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# Non-invasive High-Intensity Focused Ultrasound Treatment of Liver Tissues in an In Vivo Porcine Model: Fast, Large and Safe Ablations Using a Toroidal Transducer

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1 Noninvasive treatment of liver tissues in an *in vivo* porcine model: fast, large  
2 and safe ablations using a toroidal transducer.

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23

1 ABSTRACT

2

3 A toroidal high-intensity focused ultrasound (HIFU) transducer was used to  
4 noninvasively treat liver tissues *in vivo* in a pig model. The transducer was divided into 32  
5 concentric rings with equal surface areas operating at 2.5 MHz. First, attenuation of skin, fat,  
6 muscle and liver tissues was measured in fresh animal samples to adjust the energy delivered  
7 to the focal zone. Then, 8 animals were included in the present protocol and placed in a dorsal  
8 decubitus proclive position at an angle of 15°. The device was held by hand, and sonications  
9 were performed during apnea. Two thermal HIFU lesions were created in 40 s in each animal.  
10 The average abdominal wall thickness was  $14.8 \pm 1.3$  mm (12.5-17.6). The longest and  
11 shortest axes of the HIFU ablations were  $20.9 \pm 6.3$  mm (14.0–33.7) and  $14.2 \pm 5.5$  mm (7.0–  
12 22.0), respectively. All HIFU lesions were visible on sonograms. The correlation between the  
13 dimensions of the HIFU lesions observed on sonograms and during gross examination was  $r =$   
14 0.84. Creating large and fast ablations with reliable ultrasound imaging guidance in the liver  
15 using this handheld device may represent a new therapeutic option for patients with liver  
16 tumors.

17

18 Keywords: HIFU, ultrasound, toroidal, noninvasive, liver, *in vivo*.

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## INTRODUCTION

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Effective curative intent treatments of liver tumors is a major health issue (Bray, et al. 2018). Two forms of malignant liver tumors exist: (i) primary hepatic cancer, mainly hepatocarcinoma (HCC) (comprising 75%-85% of cases) and intrahepatic cholangiocarcinoma (10%-15%), and (ii) liver metastases. HCC usually develops on hepatic tissue affected by chronic inflammation, with 906,000 estimated new cases in 2020 worldwide (Sung, et al. 2021). Based on the patient's health condition, therapy mainly consists of surgery (resection or liver transplantation) or radiofrequency ablation (RFA). This minimally invasive technique emerged as a less aggressive alternative to surgery, especially for patients with comorbidities or impaired liver function. However, to date, only approximately 25% of patients are considered suitable candidates for curative treatment.

Liver metastases are another form of liver tumor and are often a consequence of colorectal cancer. Colorectal cancer ranks third in incidence among cancers in the world, with more than 1.9 million and 935,000 estimated new cases and deaths, respectively, in 2020 (Sung, et al. 2021). Approximately 50% of these patients are likely to develop liver metastases. To date, the only treatment with curative intent and long term survival is surgery with a five-year survival rate of approximately 50% (Nordlinger, et al. 2013, Evrard, et al. 2018). However, less than 20% of diagnosed patients are eligible for surgery. Patients are only eligible if complete resection of the colorectal liver metastases with clear margins is possible and if the remaining hepatic parenchyma is sufficient to provide postoperative liver functions (Nordlinger, et al. 2013). As a consequence, the size, number and/or location of the metastases can be an exclusion criterion for surgery.

High-intensity focused ultrasound (HIFU) is a worldwide therapeutic reality in a large variety of medical applications, including oncology (Kennedy 2005, Melodelima, et al. 2008,

1 Maloney and Hwang 2015, Bai, et al. 2016, Crouzet, et al. 2017, Lang and Wu 2017, Dupre,  
2 et al. 2019). However, despite this method's clinical potential, the liver is a particularly  
3 challenging organ for HIFU treatment due to the combined effect of respiratory-induced liver  
4 motion, partial blocking by the rib cage, and high perfusion/flow (Aubry, et al. 2013, N'djin,  
5 et al. 2015, Lesser, et al. 2016). Moreover, before reaching their target in the liver, the  
6 ultrasound waves will have to propagate through skin, fat and muscle layers. These tissues  
7 have different acoustic properties, such as density, speed of sound, and attenuation, that alter  
8 the focusing effect and energy deposition at the focus (Jung, et al. 2011). For example, skin or  
9 subcutaneous burns, either benign or severe (grade 3) needing surgical intervention, have  
10 been frequently (15%) reported in clinical studies (Sehmbi, et al. 2021) and represent the most  
11 common side effect of HIFU liver treatments. Another limitation of HIFU treatments is that  
12 the ablations are typically cigar-shaped with dimensions on the order of 8-15 mm (along the  
13 beam axis) x 1-3 mm (transverse to the beam axis) (Haar and Coussios 2007). As a  
14 consequence, the clinical treatment of solid tumors requires mechanical motion of the  
15 transducer to juxtapose hundreds of single spherical ablations until the entire tumor volume is  
16 covered. Such a procedure requires long treatment times and the implementation of an  
17 elaborate robotized arm combined with complex electronics (Kennedy, et al. 2004).

18 We have shown at the early clinical stage that a new form of treatment using toroidal  
19 HIFU transducers can be a promising tool for treating liver metastases (Dupre, et al. 2015).  
20 Before developing sophisticated devices, a first prototype was built to be used intraoperatively  
21 (during surgery) (Melodelima, et al. 2009, Parmentier, et al. 2009). This toroidal HIFU  
22 transducer achieved a fast, selective, safe and well-tolerated large volume of liver ablation  
23 (the ablation rate is more than 30 times faster than any other local therapy) without requiring  
24 puncture into the organ (N'djin, et al. 2010, Dupre, et al. 2017, Battais, et al. 2020). To date,  
25 30 patients have been included in a phase I-II clinical trial using this device.



1           A sectorial ultrasound imaging probe working at a frequency of 7.5 MHz (Vermon,  
2 Tours, France) was placed at the center of the HIFU transducer and connected to an  
3 ultrasound scanner (EB4012, B-K Medical, Herlev, Denmark) to guide the treatment. The  
4 ultrasound imaging plane was aligned with the HIFU acoustic axis. Both transducers were  
5 placed into acoustic contact with the skin using sterile coupling water (Baxter, Deerfield,  
6 USA) contained in a polyurethane coating (CIV-Flex Transducer cover, CIVCO, Kalona, IA,  
7 USA). This coating attenuated the ultrasound pressure by approximately 2% at 2.5 MHz. To  
8 prevent the transducer from becoming too hot during the HIFU procedure, the water was  
9 cooled at 6 °C and flowed at a continuous rate of 0.6 L/min using a peristaltic pump  
10 (Masterflex L/S Model 7555–05, Cole-Parmer Instruments Co., Chicago, IL, USA) in a  
11 closed cooling circuit. A 14 MHz ultrasound imaging probe (I14C5I, BK Medical, Herlev,  
12 Denmark) was used to obtain images with higher resolution.

