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# Large Orbital Moment and Dynamical Jahn-Teller Effect of AlCl-Phthalocyanine on Cu(100)

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~~Sub-monolayer~~Submonolayer amounts of chloroaluminum-phthalocyanine on Cu(100) were studied with scanning tunneling spectroscopy. The molecule can be prepared in a fourfold symmetric state whose conductance spectrum exhibits a zero-bias feature similar to a Kondo resonance. In magnetic fields, however, this resonance splits far more than expected from the spin of a single electron. Density functional theory calculations reveal a charge transfer of 1.3 electrons to the degenerate lowest unoccupied molecular orbitals. These orbitals are mixed by the orbital momentum operator  $\hat{L}_z$  with a large matrix element corresponding to  $m_L \approx 2.7$ . Dehydrogenation of a ligand lifts the ~~LUMO~~lowest unoccupied molecular orbital degeneracy, reduces the splitting in magnetic fields, and induces a polarity dependence of the spectra. Using model calculations of the spin, orbital, and vibrational degrees of freedom we show that a dynamical Jahn-Teller effect reproduces the main experimental observations.

Applications of magnetic molecules as building blocks in data storage and quantum information technology could benefit from the reliability and purity of chemical syntheses and the high degree of order that may be achieved by molecular ~~self-assembly~~self-assembly [1–3]. Molecular constituents can in principle be designed to control the size of a local magnetic moment, the magnetic anisotropy, and the coupling to substrate electrons [4, 5]. Transition metal ions are usually employed to obtain a magnetic moment and multiple ions are combined in correspondingly complex molecules to achieve large moments [6, 7]. Although the orbital magnetic moment is crucial for reaching

large spin anisotropy via spin-orbit interaction [8, 9], angular momentum is usually not considered in molecular systems because it is not a good quantum number when rotational invariance is lacking. Here, we present results for the diamagnetic fourfold symmetric molecule chloroaluminum-phthalocyanine (ClAlPc) [Fig. 1(a)] that surprisingly acquires a large orbital magnetic moment on Cu(100) by charge transfer. The molecule has two degenerate lowest unoccupied molecular orbitals (LUMOs) that are partially occupied. We show that these orbitals combine to left and right circulating states with large angular momentum.

FIG. 1: (a) Scheme of ClAlPc and (b) its adsorption geometries Cl-up (top) and Cl-dn (bottom) on Cu(100). (c) STM topograph of deposited ClAlPc. (d) Same area after converting three Cl-dn molecules to new state R. (e) and (f) R molecules transformed further to states  $D_1$  and  $D_2$  by voltage pulses. Imaging parameters:  $I = 100$  pA;  $V = 0.5$  V in (c), 0.1 V in (d-f). The false color scheme used is displayed in (d) and covers height ranges of 210 and 230 pm in (e) and (f), respectively.

Experiments were performed with a low temperature scanning tunneling microscope (STM, UNISOKU USM-1300) at sample temperatures of 0.34 and 4 K and in magnetic fields of up to 9 T perpendicular to the sample surface. Cu(100) single crystals were prepared by  $\text{Ar}^+$  sputtering and annealing. ClAlPc molecules were deposited from a Knudsen cell onto the Cu surface held at room temperature. STM tips were electrochemically etched from W wire and sputtered in ultrahigh vacuum. For spectroscopy of the differential conductance  $dI$  and  $dV$ , current feedback was disabled at 50 mV and 500 pA and a sinusoidal modulation of 0.5 mV<sub>pp</sub> with 831 Hz was added to the sample voltage  $V$ .

Similar to previous studies of ClAlPc [10–15], STM topographs reveal two distinct fourfold symmetric patterns of the molecules on Cu(100) [Fig. 1(c)] that we attribute to adsorption geometries with the Cl ion either pointing away from (Cl-up) or toward (Cl-dn) the substrate [Fig. 1(b)]. Cl-dn molecules can be converted to a new state R by placing the tip above the molecule and raising  $V$  above 2.5 V [Fig. 1(d)] until the tunneling current suddenly drops. R appears as an approximately ring-shaped protrusion while preserving  $C_4$  symmetry. Similar to other phthalocyanines [16, 17], the STM patterns of ClAlPc are chiral with its isoindole lobes oriented at  $\approx \pm 27^\circ$  relative to a substrate  $\langle 110 \rangle$  direction. As shown in the Supplemental Material [18] conversion was reversible at elevated temperatures ( $T = 18$  K), demonstrating that R and Cl-dn are identical molecules.

Further voltage pulses applied above the molecular lobes reduce the symmetry to approximately  $C_2$  [Figs. 1(e)-(f)]

presumably via dehydrogenation as reported in Refs. ~~and~~ [23] and [24]. A dumbbell pattern is observed at small positive  $V$  while the patterns at low negative  $V$  are similar to R. We denote these molecules  $D_1$  ( $D_2$ ) after one (two) dehydrogenation processes of a single lobe.

