

# A dynamical interpretation of the intensification of the winter North Atlantic jet stream in reanalysis

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1	A dynamical interpretation of the intensification of the winter North
2	Atlantic jet stream in reanalysis
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ABSTRACT: Jet streams play an important role in determining weather variability and extremes. 11 A better understanding of the mechanisms driving long-term changes in the jet is essential to 12 successfully anticipate extreme meteorological events. This study analyzes the intensification trend 13 of the North Atlantic jet using the ERA5 reanalysis and investigates the dynamical mechanisms 14 involved. The results highlight the importance of an increase in diabatic heating in the free 15 troposphere below the jet entrance over the Gulf Stream sector. This change in diabatic heating 16 modifies the jet directly and produces a local intensification and a slight poleward shift. A two-17 dimensional frontal-geostrophic model illustrates this mechanism by considering the enhanced 18 diabatic heating associated with the baroclinic growth of extratropical cyclones. The change in 19 diabatic heating also affects the jet indirectly by increasing the mean baroclinicity and subsequent 20 eddy momentum flux convergence. This indirect mechanism has also an effect downstream, where 21 there is an acceleration of the jet core and reduced westerlies along the flanks, reducing the width 22 of the jet. An idealized warming experiment confirms this mechanism by determining the jet 23 response downstream of an idealized land-sea contrast. Finally, using a single-model ensemble 24 of fully-coupled climate simulations, we show that the differences in the evolution of the North 25 Atlantic jet are related to the latitude of the increase in baroclinicity, which has a large spread. 26 What emerges from the model hierarchy is a consistent dynamical chain of mechanisms associated 27 with the intensification trend of the North Atlantic jet stream. 28

# 29 1. Introduction

The processes that determine the position and intensity of jet streams, which drive daily weather 30 variability and contribute to the development of extreme weather events, are an ongoing area of 31 research (Shaw et al. 2016), particularly with regard to open questions about the future evolution of 32 the North Atlantic jet (Woollings et al. 2012). The high degree of uncertainty regarding the future 33 intensity and position of the North Atlantic jet translates directly into a high degree of uncertainty 34 with regard to weather variability. For example, there is not yet a significant consensus on the sign 35 of the projected annual precipitation change for 1.5° C and 2.0° C of warming (e.g., Fig. 4.32 in 36 Chapter 4 of the IPCC AR6 (Lee et al. 2021)), which is likely attributable to a low signal to noise 37 ratio. In particular, over the North Atlantic, Central, and Northern Europe, the sign of the annual 38 precipitation changes remains, in contrast to the Mediterranean region, inconclusive because of a 39 large inter-model spread (e.g., Fig. 4.42 e and Fig. 4.42 f in Chapter 4 of the IPCC AR6 (Lee et al. 40 2021)). 41

Baroclinicity measures the baroclinic growth potential of transient eddies (Charney 1947; Eady 42 1949). It is proportional to the horizontal temperature gradient - and by virtue of thermal wind 43 balance thus to the vertical wind shear - and inversely proportional to the vertical temperature 44 gradient, which is a measure of atmospheric stability (Lindzen and Farrell 1980). Baroclinic 45 growth of transient eddies in turn maintains deep westerly tropospheric jets against surface friction 46 through associated eddy momentum flux convergence (Hoskins et al. 1983; Vallis 2017). Due 47 to this inherent link between mean baroclinicity, baroclinic growth, and eddy momentum flux 48 convergence, the analysis of the life cycle of eddy-driven jets alongside baroclinicity and its forcing 49 processes (e.g. latent heat release) becomes crucial for understanding regional climate changes 50 in areas affected by storm tracks. Ambaum and Novak (2014) proposed a nonlinear oscillator 51 model to describe the joint evolution of diabatic heating, mean baroclinicity and baroclinic growth. 52 The proposed life cycle displays qualitative agreement to observations with peaks of intense 53 heat flux indicative for intense storm activity that acts to reduce the mean baroclinicity. When 54 baroclinicity becomes too low to maintain the baroclinic eddy generation, storm activity is reduced 55 and baroclinicity is replenished by diabatic heating influenced for instance by the orography or a 56 land-sea contrast (Brayshaw et al. 2011), allowing the cycle to be repeated. Increased diabatic heat 57 release (e.g. as expected in a warmer atmosphere) and/or modified temperature gradients (e.g. as 58

expected at low levels due to Arctic amplification and at upper levels due to tropical warming) thus
 could both influence the life cycle of the storm track by changing the mean baroclinicity, which
 would also affect the jet via eddy momentum feedback.

In the Northern Hemisphere, two competing trends due to anthropogenic climate change act on 62 the equator-to-pole temperature gradient. Near the surface, the meridional temperature gradient is 63 decreased due to amplified polar warming, a phenomenon known as the Arctic Amplification (AA) 64 (Screen and Simmonds 2010). In contrast, in the upper troposphere tropical warming increases 65 the meridional temperature gradient and reduces tropospheric stability. This larger temperature 66 increase in the tropics is produced because the atmosphere follows a moist adiabatic lapse rate 67 (Held 1993). In a warmer climate, the amount of water vapor in the atmosphere is higher and 68 consequently there is more latent heat release, which reduces the lapse rate, since the adiabatic 69 cooling resulting from the ascent is partially offset by higher latent heating. Jet streams are by virtue 70 of the thermal wind balance related to meridional temperature gradients. Therefore, the upper and 71 lower-level temperature trends are thus engaged in a tug-of-war around the future evolution of the 72 jet stream and its associated storm track (Shaw et al. 2016). 73

Besides forced trends, storm tracks and eddy-driven jets exhibit substantial temporal and spatial 74 variability (Hartmann 2007). Their activity is larger during winter when the equator-to-pole 75 temperature gradient is higher and consequently the energy transport is more intense compared 76 to summer. A remarkable exception to this behaviour is found in the North Pacific, where storm 77 activity is lower in mid-winter (Nakamura 1992; Schemm and Rivière 2019; Schemm et al. 2021). 78 The position of the storm tracks has also a seasonal cycle whereby storm tracks and jet streams 79 are located at lower latitudes during winter. Furthermore, they display high frequency variations 80 in intensity and position from shorter time scales of the order of weeks, related to oscillatory 81 behaviour of the regime life cycle produced by consumption and replenishment of baroclinicity, 82 to multidecadal variations. Indeed, storm tracks can be affected by tropical variability across 83 different scales, from sub-seasonal scales produced by the Madden-Julian Oscillation (Yadav and 84 Straus 2017) to decadal variations generated by El Niño-Southern Oscillation (Eichler and Higgins 85 2006; Schemm et al. 2018) and multidecadal variability (Bracegirdle et al. 2018). 86

Jet stream trends are therefore affected by both the impacts of anthropogenic warming on circulation and natural variability. Previous studies based on reanalysis data have found strong

seasonal and regional variations in jet changes over the past decades with limited robust trends 89 in wind speed or position (Manney and Hegglin 2018) and in particular for the subtropical jet no 90 robust poleward trends have been identified in reanalyses (Maher et al. 2020). Simmons (2022) 91 reported an intensification and equatorward shift of the jet stream over the eastern North Atlantic 92 related to a warming minimum between Greenland and Europe in the ERA5. Martin (2021) found 93 increased waviness of the eddy-driven and subtropical jets and a poleward shift of the eddy-driven 94 jet while Woollings et al. (2023) highlighted the role of upper tropospheric warming to explain the 95 poleward shift of jet streams in both hemispheres, but dit not look at the North Atlantic region in 96 particular. 97

Climate model projections exhibit large uncertainty concerning the future of the wintertime 98 eddy-driven jet on the North Atlantic compared to the jets over other ocean basins (Simpson et al. 99 2014; Lorenz and DeWeaver 2007; Woollings 2010), which is potentially linked to the nature of 100 the North Atlantic as a mostly eddy-driven jet stream (Lee and kyung Kim 2003; Li and Wettstein 101 2012). These uncertainties have a strong influence on the low confidence in future changes in the 102 hydrological cycle and the occurrence of extreme wind events, since the vast majority of heavy 103 precipitation and intense wind events during the cold season are associated with extratropical 104 cyclones (Pfahl and Wernli 2012; Owen et al. 2021). For Europe, which lies at the end of the 105 North Atlantic storm track, changes in the jet stream and storm tracks are thus particularly relevant. 106 Therefore, future European rainfall and wind will be significantly affected by the direction and 107 magnitude of any meridional shift in the storm track and/or position of the jet stream (Priestley and 108 Catto 2022). Currently, it is only settled that sea surface temperatures (SST) and their influence 109 on low-level baroclinicity account for a fraction of the change (Woollings et al. 2012) but the 110 interplay between changes in baroclinicity, diabatic heating, storm tracks and the jet stream and the 111 chain of processes that explain past changes in the North Atlantic jet stream have not been clearly 112 established. 113

The atmospheric response to increased diabatic heating is relevant to understand changes in the North Atlantic jet. In this regard, Peng and Whitaker (1999) investigate the response to diabatic heating by using a linear baroclinic model, which is a primitive equation model linearized about a basic state including heating and eddy terms as forcing. The response consists of a low-level trough, whose extension depends on the heating distribution, and an upper-level ridge downstream. The

imposed heating is interpreted as the initial heating before the atmosphere has adjusted to it. The 119 resulting anomalous flow is inserted into to a linear storm track model, which is a quasigeostrophic 120 model linearized about a time-mean flow<sup>1</sup> to deduce the anomalous eddy forcing, which in turn 121 is re-inserted into the linearized baroclinic model to obtain the eddy-driven anomalous flow. By 122 doing so, the baroclinic response to diabatic heating evolves to an eddy-driven response with a 123 barotropic structure and finally to a net flow response (initial heating response plus eddy feedback) 124 of the North Pacific storm track. For a background state inspired by the January climatology, 125 they show that the eddy forcing acts to shift the heating-induced anomalous ridge downstream and 126 toward the northeast. 127

More generally, several mechanisms can affect the mean jet position and intensity in the presence
 of diabatic heating acting on different time and spatial scales:

First, a large fraction of the diabatic heating on the scale of individual eddies is generated along 130 ascending airstreams in the warm sector of extratropical cyclones, known as warm conveyor belts 131 (Harrold 1973; Browning et al. 1973). As shown in Sheldon et al. (2017), the warm conveyor 132 belt activity at the entrance of the Atlantic storm tracks is anchored above the warm tongue of 133 the Gulf Stream and could explain the co-location between time-mean ascents, precipitation and 134 the warm tongue (Minobe et al. 2008). Warm conveyor belts can have a local influence on the 135 jet. Diabatic heating produces a positive potential vorticity (PV) anomaly at low levels and a 136 negative PV anomaly near the tropopause (Stoelinga 1996; Pomroy and Thorpe 2000), which is 137 schematically represented in Fig. 4a from Wernli and Davies (1997). Such PV anomaly can locally 138 intensify the jet (Grams et al. 2011; Schemm et al. 2013; Weijenborg and Spengler 2020; Rivière 139 et al. 2021; Wimmer et al. 2022). 140

