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# Damage Quantification in Concrete under Uniaxial Compression Using Microcomputed Tomography and Digital Volume Correlation with Consideration of Heterogeneity

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**Abstract** Finite element-based digital volume correlation with mechanical regularization was utilized to measure the deformation fields in a concrete specimen under uniaxial compression based on in-situ (via microcomputed tomography) experiment. Heterogeneous and damage settings were introduced in the mechanical regularization. The mechanical response of the matrix and aggregates was investigated. The three-dimensional morphology of subvoxel microcrack openings was measured, the overall assessment and local depiction of concrete damage were quantified. Subvoxel microcrack openings greater than 0.26 vx were identified. The average maximum principal and average volumetric strains in the matrix were higher than those in the aggregates, and noticeable strain concentrations existed in the interfacial transition zone and pore edges. Microcracks initiated in the macroscopic elastic stage, whereas voxel-level crack openings were observed at 90% of the ultimate load. This study provides experimental support for further revealing the growth process of concrete damage.

**Keywords** concrete; digital volume correlation (DVC); in-situ CT scanning; subvoxel microcrack opening; damage development

# 1 Introduction

As a quasi-brittle multiphase composite material, the complexity of the phases within concrete induces discontinuities in its physical and mechanical properties (Giaccio, et al., 1998; Gupta, et al., 2022; Mazars, 1986). To provide reliable estimates for the integrity of building structures, investigating the relationship between the heterogeneity of multiphase concrete and its macroscopic nonlinear mechanical behavior is essential. Currently, many studies are being conducted on the mechanical response and damage growth of concrete using nondestructive techniques. Acoustic emission (AE) is utilized to assess internal damage in concrete and locate cracked regions. By analyzing the AE characteristic parameters, the safety performance detection and damage evaluation of concrete structures is obtained (Burud, et al., 2021; He, et al., 2023; Qin, et al., 2022; Zhang, et al., 2022). Based on digital image correlation (DIC), the deformation fields on concrete surfaces are measured, and their heterogeneity and crack propagation laws have been analyzed (Golewski, 2021; Lian, et al., 2022; Zhou, et al., 2021). However, AE cannot achieve accurate location of damaged regions inside concrete and cannot specifically describe the microcrack propagation process. DIC does not give access to internal deformation fields, which limits the scope for describing damage growth in concrete.

In recent years, the popularization and application of X-ray computed tomography (XCT) in the field of civil engineering materials have significantly promoted the research on damage mechanism in concrete (du Plessis, et al., 2019). XCT gives access to the 3D morphology and spatial distribution of various concrete phases at the microscopic level (Chung, et al., 2021; Jivkov, et al., 2013; Sun, et al., 2022; Wong, et al., 2005). The 3D morphology of cracks obtained using image processing techniques reflects the degree of damage (Hong, et al., 2022; Oesch, et al., 2020). Combined with in-situ testing devices, using volumetric images under different loading conditions, and statistically analyzing changes in image grayscale information based on stereological methods, internal damaged regions are identified (Ding, et al., 2022; Hong, et al., 2019; Tian, et al., 2019). However, limited by the resolution of CT, the initiation and propagation of cracks above the voxel scale have been the main topics for damage analyses, and there has been little quantitative research devoted to the growth process of subvoxel microcrack openings. As a quasi-brittle material, macrocracking of concrete often foreshadows the rapid loss of its load-bearing capacity (Ouyang, et al., 2022), and the cracking mechanisms identified at the voxel scale only indicate that damage

1 accumulation inside a sample has triggered a cross-scale transformation, which is different from the  
2 initial stage of damage development.

3 The combination of digital volume correlation (DVC) and XCT has enabled for full-field  
4 measurements and visualization of internal deformations ([Germaneau, et al., 2007](#); [Jiroušek, et al.,](#)  
5 [2011](#); [Liu, et al., 2023](#); [Mao, et al., 2021](#); [Mao, et al., 2019](#)), thereby providing a richer and more  
6 accurate measurement method for quantifying the internal deformation characteristics at the  
7 microscopic level and capturing local damage events. Using this method, scholars have conducted  
8 research on the deformation features of various cement-based ([Hong, et al., 2022](#); [Lorenzoni, et al.,](#)  
9 [2022](#); [Zhang, et al., 2021](#)) and concrete ([Li, et al., 2023](#); [Stamati, et al., 2021](#); [Zhang, et al., 2023](#))  
10 materials under different loading conditions and have effectively measured kinematic fields.  
11 However, the DVC subset size is often large, resulting in low spatial resolutions and challenges in  
12 detecting microscale damage at the initial stages of loading. Research has been conducted on  
13 subvoxel opening detection based on local ([Chateau, et al., 2018](#)) and global ([Tsitova, et al., 2022](#))  
14 DVC, but have not yet been performed for damage quantification and characterization based on  
15 microcrack quantification throughout the loading process. In addition, to reduce the adverse effects  
16 of scanning noise, insufficient contrast and other factors, mechanical regularization ([Tsitova, et al.,](#)  
17 [2022](#)), curvature regularization ([van Dijk, et al., 2019](#)), and other additional constraints have been  
18 introduced to enhance the robustness of DVC algorithms.

19 Based on in situ uniaxial compression experiments on concrete samples, this study employs  
20 global DVC with mechanical regularization to measure 3D deformation fields inside concrete  
21 throughout the loading process and quantitatively analyze the mechanical response of the concrete  
22 phases. The outline of the paper is as follows. In Section 2, the focus is mainly on the concrete  
23 sample preparation and in-situ uniaxial compression. Section 3 mainly introduces the mechanically  
24 regularized DVC analysis method. Section 4 conducts the measurement uncertainty analysis of  
25 DVC, and discusses its applicability in concrete examples. In Section 5, mechanically regularized  
26 DVC parameters are further analyzed and discussed. The internal deformation field of concrete is  
27 calculated to analyze the differences in mechanical response of different phases, and the 3D  
28 expansion morphology of subvoxel microcrack openings is measured. The influence of regions with  
29 poor mechanical properties on the failure of concrete is investigated. The 3D expansion morphology  
30 of subvoxel microcrack openings are measured, and the overall assessment and local

1 characterization of the internal damage process are quantified using stereological methods. The  
2 influence of regions with poor mechanical properties on the failure of concrete is investigated.

## 3 **2 Material and Experiment**

### 4 **2.1 Sample Preparation and Determination of Mechanical Parameters**

5 Ordinary Portland cement PO 32.5 was used and natural limestone with a gradation between 4  
6 and 20 mm was selected as the coarse aggregates. The fine aggregates were medium-coarse sand  
7 with a mud content of less than 5 vol%. The aggregate size distribution is shown in Fig. 1. The  
8 above materials were mixed according to the proportion of cement : fine aggregate : coarse  
9 aggregate : water = 1 : 3 : 6 : 1. Hereinafter, ‘aggregate’ refers to the coarse aggregates, and ‘matrix’  
10 corresponds to the mixture of cement, fine aggregates, and water. The mixture was poured into a  
11 100 mm × 100 mm × 100 mm mold. After curing for 28 days, cube samples were processed into  
12 cylindrical specimens with a size of 25 mm in radius and 50 mm in height for uniaxial compression.  
13 An average peak stress of 22.4 MPa was measured for 3 samples using a CSS-44100 universal  
14 testing machine.

15 The constituent parameters are shown in Table 1. The densities of the aggregates and matrix were  
16 measured using the density test method. Nanoindentation tests were carried out to measure the  
17 reduced Young’s modulus of mortar and aggregates. The Poisson’s ratios correspond to literature  
18 data (Brandt, 2005; Claisse, 2015; Gercek, 2007; Ji, et al., 2018).

19

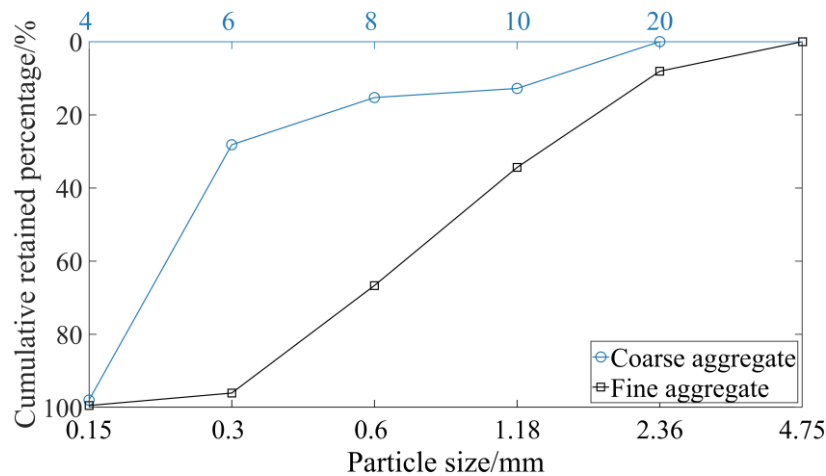


Fig. 1 Aggregate size distribution curve.

20

1

Table 1 Mechanical parameters of aggregates and matrix.

Material	Density (kg/m <sup>3</sup> )	Young's modulus (GPa)	Poisson's ratio
Aggregate	2,660	49.4, 52.1, 54.1, 56.1, 58.8 (average: 54.1)	0.21
Matrix	2,100	10.5, 11.7, 12.7, 13.7, 14.9 (average: 12.7)	0.25

2

### 3 2.2 In-situ Uniaxial Compression

4 An in situ uniaxial compression experiment was conducted using a home-designed uniaxial  
 5 loading device and a micro-CT system (Mao, et al., 2017). The scanning parameters are listed in  
 6 Table 2.

7

8

Table 2 Micro-CT scanning parameters.

Item	Parameters
Detector	PerkinElmer XRD 0822AP 14
Definition	1024 × 1024 pixels
X-ray source	YXLON 225 kV
Scanning voltage/current	120 kV/0.2 mA
Scanning time	25 min
Resolution	45μm × 45μm × 45 μm
Number of projections	720
Rotation step	0.5°
Filter (reconstruction)	None
Gray-level amplitude	16 bits

9

The CT system and uniaxial loading device are shown in Fig. 2.

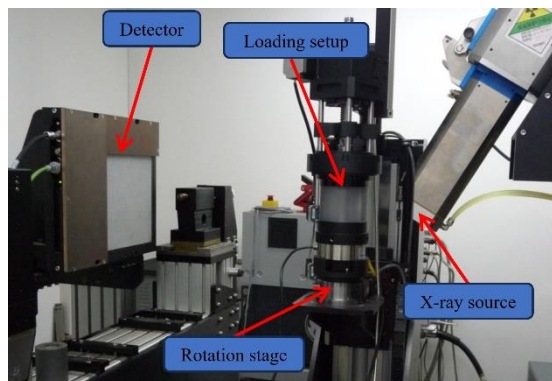


Fig. 2. CT system and uniaxial loading device.

1 Scanning was performed at 8 stress levels, namely, 0, 4.5, 9.0, 13.5, 16.0, 19.5, 21.6 MPa and  
 2 after failure. During each scan, the loading was held constant. Due to 25 min duration of each scan  
 3 (see Table 2), small stress relaxations occurred, which led to the some small oscillations in the  
 4 stress–strain curve (Fig. 3(a)). Two scans were performed at 0 MPa to quantify measurement  
 5 uncertainties. Volumes of interest with sizes  $700 \text{ vx} \times 700 \text{ vx} \times 900 \text{ vx}$  were cropped from the  
 6 reconstructed images. The 3D renderings are displayed in Fig. 3(b, c). Fig. 3(c) shows that there is  
 7 a tilted main crack and several secondary cracks virtually parallel to the  $z$ -axis, thereby indicating  
 8 that tension-shear hybrid failure occurred in the specimen. The stroke speed was 0.18 mm / min.

9 In addition, two sections located at  $x = 12.5 \text{ mm}$  and  $y = 12.5 \text{ mm}$  (orthogonal to each other)  
 10 are also shown (Fig. 3(d,f)). It is observed that the cracks propagated along aggregate-cement  
 11 interfaces, which were bridged by cracks in the matrix to form the macrocrack. Among them, the  
 12 green dashed regions are used for the analysis of kinematic fields (Section 5.1), and the white dashed  
 13 regions are used in Section 5.2; the corresponding damaged sections are displayed together (Fig.  
 14 3(e,g)).

