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1	Preventing the impact of solute adsorption in Taylor Dispersion Analysis:
2	application to protein and lipid nanoparticle analysis
3	
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9	
10	Abstract
11	Taylor Dispersion Analysis (TDA) allows diffusion coefficient (D) or hydrodynamic radius (R_h) determina-
12	tion on a wide range of size between angstroms and about 300 nm. However, solute adsorption phenomena
13	can affect the repeatability and reproducibility of TDA. Several numerical studies addressed the theoretical

13 impact of solute adsorption in TDA, but very few experimental studies focus on this topic and no experi-14 15 mental methodologies were proposed so far to reduce the impact of adsorption in TDA. In this work, an 16 experimental protocol, called plug-in-front TDA, consisting of adding the solute in the eluent at a lower 17 concentration compared to the injected sample, was proposed to strongly limit the impact of adsorption on 18 the R_h determination. This protocol was suggested based on the evidence that adsorption / desorption 19 phenomena impacting narrow bore fused silica TDA in aqueous conditions are typically slow processes 20 that can be counteracted by saturating the interaction sites during the experiments. Successful applications 21 to proteins and mRNA lipid nanoparticles (LNP) in vaccine against Covid 19 and protein analysis were re-22 ported. TDA of proteins in conditions of strong interactions with the capillary surface was possible using 23 the plug-in-front methodology. We anticipate that such experimental methodology will greatly help the 24 experimentalist for implementing TDA in various applications.

26 **Keywords:** Taylor dispersion analysis, adsorption, lipid nanoparticles, hydrodynamic radius.

27

28 **1. Introduction**

29 Taylor Dispersion Analysis (TDA) is a powerful technique used to determine hydrodynamic 30 radii (*R_h*) and diffusion coefficient (*D*) of numerous compounds [1]. Based on the pioneer work of 31 Taylor [2,3] and Aris [4], *R_h* and *D* can be determined by analyzing the peak broadening of a solute plug in a laminar Poiseuille flow [3,4]. Firstly applied to gaseous solutes [5], determination of the-32 se characteristics was developed in aqueous media for small molecules such as ethanol, acetone or 33 benzene and its derivatives [6–8]. At the end of the last century, a regain of interest in TDA was 34 35 observed with the work of Bello et al. [9] who highlighted the use of capillary electrophoresis (CE) instrumentation to speed-up, miniaturize and automatize TDA in typically 50 µm i.d. capillaries. 36

37 Since TDA is an absolute method (no calibration is needed) with low sample consumption 38 and a large range of size (from angstrom to about 300 nm in diameter) [10], it offers numerous 39 advantages compared to other techniques such as dynamic light scattering (DLS) or diffusion or-40 dered spectroscopy NMR (DOSY NMR) [11,12]. Indeed, TDA is not sensitive to the presence of 41 dusts or large aggregates contained in the sample, contrary to what is observed in scattering tech-42 niques. From simple molecules [1,13,14] to (bio)(macro)molecules [15–18], as well as lipid nano-43 particles [19–21], TDA is nowadays a recognized straightforward sizing method applicable to a 44 huge variety of solutes.

Analytical conditions must be carefully chosen to correctly perform TDA. First, the solute must be prepared in the exact same buffer as the one used as the mobile phase to avoid any mismatch buffer signal perturbation [22]. The Peclet number *Pe* and the average elution time t_0 that depend on the capillary length, the mobilization pressure, and the solute size, must be controlled to remain in the conditions of validity of TDA (see theoretical background section for more details) [3]. It exists also a maximum sizing limit for TDA above which hydrodynamic chromatography occurs and can contributes to the peak dispersion [23]. It was also shown that for coiled capillaries with capillary i.d. higher than 100 μm, radial mixing of the solute may occur at high mobilization pressure. This phenomenon dramatically affects the TDA analysis because radial mixing generates thinner peaks leading to larger diffusion coefficient values [24,25].

55 TDA relies on the assumption of no physicochemical interaction between the solute and the 56 capillary wall. In 1958, Golay theoretically studied the impact of retention on the peak broadening 57 of a solute in an open tube the wall of which is coated with a thin retentive layer [26]. He derived a 58 long-time asymptotic expression of the analyte dispersion coefficient, *D*_{disp}, (in m².s⁻¹)

59
$$D_{disp} = \frac{D}{1+k} + \frac{1+6k+11k^2}{(1+k)^3} \frac{R_c^2 u^2}{48D}$$
(1)

where *D* is the analyte molecular diffusion coefficient in the carrier fluid (in $m^2.s^{-1}$), R_c is the capil-60 61 lary radius (in m), u the carrier fluid average velocity (in m.s⁻¹), and k the retention factor [26]. The Taylor-Aris result is recovered by setting k = 0 in this equation. The first term reflects the axial 62 molecular diffusion, the second the Taylor contribution (mass transfer in the carrier fluid). An ad-63 ditional term accounting for the diffusional mass transfer within the stationary retentive layer can 64 65 be added [26] but is often found negligible owing to the very small thickness of this layer and is not of concern in our case. Eq. (1) relies on instantaneous equilibrium at the interface between the 66 67 fluid and stationary phases. Recognizing that equilibrium is not always reached at the interface. 68 Aris [4], then Khan [27], extended the analysis by adding, to the right hand side of Eq. (1), a third 69 term reflecting interfacial resistance and depending on the sorption rate constants. However, be-70 cause the formulation of their kinetic model involved the thickness of the retentive layer, it is not 71 directly applicable to the situation encountered in TDA capillaries which generally do not contain such a layer. The contribution of the mass transfer resistance due to adsorption on the wall was 72

obtained by Giddings using either the stochastic theory [28] or the nonequilibrium theory of
chromatography [29], so that the dispersion coefficient can be expressed as

