to [CHE24] §2, §4.3 and §9 for complements.

- The *norm* of a vector $v \in L$ is $v.v$. The lattice L is even if we have $v.v \in 2\mathbb{Z}$ for all $v \in L$, odd otherwise. A *characteristic vector* of L is a vector $\xi \in L$ such that $\xi.v \equiv v.v \pmod{2}$ for all $v \in L$. For $L \in \mathcal{L}_n$ odd, the norm of such a vector is $\equiv n \pmod{8}$, and we denote by $\text{Exc}(L)$ the set of characteristic vectors of L of norm < 8 . Following [BV01] §3, a lattice $L \in \mathcal{L}_n$ is called *exceptional* if we have $\text{Exc}(L) \neq \emptyset$.

- The *root system* of L is the finite metric set $R := R_2(L)$; this is an ADE root system.⁴ The *Weyl group* of L is the subgroup $W(L) \subset O(L)$ generated by the orthogonal symetries about each $\alpha \in R_2(L)$. This is a normal subgroup isomorphic to the classical Weyl group $W(R)$ of R . We define the *reduced isometry group* of L to be $O(L)/W(L)$.

- For an arbitrary ADE root system S , we denote by $Q(S)$ the even lattice it generates (*root lattices*). We use bold fonts \mathbf{A}_n , \mathbf{D}_n , \mathbf{E}_n to denote isomorphism classes of root systems of that names, with the conventions $\mathbf{A}_0 = \mathbf{D}_0 = \mathbf{D}_1 = \emptyset$ and $\mathbf{D}_2 = 2\mathbf{A}_1$. We also denote by A_n ($n \geq 1$), D_n ($n \geq 2$) and E_n ($n = 6, 7, 8$) the standard corresponding root lattices.

- The *mass* of any collection \mathcal{L} of lattices, denoted $\text{mass } \mathcal{L}$, is defined as the sum, over representatives L_i of the isometry classes of lattices in \mathcal{L} , of

³As a consequence, this also provides an independent verification of King’s computations in [KIN03].

⁴In this papers, roots are always assumed to have norm 2.

$1/|\mathcal{O}(L_i)|$. In particular, if L is a (single) integral euclidean lattice, the mass of L is $1/|\mathcal{O}(L)|$. We also define the *reduced mass* of L as $\frac{|\mathcal{W}(L)|}{|\mathcal{O}(L)|}$.

– We denote by $X_n^R \subset X_n$ the subset of isometry classes of lattices L in \mathcal{L}_n with $r_1(L) = 0$ and $R_2(L) \simeq R$. The *reduced mass* of X_n^R is also defined as $\text{rmass } X_n^R := |\mathcal{W}(R)| \text{mass } X_n^R$. We have the interesting lower bound $|X_n^R| \geq m \text{rmass } X_n^R$, with $m = 1$ if R has rank n and $\mathcal{W}(R)$ contains -1 , and $m = 2$ otherwise. It follows from [KIN03] that, for all $n \leq 30$ and all R , we know the rational $\text{rmass } X_n^R$ (see also [CHE24, §6]).

– We finally recall a few specific features of unimodular lattices in dimension $n \equiv 4 \pmod{8}$ (such as $n = 28$), as well as the description of exceptional lattices in this case given in [CHE24, §9]. Assume $n \equiv 4 \pmod{8}$ and $L \in \mathcal{L}_n$. As is well-known, there are exactly two other L' in \mathcal{L}_n having the same *even part*,⁵ we call these two L' the *companions* of L . Assume $r_1(L) = 0$. Then L is exceptional if, and only if, it has a companion L' with $r_1(L') \neq 0$. In this case, this L' is unique: we call it the *singular* companion of L and denote it $\text{sing}(L)$. The following proposition holds by Proposition 9.4 and Remark 9.5 *loc. cit.*

Proposition 2.1. *Assume $n \equiv 4 \pmod{8}$ and denote by \mathcal{A} the set of isometry classes of exceptional L in \mathcal{L}_n with $r_1(L) = 0$, and by \mathcal{B} the set of isometry classes of non exceptional L in \mathcal{L}_n with $r_1(L) \neq 0$. Then we have a natural bijection $\mathcal{A} \rightarrow \mathcal{B}$, $[L] \mapsto [\text{sing}(L)]$. Moreover, for $L \in \mathcal{A}$ and $L' := \text{sing}(L)$ we have $|\text{Exc}(L)| = r_1(L')$, $R_2(L) = R_2(L')$ and $|\mathcal{O}(L')| = 2|\mathcal{O}(L)|$.*

In particular, we always have $|\text{Exc}(L)| = 2m$ with $0 \leq m \leq n$ for $L \in \mathcal{L}_n$.

3. The invariant BV

Let G be an arbitrary finite graph with set of vertices V . Let A be the adjacency matrix of G , a $V \times V$ matrix.⁶ Consider the square $S := A^2$ of A , say $S = (s_{u,v})_{(u,v) \in V \times V}$. For $v \in V$, we define

$$C(G; v) = \{\{s_{u,v} \mid u \in V\}\}$$

as the multiset of entries of the column v of the matrix S . In other words, $C(G; v)$ is the multiset of *numbers of length 2 paths in G starting at v and ending at another given vertex*.

Definition 3.1. *Let G be a graph with finite set of vertices V . For $v \in V$, we define $\text{BV}(G)$ as the multiset $\{\{C(G; v) \mid v \in V\}\}$. This is a multiset of multisets of integers, and it is an invariant of the isomorphism class of G .*

⁵The even part of an odd integral lattice L is the index 2 sublattice $\{x \in L \mid x \cdot x \equiv 0 \pmod{2}\}$.

⁶In the application below, G will be undirected and for all $v, v' \in V$ we will have at most one edge between v and v' .

Assume now L is an Euclidean integral lattice. For $i \geq 0$, we view the finite set $R_{\leq i}(L) = \{v \in L \mid v.v \leq i\}$ as a metric set (or better, as an *Euclidean set* as in [CHE24, §4.1]). We denote by⁷ $G(L)$ the undirected graph whose vertices are the nonzero pairs $\{\pm v\}$ with $v \in R_{\leq 3}(L)$, and with an arrow between $\{\pm v\}$ and $\{\pm w\}$ if, and only if, $v.w \equiv 1 \pmod 2$.

Definition 3.2. *If L is an Euclidean integral lattice. We define $BV(L)$ as the multiset of multisets of integers $BV(G(L))$.*

By construction, $BV(L)$ is an invariant of the isometry class of $R_{\leq 3}(L)$, hence of L . We choose the notations BV for *Bacher-Venkov*, as this definition is inspired from an invariant defined in [BV01].

Remark 3.3. (The Bacher-Venkov polynomials) *Assume we are in the special case $R_{\leq 2}(L) = \emptyset$. If we choose distinct $\pm u$ and $\pm v$ in $R_3(L)$, we have either $u.v = 0$ or $u.v = \pm 1$, as $u.v = 2$ implies $u - v \in R_2(L)$. For $v \in R_3(L)$, Bacher and Venkov define in [BV01, p.15] the polynomial $m_v(x)$ as the sum, over all $w \in R_3(L)$ with $w.v = 1$, of $x^{n(v,w)}$, with $n(v,w) = |\{\xi \in R_3(L) \mid v.\xi = w.\xi = -1\}|$. If, in this definition of $m_v(x)$, we rather sum over all $w \in R_3(L)$, the obtained polynomial contains the same information as the multiset $C(G(L); v)$.*

We now give a few information about the graph $G(L)$ for $L \in \mathcal{L}_n$ with $r_1(L) = 0$ and $n = 28, 29$. Its number of vertices is $\frac{1}{2}(r_2(L) + r_3(L))$ and we know from [KIN03] the possibilities for $R_2(L)$.