15

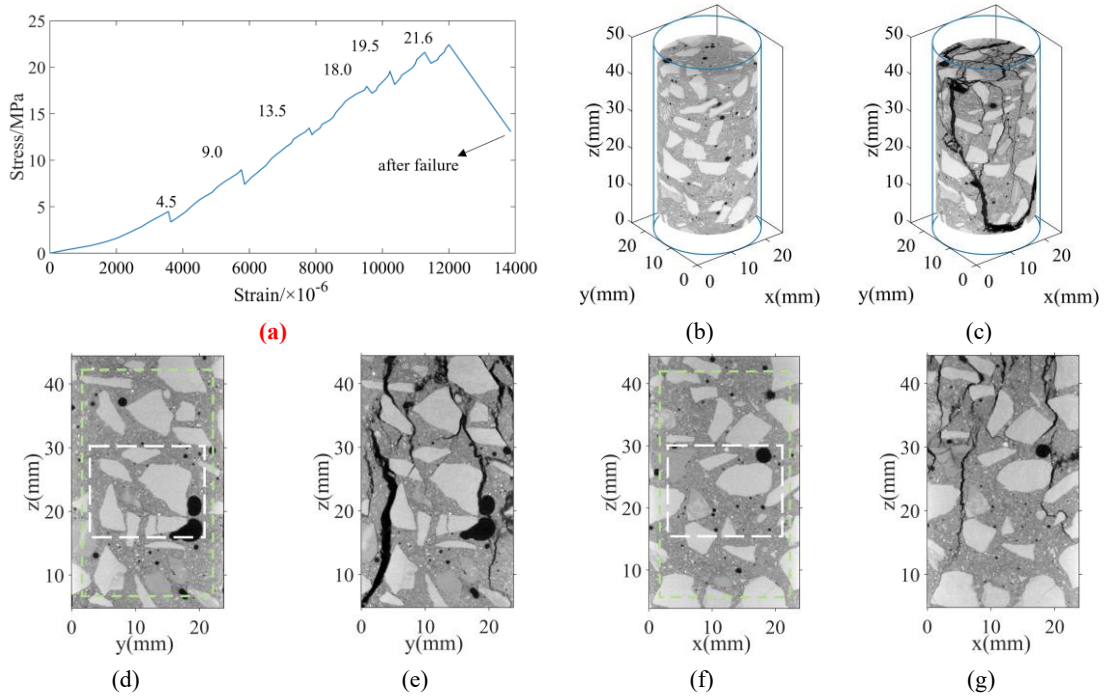


Fig. 3. (a) Stress–strain curve of in situ uniaxial compression. 3D renderings of the in situ tested sample at 0 MPa (b) and after failure (c). Section located at  $x = 12.5 \text{ mm}$  at 0 MPa (d) and after failure (e). Section located at  $y = 12.5 \text{ mm}$  at 0 MPa (f) and after failure (g).

# 1 **3 Analysis Methods**

## 2 **3.1 Mechanically Regularized DVC**

3 Reducing measurement uncertainty while increasing the spatial resolution is one of the key  
4 problems in DVC. In conventional DVC, large interrogation subsets or coarse meshes allow for a  
5 low uncertainty in the measured displacements at the expense of a poor spatial resolution.  
6 Conversely, small interrogation subsets or fine meshes induce a better spatial resolution, but due to  
7 the fewer voxels considered for each measured displacement, at the expenses of higher displacement  
8 uncertainties (Leclerc, et al., 2011). A tradeoff between spatial resolution and displacement  
9 uncertainty has to be found. In this study, regularization based on mechanical equilibrium was  
10 introduced in global DVC to lower this limitation. Further, in global DVC, finite element meshes  
11 can be made consistent with the different phases of concrete. It is then possible to assign different  
12 elastic properties in the mechanical regularization method, and thus take into account the  
13 heterogeneous nature of the concrete. Such approach is not (yet) possible in commercial DVC  
14 softwares.

15 Additionally, based on mechanically regularized DVC, the microcrack opening displacement  
16 can be more accurately quantified by incorporating damage whenever needed (Liu, et al., 2023).  
17 The heterogeneity of strain fields is better captured by considering different elastic moduli for each  
18 phase (Tsitova, et al., 2022). As shown hereafter, this approach effectively reflects and quantifies  
19 damage in heterogeneous materials such as concrete.

### 20 3.1.1 Basic Principle

21 The reference and deformed volumes are defined as  $f(\mathbf{x})$  and  $g(\mathbf{x})$ , respectively, and the  
22 corresponding displacement field is  $\mathbf{u}(\mathbf{x})$ . Based on the gray level conservation principle

$$f(\mathbf{x}) = g(\mathbf{x} + \mathbf{u}(\mathbf{x})) \quad (1)$$

23 According to the finite element theory (Zienkiewicz, et al., 2005), the displacement field  $\mathbf{u}(\mathbf{x})$  is  
24 expressed as

$$\mathbf{u}(\mathbf{x}) = \sum_i \mathbf{N}_i(\mathbf{x}) u_i \quad (2)$$

25 where  $\mathbf{N}_i$  is the  $i$ -th shape function, and  $u_i$  the corresponding nodal displacement. The gray-  
26 level residual (GLR) field  $\varphi_c$  and dimensionless correlation residual  $\eta$  are defined as



$$\varphi_c = |f(\mathbf{x}) - g(\mathbf{x} + \mathbf{u}(\mathbf{x}))| \quad (3)$$

$$\eta = \frac{\sqrt{\frac{1}{|\Omega|} \sum_{\mathbf{x}} (f(\mathbf{x}) - g(\mathbf{x} + \mathbf{u}(\mathbf{x})))^2}}{f_{\max} - f_{\min}} \quad (4)$$

1 where  $\Omega$  stands for the Region of Interest (ROI).

2 The displacement field  $\mathbf{u}(\mathbf{x})$  is obtained by minimizing the following cost-function

$$\min_{\{\mathbf{u}\}} \Phi_c^2 = \sum_{\Omega} [f(\mathbf{x}) - g(\mathbf{x} + \mathbf{u}(\mathbf{x}))]^2 \quad (5)$$

3 using a Gauss-Newton iterative scheme (Hild, et al., 2012)

$$[\mathbf{M}]\{\delta\mathbf{u}\} = \{\mathbf{b}\} \quad (6)$$

4 where  $\{\delta\mathbf{u}\}$  is the column vector gathering all incremental nodal displacements, and

$$M_{ij} = \int_{\Omega} (\nabla f(\mathbf{x}) \cdot \mathbf{N}_i(\mathbf{x})) (\nabla f(\mathbf{x}) \cdot \mathbf{N}_j(\mathbf{x})) d\mathbf{x} \quad (7)$$

$$b_i = \int_{\Omega} (\nabla f(\mathbf{x}) \cdot \mathbf{N}_i(\mathbf{x})) (f(\mathbf{x}) - g(\mathbf{x} + \mathbf{u}(\mathbf{x}))) d\mathbf{x} \quad (8)$$

5 When measuring displacement fields, in addition to considering the matching degree of the  
6 grayscale information between the reference and deformed images, it may also satisfy the  
7 corresponding mechanical equilibrium conditions. Mechanically admissible solutions are obtained  
8 by adding equilibrium conditions. In a finite element sense, the balance equations read

$$[\mathbf{K}]\{\tilde{\mathbf{u}}\} = \{\mathbf{f}\} \quad (9)$$

9 where  $[\mathbf{K}]$  is the full stiffness matrix,  $\{\tilde{\mathbf{u}}\}$  nodal displacement vector, and  $\{\mathbf{f}\}$  the nodal force  
10 vector. A new cost-function  $\Phi_m$  is defined as the mechanical residual assessing the equilibrium  
11 gap

$$\{\mathbf{f}_r\} = [\mathbf{K}]\{\mathbf{u}\} - \{\mathbf{f}\} \quad (10)$$

12 In the absence of body forces, the applied forces on all internal nodes are null. Therefore, the  
13 corresponding cost-function reads (Hild, et al., 2015)

$$\Phi_m^2 = \{\mathbf{u}\}^T [\mathbf{K}]^T [\mathbf{K}]\{\mathbf{u}\} \quad (11)$$

14 Therefore, the displacement field is obtained by minimizing the weighted sum of dimensionless  
15 cost-functions (5) and (11) (Leclerc, et al., 2012; Leclerc, et al., 2011)

$$\Phi_t^2 = \tilde{\Phi}_c^2 + \omega \tilde{\Phi}_m^2 \quad (12)$$

1 where  $\tilde{\Phi}_c^2$  and  $\tilde{\Phi}_m^2$  denote normalized gray residuals and mechanical residuals, respectively.  
 2 They are obtained by considering a reference displacement field associated with a plane wave (of  
 3 wave vector  $\mathbf{k}$ ). The weight  $\omega$  is defined as (Leclerc, et al., 2012)

$$\omega = \left(2|\mathbf{k}| \pi \ell_{reg}\right)^4 \quad (13)$$

4 where  $\ell_{reg}$  is the so-called regularization length.

5 In the present algorithm, 8-noded cubic elements are utilized. Thus, the algorithm is called  
 6 Reg-C8-DVC, which is implemented in MATLAB. Mechanical regularization is considered only  
 7 for internal nodes

### 8 3.1.2 Stiffness Matrix

9 The stiffness matrix reflects the load response of the material. In the present case, the stiffness  
 10 matrix is constructed as follows:

11 (1) Considering material heterogeneity in the stiffness matrix

12 In mechanically regularized DVC considering elastic heterogeneities, the regularization weight  
 13 varies between phases since it depends on the Young's modulus. According to the phase in each  
 14 element, the elastic properties are set accordingly. Therefore, elastic contrast  $C$  is accounted for  
 15 (Tsitova, et al., 2022)

$$C = \frac{E_a}{E_m} = \left(\frac{\ell_{reg}^a}{\ell_{reg}^m}\right)^2 \quad (14)$$

16 where  $E_m$  and  $E_a$  are the Young's moduli of the matrix and aggregates, respectively. From such  
 17 definition, it follows that the regularization weight is different in both considered phases.

18 (2) Damaging the stiffness matrix

19 The premise of using  $\ell_{reg}$  is the assumption of elastic behavior when writing mechanical  
 20 equilibrium. Therefore, for a damaged region where degradations initiated upon loading or were  
 21 present initially (e.g., pores or initial cracks), a damage variable is added to weaken the elastic  
 22 properties (Hild, et al., 2015). The elementary stiffness matrix  $[\mathbf{K}_D^e]$  is expressed as

$$[\mathbf{K}_D^e] = [\mathbf{K}^e](1 - D) \quad (15)$$

23 where  $[\mathbf{K}^e]$  is the elementary stiffness matrix of an undamaged element, and  $D \in [0, 1]$  the  
 24 damage variable, which is uniform in each damaged element. If the element is fully damaged,  $D$

1 should reach 1. In actual calculations, for damaged elements,  $D$  is made close to (but not equal to)  
 2 1 to ensure DVC convergence for small elements in which contrast is not high enough. The  
 3 introduction of the damage variable  $D$  allows the mechanical regularization to be significantly  
 4 weakened in non-elastic regions, while elastic regions remain under the control of mechanical  
 5 regularization. The heterogeneous effect is schematically illustrated by connecting nodes with  
 6 equivalent springs with different stiffness coefficients  $k_{ij}^e$ . The effect of damage is equivalent to  
 7 connecting the nodes in the damaged region with weak springs whose stiffness tends to 0 as depicted  
 8 in Fig. 4.

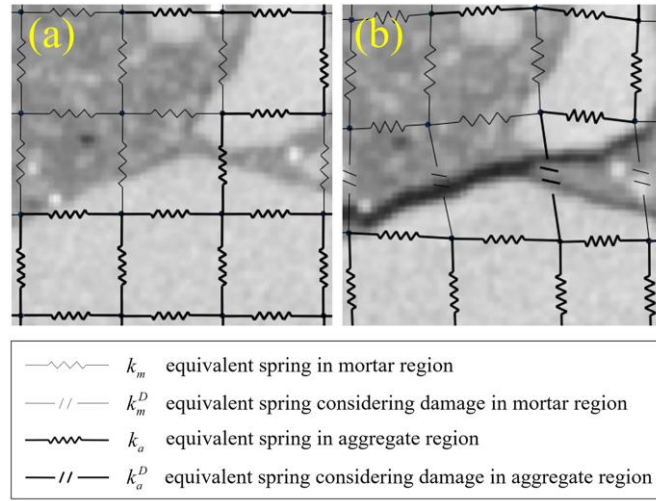


Fig. 4. Schematic illustration of the stiffness matrix with elastic contrast (a) and damage (b).

