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Mehdi Turki, Jamel Belhadj, Xavier Roboam. Sustainable Water-Energy Management in Microgrid-Driven BWRO Desalination Prototype Considering Technological Constraints. *Smart Grids and Sustainable Energy*, 2024, 9 (1), pp.10. 10.1007/s40866-023-00189-8 . hal-04757768

HAL Id: hal-04757768

<https://hal.science/hal-04757768v1>

Submitted on 31 Oct 2024

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2 Sustainable Water-Energy Management in Microgrid-Driven BWRO 3 Desalination Prototype Considering Technological Constraints

4 Mehdi Turki^{1,2} · Jamel Belhadj^{1,3} · Xavier Roboam⁴

5 Received: 15 July 2023 / Accepted: 18 December 2023
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7 Abstract

AQ1 This article delves into the exploration of a Brackish Water Reverse Osmosis (BWRO) desalination system, powered by a renewable microgrid that operates without the need for electro-chemical energy storage. The study takes a comprehensive approach, focusing on the Water-Energy nexus, with an emphasis on identifying operational constraints through an experimental BWRO prototype. The desalination system applies a "power-sharing" strategy alongside Power Field Oriented Control (PFOC) to ensure safe operation within pressurized systems. The integration of technological limitations establishes a "Safe Operation Window (SOW)" while accommodating fluctuations in renewable energy production. The ultimate objective is to optimize freshwater production capacity, considering the constraints of both microgrid electrical power and the BWRO unit. Real-time management of Water-Energy is facilitated by an Energy Management System (EMS), which maximizes water production and minimizes energy consumption under varying climatic conditions. In summary, this research offers valuable insights into sustainable water production through the utilization of renewable microgrids and innovative control strategies. The "power-sharing" approach, coupled with precise control of motor pump modes, significantly contributes to enhanced system efficiency. This study underscores the importance of addressing the Water-Energy nexus in the context of desalination for sustainable water supply.

21 **Keywords** Water-Energy nexus · BWRO desalination prototype · Standalone microgrid · Safe Operating Window · Energy
22 Management System

23 Nomenclature

24 Acronyms

25	BWRO	Brackish Water Reverse Osmosis	
	CV	Control Valve	26
	DC	Direct Current	27
	EMS	Energy Management System	28
	NF	Nanofiltration	29
	HP	High Pressure	30
	LP	Low Pressure	31
	IM	Induction motor	32
	MPPT	Maximum Power Point Tracking	33
	PFOC	Power Field Oriented Control	34
	PV	Photovoltaic	35
	PWM	Pulse Width Modulation	36
	RES	Renewable Energy Source	37
	RO	Reverse Osmosis	38
	SOW	Safe Operation Window	39
	SWRO	Seawater Reverse Osmosis	40
	VFD	Variable Frequency Drive	41
	VSI	Voltage Source Inverter	42
	WP	Welling pump	43

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44 **Symbols/variables/parameters**

45 A_o Reference water permeability

46 A_t Water permeability at temperature t

47 $(\text{kg s}^{-1} \text{Pa}^{-1} \text{m}^{-2})$

48 B_o Reference salt permeability

49 B_t Salt permeability at temperature t

50 $(\text{kg s}^{-1} \text{Pa}^{-1} \text{m}^{-2})$

51 I_m Rotor current (A)

52 P_p Water discharge pressure (Pa)

53 Q_p Freshwater flow membrane element (m.s^{-1})

54 Q_r Reject flow across the membrane element

55 (m.s^{-1})

56 R_m Rotor resistance (Ω)

57 T_m Electromagnetic torque (N.m)

58 V_m Rotor voltage (V)

59 f_{mp} Motor and pump mechanical frictions

60 \mathcal{P}_{DC} Generated power transferred via a DC bus (W)

61 μ_0 Reference water viscosity

62 μ_t Water viscosity at temperature t (mPa.s)

63 ϕ_m Excitation magnetic flux (Wb)

64 ΔC_2 Water concentration difference across the mem-

65 brane, (kg m^{-3})

66 ΔP Pressure drop across the membrane element (Pa)

67 $\Delta \pi$ Osmotic pressure membrane element drop (Pa)

68 C_f Feed water concentration (kg m^{-3})

69 C_p Freshwater concentration (kg m^{-3})

70 C_r Reject water concentration (kg m^{-3})

71 d Water density (kg m^{-3})

72 S Membrane surface (m^2)

73 t Water temperature (K)

74 t_0 Reference water temperature

75 Q Water flow rate (m.s^{-1})

76 Ω Rotational speed, rad.s^{-1}

77 α Power sharing factor

78 **Introduction**

AQ2 The concept of "safe drinking water" refers to water that meets the standards for consumption and food preparation. Although large, centralized treatment systems effectively supply urban areas, delivering clean drinking water to remote and rural locations poses greater challenges and higher costs. Remote communities often face the scarcity or poor quality of drinking water. In 2020, approximately 25% of the world's population did not have access to safely managed drinking water in their residences, and almost 50% lacked adequate sanitation facilities. The COVID-19 pandemic has underscored the critical importance of ensuring access to adequate hand hygiene. At the outset of the pandemic, three out of every ten people worldwide were unable to wash their hands with soap and water in their own homes [1].

Desalination processes primarily involve membrane-based procedures utilizing pressure differences or thermal processes based on phase change. Thermal distillation methods, such as multi-stage, multi-effect, and vapour compression flash, are employed to extract freshwater. Reverse osmosis (RO) desalination, utilizing filtration membranes, is the most widely used technology in the industry. RO operates by selectively permeating a saline solution through a semi-permeable membrane under pressure, resulting in the separation of liquid phases [2–5].

Recent research on water desalination indicates that renewable energy accounts for only 1% of total desalinated water production. To address water scarcity in remote areas, an effective approach involves integrating desalination technology with renewable energy sources (e.g., wind and solar power). Desalination processes powered by renewable electricity systems can reduce reliance on fossil fuels and minimize greenhouse gas emissions. The global market potential for desalination systems utilizing renewable energy is significant due to the increasing demand for freshwater [6].

The Water-Energy nexus represents a promising approach to tackle the challenges associated with the scarcity of these essential resources. It provides a sustainable and environmentally beneficial solution, particularly in areas with limited hydraulic and electrical infrastructure but abundant renewable energy sources. RO desalination technologies, driven by photovoltaic and/or wind power, play a critical role in this combination. A cost study conducted on 81 projects in the Middle East revealed a 20% reduction in power production costs and a 4% reduction in water desalination prices [7, 8].

Many research studies have delved into various facets of freshwater production using renewable energy sources. These investigations have covered topics such as system design, control and management strategies for water-energy, as well as optimization methods [9, 10]. However, in most studies on RO desalination powered by renewable sources, concerns regarding the control and management of critical system components, particularly membrane efficiency, as well as technical and technological process performance, are frequently highlighted. These crucial aspects necessitate special attention to optimize the desalination system's operation and ensure the effective and reliable production of freshwater.

In this study, a prototype of Brackish Water desalination using RO technology powered by a standalone renewable microgrid (PV-Wind) without the need for electro-chemical storage is investigated. To meet the freshwater needs for various applications, hydraulic storage in water reservoirs will be employed as a superior alternative to battery storage. This substitution holds significant importance due to its several advantages, such as increased storage capacity,

147 reduced environmental impact, and enhanced reliability in
148 meeting fluctuating water demand. The control and man-
149 agement of Water-Energy necessitates the development of
150 an experimental test approach and quasi-static models of
151 the overall system. Specifically, this paper focuses on iden-
152 tifying the operating window of the RO membrane, pres-
153 surization systems, and water storage reservoir for different
154 salinities and temperatures of Brackish water. This operating
155 window will determine the electrical limits when utilizing a
156 fluctuating and intermittent electrical source, which will be
157 shared among motor-pumps. A Water-Energy management
158 approach, developed in this work, will optimize, and maxi-
159 mize freshwater production based on instantaneous electrical
160 power provided by the hybrid renewable source.

161 Literature review: Technological Constraints 162 of RO Desalination Processes

163 Due to the high sensitivity of polyamide RO membranes,
164 membrane module manufacturers recommend operating at
165 a constant water flow and pressure to minimize membrane
166 damage and extend the lifespan of the process. However,
167 when a RES is used, the operation of the RO system is sub-
168 ject to variable and fluctuating hydro-mechanical quantities
169 due to the unpredictability and intermittency of solar and/
170 or wind energy. As a result, the RO process and associated
171 pressurizing subsystems can be operated within a range of
172 operating conditions, rather than a fixed operating point.
173 This concept, known as the operating window (SOW), was
174 first introduced by Feron [11], which defined the extreme
175 boundaries within which a membrane can be safely operated.

176 Several research studies have investigated the feasibil-
177 ity of implementing the SOW concept in RO desalination
178 systems coupled with RES, although the analysis of perfor-
179 mance data has been limited. For instance, Miranda et al.
180 [11] presented an analysis of a small-scale seawater reverse
181 osmosis (SWRO) desalination unit powered by a 2.2 kW
182 autonomous wind generator without batteries, aiming to
183 reduce investment and operating costs. In this study, an
184 operational window was defined to determine the allowable
185 fluctuations in operational parameters that the membrane
186 can safely withstand. The average freshwater production capac-
187 ity was approximately 8.5 m³/day, and the specific energy
188 consumption of the system was approximately 3.4 kWh/m³,
189 which appears to be relatively low compared to existing lit-
190 erature. Based on the power output of the wind turbine, a
191 control strategy was devised to operate the RO unit within
192 the defined operating window. Miranda et al. proposed two
193 additional options for powering a stand-alone RO unit in
194 this study, both of which did not involve storage or backup

195 technologies such as diesel generators, but rather relied on
196 RO unit ON/OFF switching.

197 Gilau et al. [12] examined the cost-effectiveness of a
198 stand-alone seawater reverse osmosis system powered by
199 photovoltaic energy or wind turbines with batteries, target-
200 ing underdeveloped countries. The HOMER software was
201 used to simulate hourly electricity output from the RES
202 micro-grid. The drinking water production of 35 m³/day
203 was estimated using the ROSA membrane manufacturer's
204 software. To account for intermittent power supply, an oper-
205 ational window was introduced, enabling the RO system to
206 operate under fluctuating power conditions. The simulation
207 results indicated that wind systems had the lowest Net Pre-
208 sent Cost (NPC) for a given location. Additionally, in the
209 case of PV-powered RO systems, the usage of batteries had
210 a significant impact on water production. The contributions
211 of booster pumps and energy recovery devices to increased
212 water production and reduced energy consumption per cubic
213 meter of water generated were found to be substantial. These
214 findings demonstrated the possibility of freshwater produc-
215 tion at a specific energy consumption of 2.33 kWh/m³ using
216 currently available technology.