(a) (**The case $n = 28$**) A theta series computation shows $r_3(L) = 2240 + 8r_2(L) - 256|\text{Exc}(L)|$ (see [BV01, §4] and [CHE24, Prop. 4.8]). Here, $|\text{Exc}(L)|$ is the number of characteristic vectors of norm 4 of L ; it satisfies $0 \leq |\text{Exc}(L)| \leq 56$. We refer to Proposition 2.1 for this inequality, and to Sect. 2 for the notion of singular companion used in the following example.

Example 3.4. (The case $r_3 = 0$) *There are exactly 15 classes of lattices $L \in \mathcal{L}_{28}$ with $r_1(L) = r_3(L) = 0$. Indeed, the formula above for $r_3(L)$ shows $|\text{Exc}(L)| \geq 10$ (in particular, L is exceptional). Let L' denote the singular companion of L , say $L' \simeq I_m \perp U$ with U unimodular of rank $28 - m$ with $r_1(U) = 0$ and $2m = |\text{Exc}(L)|$. We have $r_3(L) = 0$ if and only if $r_2(U) = 2m(33 - m) - 280$. We easily conclude using the classification of unimodular lattices of rank ≤ 23 . The extreme cases are $L' = I_{28}$ ($m = 28$) and $L' = I_5 \perp U$ with U the short Leech lattice ($m = 5$). These 15 lattices have different root systems.*

As an indication, it follows from our final computations that $G(L)$ has between 20 and 3388 vertices, and 1318 in average, for $L \in \mathcal{L}_{28}$ with $r_1(L) = 0$. Better, for 97% of these lattices this number lies in [1000, 1600]:

⁷This is a variant of the graph also denoted by $G(L)$ in [CHE24] §4.

N	0	200	400	600	800	1000	1200	1400	1600	1800	2000	2200	2400	2600	2800	3000	3200
\sharp	12	24	66	273	1801	22889	269512	53898	6805	1269	297	84	51	12	5	1	4

Table 3.1: The number \sharp of $L \in X_{28}$ with $r_1(L) = 0$ such that the number of vertices of $G(L)$ lies in the interval $[N, N + 200[$.

The average number of edges of those graphs is $\simeq 470\,000$. Another interesting property⁸ is that $R_{\leq 3}(L)$ does generate L over \mathbb{Z} for most lattices, as indicated by Table 3.2. For example, the only lattice such that $R_{\leq 3}(L)$ does not generate a finite index subgroup of L is “the” exceptional lattice with companion $I_5 \perp U$, with $U \in X_{23}^\emptyset$ the Odd Leech lattice.

d	1	2	3	4	5	6	7	8	9	10	12	13	16	20	24	25
\sharp	356462	364	16	58	5	8	3	18	7	1	9	2	9	5	1	2
d	27	32	36	49	64	72	125	128	243	256	729	2048	4096	8192	∞	other
\sharp	2	8	1	2	3	4	2	3	1	2	1	1	1	1	1	0

Table 3.2: The number \sharp of $L \in X_{28}$ with $r_1(L) = 0$ such that $R_{\leq 3}(L)$ generates a sublattice of index d in L .

(b) (**The case $n = 29$**) For L in \mathcal{L}_{29} with $r_1(L) = r_2(L) = 0$, a theta series computation shows $r_3(L) = 1856 - 128 |\text{Exc}(L)|$, where $\text{Exc}(L)$ is the number of characteristic vectors of norm 5 in L . It is a fact, that we shall not explain here, that we always have $|\text{Exc}(L)| \leq 2$. So we have either $\frac{1}{2}r_3(L) = 928$ in the non exceptional case, and $\frac{1}{2}r_3(L) = 800$ otherwise. The situation here is thus much more uniform than in the case $n = 28$. Also, the graph $G(L)$ turns out to have 259 840 edges in the non exceptional case, and 198 400 otherwise, and in all cases $R_3(L)$ does generate L over \mathbb{Z} .

Some computational aspects of BV. It is straightforward in principle to compute $BV(L)$ from a given Gram matrix M of the integral lattice L . Indeed, we can use the Fincke-Pohst algorithm to find a column matrix V whose rows are the $\pm v$ with $v \in L$ such that $0 < v.v \leq 3$. The adjacency matrix A of $G(L)$, which is symmetric and with coefficients 0 or 1, is determined by $A \equiv {}^t V M V \pmod{2}$ (a fast computation). More lengthy is then the computation of $S = A^2$, since the size of A is typically a thousand or more. To save time, this squaring is computed only modulo some large enough prime (we used 1009), and is performed using only single-word

⁸It may be possible to explain part of these observations by using harmonic theta series arguments as in [VEN84], but we shall not pursue this (unnecessary) direction here.

arithmetic.⁹ In practice, the slightly weaker invariant than $BV(L)$ consisting of the *set* (rather than multiset) of the multisets $C(G(L);v)$ (with $v \in V$) proved equally strong, and this what we implemented in our computations. Finally, we apply a hash function to the resulting invariant to get a 64bit identifier. This allows not only to quickly discard lattices with already known identifiers, but also to store the list of known identifiers in a compact way. The choice of 1009 and of the hash function here is arbitrary, all that matter is that the resulting invariant is fine enough to distinguish all the lattices we consider, which can be only determined a posteriori.

Here are a few CPU time information in our range:

- For each of the 346 299 lattices $L \in X_{28}$ with $r_1(L) = 0$ and such that the number of vertices of $G(L)$ is in $[1000, 1600]$, the average CPU time to compute $BV(L)$ is about 1.5 s. For the worst (and actually irrelevant!) case with 3388 vertices, the CPU time is approximately 35 s.
- For each of the 10092 lattices $L \in X_{29}^\emptyset$, the average CPU time to compute $BV(L)$ is about 1.2 s.

4. Finding \mathbb{Z} -basis made of short vectors

An important ingredient in our computation is the Plesken-Souvignier algorithm [PS97], which computes $|O(L)|$ from the Gram matrix of a given \mathbb{Z} -basis $e = (e_1, \dots, e_n)$ of L . For this algorithm to be efficient (and not too memory consuming), it is crucial to have $m(e) := \text{Max} \{e_i \cdot e_i \mid i = 1, \dots, n\}$ as small as possible, and also highly desirable to have $|\{1 \leq i \leq n \mid e_i \cdot e_i = m(e)\}|$ small. The LLL algorithm, although very fast and useful, does not provide in general a \mathbb{Z} -basis of L which is good enough in these respects.

Example 4.1. *For our 10092 elements in X_{29}^\emptyset , the LLL algorithm produces for 6570 of them a basis e with $m(e) = 4$, for 3512 a basis with $m(e) = 5$, and in the 10 remaining cases a basis e with $m(e) = 6$. As already said, those lattices are actually generated over \mathbb{Z} by their $R_{\leq 3}$, hence may (and actually do) have a \mathbb{Z} -basis e with $m(e) = 3$.*

In order to search for better lattice bases, we used the following simple probabilistic algorithm. Its main function `reduce` takes as an input an integral Euclidean lattice L of rank d , an integer b , and another integer t ("number of tries"). It returns either 0 (failure) or a basis e of L with $m(e) = b$.

1. Compute the set S of vectors $\pm v$ in L with $0 < v \cdot v \leq b$.
2. If S does not generate L over \mathbb{Z} , return 0.
3. Compute the set R of vectors $\pm v$ in L with $0 < v \cdot v \leq b - 1$.

⁹ While GP normally use multiprecision integer arithmetic, we used single word arithmetic (using `t_VECSMALL`) whenever appropriate in all of our programs.

4. Compute the rank¹⁰ r of R and set $k_0 = \text{Max}(1, d - r)$.
5. For k from k_0 to d , for i from 1 to t , do
 - 5a. Choose k vectors e_1, \dots, e_k randomly in S ,
 - 5b. Choose $d - k$ vectors e_{k+1}, \dots, e_d randomly in R ,
 - 5c. If e_1, \dots, e_d generates L over \mathbb{Z} , return e_1, \dots, e_d .
6. Return 0.

For a given integral lattice L , we start with a \mathbb{Z} -basis e given by the LLL algorithm, choose some t , and then we apply `reduce`(L, b, t) successively to $b = 1, \dots, m(e) - 1$ until it returns some \mathbb{Z} -basis of L . If it fails, it means it did not beat the initial basis e given by LLL.