Second, the North Atlantic jet stream is driven by eddy momentum flux convergence associated with the propagation of large-scale Rossby waves that originate from regions of enhanced baroclinicity (Hoskins et al. 1983; Vallis 2017), suggesting a strengthening of the eddy-driven jet if baroclinicity increases. In this regard, the presence of oceanic frontal areas, such as the Gulf Stream, has been shown to be crucial to maintain the baroclinicity through sensible heat fluxes (Sampe et al. 2010; Hotta and Nakamura 2011). Further, it has been established that not only is an increase in baroclinicity important, but its the exact location relative to the mean jet position

<sup>&</sup>lt;sup>1</sup>The authors note that the time-mean flow was taken from the eastern North Pacific, which during winter is dominated by the subtropical jet stream, while the model appears to be too sensitive to the forcing when using the time mean conditions from the North Atlantic, which has more the nature of an eddy-driven jet.

matters (Rivière 2009). An increase of baroclinic eddy activity can thus lead to an acceleration or
 shift of the jet but also entails an enhanced diabatic heating associated with the growing baroclinic
 eddies that maintains the storm track (Hoskins and Valdes 1990a).

Quantifying the relevance of each of these mechanisms and their effects on the jet is therefore 151 necessary to understand changes in the North Atlantic jet stream. We aim at investigating the role of 152 changes diabatic heating in recent changes of the jet stream through the analysis of ERA5 data for the 153 winter season following the above outlined two mechanisms. To this end, next to ERA5, a hierarchy 154 of idealized and fully-coupled climate simulations is used to better understand the relevance of 155 diabatic heating and mean baroclinicity on the trends in the winter North Atlantic circulation. 156 This analysis also allows us to pinpoint to potential sources of uncertainty in climate projections, 157 and provide some guidance on relevant aspects required to adequately simulate jet stream changes 158 that most models do not adequately capture (Blackport and Fyfe 2022). Admittedly, additional 159 processes, such as stratospheric (Kidston et al. 2015) or tropical influences (Yu and Lin 2016), 160 may play a role in jet trends, but this study mainly focuses on the impact of changes in diabatic 161 heating. It should also be noted that this study does not attempt to attribute trends to either natural 162 variability or anthropogenic climate change. 163

<sup>164</sup> Specifically, this study addresses the following research questions:

• What is the local effect on the jet of an increase in transient diabatic heating rate pulses over the storm track entrance region resulting from enhanced diabatic heating on the scale of individual cyclones (as, for example in Fig. 4a, in Wernli and Davies 1997)?

What is the downstream impact of a change in mean baroclinicity, potentially resulting from
 enhanced diabatic heating over the Gulf Stream sector, on the downstream evolution of the
 North Atlantic jet via eddy momentum flux convergence (as, for example, in Hoskins et al.
 1983)?

Which of these processes are adequately represented in fully-coupled climate simulations and
 what are the factors that contribute to uncertainty in climate projections of the North Atlantic
 jet stream?

The remaining of the paper is structured as follows. Section 2 presents the winter North Atlantic jet trends in the reanalysis and illuminates the main mechanisms driving the trends,

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Section 3 discusses the local effect of diabatic heating over the Gulf Stream through an idealized frontal-geostrophic model experiment, Section 4 explores the role of feedback of eddy horizontal momentum fluxes onto the mean flow through aquaplanet simulations and Section 5 analyzes jet trends in fully-coupled climate simulations. Main conclusions are provided in section 6. To improve readability of the individual sections, the main data and methods are introduced in the corresponding sections.

# **2.** Observed jet stream trends over the North Atlantic

The ERA5 reanalysis (Hersbach et al. 2020) is used to evaluate recent trends in the winter 184 (December–February, DJF) North Atlantic jet stream. The dataset consists of global data with a 185 spatial resolution of 0.28° and 137 vertical levels up to 1hPa, spanning from 1979 until present with 186 hourly temporal resolution. For the analysis presented in this study horizontal wind components 187 and temperature were interpolated into an horizontal resolution of  $0.5^{\circ}$  and 11 pressure levels 188 between 900 and 100 hPa<sup>2</sup>. Linear trends are computed from daily averages in the period 1979-189 2022. This data frequency is considered to be representative enough for the subsequent trend 190 analysis. Although ERA5 reanalysis data are available from 1940, the period is restricted to the 191 satellite era. This is because the main region of interest is the North Atlantic and thus the reanalysis 192 may not be well constrained over the ocean in the earlier period. However, zonal wind speed trends 193 for the period before the satellite era are provided in Appendix A. 194

# <sup>195</sup> *a. Methods to analyze trends*

# 196 1) BAROCLINICITY: ISENTROPIC SLOPE

To study the impact of changes in baroclinicity in the modification of the jet stream, we use the slope of the isentropic surfaces to quantify the growth potential of baroclinic waves, such as extratropical cyclones (Van Delden 1999; Thompson and Birner 2012; Igel and van der Heever 2014; Papritz and Spengler 2015). A necessary condition for baroclinic growth of a disturbance is that on average the motion of air parcels has a slope lower than the slope of the isentropic surfaces

<sup>&</sup>lt;sup>2</sup>The following pressure levels in hPa are used in this study: 100, 200, 250, 300, 400, 500, 600, 700, 800, 850 and 900.

<sup>202</sup> (Green 1960). The slope is computed as

$$\mathbf{S} = \frac{\frac{\partial \theta}{\partial y}}{\frac{\partial \theta}{\partial p}},\tag{1}$$

where  $\theta$  is the potential temperature, *y* represents the meridional direction and *p* is pressure. The slope is computed from hourly data averaged to obtain daily means, which are used to compute linear trends.

# 206 2) Eddy momentum flux convergence: E-vector

Eddy momentum convergence is explored by means of the divergence of the E vector (Hoskins et al. 1983), whose direction indicates eddy propagation, which is opposite from the eddy momentum transfer. The three-dimensional E vector is defined as

$$\mathbf{E} = \left(0.5 \overline{(v'^2 - u'^2)}, -\overline{u'v'}, \frac{f}{\partial \theta / \partial p} \overline{v'\theta'}\right),\tag{2}$$

where u and v are the zonal and meridional wind components, respectively, f is the Coriolis parameter and p is pressure. The overbars indicate time means and the primes denote anomalies, which are computed by subtracting the 10-day high pass filtered component from the total fields. The vertical component of the E vector is thus proportional to the heat flux.

The orientation of the E vector is related to the eddy shape and the orientation of Rossby wave breaking (RWB) (Orlanski 1998). Equatorward E vectors indicate anticyclonic RWB, while cyclonic RWB is associated with poleward E vectors (Drouard et al. 2015). Additionally, the zonal component of the E vector provides an indication of the eddy shape, so meridional elongated eddies are represented by eastward E vectors and zonally elongated eddies by westward E vectors. 6-hourly data are used to compute the E vector, which is subsequently daily averaged.

#### 220 3) STATISTICAL SIGNIFICANCE

We apply the false-discovery rate (Wilks 2016) to compute p-values for the trends computed throughout the study. With this approach, a global p-value,  $p^*$  defined by:  $p^* = max[p_i \le (i/N)\alpha]$ is computed, where *i* is the index identifying the sorted p-values, *N* is the total number of grid <sup>224</sup> points and  $\alpha$  is a threshold that controls the fraction of null hypotheses erroneously rejected, which <sup>225</sup> is fixed to 0.1 in this study. For each grid point, local p-values are compared to the global p-value.

# *b. Observed jet stream trends over the North Atlantic*

Analysis of the wintertime (December-January-February) zonal wind speed trend in the ERA5 227 dataset from 1979 until 2022 shows a strengthening and mild poleward shift of the North Atlantic 228 jet stream between 40°N and 50°N (Fig. 1a) over the entrance of the storm track on the US East 229 Coast and the Gulf Stream sector (Fig. 1b). This is not the case further downstream over the eastern 230 North Atlantic, where the trend is characterized by a slight equatorward shift and a southwest-to-231 northeast extension towards the UK and western Europe. There is also a decrease in zonal wind 232 speed between 20–30°N, extending towards the Iberian Peninsula and the western Mediterranean 233 (Fig. 1b), and an additional decrease north of the jet between 65–80°N over the Nordic Seas. 234

<sup>235</sup> Upstream over eastern North America, the trend in the 500-hPa geopotential anomalies shows an <sup>236</sup> intensification of the climatological trough over North America (dashed green contours in Fig. 1b). <sup>237</sup> At lower levels, the negative anomaly extends to the east, indicating the presence of a westward <sup>238</sup> tilted trough with height. The implications of this pattern are discussed in the next subsection.

#### <sup>243</sup> 1) Trends in potential temperature, diabatic heating and temperature advection

We begin the detailed analysis of the jet trends by investigating the mechanisms that affect it 244 over the Gulf Stream before consideration is given to the downstream sector over the eastern North 245 Atlantic. We first perform a trend analysis of the potential temperature and changes in its meridional 246 structure related to diabatic heating and advection. Figure 2 presents trends in potential temperature, 247 diabatic heating<sup>3</sup> and three-dimensional potential temperature advection tendency. The general 248 trend in potential temperature depicts enhanced potential temperature between  $30-40^{\circ}$ N, slightly 249 equatorward of the region of increased zonal wind speed and 80–90°N (red shading in Fig. 2a,b). 250 The increase located near the pole is seemingly associated with the Arctic Amplification (AA). It 251 reaches into the stratosphere (Fig. 2a). 252

At midlatitudes between 30-50°N potential temperature increases in the troposphere but decrease in the stratosphere, leading to a decrease in static stability (Fig. 2a) in the layer between 350–200 hPa,

<sup>&</sup>lt;sup>3</sup>Diabatic heating refers to the sum of the potential temperature tendencies due to radiation, turbulence, convection, the microphysics of clouds and the drag of gravity waves from the physical model underlying the reanalysis data.