~~Fig.~~ Figure 2(a) shows  $dI$  and  $dV$  spectra of R molecules. A sharp resonance is resolved around zero bias along with satellite peaks at  ~~$V \approx \pm 10$~~   $V \approx \pm 10$  mV, similar to those in Ref. [25]. The peaks are strongest above the ring-shaped protrusion and weaker above the molecular center. The spectra of dehydrogenated molecules [ $D_1$ ,  $D_2$ , Figs. 2(b) and (c)] are qualitatively similar but the amplitude of the zero-bias peak increases from R over  $D_1$  to  $D_2$ .

FIG. 2:  ~~$dI/dV$~~   $dI$  and  $dV$  spectra of states (a) R, (b)  $D_1$ , and (c)  $D_2$  measured in magnetic fields at  $T = 4$  K. Arrows indicate reproducible satellite peaks. Insets show topographs with the positions used for spectroscopy marked by dots. Parameters used before opening the feedback loop:  $V = 50$  mV,  $I = 500$  pA. The spectra in a and b (c) were shifted ~~upwards~~ upward in increments of 2 (3.5) nS for clarity, with no shift applied to the 9 T data. (d) Splitting of the zero-bias peak vs. magnetic field for R (red dots) and  $D_1$  (blue circles). Lines show the splitting expected for magnetic moments of 1, 2, and 3  $\mu_B$ .

~~DFT~~ Density-functional theory calculations reproduce the distinct states R and Cl-dn. Depending on the starting geometry used, the structure optimization converged to states with different geometries and magnetic moments. One of them is ~~non-magnetic~~ nonmagnetic with the isoindole lobes oriented at  $\approx \pm 26^\circ$  relative to a substrate  $\langle 110 \rangle$  direction. We assign it to Cl-dn. The second metastable geometry has a slightly different orientation ( $\approx \pm 24^\circ$ ), is paramagnetic, and the vertical atom positions differ from those of Cl-dn by up to 15 pm. In this state, the LUMO is occupied by 1.3 electrons and it is spin-polarized. This is consistent with the experimental state R. Calculations for intermediate geometries (Supplemental Material [18]) revealed an energy barrier of  $\approx 20$  meV between the metastable states. Adsorption induced magnetic bistability was previously reported from hexaazatriphenylenehexacarbonitrile on Ag(111) [26].

Next we apply magnetic fields  $B$  perpendicular to the surface to reveal a magnetic origin of the zero-bias resonance. For R, the field splits the peak and reduces its amplitude [Fig. 2(a)]. The splitting is smaller for  $D_1$  [Fig. 2(b)] and not discernible for  $D_2$  [Fig. 2(c)], where an amplitude reduction and some broadening occur. Additional 340 mK data are available for 0 and 9 T (Supplemental Material [18]) and exhibit sharper lines with identical splitting. Figure 2(d) shows the peak positions. Assuming a linear Zeeman splitting, magnetic moments of 2.5 and 1.8  $\mu_B$  are extracted for R and  $D_1$ , respectively. These values are surprisingly large: the largest possible spin moment of 2  $\mu_B$  ( $S = 1$ )

requires a charge transfer of two electrons with parallel spins to the originally diamagnetic molecule [27].

FIG. 3: ~~Pseudo-illuminated~~ Pseudoilluminated surfaces of constant electron density of the ClAlPc LUMOs (a)  $\Psi_x$  and (b)  $\Psi_y$  from ~~DFT~~ density-functional theory calculations. Colors show the phase of the wave functions. The Cl atom is located behind the molecular plane. (c) A superposition state  $(\Psi_x + i\Psi_y)/\sqrt{2}$  with an orbital moment of  $2.7 \mu_B$ . (d) Orbital Zeeman splitting of two states  $\Psi_x, \Psi_y$  with matrix element  ~~$\langle \Psi_x | L_z | \Psi_y \rangle = 2.7 \hbar$~~   $\langle \Psi_x | L_z | \Psi_y \rangle = 2.7 \hbar$ . The black curve corresponds to orbital degeneracy ( $\varepsilon_x = \varepsilon_y$ ). Red dashed and blue dash-dotted lines show results for static LUMO splittings  $|\varepsilon_x - \varepsilon_y|$  of 1 and 3 meV, respectively. Splitting is expected to occur when the symmetry of the molecule is lowered by dehydrogenation of one of the isoindole subunits.