13           The HIFU transducer-driving equipment was similar to that previously reported  
14 (Battais, et al. 2020). The amplifier (Image Guided Therapy, Pessac, France) has 32 channels  
15 programmable in phase and power. The phase of each channel could be adjusted with a  
16 resolution of 1 degree. The electrical power delivered by each channel could be adjusted up to  
17 15 watts. The time needed to change the phase and/or power delivered was 1 ms. The spatial  
18 repartition and intensity of the pressure field produced can be controlled electronically by  
19 modulating the amplitude and the phase applied to each of the 32 individual transducers. The  
20 phase, emitted power and reflected power of each channel were analyzed and registered  
21 during the HIFU sonications thanks to a custom-made user interface. This interface also  
22 displayed the position of the HIFU focal region superimposed on the sonogram, making it  
23 possible to place the HIFU ablation in the tissues and visualize the treated zone immediately  
24 after each sonication.

25

## 1 *Preparation of the animals and anesthesia*

2 All procedures described in this paper were carried out in the Laboratory of  
3 Experimental Surgery (DSV 693880501). The animal experiments were performed under an  
4 institutional research protocol (PRO105) approved by the local ethical committee of animal  
5 experimentation. These experiments were conducted in accordance with the European  
6 legislation covering the use of animals for scientific purposes (Directive 2010/63/EU).  
7 The experiments were conducted on eight Landrace pigs, 10-15 weeks old, weighing between  
8 28 and 39 kg. A porcine model was specifically chosen because its anatomy and physiology  
9 are very close to those of humans. The animals were kept on-site 7 days before the start of the  
10 experiments and were fasted 24 h before the HIFU procedure. Premedication was achieved  
11 using an intramuscular injection of a mix of ketamine ( $15 \text{ mg.kg}^{-1}$ ), azaperone ( $2.2 \text{ mg.kg}^{-1}$ )  
12 and atropine (0.5 mg) 15 minutes before anesthesia. A 20-gauge catheter was then placed in  
13 an auricular vein to induce and maintain anesthesia using Diprivan (Propofol 10 mg/mL). The  
14 animal was intubated and placed under assisted ventilation. Oxygenation was supplied by an  
15 assisted ventilation system (ABT 4300, Kontron Instruments) at a rate of  $7.2 \text{ l.min}^{-1}$  and a  
16 frequency of  $12 \text{ cycles.min}^{-1}$  (duty cycle: 40%). Blood oxygen saturation was monitored by an  
17 optical captor and maintained at 100%. Hydration was provided by isotonic perfusion of a  
18 physiological salt solution at 9%. The animals were positioned in a dorsal decubitus proclive  
19 position with an angle of  $15^\circ$ . This specific positioning allows to partially to move the liver  
20 below the rib cage in order to have an anatomical access to approximately 60% of the liver  
21 while avoiding the rib cage. The skin of the animals was shaved and washed on the abdomen  
22 at the site of the HIFU treatment.

23 The 14 MHz ultrasound imaging probe was used to image the region of the liver to be  
24 targeted and measured as precisely as possible the thickness of all tissues between the probe  
25 and the focus, including the thickness of the skin, fat and muscle layers. The position of the

1 ultrasound imaging probe was identified on the skin of the pig using a surgical pen. Using this  
2 landmark, the HIFU probe was then placed on the skin of the animal such that the imaging  
3 plane of the ultrasound imaging probe integrated with the HIFU transducer was aligned with  
4 the image obtained with the 14 MHz ultrasound imaging probe. The HIFU device was hand-  
5 held (Figure 2). A thin layer of degassed and demineralized water was applied to the HIFU  
6 window to establish acoustic coupling with the skin. Two target areas were identified in each  
7 animal. The targets had to be separated by at least 2 cm and, ideally in different lobes, in order  
8 to clearly identify each individual HIFU lesion. If possible, each ablation had to be performed  
9 at distance (at least 5 mm) from specific structures (gall bladder, the hepatic pedicle, and the  
10 vena cava) and aimed at liver tissues having thicknesses of at least 3 cm.

11

#### 12 *Treatment parameters*

13 Preliminary to animal experiments, the attenuation coefficients of the porcine  
14 abdominal wall layers (i.e., skin, fat and muscle) and liver tissues were measured using the  
15 pulse-echo method (Barrere, et al. 2021, Sanchez, et al. 2021). Nine samples were recovered  
16 from pigs included in another protocol. Analyses were performed on fresh *ex vivo* samples.  
17 Samples were degassed before experiments (25 min at -0.8 bar) approximately 4h after the  
18 sampling. Before the samples were recovered, ultrasound images of the abdominal wall were  
19 also acquired at two distinct areas considered suitable for HIFU treatment, resulting in 18  
20 acquisitions. For each image, the total abdominal wall length, as well as the skin, fat and  
21 muscle layer thicknesses were measured. Attenuation measurements were performed as  
22 described previously (Sanchez, et al. 2021). Briefly, the attenuation coefficients  $\alpha(f)$ , in  
23  $\text{dB}\cdot\text{cm}^{-1}$ , were calculated by performing the Fourier transform of the radio-frequency signals  
24 obtained from a plane reflector with and without the sample in the path between a pulse-echo

1 transducer working at 2.5 MHz and the reflector. Then, the log difference between the spectra  
2 was calculated using Equation 1:

$$3 \quad \alpha_T(f) = \frac{20}{2d_T} \times \log\left(\frac{A_w(f)}{A_T(f)}\right) \quad \text{Equation 1}$$

4 where  $A_w(f)$  is the reference magnitude spectrum at frequency  $f$ ,  $A_T(f)$  is the tissue sample  
5 magnitude spectrum at frequency  $f$ , and  $d_T$  is the thickness of the tissue sample. The  
6 attenuation coefficient of the water was considered to be zero. The attenuation coefficients  
7 varied with the frequency, as described in Equation 2:

$$8 \quad \alpha(f) = af^b \quad \text{Equation 2}$$

9

10 where coefficient  $a$  was measured in  $\text{dB.cm}^{-1}.\text{MHz}^{-1}$ ,  $f$  is the frequency in MHz, and  
11 coefficient  $b$  is dimensionless. The best-fit power-law method was used to determine  
12 coefficients  $a$  and  $b$  from the plots of the attenuation coefficients vs. frequency. The  
13 goodness-of-fit value according to the best-fit power law was determined using the  $R^2$  value.

14 The speed of sound and the density of each sample were also measured and are  
15 presented in Table 2. The transmission coefficients for the water/skin, skin/fat, fat/muscle and  
16 muscle/liver interfaces were calculated (Table 2). The total transmission coefficient  $T$  was  
17 defined as the product of all the transmission coefficients listed in Table 2.