75
$$D_{disp} = \frac{D}{1+k} + \frac{1+6k+11k^2}{(1+k)^3} \frac{R_c^2 u^2}{48D} + \frac{k}{(1+k)^3} \frac{u^2}{k_d}$$
(2)

with $k=k_a/k_d$, k_a and k_d being the adsorption and desorption rates (in s⁻¹), respectively. For adsorption on heterogeneous surfaces, $1/k_d$ in the last term of this equation should be replaced by $\langle \tau_a \rangle$, the mean duration of a step spent by a molecule in the adsorbed state on the capillary wall [30].

79 Several theoretical models based on different approaches have been developed to account 80 for the influence of solute interaction with the wall to the dispersion coefficient (or, equivalently, 81 to the plate height). A summary of these works can be found in the introduction of the article by Hlushkou et al. [31]. Eq. (2) is retrieved when general models are applied to conditions pertaining 82 in TDA (steady Poiseuille flow in a tube without irreversible adsorption or reaction on the tube 83 wall) [32–37]. Using a random walk particle-tracking technique, Hlushkou et al. performed 3-D 84 85 simulations of advective-diffusive solute transport in the carrier flow in a tube combined with a stochastic approach of the sorption process [31]. The finiteness of the adsorption rate is account-86 87 ed for by using a fixed value of the probability that a solute molecule is adsorbed when it hits the wall. The value of the retention factor is obtained by proper adjustment of the adsorption proba-88 89 bility and the mean adsorption time $\langle \tau_a \rangle$. Whatever the flow velocity and the retention factor, they obtained perfect fit of the dispersion coefficient according to Eq. (1) (Golav equation) for an ad-90 91 sorption probability of 1, which corresponds to very fast sorption rates (equilibrium assumption). 92 For a slow interfacial transfer (low value of the adsorption probability), their results fit well with Eq. (2) in the case of an exponential distribution of the duration of the adsorption step. However, if 93 94 this distribution is uniform (all adsorption steps have then the same duration τ_a), the dispersion 95 coefficient is lower and fits with a modification of Eq. (2) in which the last term is divided by 2. 96 This clearly shows that the dispersion depends on the microscopic details of the adsorption pro97 cess, in agreement with the general stochastic theory of chromatography which predicts that the 98 temporal variance of a solute peak depends on the variance of the number of adsorption steps and 99 on that of the duration of an adsorption step [38,39]. The peak temporal variance is thus lower 100 when the latter vanishes.

101 It should be noted that the derivation of Eqs (1) and (2) relies on a first order kinetic model, 102 hence on a linear adsorption isotherm. Madras et al. investigated the influence of non-equilibrium 103 dynamic adsorption between the solute and the capillary inner surface on the peak shape via nu-104 merical simulation using a Langmuir isotherm [40]. They observed deviations from Gaussian peak 105 shapes depending on the kinetic rate constants and on the number of active sites on the wall, in 106 agreement with the findings of TDA experiments on phenanthrene in a supercritical fluid per-107 formed by Smith et al. [41] and Hamilton [42].

108 Eqs. (1) and (2) give the asymptotic long-time expressions of the dispersion coefficient. If the 109 capillary is not long enough, the peak elution profile may be strongly distorted and sometimes 110 bimodal. This was observed by experiments and/or simulations on short tubes either without ad-111 sorption [43–45] or with adsorption [46–48]. Analytical solutions to the system of mass balance 112 equations controlling the migration of a solute in a straight conduit with reversible and/or irre-113 versible adsorption have been recently obtained [49,50]. They allow to get a highly detailed view 114 of the complexity of the evolution of the solute concentration distribution at all positions within 115 the capillary as a function of time. Especially, the instantaneous mean zone velocity and dispersion 116 coefficient are obtained as the growth rates of the first moment and of half of the second central 117 moment of the cross-sectional averaged distribution of the solute concentration along the tube. 118 respectively. It is found that, depending on the values of the adsorption and desorption rates, the 119 zone velocity and dispersion coefficient may, during a short period, be negative. The classical Tay-120 lor regime is asymptotically recovered at long times. The influence of the initial condition used to 121 release the solute in the capillary has been investigated [49–51].

122 In this work, we study experimentally the adsorption of model small molecules and proteins. 123 To limit the impact of slow desorption kinetics phenomena on TDA measurements, we propose a 124 new methodological protocol. Applications to the sizing of lipid nanoparticles (LNP) used in 125 mRNA vaccines are demonstrated.