FIG. 1. Wintertime zonal wind speed trend over the North Atlantic in ERA5: a) Mean of the trend (shading) and climatological average in zonal wind speed (black contours) over the North Atlantic storm track region ( $80^{\circ}W - 15^{\circ}W$ ) for DJF in the period 1979-2022. b) Zonal wind trend (shading) and climatological mean (black contours) at 250 hPa. The stippling represents areas with p-values higher than  $p^*$  (see text for details).

exactly where the tropopause height increases (light blue contours in Fig. 2a indicate the dynamical
tropopause during the first and last decades).

To better understand the trend in potential temperature, it is illustrative to analyze changes in diabatic heating, which are described above, and advection, as both processes locally affect potential temperature. Although attributing trends to either anthropogenic climate change or natural variability is beyond the scope of this study, it is appropriate to analyze trends in diabatic heating and advection in light of changes in a warmer climate and how these may affect baroclinicity. Moist



FIG. 2. DJF Potential temperature, diabatic heating and advection trends over the North Atlantic: 257 a) Zonal mean of the potential temperature trend between  $80^{\circ}-15^{\circ}W$  (shading), zonally averaged potential 258 temperature mean in the same sector for the first (solid black lines) and the last decade (dashed black lines) in the 259 selected period for ERA5 (1979-2022). b) Trends in potential temperature (shading) and climatological mean 260 (black contours) averaged between 300 and 850 hPa. Green contours represent zonal wind speed climatology in 261 the ERA5 period at 250 hPa. c) Zonal mean of the trend in potential temperature tendency due to parameterizations 262 (diabatic heating) between 80°-15°W (shading). Black contours depict the climatological mean. d) Trends in 263 diabatic heating (shading) and climatological mean (black contours) averaged between 300 and 850 hPa. e) 264 Zonal mean of the trend in potential temperature tendency due to three-dimensional advection in the same North 265 Atlantic sector. Black contours show the climatological mean over the same region. f) Trends in potential 266 temperature tendency due to advection over the North Atlantic averaged between 300 and 850 hPa (shading) and 267 climatological mean (black contours). In panels a), c) and e) solid and dashed light blue contours depict the 268 2-PVU potential vorticity contour for the first and last decades in the ERA5 data, respectively. In panels c)-f) the 269 contour corresponding to a potential temperature trend of 0.3 K/decade is represented by purple lines. 270

diabatic processes are expected to increase in a warmer climate, as the saturation vapor pressure of the atmosphere increases with rising temperatures – as a result of the Clausius-Clapeyron relation (Held and Soden 2006) –, thus increasing potential temperature locally, while a reduction in the land-sea contrast has the potential to promote a reduction in cold-air advection into the region of interest from upstream (Wallace and Joshi 2018), thus also increasing the potential temperature over the Gulf Stream area.

In the Gulf Stream sector, ocean fronts have been shown to intensify the storm track (Small et al. 282 2014). In addition, this is an area of enhanced upward motion and cloud formation (Minobe et al. 283 2008; Kuwano-Yoshida et al. 2010), it is thus expected that diabatic heating plays climatologically 284 an important role. Indeed, the climatological mean diabatic heating (black contours in Fig. 2c,d) 285 is co-located with the mean position of the Gulf Stream. The trend pattern displays a dipolar 286 structure in a meridional band between 20-60°N (shading in Fig. 2c,d) with an increase near its 287 climatological mean position (black solid contour in Fig. 2d) and a slight poleward shift plus a 288 reduction south of 40°N, where it is climatologically negative (dashed black contour in Figs. 2c,d). 289 The trend pattern suggests a mild poleward shift paired with an intensification of diabatic heat 290 release close to its climatological maximum value. 291

Ambaum and Novak (2014) and Novak et al. (2015) show that the time series of diabatic heating 292 over the Gulf Stream region is characterized by spikes that occur on synoptic time scales and 293 are indicative of the baroclinic development growth of extratropical cyclones. These results and 294 the linear upward trend in diabatic heating suggest that the diabatic heat release for each, or at 295 least some of these events, has increased over recent decades. The trend in the jet over the Gulf 296 Stream likely reflects the cumulative influence of many of these diabatic heating peaks of increasing 297 intensity, the net effect of which is a local strengthening and regionally limited poleward shift of 298 the jet. To quantify this increase in strong peaks of diabatic heating, Fig. 3 compares the values of 299 the percentiles in the distribution of diabatic heating in an area near the Gulf Stream for the first 300 and last decades of the ERA5 period. In the last decade, the values of the highest percentiles are 301 larger than in the first decades, which supports the argument of increased intensity in the strongest 302 diabatic heating pulses. To illuminate this diabatic heating-jet stream interactions, an idealized 303 two-dimensional frontal-geostrophic simulation is used in Section 3 to estimate the effect a single 304



FIG. 3. **Quantile-quantile plot for the diabatic heating:** Values of diabatic heating percentiles in the area between 80–30°W, 35–45°N and 300–850 hPa for the first decade (horizontal axis) and last decade (vertical axis) in the considered ERA5 period (1979-2022). The lowest value corresponds to percentile 90 and the highest to percentile 99.9999. The black line represents the reference corresponding to equal values of the percentiles for both decades.

diabatic heating event has on the position and strength of an idealized jet stream and whether it produces an impact comparable to the observed jet feedback over the Gulf Stream sector.

Fig. 2e,f display advection trends in ERA5 data. In this case, there is a positive trend between 20–35°N with a low vertical tilt over the US East Coast – Gulf Stream region (red shading in Fig. 2e,f). This positive trend in the potential temperature advection is dominated by an upward trend in zonal advection. Because advection north of 25°N is climatologically negative (dashed black contours in Fig. 2b,d) the upward trend indicates a reduction in cold-air advection. This effect may be related to a reduction in the winter land-sea temperature contrast, as warming is more pronounced over North America than over the adjacent ocean (see Fig. 3a in Simmons (2022)). Although the positive advection trend is also strong at upper levels, the positive signal extends towards the surface supporting the role of reduced land-sea contrast as a driver of this trend. The maximum in potential temperature trend between 30–35°N is well aligned with the reduction in cold advection. This suggests that advection is the main contributor to the potential temperature trend in this region. Between 35 and 40 °N, the maximum in potential temperature trend coincides with the positive trend in diabatic heating, especially at mid and upper levels (Fig. 2c).

#### 325 2) TRENDS IN MEAN BAROCLINICITY

Next, we analyze changes in baroclinicity, especially over the downstream sector. By thermal 326 wind balance, the baroclinic component of the jet increases where the horizontal temperature 327 gradient is stronger. Fig. 4 reveals a tripolar trend pattern in the isentropic slope, which is used as 328 a proxy for the mean baroclinicity, over the North Atlantic storm track (see subsection 1). There is 329 a positive trend in midlatitudes, between 40-50°N (red shading in Fig. 4a) flanked by two bands of 330 negative trends. The upper-level maximum of the increase is located at 300 hPa around the main 331 jet axis, close to where an increase in the zonal wind speed (Fig. 1a) is identified. The combination 332 of a reduction in upper-level static stability, coupled with an increase in the meridional temperature 333 gradient, leads to this increase in mean baroclinicity in the 40–50°N band, which appears to be 334 critical for the evolution of the North Atlantic jet in this sector. This is accompanied by a lifting 335 of the dynamical tropopause near 40°N (light blue solid and dashed contours in Fig. 4a). In the 336 middle and lower troposphere, the slope trend shows a decrease equatorward of the jet axis at 30°N, 337 and an increase around the mean jet axis and again a decrease in a latitude band between 50 °N 338 and 80°N (Fig. 4a). Trends in slope, potential temperature and diabatic heating are consistent. The 339 region of strongest increase in potential temperature is related to a combination of reduced cold-air 340 advection in the equatorward flank of this area and a positive trend trend in diabatic heating, which 341 is located polewards of the increase in advection. In a baroclinic atmosphere a diabatic heating 342 maximum tends to lower the height of a tilted isentropic surface on its equatorward side and a 343 lowered isentrope corresponds to an increase in potential temperature (Van Delden 1999; Papritz 344 and Spengler 2015). This pattern in combination with the reduced cold air advection results in the 345 increase in potential temperature (Fig. 2a,c), which is found slightly equatorward of the increase in 346 the slope (Fig. 4) and diabatic heating release (Fig. 2c,d). At high latitudes, the warming pattern 347

<sup>348</sup> (Fig. 2a,c) causes the isentropic surfaces to bend downward causing a lowered slope at equatorward <sup>349</sup> latitudes and an increased slope at even higher latitudes, which is in agreement with the dipole <sup>350</sup> trend pattern of the slope between 70–90°N (Fig. 4a). Overall, the slope trend is strongest between <sup>351</sup> 40–50°N, which is close to its climatological mean position, and it extends throughout the entire <sup>352</sup> troposphere (Fig. 4a).

# 360 3) Trends in eddy momentum flux

Finally, we analyze trends in eddy momentum convergence by means of the E vector. The 361 direction of horizontal momentum flux is opposite to the direction of the meridional E vector. 362 Therefore, increased eddy momentum convergence is represented by a larger E vector divergence. 363 The axis of zero E vector divergence is located around 45°N and eddy momentum convergence 364 peaks slightly north of the jet core over eastern North America (vectors and shading in Fig. 5), 365 which is in agreement with the intensification and northward shift of the jet in this sector (Fig. 366 1b) and the area of positive slope trend (Fig. 4b). Downstream over the eastern North Atlantic, 367 momentum convergence is enhanced south of the climatological jet position, where an intensifi-368 cation, a mild equatorward shift and an extension of the jet are identified. In general, the area of 369 increased momentum convergence coincides well with the region where the jet intensifies (Fig. 1b), 370 consistent with the general understanding that the North Atlantic jet is an eddy-driven jet. The 371 enhanced convergence of the eddy momentum flux near the jet axis and a reduction poleward of 372 the climatological jet flank produces a reduction of the jet width, which agrees with analyses of the 373 projected trends of the North Atlantic jet (Peings et al. 2018). Overall, the momentum trend pattern 374 is remarkably similar to the archetypal steering of Rossby waves by baroclinic eddies, in particular 375 over the western North Atlantic and the storm track entrance region. Momentum convergence is 376 enhanced in the area of steering and waves propagate meridionally away from this region (Vallis 377 2017). In addition, over the western North Atlantic, upper-level changes in the eddy momentum 378 flux convergence are related to a trend towards more poleward-oriented E vectors. This change in 379 E vector is indicative of enhanced cyclonic RWB, which contributes to the equatorward shift of the 380 downstream where waves typically break. The intensification of the climatological trough provides 381 a potential explanation for the asymmetry of the E vector trend. Downstream of a trough anomaly, 382



FIG. 4. **DJF** isentropic slope trends in ERA5 over the North Atlantic: a) Zonal mean of the trend in the slope of the isentropic surfaces between  $80^{\circ} - 15^{\circ}W$  (shading), climatological mean slope (black contours, in Pakm<sup>-1</sup>) and zonal mean zonal wind (green contours) in ERA5 (1979-2022). Blue contours represent the tropopause height (2 PVU contour) for the first (solid) and last decade (dashed) in the considered period. For reference, the contour corresponding to a potential temperature trend of 0.3 K/decade is represented by purple lines. b) Trend of the slope of the isentropic surfaces averaged between 250 and 850 hPa (shading), climatological mean over the same vertical layer (black contours) and climatological mean zonal wind speed at 250 hPa (green contours).