### 9 3.1.3 Crack Opening Displacement

10 The direct analysis of CT images allows cracks to be detected above the resolution scale when  
 11 they are open. Newly generated subvoxel opening microcracks are characterized by the mean crack  
 12 opening displacement (COD) in each damaged element (Liu, et al., 2023)

$$u_c = \varepsilon_1 l \quad (16)$$

13 where  $u_c$  is the elementary COD,  $\varepsilon_1$  the maximum principal strain, and  $l$  the element size.

## 14 3.2 CT image segmentation

15 To run heterogeneous Reg-C8-DVC, a fine segmentation was performed using a U-net network  
 16 (Ronneberger, et al., 2015), which distinguishes different phases without relying on traditional  
 17 threshold-based segmentation methods. To enhance the training efficiency and reduce redundant  
 18 information, a labeling strategy was adopted, every 50 CT slices from reference volume, one slice

1 was selected, during which the region of interest (ROI), including aggregates and pores, was  
 2 manually labeled. To increase data diversity, data augmentation techniques such as rotation,  
 3 mirroring, and contrast adjustment were applied. An 80% subset of the samples was chosen for  
 4 training, with the remaining 20% utilized as the test set. The U-net model was then trained using  
 5 these data and subsequently applied to all CT slices. The segmentation results are illustrated in Fig.  
 6 5. Among them, there are 1,393 pores, accounting for 1.93% of the sample volume, and the average  
 7 equivalent diameter is 590  $\mu\text{m}$ .

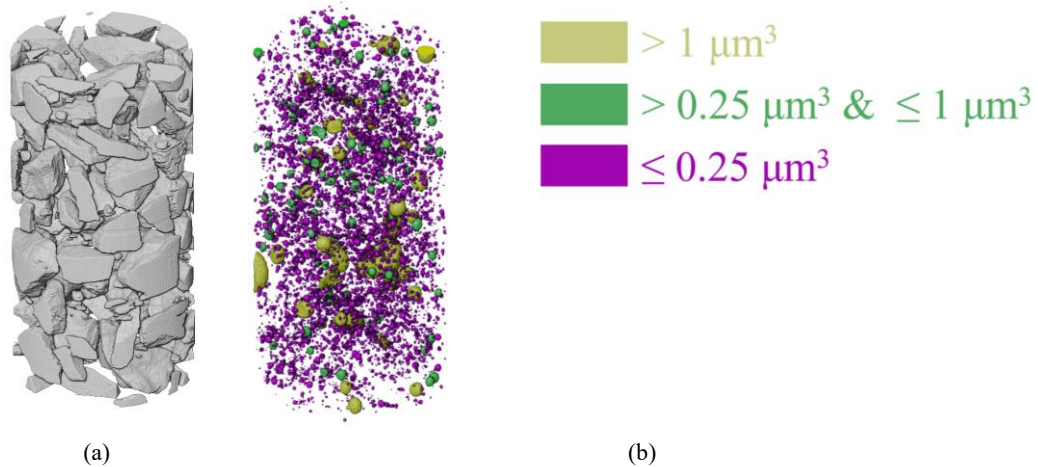


Fig. 5 . Aggregate (a) and pore (b) segmentation.

8

## 9 **4 DVC Analyses**

### 10 **4.1 Autocorrelation Examination**

11 Reconstructed volumes are registered via DVC to measure deformation fields. Its feasibility  
 12 needs to be checked before running Reg-C8-DVC analyses. Normalized autocorrelation curves with  
 13 half-peak full width estimates (Minguet, et al., 2020) are used as a means of estimating the  
 14 equivalent speckle size to characterize the richness of grayscale information in the ROI (Fig. 6).  
 15 Fifteen regions with a size of  $50 \text{ vx} \times 50 \text{ vx} \times 50 \text{ vx}$  were randomly selected in the matrix, aggregates,  
 16 and GLR (calculated using Reg-C8-DVC between two scans before loading, representing the  
 17 baseline levels associated with acquisition noise and reconstruction artifacts), and their average  
 18 equivalent speckle size was calculated.

19 Statistically, GLRs have a small average equivalent speckle size of less than 2 vx. The average  
 20 equivalent speckle sizes of the aggregates and matrix were 3.3 and 6.6 vx, respectively. In summary,

1 the image grayscale information inside the concrete sample is sufficient to achieve scale separations  
2 between noise and contrast in both phases.

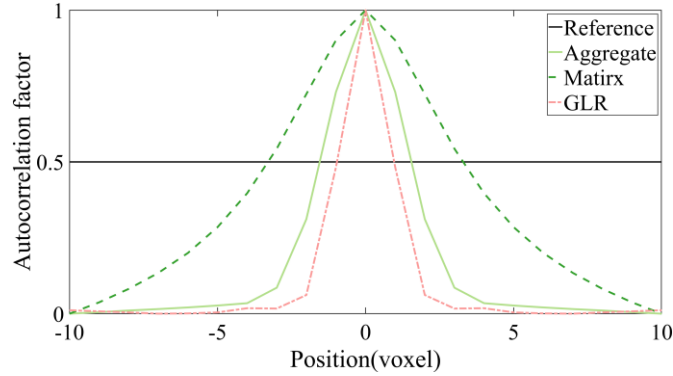


Fig. 6. Normalized autocorrelation function and equivalent speckle size associated with GLR and both phases.

### 3 4.2 Uncertainty Quantification

#### 4 4.2.1 Parameter Setting

5 The two scans at 0 MPa were used to evaluate the measurement uncertainties associated with  
6 Reg-C8-DVC. A ROI of size  $280 \text{ vx} \times 280 \text{ vx} \times 280 \text{ vx}$  (i.e.,  $12.6 \text{ mm} \times 12.6 \text{ mm} \times 12.6 \text{ mm}$ ) in  
7 the middle of the concrete sample was cropped, as illustrated in Fig. 7(a). A uniform elastic contrast  
8  $C \equiv 1$  means homogeneous Reg-C8-DVC; otherwise, it is referred to as heterogeneous. Finite  
9 elements distinguished with different phases are shown in Fig. 7(b). The different parts represent  
10 the aggregates, matrix, and pore elements, respectively. The Reg-C8-DVC parameters are listed in  
11 Table 3. When considering heterogeneous Reg-C8-DVC, the regularization weight in different  
12 phases is affected by the Young's modulus (for the aggregates, it is equal to 54.1 GPa, and 12.7 GPa  
13 for the matrix). In the present case, the elastic contrast  $C$  between the aggregates and the matrix is  
14 equal to 4.26 (Equation (14)). Pores correspond to initial damage, and mechanical regularization  
15 also needs to be weakened. The damage variable  $D$  should be equal to 1 for fully damaged elements  
16 (Equation (15)). Considering the convergence of DVC calculations, such value cannot be set, and a  
17 value of 0.98 was selected, which is equivalent to 0.02 for  $C$ .

18

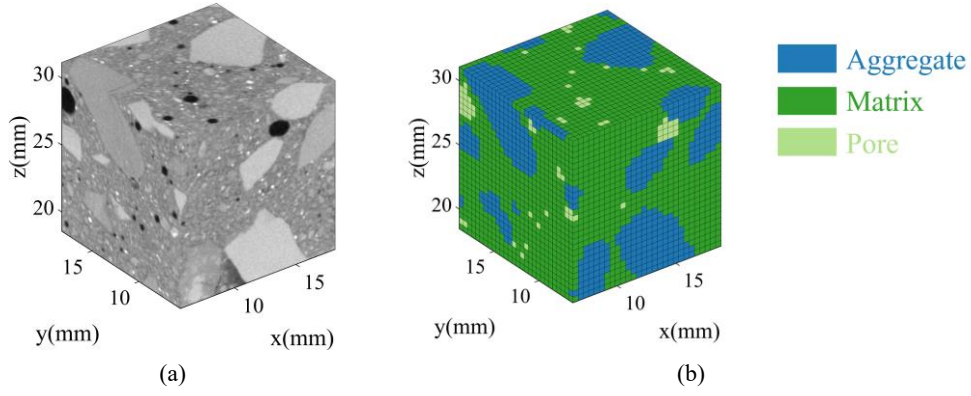


Fig. 7. Calculation region (a) and element labeling (b).

1 Table 3 Reg-C8-DVC parameters with homogeneous (first row) and heterogeneous elastic contrast.

Element division	Element type	Element size (vx)	Element number	Elastic contrast $C$
-			21,952	1
Aggregate	C3D8	11	7,322	4.26
Matrix			14,357	1
Pore			273	0.02

2

#### 3 4.2.2 Residual Analysis

4 Fig. 8 depicts the changes of the dimensionless correlation and mechanical residuals with  $\ell_{reg}$   
5 when  $C \equiv 1$  (Reg-C8-DVC was run using the volumes from the two scans acquired before loading).  
6 It is seen that as  $\ell_{reg}$  decreases, the dimensionless correlation residual very slightly decreases  
7 from  $8.1 \times 10^{-3}$  to  $7.7 \times 10^{-3}$ . This effect is due to the fact that as the regularization weight is lowered,  
8 the total cost-function is less penalized and more freedom is given to the DVC analysis. Moreover,  
9 a decrease in  $\ell_{reg}$  lowers the dimensionless weight  $\omega$ , thereby reducing the constraint on  
10 mechanical equilibrium. Thus, the mechanical residuals significantly increase from  $1.64 \times 10^{-3}$  to  
11  $1.59 \times 10^4$  (in arbitrary units).

12 The above results show that selecting a large regularization length  $\ell_{reg}$  does not significantly  
13 degrade the dimensionless correlation residual. In the present case, the regularization length can be  
14 increased at will to act as a low-pass filter on the displacement field, which will filter out any non-  
15 mechanically admissible component (for instance, due to uncertainties associated with acquisition  
16 and CT reconstruction), which effectively improves Reg-C8-DVC.

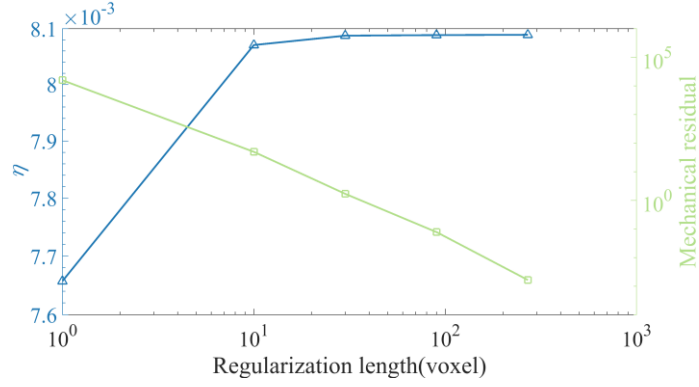


Fig. 8. Dimensionless correlation residual  $\eta$  and mechanical residual as functions of the regularization length  $l_{reg}$ .

#### 1 4.2.3 Displacement and Strain Uncertainties

2 Fig. 9 shows the displacement and strain uncertainties along the  $x$ -,  $y$ -, and  $z$ -axes of the  
3 aggregates (Fig. 9(a,c)) and matrix (Fig. 9(b,d)) as functions of  $l_{reg}$  when  $C \equiv 1$ . As  $l_{reg}$   
4 increases, the higher mechanical admissibility, the more spurious fluctuations are filtered out.  
5 Therefore, when  $l_{reg}$  is large, the uncertainties in the aggregate are lower than those in the matrix.  
6 When  $l_{reg} = 10$  vx, the standard displacement and strain uncertainties in the matrix are  
7 significantly smaller than those in the aggregates. This result is due to the fact that the regularization  
8 effect is relatively small, and the standard displacement uncertainty is inversely proportional to the  
9 image gradient, that is, the larger the image gradient, the smaller the standard measurement  
10 uncertainty (Leclerc, et al., 2012; Tsitova, et al., 2022). The root mean square of gray level gradient  
11 in the aggregate and matrix regions along the  $x$ -,  $y$ - and  $z$ -axes are calculated. In the aggregate region,  
12 it is equal to 138, 141 151 GL / vx and it is larger in the matrix, namely, 222, 221, 244 GL / vx.