Remark 4.2. Step 2 and 5c of the function `reduce` amounts to checking that some determinant is ± 1 . To save time we may first check that this holds modulo 2 or other primes.

Example 4.3. For each lattice L in X_{29}^0 , the `reduce` algorithm does find a \mathbb{Z} -basis consisting of norm 3 vectors of L in about 93 ms (using $t = 1000$ is usually enough, and computing the set of norm 3 vectors already takes about 30 ms). Using those bases, the average time to determine $|O(L)|$ using the Plesken-Souvignier algorithm¹¹ is about 1.24 s.

We now discuss the 357003 rank 28 unimodular lattices with no norm 1 vectors. As already explained in Table 3.2, 356462 of them are generated over \mathbb{Z} by their $R_{\leq 3}$, and we did find a \mathbb{Z} -basis of norm ≤ 3 vectors in all cases, in about 158 ms. For the 541 remaining lattices, 519 are generated over \mathbb{Z} by their $R_{\leq 4}$ and we also found in all cases a \mathbb{Z} -basis consisting of norm ≤ 4 vectors using `reduce`($L, 4, 10000$).

The remaining 22 lattices are atypical, but not especially mysterious. They all have a root system of rank 28, except for two of them, whose root systems are respectively $2A_7 D_{13}$ (rank 27) and D_5 (which has only rank 5, but this lattice is the exceptional lattice with companion $I_5 \perp \text{OddLeech}$ discussed in Example 3.4). For instance, for many of these lattices, the sublattice generated by the root system $R_2(L)$ is isometric to $D_{m_1} \perp D_{m_2} \perp \dots \perp D_{m_s}$ with $m_1 + \dots + m_s = 28$, where $D_m \subset I_m$ is the standard root lattice of type D_m , namely $D_m = M_2(1^m)$. But for $m \geq 1$, the dual lattice D_m^\sharp writes

$$D_m^\sharp = D_m \coprod (\varepsilon_m + D_m) \coprod (\eta_m^+ + D_m) \coprod (\eta_m^- + D_m)$$

with $\varepsilon_m = (0, \dots, 0, 1)$ and $\eta_m^\pm = \frac{1}{2}(1, \dots, 1, \pm 1)$. As is well-known, the minimum of $x \mapsto x \cdot x$ on $\eta_m^\pm + D_m$ is $m/4$ (resp. 1 on $\varepsilon_m + D_m$). Assuming $r_1(L) = 0$, it follows that the small norm vectors of those L tend to generate proper sublattices of L . The two most striking cases are the following:

¹⁰This step is especially useful in the case $b = 3$, but may be ignored in situations where we know that R is big (in which case we set $k_0 = 1$).

¹¹We used the PARI/GP implementation `qfauto` of Souvignier's code, with flag `[0, 2]`.

Example 4.4. (a) There is a unique $L \in X_{28}$ with $r_1(L) = 0$ and root system D_{28} . We may define it as $L = D_{28} \amalg C$ with $C = \eta_{28}^+ + D_{28}$. As $\min_{x \in C} x \cdot x = 28/4 = 7$, it follows that L is generated over \mathbb{Z} by the set $R_{\leq 7}(L)$, whereas $R_{\leq 6}(L)$ generates the index 2 subgroup D_{28} . Note that $R_{\leq 7}(L)$ is huge: it has 158 736 881 vectors!

(b) There is a unique $L \in X_{28}$ with $r_1(L) = 0$ and root system $D_8 D_{20}$. Set $Q = D_8 \perp D_{20}$. We may define $L \subset Q^\sharp$ as the inverse image, under the natural map $Q^\sharp \rightarrow Q^\sharp/Q = D_8^\sharp/D_8 \oplus D_{20}^\sharp/D_{20}$, of the bilinear Lagrangian $\{0, \varepsilon_8 + \eta_{20}^+, \eta_8^+ + \varepsilon_{20}, \eta_8^- + \eta_{20}^-\}$ (see [CHE24, §2]). It follows that L is a union of 4 cosets of Q with respective minimum norm 0, $1 + 20/4 = 6$, $8/4 + 1 = 3$ and $8/4 + 20/4 = 7$. This shows that L is generated by $R_{\leq 6}$ (with 21 827 953 vectors!) but not by $R_{\leq 5}$.

These are the worst cases, since the 20 other lattices are generated over \mathbb{Z} by their $R_{\leq 5}$ and we did find for all of them a \mathbb{Z} -basis consisting of norm ≤ 5 vectors using `reduce(L, 5, 10000)`. We refer to [AC20a] for a list of Gram matrices that we found using these methods.

We finally discuss the computation of the order of the isometry group $O(L)$ for L in X_{28} . It is enough to compute the order of the *reduced isometry group* $O(L)/W(L)$ of L (see Sect. 2). As already observed in [CHE20a], this is much more efficient, and can easily be done using features of the Plesken-Souvignier algorithm: see [CHE24, §4.2]. Using the Gram matrices above for the lattices in X_{28} , this is quite fast. For instance, for 99.8% of the 356462 lattices generated over \mathbb{Z} by their $R_{\leq 3}$, the average time to determine their reduced isometry group is about 0.3 s. Note that in the two extreme cases discussed in Example 4.4, the reduced isometry group is clearly trivial, so there is nothing to compute.

5. The Biased Neighbor Enumeration algorithm

In this section, we give in an explicit form the general algorithm informally described in [CHE24, §1.10]. Its goal is to produce large quantities of cyclic d -neighbors of I_n having a given root system, by imposing in the enumeration of d -isotropic lines a suitably chosen *visible root system* in the sense of *loc. cit.* §5. We start with a few notation and terminology.

For $x \in \mathbb{R}^n$ and $j \in \mathbb{R}$, we denote by $m_j(x) \in \{0, 1, \dots, n\}$ the number of coordinates of x which are equal to j . A pair (d, x) , with $d \geq 1$ an integer and $x \in \mathbb{Z}^n$, will be said *normalized* if we have $1 = x_1 \leq x_2 \leq \dots \leq x_n \leq d/2$, as well as $m_1(x) \geq m_j(x)$ for all $1 \leq j < d/2$. We call $m_1(x)$ the *index* of (d, x) , and $m_{d/2}(x)$ the *end*¹² of (d, x) . Finally, the *type* of x , or of (d, x) , is the partition of the integer n defined by the nonzero integers $m_j(x)$, $j \in \mathbb{Z}$.

¹²Obviously, the end of (d, x) is nonzero only for d even and $x_n = d/2$.

Example 5.1. Let $x = (1, 1, 1, 2, 3, 4, 4, 5, 6, 7, 8, 8, 9, 9, 10, 10, 10, 11, 11) \in \mathbb{Z}^{19}$ and $d = 22$. Then (d, x) is normalized, of type $3+3+2+2+2+2+2+1+1+1+1+1$, index 3 and end 2. We also use the notation $3^2 2^4 1^5$ for such a partition.

The basis reason for this terminology the presence of a large isometry group of the lattice $\mathbb{I}_n = \mathbb{Z}^n$, namely the $2^n n!$ permutations and sign changes of coordinates, which has the following immediate consequence:

Fact 5.2. Let $d \geq 1$ and $x \in \mathbb{Z}^n$. Assume $x_i \not\equiv 0 \pmod{d}$ for all $1 \leq i \leq n$, and that there exists¹³ $1 \leq j \leq n$ such that $a := x_j$ is prime to d and satisfies $m_d(x) \geq m_i(x)$ for all $i \in \mathbb{Z}$. Then there is $\sigma \in \mathcal{O}(\mathbb{I}_n)$ and $y \in \mathbb{Z}^n$ such that (d, y) is normalized and satisfies $\sigma(x) \equiv ay \pmod{d\mathbb{Z}^n}$.