<sup>383</sup> upper-level transient eddies tend to propagate with a cyclonic orientation, which is associated with <sup>384</sup> poleward pointing E vectors (Drouard et al. 2013).

At the same time, the vertical component of the E vector, which is proportional to the meridional 394 eddy heat flux, displays an upward trend between  $40-50^{\circ}$ N below 700 hPa (Fig. 5a). The upward 395 E vector in the lower troposphere indicates an increase in the initial growth of eddy activity at 396 the entrance region of the storm track. The anomalous E vector diverges in the upper troposphere 397 from the same latitudes where eddy activity increases, consistently with well-known storm-track 398 properties (see Fig. 13 in Hoskins et al. (1983)). Additionally, eddy heat flux is also reduced 399 below 400 hPa at high latitudes. This is associated with a decrease of the meridional near-surface 400 temperature gradient in this sector due to the Arctic Amplification. This also suggests that the 401 influence of the AA on the activity of the transient waves is limited to high latitudes north of 402 approximately 70°N. 403

The zonal component of the E vector is also reduced over the western North Atlantic between 404 75–60°W, 45–50°N (Fig. 5b). The zonal E vector component tends to cause a southwest–northeast 405 tilting of the storm track (see Fig. 3 in Orlanski (1998)), as a result of the quadruple vorticity 406 pattern associated with the zonal E vector component. Therefore, this reduction implies a reduced 407 northward deflection of the jet (Orlanski 1998) and thus, a more zonal orientation of the storm 408 track. The reduction in the zonal component of the E vector could explain the zonal extension over 409 the eastern North Atlantic and indicates changes in eddy shape, that is, a tendency towards less 410 meridionally and more zonally elongated eddies (Orlanski 1998). 411

In summary, over the western North Atlantic and the storm track entrance region, where diabatic 412 heating intensifies, the jet strengthens and shifts slightly poleward, while over the eastern North 413 Atlantic it intensifies, extends downstream, shifts slightly equatorward and tends to become more 414 zonal. Established theoretical considerations based on a linear wave model suggest that an increase 415 in diabatic heating is inherently connected to the formation of a westward tilted trough, which at 416 lower levels is located downstream of the maximum in heating (Hoskins and Karoly 1981). This 417 effect is not reproduced in the reanalysis (not shown) and this discrepancy could be related to the 418 role of nonlinearities in the response. 419

An increase in the mean baroclinicity over the Gulf Stream sector affects the entire depth of the troposphere and an increase of the convergence of eddy momentum fluxes in a zonal band across the



FIG. 5. DJF E vector trends in ERA5 over the North Atlantic: a) Zonal mean of E vector divergence trend 385 over the North Atlantic sector, between 80°-15°W (shading), climatological mean over the ERA5 period in DJF 386 (black contours,  $\pm 10^{-5}$  Jkg<sup>-1</sup>m<sup>-1</sup>) and trend in zonal wind speed (green contours). Black vectors represent E 387 vector trend (per decade). The size of the vertical component is four times the size of the horizontal component. 388 Blue contours represent the tropopause height (2 PVU contour) for the first (solid) and last decade (dashed) in 389 the considered period. b) E vector trend (black vectors) and divergence trend (shading) in ERA5 (1979-2022) 390 at 250 hPa over the North Atlantic. Green contours represent the climatological mean of the zonal wind at the 391 same pressure level. In both panels, vectors are shown only if the p-value in at least one of the components is 392 lower than the global  $p^*$ . 393

entire North Atlantic is suggestive of an acceleration of the jet through the excitation of baroclinic waves. In addition, a northward trend in the E vector suggests an increase in cyclonic wave breaking, which contributes to the equatorward shift of the jet downstream. In the next sections, the local influence of diabatic heating over the Gulf Stream as well as the downstream mechanism related to the eddy-mean flow interaction are further explored through idealized simulations.

#### **3.** Local diabatic influence on the jet stream

# 428 a. Two-dimensional frontal-geostrophic simulation

At the jet entrance above the east coast of North America and the Gulf Stream sector, the jet 429 latitude is less variable compared to the central and eastern North Atlantic (Brayshaw et al. 2011; 430 Small et al. 2014; O'Reilly et al. 2017). In this sector, rapid growth of baroclinic waves is occurring 431 due to high baroclinicity. Additionally, diabatic heating in the lower to mid troposphere, which 432 occurs episodically within baroclinic wave development (Ambaum and Novak 2014), is known to 433 have a local impact on the jet stream through the modification of PV (Stoelinga 1996; Pomroy 434 and Thorpe 2000) in an environment characterized by strong vertical wind shear beneath the jet 435 stream core. The purpose of this section is to quantify this direct and transient effect of a repeated 436 increase in diabatic heating during baroclinic wave development by using a two-dimensional 437 frontal-geostrophic model (Harvey et al. 2020) including an idealized diabatic heating perturbation 438 inspired by the trends obtained in ERA5 (Figs. 6 and 2c). It is expected a PV reduction above the 439 heating and an increase below, which are associated with circulation changes that locally affect the 440 jet (Wernli and Davies 1997). 441

The two-dimensional frontal-geostrophic model is based on the elliptic Sawyer-Eliassen equation, 442 including diabatic heating, under the Boussinesq approximation (Harvey et al. 2020). The model 443 is initialized with zonal wind and potential temperature profiles similar to the ERA5 climatology 444 in the Gulf Stream sector (Fig. 6) and a Gaussian diabatic heating perturbation, which remains 445 constant during the whole simulation period and has a maximum of approximately 0.4 Kday<sup>-1</sup>, 446 which matches the maximum in the long-term trend in ERA5. This perturbation is designed to 447 mimic the average rate of increased local diabatic heating in the Gulf Stream sector, as identified 448 in the long-term trend in ERA5. This experiment aims to establish whether the magnitude of the 449 increase in zonal wind in this area detected in the reanalysis trend is consistent with the effect of 450

<sup>451</sup> a succession of intensified diabatic heating pulses during the development of individual cyclones.
<sup>452</sup> For heights between approximately 2 and 7 km the heating is greater than 0.1 K day<sup>-1</sup> (Fig. 6).
<sup>453</sup> Additional experiments with an extended region of heating reaching lower levels as obtained for
<sup>454</sup> the trends in ERA5 (Fig. 2c) produce qualitatively similar results.

In more detail, the model is integrated forward in time while PV is positive over the full domain, 455 which is a necessary condition for the Sawyer-Eliassen equation to be elliptic. It typically takes 456 four to five days, given the magnitude of the diabatic heating rate imposed, before the forcing drives 457 the PV negative above the heating maximum. This is longer than typical synoptic timescales and 458 so we would expect in practice that the heating in each system would stop earlier as a baroclinic 459 wave life cycle follows its course. The model evolves by advecting the full Ertel PV field, which 460 under the two-dimensional Boussinesq assumptions reduces to  $P(y,z) = (m_z \theta_v - m_y \theta_z)/\tilde{\rho}$  where 461 zonal angular momentum m = -fy + u and  $\tilde{\rho}$  is the background density, in the meridional (y, z)462 plane. The (v, w) wind are obtained from the Sawyer-Eliassen equation assuming no normal flow 463 at the domain boundaries. The resulting  $(u, \theta)$  fields are obtained by inverting P using a two-464 dimensional Monge-Ampère solver, together with boundary conditions u = 0 on the meridional 465 boundaries (±3000 km),  $\theta' = 0$  on a rigid lid (16 km) and a time-varying lower-boundary condition 466  $\lambda(y) = -\theta m_y$ . This form of the lower boundary condition is analogous to advecting boundary 467  $\theta$  in the quasi-geostrophic equations, whilst maintaining the compatibility condition required for 468 solution of the two-dimensional Monge-Ampère equation. 469

This experiment has some similarities with the first step in the study of Peng and Whitaker (1999), where a linear three-dimensional baroclinic model is used to investigate the response to an initial anomalous heating (before adjustment and eddy feedback). However, the approach used here is based on a two-dimensional nonlinear model and our interpretation is that it is the local response of the jet structure to transient heating beneath the level of the jet maximum over the Gulf Stream. Our 2D model cannot develop troughs and ridges, a mechanism which we explore with a non-hydrostatic global atmospheric model in an aquaplanet configuration in another section.

#### 481 b. Experiment results

The results of the simulation show an intensification of the zonal wind, whose maximum exceeds  $2 \text{ m s}^{-1}$  and a slight poleward shift of the jet above the area of increased diabatic heating (Fig. 7).



FIG. 6. **Two-dimensional frontal-geostrophic simulation setup:** Initial conditions for the two-dimensional semi-geostrophic simulation for PV (shading), zonal wind speed (green contours), potential temperature (blue contours) and diabatic heating anomaly (gray contours, from 0.1 to 0.4 K day<sup>-1</sup>). The horizontal axis represents the meridional coordinate.