217 Pohl et al. [13] presented a theoretical analysis of various
218 operational solutions for variable SWRO module operations.
219 Based on simulation results using the ROSA software, they
220 concluded that operating with a constant recovery rate rep-
221 resents the best compromise compared to operating with
222 constant feed water pressure, constant feed water flow,
223 or constant reject water flow. The recommended variable
224 operating methods can be implemented using the approach
225 described in this study.

226 Park et al. [14–16] investigated the potential of superca-
227 pacitors to expand the operating window of a wind-powered
228 RO membrane system. By conducting experiments using
229 a BWRO desalination system, they discovered that utiliz-
230 ing supercapacitors enhanced power reliability, leading to
231 higher average water flow and improved freshwater quality.
232 By buffering wind fluctuations with an oscillation time of 1
233 min, the average flux was increased by 85%, and the quality
234 of the permeate produced over a 10-min period improved
235 by 40% compared to a direct-drive system without energy
236 storage. The supercapacitors provided steady power to the
237 membrane system, resulting in enhanced power delivery and
238 improved system performance. The capacity of the superca-
239 pacitor bank determined the duration of power supply during
240 intermittent periods.

241 Shen et al. [17] and Richards et al. [18] conducted
242 experimental studies to determine the operating window
243 of a RES-powered BWRO desalination system. The focus
244 of their research was on a photovoltaic membrane system
245 with a direct connection, subject to fluctuating solar condi-
246 tions. Two designs of nanofiltration (NF)/RO membranes
247 with the same membrane area were extensively studied.

248 A battery less PV-powered membrane system with two
 249 module sizes was operated under energy fluctuations.
 250 The operating parameters (pressure, feed rate) and per-
 251 formance indicators (flow, permeate salinity) of the two
 252 modules were compared. The resulting SOW was defined
 253 as a pentagon constrained by a minimum set-point of back-
 254 pressure valve, maximum recovery rate, maximum pump
 255 power, maximum set-point of back-pressure valve, and
 256 minimum recovery rate. The study also demonstrated that
 257 the SOW depends on the feed water salinity and mem-
 258 brane type. Various operating strategies were investigated
 259 to maximize performance under variable electrical power,
 260 and the constant recovery rate strategy was found to pro-
 261 duce the highest flux with the lowest specific energy con-
 262 sumption, while maintaining good retention.

263 Ruiz-Garca et al. [19–21] studied the 14-year perfor-
 264 mance of a RO desalination plant operating under inter-
 265 mittent conditions. They proposed a simple on–off control
 266 method for a variable power-fed BWRO system with one
 267 or two stages, considering different configurations with six
 268 spirally wrapped membrane elements per pressure vessel.
 269 By simulating variations in feed water quality from a real
 270 groundwater well and analysing the reaction of different con-
 271 figurations, they aimed to select the most suitable one based
 272 on the available electrical power for the BWRO system.

273 Furthermore, the literature research revealed that in
 274 most cases, the SOW operating strategy limits the maxi-
 275 mum capacity of a RO desalination unit powered by a RES
 276 (with or without a battery). If the required power supply
 277 cannot be achieved, the installation will be turned OFF.

278 The corrected section of the paper sheds light on the
 279 operational strategies of reverse osmosis (RO) desalination
 280 systems powered by renewable energy sources. It highlights
 281 the importance of analysing the system's operating window
 282 (SOW) to optimize performance and preserve the integrity
 283 of sensitive RO membranes. The examined research stud-
 284 ies explore various approaches, such as harnessing wind
 285 or solar energy, integrating supercapacitors, and utilizing
 286 energy recovery, to adapt the system operation to power fluc-
 287 tuations. These approaches have demonstrated the potential
 288 to efficiently produce freshwater using renewable energy
 289 sources. The information presented in this section is valuable
 290 to researchers, engineers, and decision-makers, providing
 291 practical insights for guiding the design and operation of sus-
 292 tainable and energy-efficient desalination systems. It empha-
 293 sizes the significance of understanding the operating window
 294 to prevent membrane damage and extend the lifespan of the
 295 desalination process. Overall, this enhanced section of the
 296 scientific paper enhances our understanding of operational
 297 strategies for renewable energy-powered RO desalination
 298 systems, providing practical knowledge to advance sustain-
 299 able freshwater production technologies.

Experimental Prototype Overview: Design and Setup of the RO Desalination System

300 The experimental prototype of a standalone brackish water
 301 reverse osmosis (BWRO) desalination system (Fig. 2) was
 302 developed as a pilot project with a maximum production
 303 capacity of approximately 7.2 m³/d. This system was
 304 designed to be powered by a standalone renewable micro-
 305 grid and aimed at addressing freshwater production needs
 306 in remote communities. The experimental setup was con-
 307 structed at the L.S.E laboratory in Tunis, Tunisia (Fig. 1). **AQ3** 9

308 The standalone BWRO system consists of three main
 309 parts: 310

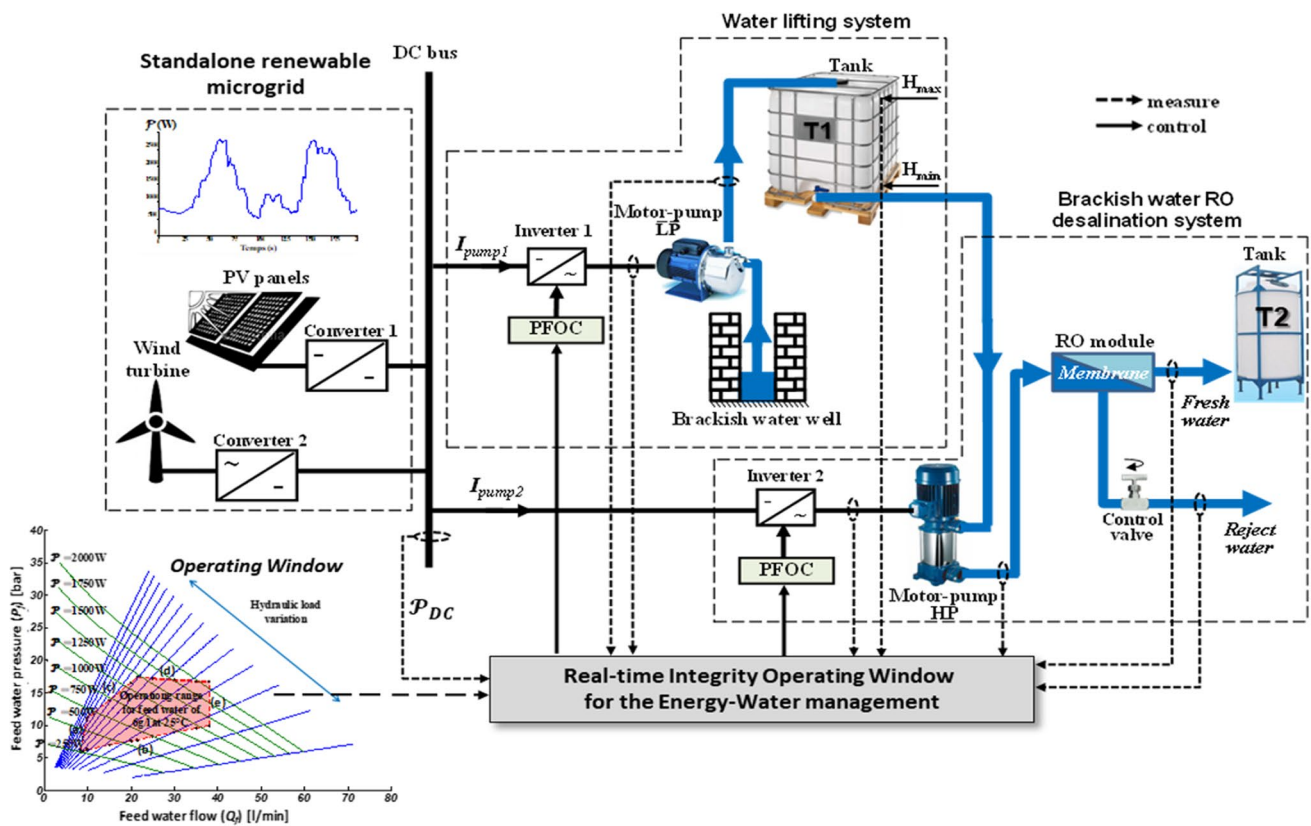
312 **Part 1:** The RES system incorporates a 2 kW solar
 313 generator and a 2 kW wind turbine, connected through
 314 a DC bus. The hybrid PV/wind system utilizes the
 315 Maximum Power Point Tracking (MPPT) technique to
 316 maximize power extraction. The generated power (P_{DC})
 317 is variable based on wind speed and solar irradiation
 318 conditions. In the absence of battery storage, the PFOC
 319 approach is employed in each motor-pump to maintain
 320 a steady bus voltage (V_{DC}). 320

321 **Part 2:** The WP subsystem consists of a single-stage
 322 centrifugal motor-pump (Motor-pump LP) responsible
 323 for pumping brackish water into the feed storage tank.
 324 The motor-pump LP is controlled by a three-phase
 325 induction motor with a PFOC control system. 325

326 **Part 3:** The pressurizing and filtration system com-
 327 prises a multi-stage centrifugal motor-pump (Motor-
 328 pump HP), a three-phase induction motor with PFOC
 329 control, a reverse osmosis (RO) water treatment mod-
 330 ule, and a hydraulic Control Valve (CV). The motor-
 331 pump HP is utilized to boost the pressure of the feed
 332 water. Freshwater permeates through the RO membrane
 333 and is collected through the permeate tube when the
 334 applied pressure exceeds the osmotic pressure, while
 335 reject water is drained away. 335

336 The hydraulic BWRO desalination system architecture
 337 (Fig. 2) depicts two separate hydraulic subsystems, which
 338 are decoupled by an elevated water storage tank (T1).
 339 Brackish water pumped from the well can be stored in tank
 340 T1 when renewable energy is available, either for later
 341 use or immediate freshwater production through the RO
 342 desalination process. In the absence of renewable energy,
 343 the water is stored in tank T2. 343

344 To ensure efficient control and management of the elec-
 345 trical power, the "dSPACE DS1104" fast prototyping con-
 346 troller board is employed. Various sensors are deployed
 347 to measure hydraulic and electrical variables, which are
 348 acquired through the board's analogue inputs [22–24]. 348



AQ4 AQ5 Fig. 1 BWRO desalination system configuration

349 The experimental prototype and its setup allow for thorough
 350 testing and investigation of freshwater production using the standalone renewable microgrid system. This
 351 research contributes to understanding the feasibility and performance of such systems for remote communities in
 352 need of sustainable and reliable freshwater sources.