18 The attenuation in the prefocal tissues was computed using Equation 3:

$$19 \quad \alpha(z_s, z_f, z_m, z_l) = \alpha_s z_s + \alpha_f z_f + \alpha_m z_m + \alpha_l z_l \quad \text{Equation 3}$$

20 where  $\alpha_s$ ,  $\alpha_f$ ,  $\alpha_m$  and  $\alpha_l$  are the measured attenuations ( $\text{dB.cm}^{-1}$ ) of the skin, fat, muscle and  
21 liver respectively,  $z_s$ ,  $z_f$ , and  $z_m$  are the thicknesses (cm) of the skin, fat and muscle  
22 respectively, and finally  $z_l$  is the thickness of the liver from the muscle to the focus measured  
23 before each sonication (cm). The attenuation coefficients were adjusted to 2.5 MHz using  
24 Equation 2.

1 The applied power  $P_a$  was calculated from the measured electrical power during each  
2 sonication and the efficiency of the transducer (60%). The delivered power  $P_d$  was then  
3 calculated using Equation 4 by considering the attenuation in the prefocal tissues and the total  
4 transmission coefficient  $T=0.96$ :

$$5 \quad P_d = P_a \cdot T \cdot 10^{-\frac{\alpha(z_s, z_f, z_m, z_l)}{10 \text{ dB}}} \quad \text{Equation 4}$$

6 The acoustic energy after attenuation will be referred to as the delivered energy  $E_d$  and  
7 was computed from the delivered acoustic power  $P_d$  and the sonication time  $t$  (Equation 5):

$$8 \quad E_d = P_d \times t \quad \text{Equation 5}$$

9

10 The device used in this work was initially designed for intraoperative use  
11 (Melodelima, et al. 2007, Dupre, et al. 2017). The exposure parameters were adapted for  
12 noninvasive use based on numerical simulations that used the measured porcine attenuation  
13 values and measured tissue thickness as described above. The numerical modeling of HIFU  
14 treatments was similar to that described in previous studies (N'djin, et al. 2015). Here, each  
15 layer of tissue was represented by a rectangular parallelepiped. The attenuation, density and  
16 speed of sound of each tissue layer were obtained from ex vivo measurements as described  
17 above and are reported in Table 1. Liver perfusion was set to  $30 \text{ kg}\cdot\text{m}^{-3}\cdot\text{s}^{-1}$  (N'djin, et al.  
18 2015). The blood vessel network was not modeled. An acoustic power of 115W was  
19 identified as sufficient for the creation of HIFU ablations in homogeneous perfused liver  
20 tissues. All HIFU sonication procedures were performed with two consecutive exposures of  
21 20 s each. In each sonication, the second focal zone was used to create the ablations. The  
22 depth and diameter of the focal ring changes the position and size of the second focal zone  
23 (Figure 1A). First, the depth of the ring-shaped focus was set electronically at 68 mm, and its  
24 radius was set at 2 mm. Under these settings, the maximum pressure zone was located 36 mm  
25 below the skin (i.e., approximately 21 mm deep in the liver tissues depending on the thickness

1 of the intermediate tissues). Without moving the HIFU device and without any delay, a  
2 second sonication was performed by electronically setting the depth of the ring-shaped focus  
3 at 66 mm and its radius at 1 mm. Under these settings, the secondary focal zone was located  
4 34 mm deep below the skin (i.e., approximately 19 mm deep in liver tissues depending on the  
5 thickness of the intermediate tissues). In the first two animals, noninvasive HIFU ablations  
6 were created using an applied acoustic power set to 115 W corresponding to an energy of 4.6  
7 kJ. The other six animals were treated using an applied acoustic power set to 140 W  
8 corresponding to an energy of 5.6 kJ for adjusting to *in vivo* perfusion. The treatment  
9 parameters are summarized in Table 1.

10

#### 11 *HIFU procedure*

12 Two HIFU ablations were created in the liver, at distinct locations, in each animal.  
13 Each HIFU sonication was performed during apnea to avoid liver movement. After inhalation,  
14 the apnea periods began between 5 and 20 s before sonication and lasted at most 60 s. The  
15 oxygenation of the animals was controlled during treatment to preserve a minimal blood  
16 oxygen saturation of 80%. When the treatment was completed, all of the lesions were  
17 observed and measured on the sonograms using the 7.5 MHz ultrasound imaging probe  
18 located in the center of the device. The 14 MHz ultrasound imaging probe was also used to  
19 observe the lesions with higher resolution. The longest and shortest axes of the treated area  
20 visible on the sonograms were measured. The skin was examined for any macroscopic signs  
21 of damage.

22 After the treatment, the animals were returned to their pens and observed twice daily  
23 for signs of anorexia, distress, fever and general behavior. Seven days after the HIFU  
24 treatment, the animals were anesthetized using the same procedure described above. The  
25 HIFU lesions were imaged using the 14 MHz ultrasound imaging probe placed on the skin of

1 the animals. A laparotomy was then performed to examine the liver and adjacent organs for  
2 any macroscopic signs of damage. Analgesia (Sufenta 150 µg/5mL) was injected once on the  
3 first 6 animals (3mL/h) during the surgical procedure. Additional ultrasound images of the  
4 HIFU lesions were acquired intraoperatively using the 14 MHz ultrasound imaging probe.  
5 The animals were then euthanized by an injection of pentobarbital (182 mg/mL) before  
6 removing the liver. The treated zones of the liver were sliced along a plane passing through  
7 the middle of the HIFU lesion and perpendicular to the sample's surface. HIFU ablations were  
8 then classified into 3 categories: absence of ablation (NT: Not Treated), non-compliant (TNC:  
9 Treated but Non-Compliant), and compliant (T: Treated). The compliance was assessed based  
10 on the ablation shape and size. The expected ablation shape was a homogeneous truncated  
11 cone as observed in previous work using the same device intra-operatively (Melodelima, et al.  
12 2009). The expected ablation size, estimated via numerical simulations, were at least 10 mm  
13 in width by 15 mm in length. The samples were then analyzed for gross examination (see  
14 Data analysis) and then fixed in a 4% solution of formaldehyde. After 48 h, the samples were  
15 transferred to phosphate-buffered saline and then dehydrated using increasing concentrations  
16 of alcohol, treated with intermedium and embedded in paraffin. The embedded samples were  
17 sliced and stained using hematoxylin and eosin. The tissues were examined with a brightfield  
18 microscope.

19

#### 20 *Data analysis*

21 Each sample was placed on an even surface containing a reference scale, and a  
22 photograph was taken to generate a near isometric view of the sample. Images of the lesions  
23 were then analyzed using the Measure tool of ImageJ, version 1.52a (National Institutes of  
24 Health, USA; <http://imagej.nih.gov/ij>). The longest and shortest axes of the treated area

1 visible as a white necrotic core on the tissue sample surface were measured. All measured  
2 data are given as the mean values  $\pm$  standard deviations (minimum value - maximum value).