This is expected from the formation of a negative PV anomaly (blue contour in Fig. 7) leading 484 to anticyclonic circulation above the region of maximum heat release on the flank of the jet core 485 and poleward advection of the tropopause by the secondary circulation induced by the heating. 486 In contrast to reanalysis trends, which extent throughout the troposphere, the increase in zonal 487 wind speed is confined to the area above the heating where the negative PV anomaly is formed. 488 Assuming linearity in the response and that the jet trend is composed of an average of the responses 489 to multiple heating events, with increasing intensity on average as climate warms, the results of the 490 idealized frontal-geostrophic experiment suggest an intensification of the jet and slight poleward 491 shift seen over the Gulf Stream produced by this mechanism, which is in agreement with the 492 long-term ERA5 trend. It indicates that the mechanism has indeed sufficient amplitude to explain 493 the trend in the jet entrance region. However, the baroclinic component of the response dominates 494 in the frontal-geostrophic simulation in contrast to the reanalysis trend. 495

As outlined in the introduction, two potential mechanisms, one direct and one indirect are proposed to explain the effect of diabatic heating on the jet. The direct mechanism, which has



FIG. 7. Impact of a local diabatic heating source in an idealized two-dimensional frontal-geostrophic experiment on the jet location and speed: Shading represents the difference in zonal wind speed between final and initial states. Initial zonal wind speed is represented by green contours, diabatic heating by black lines. Red (blue) contour represents an increase (decrease) in potential vorticity of 0.025 PVU, while the light blue solid (dashed) contour indicates 0.8 PVU in the initial (final) state.

been analyzed by means of this idealized two-dimensional experiment, produces a modification of 503 the PV via diabatic heating. This process is likely to be important at the entrance of the North 504 Atlantic, as the heating is anchored by the land-sea contrast and the Gulf Stream SST anomaly 505 (Minobe et al. 2008; Woollings et al. 2016). This direct mechanism is more likely to create a 506 baroclinic structure. If diabatic heating is increased on average over many individual cyclogenesis 507 events, a change in the mean state and an increase in the time mean baroclinicity over the Gulf 508 Stream sector can be expected (Hoskins and Valdes 1990b; Parfitt and Czaja 2016), which is also 509 in agreement with the enhanced baroclinicity at all levels identified in the ERA5 trends. In the 510 indirect mechanism, diabatic heating modifies baroclinicity and consequently baroclinic growth 511 and, finally, momentum deposit by eddies. Since baroclinic waves are typically not breaking in 512 the western North Atlantic, the indirect mechanism is expected to become more relevant for the 513 jet trend more downstream, despite the increase in eddy momentum convergence is found over 514 the whole North Atlantic in midlatitudes. The increase in the mean baroclinicity will alter the 515

<sup>516</sup> eddy-zonal flow feedback (Hoskins et al. 1983; Lorenz and Hartmann 2003), as seen by a positive
<sup>517</sup> trend in the E vector divergence (Fig. 5). This feedback is not limited to the Gulf Stream sector,
<sup>518</sup> but also affects the jet downstream into the North Atlantic, where the trend pattern indicates a push
<sup>519</sup> of the jet equatorward because of an increased cyclonic wave breaking tendency, indicated by the
<sup>520</sup> poleward E vector trend (Fig. 5b). The indirect mechanism is further explored next with idealized
<sup>521</sup> aquaplanet simulations.

#### **4. Eddy-mean flow feedback**

The two-dimensional frontal-geostrophic model discussed in the previous section lacks the impor-523 tant contribution by synoptic-scale baroclinic eddy growth and Rossby wave breaking. Therefore, 524 in order to substantiate the changes in eddy-zonal mean flow feedback resulting from enhanced 525 mean baroclinicity, we perform a set of simulations using a fully-fledged Atmospheric Global 526 Circulation Model (AGCM), but in a semi-realistic configuration with typical climate model res-527 olution. However, given the reduced complexity of these simulations, the main goal of these 528 experiments is to identify which of the mechanisms analyzed in the reanalysis can be reproduced 529 in this simplified and controlled setup and are therefore easier to interpret, and which ones require 530 higher complexity or model resolution to be reproduced. The main focus is to analyze the effect of 531 baroclinic wave eddy fluxes on the jet downstream of an imposed local SST maximum intended to 532 mimic the land-sea contrast and the Gulf Stream and introduce a localized maximum in diabatic 533 heating. 534

# <sup>535</sup> a. Idealized aquaplanet simulation setup

The simulations use the Icosahedral Nonhydrostatic Weather and Climate (ICON) model version 536 2.6.5 (Zängl et al. 2015) in an aquaplanet setup with a zonal asymmetry mimicking the land-sea 537 contrast between North America and the Gulf Stream region. These simulations help to better 538 understand the potential effects of change in eddy-zonal flow feedback, which is absent in the 539 two-dimensional model. The simulations have a horizontal resolution of approximately 80 km 540 and 70 vertical levels up to 65 km height. The physical parameterizations used include a one-541 moment two-category microphysics (Doms et al. 2011), Tiedke convection scheme (Tiedke 1989), 542 a prognostic turbulent kinetic energy scheme for sub-grid turbulent transfer (Doms et al. 2011), 543

non-orographic gravity wave drag (Orr et al. 2010) and the ecRad radiation scheme (Hogan and
Bozzo 2018).

The atmosphere initialization follows the Jablonowski-Williamson baroclinic wave test case 546 (Jablonowski and Williamson 2006) and the SST is based on the "Qobs" distribution (Neale and 547 Hoskins 2001) with a superposed idealized SST anomaly in the Northern Hemisphere with an 548 amplitude of 10 K and rotated positive and negative ellipsoidal anomalies to represent the effects 549 of both the Gulf Stream and the land-sea contrast in the North Atlantic (Fig. 8). The central point 550 of the perturbation is located at  $30^{\circ}$ W, while three different latitudinal positions (38, 39 and  $42^{\circ}$ N 551 are considered to analyze the sensitivity of the jet response to the position of the zonal asymmetry. 552 Further details on the model configuration for these experiments are provided in Schemm et al. 553 (2022).554

For each experiment with different positions of the SST perturbation, two simulations are run: 555 a control simulation with the above setup including the baseline SST distribution and the SST 556 anomaly shown in Fig. 8 and an additional simulation that includes an SST uniform warming of 557 4 K on top of the setup of the control simulation. The difference between these two simulations 558 constitutes the response to uniform warming. The SST anomaly, which represents the land-sea 559 contrast in this idealized framework, is the same in both simulations. This constitutes an additional 560 simplification since warming has also an impact on land-sea contrast. The response is compared 561 to the trends identified in the reanalysis. This allows us to estimate to which degree the physical 562 mechanisms contained in this idealized setup can explain the trends obtained in the reanalysis. The 563 simulations are run for a period of ten years in a perpetual winter configuration. Note, however, 564 that the trends in ERA5 contain the effects of both natural variability and anthropogenic forcing, 565 while in the aquaplanet simulations the response reflects the impacts of the imposed warming given 566 that the simulation period is long enough to limit the effect of internal variability. 567

# 571 b. Simulation results

The vertical cross section of the change in zonal wind speed, which is shown in Fig. 9a, indicates an equatorward shift at upper-levels while at lower levels the response is characterized by a slight poleward shift of the jet. Therefore, the vertical profile of the positive response in the zonal wind has a stronger meridional tilt than that detected in ERA5 (Fig. 9a). The response to uniform surface



FIG. 8. Aquaplanet simulation setup: Setup used in the ICON aquaplanet simulations including a zonally symmetric SST profile represented by black contours from 270 to 310 K, known as "Qobs" and an SST perturbation (shading and green contours). The anomaly is centered at 30°W, 39°N.

warming by 4 K in the unperturbed Southern Hemisphere (SH) shows that in the absence of the idealized land-sea contrast, a poleward shift of the storm track is obtained (Fig. 9b), similar to what is projected by CMIP models in the SH. However, in the presence of the SST perturbation centered at 39°N, the well-marked poleward shift of the jet is suppressed (Fig. 9b,c). Instead a southwest-to-northeast oriented increase in wind speed near the SST asymmetry is observed (Fig. 9c). Further poleward, a local decrease in the wind speed is simulated (blue shading in Fig. 9c at 15°W and 70°N).

Overall, the jet response to warming resembles a southwest-to-northeast elongated pattern of 583 enhanced wind downstream of the SST anomaly, which has a higher degree of similarity to that 584 observed over the North Atlantic compared to a pure poleward shift seen in the SST front free SH. 585 However, there are differences between the response in the idealized simulation and ERA5. The 586 main contrast is that the slight poleward shift observed over the Gulf Stream is not reproduced 587 over the SST anomaly. A possible reason why this change is not captured in these simulations 588 is discussed in the next subsection and relates to local diabatic heating at the SST front and the 589 findings from the two-dimensional frontal circulation model. 590

#### <sup>591</sup> 1) INCREASE IN DIABATIC HEATING

The increase in diabatic heating in the warmer atmosphere has its maximum on the warmer 592 side of the SST anomaly but downstream of its center, where baroclinic disturbances grow into 593 mature systems as they propagate poleward (blue contour in Fig. 9b,c). Latent heat release in the 594 accompanying warm conveyor belts in these systems is likely to contribute to the newly formed 595 maximum in diabatic heating in this sector. Therefore, there is a mismatch in the position of the 596 strongest increase in diabatic heating compared to ERA5 data in which the increase in diabatic 597 heating is located more over the Gulf Stream SST front. A potential cause could be a too weak 598 anchoring effect of diabatic heat release by the idealized SST anomaly in this fairly low-resolution 599 simulation ( $\approx 80 \,\mathrm{km}$  grid spacing). For example, Sheldon et al. (2017) showed the inability to 600 simulate the impact of the Gulf Stream on the warm sector of cyclones for a resolution of 40 km, 601 which is twice as high than the resolution used here and in current typical climate simulations. 602 Further studies have demonstrated that higher atmospheric and oceanic resolution leads to a local 603 increase in diabatic heating due to enhanced vertical motion and heat and moisture fluxes over a 604 sharper SST front (Small et al. 2014; Parfitt et al. 2017; Schemm 2023). This may explain why 605 the increase in diabatic heating in this low-resolution simulation is greatest further downstream, 606 where synoptic systems have grown already into mature cyclones that are better resolved by the 607 model. Therefore, it is likely that the local response of the jet to diabatic heating, as suggested by 608 the two-dimensional frontal simulation, which requires the meso-gamma scale to be resolved, is 609 either not well represented or too weak. 610

### $_{623}$ 2) Mean baroclincity and E vector change

Next, we compare the time mean baroclinicity response to warming to that found in reanalysis 624 data. At mid- and upper levels, the change in the baroclinicity exhibits a good resemblance to the 625 ERA5 trends (cf. Fig. 10a and Fig. 4a). The zonally averaged (30°W-45°E) cross section of the 626 baroclinicity change depicts a tripolar pattern consisting of a positive trend at midlatitudes around 627 the jet axis. The positive response reaches down poleward of the climatological mean jet to the 628 500 hPa level. The increase in slope is flanked by a reduction of the isentropic slope equator-629 and poleward (Fig. 10a). In the horizontal (Fig. 10b), the slope response averaged across the 630 troposphere (250 - 850 hPa) shows a clear triple pattern, with an area of enhanced baroclinicity 631



FIG. 9. Response of zonal wind speed to uniform warming in an AGCM aquaplanet simulation: a) Cross-611 section of the zonal mean zonal wind response (shading) between  $30^{\circ}W - 45^{\circ}E$  in the aquaplanet simulation 612 with a uniform warming of 4 K and the control simulation, both with an SST anomaly located at 30°W, 39°N 613 and climatological average of the zonal mean zonal wind over the same sector in the control simulation (green 614 contours). The black star above the x-axis indicates the latitudinal position of the SST anomaly. b) Difference 615 between the zonal wind speed at 250 hPa (shading), climatological mean of the zonal wind speed for the control 616 simulation (dark green contours, between 30 and 50 m s<sup>-1</sup> with dashed black contour for zero zonal wind speed), 617 diabatic heating change (blue contour,  $0.4 \text{ K day}^{-1}$ ) and response in the zonal 500-hPa geopotential anomalies 618 (light green contours at -400 and -600 m<sup>2</sup> s<sup>2</sup>). The SST anomaly is represented by black contours (from -2.5 to 2.5 619 K). To facilitate comparison with ERA5 results (Fig. 1b), all fields in panels a and b are shifted 50° westwards. 620 Continents are shown for illustrative purpose only. c) Zoom of the zonal wind response, climatological zonal 621 wind, diabatic heating and geopotential anomalies into the area near and downstream of the SST anomaly. 622

poleward of the jet extending downstream to 45°E (red shading in Fig. 10b). Parts of this region
 downstream of the SST front (little star in Fig. 10b) coincide with the area of increased diabatic
 heating (blue contour in Fig. 9c).