126

127 2. Experimental section

128 **2.1. Chemicals and Materials.** 4-(2-hydroxyethyl)-1-piperazineethane sulfonic acid (HEPES. > 129 99.5% purity), 2-(N-morpholino)ethane sulfonic acid (MES. >99.5% purity). tris(hydroxymethyl)aminomethane (Tris, $\geq 99.5\%$ purity) and sodium tetraborate decahydrate 130 (Borax > 99.5% purity) were purchased from Sigma-Aldrich (Saint-Quentin-Fallavier, France). So-131 dium hydroxide (NaOH, 98 % purity) and hydrochloric acid (fuming, \geq 37%) were purchased from 132 133 Fluka (Saint-Ouentin-Fallavier, France), Acetonitrile (ACN, HPLC grade) was purchased from Carlo 134 Erba (Val de Reuil, France). Acetic acid (99.99% purity) was supplied by VWR Chemicals (Rosnysous-Bois, France). Pfizer vaccine COMIRNATY[™] Original/Omicron BA. 4-5 (lot number: GJ7181, 135 136 with an expiration date in July 2023) against Covid 19 was generously given by Pharmacie des 4 Seigneurs (Montpellier, France) and kept at -80°C. 137

138Toluene (analytical reagent grade) was purchased from Fisher Scientific (Loughborough,139Leicestershire, UK). 1-Methyl-4-(1-methylethyl) benzene (*p*-cymene, purity < 98%) was pur-</td>140chased from Parfum Cosmetic World (Grasse, France). Cyclo(Ala-Ala) peptide was purchased from141Bachem (Bubendorf, Switzerland). Triphenylphosphine (99% purity), Myoglobin (Myo, from eq-142uine skeletal muscle, 95% purity) were purchased from Sigma-Aldrich (Saint-Quentin-Fallavier,143France). All aqueous solutions were prepared using deionized water (18 MΩ) delivered by a Syn-144ergy UV water purification system (Millipore, Fontenay sous Bois, France).

2.2. Eluent and sample preparation. 2 M acetic acid (pH 2.2, *n* = 1.12 cp) was prepared by dilu-146 tion of acetic acid with deionized water (12.8 g for 100 mL). (50/10 mM) MES/NaOH buffer (pH 147 5.8, n = 0.99 cp) was prepared by mixing 1.95 g of MES with 1 mL of NaOH 1M in 100 mL volumet-148 149 ric flask. (20/10 mM) HEPES-NaOH buffer (pH 7.0, $\eta = 0.96$ cp) was prepared by mixing HEPES (0.477 g for 100 mL) with sodium hydroxide (10 mL of a 1M NaOH solution to a 100 mL volumet-150 151 ric flask). 10 mM sodium tetraborate decahydrate buffer (pH 9.1, n = 0.98 cp) was prepared by 152 dissolving 0.191 g of Borax powder (Na₂B₄O₇, 10 H₂O) in a 10 mL volumetric flask. ACN/HEPES 153 solution was prepared by mixing pure ACN with 40 mM HEPES / 10 mM NaOH pH 7.4 buffer with a ratio of 70/30 (w/w). The viscosity of this solution was measured at 0.39 cp. (20/17 mM) Tris-154 155 HCl buffer (pH 7.4, $\eta = 0.92$ cp) was prepared by mixing 0.24 g of Tris with 1.7 g of 1 M HCl in a 156 100 mL volumetric flask.

Cyclo(Ala-Ala), toluene, p-cymene and triphenylphosphine were diluted in ACN/HEPES solution at a concentration of 10 gL⁻¹, 6 gL⁻¹, 8 gL⁻¹and 0.7 gL⁻¹, respectively. Myoglobin (Myo) powder was diluted either in 2 M acetic acid, or in MES/NaOH buffer, or in HEPES/NaOH buffer, or in sodium tetraborate decahydrate buffer at a concentration of 2 gL⁻¹, and stored at 5°C. The sample was diluted twice to obtain the desired concentration (1 gL⁻¹) for TDA analysis. TDA of LNP was performed by injecting LNP solution without dilution. DLS analysis of LNP was performed by diluting 60 μL of LNP solution with 1 mL of filtered (0.02 μm filter) Tris-HCl buffer (20/17 mM).

For protein plug-in-front eluent preparation, myoglobin solution (named S_0 , at 2 gL⁻¹) was diluted to the desired concentration (i.e., from 0.05 gL⁻¹ up to 0.5 gL⁻¹ for eluent preparation (S_2)) and to 1 gL⁻¹ for the analyzed solution (S_1) using the pH 5.8 or 7.0 previously prepared background electrolytes.

168 For LNP plug-in-front preparation, 10 μ L of LNP solution were mixed with 190 μ L of (20/17 169 mM) Tris-HCl buffer (dilution factor 20) for the preparation of *S*₂ solution. 170 2.3. Taylor Dispersion Analysis Experiments. TDA experiment was performed on a 7100 Agilent system (Agilent Technology, Santa Clara, USA), piloted with ChemStation, using 50 µm i.d. 171 capillaries. Fused silica capillaries (50 µm i.d., 375 µm o.d.) were purchased from Polymicro Tech-172 173 nologies (Phoenix, AZ, USA). Coated DB-1 and DB-17 capillaries (50 µm i.d.) were from Agilent 174 Technology (Santa Clara, USA), Fused silica capillaries were conditioned by flushing NaOH 1 M 175 solution for 5 min at 1 bar, followed by a 5 min flush of pure water at 1 bar. Coated capillaries were 176 used after a simple flush with the eluent. For TDA in the case of fast desorption kinetics, fused sili-177 ca capillaries and DB-1 and DB-17 coated capillaries were 80 cm long (71 cm to the detector). For the rest of the study, fused silica capillaries were 40 or 60 cm long (31.5 and 51.5 cm to the detec-178 179 tor, respectively).