## 3 RESULTS

### 4 *Attenuation measurements*

5 On average, the abdominal wall thickness (including the skin, fat and muscle layers)  
6 was  $14.8 \pm 1.3$  mm (12.5-17.6). The thicknesses of the skin, fat and muscle layers averaged  
7  $2.3 \pm 1.1$  mm,  $8.4 \pm 2.8$  mm and  $4.7 \pm 0.8$  mm, respectively. The averages of coefficients *a*  
8 and *b* in the skin, fat, muscle and liver tissues are summarized in Table 2.

9

### 10 *Overview of the HIFU treatments*

11 The animals tolerated the treatment well over the experimental period. The animals  
12 remained hemodynamically stable during the procedure. They recovered from the procedure  
13 within 2 h after termination of anesthesia and quickly resumed eating and normal behavior  
14 with no signs of pain or fever. The mean weight gain was normal ( $1.9 \pm 0.5$  kg) based on the  
15 age of the animals.

16 Table 1 shows an overview of the treatment parameters. Twelve out of the sixteen  
17 HIFU sonications resulted in visible damage at the target site. Two HIFU exposures did not  
18 result in visible damage due to insufficient delivered energy at the targeted site. Two HIFU  
19 exposures resulted in gastric damage (not shown or included in Table 1). In these two cases,  
20 the liver thickness was smaller than the longest axis of the lesion. Three sonications led to  
21 HIFU lesions at the target whose sizes were not compliant with the desired lesion size. Once  
22 sufficient energy was delivered to the homogeneous parenchyma at the target site, nine HIFU  
23 sonications led to visible liver damage (macroscopically, microscopically and on the  
24 ultrasound images) compliant with the desired lesion size.

25

1 *Analysis of sublethal sonications and noncompliant HIFU lesions*

2           The first two HIFU sonications were performed using an applied acoustic power of  
3 115 W, which was not sufficient for creating an ablation. Based on the numerical simulations,  
4 this value was estimated as the minimal acoustic power required for treatment. All other  
5 HIFU sonications were performed using an applied acoustic power of 140 W, the highest  
6 value deliverable while avoiding secondary lesions in the intervening tissues. Three HIFU  
7 sonications ( $n=3, 5$  and  $8$ ) were created around large blood vessels that measured at least  $8$   
8 mm in diameter (Figure 3). No thrombosis was observed in these vessels. However, the HIFU  
9 ablations were affected by the heat-sink effect of the blood flow. The applied energy for these  
10 sonications was between  $1920$  and  $2112$  J; i.e. above the threshold ( $1882$  J) needed for the  
11 creation of an ablation in homogeneous liver parenchyma, suggesting that higher energy is  
12 required close to large blood vessels.

13

14 *Analysis of compliant ablations*

15           Figure 4 shows three typical HIFU ablations ( $n=10, 12$  and  $13$ ) created noninvasively  
16 in the liver in  $40$  seconds, even around small blood vessels (diameter  $< 5$  mm). The  
17 coagulated tissues are clearly distinguishable from the untreated tissues. The treated region  
18 appears as an off-white color, which is sometimes darker at the most heated points.  
19 Considering all compliant HIFU ablations, the abdominal wall thickness was on average  $15.4$   
20  $\pm 2.9$  mm. The longest and shortest axes of the HIFU ablations were  $20.9 \pm 6.3$  mm ( $14.0$ –  
21  $33.7$ ) and  $14.2 \pm 5.5$  mm ( $7.0$ – $22.0$ ), respectively. These HIFU ablations were performed at an  
22 average depth (distance from the skin to the beginning of the HIFU ablations) of  $19.2 \pm 4.2$   
23 mm while preserving the integrity of the skin and intervening tissues.

24           Homogeneous coagulation necrosis was confirmed by histological analysis. A typical  
25 example of the histological analysis is given in Figure 5 corresponding to HIFU ablation

1 number 9. The HIFU treatments did not affect the animals' well-being and were well  
2 tolerated. If the treatment time is 40 s, a delivered energy of approximately 1900 J (i.e., a  
3 delivered acoustic power of approximately 50 W) is required to create an ablation in the liver.  
4 The HIFU ablations were visible with high contrast in the ultrasound images. Figure 6 shows  
5 the typical ultrasound images that were acquired at D0 before (Fig. 6a) and after the HIFU  
6 sonications (Fig. 6b) and at D7 before (Fig. 6c) and after (Fig. 6d) laparotomy. A hyperechoic  
7 zone was visible at the location of the maximal pressure deposition a few minutes after HIFU  
8 sonication (Figure 6b). This hyperechoic zone was surrounded by a hypoechoic region. These  
9 observations were still found at D7 either on transcutaneous or intraoperative ultrasound  
10 imaging examination (Figure 6c and 6d). At Day 7, a hyperechoic boundary was also  
11 observed all around the ablation intraoperatively. In all cases, a strong correlation was found  
12 between the dimensions measured on ultrasound images and the dimensions measured  
13 macroscopically using intraoperative ( $r = 0.96$ ,  $p < 0.05$ ) or transcutaneous ( $r = 0.84$ ,  $p < 0.05$ )  
14 measurements (Figure 7).

15

16

## DISCUSSION

17

18 This study was designed to confirm the feasibility, safety, and efficacy of noninvasive  
19 HIFU ablation of the liver parenchyma using the same HIFU toroidal transducer used  
20 intraoperatively in humans for the treatment of liver metastases (Dupre, et al. 2015, 2019).  
21 Treatments with proper electronic focusing and energy adjustment regarding attenuation  
22 allowed for the determination of sonication parameters to create safe thermal ablations  
23 measuring on average 21 mm long by 14 mm wide. The HIFU sonications were completed in  
24 40 seconds. All treatments were well tolerated and did not affect the animals' well-being. The  
25 energy delivery threshold for compliant ablations under such treatment conditions was found

1 to be greater than or equal to 1882 J at the focus in homogeneous liver parenchyma. Such  
2 energy also allowed the creation of homogeneous ablations around blood vessels of up to 5  
3 mm in diameter.

4 When blood vessels of more than 8 mm in diameter were present in the treatment zone, even a  
5 delivered energy of approximately 2200 J was not sufficient to create a compliant ablation  
6 due to the effect of the heat-sink effect of the blood flow. Treatment parameters should then  
7 be adjusted when ablating around large blood vessels. In this study, the numerical model  
8 estimated only the ablation in homogenous liver tissues (i.e. without large vessels at the focal  
9 zone). Indeed, a more realistic simulation including real-time, 3D modeling of large blood  
10 vessels (>5 mm in diameter) and their complex architecture could refine simulation outcomes  
11 aimed at improving personalized treatment. In previous studies, we demonstrated that  
12 homogeneous ablations can be performed around large blood vessels (from 5 to 10 mm in  
13 diameter) using the same device if the delivered energy was approximately 17 kJ  
14 (Cambronero, et al. 2020). The information presented in Table 1, and this study in general,  
15 highlights the importance of taking attenuation into account and adjusting the delivered  
16 energy to the tissue to spare the intermediate tissues, thus suggesting that HIFU treatments  
17 should be personalized for each patient.