The increase in time mean baroclinicity is associated with changes in eddy momentum flux as 644 indicated by changes in the meridional component of E vectors (Fig. 11). Climatologically, the 645 control simulation is characterized by eastward pointing E vectors at the jet axis, by poleward 646 pointing E vectors poleward of the jet axis and by equatorward pointing E vectors equatorward 647 of the jet axis (Fig. 11a). In agreement with theory, E vector divergence occurs at the jet core 648 indicating eddy momentum flux convergence and acceleration (red shading in Fig. 11a). The 649 response to warming downstream of the SST front is characterized by increased eddy momentum 650 flux convergence in two locations (red shading in Fig. 11b). One increase is centered at 50°N 651 (little star in Fig. 11b), and it is associated with the increase in baroclinicity (little star in Fig. 652 10b) and also enhanced zonal wind speed. From a mechanistic viewpoint, enhanced diabatic 653 heat release increases the time mean baroclinicity, which strengthens the area of baroclinic wave 654 excitation and eddy momentum flux convergence in this region. This mechanism is also found in 655 ERA5. A second increase in eddy momentum flux convergence occurs equatorward of the mean 656 jet position (little square in Fig. 11b). However, this increase results from the poleward shift of 657 the wave excitation region (the main zone of baroclinicity), as it is also observed in the SH and has 658 no associated local increase in baroclinicity (little square in Fig. 10b). In the zonal mean cross 659 section (Fig. 11c), the change in the E vector is primarily characterised by an intensified poleward 660 orientation, with the more equatorward-located change being connected to the poleward shift and 661 the more poleward-located change being related to the increase in the slope. 662

In addition to the changes discussed above associated with the transient eddy mean flow feedback, more locally above the SST front, we find a clear increase in the stationary circulation. (light green contours in Fig. 9b,c). In this area, the response of the stationary circulation indicates the formation of a stationary trough, which appears to cause the zonal wind change locally at the front.

As already argued in the previous section, since the diabatic heating is enhanced downstream of the SST anomaly and not above its centre, the local strengthening and poleward push of the jet seen in ERA5 and in the two-dimensional frontal-geostrophic model is not reproduced. A possible cause of this discrepancy is the underresolved transient diabatic processes, which cause this local



FIG. 10. Response of isentropic slope to uniform warming in an AGCM aquaplanet simulation: a) 635 Cross-section of the zonal mean of the response in the slope of isentropic surfaces to a uniform warming of 4 636 K in the idealized aquaplanet simulations with an SST anomaly centered at 30°W, 39°N. The slope is averaged 637 over the area between 30°W and 45°E (downstream of the SST anomaly). The climatological mean of the slope 638 in the control simulation is represented by black contours and the zonal mean of the zonal wind speed by green 639 contours. The white star above the x-axis represents the latitudinal position of the SST perturbation. b) Slope 640 response to the uniform warming averaged between 250 and 850 hPa (shading) and zonal wind response at 250 641 hPa in the control simulation (brown contours). Black contours represent the SST anomaly. The star and the 642 square mark regions of increased and decreased slope downstream of the SST anomaly (see text for discussion). 643

effect to be too weak and may be a major source of misrepresentation of the North Atlantic jet trend in low-resolution models. A thorough investigation of the effect of the model resolution on

diabatic processes is beyond the scope of this study, but diabatic heating increases at higher model 673 resolution have recently been demonstrated in a comparable aquaplanet setup by Schemm (2023). 674 To summarize, the key finding of this experiment is that a zonal surface asymmetry, here an 686 idealized representation of the land-sea contrast over the Gulf Stream sector, is sufficient to suppress 687 the general tendency of the jet to shift poleward under warming. The response to warming of the 688 idealized simulation includes major factors also found in reanalysis-based trends of the North 689 Atlantic jet: increased diabatic heating near the SST anomaly, increased tropospheric baroclinicity 690 at upper levels with a triple pattern of change throughout the troposphere at the mean storm track 691 location, and increased convergence of eddy fluxes feeding back on the zonal flow downstream of 692 the front causing an increase in the jet speed. 693

There are however some differences between this idealized run and reanalysis, namely that the 694 positive trend in baroclinicity does not extend to the surface (Fig. 10a). Some possible causes for 695 this mismatch could be a difference in ocean changes and air-sea exchange (Woollings et al. 2012) 696 between the reanalysis and idealized simulations or a weaker diabatic heating within baroclinic 697 weather systems. In addition, the idealized jet stream in the control simulation has a more zonal 698 orientation compared to the southwest-northeast tilt identifiable in ERA5. The lack of orography 699 in the idealized simulation could partially explain this difference in the jet orientation (Brayshaw 700 et al. 2009). In addition, although the eddy momentum convergence coincides with the area of 701 increased baroclinicity and stronger wind speed downstream of the SST anomaly and poleward 702 of the mean jet position (little stars in Figs. 10b and Fig. 11b), the pattern is different from 703 ERA5 near the SST perturbation, leading to a different jet response in this region, mainly driven 704 by changes in the stationary circulation. There is a positive response to warming of the E vector 705 divergence, which is not linked to a local increase in baroclinicity (little squares Figs. 10b and Fig. 706 11b). Furthermore, the increase in diabatic heating is located more downstream in the idealized 707 simulation in contrast to the reanalysis, where it is more anchored over the Gulf Stream. A cause 708 for this mismatch could be the coarse resolution of the idealized experiments. The local effect 709 of transient diabatic heating occurring during extratropical cyclone growth over the Gulf Stream 710 analyzed in the frontal-geostrophic simulation, which locally accelerates the jet and pushes it 711 poleward in both the frontal-geostrophic model and ERA5, appears thus too weak to affect the 712 mean response and thus, the changes near the SST anomaly are in contrast to the reanalysis. 713



FIG. 11. Response of the E vector to uniform warming in an AGCM aquaplanet simulation: a) Clima-675 tological mean of the E vector and its divergence (arrows and shading) in the control simulation at 250 hPa and 676 climatological mean of the zonal wind speed in the control simulation (green contours). b) Response of E vector 677 and its divergence to uniform warming at 250 hPa (arrows and shading, respectively). Brown contours depict 678 the response to warming in the zonal wind at the same pressure level. The SST anomaly is represented by black 679 contours in panels b and c. The star and the square mark regions of increased E vector divergence downstream of 680 the SST anomaly (see text for discussion). c) Cross-section of the zonal mean difference of E vector divergence 681 between warmed and control simulation (shading), climatological mean of the E vector divergence in the control 682 simulation (black contours, between  $-3 \cdot 10^{-5}$  and  $3 \cdot 10^{-5}$  Jkg<sup>-1</sup>m-1), E vector response to uniform warming 683 (arrows) and zonal wind response (brown contours) in the aquaplanet simulation with an SST perturbation located 684 at 30°W, 39°N. The black star above the x-axis indicates the latitudinal position of the SST perturbation. 685

#### 714 3) Sensitivity to the position of the SST anomaly

The purpose of the next simulations is to change the exact location of the convergence of the 715 upper-level eddy momentum flux and increase in diabatic heating relative to the mean jet position. 716 This is done by changing the location of the SST anomaly (to 38 and 42°N) relative to the mean 717 jet position, which is set by the large-scale SST gradients according to the Qobs SST distribution. 718 The response of the storm track to warming downstream of the SST anomaly (east of  $0^{\circ}$ ) in both 719 simulations is characterized by an increase of the zonal wind speed poleward of the climatological 720 jet position (Fig. 12). Both simulations feature a triple pattern in the baroclinicity response 721 (Fig. 13a,b), which is more confined in the upper levels and is also slightly displaced towards 722 the equator in the simulation with the SST front at 38°N (Fig. 13c). In both simulations, the 723 increase in diabatic heating is located downstream of the idealized land-sea contrast (not shown), 724 in line with the simulation with an SST front at 39°N (Fig. 9b,c). In this downstream area (little 725 star in Fig. 13c,d), the response is dominated by an increase in baroclinicity and a corresponding 726 intensification of eddy momentum flux convergence. This behavior is independent of the position 727 of the SST asymmetry. 728

Conversely, the response near the SST anomaly differs between the two front positions. In the 729 case of a more equatorward SST anomaly, the jet shifts equatorwards (Fig. 12a) and the difference 730 between the warmed and control simulations features poleward pointing E vectors and a positive 731 difference in E vector convergence over the storm track entry region equatorwards of the mean jet 732 position (Fig 13c), which pushes the jet equatorward. In the other sensitivity simulation with a 733 more poleward located SST anomaly, the jet clearly shifts poleward near the SST front and up-734 and downstream of it (Fig. 12b), similarly to what occurs in the absence of the SST anomaly in 735 the SH (Fig. 9b). Accordingly, slope and eddy momentum convergence also shift polewards under 736 warming (Fig. 13b,d) and the increase in the isentropic slope is much less confined to upper levels. 737 In the unperturbed SH there is also some variability between different simulations (not shown), 738 but the response is qualitatively similar featuring a poleward shift in all simulations, in contrast to 739 the NH where the position of the SST anomaly has a higher impact on the jet response than the 740 variability detected in the SH. 741

The three experiments with different positions of the SST anomaly show that if this perturbation is located near the mean jet position the response to uniform is not a poleward shift as it occurs



FIG. 12. Sensitivity of the zonal wind response to the position of the SST anomaly in aquaplanet simulations: Difference between the zonal wind speed in the aquaplanet simulations with a uniform warming of 4 K and the control simulation at 250 hPa (shading), and climatological zonal wind speed in the control simulation (green contours between 30 and 50 ms<sup>-1</sup>) with an SST anomaly located at 30° W, a) 38°N and b)  $42^{\circ}$ N. Black contours represent the SST perturbation.

when there is no anomaly or when it is located far from the mean jet position. The role of changes in stationary circulation for the wind response near the anomaly is strongly dependent on its position as shown in the experiments with the SST perturbation at 38°N, where the stationary circulation has a limited influence, and the simulation with the SST anomaly at 39°N, where the response in this sector is dominated by changes in the stationary circulation. Downstream of the SST asymmetry, the response in all simulations is dominated by an increase in diabatic heating, which enhances baroclinicity and eddy momentum convergence poleward of the mean jet position.