For protein analysis, the presaturation protocol on 40 cm long fused silica capillaries consists in flushing the capillary with the sample containing the analyte (for 10 min at 100 mbar, 5 capillary volumes) before analysis. After presaturation, the capillary was flushed with the background electrolyte (BGE) without protein for 1 min at 1 bar (5 capillary volume) followed by a plug injection (30 mbar 4 s) and mobilization with the same BGE.

For protein plug-in-front experiments, the capillary was flushed with S₂ solution containing diluted proteins for 200 s at 100 mbar for the 40 cm long capillary (corresponding to 1 capillary volume). The analyzed protein solution (S₁) was injected at 30 mbar for 4 s and mobilized with S₂ solution. Between each run, the capillary was flushed with the BGE without protein for 100 s at 1 bar (5 capillary volumes).

For LNP plug-in-front experiment, the 60 cm long capillary was flushed with S_2 solution containing diluted LNP (LNP/Tris-HCl, 1/20 v/v) for 7 min at 100 mbar (1 capillary volume). The non-diluted LNP sample was next injected at 30 mbar for 12 s and mobilized with S_2 solution. Be193 tween each run, the eluent was flushed for 200 s at 1 bar (5 capillary volumes) with BGE without

194 LNP.

195

198

196 **2.4. Theoretical background.** In TDA, the diffusion coefficient *D* can be obtained using-the entire
197 Taylor-Aris expression (Eq. (1) for *k*=0), as follows [2–4,16]

$$D = \frac{1}{2} \left(D_{disp} - \sqrt{D_{disp}^2 - \frac{R_e^2 u^2}{12}} \right)$$
(3)

199 The dispersion coefficient can be experimentally determined as:

$$D_{disp} = \frac{l^2 \sigma_i^2}{2t_0^3}$$
 (4)

where σ_t is the temporal variance of the peak and *l* is the length of the capillary to the detector (in m). Eq. 3 can be simplified when axial diffusion is negligible, leading to:

203
$$D = \frac{R_c^2 t_0}{24\sigma_i^2}$$
(5)

The validity of Eq. 5 depends on two conditions. First, the axial diffusion must be negligible compared to the dispersion induced by the parabolic velocity profile. Within 3% relative error (ε) on *D*, solute velocity must fulfill the following condition [23]:

207
$$u \ge \frac{40D}{R_c}$$
 (or Pe≥40) (6)

where *Pe* is the Péclet number. If *Pe* is under 40, the entire Taylor-Aris expression Eq. 3 should be used to determine *D*. The second condition is that t_0 must be longer than the characteristic diffusion time of the solute in the cross section of the capillary [23]. Keeping the same relative error of 3%, t_0 must fulfill the following condition:

212
$$t_0 \ge \frac{1.25 R_c^2}{D}$$
 (7)

Finally, to determine the hydrodynamic radius *R*_h, the Stokes-Einstein is applied:

$$R_{h} = \frac{k_{B}T}{6\pi\eta_{S}D}$$
(8)

215	where k_B is the Boltzmann constant, <i>T</i> is the temperature (in K), and η_s is the fluid viscosity.
216	The peak asymmetry (A_s) was determined at 10% of the peak apex, using Eq. (9):
217	$A_{s} = \frac{t_{B} - t_{0}}{t_{0} - t_{A}} $ (9)
218	where t_B and t_A represent the time at 10% of the maximum after and before peak apex, respective-
219	ly.
220	
221	2.5. Dynamic Light Scattering. DLS experiments were carried out on an Anton Paar Litesizer 500
222	(Anton Paar, Graz, Austria). The measurement angle of the DLS was set at 90° and temperature of
223	the cuvettes was set at 25°C. LNP vaccine solution was diluted by adding 60 μ L of vaccine solution
224	into 1 mL of eluent (20/17 mM Tris/HCl eluent, pH 7.4). Cumulant fit was used to estimate the
225	sample polydispersity. CONTIN-like fit provided by the Anton Paar software was used to obtain the
226	size distributions.
227	
228	3. Results and Discussion
229	3.1. Plug injection TDA of small molecules in the presence of adsorption. In this first part of
230	this work, we focus on fast adsorption and desorption kinetics of small molecules on the capillary
231	wall, leading to equilibrium adsorption. As predicted by the general theory of chromatography ²⁶
232	fast adsorption/desorption kinetics should lead to an increase of the solute retention time and to
233	higher peak broadening, keeping constant the symmetry of the taylorgram. Therefore, the diffu-

sion coefficient obtained from the working Eq. (5) - in which the observed analyte retention time,

 t_r , replaces the carrier residence time in the capillary, t_0 - is an apparent diffusion coefficient, D^* , which differs from the true diffusion coefficient D_0 . From the Golay expression of the peak variance [26] and Eq. (1), it can be shown that:

238
$$D^* = D_0 \times \frac{1+k}{1+6k+11k^2}$$
(10)

with $k=(t_r-t_0)/t_0$. In the case of low k values, applying a Taylor series expansion on Eq. (10) led to :

$$\frac{D}{D_0} \approx 1 - 5k \tag{11}$$

As stated by Eq. (11), fast adsorption/desorption kinetics tends to increase the peak broadening and thus to decrease the apparent diffusion coefficient by a factor of -5*k*.