We now describe the Biased Neighbor Enumeration algorithm, later refer to as BNE. It takes as inputs: an integer $n \geq 1$, an isomorphism class of root system \mathbf{R} , the reduced mass ρ of X_n^R , an integer $0 \leq e < n$ and integer partition $n - e = \sum_{i=1}^s n_i$, with $n_1 \geq n_2 \geq \dots \geq n_s \geq 1$. If BNE terminates, it returns a list of $(d, x, \epsilon, \mu, \beta)$ where the lattices $N_d(x; \epsilon)$ are representatives of X_n^R , and where $N_d(x; \epsilon)$ has reduced mass μ and BV invariant β ; it also proves that all the elements in X_n^R have distinct BV invariants.

1. Set $d = 2$ and define empty lists *inv* and *lat*.
2. Make the list L of all $x \in \mathbb{Z}^n$ with (d, x) normalized of type $n_1 + \dots + n_s + e$, index n_1 and end e .
3. Only keep in L those x such that x is d -isotropic.
4. For each $x \in L$ and¹⁴ $\epsilon \in \{0, 1\}$, compute the cyclic d -neighbors $N_d(x; \epsilon)$ of \mathbb{I}_n .
5. Replace L with the list of $(x, \epsilon) \in L \times \{0, 1\}$, satisfying both $r_1(N_d(x; \epsilon)) = 0$ and $R_2(N_d(x; \epsilon)) \simeq R$.
6. Compute the (hashed) BV invariants β of all $N_d(x; \epsilon)$ with $(x, \epsilon) \in L$.
7. For each $(x, \epsilon) \in L$, if the BV invariant β of $N_d(x; \epsilon)$ is not in *inv* do:
 - 7a. Add β to the list *inv*,
 - 7b. Compute the reduced mass μ of $N_d(x; \epsilon)$,
 - 7c. Add $(d, x, \epsilon, \beta, \mu)$ to the list *lat*,
 - 7d. Set $\rho \leftarrow \rho - \mu$. If $\rho = 0$, return *lat*.
8. $d \leftarrow d + 1$ and go back to Step 2.

The main idea of this ‘‘Coupon Collector’’ algorithm is explained in details in [CHE24] §1.10 and Sect. 5. We will briefly review it below, including the (key) role of the n_i and e , but we first state an important criterion for BNE to terminate. For an ADE root system S , and an integral lattice L , we denote by $\text{emb}(S, L)$ the number of isometric embeddings¹⁵ $Q(S) \rightarrow L$ with saturated image. Following §5.9 *loc. cit.*, we say that a pair (R, S) of ADE root systems is *safe* if for any integral lattices L with $r_1(L) = 0$ and $R_2(L) \simeq R$ we have $\text{emb}(S, L) \neq 0$. We attach to e and the integer partition

¹³Note that this condition is automatically satisfied if d is prime.

¹⁴We restrict to $\epsilon = 0$ for d odd or $e > 0$.

¹⁵See the general notation at the end of Sect. § 1.

$n = n_1 + \dots + n_s + e$ the root system $V := \mathbf{A}_{n_1-1} \mathbf{A}_{n_2-1} \dots \mathbf{A}_{n_s-1} \mathbf{D}_e$. By Theorem E of [CHE24], a special case of the results of [CHE22], we have:

Theorem 5.3. *Assume (R, V) is safe and that different classes in X_n^R have different BV invariants. Then the algorithm BNE terminates.*

Let us explain this theorem. Recall that the *visible root system* of a d -neighbor N of I_n is defined as $R^v := R_2(N) \cap I_n$. We refer to *loc. cit.* Sect. 5 for a study of its specific properties. Let us simply say here that R^v tends to generate a saturated sub lattice in N (this holds at least when d is a large prime). By Fact 5.2, Steps 2 and 3 enumerate representatives (d, x, ϵ) for almost all $O(I_n)$ -orbits of the cyclic d -neighbors $N_d(x; \epsilon)$ of I_n whose *visible root system* is isomorphic to V . In the case d is prime, all orbits are considered in these two steps. By the aforementioned Theorem E *loc. cit.*, for any given $L \in X_n$, the proportion of d -neighbors of I_n having a given visible root system V , and which are isomorphic to L , tends to (an absolute constant times) $\text{emb}(V, L)/|O(L)|$ when the prime d goes to ∞ . This number is non zero when (R, V) is safe, which shows that BNE terminates, hence Theorem 5.3. We stress however that *there is no known upper bound on the integer d such that each $L \in \mathcal{L}_n$ is isometric to a d -neighbor of I_n* . This method for searching for unimodular lattices is probabilistic and a form of (non-uniform) *coupon collector problem*: see [CHE24, §1.12] for a discussion along these lines.

Remark 5.4. (Choice of V) *The root system R being given, it is always possible to choose V such that (R, V) is safe. For instance, choosing $V = \emptyset$, i.e. $e = 0$ and all n_i equal to 1, trivially works, although it is usually inefficient if R is large. Indeed, the algorithm will most likely find lattices with smaller root systems than R , as we have $\text{emb}(V, L) = 1$ for all L . In practice, the game is rather to choose for R^v a sub-root system of R which is as large as possible, given the general constraints for visible root systems: see Sect. 5 *loc. cit.* for a discussion of good and possible choices. See also the next section for examples.*

We finally discuss certain steps or features of BNE.

Remark 5.5. (Step 2': lines versus vectors, and redundancy) Let $x, x' \in \mathbb{Z}^n$ be two d -isotropic vectors, say with (d, x) and (d, x') normalized of same type $n_1 + \dots + n_s$. Denote by ℓ and $\ell' \subset I_n \otimes \mathbb{Z}/d$ the \mathbb{Z}/d -line they generate. If ℓ and ℓ' are in the same $O(I_n)$ -orbit, then the d -neighbors defined by (d, x) and (d, x') are naturally isomorphic, creating unwanted redundancy in our algorithm. For a given x , then there are exactly f vectors x' generating an equivalent line in this sense, with $f := |\{1 \leq i \leq d/2 \mid m_i(x) = m_1(x) \ \& \ \gcd(i, d) = 1\}|$. This is especially large in the case $n_i = 1$ for all i , and d is prime, for which we have $f = n$. We did not find any clever way to directly select a single element among those f ones, but did instead the

following right after Step 2 in the algorithm: fix some total ordering \prec on \mathbb{Z}^n , and for each $x \in L$, compute all x' equivalent to x in the sense above, and only keep x in L if it is the biggest of them for \prec .

Remark 5.6. (Step 5) See *e.g.* Remark 4.4. in [CHE24] for an algorithm to compute root systems.

Remark 5.7. (Step 7b) For this step, of course, we apply the ideas exposed in Sect. 4: for each $L := N_d(x, \epsilon)$, we first search for a \mathbb{Z} -basis of L made of small norm vectors with **reduce** beating LLL, and then we compute the order of the reduced isometry group $O(L)/W(L)$ by giving this basis to the Plesken-Souvignier algorithm as explained in [CHE24, §4.2].

Remark 5.8. (Parallelization) Each of Steps 3 to 6 (as well as Step 7b) is straightforward to parallelize in practice. For memory reasons, we usually modify Step 2 by limiting the size of the list L and go to Step 3 when this limit is reached. If so, at the end of Step 7, we go back to Step 2 and pursue the enumeration of the remaining x until all of them have been considered, before going to Step 8. This way to present the algorithm is especially suited to find the large (and most difficult) X_n^R . In those cases, most of the CPU time of **BNE** is spent on Step 6. This way of limiting the size of L also allows of course to use 'early abort' strategy and cut search time when a single lattice is missing (which eventually always happens!).

Of course, the algorithm is interesting even if it does not terminate, since it usually finds many lattices if not all. Also, in most cases we also do not really start at $d = 2$ but at $d = 2s + 1$, otherwise there is clearly no normalized pair (d, x) of type $n_1 + \dots + n_s + e$. For some purposes, we may also restrict the enumeration of (d, x) to d in certain congruence classes modulo some integers. For instance in some hard cases, we sometimes restrict to d odd to optimize further 2-neighbor computations. We must also have d even in the case $e \neq 0$. In principle, we could take d large from the beginning, but this goes against our (guilty) wish to find neighbor forms of smallest possible "farness" for our lattices (that is of the form $N_d(x; \epsilon)$ with d as small as possible).