FIG. 13. Sensitivity of the baroclinicity and E vector response to the position of the SST anomaly in 756 aquaplanet simulations: Cross-section of the response of the slope of the isentropic surfaces under global 757 warming (shading) and climatological mean of slope and zonal wind in control simulations (black and green 758 contours, respectively) with an SST anomaly located at 30° W, a) 38°N and b) 42°N. White stars above the x-axis 759 in panels a and b represent the latitudinal position of the SST anomaly. c), d) Response of the E vector and its 760 divergence (arrows and shading, respectively) at 250 hPa for both sensitivity experiments and response in the 761 zonal wind at the same pressure level (brown contours). The SST anomaly is represented by black contours in 762 panels c and d. The stars in panels c and d mark a region of increased E vector divergence downstream of the 763 SST anomaly (see text for discussion). 764

#### **5.** Fully-coupled CESM ensemble simulations with SSP3-7.0 scenario

Finally, we examine the extent to which the trends identified in the reanalysis are reproduced by a historical run of fully-coupled climate model over the ERA5 period. It has been recognized that the current generation of fully-coupled Earth system models appears to be missing the trend in the North Atlantic jet stream (Blackport and Fyfe 2022). Here, we examine zonal wind and baroclinicity trends in an ensemble of fully-coupled climate simulations.

#### *a. CESM model setup*

The ensemble consists of 5 climate simulations produced with the Community Earth System 772 Model (CESM) version 2.1.2 (Danabasoglu et al. 2020), labeled 0900 to 1300. These are used to 773 compare the ERA5 trends with simulated historical trends and to analyze future projections for the 774 jet stream. The model is run in fully coupled mode including the Community Atmosphere Model 775 (CAM6) (Bogenschutz et al. 2018; Danabasoglu et al. 2020) with 32 vertical levels, the Community 776 Land Model (CLM5) (Lawrence et al. 2019), the Parallel Ocean Program version 2 (POP2, 60 777 vertical levels), the Los Alamos National Laboratory Sea Ice model (CICE5) (Hunke et al. 2015), 778 and the hydrological routing model Model for Scale Adaptive River Transport (MOSART) (Li 779 et al. 2013). The horizontal resolution is approximately 1°. The historical period with prescribed 780 forcing covers from 1850 to 2014, and from 2015 to 2100 the runs are forced with the SSP3-7.0 781 scenario, which constitutes a medium to high forcing pathway (O'Neill et al. 2016). To provide a 782 more meaningful comparison with the ERA5 data, the period 1980-2022, which is partially driven 783 by the SSP3-7.0 forcing, is used as the historical period. 784

#### <sup>785</sup> *b. Historical period (1980–2022):*

The ensemble mean trend for the historical period (1980–2022) displays a well-marked equa-786 torward shift of the jet over the Gulf Stream region and downstream extension (Fig. 14a), which 787 is different from the local poleward shift identified in the reanalysis over the Gulf Stream sector. 788 A closer inspection of the ensemble mean of the slope trend shows that also the increase in the 789 baroclinicity is located equatorwards with respect to the jet position (between  $25-40^{\circ}$ N, Fig. 14b). 790 Considering the role of the local increase in transient diabatic heating in pushing the jet slightly 791 poleward, this effect seems to be either absent or not strong enough to result in a local increase and 792 poleward displacement of the jet stream. Nevertheless, some caution is required to interpret these 793 differences as model error given the small ensemble size, which may not be sufficient to isolate the 794 forced response. In addition, reanalysis trends as presented in section 2 are the result of a combi-795 nation of external forcing and natural variability, which is particularly large in the historical period 796 as seen in the CESM ensemble spread. Appendix B further illustrates this aspect by analyzing 797 daily zonal wind data for a subset of 50 members of the CESM2 large ensemble (CESM-LENS) 798 (Rodgers et al. 2021). 799



FIG. 14. **DJF trends in 250-hPa zonal wind speed and in a vertical cross-section of the slope of the isentropic surfaces for CESM simulations in the historical period (1980-2022):** a) Trend in zonal wind speed (shading) and climatological mean (black contours) for the historical period. b) Trend in the slope of the isentropic surfaces (shading) and climatological mean (black contours) over the North Atlantic storm track (80–15° W). Zonal wind speed climatology is represented by green contours.

However, the ensemble spread of wind speed trends in the CESM ensemble simulation in the historical period is remarkably large, as is the direction of the meridional shift of the jet among the different ensemble members (Fig. 15). This diversity is aligned with different responses of



FIG. 15. DJF trends in 250-hPa zonal wind speed and in a vertical cross-section of the slope of the isentropic surfaces for each CESM ensemble member in the historical period (1980-2022): As in Fig. 14 for each ensemble member.

the baroclinicity to warming across the ensemble, similarly to the effect of shifting the position of the SST anomaly in the aquaplanet simulations. In particular, there are differences in the exact

position of the positive baroclinicity trend relative to the climatological mean, which is essential in 813 determining the jet shift (Yuval and Kaspi 2016). For example, member 0900 displays a poleward 814 shift with a positive zonal wind trend around 60°N, which is accompanied by a positive slope in 815 trend in the same region (Fig. 15a,b). Conversely, member 1300 displays a tripolar pattern of 816 change (Fig. 15j) as expected from zonal asymmetries (Schemm et al. 2022). However, the positive 817 slope trend is also for this member located slightly equatorward of the mean jet position and 818 consequently the positive wind trend is displaced to the south (Fig. 15i), similar to the ensemble 819 mean (Fig. 14). The remaining members exhibit larger differences some members produce a 820 poleward shifted positive baroclinicity trend but no downstream extension, while some do not 821 reproduce the tripolar pattern in the slope trend at all. At upper levels, all members exhibit a 822 negative trend in stability due to either stratospheric cooling or reduced warming in midlatitudes, 823 which results in an overall positive slope trend, but there is widespread disagreement on the location 824 of the positive slope trend. 825

As a consequence of the large ensemble spread, the ensemble mean trend in momentum convergence is close to zero as opposing trends in individual ensemble members cancel each other (not shown). While the closest member to ERA5 shows a significant increase in the northward component of the E vector, other members produce lower increases or even an equatorward pointing trend.

# <sup>831</sup> c. End of century (2057–2100)

The ensemble mean of the trends for the end of the century remains fairly similar to that of 832 the historical period but is amplified (Fig. 16a). Again, baroclinicity features a notable increase 833 equatorward of the mean jet position between 25–35°N throughout the troposphere and a negative 834 trend between 40–50°N at mid- and low levels (Fig. 16b), which indicates that the exact location of 835 the modeled positive trend in baroclinicity is a potential source of uncertainty in future projections. 836 Differences in the ensemble spread are now reduced compared to the historical period. At the 840 end of the century, all ensemble members project an equatorward shift of the jet which is well 841 reflected in the ensemble mean (Fig. 16b). Contrary to what is found for the historical period, now 842 all members produce a positive slope trend around or equatorward of the mean jet position at low 843 levels (Fig. 17b) but 10° too equatorward compared to observed recent trends. This is associated 844



FIG. 16. Trends in 250-hPa zonal wind speed and in a vertical cross-section of the slope of the isentropic surfaces for CESM simulations in the end of the century period (2057-2100): As in Fig. 14 for the end of the century period. Note the different color scale for the wind trend compared to Fig. 14.

with a small warming trend at midlatitudes, which increases the meridional potential temperature gradient and thus, the slope. At upper levels, the pattern is similar to the one obtained for the historical period. In general, all members display a well-marked equatorward shift (Fig. 16a), similar to the aquaplanet simulation with zonal SST anomalies centered at 39°N. Further, the triple pattern in the slope and potential temperature trends emerges (Fig. 16b). Therefore, the baroclinicity and the jet trend seem both placed too far to the equator compared to recent trends in <sup>851</sup> ERA5. The reduced ensemble spread at the end of the century and the large spread in the more <sup>852</sup> recent period indicate a small signal to noise ratio in the historical period, while it is stronger at the <sup>853</sup> end of the century, as a consequence of intensified warming in this period (Rodgers et al. 2021).