243 To our knowledge, there is no experimental demonstration of the validity of Eqs. (10) and (11) in modern implementation of TDA (i.e. using narrow bore capillaries of about 50 µm I.D.). 244 245 Plug injection TDA of three small apolar molecules (toluene, *p*-cymene and triphenylphosphine) was performed using two commercial 50 um, i.d. capillaries coated with apolar phases 246 247 (dimethylpolysiloxane (DB-1) and (50% phenyl)-methyl polysiloxane (DB-17)) in ACN/HEPES (70/30 w/w, 0.39 cp) eluent. In these conditions, the solutes were all separated from the non-248 249 retained polar molecule cvclo(Ala-Ala) used as the void-time marker (see top inserts of Figure 1). Average k values were determined at $28.6 \pm 0.2 \times 10^{-3}$, $68.5 \pm 0.3 \times 10^{-3}$ and $95.4 \pm 0.4 \times 10^{-3}$ (on n 250 251 = 5 repetitions) for toluene (Figure 1A), *p*-cymene (Figure 1B) and triphenylphosphine (Figure 1C), respectively. The apparent diffusion coefficients D^* in presence of adsorption are gathered in 252 253 Table 1. Measuring diffusion coefficient D_0 in absence of adsorption was possible when using ACN/HEPES (70/30 w/w) mobile phase on fused silica capillary (see bottom of Figure 1 for TDA 254 255 traces and Table 1 for D_0 values).

Table 1 demonstrates the validity of Eq. (11) for all small molecules, with experimental ratios of D^*/D_0 very close to 1-5*k*. A R_h difference up to 0.40 nm (corresponding to 88% relative error) was obtained for triphenylphosphine. As a conclusion, fast adsorption/desorption kinetics in TDA is expected to overestimate the R_h result without changing the Gaussian shape of the taylorgram. It is however worth noting that fast adsorption kinetics with measurable retention is not very common in practical modern TDA using narrow bore fused silica capillary in aqueous solution.

For slow adsorption/desorption kinetics, non-Gaussian peak was generally observed, with typical peak tailing due to non-equilibrium phenomena. This situation is much more common in practice in TDA. However, Eq. (11) is not adequate to describe such a situation and practical solutions are required to address this issue.

266

3.2. Plug injection TDA of proteins in the presence and in the absence of adsorption. When 267 performing simple plug TDA analysis of basic model proteins in acetic acid 2 M, pH 2.2 on a fused 268 269 silica capillary, no adsorption occurs due to the absence of electrostatic interaction (see Figure 2A). One way to generate solute adsorption is to increase the pH of the eluent from pH 2.2 (2M 270 271 acetic acid) to pH 5.8 using MES/NaOH (50/10 mM), to pH 7.0 using HEPES/NaOH (40/10 mM) and finally to pH 9.1 using a 10 mM sodium tetraborate. The silica surface charge (*pKa* \square 6) 272 273 changes from neutral to negative when increasing the pH. Since the isoelectric point (pl) of Myo is 274 7 [52], adsorption is promoted at intermediate pH, as displayed in Figure 2A. At pH 5.8 and 7.0, 275 almost no signal was observed due to irreversible oppositely charged interactions. At pH 9.1, due 276 to electrostatic repulsion between negatively charged Myo and silica surface, no adsorption was observed for Myo. 277

Since, in the case of slow adsorption/desorption kinetics, it is not possible to correct the impact of adsorption onto the experimental determination of *D* (as Eq. (10) in the case of fast equilibrium interactions), it is desirable to propose an experimental alternative to tackle adsorption issue. Interestingly, strong interactions observed at pH 5.8 and 7.0 can be reduced using a presaturation protocol of the capillary surface prior TDA analysis. Experimentally, this protocol consisted in flushing the capillary with the solute solution in order to saturate the active sites of adsorption. As shown in Figure 2B, Myo peak intensity increased owing to the presaturation of the capillary. However, strong peak tailing was observed as calculated from peak asymmetry ($A_s = 4.0$ ± 1.2 (n = 5) at pH 7.02, see Eq. (9)). The improvement of the TDA signal after capillary presaturation proves that desorption kinetics of the solute from the capillary wall is slow.

288 R_h of Myo with simple plug injection TDA at pH 2.2 was estimated around 3.43 ± 0.17 nm, 289 with A_s equal to 1.48 ± 0.26 (n = 5). A similar R_h value was found using presaturation protocol, but 290 with lower standard deviation and peak asymmetry values ($R_h = 3.54 \pm 0.09$ nm and $A_s = 1.17 \pm$ 0.13, n = 5). In that case, presaturation helped to reduce protein adsorption onto the capillary wall 291 292 by saturation of the adsorption sites, leading to more repeatable experiments. In contrast, no dif-293 ference was observed between the two protocols at pH 9.1, i.e. R_h and A_s were similar ($R_h = 1.83 \pm$ 0.09 nm and A_s =1.13 ± 0.05 with plug injection protocol, and R_h = 1.89 ± 0.06 nm and A_s =1.33 ± 294 295 0.15 with presaturation protocol, n = 5), as shown in Table SI1. At pH 9.1, no adsorption occurs 296 and presaturating the capillary was thus not useful.