355 The analogue output signal from the "dSPACE" board is routed through the VFD (Variable Frequency Drive) "San-
 356 terno—Sinus N" to control Pump-w, which is a "LOWARA CEA70/3" pump (Table 1). The VFD controls the power of
 357 Pump-w using an electric power regulation loop, leveraging the VFD's analog inputs and PI controller block. For
 358 Pump-HP, which is an "EBARA EVM2 22F/2.2" pump (refer to Table 1), PWM (Pulse Width Modulation) signals
 359 from the "SEMIKRON VSI" inverter are used to stabilize the DC bus voltage during battery removal.

365 To remove suspended particles from the supply water, a pre-treatment filter is utilized. The experimental pilot
 366 includes one RO membrane, specifically the "TORAY TM710" membrane (Table 2). The hydraulic Control Valve
 367 (CV) is set to achieve a maximum recovery rate ($Y=20\%$).
 368 With this configuration, the pilot unit can produce up to 300 L/h of freshwater with a salinity below 100 mg/L
 369 using a single filtering membrane.
 370
 371
 372

Under nominal operating conditions with a typical electrical power supply, the total power absorbed by the system is 3270 W. To facilitate the behavioural investigation of the experimental pilot and analyse practical data obtained, the hybrid renewable source can be replaced by a programmable DC power supply. This emulated source allows for the generation of variable power, reaching a maximum value of 4 kW (Table 3). This capability simplifies the analysis of the experimental pilot's behaviour and the examination of practical data.

The experimental pilot unit being investigated falls under the category of complex energy systems. The system's complexity arises from the interplay of multiple components and their functions. This heterogeneity gives rise to the coexistence of various physical phenomena and necessitates considering system constraints from multiple domains to ensure the safe control of the entire system.

Quasi-Static Modelling and Validation of the Hydro-Mechanical Process

The data collected from the experimental prototype will serve as the basis for developing energy models that are essential for determining the safe operating window. These

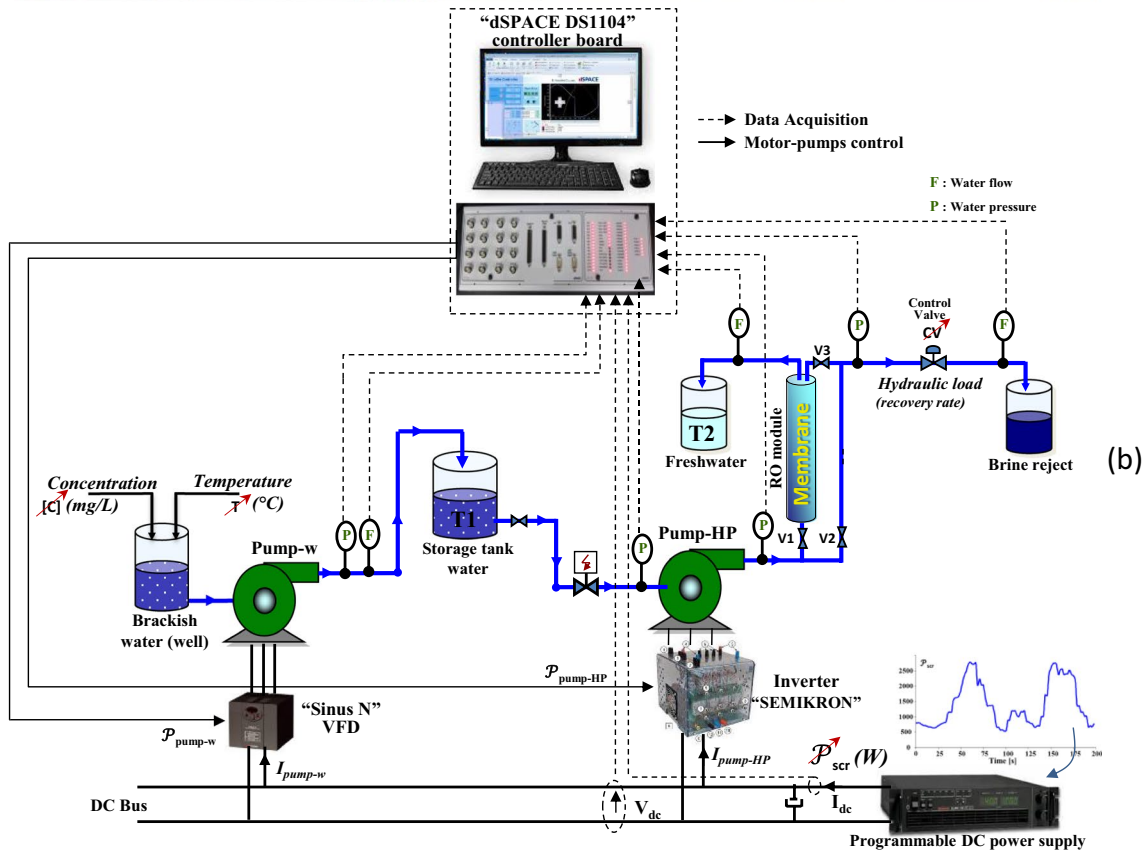
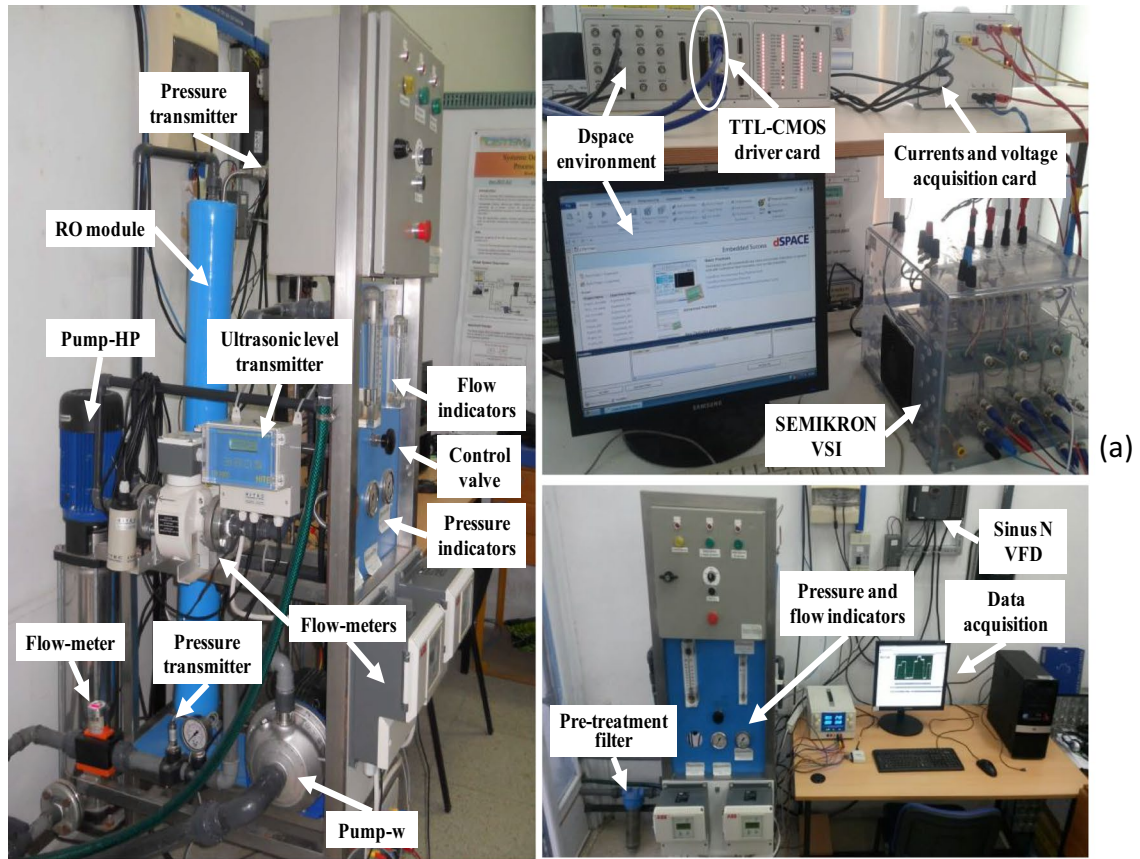


Fig. 2 Experimental BWRO desalination prototype (a) and schematic synoptic (b)

Table 1 Rated values of the motor-pumps

Motor pump-w	Motor pump-HP
LOWARA CEA70/3	EBARA EVM2 22F/2.2
370 W	2200 W
3 Phases, 50 Hz	
230 V—2.51 A	230V—8.71 A
2820 rpm	2860 rpm
30–80 l/min	20–60 l/min
1.3–2 bar	8.17–18.6 bar

Table 2 RO membrane parameters

Reference	TORAY TM710
Diameter—Area	4"—8 m ²
Minimum salt rejection	99.7%
Freshwater nominal flow	4.5 L/min
Maximum operating pressure	21 bar
Maximum recovery rate	20%
Maximum water temperature	45 °C
Brackish water pH range	2–11

Table 3 Programmable DC Power Supply Technical Information

Reference	Sorensen DLM 600–6.6A 4 kW
Output DC power range	0–3960W
Output DC voltage range	0–600 V
Output DC current range	0–6.6 A

394 models will enable effective global system control and
 395 Water-Energy management.

396 The quasi-static model, known as a "power flow
 397 model," is derived from the dynamic energy behaviour of
 398 the desalination chain, considering the electromechanical
 399 conversion systems involved. This model considers various
 400 physical domains, including motor-pumps, RO processes,
 401 and hydraulic stresses (Fig. 3) [25, 26].

To establish the parameters for each centrifugal motor-
 pump, practical tests were conducted, yielding diverse
 empirical data. The RO membrane model was developed
 using equations and principles that accurately represent the
 flow of materials through the membrane.