Overall, we find that the results over the Gulf Stream sector for the 5-member ensemble are 857 different from the reanalysis with an equatorward shift in the ensemble mean of the CESM 858 simulations, and a slightly poleward shift in ERA5. The downstream extension is found in 859 both reanalysis and CESM ensemble simulations, but the extension affects the Iberian peninsula 860 in CESM while it affects the UK in reanalysis data, due to the equatorward shift projected for 861 the entire North Atlantic in the climate simulations. Also the triple pattern of change is found in 862 vertical cross sections of changes in the isentropic slope, but again shifted towards the equator in 863 CESM. This mismatch is reduced when consideration is given to the CESM-LENS in the historical 864 period, where some members indeed feature a polewards shift as seen in ERA5, while the majority 865 of members still feature an equatorward shift (see Appendix B). 866

The signal for the end of the century consists of an equatorward shift over the Gulf Stream and 867 an extension of the jet towards southwestern Europe. As shown by the results of the idealized two-868 dimensional frontal-geostrophic experiment, an increase in diabatic heating over the Gulf Stream 869 region leads to a slight poleward shift of the jet. Therefore, it remains an open question whether the 870 trend for the end of the century detected in the CESM climate simulations is the actual response 871 to the increase in greenhouse gases or it is the result of a misrepresentation of the local effect of 872 diabatic heating over the Gulf Stream. A more detailed analysis using a large ensemble as well 873 as higher resolution simulations, such as those produced by HighResMIP Haarsma et al. (2016), 874 is required to prove this aspect. Given the coarse resolution at which both the aquaplanet and the 875 fully-coupled climate simulations are run, this aspect could have an impact on the trends over the 876 Gulf Stream following the resolution argument of Sheldon et al. (2017). More research on the role 877 of model resolution in setting the jet response to warming is needed to quantify its effect on the jet 878 stream trends. 879

# **6.** Conclusions

Atmospheric dynamics play an important role in the development of the North Atlantic jet stream and storm tracks. In this study, two main mechanisms are outlined to better understand



FIG. 17. Trends in 250-hPa zonal wind speed and in a vertical cross-section of the slope of the isentropic surfaces for each CESM ensemble member in the end of the century period (2057-2100): As in Fig. 15 for the end of the century period. Note the different color scale for wind trends.

trends in the position and strength of the North Atlantic jet found in reanalysis data. At the heart of both is the effect of enhanced diabatic heating, which (i) produces a local transient negative PV anomaly at upper levels (Wernli and Davies 1997; Pomroy and Thorpe 2000), and (ii) increases the baroclinicity in the storm track entrance region at the core of the mean jet, which results in enhanced eddy momentum fluxes downstream (Hoskins et al. 1983; Vallis 2017). We explore each of these mechanisms with different diagnostics and modeling approach in the spirit of Peng and Whitaker (1999) by analyzing the ERA5 reanalysis and a hierarchy of model simulations with different complexity including a two-dimensional frontal-geostrophic simulation, aquaplanet experiments with an atmospheric global circulation model and fully-coupled climate simulations.

Locally, over the Gulf Stream region, where synoptic waves grow but yet do not break, an 892 intensification and poleward shift of the jet is consistent with the response to more intense transient 893 diabatic heating pulses during the growth and rapid succession of growing baroclinic waves 894 (mechanism i). The response predicted by a frontal-geostrophic model forced with a heating 895 anomaly inspired in the long-term trend observed in ERA5 is sufficient to explain the magnitude of 896 the jet change seen in reanalysis data. However, this effect seems to be absent (or too weak) in the 897 aquaplanet, but also in the ensemble of CESM climate simulations. A potential cause is related to 898 the coarse model resolution and a too weak representation of the local diabatic effect and possibly 899 is one cause of the failure of climate model to capture the North Atlantic jet response to warming 900 as highlighted by Blackport and Fyfe (2022). Further analysis with larger ensembles and higher 901 resolution runs, for instance HighResMIP simulations (Haarsma et al. 2016) is required to quantify 902 the impact of model resolution on this mechanism. 903

Downstream of the Gulf Stream sector, the jet is further modified in ERA5 through an eddy 904 feedback, which is consistent with an increase in baroclinicity through diabatic heating (mechanism 905 ii) and intensified propagating Rossby waves away from the main wave source (Vallis 2017). This 906 mechanism affects the jet over the whole North Atlantic in contrast to the local effect over the 907 Gulf Stream produced by more intense diabatic heating pulses. The concomitant meridional 908 propagation of Rossby waves away from the main wave source is followed by a strengthening of 909 the eddy momentum convergence resulting in an intensification and zonal extension of the jet. 910 The mechanism is demonstrated through analysis of changes in E vectors in idealized aquaplanet 911 warming simulations in the presence of an SST front. The chain of mechanisms consists of 912 increased diabatic heating slightly downstream of the SST front, intensified mean baroclinicity and 913 enhanced eddy momentum convergence leading to an increase of the wind speed downstream of 914

<sup>915</sup> the front. The mechanism seems to be rather independent of the exact position of the SST front. <sup>916</sup> However, the jet response at the location of the SST front is strongly influenced by the position <sup>917</sup> of the front and varies from a local poleward to an equatorward jet shift depending on the exact <sup>918</sup> position of the SST front. For the latter case, the formation of a stationary trough over the front is <sup>919</sup> a relevant factor in explaining the shift in the aquaplanet experiments.

The different methods considered here aim to foster a mechanistic understanding of the jet 920 response, primarily to increased diabatic heating. They could also help to explain the failure of 921 coarse climate models to simulate the recent trends in the North Atlantic (Blackport and Fyfe 922 2022). In our experiments, the 5-member ensemble of fully-coupled model in particular failed 923 to simulate the local poleward shift over the Gulf Stream region observed in ERA5. A larger 924 ensemble size is required to capture the observed trend within the ensemble spread, as can be 925 seen in the CESM-LENS (Rodgers et al. 2021). The idealized aquaplanet simulations are unable 926 to adequately represent the jet trend near the zonal asymmetry, which is intended to mimic the 927 effects of land-sea contrast and the Gulf Stream. This is, as indicated above, possibly due to 928 underrepresentation of the local effect of diabatic heat pulses, which has the potential to shift the 929 North Atlantic poleward and strengthen it. This goes hand-in-hand with a coarse resolution in the 930 SST fields (Sheldon et al. 2017), which strongly affects the location of enhanced baroclinicity and 931 diabatic heating. In the previously cited study, a well-resolved SST anomaly above the Gulf Stream 932 is shown to anchor the diabatic heating more consistently above its warm side and km-scale models 933 have been shown to increase the magnitude of the diabatic heating over the SST anomaly (Schemm 934 2023). The implication from our study is that a better anchoring and increased amplitude of local 935 transient heating is in general able to push the jet poleward. The coarse idealized aquaplanet 936 simulations reproduce some of the main aspects of the mechanisms previously outlined, namely 937 increased tropospheric baroclinicity at upper levels, and increased convergence of eddy momentum 938 flux associated with Rossby wave propagation downstream of the zonal SST asymmetry, but the 939 experiments also miss the local jet response directly above the SST front. Thus, the anchoring 940 effect and influence of local diabatic heating pulses are likely too weak and the area of increased 941 diabatic heating is moved downstream of the main cyclogenesis region pinpointing again to too 942 coarse model resolution. 943

The analysis performed here provides a dynamical interpretation of the trends detected in the 944 reanalysis, but an attribution to either anthropogenic forcing or natural variability has not been 945 conducted. There are multiple dynamical adjustment methods that aim to disentangle the forced 946 response of a magnitude of interest, usually temperature or precipitation, from natural variability. 947 These techniques have shown satisfactory results in previous studies (Smoliak et al. 2015; Lehner 948 et al. 2017; Guo et al. 2019; Sippel et al. 2019; Heinze-Deml et al. 2021). A foreseen study will 949 aim at adapting this technique to the North Atlantic jet stream trends to investigate which changes 950 are attributable to anthropogenic climate change. 951

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Data availability statement. The ERA5 data are publicly available on the Copernicus Cli-954 mate Change Service (C3S) Climate Data Store: https://doi.org/10.24381/cds.adbb2d47 955 (Hersbach et al. 2023). ICON simulations used for this paper will be archived at ETH Zurich's 956 Research Collection for scientific publications and research data under a CC-BY 4.0 license for 957 at least the upcoming 15 years (doi will be provided after acceptance). ETH Zurich's Research 958 Collection adheres to the FAIR principles. CESM simulation data will be stored at the Institute 959 of Atmospheric and Climate Science, ETH Zurich, for at least 10 years and will be available on 960 request. 961

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# APPENDIX A

# Zonal wind trends in ERA in the period 1940-1978

Wintertime trends in ERA5 before the satellite era (1940-1978) show an equatorward shift of 964 the jet in the Gulf Stream region with a defined southwest-northeast orientation (Fig. A1). This 965 contrasts with the trend for the more recent period, which is mainly characterized by a slight 966 poleward shift of the jet over this region (Fig. 1). Downstream over central and eastern North 967 Atlantic there is a strong intensification, an equatorward shift and an extension of the jet affecting 968 southwestern Europe. The trend shows a decrease of zonal wind speed over the Hudson Bay and 969 between Iceland and the British Isles and some areas at low latitudes, but there is no clear triple 970 pattern in the trends for this period. In addition, there is a clear positive trend at high latitudes, 971 in contrast to the later period shown in Fig. 1. The significant trends are mainly located over 972 the ocean, where the reanalysis might not be well constraint in this period given the scarcity of 973 observations in the considered period. 974

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#### APPENDIX B

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### Zonal wind trends in the CESM2 large ensemble (CESM-LENS)

The ensemble mean of the selected members of the CESM2 large ensemble (CESM-LENS) for the period 1980-2022 shows a poleward shift of the jet over the North Atlantic (Fig. B1a),



FIG. A1. Wintertime zonal wind speed trend over the North Atlantic in ERA5 (1940-1978): a) Mean of the trend (shading) and climatological average in zonal wind speed (black contours) over the North Atlantic storm track region ( $80^{\circ}W - 15^{\circ}W$ ) for DJF in the period 1940-1978. b) Zonal wind trend (shading) and climatological mean (black contours) at 250 hPa. The stippling represents areas with p-values higher than  $p^*$  (see text for details).

<sup>984</sup> in contrast to the small ensemble analyzed in section 5. However, the ensemble spread for the <sup>985</sup> CESM-LENS is also remarkably large in this period with some members showing a poleward shift <sup>986</sup> and others an equatorward shift as can be identified in the ensemble spread, which exhibits two <sup>987</sup> areas of high spread equatorward and poleward of the mean jet (Fig. B1c). However, the trend <sup>988</sup> displayed by ERA5 in mid and low latitudes is well captured by some ensemble members and <sup>989</sup> contained within the distribution of the CESM-LENS (Fig. B2).



FIG. B1. Mean and spread in zonal wind speed trends for the CESM-LENS: Mean trend in zonal wind speed (shading) and climatological mean (black contours) for a) the historical period (1980-2022) and b) the end of the century period (2057-2100) at 250 hPa. c), d) Standard deviation of the trend in zonal wind speed (shading) and climatological mean of the zonal wind speed (black contours) for both periods at the same pressure level.

An inspection of the ensemble mean and spread for the end of the century period (2057-2100) in the CESM-LENS shows a larger signal to noise ratio in this period (Fig. B1b,d) with most of the members showing positive trends equatorward of the mean jet over the Gulf Stream and an extension over Europe. However, there is still some variability in the position of the extension over Europe and the strength of the positive trend as it is depicted by both the 5-member ensemble and the CESM-LENS (Figs. 17 and B1d).

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FIG. B2. **Distribution of zonal wind trends in the CESM-LENS:** Histogram of zonal wind speed trends at 250 hPa for the period 1980-2022 averaged between  $80^{\circ}W - 15^{\circ}W$  and  $30^{\circ}N - 50^{\circ}N$  for the considered CESM-LENS simulations (blue bars) and ERA5 (black line).

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