Since spin is an unlikely source of the large magnetic moment, we now consider angular momentum. The calculated degenerate LUMOs  $\Psi_x$  and  $\Psi_y$  are  $C_2$ -symmetric [Figs. 3(a),(b)] and have zero  $L_z$ -expectation value. However, left and right circulating superposition states  $\Psi_{\pm} = (\Psi_x \pm i\Psi_y)/\sqrt{2}$  [Fig. 3(c)] exhibit an astonishingly large  $m_L = |\langle \Psi_{\pm} | L_z | \Psi_{\pm} \rangle|/\hbar = 2.7$ .

Although a  $180^\circ$  rotation inverts the sign of both LUMOs similar to atomic  ~~$p$ -orbitals~~ orbitals with  $L = 1$ , the phase along the inner macrocycle undergoes ~~5~~ five oscillations per revolution, which would imply  $L = 5$  in the case of an electron on a ring. However, the ~~Pe-macrocycle~~ Pc macrocycle is not perfectly circular and in addition the LUMO density extends beyond the ~~macrocycle~~ macrocycle. Together, these factors reduce the orbital moment to  $m_L = 2.7$ . This mutual interlocking of degenerate wave functions is also the source of molecular ~~ring currents in other~~ macro-cyclic ring currents in other macrocyclic molecules [28–30]. Below we show that this is the main reason for the large observed magnetic moment.

Open-shell molecules with degenerate ground states are prone to Jahn-Teller (JT) instability, which lowers the total energy by a distortion, lifts orbital degeneracy [31], and may quench the orbital moment. The quenching depends on the energy  $m_L \mu_B B$  compared to the zero-field splitting of the LUMOs,  $\Delta\varepsilon = |\varepsilon_x - \varepsilon_y|$ . As an example, Fig. 3(d) shows the Zeeman splitting of two states at  $\varepsilon_x$  and  $\varepsilon_y = \varepsilon_x + \Delta\varepsilon$  that are mixed via  $\pm i m_L \mu_B B$  using  $m_L = 2.7$ . For  $B \leq 9$  T, the splitting reduces the slope  ~~$dE/dB$~~   $dE/dB$  significantly below  $m_L \mu_B$ , the value found for  $\varepsilon_x = \varepsilon_y$ . However, the present system is more complex. The molecule and the substrate are  $C_4$  symmetric and consequently a second JT-distorted state, whose displacement pattern is rotated by  $90^\circ$ , has the same total energy and inverted splitting,  $\varepsilon_y = \varepsilon_x - \Delta\varepsilon$ . Transitions between these two ground states, coupled with dynamic fluctuations in the the

JT distortion and orbital occupation resulting in the emergence of the Kondo effect, are expected to give rise to a zero-bias resonance in  ~~$dI/dV$~~   $dI$  and  $dV$ , as has been experimentally observed.

FIG. 4: Spin-averaged conductance spectra calculated for  $T = 4$  K assuming one relevant ~~JT mode~~ JT mode at  $\hbar\omega = 8$  meV and  ~~$d = 0.5D$~~   $d = 0.5D$ , where  $D$  is the characteristic length of the harmonic oscillator potential (see Fig. S11 in the Supplemental Material). (a)  $C_4$  symmetric case for  $B = 0$  (upper curve, vertically offset by 1 a.u.) and 9 T (lower curve). (b)  $C_2$  symmetric case using an energy splitting between  $\Psi_x$  and  $\Psi_y$  of 0.4 meV.  $\Psi_x$  (blue) and  $\Psi_y$  (red) exhibit different spectra at  $V > 0$  but match at negative bias.

To test the impact of such a dynamical JT effect on the orbital moment, we simulated experimental  $dI$  and  $dV$  spectra by extending the spin model of Ref. [32] to include orbital and vibrational degrees of freedom. The electron subsystem is modeled by two LUMOs  $\Psi_x$  and  $\Psi_y$ , which each may be occupied by electrons of arbitrary spin ( $\sigma = \uparrow, \downarrow$ ) and a Coulomb repulsion  $U$  controlling the total occupation. A magnetic field  $B$  oriented in  $z$ -~~direction~~  $\underline{\text{direction}}$  splits  $\uparrow$  and  $\downarrow$  states via the Zeeman term, mixes the LUMOs via  $\pm im_L \mu_B B$ , and splits left and right circulating states  $\psi_{\pm}$ . The JT distortion is modeled by a single vibration mode whose harmonic oscillator potential is shifted along its normal coordinate by  $d(n_x - n_y)$ , where  $n_x$  ( $n_y$ ) are the occupation numbers of  $\Psi_x$  ( $\Psi_y$ ) and  $d$  is a constant. The same coupling also splits the LUMOs when the molecule is distorted. Details of the calculations and additional results may be found in the Supplemental Material [18]. Below we summarize important findings.