13 The double logarithmic plots of Fig. 9 are also interpolated by power laws. For images  
14 corrupted by white Gaussian noise, the theoretical exponents of displacement and strain  
15 uncertainties are  $-1.5$  and  $-2.5$ , respectively (Leclerc, et al., 2012). It is observed that the  
16 displacement (Fig. 9(a, b)) and strain (Fig. 9(c, d)) uncertainties of both aggregate and matrix regions  
17 approach the theoretical predictions.

18

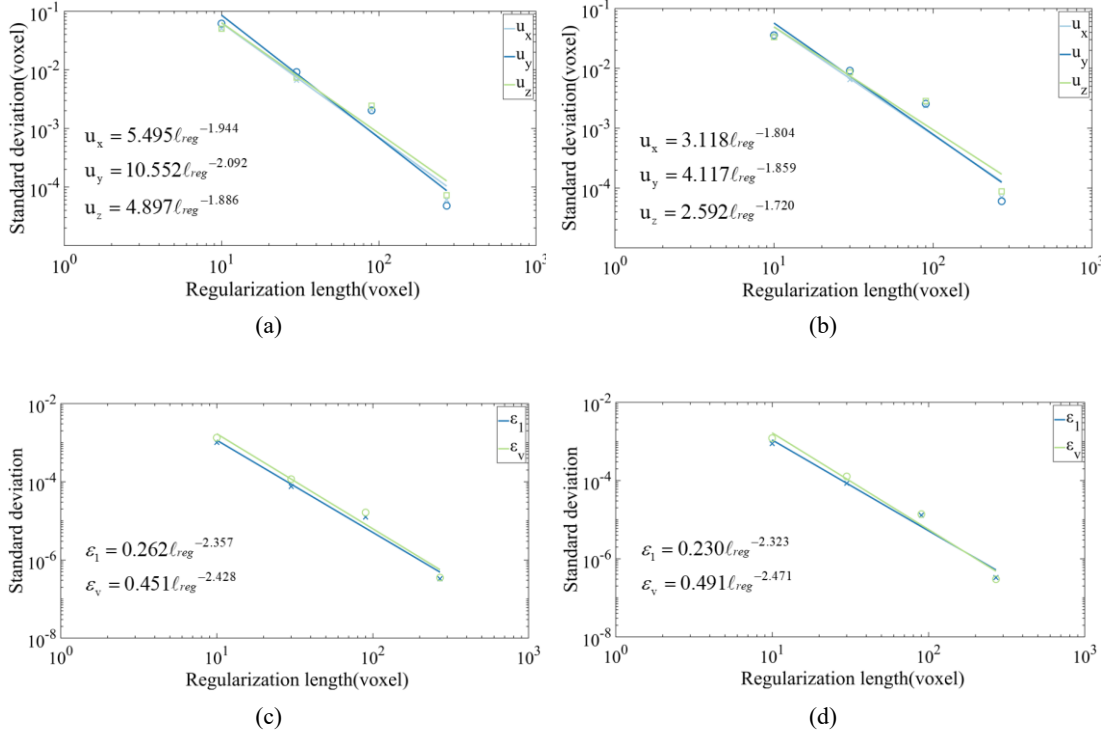


Fig. 9. Standard displacement (a, b) and strain (c, d) uncertainties versus regularization length  $\ell_{reg}$  for the aggregates (a, c) and the matrix (b, d).

#### 1 4.2.4 Effect of Mechanical Regularization

2 To assess the effect of mechanical regularization associated with Reg-C8-DVC, the  
3 dimensionless correlation residuals are plotted against the mechanical residuals at each loading stage  
4 using homogeneous and heterogeneous Reg-C8-DVC (Fig. 10). With increased loading, the change  
5 in the value of elastic contrast  $C$  influences more the Reg-C8-DVC results. For the same  
6 regularization length  $\ell_{reg}$ , the mechanical residuals are higher when considering heterogeneity in  
7 Reg-C8-DVC. This effect occurs because the regularization weights in the different phases are  
8 different (i.e., the Young's modulus of the aggregates is 4.26 times that of the matrix; therefore, the  
9 regularization length in the aggregates is 2.1 times that in the matrix region with heterogeneous Reg-  
10 C8-DVC). Displacement gradients along the boundaries between different phases are also more  
11 pronounced.  
12



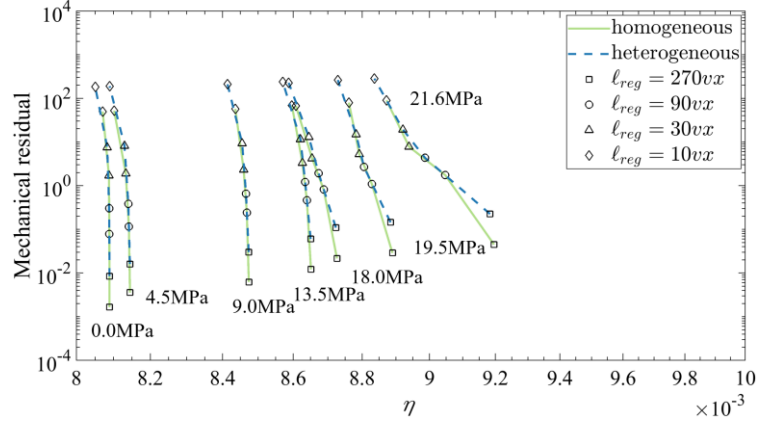


Fig. 10. Dimensionless correlation residual  $\eta$  vs. mechanical residual (in arbitrary units) using different Reg-C8-DVC approaches for each loading stage.

## 1 5 Analysis of Kinematic Fields

### 2 5.1 Overall Region of Interest

3 Reg-C8-DVC was run over the full height of the sample, 800 slices with a radius of 10.1 mm  
4 from 1.8 mm to 37.8 mm along the  $z$ -axis were kept, and the volume was 12.13 cm<sup>3</sup>. First, the  
5 influences of Reg-C8-DVC parameters on the displacement fields were investigated. Then, the  
6 internal mechanical response of concrete was analyzed based on the deformation field. The mesh  
7 size was 21 vx,  $l_{reg}$  was equal 180 vx, containing 16,520 elements and 18,860 nodes in total. For  
8 the kinematic analysis, the sections indicated in Fig. 3(d, f) (green dashed region) were used for  
9 illustration purposes.

#### 10 5.1.1 Influence of Regularization Length

11 To select  $l_{reg}$ , the dimensionless correlation and mechanical residuals are studied using  
12 heterogeneous Reg-C8-DVC at each loading stage (Fig. 11). It is observed that the lower the loading  
13 level, the more the curves tend to be parallel to the  $y$ -axis, thereby validating the hypothesis of  
14 heterogeneous elasticity. The dimensionless correlation residual degrades more as the applied load  
15 increases. For the mechanical residual, as internal damage develops within the material, it gradually  
16 deviates from the assumption of elasticity. The above factors lead to an increasing trend for both  
17 phases with an increase in loading under the same regularization length  $l_{reg}$ . From Section 4.2.3,  
18 it was concluded that the larger  $l_{reg}$ , the lower the measurement uncertainty. However, an  
19 excessively large  $l_{reg}$  leads to excessive smoothing of displacement fields and cannot fully

1 capture the true kinematics.

2

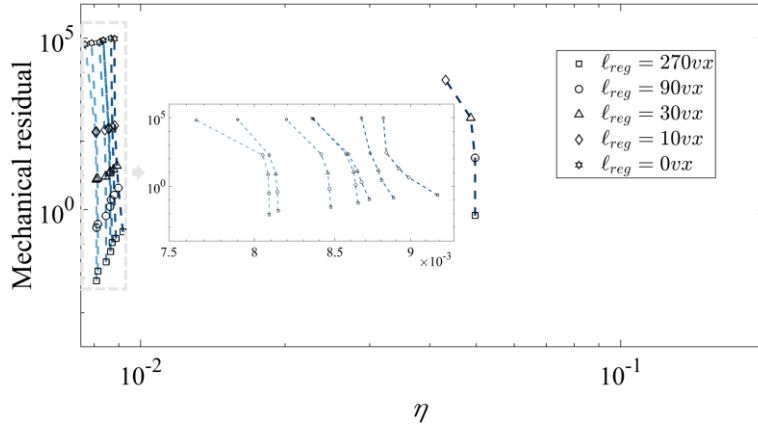


Fig. 11. Dimensionless correlation residual  $\eta$  vs. mechanical residual (in arbitrary units) using heterogeneous Reg-C8-DVC at each loading stage up to failure.

3

4 Considering the above results, a regularization length of nine times the element size was  
5 selected. Therefore, the standard displacement uncertainties in the aggregates and matrix are  
6  $2.2 \times 10^{-3} vx$  and  $2.6 \times 10^{-3} vx$ , respectively. The maximum principal strain uncertainties are  
7  $1.3 \times 10^{-5}$  and  $1.3 \times 10^{-5}$ , and the volumetric strain uncertainties are  $1.6 \times 10^{-5}$  and  $1.4 \times 10^{-5}$ ,  
8 respectively.

### 9 5.1.2 Influence of Heterogeneous Weighting

10 To further elucidate the influence of applying heterogeneous weighting on the kinematic fields,  
11 Reg-C8-DVC was run on the volume image acquired at 21.6 MPa. Measured kinematic fields are  
12 shown in Fig. 12, in which the sections correspond to the green dashed regions in Fig. 3(d,f),  
13 respectively. It is seen from Fig. 12(b) that when heterogeneous Reg-C8-DVC is used, the  
14 displacement gradient in the dotted boxes changes more significantly than homogeneous Reg-C8-  
15 DVC (Fig. 12(a)). In the strain fields calculated by heterogeneous Reg-C8-DVC (Fig. 12(d)), the  
16 difference of mechanical response of the different phases is more clearly observed than that  
17 calculated by homogeneous Reg-C8-DVC (Fig. 12(c)). Statistics on the GLRs show that the values  
18 are  $4.33 \times 10^{-3}$  and  $4.24 \times 10^{-3}$  when using homogeneous and heterogeneous Reg-C8-DVC,  
19 respectively, thereby indicating that applying elastic contrast  $C$  improves the faithfulness of DVC  
20 analyses.

21

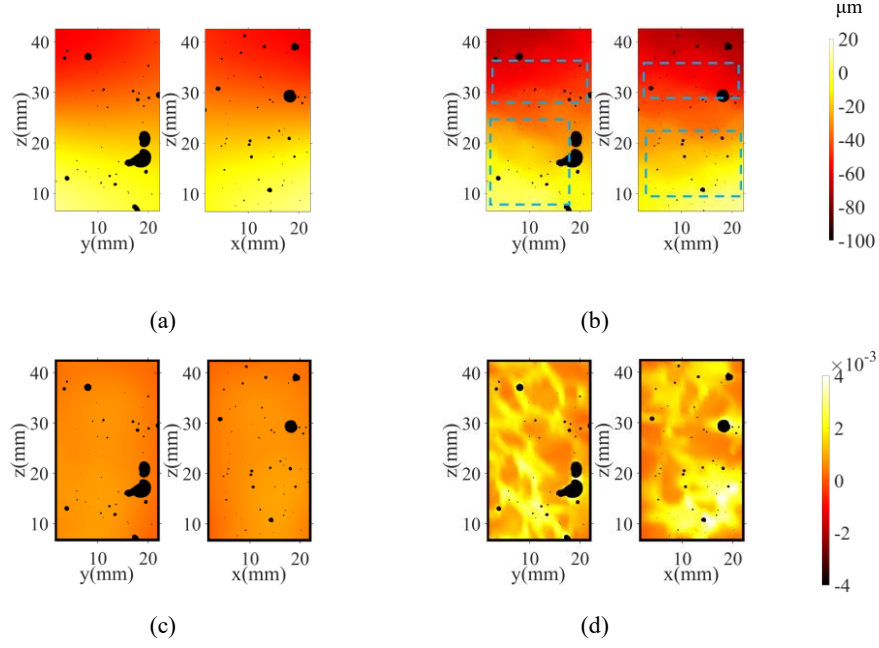


Fig. 12. Kinematic fields for homogeneous (a,c) and heterogeneous (b,d) regularization. Displacement fields along the  $z$ -axis (a,b). Maximum principal strain fields (c,d).