18 At present, HIFU treatments are either guided by ultrasound imaging or magnetic  
19 resonance imaging (MRI). MRI-guided devices use MR-thermometry to measure the tissue  
20 response with a spatial resolution on the order of one millimeter and a temperature resolution  
21 of less than 1 degree Celsius (Melodelima, et al. 2005, De Senneville, et al. 2016, Siedek, et  
22 al. 2019). Therefore, MRI offers good clarity in three-dimensional imaging but it has a high  
23 cost, especially for lengthy procedures, and it has low spatial and temporal resolution when  
24 compared with ultrasound imaging. Ultrasound-guided devices use diagnostic ultrasound  
25 probes both to locate the target and to observe the response. Non-invasive HIFU treatments

1 has the potential to treat both primary liver tumors and liver metastases. To this day,  
2 transcutaneous ultrasound imaging is the standard modality when treating primary liver  
3 tumors using RFA. On the other hand, MRI and CT-scans are the gold standard for imaging  
4 liver metastases (Fowler, et al. 2013) since some of them may not be visible when using  
5 ultrasound imaging (Kingham, et al. 2018). Ultrasound-guided HIFU treatments is therefore  
6 considered only for patients having visible tumors with ultrasound imaging alone (Tonguc, et  
7 al. 2021). Moreover, higher visibility of liver metastases was reported when using fusion  
8 imaging with ultrasound and MRI which can increase the number of patients that can be  
9 treated with ultrasound-guided HIFU (Yee, et al. 2021). Nevertheless, actual grayscale  
10 changes on sonograms caused by thermal ablation is already used as an indication of ablation  
11 following each sonication (Ji, et al. 2020, Gu, et al. 2021). In our proof of concept study, we  
12 have shown that transcutaneous ultrasound imaging provides a reliable evaluation of the  
13 treatment after each sonication ( $R^2=0.84$ ). Each lesion induced by HIFU is visible with high  
14 contrast on sonograms. Intraoperative measurements were performed to obtain additional  
15 ultrasound imaging measurements under more favorable conditions. As expected, the  
16 correlation between dimensions measured on sonograms and gross pathology was higher for  
17 intraoperative measurements ( $R^2=0.92$ ) than transcutaneous measurements ( $R^2=0.84$ ).  
18 Nevertheless, the correlation for transcutaneous measurements was considered as sufficiently  
19 high to be a reliable measurement of the HIFU lesions. We found a close correlation between  
20 the dimensions of the lesions measured on the transcutaneous sonograms and those measured  
21 in gross pathology. This represents a major advantage for the improvement of treatment  
22 efficacy since the dimensions of the treatment zone can be enlarged by accurately juxtaposing  
23 single lesions without leaving any untreated liver in the ablated zone.

24 The use of an extracorporeal HIFU device is clinically feasible for the treatment of  
25 liver tumors (Leslie, et al. 2012, Yang, et al. 2021), but only a small part (approximately 30%)

1 of the liver is accessible using a noninvasive HIFU device. In addition, attenuation, phase  
2 aberration and liver movements can produce secondary lesions (such as skin burns or gastric  
3 lesions) if a completely extracorporeal treatment is performed in the liver. Therefore, the  
4 device described in this study was used with animals positioned in a dorsal decubitus proclive  
5 position with an angle of  $15^\circ$  to have anatomical access to approximately 60% of the liver  
6 while avoiding the rib cage. Under these conditions, ultrasound wave propagation was not  
7 impaired by the rib cage. A preliminary evaluation was performed in two patients with hepatic  
8 metastases on preoperative CT-scans; where patients had placed their arms above their head.  
9 Under these conditions, 3D segmentations showed that this specific positioning of the body  
10 creates an acoustic window of approximately 50% of the hepatic volume as it raises the  
11 position of the patient's rib cage. For animal experiments, inclination of the surgical table, in  
12 addition to strapping the animals' paws above their head, was necessary to obtain a similar  
13 accessible volume.

14         The measured acoustic properties of tissues reported in this study were consistent with  
15 those previously reported (Duck 1990). The highest range of these parameters was found for  
16 fat tissues ranging from  $0.37 \text{ dB}\cdot\text{cm}^{-1}\cdot\text{MHz}^{-1}$  to  $1.70 \text{ dB}\cdot\text{cm}^{-1}\cdot\text{MHz}^{-1}$ . Such differences in  
17 reported data can be the result of measurements performed on fat tissue samples harvested  
18 from either the backfat or subcutaneous layers at different parts of the pig's body. Indeed,  
19 Gammel and al (Gammell, et al. 1979), reported significant differences in pigs backfat  
20 attenuation for studies 23 years apart. They suggested that the feeding and environment of the  
21 pigs significantly changed and could therefore have an impact on the acoustic properties of  
22 the pigs' tissue. As a result, fat attenuation values can significantly vary depending on the  
23 environment and the feeding of the animals. This observation supports the need for individual  
24 attenuation measurements in an effort to personalize HIFU treatment. Therefore, attenuation  
25 measurements were performed on the pig model that was used in this study. Those

1 preliminary measurements of the tissue attenuation and *in situ* measurement of the different  
2 tissue thicknesses allowed for proper energy deposition at the focus. Moreover, the use of a  
3 toroidal transducer allowed for large and fast tissue ablation. Ablations of 21 mm long by 14  
4 mm wide were performed in 40 seconds, allowing the device to be used by hand and under  
5 apnea to avoid the deleterious effects of liver movements.

6         Since this probe was initially designed for intraoperative use, the frequency (2.5 MHz)  
7 and the focal length (70 mm) should be changed for the next generation of transducer  
8 dedicated for clinical use. A lower frequency and higher focal distance will be used for  
9 developing a toroidal HIFU transducer dedicated for extracorporeal application. However,  
10 even with a suboptimal transducer, we showed in this study that it was possible to create  
11 ablations similar in size and in the time needed than the ones created intraoperatively  
12 (Melodelima, et al. 2009, Dupre, et al. 2015). A more optimal device should increase the size  
13 of the ablation to treat tumors up to 3 cm in diameter.

14         The preclinical study described in this article was performed on pigs due to their  
15 similar size and physiology to humans. There is no established liver tumor model available in  
16 pigs to evaluate HIFU treatments on tumors at the preclinical stage. However, the efficacy of  
17 HIFU on liver tumors has been demonstrated in many studies (Battais, et al. 2020, Ji, et al.  
18 2020, Gu, et al. 2021). In addition, anatomopathological studies showed that the lesions  
19 created in our experiments were homogeneous. The ability of this toroidal HIFU transducer to  
20 create large lesions over short periods of time that are visible with high contrast in real-time  
21 on sonograms represents a powerful technique for treating liver tumors noninvasively. The  
22 probe can be held by hand, making the device easy to use. As shown on gross pathology and  
23 histologic studies, the lesions were homogeneous. There was no untreated liver in the ablated  
24 zone, including areas in the vicinity of blood vessels with a diameter up to 5 mm. This should  
25 make the treatment of some juxta-vascular tumors possible. The use of such a device can

1 increase the number of patients eligible for treatment with curative intent by treating tumors  
2 that are inaccessible to surgery or other local ablative technologies.