Remark 5.9. (The non-biased **NE** algorithm) The simpler, non biased, variant **NE** of **BNE**, is the case where we enumerate in Step 2 the pairs (d, x) of all possible types, and seek for all root systems at the same time. The only input of **NE** is the integer n and the dictionary $M : R \mapsto$ reduced mass of $X_n(R)$, and it returns X'_n (and theoretically terminates). The only difference is that in Step 5 we only keep (x, ϵ) if the root system of $N_d(x; \epsilon)$ still belongs to M , and in Step 7d we delete the root system R from M if the remaining reduced mass of $X_n(R)$ is zero after this step. This is how we actually started our search of X_{28} . Although **NE** allows to fill quite many X_{28}^R having a small quantities of lattices, it becomes very lengthy and inefficient when d grows as explained in [CHE24] §1, and it is absolutely crucial to use **BNE** instead.

6. Some examples

We start with a few simple examples for which the BNE algorithm directly works, and then provide a few more complicated ones. Note that there are usually many ways to find a given lattice, so some of the lattices described below may appear in a different neighbor form in our lists [AC20a] and [AC20b].

6.1. The root system $7\mathbf{A}_1 3\mathbf{A}_2 \mathbf{A}_7$ in dimension 28

For this root system R , we know from King that the reduced mass of X_{28}^R is $5/24$ (whereas its mass is $|\mathbf{W}(R)| = 1\,114\,767\,360$ times smaller), so we expect X_{28}^R to contain only very few lattices. We choose the visible root system $V := 6\mathbf{A}_1 2\mathbf{A}_2 \mathbf{A}_7$, that is $e = 0$ and the integer partition $8\,3^2\,2^6\,1^2$ of 28. We have $s = 11$ so we may start at $d = 23$. The BNE algorithm terminates at $d = 27$ and returns the 3 lattices in X_{28}^R , with reduced masses $1/12$, $1/12$ and $1/24$, after about 7 minutes of CPU time.

It will be convenient to use the following kind of tables to give some details about the intermediate steps of such calculations. The second column gives, for the d in the first column, the number `#iso` of d -isotropic lines found after Steps 2 and 3, incorporating Step 2' explained in Remark 5.5. The third column gives the size `#found` of the list L after Step 5. The better we have chosen V , the higher the ratio `#iso/#found` is. The fourth column gives the number of new lattices found in L , and the last column, the remaining reduced mass ρ of X_n^R after Step 7 (when 0, the algorithm terminates).

d	<code>#iso</code>	<code>#found</code>	<code>#new_lat</code>	<code>rem_red_mass</code>
23	55	0	0	$5/24$
24	267	2	1	$1/8$
25	558	10	1	$1/12$
26	1 888	20	0	$1/12$
27	3 024	29	1	0

Table 6.1: Hunting X_{28}^R with $R = 7\mathbf{A}_1 3\mathbf{A}_2 \mathbf{A}_7$ and $V = 6\mathbf{A}_1 2\mathbf{A}_2 \mathbf{A}_7$.

6.2. The root system $4\mathbf{A}_1 2\mathbf{A}_2 2\mathbf{A}_3 \mathbf{D}_4$ in dimension 28

As another example with a little more lattices, as well as a component of type \mathbf{D} , consider the case of the root system R above. The reduced mass of X_{28}^R is $1033/16$. We use the visible root system $V = 3\mathbf{A}_1 2\mathbf{A}_2 2\mathbf{A}_3 \mathbf{D}_4$, that is $e = 4$ and type $4^3\,3^2\,2^3\,1^4$ (hence d is even ≥ 24). The BNE algorithm terminates at $d = 36$. It returns the 156 lattices of X_{28}^R , with reduced mass

1/2 (112 times), 1/4 (26 times), 1/8 (15 times) and 1/16 (3 times), after about 24 h of CPU time.

d	$\#iso$	$\#found$	$\#new_lat$	rem_red_mass
24	295	78	36	773/16
26	2 082	341	66	293/16
28	12 217	1 623	44	13/8
30	55 083	4 980	6	7/16
32	154 458	10 992	4	0

Table 6.2: Hunting X_{28}^R with $R = 4\mathbf{A}_1 2\mathbf{A}_2 2\mathbf{A}_3 \mathbf{D}_4$ and $V = 3\mathbf{A}_1 2\mathbf{A}_2 2\mathbf{A}_3 \mathbf{D}_4$.

6.3. The root system $16\mathbf{A}_1 \mathbf{E}_6$ in dimension 28

For this root system R , the reduced mass of X_{28}^R is $1/23040$, hence there may well be a unique class in X_{28}^R . The difficulty here is that no possible visible root system is “very close” to R , both because the presence of a component of type \mathbf{E} and of too many \mathbf{A}_1 . The best idea here is to use the fact that $\mathbf{A}_5 \mathbf{A}_1$ is a 2-kernel of \mathbf{E}_6 (see Example 5.22 in [CHE24]), and to run BNE for the visible root system $V \simeq 10\mathbf{A}_1 \mathbf{A}_5 \mathbf{D}_2 \simeq 12\mathbf{A}_1 \mathbf{A}_5$, hence $e = 2$ and type $6 2^{11}$ (this forces d even and ≥ 24). For each of the even integers $24 \leq d \leq 46$, it turns out that the values of $\#iso$ given by BNE are

$$0, 0, 4, 5, 42, 93, 344, 516, 1440, 2064, 5792, 7673,$$

but that in all cases we have $\#found = 0$. Nevertheless, for $d = 48$ we find $\#iso = 17098$ and finally $\#found = 1$! The found (and unique) lattice in X_{28}^R has reduced mass $1/23040$ and is $N_{48}(x; 0)$ with

$$x = (1, 1, 1, 1, 1, 1, 3, 3, 6, 6, 8, 8, 10, 10, 12, 12, 14, 14, 16, 16, 18, 18, 20, 20, 22, 22, 24, 24) \in \mathbb{Z}^{28}.$$

The whole computation only takes about 13 minutes of CPU time (essentially because we computed a single BV invariant). The explanation for all those zeros values of $\#found$ above is that this choice of visible root system, although essentially the best we can take, will most likely find lattices with root system of the form $n\mathbf{A}_1 \mathbf{A}_5$ with $n \geq 13$. Indeed, there are many lattices in X_{28} with root systems $13\mathbf{A}_1 \mathbf{A}_5$ (including some with the large reduced mass $1/4$) and $17\mathbf{A}_1 \mathbf{A}_5$.

Remark 6.4. *The root system \mathbf{D}_5 is also a 2-kernel of \mathbf{E}_6 , and the lattice L above can also be found using the visible root system $11\mathbf{A}_1 \mathbf{D}_5$ for $d = 50$ (within about 55 minutes). In the latter case we have $\#iso = 31790$, $\#found = 7$, and obtained $L \simeq N_{50}(y)$ with $y \in \mathbb{Z}^{28}$ defined as*

$$y = (1, 1, 5, 5, 6, 6, 7, 7, 8, 8, 12, 12, 14, 14, 15, 16, 16, 21, 21, 22, 22, 23, 23, 25, 25, 25, 25, 25).$$

All other choices of visible root systems seem to require more computations.

6.5. The root system $8\mathbf{A}_1 2\mathbf{A}_2$ in dimension 28

For this root system R the reduced mass of X_{28}^R is $9694663/2880 \simeq 3366.2$, which already implies $|X_{28}^R| \geq 6733$. Actually, as we shall see, we have $|X_{28}^R| = 7603$, and this is actually the maximum of the $|X_{28}^S|$ for all root systems S . This is one reason why we chosed this example, which is much harder than the previous ones.