### 1 5.1.3 Influence of Damage Level

2 The damaged elements were identified using gray level hierarchical difference detection. The  
 3 element-wise GLR obtained by Reg-C8-DVC of the two scans before loading was taken as the  
 4 reference ( $GLR_A$ , Fig. 13(a)). The elementary GLR field obtained with Reg-C8-DVC for the volume  
 5 after failure was considered ( $GLR_B$ , Fig. 13(b)) for comparison purposes. The criterion for selecting  
 6 damaged element DE is such that  $GLR_{DE}$  satisfy

$$\Delta GLR = |GLR_B - GLR_A| \quad (17)$$

$$\Delta GLR_{DE} - \text{mean}(\Delta GLR) \geq 1.5 \times \text{std}(\Delta GLR) \quad (18)$$

7 The damaged elements extracted were then screened in combination with the spatial  
 8 distribution of cracks after failure, the spatial distribution of damaged elements is shown in Fig.  
 9 13(c). To determine the influence of the damage level on Reg-C8-DVC, the damage variable was  
 10 set as  $D = 0, 0.1, 0.5, 0.9, \text{ and } 0.99$ , and Reg-C8-DVC was carried out on the volume acquired at  
 11 21.6 MPa to select an appropriate level.

12

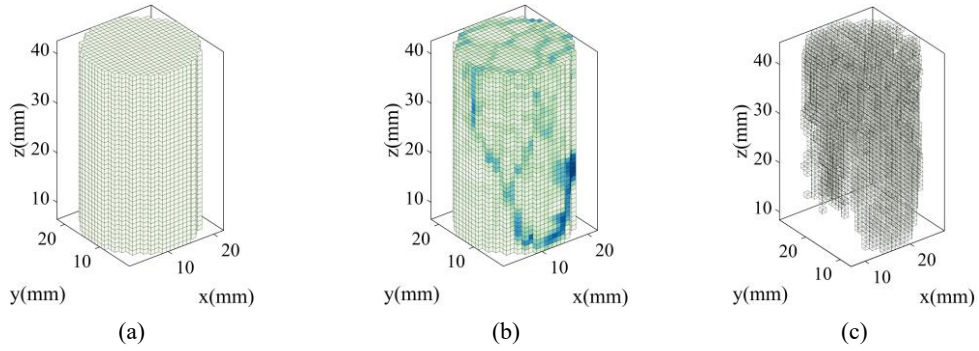


Fig. 13. Identification of damaged elements. (a) Reference GLR field. (b) Comparison GLR field. (c) Damaged elements.

1 Fig. 14 shows that as the damage level increases, the mean and root mean square GLR decrease,  
 2 thereby indicating that the higher the damage level  $D$ , the more trustworthy Reg-C8-DVC. When  
 3  $D = 0.99$ , the dimensionless correlation residual is equal to  $4.2 \times 10^{-3}$ .

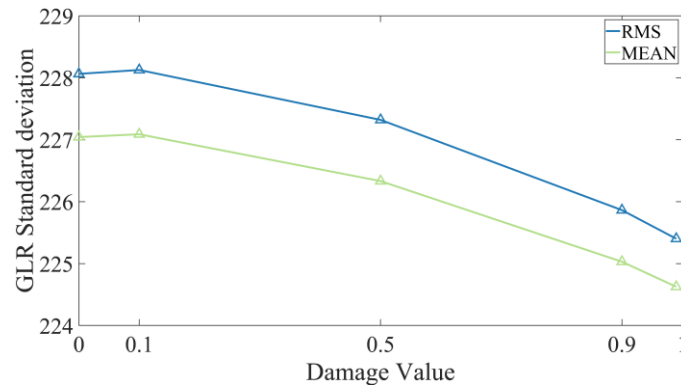
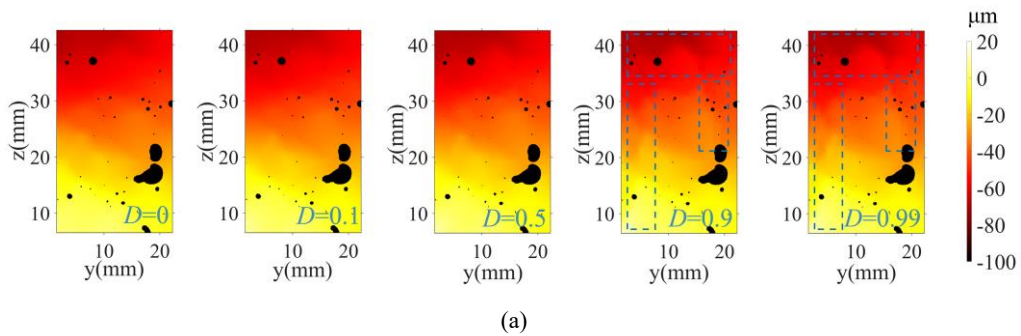


Fig. 14. Curves between damage factor value and GLR.

It is observed that as  $D$  increases, the displacement gradients in Fig. 15(a) and strain concentrations in Fig. 15(b) are more pronounced. Combined with the data of concrete sections after failure, the strain concentration regions correspond to the cracked regions. In all subsequent calculations,  $D = 0.99$ .



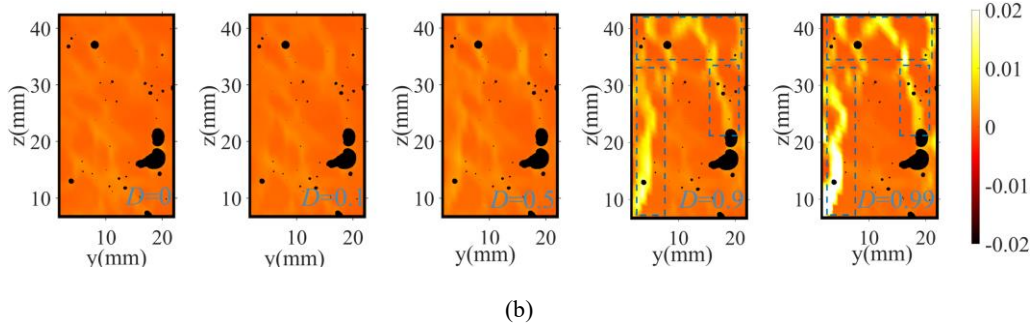


Fig. 15. Influence of damage values on kinematic fields. (a) Displacement fields along the  $z$ -axis. (b) Maximum principal strain fields.

It is seen from the introduction of the first three sections that a proper  $\ell_{reg}$  fully captures the real kinematics while maintaining the assumptions of elasticity. The application of heterogeneous weighting  $C$  more accurately reflects the difference in mechanical response between the different phases, thus improving the accuracy and faithfulness of the DVC analyses. The addition of higher damage level  $D$  more accurately accounts for the fracture behavior of concrete during the stress process. It better capture the damage distribution inside the concrete sample.

#### 1 5.1.4 Displacement Fields

2 The displacement changes in concrete during the full loading process in the green dashed  
 3 regions (Fig. 3(d,f)) are shown in Fig. 16. As the applied load gradually increases, the displacement  
 4 gradient amplitudes significantly increase in all directions. The sample is compressed along the  $z$ -  
 5 axis and expands along the  $x$ - and  $y$ -axes. As the load increases, the displacement field concentrates  
 6 in local regions of concrete (indicated by the dashed boxes).

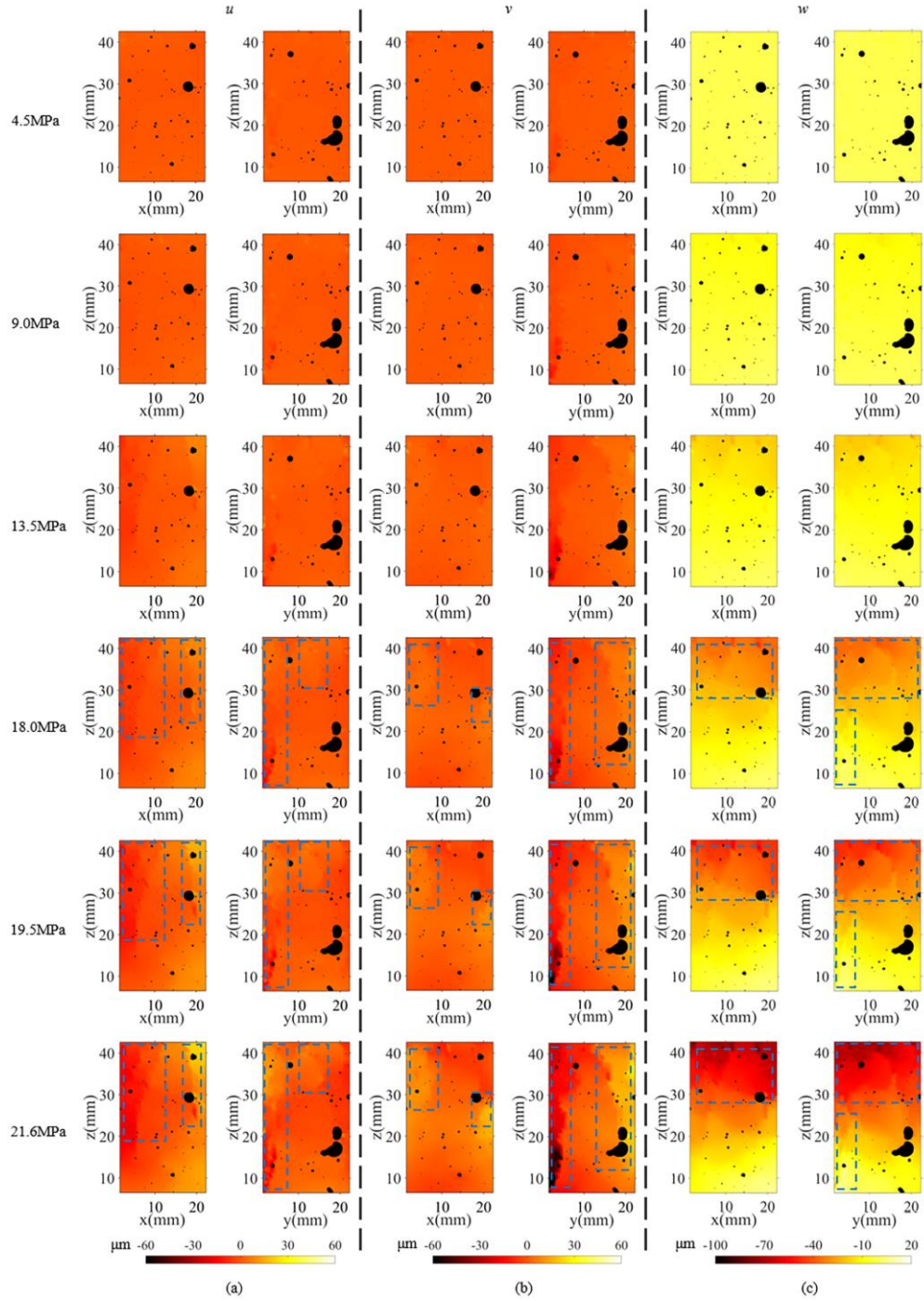


Fig. 16. Displacement field along the  $x$ -,  $y$ - and  $z$ - axes at 4.5, 9.0, 13.5, 18.0, 19.5, 21.6 MPa.

(a)  $u$  displacement; (b)  $v$  displacement; (c)  $w$  displacement fields.

### 1 5.1.5 Strain Fields

2 The macroscopic response of concrete results from coupled mechanical contributions of its  
 3 internal phases. To determine the difference in mechanical responses of the internal phases (i.e.,  
 4 calculating the average maximum principal strain and volumetric strain for each loading stage), the

1 average strain changes in the aggregate and matrix elements were statistically analyzed separately.

2 Fig. 17(a) displays the average maximum principal strain in concrete, which increased with the  
3 applied load. The variation at the macroscopic level was similar to that of the matrix because its  
4 volume fraction was 61%. At 4.5 MPa, the average strains in the aggregate and matrix elements  
5 (which were equal  $5.5 \times 10^{-5}$  and  $4.5 \times 10^{-5}$ , respectively) were both greater than the measurement  
6 uncertainty. As the loading increases, the average maximum principal strains of both phases  
7 exhibited an increasing trend, and the difference in mechanical responses gradually became  
8 significant. At 21.6 MPa, the average maximum principal strains in the aggregates and matrix were  
9 equal to  $9.8 \times 10^{-4}$  and  $3.7 \times 10^{-3}$ , respectively.