3 In conclusion, the data presented here suggest that toroidal HIFU transducers are a  
4 promising technique for large thermal ablations created noninvasively in the liver. Large and  
5 irreversible coagulated volumes can be obtained in short times using this new HIFU device.  
6 The ability to produce these noninvasive thermal lesions in the liver, which are visible in real-  
7 time and with high contrast on sonograms, may be useful for treating patients with liver  
8 tumors.

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11

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## FIGURE CAPTIONS LIST

**Figure 1.** Front view of the toroidal device with the ultrasound imaging probe integrated at its center.

**Figure 2.** Toroidal HIFU device in contact with the animal's skin during sonication.

**Figure 3:** HIFU ablation cut through the middle, near the large vessels. A. Front view, the vessel separated the ablation into two parts. B. Side view of the vessels behind the ablation. C. Intraoperative ultrasound image at D7 of the vessel and HIFU ablation. One of the vessels is clearly passing through the ablated zone. The section of this vessel was 8.7 mm long by 2.4 mm in diameter.

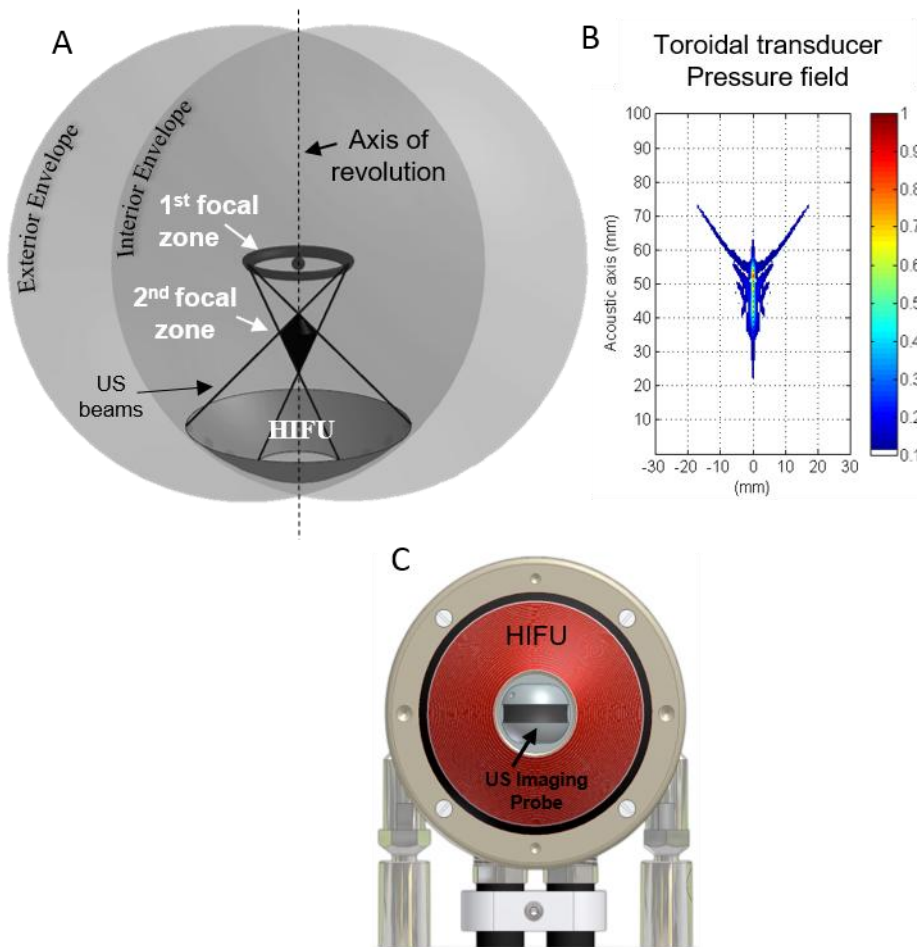
**Figure 4:** Three HIFU ablations that were compliant. A. Ablation in the liver with an emitted energy of 5560 J in an animal with an abdominal wall thickness of 16.8 mm. B. Ablation in the liver with an emitted energy of 5640 J in an animal with an abdominal wall thickness of 13.3 mm. C. HIFU ablation compliant created around a vessel with a diameter < 5 mm.

**Figure 5:** Histological results. Microscopic view of the liver tissue showing the separation between the HIFU ablation and the surrounding untreated liver.

**Figure 6:** Ultrasound images of the HIFU procedure in animals n=13 acquired at D0 before (A) and after HIFU sonications (B) and at D7 before (C) and after (D) laparotomy. The abdominal wall thickness was 13.3 mm. The delivered energy was 5720 J, resulting in ablation in the liver. A hyperechoic zone is observed around the theoretical point of maximum pressure (B, C and D) as well as a surrounding hypoechoic region. (D) At Day 7, a hyperechoic boundary was observed all around the ablation intraoperatively.

**Figure 7:** Correlation between dimensions measured on sonograms and gross pathology using intraoperative (left) or transcutaneous measurements at Day 7. White dots indicate the

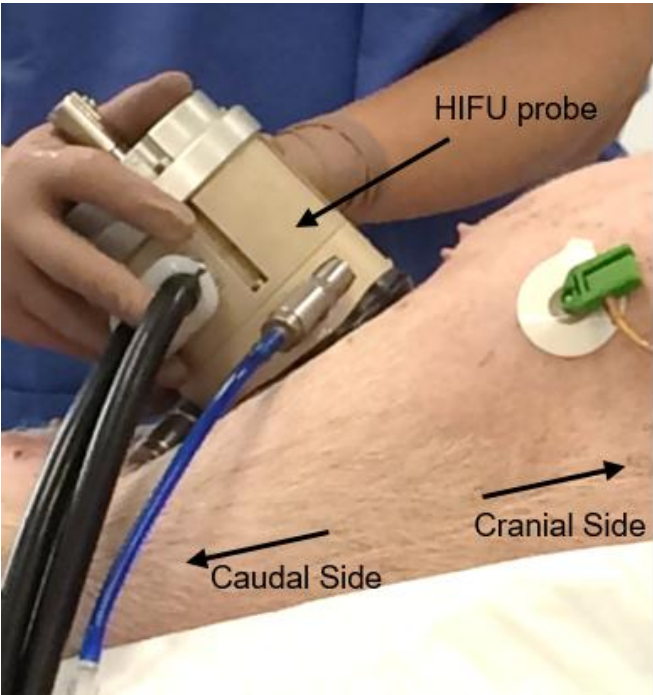
1 measurements of the long axis, and black dots indicate measurements of the short axis of the  
2 HIFU ablations.