We know from Sect. 5.9 [CHE24] (and especially Example 5.14) that the pair (R, V) is safe for $V = 7\mathbf{A}_1 2\mathbf{A}_2$, so we run BNE for this visible rot system, that is $e \leq 1$ and type $3^2 2^7 1^8$ ($s = 17$). For the subsequent purpose of computing 2-neighbors, it is convenient to restrict our search to odd d . Here is what BNE finds for all odd d from $2s + 1 = 35$ to 43 (we add in the table a lower bound of the number $\#rem_lat$ of remaining lattices in X_{28}^R after Step 7 in the last column):

d	$\#iso$	$\#found$	$\#new_lat$	rem_red_mass	$\#rem_lat \geq$
35	2 039	710	518	8987263/2880	6 243
37	25 009	9 587	3 536	4199593/2880	2 918
39	293 217	99 546	2 958	497041/2880	347
41	1 280 597	367 529	451	21349/720	61
43	5 801 141	1 398 150	43	899/36	51

Table 6.3: Hunting X_{28}^R with $R = 8\mathbf{A}_1 2\mathbf{A}_2$ and $V = 7\mathbf{A}_1 2\mathbf{A}_2$.

This computation is already quite lengthy: it took about 1400 h of CPU time. It allowed to find 7506 different classes in X_{28}^R so far, with remaining reduced mass $899/36$. It would be natural to try to go on in order to find the remaining lattices, namely by exploring $d = 45, 47$ and so on... This would unfortunately require much more CPU time. Instead, we may proceed as follows.

(a) Exceptional lattices in X_{28}^R . An inspection of the 7506 found lattices is that none of them is exceptional. We refer to Lemma 6.8 for an explanation of an analogous phenomenon for X_{29}^\emptyset . Our aim now is to determine the (classes) of exceptional unimodular lattices in X_{28}^R .

As we are in dimension $28 \equiv 4 \pmod{8}$, exceptional lattices L in \mathcal{L}_{28} with $r_1(L) = 0$ may be described using their singular companion $L' := \text{sing}(L)$, by Proposition 2.1. Write $L' \simeq \mathbf{I}_r \perp U$ with $r \geq 1$ and $U \in \mathcal{L}_{28-r}$ satisfying $r_1(U) = 0$. In particular, $\mathbf{D}_r \simeq \mathbf{R}_2(\mathbf{I}_r)$ is an irreducible component of $\mathbf{R}_2(L') = \mathbf{R}_2(L)$ (recall $\mathbf{D}_1 = \emptyset$ and $\mathbf{D}_2 = 2\mathbf{A}_1$). Going back to the root system $R \simeq 8\mathbf{A}_1 2\mathbf{A}_2$, the only possibilities are thus either $r = 1$ and $\mathbf{R}_2(U) \simeq R$, or $r = 2$ and $\mathbf{R}_2(U) \simeq 6\mathbf{A}_1 2\mathbf{A}_2$. But an inspection of the classification in [CHE24] of X_{26} and X_{27} shows that there are exactly two possibilities for U for $r = 2$, and 89 for $r = 1$ (all being non exceptional). Proposition 2.1 thus shows:

Lemma 6.6. *There are $89 + 2 = 91$ classes of exceptional lattices in X_{28}^R . The sum of their reduced mass is $595/24 + 13/288 = 7153/288$.*

Better, in the analysis above, if we have $U \simeq N_d(x)$ with d odd and $x \in \mathbb{Z}^{28-r}$, then we know from Lemma 11.1 and Proposition 11.6 in [CHE24] that the corresponding L satisfies $L \simeq N_{2d}(y; 0)$ where $y \in \mathbb{Z}^{28}$ has odd coordinates and satisfies $y_i \equiv x_i \pmod{d}$ for $i < 28 - r$, and $y_i = d$ for $i \geq 28 - r$. Neighbor forms for the $2 + 89$ different U are easily found with d odd using BNE in dimensions 26 and 27. For instance, the 2 classes U in X_{26} with root system $6\mathbf{A}_1 2\mathbf{A}_2$ are those of the $N_{35}(x)$ with $x \in \mathbb{Z}^{26}$ given by

$$x = \begin{cases} (1, 1, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 11, 12, 12, 13, 13, 14, 14, 15, 15, 16, 17, 17, 17), \\ (1, 1, 1, 2, 3, 4, 5, 6, 7, 8, 9, 9, 10, 11, 11, 12, 12, 13, 13, 14, 14, 15, 16, 16, 16, 17), \end{cases}$$

and their respective reduced mass is $1/288$ and $1/24$. In the end, we do obtain neighbor forms for all 91 exceptional lattices of Lemma 6.6.

(b) Strict 2 neighbors of rare lattices. After incorporating the 91 exceptional lattices above in X_{28}^R , the remaining reduced mass is $13/96$. The remaining lattices presumably all have a small reduced mass. In order to find them, we apply some ideas from the theory of *visible isometries* explained in [CHE24, §7] (see especially §7.9):

(b1) The first idea is that if some $L \in \mathcal{L}_n$ has a large isometry group, we expect that many 2-isotropic lines in $L/2L$ will be stable by a non trivial isometry of L , hence producing a 2-neighbor having that isometry, and biasing the search.

(b2) A second idea is that to in order to avoid computing all 2-neighbors of L (or $O(L)$ -orbits of them), it seems more promising to focus on those having the same visible root system as L viewed as neighbors of I_n ; we call them the *strict 2-neighbors* of L . Concretely, if we have $L = N_d(x)$ with d odd, $x \in \mathbb{Z}^n$ and (d, x) normalized, then the strict 2-neighbors of L are the $N_{2d}(y; \epsilon)$ with $y \in \mathbb{Z}^n$ satisfying $y \equiv x \pmod{d}$, as well as $y_1 = 1$ and, for all $1 \leq i, j \leq n$ with $x_i = x_j$, the congruence $y_i \equiv y_j \pmod{2}$.

Among the 494 lattices found above for $d = 41$ and $d = 43$, there are 12 lattices with reduced mass $\leq 1/32$. For each of these d -neighbors L , given as $L = N_d(x)$, we compute all the *strict 2-neighbors* L' of L , with $L' = N_{2d}(y, \epsilon)$ as in (b2) above. As we are in dimension $n = 28$ and L has a visible root system $7\mathbf{A}_1 2\mathbf{A}_2$, there are thus only $2^{28-2-7-4-1} = 65\,536$ choices for y , hence presumably 32 768 isotropic ones, a quite manageable quantity. The hope is to find the remaining lattices among those $2 \times 12 \times 32\,768 = 786\,432$ different 2-neighbors. It works! Indeed, in about 20 h of CPU time we did find this way the 6 remaining elements of X_{28}^R . They have a reduced mass $1/96$, $1/64$ twice and $1/32$ three times, and are respectively given by the following values of (d, y, ϵ) :

$2d$	y	ϵ	red_mass
82	(1, 1, 1, 2, 3, 3, 4, 4, 36, 7, 33, 33, 31, 31, 11, 12, 13, 13, 14, 14, 14, 15, 25, 23, 22, 22, 20, 20)	0	1/32
82	(1, 1, 1, 2, 3, 3, 37, 37, 36, 7, 8, 8, 10, 10, 30, 29, 13, 13, 14, 14, 14, 15, 16, 18, 19, 19, 21, 21)	1	1/96
86	(1, 1, 1, 2, 2, 4, 4, 6, 6, 36, 9, 9, 33, 32, 32, 12, 30, 29, 29, 29, 28, 16, 26, 19, 19, 23, 22, 22)	0	1/32
86	(1, 1, 1, 2, 2, 4, 4, 6, 6, 36, 9, 9, 10, 32, 32, 12, 13, 29, 29, 29, 28, 16, 17, 19, 19, 20, 22, 22)	1	1/32
86	(1, 1, 1, 3, 3, 5, 37, 37, 36, 35, 9, 9, 11, 31, 31, 13, 14, 14, 14, 15, 16, 16, 26, 18, 18, 20, 22, 22)	1	1/64
86	(1, 1, 1, 39, 5, 5, 37, 7, 7, 35, 35, 10, 32, 32, 12, 13, 14, 14, 14, 28, 28, 27, 17, 17, 25, 24, 20, 20)	0	1/64

Table 6.4: The last $N_{2d}(y; \epsilon)$ found in X_{28}^R for $R = 8A_1 2A_2$.