10 From Fig. 17(b), it is observed that at 4.5 MPa, the average volumetric strain only reached  
11  $-9 \times 10^{-5}$ , which means that concrete was in an overall contraction state. At 9.0 MPa, the volumetric  
12 strain was equal to  $-10^{-5}$ , which was less than the measurement uncertainty, thus indicating that the  
13 strain had started to recover, that is, damage had occurred inside the sample. Therefore, 9.0 MPa is  
14 considered as the initiation point for damage development. At 13.5 MPa, the volumetric strain was  
15 equal to  $5.8 \times 10^{-4}$ , signifying that the sample was beginning to expand. At 21.6 MPa, the volumetric  
16 strain was  $1.6 \times 10^{-3}$ . From the changes in strains in the aggregates and matrix, it is concluded that  
17 at 4.5 MPa, the volumetric strain in the aggregates was very small ( $-2 \times 10^{-6}$ ), which was less than  
18 the measurement uncertainty, whereas the volumetric strain in the matrix was equal to  $-10^{-4}$ , which  
19 was a contraction state. At 9.0 MPa, the volumetric strains in the aggregates and matrix were  
20  $2.3 \times 10^{-6}$  and  $-1.3 \times 10^{-5}$ , both of which were less than the measurement uncertainty. In  
21 combination with the analysis at 4.5 MPa, it is observed that the volume of the matrix began to  
22 reverse at 9.0 MPa. At 13.5 MPa, the volumetric strains in the aggregates and matrix increased  
23 significantly and were both positive, indicating that their volume had expanded. However, at  
24 18.0 MPa, the volumetric strains in the aggregate and matrix decreased to  $-1.2 \times 10^{-4}$  and  $1.5 \times 10^{-4}$ ,  
25 respectively, indicating that the internal strain structure changed at this level. Subsequently, the  
26 volumetric strain continued to increase with the applied load. At 21.6 MPa, the average volumetric  
27 strains in the aggregates and matrix were equal to  $4.2 \times 10^{-4}$  and  $1.7 \times 10^{-3}$ , respectively.



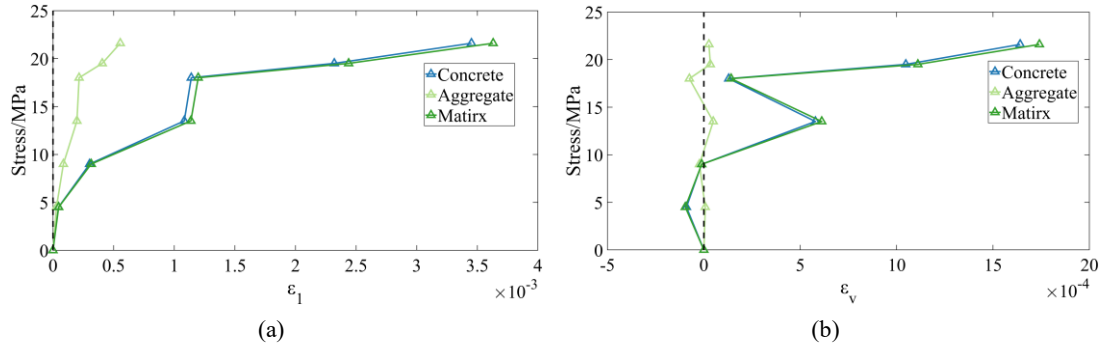


Fig. 17. Strain vs. macroscopic stress histories. (a) Average maximum principal strain vs. macroscopic stress of each phase. (b) Average volumetric strain vs. macroscopic stress of each phase.

## 1 5.2 Local Region of Interest

2 Considering computational efficiency, 340 slices with a radius of 8.9 mm from 15.6 mm to  
 3 30.9 mm along the  $z$ -axis were kept for the analysis of damage, and the corresponding volume was  
 4  $4.75 \text{ cm}^3$ . The DVC resolution was improved by reducing the mesh size (Hild, et al., 2012). The  
 5 mean element size was equal to  $11 \text{ vx}$ , and  $\ell_{reg}$  was equal to  $90 \text{ vx}$ , with 51,680 elements and  
 6 56,315 nodes. The heterogeneous weighting  $C$  for heterogeneous Reg-C8-DVC is reported in Table  
 7 3. For the kinematic analyses, the sections indicated in Fig. 3(d,f) (white dashed box) are used for  
 8 illustration purposes.

### 9 5.2.1 Damage Analysis Based on Strain Fields

10 The damaged elements are shown in Fig. 18. They are based on the processing route discussed  
 11 in Section 5.1.3. The maximum principal strain field analysis based on the reduced region of interest  
 12 is used to analyze the influence of loading on the internal deformation of concrete and damage  
 13 growth.

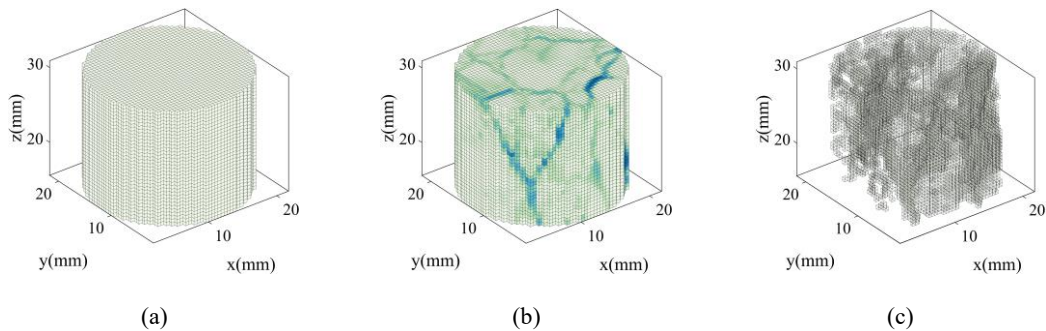


Fig. 18 Identification of damaged elements. (a) Reference GLR field. (b) Comparison GLR field. (c) Damaged elements.

14 Fig. 20 displays the changes in maximum principal strain in concrete (Fig. 19) for applied



1 stresses equal to 9.0, 13.5, 18.0, 19.5, and 21.6 MPa. At 9.0 MPa (i.e., 40% of the ultimate strength,  
 2 Fig. 20(a)), the strain concentration zone indicated by the yellow dashed regions appears clearly.  
 3 Compared to Fig. 19(b, d), it is observed that the main strain concentration region was on the  
 4 interface between the aggregates and matrix and around pores at this stage. When the applied stress  
 5 increased to 13.5 MPa (i.e., 60% of the ultimate strength, Fig. 20(b)), the strain concentration region  
 6 developed and new regions (blue dashed boxes) appeared. When the stress continued to increase to  
 7 18.0 MPa (i.e., 80% of the ultimate strength, Fig. 20(c)), some cracked regions temporarily closed.  
 8 It is observed that the strain concentrations in some areas (white dashed box) exhibited a decreasing  
 9 trend. Then, with the continuous increase of stress to 19.5 MPa (i.e., 87% of the ultimate strength,  
 10 Fig. 20(d)), the strain concentrations in the existing damaged regions further increased and  
 11 continued to expand. When the stress increased to 21.6 MPa (i.e., 96% of the ultimate strength, Fig.  
 12 20(e)), the internal non-uniform deformation of the concrete further aggravated, and the sample  
 13 approached failure. From the YZ section, regions A and B are interphases between aggregates and  
 14 matrix, which are the weakest phases of concrete, the strain concentration was high when the applied  
 15 load increased. Region C is a pore region, which corresponds to initial damage of concrete. There  
 16 were strain concentrations on the edge of the pore. Combined with Fig. 19(b,d), the strain  
 17 concentration area corresponds to the failure morphology of some concrete sections.

18

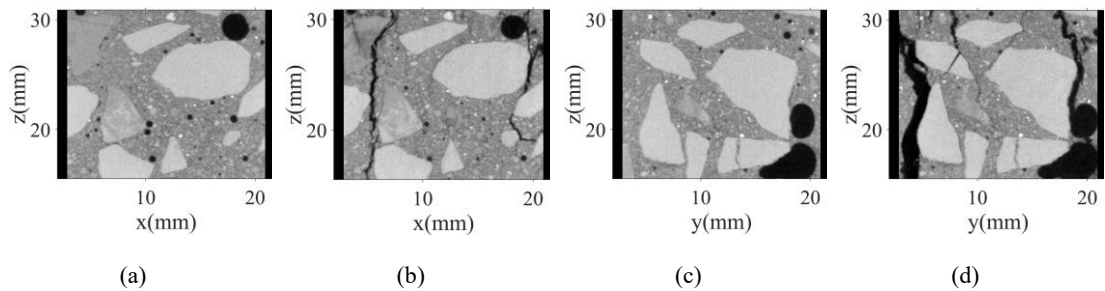


Fig. 19 ROI sections located at  $y = 12.5$  mm at 0 MPa (a) and after failure (b) and the ROI section located at  $x = 12.5$  mm at 0 MPa (c) and after failure (d).

19

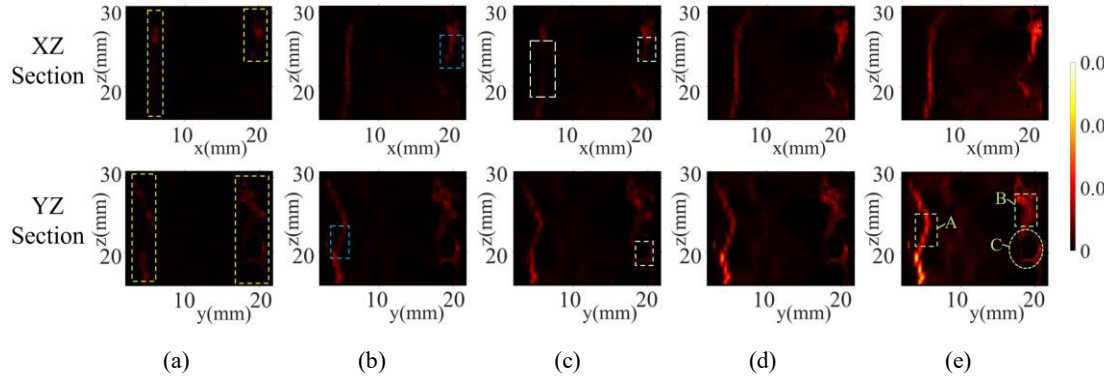


Fig. 20. Sections of maximum principal strains during damage development.

(a) 9.0 MPa, (b) 13.5 MPa, (c) 18.0 MPa, (d) 19.5 MPa, (e) 21.6 MPa.

### 5.2.2 Damage Analysis

The newly generated microcrack network was obtained using Equation (16) and is plotted in Fig. 21. The COD detection uncertainty is defined as 5 times the standard COD uncertainty (only for damaged elements) for heterogeneous Reg-C8-DVC considering damage, which was equal to 0.26 vx. The regions with COD levels less than the detection uncertainty were made transparent. Further, COD fields correspond to a direct measure of newly generated microcracks, their propagation, and coalescence. Therefore, the formation of Fracture Process Zone (FPZ) is characterized via subvoxel resolved CODs. As a consequence, the damage network evolving in space, time and material phases can be constructed.