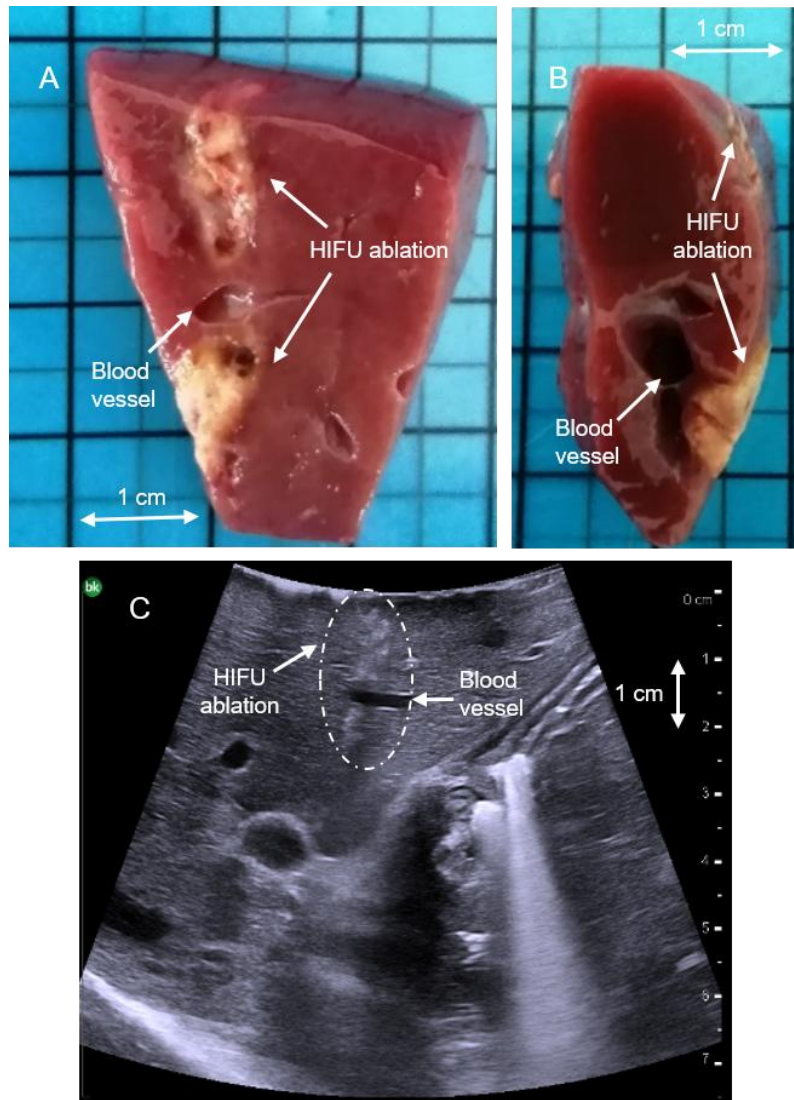
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20 **Figure 1.** Toroidal transducer and its natural focusing. A, 3D geometric view of the toroidal  
21 geometry. The toroidal transducer is built on a portion of the interior envelope. The transducer  
22 has two focal zones: a ring and a kite-shaped zone. B, Pressure field of the natural focusing of  
23 the toroidal probe. The pressure at the second focal zone is, in general, higher than the  
24 pressure at the ring. Therefore, the kite-shaped zone is mainly used for treatments. C, Front  
25 view of the toroidal device with the ultrasound imaging probe integrated at its center.

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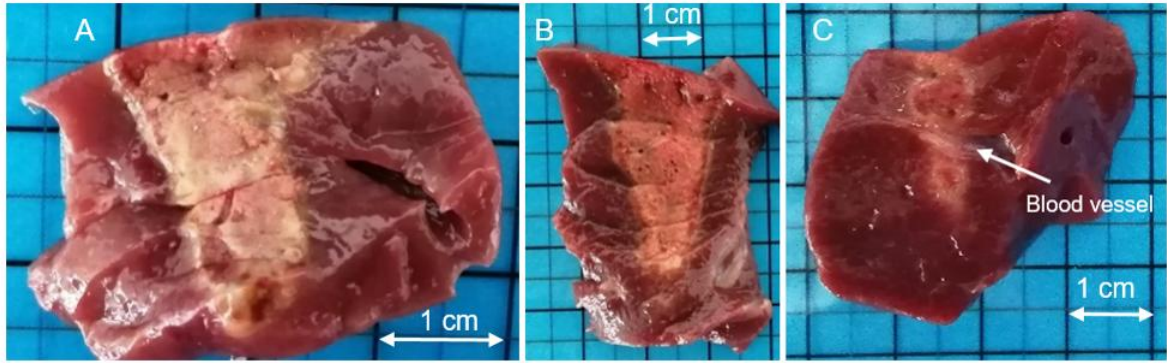


**Figure 2.** Toroidal HIFU device in contact with the animal's skin during sonication.



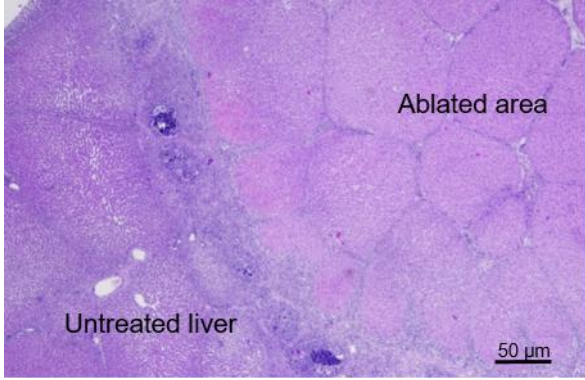
**Figure 3:** HIFU ablation cut through the middle, near the large vessels. A. Front view, the vessel separated the ablation into two parts. The bottom left part of the HIFU ablation was removed in the perpendicular plane in an effort to reveal the vessel on the side view. B. Side view of the vessels behind the ablation. C. Intraoperative ultrasound image at D7 of the vessel and HIFU ablation. One of the vessels is clearly passing through the ablated zone. The section of this vessel was 8.7 mm long by 2.4 mm in diameter.