By incorporating these models into the analysis, the
 safe operating window of the RO desalination system can
 be precisely determined. This information will contrib-
 ute to efficient Water-Energy management and enable the
 implementation of reliable control strategies for the entire
 system. It is worth noting that further details regarding the
 equations, principles, and experimental procedures used to
 develop these models would provide a more comprehensive
 understanding of the research approach.

Centrifugal Motor-Pumps Modelling

The motor-pump modelling principle is to determine the
 water "flow" and "pressure" for a given electrical power.
 The static model of a centrifugal pump is represented by the
 following equations [27, 28]

$$P_p = (a \cdot \Omega + b \cdot Q) \cdot \Omega + c \cdot Q^2 \tag{1}$$

$$T_m = (a \cdot \Omega + b \cdot Q) \cdot Q + (f_{mp}) \cdot \Omega \tag{2}$$

To establish the relationship between electrical power,
 hydraulic power, and the load characteristic $P_p(Q)$, one must
 refer to the motor-pump equations. The equation below may
 deduce the following from Eq. 1:

$$a \cdot \Omega^2 + b \cdot Q \cdot \Omega + c \cdot Q^2 - P_p(Q) = 0 \tag{3}$$

Solving this equation is based on determining the rotation
 speed as a function of water flow:

$$\Omega(Q) = \frac{-b \cdot Q + \sqrt{(b \cdot Q)^2 - 4 \cdot a \cdot [c \cdot Q^2 - P_p(Q)]}}{2 \cdot a} \tag{4}$$

From Eq. 2 and Eq. 4, the motor torque can be determined
 based on water flow rate only: $T_m(Q)$.

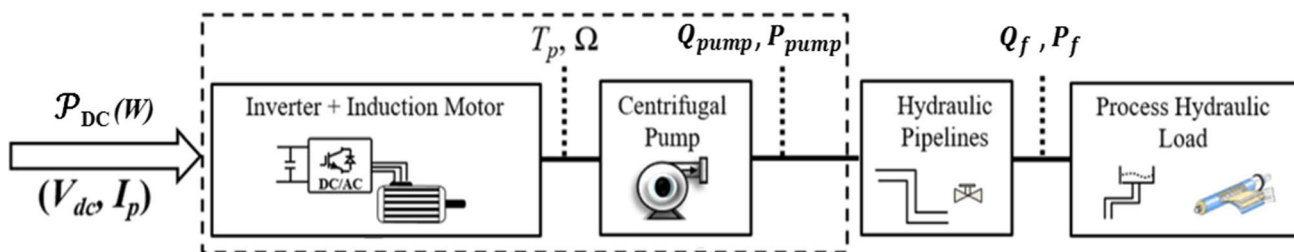


Fig. 3 Quasi-static power flow model of a single motor-pump hydro mechanical process

438 To validate the motor-pump models, experimental tests
 439 were conducted using a variable frequency drive (VFD) to
 440 control the motor-pump speeds. A comparison between the
 441 experimental data and the model results is shown in Fig. 4,
 442 confirming the accuracy of the models.

443 The motor-pump control is based on a Field-Oriented Control (FOC) method, which decouples the magnetic flux and torque control, making the induction motor control like a DC motor. This allows an equivalent analytical model of the DC motor to be used in conjunction with the centrifugal pump model.

449 The static model of a DC motor is represented by Eqs. (5) and (6), which describe the relationship between voltage, current, torque, and angular speed. The power of the motor-electrical pump is expressed as a function of angular speed and electromagnetic torque in Eq. (7):

$$454 V_m = R_m \cdot I_m + \phi_m \cdot \Omega \tag{5}$$

$$456 T_m = \phi_m \nu I_m \tag{6}$$

$$458 \mathcal{P}_M = V_m \cdot I_m = \left(R_m \cdot \frac{T_m}{\phi_m} + \phi_m \cdot \Omega \right) \cdot \frac{T_m}{\phi_m} \tag{7}$$

459
 460 If the torque and speed are replaced in Eq. 3 by their
 461 expression as a function of the flow rate, an equation of the
 462 electric power was finally obtained, and which depends on
 463 only one variable which is the water flow.

464 By replacing the torque and speed with their expressions
 465 as functions of the flow rate, Eq. (8) provides a direct relationship
 466 between the electrical power given and water flow rate of the motor-pump for a fixed hydraulic head. Figure 5
 467 depicts the water flow evolution for the motor-pump-w and
 468 the motor-pump-HP in relation to the electrical power, based
 469 on a combination of analytical modelling and experimental
 470 data obtained from the desalination prototype.

$$472 \mathcal{P}_M(\Omega(Q), T_m(Q)) = \mathcal{P}_M(Q) \tag{8}$$

473
 474 The quasi-static models of the motor-pumps demonstrate
 475 their coherence with water and energy aspects, highlighting the

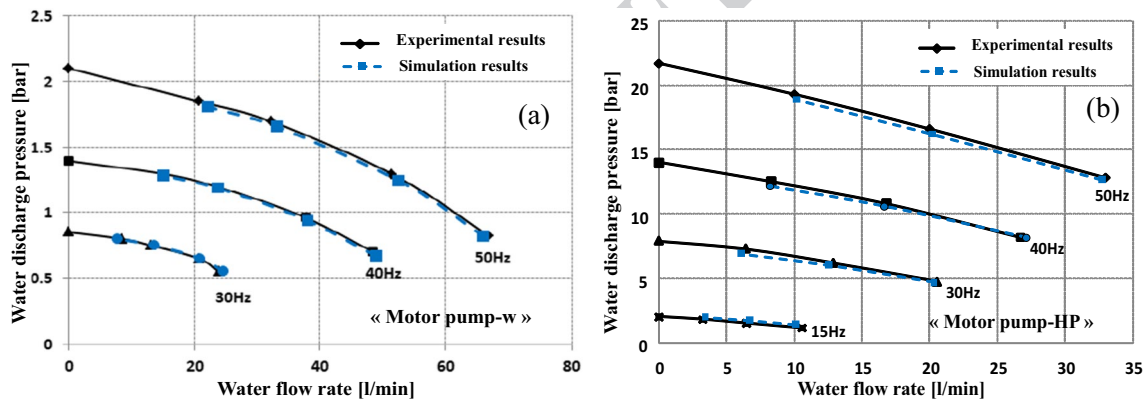


Fig. 4 Comparative Analysis of Centrifugal Motor-Pump Models and Experimental Findings

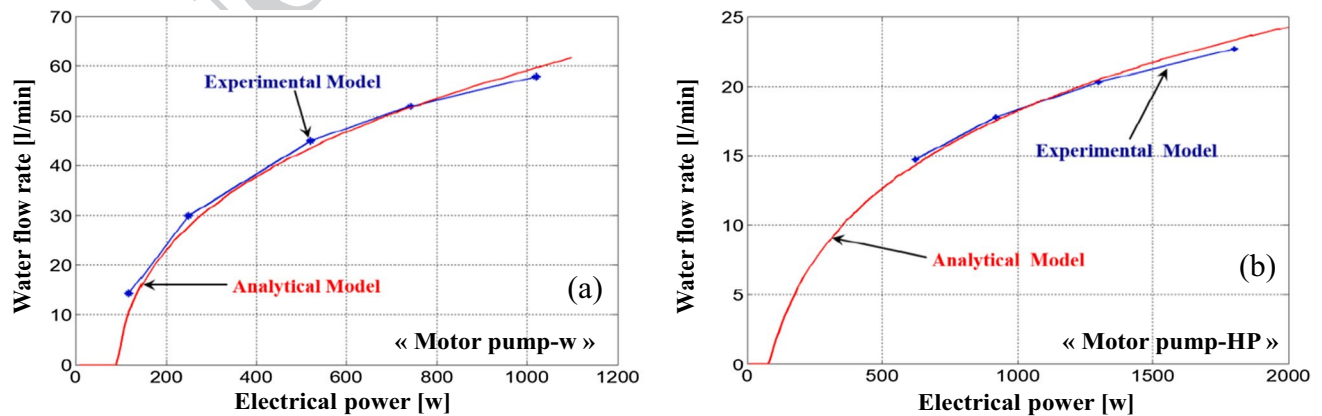


Fig. 5 Water flow evolution for the motor-pumps in relation to electrical power

476 importance of these models in defining the SOW and develop-
 477 ing effective Water-Energy management techniques.

478 **RO Membrane Modelling**

479 The RO membrane model was developed based on equations
 480 and laws modelling the material transfer through the mem-
 481 brane [27, 29–35].

482
$$Q_p = \frac{A_t \cdot S}{d} \cdot (\Delta P - \Delta \pi) \tag{9}$$

484
$$Q_r = \frac{B_t \cdot S}{d} \cdot \Delta C_2 \tag{10}$$

485 The following equations result from the mass and flow
 486 balance:

488
$$C_r = \frac{C_f \cdot Q_f - C_p \cdot Q_p}{Q_r} \tag{11}$$

490
$$Q_r = Q_f - Q_p = (1 - Y) \cdot Q_f \tag{12}$$

492 The water recovery rate:

493
$$Y = \frac{Q_p}{Q_f} \cdot 100 \tag{13}$$

494 Temperature influence on membrane properties is repre-
 495 sented below:

497
$$A_t = A_0 \cdot \frac{\mu_0}{\mu_t} \tag{14}$$

499
$$B_t = B_0 \cdot \frac{\mu_0 t_0}{\mu_t t} \tag{15}$$

Meticulously designed to facilitate comprehensive testing
 of the subsystem, this experimental prototype boasts ver-
 satility, enabling seamless repetition of tests and offering
 a robust physical model. Importantly, the model integrates
 established chemical and thermal parameters, providing a
 holistic perspective on the RO membrane's behaviour under
 various conditions. The prototype allows for the develop-
 ment of multiple tests for the reverse osmosis (RO) desali-
 nation subsystem by varying raw water salinity levels (2, 4,
 and 6 g/L) and adjusting the control CV (hydraulic load).
 This approach represents hydraulic quantity evolution and
 facilitates test repetition using a physical model with previ-
 ously developed chemical and thermal parameters.

The figure below illustrates the influence of feed pressure
 (P_f) on both freshwater flow (Q_p) and reject water flow (Q_r)
 for three different salinity levels, combining experimental
 data and numerical simulations conducted on MATLAB for
 a comprehensive representation of the subsystem's perfor-
 mance across various operating conditions.