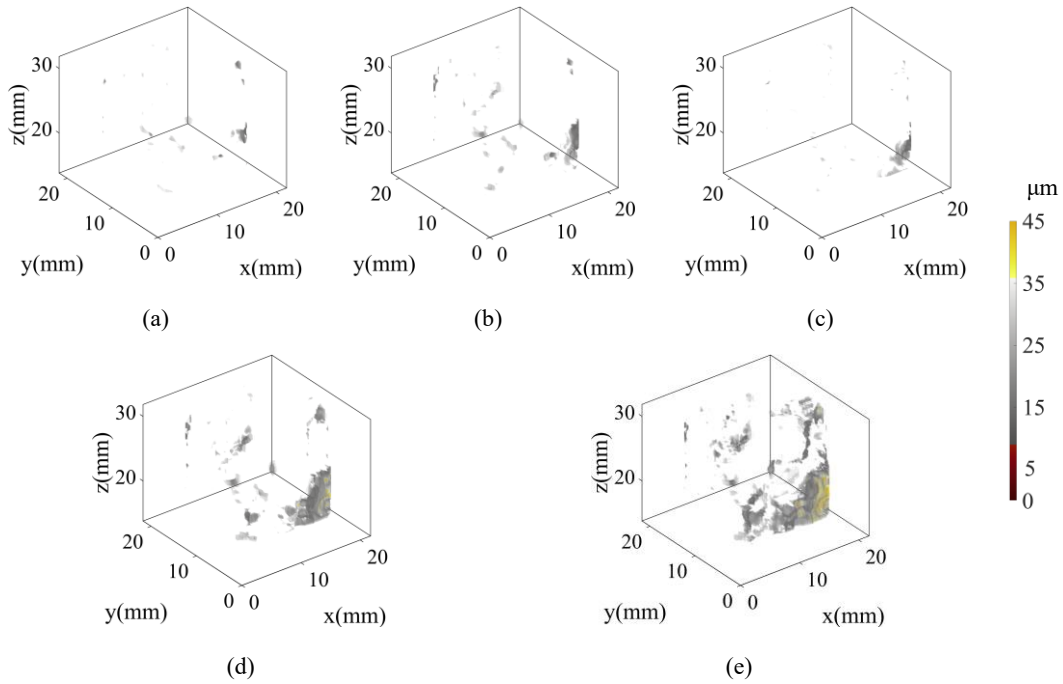


Fig. 21. Newly generated microcrack network during damage growth.

(a) 9.0 MPa, (b) 13.5 MPa, (c) 18.0 MPa, (d) 19.5 MPa, (e) 21.6 MPa.

The volume changes in subvoxel- and voxel-level crack openings at each loading stage are reported in Table 4. When subvoxel-level openings are involved, only the voxels in which they are located were used for the statistical analyses.

Table 4 Statistics of volume changes of microcracks.

Stress (MPa)	Total crack		Subvoxel-level crack		Voxel-level crack	
	volume (mm <sup>3</sup> )	proportion (%)	volume (mm <sup>3</sup> )	proportion (%)	volume (mm <sup>3</sup> )	proportion (%)
9.0	3.4	0.7	3.4	0.7	0	0
13.5	11.4	2.4	11.4	2.4	0	0
18.0	5.3	1.1	5.3	1.1	0	0
19.5	43.7	9.2	43.6	9.2	0.1	0.02
21.6	85.4	18.0	82.7	17.4	2.7	0.6

The microcrack volumes and percentages in the aggregates, matrix, and interfacial transition zones (ITZs) are statistically analyzed and listed in Table 5. ITZs are further studied as the main failure area (Königsberger, et al., 2018) and are typically 20 to 100 μm thick (Zhou, et al., 2023). However, considering that the DVC mesh size is 11 vx, the ITZs in this work correspond to elements sharing aggregate/matrix interfaces. The maximum FPZ length was statistically measured using the length of the smallest cuboid containing the FPZ. The FPZ lengths for each loading stage, along with the average and standard deviations of the COD within each FPZ were statistically analyzed and their histograms are displayed in Table 6.

Table 5 Statistics of microcrack volume fractions in each phase.

Stress (MPa)	Total volume (mm <sup>3</sup> )	Maximum FPZ length (mm)	Matrix		ITZ		Aggregate	
			volume (mm <sup>3</sup> )	proportion (%)	volume (mm <sup>3</sup> )	proportion (%)	volume (mm <sup>3</sup> )	proportion (%)
9.0	3.4	2.8	0.2	7.1	3.0	87.8	0.2	5.0
13.5	11.4	6.7	1.0	8.7	10.3	90.4	0.1	1.0
18.0	5.3	5.3	0.9	17.8	4.3	81.5	0.04	0.8
19.5	43.7	11.3	8.1	18.5	35.3	80.9	0.3	0.6
21.6	85.4	17.6	26.4	30.9	58.7	68.7	0.3	0.4

Table 4 and Table 5 show that when the applied stress was equal to 9.0 MPa and 13.5 MPa, the

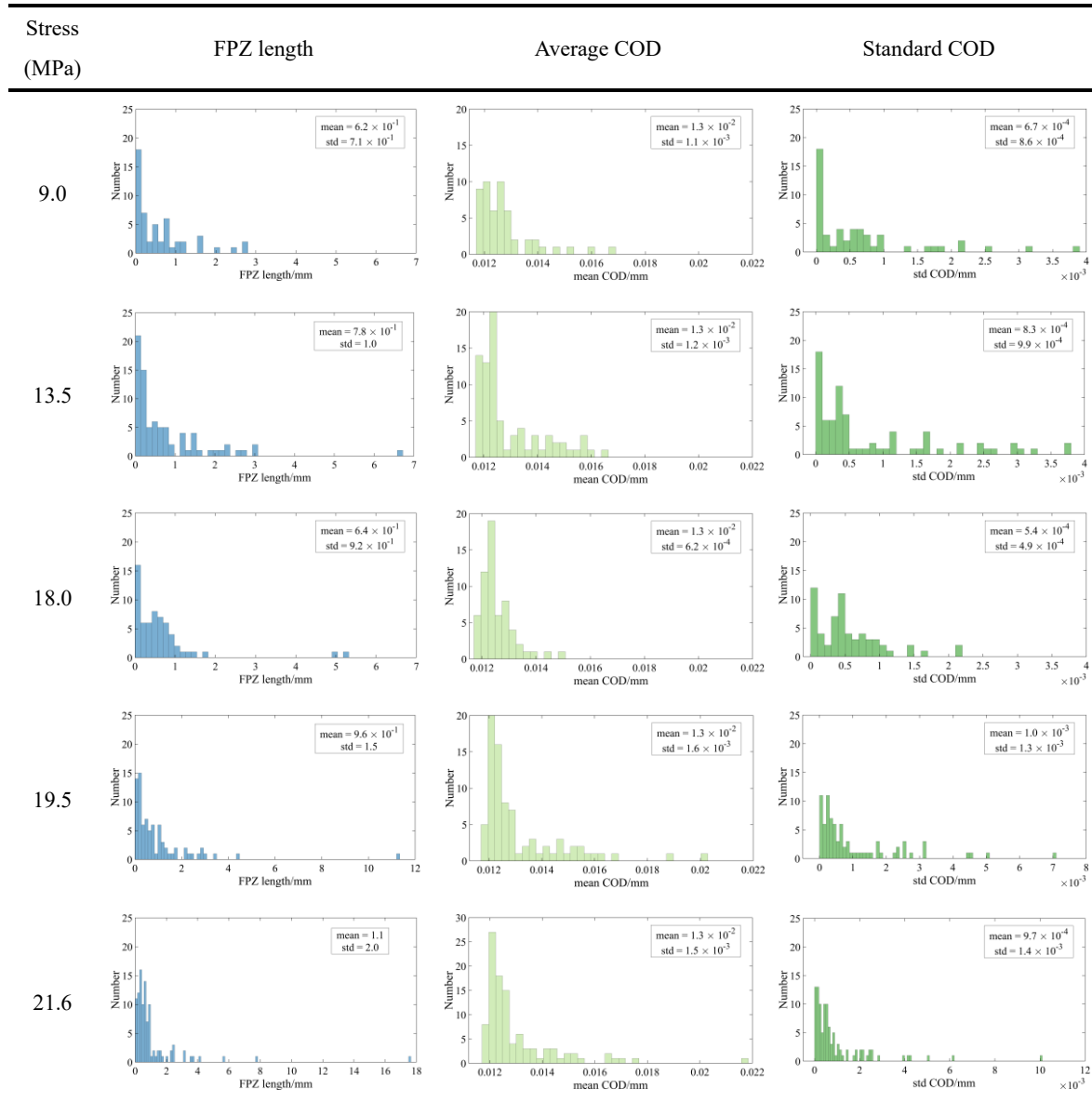
1 microcracks grew slowly, corresponding to the initiation and early development steps. At this stage,  
2 only subvoxel openings occurred, accounting for 0.7‰ and 2.4‰ of the volume of the region of  
3 interest, respectively. The cracks essentially developed in the ITZs, and accounted for 87.8% and  
4 90.4% of the total cracked volume. The maximum FPZ lengths were 2.8 mm and 6.7 mm,  
5 respectively. This result shows that ITZs have poor mechanical properties. When the applied stress  
6 increased to 18.0 MPa, the total cracked volume and maximum FPZ length decreased to reach  
7  $6.2 \text{ mm}^3$  and 1.4 mm, indicating a local compressive region. Thus, some microcracks temporarily  
8 closed. When the applied stress reached 19.5 MPa, the microcracks propagated rapidly, the total  
9 cracked volume and maximum FPZ length increased by  $38.4 \text{ mm}^3$  and 6 mm, indicating that the  
10 cracks had entered the stage of unstable propagation. The proportion of microcracks in the ITZs  
11 slightly decreased from 81.5% to 80.9%, whereas that in the matrix increased from 17.8% to 18.5%.  
12 Moreover, voxel-level openings appeared at this time in a volume of  $0.1 \text{ mm}^3$ . When the applied  
13 stress was equal to 21.6 MPa, the opening amplitude of microcracks further augmented, the total  
14 cracked volume and maximum FPZ length grew to  $41.7 \text{ mm}^3$  and 6.3 mm. The total volume fraction  
15 of microcracks accounted for 18‰, the proportion of subvoxel openings was 17.4‰ (i.e., 97% of  
16 total cracked volume), and the voxel-level opening volume was  $2.7 \text{ mm}^3$ . The microcrack activity  
17 in the matrix was significant, with the proportion rising rapidly to 30.9%, thereby indicating that the  
18 matrix had become the main region for damage growth. The ITZs were still the most severely  
19 damaged area, and the microcrack volume in that area accounted for 68.7% (twice that of the matrix).  
20 Throughout the loading process, the aggregates experienced the lowest damage activity. Last, at the  
21 macroscopic elastic stage (i.e., 4.5 MPa or 20% of the ultimate strength), subvoxel openings were  
22 detected and the microcracked volume was very small ( $1.39 \text{ mm}^3$ ). It is worth noting that this study  
23 focused on measuring the internal damage of concrete before the peak load based on DVC analyses.  
24 Due to the quasi-brittle nature of concrete, capturing internal damage beyond the peak is very  
25 challenging via in situ tests. Additionally, the post-mortem configurations typically result in very  
26 large CODs, making DVC calculations difficult to converge. Based on crack segmentation, the  
27 cracked volume fraction after the peak load was found to be equal to  $1.2 \times 10^3 \text{ mm}^3$ .

28 As the stress gradually increased from 9.0 MPa to 21.6 MPa, the evolution of internal damage  
29 in concrete exhibited complexity and non-uniformity, which specifically manifested itself in the  
30 changes of distributions of FPZ length and COD (Table 6). When the applied stress was equal to 9.0

1 MPa, the FPZ length primarily concentrated within the range of 0-1.3 mm, with a small number of  
2 cracks around 2.5 mm already emerging. The average and standard CODs were of the order of 0.013  
3 mm and  $10^{-3}$  mm, respectively, indicating that the crack openings were relatively small and uniform  
4 at this stage. When the stress increased to 13.5 MPa, the main peak of the FPZ length distribution  
5 reached 21 instances and the maximum FPZ length extended to 6.7 mm, suggesting continuous  
6 crack propagation. The average and standard CODs exhibited even more pronounced long-tail  
7 characteristics, with maximum values of  $1.7 \times 10^{-2}$  mm and  $3.8 \times 10^{-3}$  mm, respectively, reflecting  
8 the non-uniform expansion process of the crack network. For the applied stress equal to 18.0 MPa,  
9 the FPZ length distribution became more concentrated, the main peak height and maximum length  
10 slightly decreased. The average COD was primarily distributed within the range  $[1.2, 1.4] \times 10^{-2}$   
11 mm. Meanwhile, the distribution displayed more pronounced multi-peak features. This  
12 phenomenon may be related to the temporary closure of some microcracks due to local compression,  
13 and also reflects the increasing complexity and non-uniformity of the crack network. When the  
14 applied stress reached 19.5 MPa, the main peak height of the FPZ length distribution decreased to  
15 15 instances. The mean and standard CODs were significantly higher than those in the early loading  
16 stages, and the maximum length rose to 11.3 mm, indicating rapid expansion of the crack network.  
17 The maximum values of average and standard CODs were equal to  $2.0 \times 10^{-2}$  mm and  $7.1 \times 10^{-3}$   
18 mm, respectively, suggesting that not only did the CODs increase, but their scatter also further  
19 widened in different regions. Last, for an applied stress of 21.6 MPa, the main peak range of FPZ  
20 length was [0.28, 0.42] mm, with a mean, standard deviation and maximum of FPZ length surging  
21 to 1.1, 2.0 and 17.6 mm, respectively, thereby demonstrating the formation of a large-scale  
22 continuous crack network. The maximum values of the average and standard CODs were  $2.2 \times 10^{-2}$   
23 mm and  $1.0 \times 10^{-2}$  mm, respectively, reflecting the highly complex characteristics of the crack  
24 network, which encompassed a wide distribution ranging from small microcracks to large-scale  
25 penetrating cracks.