6.7. The empty root system in dimension 29

We finally discuss the determination of X_{29}^\emptyset . For such lattices, the mass and the reduced mass coincide so we usually omit the term “reduced”. We know that the mass of X_{29}^\emptyset is $49612728929/11136000 \simeq 4455.2$, so we have at least 8911 isometry classes. The only possibility here is to take $V = \emptyset$, and we choose $0 \leq e \leq 1$ and all n_i equal to 1. Here is what BNE finds for all *odd* d from $2s + 1 = 59$ to 83, after about 850 h of CPU time:

d	#iso	#found	#new_lat	rem_red_mass	#rem_lat \geq
59	1	1	1	1710782101/384000	8 912
61 & 63	0	0	0	1710782101/384000	8 912
65	4	4	4	570063367/128000	8 909
67	19	19	19	568975367/128000	8 892
69	149	138	107	562979367/128000	8 798
71	654	598	527	530939367/128000	8 297
73	3 173	2 771	1 836	1254618101/384000	6 536
75	24 641	20 300	3 971	185034167/128000	2 893
77	70 121	55 094	2 774	276881503/1152000	482
79	206 343	153 700	605	40169503/1152000	71
81	1 029 214	725 560	96	26594503/1152000	48
83	2 321 088	1 548 714	24	25345453/1152000	46

Table 6.5: Hunting X_{29}^\emptyset with $V = \emptyset$ and odd $59 \leq d \leq 83$.

So far we have found 9964 elements in X_{29}^\emptyset . For instance, the first of these lattices, found for $d = 59$, is the lattice $N_{59}(1, 2, 3, \dots, 29)$ which incidentally belongs to the family studied in [CHE24, §8]. Also, an inspection of our list shows that we only found a single exceptional lattice so far, for $d = 83$. This can be partially explained by the following lemma.

Lemma 6.8. *Assume $L \in \mathcal{L}_n$ is a p -neighbor of I_n with p prime and empty visible root system (this forces $p \geq 2n + 1$). Then any characteristic vector ξ of L with $\xi \cdot \xi < n$ satisfies $\xi \cdot \xi \geq \frac{4n^3 - n}{3p^2}$.*

Proof. By definition, we have $L = M_p(x) + \mathbb{Z} \frac{x'}{p}$ for some p -isotropic $x \in \mathbb{Z}^n$ and some $x' \in \mathbb{Z}^n$ satisfying $x' \equiv x \pmod{p}$. As p is odd, the vector $p\xi \in \mathbb{Z}^n$ is a characteristic vector of I_n , hence has odd coordinates. Write $p\xi = kx + pm$ with $0 \leq k < p$ and $m \in \mathbb{Z}^n$. We have $k > 0$, otherwise $\xi \in \mathbb{Z}^n$ and $\xi \cdot \xi \geq n$. So the coordinates of $p\xi$ are distinct mod p , since so are those of x by assumption. This proves $p^2 \xi \cdot \xi \geq \sum_{i=1}^n (2i-1)^2 = (4n^3 - n)/3$. \square

Note that for $n = 29$ and $\xi \cdot \xi = 5$, this forces $p \geq 83$, in accordance with what we found. Our first aim now is to seek for exceptional lattices in X_{29}^0 . We cannot argue as in § 6.5 (a) since $29 \not\equiv 4 \pmod{8}$. Instead, we use the variant of BNE discussed in [CHE24, §9.13–9.16]. The basic idea is to look for d -neighbors $N := N_d(x; \epsilon)$ of I_{29} with empty root system and such that the norm 5 vector $(0, \dots, 0, 1, 1, 1, 1, 1) \in I_{29}$ is a (visible!) characteristic vector of N . As explained *loc. cit.*, the trick is just to modify Step 2 of BNE and enumerate only d -isotropic $x \in \mathbb{Z}^{29}$, with d even, all of whose coordinates are odd, except the last 5 ones which are even and with sum $\equiv 0 \pmod{d}$. This forces $d \geq 94$, and we obtain after about 60 h of CPU time:

d	#iso	#found	#new_lat	rem_mass	#rem_lat
94	20	20	7	22177453/1152000	40
96	46	37	9	2697341/144000	39
98	82	74	26	1488341/144000	22
100	150	122	24	729281/144000	12
102	900	664	26	123131/144000	3
104	687	466	6	81431/144000	3
106	4940	3131	4	40631/144000	2
108	7833	4627	1	37031/144000	2
110	55116	29680	0	37031/144000	2
112	47310	23889	0	37031/144000	2
114	377410	176966	1	1481/5760	2

Table 6.6: Hunting exceptional lattices in X_{29}^0 .

The last lattice, with mass $1/24000$, came very late and is much harder to find than the others: this is $N_{114}(x; 0)$ with

$$x = (1, 2, 3, 5, 7, 9, 11, 15, 16, 17, 21, 22, 23, 27, 29, 31, 33, 35, 36, 37, 38, 39, 41, 45, 49, 51, 53, 55, 57).$$

Up to this point, we have found 10068 classes in X_{29}^0 , with remaining mass $1481/5760$. There are several methods that we can use to find the last ones. First, we run BNE for the empty visible root system, $0 \leq e \leq 1$, and *even* $58 \leq d \leq 82$, which we have not done yet, and only finds 15 more lattices in about 1050 more hours:

d	$\#iso$	$\#found$	$\#new_lat$	rem_red_mass	$\#rem_lat \geq$
58 – 70	1 207	1 112	0	1481/5760	2
72	4 445	3 847	2	1091/5760	2
74	16 304	13 423	0	1091/5760	2
76	65 591	51 666	3	589/3840	2
78	390 922	290 029	2	499/3840	2
80	1 065 081	752 393	7	941/15360	2
82	2 969 999	1 985 285	1	301/15360	2

Table 6.7: Hunting X_{29}^\emptyset with $V = \emptyset$ and even $58 \leq d \leq 82$.

At this point, we have found 10 083 lattices, and the remaining mass is only 301/15360. It would be presumably quite lengthy to seek for the remaining lattices by simply pursuing BNE. A more direct way to find them is to use the theory of visible isometries as in §6.5 (b), and study 2-neighbors of the found lattices $L = N_d(x)$ with large isometry groups and d odd. Note that as the root system is empty here, each 2 neighbor of such an L is strict, so we have no meaningful way to reduce the search as we did in §6.5. In such a situation with $O(L)$ big, we should also gain much in principle in computing first the $O(L)$ -orbits of 2-isotropic lines in $L/2L$, since there is a huge number of such lines in dimension 29. In practice, this is not really necessary, and we prefer to only compute the neighbors associated to a large number of 2-isotropic lines of a given L , say here 2 000 000, and then try the next L if it fails.

This works pretty well! Indeed, the lattice $L = N_d(x)$ in X_{29}^\emptyset , with d odd and largest isometry group, appears for $d = 83$ and satisfies $|O(L)| = 1536$. Using this L as explained above we do find 7 new lattices, with remaining mass 167/25920. The next 3 lattices with largest isometry groups did not provide new lattices, but the 2 after, namely a 75-neighbor with mass 1/160, and a 81-neighbor with mass 1/128, do give rise (each) to a new lattice, with respective masses 1/160 and 1/5184, and concludes the proof! Those $7 + 1 + 1 = 9$ lattices $N_{2d}(y; \epsilon)$ are given in the following table. The full computation here took about 480 h of CPU time.