The insights gleaned from Fig. 6 offer a comprehensive
 perspective on the evolving behaviour of the desalination
 unit, specifically focusing on the dynamics of freshwater and
 reject water flow rates (Q_p , Q_r) in response to varying sup-
 ply pressure (P_f). What becomes evident is the discernible
 augmentation of both Q_p and Q_r with increasing P_f . Notably,
 the influence of pressure on Q_p surpasses that on Q_r , provid-
 ing a nuanced understanding of the interplay between supply
 pressure and these crucial flow parameters. This observation
 substantiates a direct proportionality between the recovery
 rate (Y) and the supply pressure (P_f), a pivotal aspect in the
 validation of the unit's performance.

Furthermore, Fig. 7 provides a detailed analysis of the
 desalination process, elucidating the nuances of how vari-
 ations in feed pressure (P_f) impact the quality of produced
 freshwater (C_p), specifically in terms of salt concentra-
 tion. The results highlight the relationship between feed

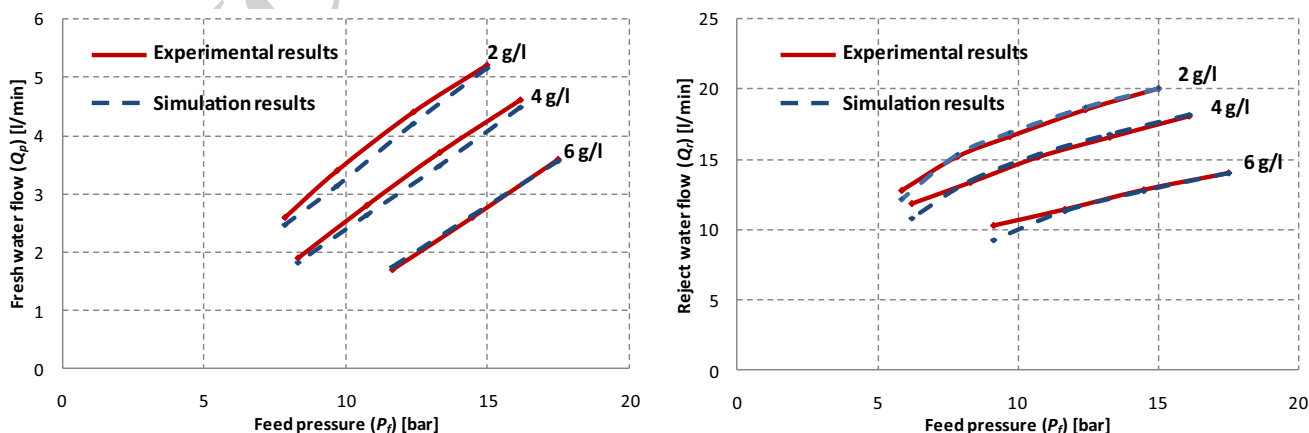


Fig. 6 Water flow rates as a function of feed pressure for different salinity levels

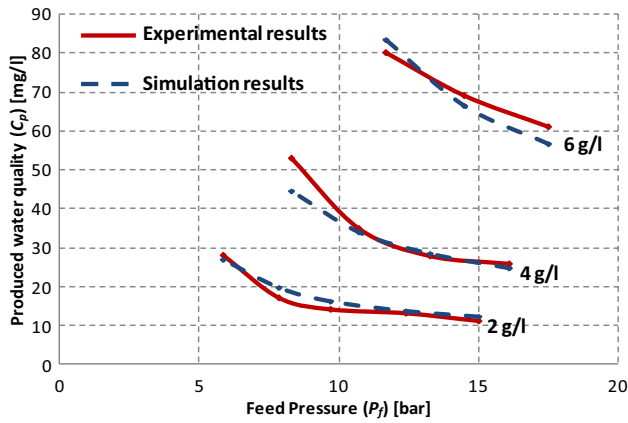


Fig. 7 Variation in produced water quality with feed water pressure

537 pressure and the desalination efficiency, demonstrating
 538 whether higher or lower pressures lead to variations in the
 539 salt concentration of the treated water. Further insights
 540 into these dynamics could contribute to optimizing the
 541 osmose inverse desalination unit for enhanced freshwater
 542 production efficiency.

543 The developed models exhibit excellent agreement
 544 with the practical testing carried out on the pilot desali-
 545 nation system, thus confirming, and affirming their reli-
 546 ability. This validation further enhances the confidence
 547 in the accuracy and effectiveness of the developed mod-
 548 els, establishing them as robust tools for analysing and
 549 predicting the performance of the desalination system.

550 In the context of ensuring the system's stability and
 551 optimal performance, determining the SOW becomes
 552 crucial. This involves understanding the electrical power
 553 range and its impact on various components, enabling
 554 effective control, management, and energy sharing across
 555 the system to maximize output based on available electri-
 556 cal power.

557 By defining the SOW, the system can be operated
 558 within safe limits, considering the intermittent and
 559 variable nature of the electrical source, while main-
 560 taining the integrity of the water production and treat-
 561 ment process.

Safe Operating Windows (SOW) Steps

562

563 This section aims to establish the SOW limits for the water
 564 production and treatment process, considering a variable and
 565 intermittent electrical source. The objective is to ensure that
 566 the system operates within safe limits without compromising
 567 its components. The range of electrical power is crucial in
 568 determining the control and management approach, as well
 569 as optimizing energy utilization across system components.

Technological Constraints Establishment and numerical Modelling

570

571

572 The technological constraints are essentially related to the
 573 centrifugal motor-pump and the RO membrane which are
 574 the main parts in the system.

575 The centrifugal motor-pump constraints are listed in
 576 Table 4:

577 The membrane manufacturer's software is the only one
 578 that can be used for the design and operation of RO systems
 579 (Table 5).

580 Based on the previously indicated technological restric-
 581 tions, a numeric model of the desalination process was cre-
 582 ated (Fig. 8). This numerical model may be used to simu-
 583 late the operation of the motor-pump-HP and the BWRO
 584 membrane while utilizing a PFOC control technique [27].
 585 Through this numeric model, comprehensive simulation
 586 tests can be conducted by manipulating all parameters of the
 587 motor-pump system in relation to the RO membrane. This
 588 capability allows for a thorough investigation of the sys-
 589 tem's performance under various conditions and facilitates
 590 the optimization of operational parameters for enhanced
 591 efficiency and productivity.

Simulation Results

592

593 The simulation results display regions indicated in green
 594 as permissible and those in red as inadmissible. Figure 9a
 595 depicts a SOW limit of 6 g/l feed water salinity at a 25°C
 596 temperature and a fixed CV position ($Y = 20\%$). The highest
 597 freshwater production is achieved with an electrical power
 598 of 1100W. However, exceeding this electrical power value

Table 4 Motor-pump constraints

Parameter	Typical value	Reason
Electrical power \mathcal{P}	max 2700W	Maximum power supported by the motor-pump
	min 130W	Minimum power required to pump water
ON/OFF period	max -	-
	min 5 min	Prevent motor-pump destruction
Efficiency (η)	max 100%	-
	min 20%	Minimum efficiency accepted

Table 5 RO Membrane constraints

Parameter	Typical value	Reason
Feed pressure (P_f)	max 21 bar	Maximum pressure supported by the membrane
	min 1.26 bar	Osmotic pressure depends on the water salinity (1.26 bar for 25°C, 1500 mg/l)
Pressure drop (P_d)	max 1.4 bar	Prevent membrane destruction per vessel
	min -	-
Feed flow (Q_f)	max 39 l/min	Maximum feed flow limit per vessel
	min 7.9 l/min	Ensure turbulent state to reduce polarization
Freshwater flow (Q_p)	max 4.5 l/min	Maximum freshwater flow limit per vessel
	min -	Limit the flow to minimize fouling
	-	-
Reject water flow (Q_r)	max 38.2 l/min	-
	min 7.9 l/min	Minimum reject water flow limit per vessel
	-	Ensure turbulent state to reduce polarization
Recovery (Y)	max 20%	Reduce the risk of precipitation of salts, reduce polarization, maintain sufficient pressure in the membrane per vessel
	min 5%	Maximum cost limit
Freshwater salinity (C_p)	max 0.5 to 1g/l	Ensure an acceptable salinity
	min -	-

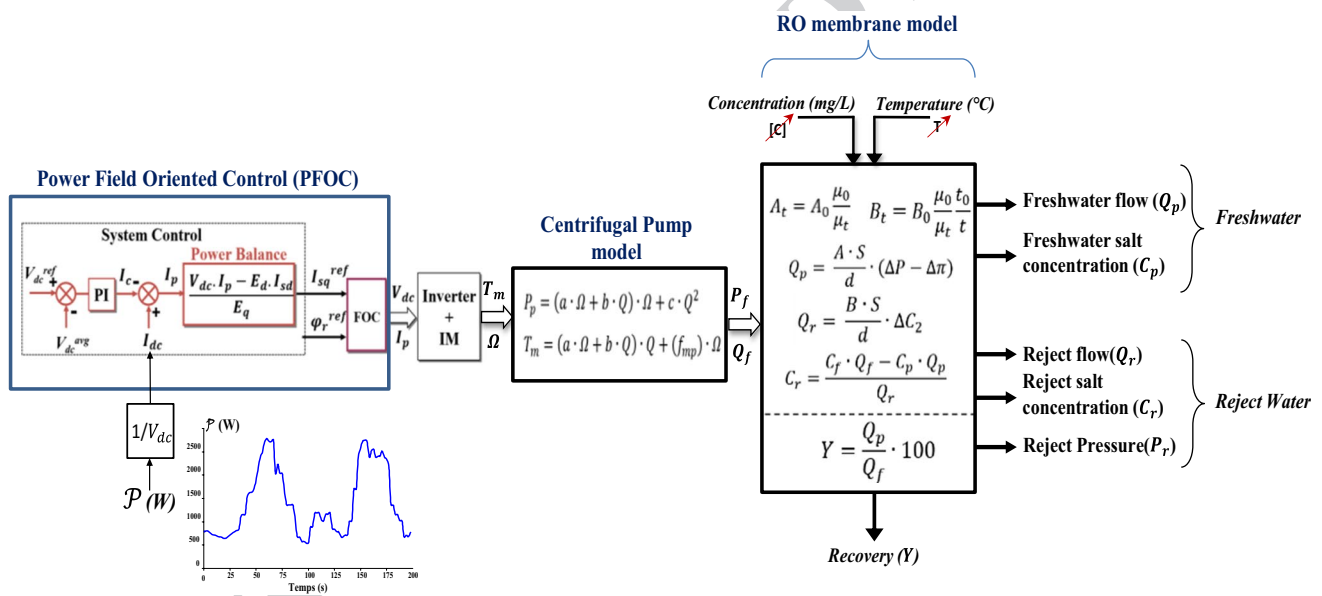


Fig. 8 Block diagram of the BWRO desalination model with PFOC control

599 can damage the membrane and surpass the manufacturer's
 600 maximum flow limit. Very low electrical power results in
 601 low recovery rates, making the desalinated water production
 602 less profitable.