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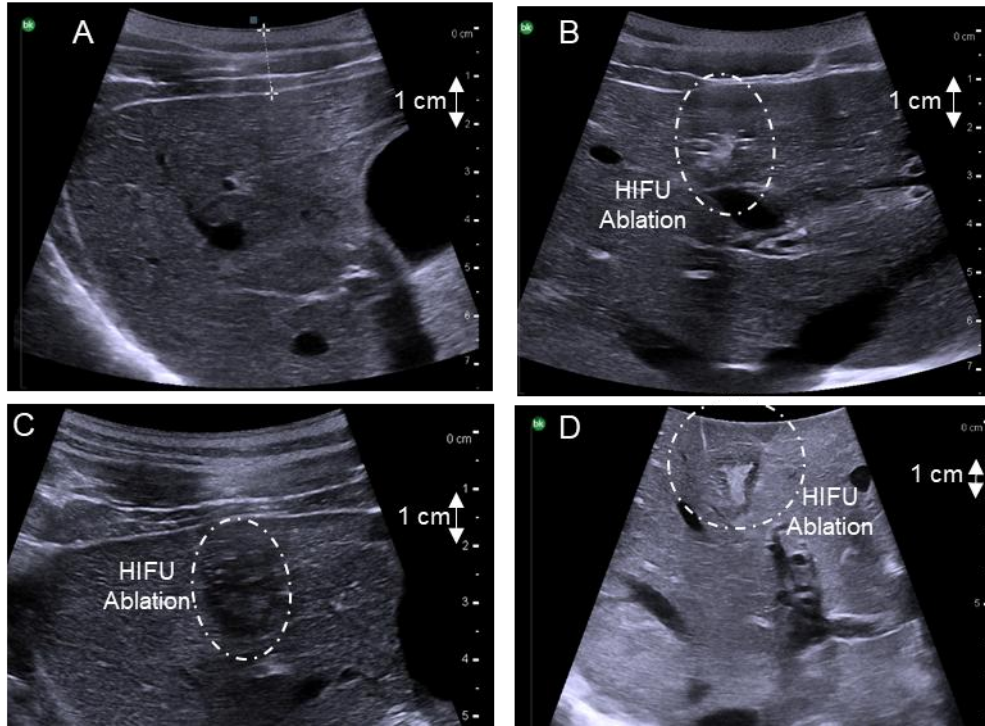


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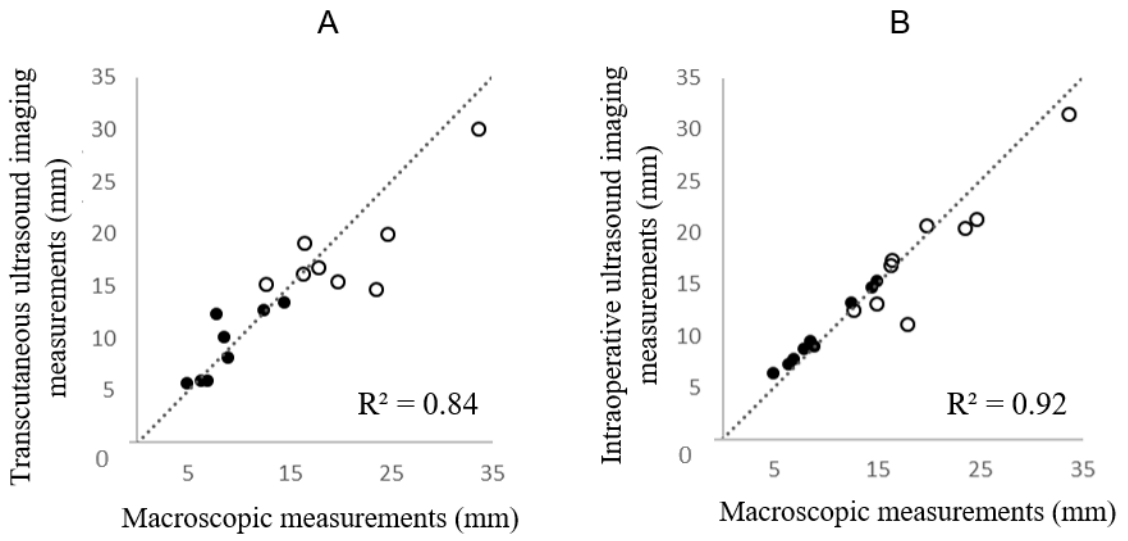


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**Figure 7:** Correlation between dimensions measured on sonograms and gross pathology. (a) transcutaneous (with intact layered tissue) imaging and (b) intraoperative imaging. Both measurements were performed at Day 7. White dots indicate the measurements of the long axis, and black dots indicate measurements of the short axis of the HIFU ablations.

Sonication number	Thickness from skin to focus (mm)	Tissue attenuation (dB)	$E_{\text{applied}}$ (J)	$E_{\text{delivered}}$ (J)	$P_{\text{applied}}$ (W)	$P_{\text{delivered}}$ (W)	T/NT/TNC
1	35.5	4.3	4600	1651	115	41	NT
2	34.5	4.5	4560	1574	114	39	NT
3	36.0	4.3	5600	1997	140	50	TNC
4	36.8	4.3	5600	1997	140	50	T
5	35.4	4.4	5520	1920	138	48	TNC
6	35.6	4.0	5560	2112	139	53	T
7	36.0	4.5	5640	1920	141	48	T
8	35.6	4.1	5680	2112	142	53	TNC
9	36.6	4.4	5600	1958	140	49	T
10	36.8	4.6	5760	1920	144	48	T
11	35.8	4.3	5640	2035	141	51	T
12	36.3	4.2	5640	2074	141	52	T
13	35.8	4.6	5720	1920	143	48	T
14	36.6	4.5	5600	1882	140	47	T

2

3 **Table 1.** Treatment results overview. Attenuation from the skin to the focus was computed  
4 using Equation 5 based on acoustic characterization of porcine tissues and on the measured  
5 abdominal wall thickness just before sonication. The applied acoustic power was computed  
6 from the measurement of the electrical power during sonication, considering the efficiency of  
7 the transducer (60%). The delivered acoustic power was computed from Equation 4. NT: Not  
8 Treated (no visible damage), T: Treated (Visible damage at the target site compliant with the  
9 planned lesion size), TNC: Treated but not compliant (visible damage at the target site but not  
10 compliant with the planned lesion size).

11

Tissue	Coefficient a (dB.cm-1.MHz-1)	Coefficient b	Density (Kg.m <sup>-3</sup> )	Speed of sound (m.s <sup>-1</sup> )	Transmission coefficients
Skin	1.56 ± 0.43	1.19 ± 0.06	1104 ± 39	1555 ± 52	0.99
Fat	0.43 ± 0.09	1.39 ± 0.01	990 ± 98	1465 ± 37	0.99
Muscle	0.78 ± 0.26	1.70 ± 0.07	1111 ± 64	1566 ± 11	0.99
Liver	0.61 ± 0.17	1.30 ± 0.03	1048 ± 80	1537 ± 61	0.99

1

2 Table 2. Measured tissues properties: attenuation coefficients that varied with frequency as  $\alpha = af^b$ ,  
3 density, speed of sound and transmission coefficients at the water/skin, skin/fat, fat/muscle,  
4 muscle/liver interfaces. The total transmission coefficient T was defined as the product of all the  
5 transmission coefficients listed in the table, T=0.96.

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