297 R_h values were in perfect agreement with the literature: R_h of Myo was found 1.83 ± 0.09 nm 298 (n = 5) at pH 9.1 (compared to 1.80-1.90 in literature [53,54]). In acidic conditions, R_h of Myo was 299 almost twice higher than the native protein R_h value. This can be easily explained by the fact that 300 Myo tends to aggregate in acidic conditions, leading to larger measured sizes [53].

301

302 **3.3. Plug-in-front injection TDA of proteins.** Based on the improved results obtained using 303 presaturation of the capillary inner surface, a new methodology is proposed to perform TDA using 304 what we name "plug-in-front" approach. In this new mode, TDA is performed by injecting a solute 305 plug (at 1 gL⁻¹) in a capillary prefilled with an eluent containing the solute at a lower concentra-

tion (C_{front} from 0.05 gL⁻¹ to 0.4 gL⁻¹, as indicated in Figure 3). Clearly, the peak symmetry im-306 307 proved with increasing protein front concentration, as shown by the Gaussian fitting in Figure 3. The influence of the solute concentration in the front on R_h and A_s was systematically investigated 308 309 and reported in Table 2. The A_s value decreased with increasing C_{front} and stabilized down to 1.0 – 310 1.2 above 0.4 gL⁻¹. It is also worth noting that the satellite peak observed after the main elution peak disappeared above 0.4 gL⁻¹. Regarding the R_h value, an ANOVA test at 95% confidence level 311 proved that the results are not significantly different for C_{front} above or equal to 0.2 gL⁻¹. Average R_h 312 313 of Myo was 1.86 \pm 0.04 nm at pH 5.8 and 1.84 \pm 0.02 nm at pH 7.0 (n = 5), in good agreement with the literature [53,54]. It is worth noting that the plug-in-front methodology allows analyzing pro-314 315 teins on fused silica capillaries event at pH where electrostatic interactions occur. This results is 316 important in practice for TDA application and was not *a priori* expected. It can be explained by the slow kinetics of desorption of the solute from the capillary surface. 317

318

319 **3.4. Optimizing the plug-in-front protocol to limit solute consumption.** One of the main ad-320 vantages of using capillary electrophoresis apparatus to perform TDA experiments is its low sam-321 ple consumption. With a 40 cm long fused silica (50 µm i.d.), a 30 mbar injection for 3 s only rep-322 resents 3.5 nL of analyte solution, while capillary conditioning and TDA run typically consume 323 respectively 5 and 2 µL of eluent.

In the present study, the plug-in-front protocol was adapted to minimize the amount of sample used. In this optimized protocol, only 0.2 mg of Myo under solid form was required and dissolved in 100 μ L of eluent to prepare the mother solution S_0 (see Figure 4A). S_0 was further diluted to prepare 100 μ L of S_1 and 200 μ L of S_2 solutions at 1 and 0.5 gL⁻¹, respectively. These solutions allowed up to 27 consecutive plug-in-front TDA analyses. As shown in Figure 4B and 4C, the signal obtained using this optimized protocol was similar to that in Figure 3, with almost perfect Gaussian peaks and low A_s value (see Table 2). At pH 5.8, almost symmetrical peaks were obtained ($A_s = 1.03 \pm 0.08$, n = 5), while at pH 7.0, no significant change was observed between the experiments at 0.4 gL⁻¹ and 0.5 gL⁻¹ C_{front} plug. R_h values were in very good agreement with the literature [53,54], with 1.89 \pm 0.09 nm and 1.85 \pm 0.05 nm at pH 5.8 and 7.0, respectively (n = 5). Low RSD values on both R_h and A_s proves the reliability of this protocol.

336

337 **3.5.** Application to the analysis of mRNA lipid nanoparticles. Finally, the previously optimized 338 protocol was applied to plug-in-front TDA of LNP. Indeed, since COVID19 pandemy, mRNA LNP 339 formulations have gained considerable interest for the development of mRNA vaccines and for 340 new cancer gene therapy. In a previous study [55], TDA of LNP was performed on a µSIL-FC coated 341 capillaries. The analysis of LNP on fused silica capillary was not an option due to irreversible ad-342 sorption onto capillary surface. This previous TDA method included a long rinsing protocol: 3-4 343 min rinsing with water and background electrolyte between each run, and a more intensive clean-344 ing procedure every 10 runs composed of 20 min successive rinsing with Hellmanex / isopropanol 345 / water followed by 10 min LNP presaturation. In this work, we propose to apply the plug-in-front protocol to the analysis of Pfizer Comirnaty[™] vaccine against Covid 19. Compared to the "normal" 346 347 plug injection on bare fused silica capillary (Figure 5A) and to the plug injection after presaturation of the capillary (see Figure 5B), the plug-in-front protocol leads to better signal 348 asymmetry without the resort to a coated capillary (Figure 5C). 349