603 By adjusting the CV while keeping the salinity and temper-
 604 ature constant, the recovery rate changes, and the load char-
 605 acteristic shifts to the right (lower $Y(\%)$ for the same electrical
 606 power) or left (higher $Y(\%)$ for the same electrical power).
 607 Figure 9b illustrates the SOW for multiple valve positions.

The SOW is limited by five curves: 608

- (a) $Q_{r_{min}} = 7.9$ l/min (limited by the manufacturer regard- 609
less of the temperature); 610
- (b) $Y_{min} = 5\%$ (set by the user); 611
- (c) $Y_{max} = 20\%$ (limited by the manufacturer regardless of 612
the temperature); 613
- (d) $Q_{p_{max}} = 4.3$ l/min (limited by the manufacturer regard- 614
less of the temperature); 615

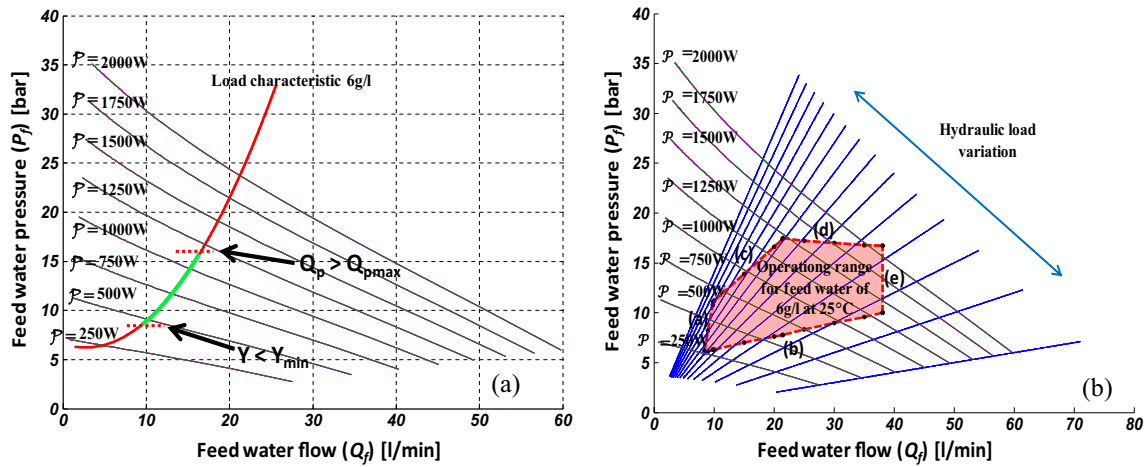


Fig. 9 SOW Limits determination

- 616 (e) $Q_{f_{max}} = 38.1$ l/min (limited by the manufacturer regard-
- 617 less of the temperature).
- 618 (f) The SOW is a pentagon; each side represents a const-
- 619 raint not to exceed.

620 In the figures above, the load and iso-power characteris-

621 tic curves are plotted. The operating point is the intersec-

622 tion of the load characteristic curve and iso-power curve.

623 The safe operating window (SOW) is depicted as a pen-

624 tagon, with each side representing a constraint. The SOW

625 defines the range of electrical power required for the sys-

626 tem's operation. For instance, in the scenario illustrated in

627 the figure, the maximum allowable motor-pump electrical

628 power is 1070 W. Any value above this exceeds the scope

629 and violates a constraint ($Q_p > Q_{pmax}$).

630 The next figures show the variation of the SOW accord-

631 ing to the feed water salinity and feed water temperature

632 (Fig. 10).

633 Elevating feedwater salinity corresponds to an augmented

634 osmotic pressure. Consequently, a commensurate increase

635 in feed pressure is imperative to initiate the RO phenom-

636 enon and facilitate freshwater production. The necessity for

637 heightened pressure values elucidates the upward shift in the

638 SOW, indicative of greater pressure requirements. Simulta-

639 neously, the SOW surface contracts with the elevation of

640 feedwater temperature. This contraction implies a reduction

641 in the fluctuation range of feed pressure from a hydraulic

642 perspective and a decrease in the variation range of electrical

643 power from an electrical standpoint. Such changes corre-

644 late with the observed rise in freshwater flow as temperature

645 increases, leading to a concomitant decrease in the pressure

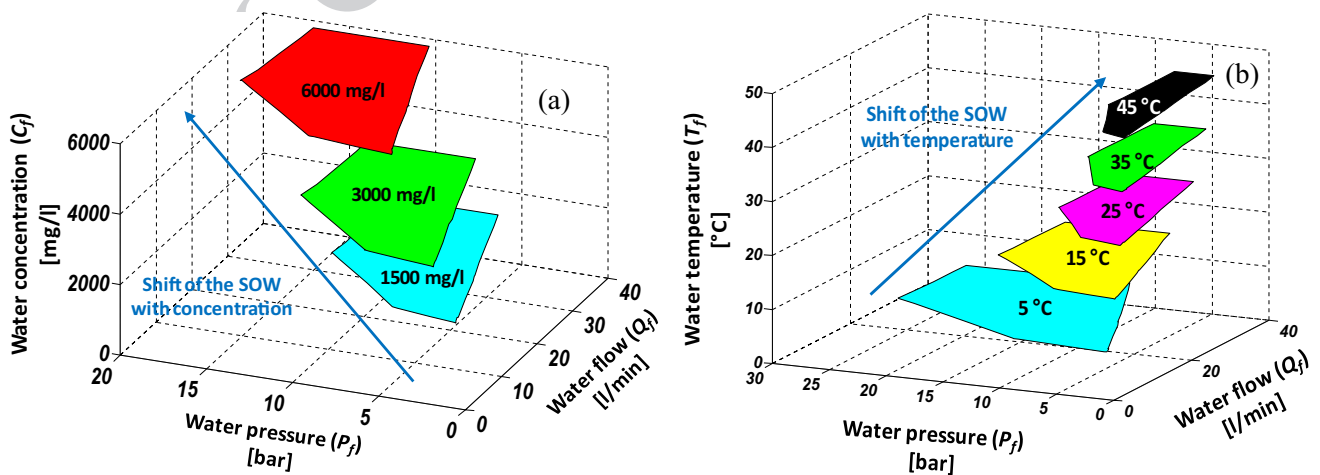


Fig. 10 Operating range variation according to feed water salinity (a) and Temperature (b)

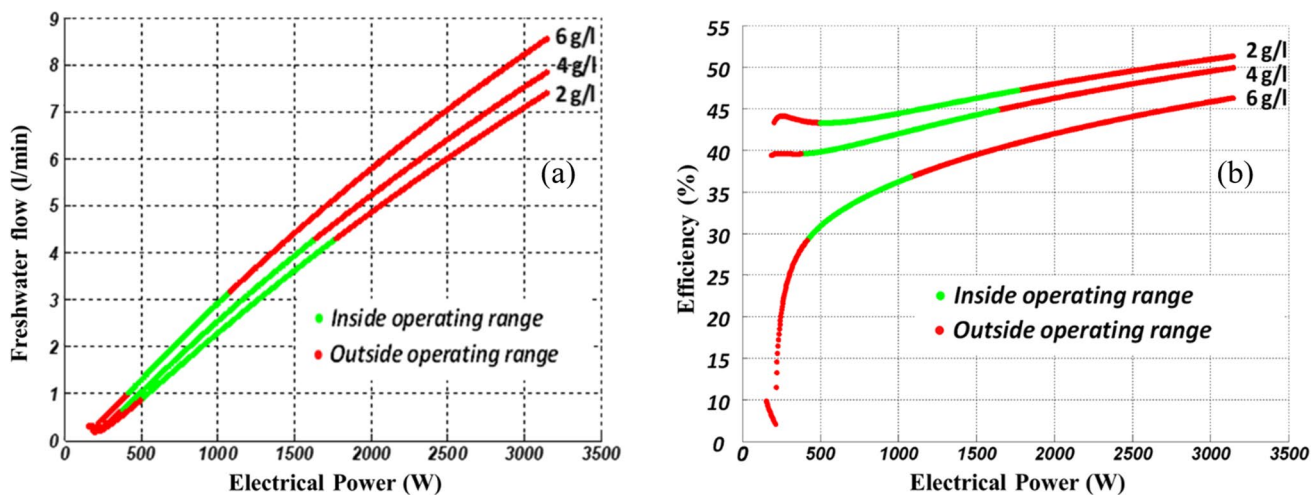


Fig. 11 Freshwater production (a) and Motor-pump efficiency (b) according to electrical power

646 required for achieving the maximum permissible freshwater
647 flow ($Q_{p_{max}}$).

648 Figure 11a depicts the evolution of freshwater production
649 as a function of electrical power, as well as the electrical
650 power range that allows work within the SOW (all con-
651 straints are respected). The electrical power range permitted
652 for feed water with a salinity of 6g/l is [420W, 1070W]. If
653 the goal was to maximize freshwater production, the process
654 must operate at the highest power level possible within this
655 range: which in this case is 1070W to create 3.15 l/min of
656 freshwater.

657 Figure 13-b represents motor-pump-HP efficiency as
658 a function of electrical power. To maximize motor-pump
659 efficiency or water output, the system must operate with
660 the highest value of power inside the SOW, which implies
661 1070W for 6g/l of feed water salinity with a motor-pump
662 efficiency of 37%.

663 Power Sharing and Water-Energy 664 management

665 Real-time Water-Energy management aims to maximise
666 the amount of water produced while minimizing the energy
667 consumption of the prototype desalination unit in accord-
668 ance with the instantaneous electrical power supplied by
669 the renewable hybrid microgrid under fluctuating climatic
670 conditions. According to a predetermined profile, a fluctu-
671 ating and intermittent electrical power supply drives this
672 experimental process.

673 The first step in defining an acceptable Water-Energy
674 management strategy is to analyse the BWRO desalination
675 process's operating conditions and restrictions, especially
676 given its energetic behaviour. The second

step is to establish the degrees of freedom provided by
the design to manage a comfortable system operation.
Finally, an optimized Water-Energy management strategy
contributes to the overall system's efficiency. This Water-
Energy management is mostly dependent on the choice of
electrical power sharing strategies between the two motor-
pumps.