The model predicts complex spectra because both spin and orbital excitation steps are present and merge when the effective temperature is raised. Figure 4(a) shows results for the  $C_4$  symmetric case ( $\varepsilon_x = \varepsilon_y$ ) at  $T = 4$  ~~K~~ K. At  $B = 0$ , four degenerate ground states exist that differ in spin and orbital occupation numbers ( $n_x, n_y$ ) with concomitant ~~JT distortions~~ JT distortions. The calculated spectra at 0 T (upper curve) show a zero-bias resonance and satellite peaks on either side, each separated by the vibration energy  $\hbar\omega$  when JT coupling is included. A magnetic field (lower curve) splits the central resonance and reduces its amplitude. The model thus qualitatively reproduces the experimental data of Fig. 2(a). The value  $m_L = 2.7$  obtained from the gas-phase wave functions leads to a larger splitting than experimentally observed. The LUMO of the adsorbed molecule, however, partially delocalizes into the substrate and a reduction of  $m_L$  is consequently expected.

Figures 5(a) and (b) display topographs of the  $C_2$ -symmetric state  $D_1$ . Data for state  $D_2$  may be found in the Supplemental Material [18]. The corresponding ~~spectra~~  $dI$  and  $dV$  spectra [Figs. 5(c) and S3] depend on the lateral

position of the STM tip. In particular, the zero-bias resonances of both states are drastically stronger on the lobe that appears highest in topographs measured at  $V > 0$ . The central peak of  $D_2$  is larger and all features are broader than for  $D_1$ .

FIG. 5: Position dependence of inelastic signatures. (a) and (b) show topographs of  $D_1$  measured at 100 pA and  $\pm 50$  mV. The color scale covers ranges of 190 and 210 pm, respectively. (c)  $dI$  and  $dV$  spectra. The red solid (blue dotted) line corresponds to the position marked in (b) with a red circle (blue dot). Parameters used before disabling feedback: 50 mV, 500 pA. The dotted curve has been scaled by 0.48 to approximately match the tip height used for measuring the solid line.

Importantly, the intensity ratio of the satellites at negative and positive bias is inverted between orthogonal lobes of the molecule (Fig. 5, red and blue markers). This is reproduced by the model when  $\varepsilon_x$  and  $\varepsilon_y$  differ by small values in the 100  $\mu$ eV range.  $\Psi_x$  and  $\Psi_y$  are then differently occupied. As a result, inelastic orbital excitations can be induced by adding an electron to the empty orbital ( $V > 0$ ) or removing an electron from the filled orbital ( $V < 0$ ).

The calculated conductances of both orbitals are similar for  $V < 0$  and strongly deviate at  $V > 0$  [Fig. 4(b)]. These differences are due to inelastic processes, which dominate the tunneling current close to zero bias. Consequently, the deviations between topographs of  $D_1$  and  $D_2$  at different polarities are caused by inelastic current contributions.

An explanation of inelastic satellites via a static Jahn-Teller effect has been discussed in Ref. [33]. In such a scenario, orbital-flip processes lead to inelastic features at voltages corresponding to the LUMO splitting. In our experimental data, however, satellites at almost identical energies are also present for state R, where no splitting between the LUMOs is expected because of the  $C_4$  symmetry. We therefore exclude that the energy of the satellite peaks corresponds to the LUMO splitting. Rather it reflects the vibrational energy  $\hbar\omega$ .

Some limitations of our model should be mentioned. First, perturbation theory does not correctly predict the amplitude, width, and shape of Kondo resonances [32], except for a weak-coupling regime [34]. As elastic orbital-flip excitations can also be mediated by substrate electrons, the system dynamically fluctuates between both degenerate ~~JT distortions~~ JT distortions, and a correlated superposition is likely to occur. This is analogous to a Kondo effect, where dynamical ~~spin fluctuations~~ spin fluctuations due to exchange scattering with substrate electrons produce a singlet ground state for which the Kondo resonance retains a finite width and amplitude even at  $T = 0$ , unlike the zero-bias resonance from perturbation theory. The model therefore cannot reproduce the evolution of the amplitudes and widths of the zero-bias resonance from  $R$  via  $D_1$  to  $D_2$ . Second, only a single vibration is considered while

Jahn-Teller distortion involves multiple modes with different frequencies that are expected to broaden the satellites, especially those of higher order [35].

In summary, magnetic fields split the zero-bias resonance in the conductance spectra of CIAIPc on Cu(100) much more than expected. This effect is due to a large orbital moment, which is not suppressed by a static Jahn-Teller distortion. Rather, the ground state dynamically fluctuates between two distorted molecular configurations. When the molecular  $C_4$  symmetry is broken by dehydrogenation the orbital moment is reduced, and inelastic contributions to the tunneling exhibit a strong asymmetry with respect to bias polarity and tip position. Related observations are expected for other molecules with partially occupied degenerate ground states and suitable wave functions.

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