The vaccine solution was injected as is without any dilution. The exact composition of the vaccine solution being unknown, 20 mM /17 mM Tris-HCl buffer, pH 7.4 (η = 0.94 cp) was used as eluent. The plug-in-front optimized TDA protocol was implemented on a 60 cm long bare fused

silica capillary using as eluent a 20 times diluted LNP solution in Tris-HCl buffer. Figure 6A dis-353 plays 5 plug-in-front TDA runs on Pfizer Comirnaty[™] vaccine. The taylorgrams display a small thin 354 peak on the top of the elution profile, which can be attributed to small molecules and/or mismatch 355 356 buffer due to differences in composition between the sample and the front matrices. This small 357 molecule contribution corresponded to a hydrodynamic diameter D_h lower than 0.7 nm and was 358 not taken into account in the LNP size distribution. D_h distributions obtained by TDA using the 359 constrained regularized linear inversion algorithm (CRLI method as described in [56]) are dis-360 played in Figures 6B and 6C, for five repetitions, Since hydrodynamic diameters are more generally used than hydrodynamic radius in the pharmaceutical industry, LNP sizes are given in *D_h*. *D_h* of 361 362 $56.60 \pm 1.58 \text{ nm } PDI \text{ of } 0.0037 \pm 0.0016 \text{ were determined } (n = 5).$

For a better comparison, the D_h distributions were represented both in linear and log scales and were compared with those obtained by DLS. Figures 6B and 6C display the intensity weighted D_h distributions centered at an average value of D_h =91.65 ± 2.64 nm (n = 5) obtained by DLS using CONTIN-like analysis and the polydispersity index obtained from the cumulant fit (*PDI*=0.23) in good agreement with the literature (80 to 100 nm and *PDI* going from 0.2 to 0.25) [57–59].

368 TDA led to lower D_h values compared to DLS as already observed for LNP analysis [21] and 369 for any polydisperse sample [11,60,61]. This is due to intrinsic differences in the principle of anal-370 ysis of both techniques. TDA basically leads to a mass-weighted size distribution for a mass con-371 centration sensitive detector [11], while DLS is an intensity-weighted distribution which weighs 372 more the larger nanoparticles. This also explains why the DLS size distribution is much broader in 373 DLS compared to TDA.

We anticipate that plug-in-front protocol is a convenient, straightforward and simple way to improve TDA repeatability and to limit the impact of slow adsorption /desorption kinetics on size determination. Compared to the previously published article on TDA of LNP, we significantly improved the TDA protocol by replacing expensive coated capillaries by fused silica capillaries and
by considerably reducing the rinsing times between runs down to 3.3 min rinse with the plug-infront protocol and no additional rinsing protocol between series of experiments.

380

381 4. Conclusion

382 In this work, we experimentally confirmed that the relative error on D (or R_h) is 5k in the 383 case of fast equilibrium adsorption/desorption, as predicted from the theory of chromatography. More complex and larger solutes, such as proteins, can interact on the capillary wall with slow 384 desorption kinetics leading to peak tailing, a decrease of the signal intensity or even the absence of 385 386 detection for strong interactions. Capillary presaturation significantly improved the shape/symmetry of the taylorgram. A new protocol, called plug-in-front TDA, consisting in adding 387 388 the solute in the eluent at a lower concentration compared to the injected sample, was successfully 389 tested and led to much better repeatability and shorter rinsing protocols. The interest of this new approach was demonstrated on the analysis of protein and lipid nanoparticles (LNP) in mRNA 390 vaccines. Both peak asymmetry and standard deviation on R_h values were significantly reduced, 391 392 making this protocol of great interest for many applications.

It is interesting to note that the plug-in-front protocol presented here bears some similarity with the step-and-pulse procedure used a long time ago in gas chromatography for the purpose of determination of sorption isotherms [62]. In the latter method, the eluent was made of a given concentration of solute in the carrier gas and the injected sample contained a slight concentration excess of solute over that of the eluent, giving access to the slope of the sorption isotherm at the solute concentration in the eluent. Repeating the process and various solute concentration in the eluent allowed the determination of the whole isotherm. By contrast, in the present plug-in-front

400	case, for the	purpose of	preventing	adsorpt	tion of the anal	yte on the	TDA capilla	ary wall,	the solute
							1		

401 concentration in the carrier is significantly lower than that in the injected sample.

402

403 Supporting Information

- 404 **Table SI1.** Myoglobin *R_h* and *A_s* measurement of plug injection TDA at four different pH values.
- 405
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- 411

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LIST OF CAPTIONS

Figure 1: TDA experiment for toluene (A), p-cymene (B) and triphenylphosphine (C) in presence of adsorption on DB-1/DB-17 or in absence of adsorption on fused silica capillary (*n* =5). Experimental conditions: capillary of 80 cm total length (71.5 cm to detector) × 50 µm i.d. with or without DB-1/DB-17 coatings as indicated in the graph. Eluent: ACN/HEPES 70/30 (w/w). Hydrodynamic injection of solutes at 30 mbar for 4 s (0.16% capillary volume). 1: cyclo(Ala-Ala) used as the void-time marker, 2: toluene, 3: pcymene, 4: triphenylphosphine. Mobilization pressures as indicated in the figure. Temperature: 25°C. UV detection: 214 nm. Dotted lines are Gaussian fits of the taylorgrams.