The proposed management strategy is primarily focused
on a precise selection of the motor pump's control mode
in accordance with the electrical energy supplied by the
renewable source. Figure 12 shows a system management
strategy in which energy as well as material (here, water
amount) flows must be handled at the same time based on
climatic conditions. For each inverter, two control solu-
tions are proposed: Electrical power control and/or DC
link voltage control.

It is possible to specify the control modes based on the
electrical power available (P_{DC}), the hydro mechanical
properties of each motor pump inferred from the proto-
type's experimental findings, and the quantities of water
(fresh and brackish) stored in the two reservoirs.

The fundamental step in power management relies
primarily on an expert analysis system, leveraging the
comprehensive understanding of the experimental proto-
type from its design phase and various conducted tests.
This approach involves the allocation of electrical power
to the motor-pumps based on the real-time power avail-
ability from the renewable source, employing predefined
basic modes and limit intervals (Fig. 13). Therefore, each
motor-pump will be within its acceptable operating range
($P_{pump-min}$, $P_{pump-max}$) while integrating the previously
determined constraints and the SOW analysis.

Five separate modes provide a fundamental system
supervision strategy:

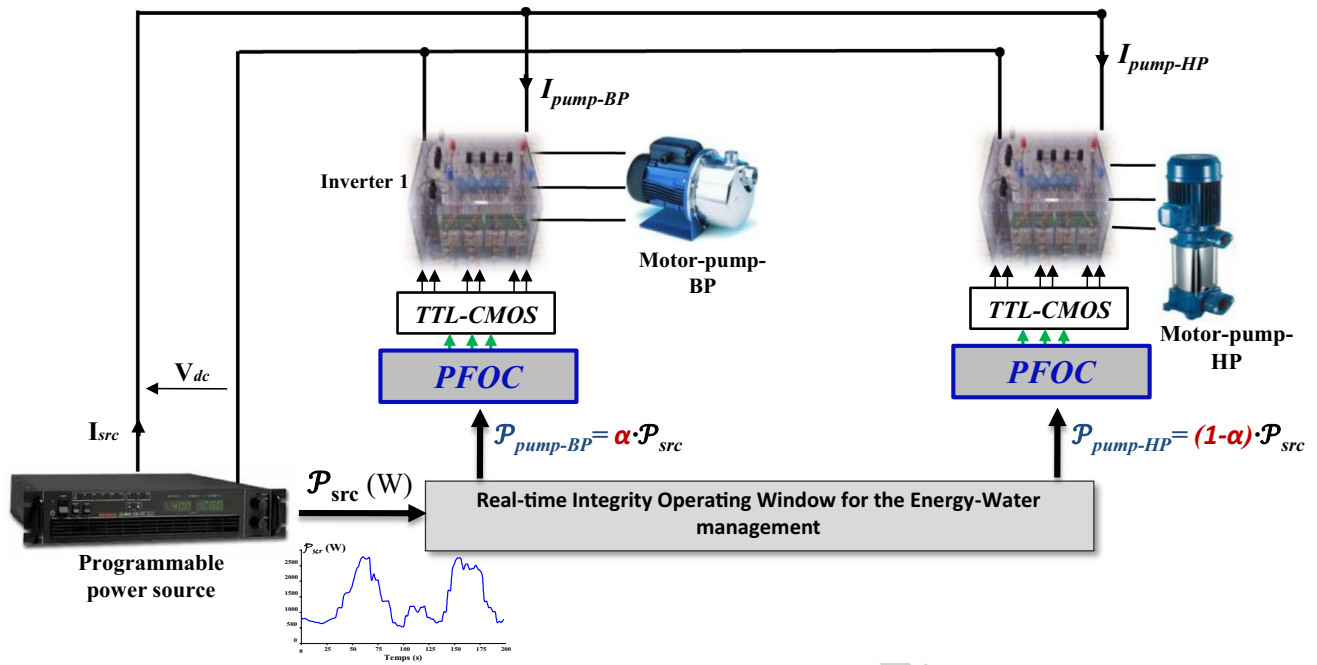
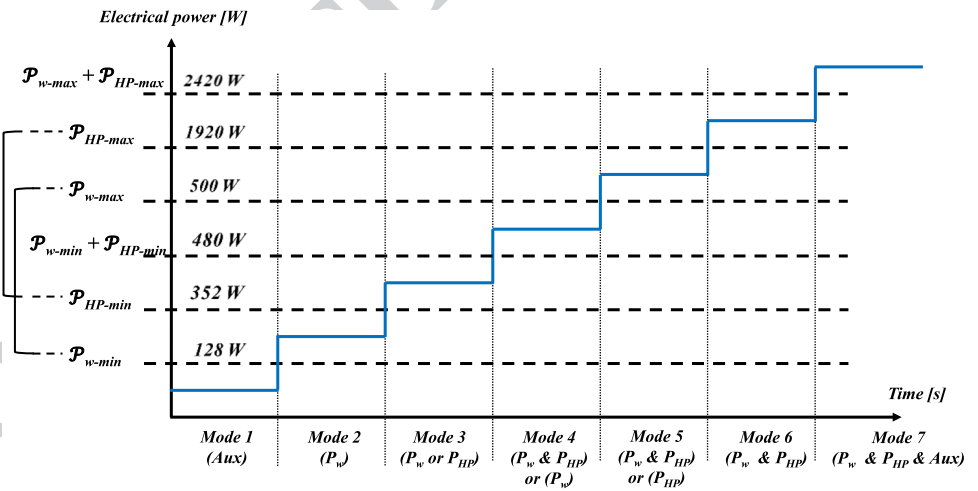


Fig. 12 Power management with PFOC control systems

Fig. 13 Basic EMS modes

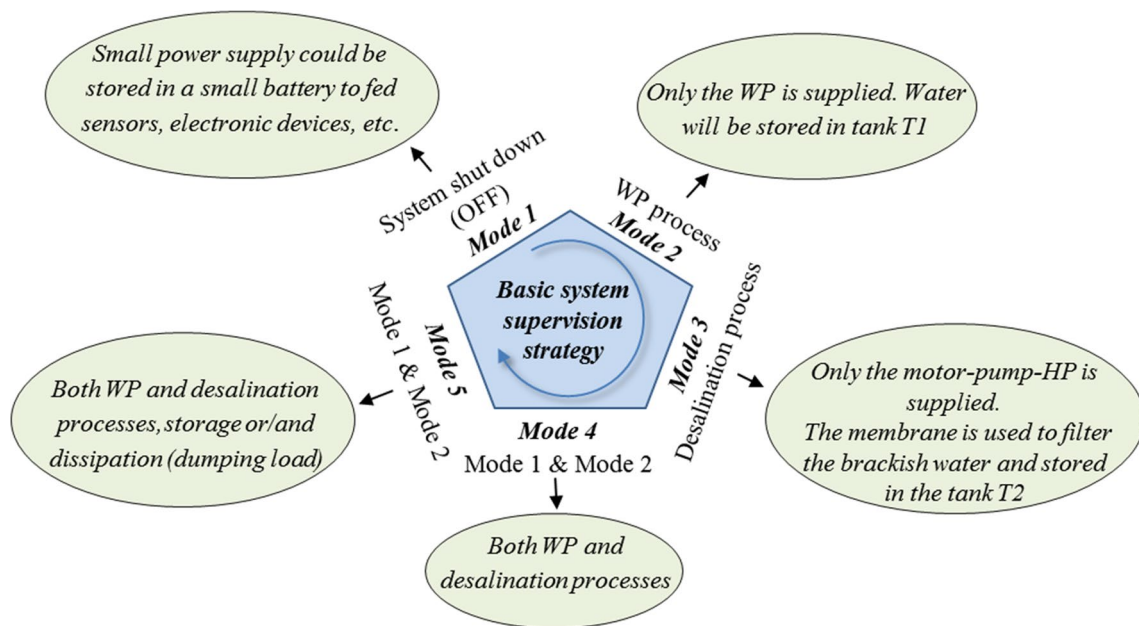


711 When the produced electrical power is sufficient to operate
 712 only one of the two motor-pumps, this approach allows
 713 for the utilization of modes 2 or 3 (corresponding to the
 714 WP and HP, respectively). In the absence of a battery, the
 715 DC link voltage is regulated by one of the voltage inverters,
 716 depending on the selected mode. This configuration intro-
 717 duces a higher degree of flexibility, enabling optimization
 718 of power sharing between the motor-pumps based on the
 719 efficiency of each power line.

720 In the fifth mode (PV, wind), the rated electric power of
 721 the two pumps exceeds the generated power. In such cases,
 722 the disparity in electrical power is balanced either by an
 723 auxiliary load or by downgrading the MPPT mode to ensure

724 that each motor-driven pump operates at the same nominal
 725 power.

726 To evaluate the anticipated Energy-Water control and
 727 management performance following changes in operat-
 728 ing modes, numerous tests were conducted on the BWRO
 729 desalination unit prototype, lasting over a duration of more
 730 than 200 s. The supervisor determines the management
 731 plan based on the operating modes. Figure 15a illustrates
 732 the power generated by the equivalent generator, while
 733 Fig. 15b depicts the DC bus voltage. The supervisor man-
 734 ager employs one of the three modes (modes 2, 3, and 4) to
 735 configure references and control modes for a specific real-
 736 time power profile.



AQ12 Fig. 14 Five basic modes for the Water-Energy management

AQ10 Figure 14c illustrates the feed water pressure (P_f), reject water pressure (P_r), and feed pressure operating limits. The feed water pressure (P_f), is always less than the operating limit of 21 bar. This is owing to the low salinity of the feed water (4.5 g/l). Osmotic pressure grows with salt, and feed water pressure must be adequate to offset osmotic pressure and enhance output freshwater flow. Figure 14d shows the fluctuation in pressure drop (P_d) as a function of motor-pump-HP electrical power. When the desalination motor-pump-HP to 1920 W, the pressure (P_d) reaches its maximum limit of 1.4 bar, which explains why this number was chosen for this experimental test. It may be determined that the greatest electrical power that can be supplied to the desalination process under these salinity, temperature, and recovery rate circumstances is restricted

AQ11 by the pressure drop (P_d) maximum value limitation (Fig. 15).

Figure 16a depicts the variation of water flow rates across the RO membrane. The feed water flow rate (Q_f) corresponds to the highest flow rate, while the produced freshwater flow rate (Q_p) corresponds to the lowest flow rate. The reject and freshwater flow rates are characterized by a turbulent flow due to the high hydraulic load caused by the linked pipes, which may cause disturbances in the measurements obtained from the flow meters.