26  
27  
28  
29  
30

Table 6 Distribution of FPZ length and COD characteristics at each loading stage.



1

2 The afore-mentioned results show that the FPZ in the concrete quantified via DVC is different  
3 from the FPZs in specimens with pre-existing cracks in three-point flexural tests, chevron cracked  
4 notched Brazilian tests, and uniaxial compression tests (Zhang, et al., 2022). Instead, multiple tiny,  
5 scattered microcracks are observed. The distribution of cracks with very small openings indicates  
6 that the damaged zone is not made of a single propagating crack, but results from a complex and  
7 hierarchical process. This process is related to the load steps and all concrete phases, with an early  
8 focus on developmental expansion in ITZs, gradually extending into the matrix. The  
9 characterization method based on subvoxel COD resolution provides a basis for constructing crack

1 networks associated with FPZs under 3D complex stress states.

### 2 5.2.3 Damage and Failure

3 As the weakest region inside concrete, the ITZs tend to spontaneously initiate damage before  
4 the other regions, which significantly affect the mechanical properties and cracking behavior of  
5 concrete. As a porous material, the concrete pore characteristics also have non negligible influences  
6 on its mechanical properties. Therefore, this study analyzes the deformation characteristics of the  
7 ITZ and edge of the pore.

8 Fig. 22 displays the average maximum principal strain in the ITZs and the region around pores  
9 (elements within a 11 vx range of the pore edge) at each loading stage. During initial loading, the  
10 strain concentrations on the edges of the pores is higher those that in the ITZs. With increased stress,  
11 new cracks initiate and propagate in the ITZs. At later loading stages, the strain concentrations in  
12 the ITZs become higher. The average maximum principal strain in the ITZs is  $6.7 \times 10^{-3}$ , whereas  
13 that close to the pores is  $5.3 \times 10^{-3}$  for a macroscopic stress of 21.6 MPa.

14

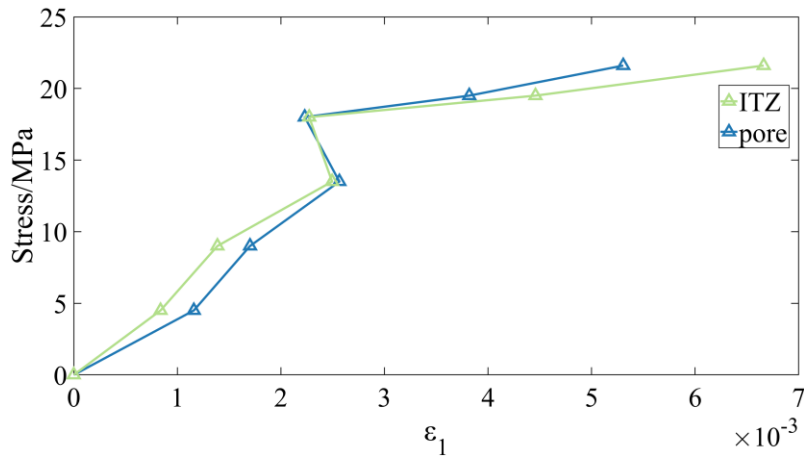


Fig. 22. Average maximum principal strain vs. macroscopic stress in the ITZs and around pores.

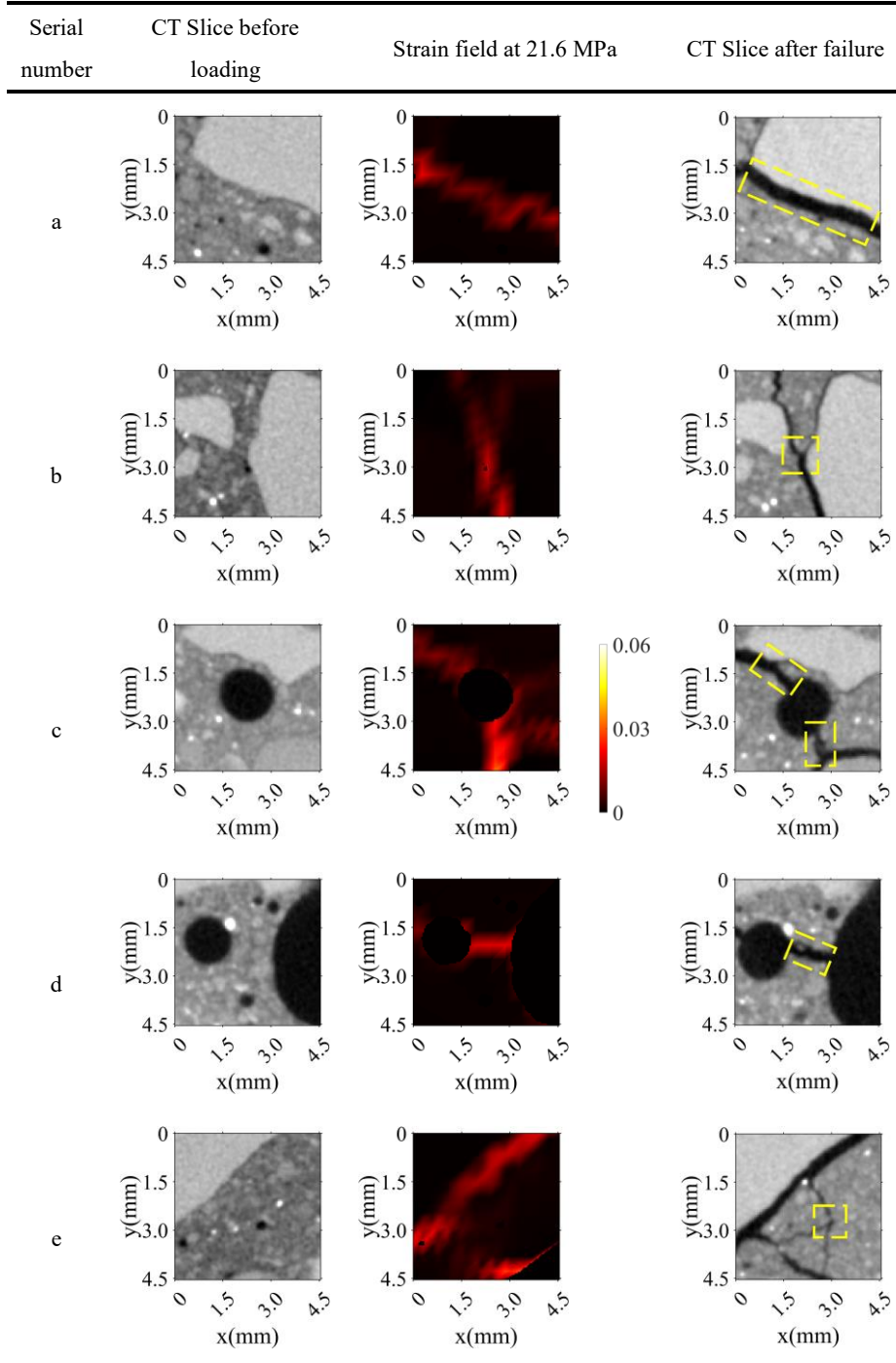
15

16 Typical local CT image slices of the interior of concrete before and after damage and the  
17 corresponding strain field slices (21.6 MPa) were selected for a detailed analysis. In Table 7(a, b),  
18 owing to the difference in mechanical responses between the aggregates and the matrix, high  
19 displacement gradients occur on the interfaces. The strain concentrations in the ITZs are  
20 significantly higher than those in the surrounding regions. The ITZs generally exhibit poor  
21 mechanical properties. By combining the data in the CT image after failure, it is found that a through

1 crack with large CODs is formed in ITZs. As illustrated in Table 7(b), under the influence of different  
 2 ITZs, the crack bifurcates and changes directions many times.

3  
 4  
 5

Table 7 Concrete gray-level image and strain field sections.



6 In Table 7(c), because the pore is located between an aggregate and the matrix, the pore and  
 7 ITZ form a weak region, resulting in non-uniform deformation and high strain concentrations.



1 Furthermore, the pore plays a bridging role to connect two cracks in the ITZs. When the sample  
2 fails, the CODs in this region is often large. Table 7(d) shows that the coexistence of two large pores  
3 produces a weak zone. The interaction between the pores creates a high strain concentration region,  
4 eventually leading to a crack.

5 In Table 7(e), the strain concentration in the yellow dashed box is relatively small because the  
6 pore is completely located in the matrix. In the CT slice after failure, although no large penetrating  
7 crack passes through the pore, small cracks from the pore region connect with large ones in the ITZ.  
8 This effect is due to the fact that the damaged zone always expands to the weakest surrounding  
9 regions. When the damage zone develops near pores, it affects their surroundings, which are already  
10 in a high strain state, and initiate secondary cracks.

11 The fracture process of concrete consists in the expansion of cracks dominated by one or more  
12 damaged zones, during which secondary cracks are continuously initiated. The above analysis  
13 shows that the damage zone that caused concrete failure was mainly formed in the ITZ, whereas the  
14 pore region played a synergistic driving role.

## 15 **6 Conclusion**

16 In this study, mechanically regularized DVC considering elastic heterogeneity and damage was  
17 utilized to study an in-situ experiment to measure 3D deformation fields inside a concrete sample  
18 under uniaxial compression. The visualization and quantification of the formation of new  
19 microcracks in the material bulk during the entire loading process was obtained and studied.

20 The following conclusions were drawn:

21 (1) Considering elastic heterogeneity and damage improved the faithfulness of mechanically  
22 regularized Reg-C8-DVC. The highest standard displacement uncertainty was  $3 \times 10^{-3}$  vx, and the  
23 highest standard strain uncertainty was  $2 \times 10^{-5}$  for the selected regularization length. Subvoxel-  
24 level openings (above 0.26 vx) were quantified for newly generated microcracks.

25 (2) Non-uniform characteristics of the internal deformation of concrete were revealed through  
26 strain fields. The average maximum principal and volumetric strains in the matrix were higher than  
27 those in the aggregates. There were strain concentrations in the ITZ and around pores. With an  
28 increase in applied stress, the strain concentrations in the ITZs were significantly higher than those  
29 around pores. The degradation of the ITZs dominated the development of damage, whereas the pores

1 played a synergistic driving role in damage and failure.

2 (3) At 20% and 87% of the ultimate strength, cracks initiated with subvoxel- and voxel-level  
3 openings, respectively. At 96% of the ultimate strength, the volume fraction of microcracks was  
4 18%, of which 97% were containing subvoxel-level microcrack openings. The proportions of  
5 microcracks in the matrix, ITZs, and aggregates were 30.9, 68.7, and 0.4%, respectively.

6 (4) With consideration of elastic heterogeneity and damage, Reg-C8-DVC enables for detailed  
7 analyses and quantifications of deformation fields and damage of FPZs in concrete. The distribution  
8 of cracks with very small openings was revealed and indicated that the damaged zone is not a single  
9 propagating crack, but a complex and hierarchical process.

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## 16 **Conflicts of interest**

17 The authors declare no conflict of interest.

## 18 **Author Contributions**

19 **Shangyu Yang:** Conceptualization, Methodology, Investigation, and Writing-Original Draft.  
20 **Haizhou Liu:** Methodology and Investigation; **Lingtao Mao:** Resources, Conceptualization, Validation,  
21 Supervision and Writing-Review; **Fangao Li:** Formal analysis and Investigation; **Bingjie Wei:** Formal  
22 analysis and Investigation; **Yang Ju:** Resources and Supervision; **François Hild:** Validation,  
23 Supervision and Writing-Review.

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