579

580 Figure 2: Plug injection TDA experiment on myoglobin, without (A) or with (B) capillary 581 **presaturation**, at four different pH values (*n* =5). Black lines correspond to experimental traces and red 582 dashed lines to Gaussian fits. Experimental conditions: fused silica capillary, 40 cm total length (30 cm to 583 detector) × 50 µm i.d. Eluents: acetic acid (2 M, pH 2.2), MES/NaOH (50 mM/10 mM, pH 5.8), HEPES/NaOH 584 (40 mM/10 mM, pH 7.0) and sodium tetraborate (10 mM, pH 9.1). Capillary rinsing: BGE 1 bar, 5 min (fol-585 lowed by solute solution injection for 10 min at 100 mbar in case of presaturation). Hydrodynamic injec-586 tion of solutes: 30 mbar, 4 s (0.66% capillary volume). Mobilization pressure: 30 mbar. Myo concentration: 587 1 g.L⁻¹. Temperature: 25°C. UV detection: 214 nm.

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Figure 3: Influence of Myo front concentration (C_{front}) in plug-in-front TDA at pH 5.8 (A) and 7.0 (B), n = 5. Black lines correspond to experimental traces and red dashed lines to Gaussian fits. Experimental conditions: fused silica capillary, 40 cm total length (31.5 cm to detector) × 50 µm i.d. Eluents: MES/NaOH (50 mM/10 mM, pH 5.8) or HEPES/NaOH (40 mM/10 mM, pH 7.0), both containing Myo as indicated on the Figure. Capillary rinsing between runs: eluent at 1 bar, 5 min. Hydrodynamic injection: 30 mbar, 4 s (0.66% capillary volume). Mobilization pressure: 30 mbar. Myo concentration in the plug: 1 g.L⁻¹. Temperature: 25°C. UV detection: 214 nm.

597 Figure 4: Schematic representation of optimized plug-in-front TDA protocol (A) and five repetitions 598 of the corresponding taylorgrams for myoglobin at pH 5.8 (B) and pH 7.0 (C). Experimental condi-599 tions: fused silica capillary, 40 cm total length (31.5 cm to detector) × 50 µm i.d. Eluents: MES/NaOH (50 600 mM/10 mM, pH 5.8) and HEPES/NaOH (40 mM/10 mM, pH 7.0) both containing 0.5 gL-1 Myo. Before the 601 first injection, the capillary was rinsed with the spiked eluent for 200 s at 100 mbar (1 capillary volume) 602 for saturation of the interacting sites. Between runs, the capillary was flushed with spiked eluent for 100 s 603 at 1 bar (5 capillary volumes). Hydrodynamic injection: 30 mbar, 4 s (0.66% of capillary volume). Myoglo-604 bin plug concentration: 1 g.L-1. Mobilization pressure: 30 mbar. Temperature: 25°C. UV detection: 214 nm.

605

606 Figure 5: TDA experiments on Pfizer Comirnaty[™] vaccine using plug injection without capillary 607 presaturation (A), with capillary presaturation (B) and using plug-in-front protocol (n = 5) (C). Ex-608 perimental conditions: fused silica capillary, 60 cm total length (51.5 cm to detector) \times 50 µm i.d. Eluent: 609 20 mM/17 mM Tris/HCl, pH 7.4 (A and B); 20 times diluted vaccine in Tris/HCl eluent (C). Hydrodynamic 610 injection: 30 mbar, 12 s (0.81% of capillary volume). Vaccine solution was injected as is. Mobilization pres-611 sure: 45 mbar. Temperature: 25°C. UV detection: 214 nm. Rinsing between runs: 5 min eluent at 1 bar (A); 612 20 times diluted vaccine solution in Tris-HCl buffer for 200 s at 1 bar (5 capillary volumes) (B and C). Rins-613 ing before the first run: 20 times diluted vaccine solution in Tris-HCl buffer for 420 s at 100 mbar (1 capil-614 lary volume) to saturate all the interacting sites (B and C). Gaussian fits of the taylorgrams are presented in 615 red.

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Figure 6: Plug-in-front TDA of Pfizer Comirnaty^M vaccine solution with CRLI fit of 5 runs (A), overlay of the corresponding size distributions obtained by DLS and TDA in linear size scale (B) and log scale (C). CRLI fit of the taylorgram is represented in red in Figure 6A. Repetitions of P(D_h) were normalized within each method to the same peak area. Repetitions of P(D_h) from TDA were then adjusted to the maximum of P(D_h) from DLS, to avoid too much spreading of the DLS distribution in the inter-method comparison. Experimental conditions as in Figure 5C.

624	Table 1: Values of retention factor (k), diffusion coefficient in presence (D^*) and in absence (D_0) of
625	adsorption and hydrodynamic radius in presence (R_h^*) and in absence $(R_{h\theta})$ of adsorption, obtained
626	for toluene, p-cymene and triphenylphosphine to verify the validity of Eq. (11). Experimental condi-
627	tions as in Figure 1 with DB-1/ DB-17 coatings.
628	
629	Table 2: Impact of presaturated capillary and plug-in-front protocol on R_h and A_s values for myoglo-
630	bin TDA.
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