The operating constraints depicted in the same figure show that the feed and reject water flow rates are far from the extreme limits for this test. However, the freshwater flow rate (Q_p) reaches its maximum limit of 4.5 l/min when the motor-pump-HP operates at 1920 W.

Based on these experimental tests, we can conclude that for this test case, the two constraints defining the maximum electrical power (\mathcal{P}_{HP-max}), allowed for the operation of the motor-pump HP are the pressure drop (P_d) and the freshwater flow rate (Q_p). A second experimental test was conducted to determine the lower limit of electrical power that can be applied to the desalination processes. Under these salinity, temperature, and recovery rate (Y) conditions, the lower limit of electrical power is 352 W, at which the reject water flow rate (Q_r) reaches the lower limit of 7.91 l/min.

Figure 16b illustrates the variation of the recovery rate (Y) with respect to the provided electrical power. The recovery rate defines the efficiency of freshwater production in the RO desalination process. The adjustment of the control valve (CV) position enables the modulation of this rate. In this experimental trial, the position of the regulating valve is held constant, resulting in a consistent recovery rate during conventional operations. However, due to the variable nature of electrical power from renewable sources, the water flow rates will fluctuate, leading to a variable recovery rate even when the valve position remains fixed.

As can be shown, freshwater production is greatly influenced by the energy behaviour of the HP-pump. This study demonstrates that a RO desalination process can operate without the need for electrical storage, even in the presence of fluctuating electrical supply, as long as it stays within its technological limits. Unlike conventional systems, such as grid-connected setups or those with battery storage, this research provides compelling evidence that the desalination process can effectively harness variable electrical supply by optimizing and leveraging its inherent technological capabilities.

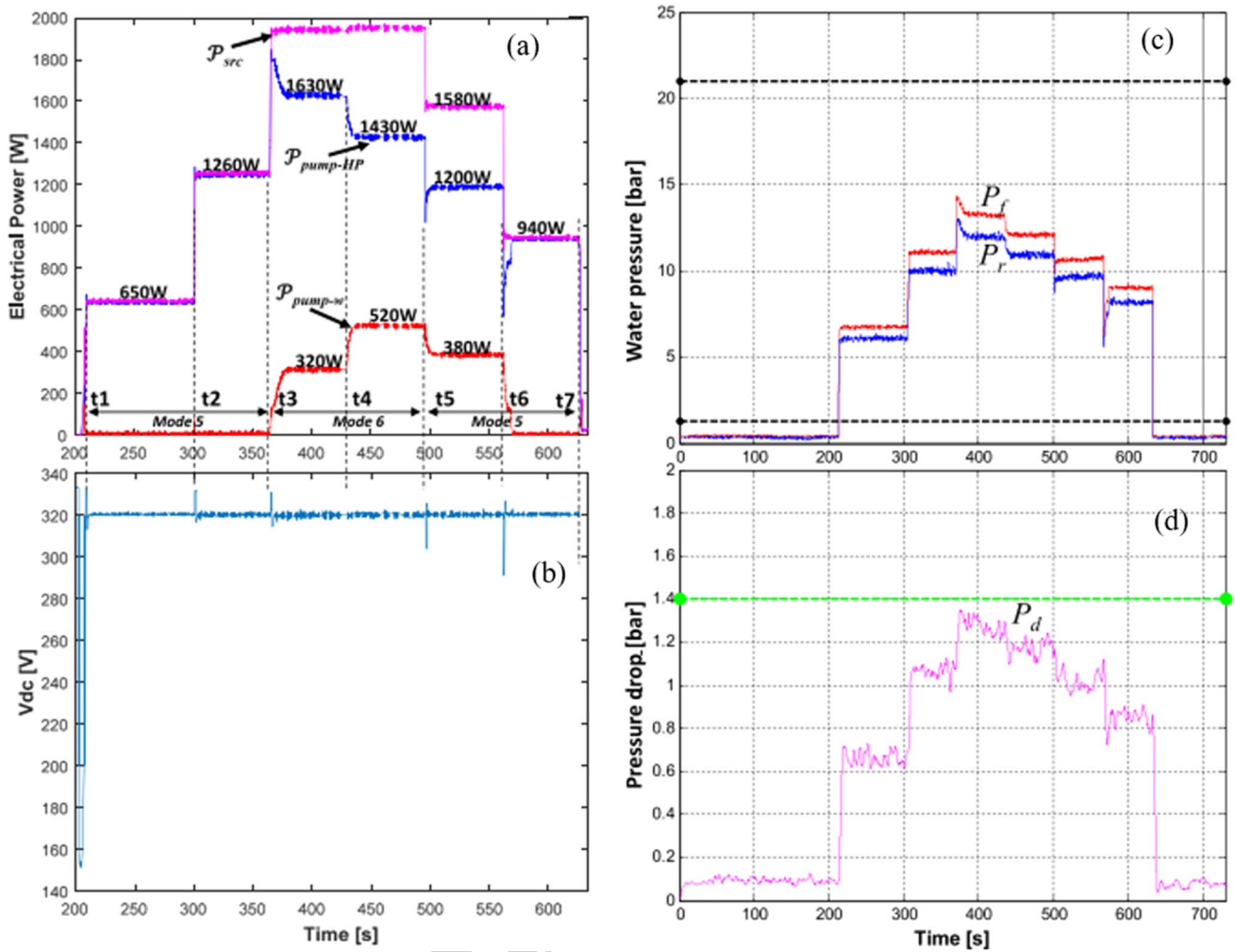


Fig. 15 Practical verification results for a variable power profile

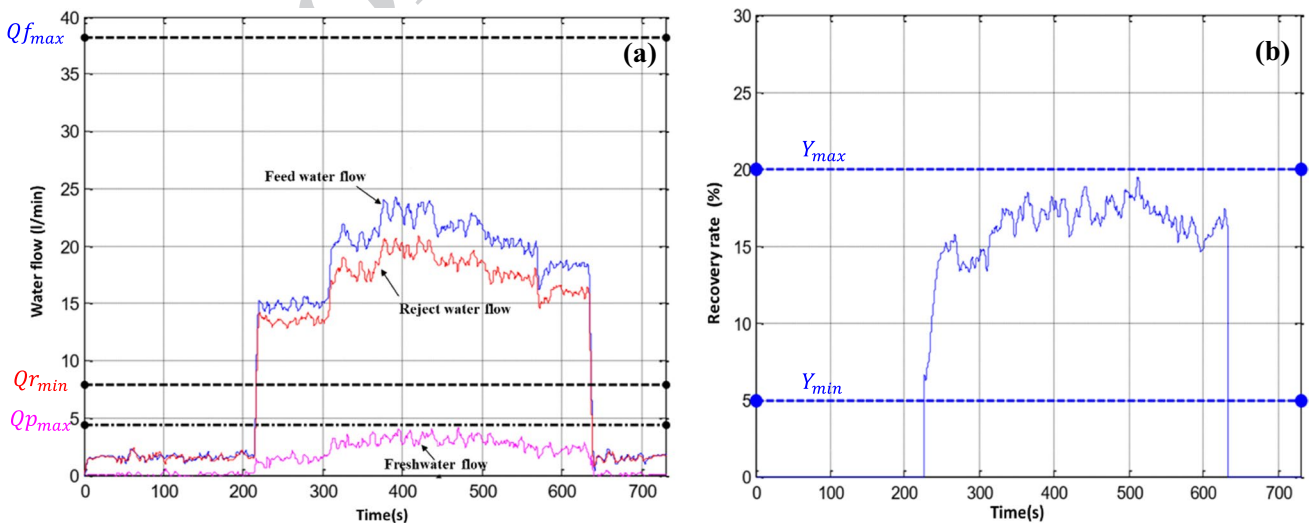


Fig. 16 Variation of water flow (a) and recovery (b) rates across the RO membrane

797 **Conclusions**

798 During this study, a static model of a Brackish Water
799 Reverse Osmosis (BWRO) desalination unit was developed
800 and experimentally validated, taking into consideration the
801 technological constraints of the process. This model facili-
802 tates the investigation of the influence of electrical power
803 variations on the performance of motor-pumps and the RO
804 membrane in BWRO desalination. The establishment of a
805 Safe Operating Window (SOW) and definition of an electri-
806 cal power operating range ensure the reliable operation of
807 the desalination unit, even when confronted with fluctuating
808 electrical power, simplifying the control process.

809 Adhering to the specified operating range ensures the safe
810 and efficient functioning of the system, even in the presence
811 of fluctuations in hydraulic quantities at the RO membrane
812 inlet. However, it is worth noting that the limits of the oper-
813 ating range should be adjusted considering factors such as
814 brackish water salinity and temperature, as demonstrated in
815 previous studies.

816 The implementation of autonomous RO water desalina-
817 tion systems powered by Renewable Energy Sources (RESs)
818 in remote areas presents a significant challenge that war-
819 rants attention to ensure a sustainable freshwater supply. The
820 water-energy nexus plays a pivotal role in this context, and
821 the integrated approach proposed in this research, combined
822 with a microgrid system, presents a viable solution to opti-
823 mize the synergy between water and energy.

824 Furthermore, the developed model and energy manage-
825 ment strategy contribute to the sustainable development of
826 the ecosystem by maximizing freshwater production and
827 minimizing energy consumption. This optimization pro-
828 cess enhances economic viability by efficiently harnessing
829 available resources and reducing reliance on external energy
830 sources. This approach aligns with the principles of sustain-
831 able development, promoting a harmonious balance between
832 economic, social, and environmental aspects.

833 **Acknowledgements** The authors would like to thank the Tunisian
834 Ministry of Higher Education and Scientific Research, the UTIQUE-
835 CMCU 12G1103 project and ERANETMED (H2020) European col-
836 laboration project "Energy and Water Systems Integration and Manage-
837 ment" ID number 044, Energy-Water Nexus for supporting this work.

838 **Data Availability** The datasets generated and/or analysed during the
839 current study are not publicly available due to the use of these data in
840 a European project: ERANETMED (H2020) European collaboration
841 project "Energy and Water Systems Integration and Management" ID
842 number 044, Energy-Water Nexus. However, they are available from
843 the corresponding author upon reasonable request.

844 **Declarations**

845 **Conflict of Interest** On behalf of all authors, the corresponding author
846 states that there is no conflict of interest.

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