$2d$	y	ϵ	mass
166	(1, 5, 6, 11, 12, 13, 15, 16, 65, 19, 20, 21, 23, 58, 57, 27, 28, 29, 53, 31, 51, 33, 49, 35, 36, 45, 44, 43, 42)	1	1/864
166	(1, 5, 6, 11, 12, 13, 15, 67, 18, 64, 20, 62, 23, 58, 57, 27, 55, 54, 30, 31, 32, 33, 49, 35, 36, 38, 39, 43, 42)	0	1/1536
166	(1, 5, 6, 11, 12, 13, 15, 16, 18, 19, 20, 62, 23, 58, 26, 27, 28, 29, 30, 52, 32, 50, 49, 48, 47, 38, 39, 40, 42)	1	1/6144
166	(1, 5, 6, 11, 12, 13, 15, 16, 18, 64, 20, 21, 60, 58, 26, 56, 55, 54, 30, 31, 51, 50, 49, 35, 47, 38, 44, 40, 42)	0	1/96
166	(1, 5, 6, 11, 12, 13, 15, 16, 65, 64, 20, 21, 23, 25, 57, 27, 55, 54, 53, 52, 32, 50, 49, 48, 36, 45, 39, 40, 42)	0	1/2592
166	(1, 5, 6, 11, 12, 13, 15, 16, 18, 19, 63, 62, 23, 25, 26, 56, 28, 29, 53, 31, 51, 50, 34, 48, 47, 45, 39, 40, 42)	1	1/3072
166	(1, 5, 6, 11, 12, 13, 68, 16, 65, 64, 20, 21, 60, 58, 57, 56, 28, 29, 53, 31, 51, 33, 34, 35, 47, 45, 39, 40, 42)	0	1/18432
150	(1, 4, 5, 6, 7, 9, 65, 11, 12, 62, 61, 15, 59, 18, 56, 55, 22, 24, 25, 49, 48, 28, 46, 44, 43, 41, 35, 39, 38)	1	1/160
162	(1, 5, 8, 9, 11, 12, 13, 14, 15, 65, 17, 18, 19, 20, 60, 22, 57, 55, 27, 52, 30, 49, 33, 47, 46, 36, 38, 42, 41)	1	1/5184

Table 6.8: The last nine lattices $N_{2d}(y; \epsilon)$ found in X_{29}^\emptyset

The method above is essentially the one we used when we first computed X_{29}^\emptyset . Meanwhile, the second author found a more direct way to find d -neighbors of I_n with prescribed (and “visible”) isometries. This method is described in §7.5 and §7.7 of [CHE24]. The basic idea is to fix $\sigma \in O(I_n)$ and to study to d -neighbors N of I_n with $\sigma \in O(N)$ and having a given visible root system V , which translates into some conditions on the isotropic lines that we enumerate. This is especially suited to empty (or small) V . An example of application of these ideas to the determination of X_{28}^\emptyset is detailed in §7.6 *loc. cit.*. The situation here is a bit similar, and goes as follows.

We go back right before the 2-neighbor argument above. At this step the remaining mass is $301/15360$. We have $15\,360 = 2^{10} 3^5$, so we know that we still need to find lattices in X_{29}^\emptyset having isometries of prime order $q = 2, 3$ and 5 . The characteristic polynomial of such an isometry is $\phi_q^k \phi_1^l$, with ϕ_m the m -th cyclotomic polynomial, and $k(q-1) + l = 29$. For fixed q and k , we choose an auxiliary odd prime $p \equiv 1 \pmod q$ and consider associated $d := pd'$ isotropic lines describes in *loc. cit.* §7.5 for all odd integers $d' = 1, 3, \dots$. These lines have the properties that the associated neighbors N have an empty root system and a (visible) isometry with characteristic polynomial $\phi_q^k \phi_1^l$. Better, they are tailored such that the following order q element

$$\sigma_{q,k} = (1\ 2 \dots q) (q+1\ q+2 \dots 2q) \cdots ((k-1)q+1\ (k-1)q+2 \dots kq),$$

a product of k disjoint cycles in S_{29} , lies in $O(N)$. This requires $qk \leq 29$. By studying those lines we do also find the 9 remaining lattices, under the form given by Table 6.9 below. This is much faster: it only took less than 3 h to find the first 8 lattices, and about 7 h for the last one.

d	x	mass	char	p	d'
407	(1, 334, 38, 75, 223, 78, 4, 115, 152, 300, 375, 301, 5, 42, 190, 266, 118, 340, 7, 303, 45, 378, 82, 119, 267, 231, 121, 11, 308)	1/160	$\phi_5^5 \phi_1^9$	11	37
315	(1, 226, 46, 92, 2, 137, 183, 93, 228, 94, 139, 229, 50, 275, 95, 141, 51, 186, 8, 233, 53, 190, 100, 235, 56, 147, 238, 14, 105)	1/2592	$\phi_3^8 \phi_1^{13}$	7	45
315	(1, 226, 46, 92, 2, 137, 3, 48, 138, 274, 184, 4, 50, 275, 95, 276, 6, 96, 8, 233, 53, 190, 100, 235, 56, 147, 238, 14, 105)	1/864	$\phi_3^8 \phi_1^{13}$	7	45
357	(1, 205, 256, 309, 156, 207, 106, 310, 4, 311, 209, 5, 211, 58, 109, 213, 111, 264, 164, 62, 215, 64, 268, 319, 14, 168, 322, 119, 273)	1/5184	$\phi_3^8 \phi_1^{13}$	7	51
287	(1, 247, 165, 248, 125, 166, 3, 167, 208, 127, 86, 4, 169, 128, 46, 253, 212, 130, 8, 254, 172, 50, 9, 214, 10, 174, 215, 175, 217)	1/3072	$\phi_3^9 \phi_1^{11}$	7	41
287	(1, 247, 165, 248, 125, 166, 3, 167, 208, 45, 209, 250, 169, 128, 46, 253, 212, 130, 213, 90, 131, 50, 9, 214, 10, 174, 215, 175, 217)	1/96	$\phi_3^9 \phi_1^{11}$	7	41
301	(1, 44, 130, 260, 2, 88, 218, 261, 46, 134, 177, 263, 178, 6, 264, 136, 265, 222, 267, 9, 95, 225, 268, 53, 183, 226, 11, 98, 56)	1/6144	$\phi_3^9 \phi_1^{11}$	7	43
329	(1, 142, 95, 143, 237, 96, 239, 51, 4, 99, 240, 193, 288, 100, 53, 148, 289, 242, 197, 9, 291, 199, 293, 152, 295, 107, 60, 14, 203)	1/18432	$\phi_3^9 \phi_1^{11}$	7	47
483	(1, 139, 416, 347, 3, 417, 73, 4, 352, 145, 423, 354, 79, 355, 289, 82, 359, 152, 429, 222, 16, 292, 17, 431, 294, 434, 21, 91, 161)	1/1536	$\phi_2^{12} \phi_1^{17}$	7	67

Table 6.9: Another form for the last nine lattices $N_d(x)$ found in X_{29}^0

For instance, for the lattice $L = N_{329}(x)$ above with mass $1/18432$ we have

$$\begin{aligned} x \bmod 7 &= (1, 2, 4, 3, 6, 5, 1, 2, 4, 1, 2, 4, 1, 2, 4, 1, 2, 4, 3, 6, 5, 1, 2, 4, 0, 0), \\ x \bmod 47 &= (1, 1, 1, 2, 2, 2, 4, 4, 4, 5, 5, 5, 6, 6, 6, 7, 7, 7, 9, 9, 9, 11, 11, 11, 13, 13, 13, 14, 15), \end{aligned}$$

so $\sigma_{3,9}^{-1}$ acts on $(\mathbb{Z}/7)x \subset (\mathbb{Z}/7)^{29}$ by multiplication by 2, and fixes $x \bmod 47$.

Remark 6.9. *This second method also has drawbacks. As an example, consider the last lattice L in Table 6.9. Although we have $|\mathcal{O}(L)| = 1536 = 2^9 \cdot 3$, it is impossible to find it as a neighbor of I_{29} with a visible isometry of order 3. Indeed, we can check a posteriori that the order 3 elements of $\mathcal{O}(L)$ all have the same characteristic polynomial $\phi_3^{11} \phi_1^7$, whereas no element of $\mathcal{O}(I_{29})$ has this property since $11 \cdot 3 > 29$. They are other constraints, which luckily are not prohibitive to conclude here: see §7.7 loc. cit. for more about this.*

7. References

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