Use of two proof assistants in an introduction to proof course: an experiment

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- 1 Two proof assistants : why ?
- 2 Edukera and Lean3
- Pedagogical setup and choices
- A quick poll
- 5 Questions?



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- 6 Bibliography

Where does it all come from ?

- ullet pprox 10 years as dev engineer
- \approx 10 years as teacher (to undergraduates (Esisar engineering school), in maths, C programming)
- A feeling : many students misunderstand the math game we want them to play...¹
- Why do they seem they quicker, better, more motivated at learning programming ? Feedback could be a key...
- Good news : Proof assistants (PA) (ITP) exist...

¹Moore 1994; Weber 2001.

Proof assistants for teaching

- Pedagogical use : mostly in
 - computer science, Hoare logic²
 - formal logics,³
 - geometry⁴
- Few reports of use in undergraduate maths⁵
- Professional PA or tutors ? Controlled natural language⁶
- in Hanna and Yan 2021 :
 - Not widespread in this context

"A few universities have offered courses on the use of digital proof assistants, but undergraduate mathematics curricula have neglected them"

• Few didactical studies

"there does not appear to be any published systematic research that has explored their potential in any educational context"

²Pierce et al. 2017; Delahaye, Jaume, and Prevosto 2005; Nipkow 2012.
³Avigad 2019.
⁴Webber et al. 2001; Gressier 2006; Leduc 2016.
⁵Blanc et al. 2007; Kerjean et al. 2022.

⁶Kerjean et al. 2022; Wemmenhove et al. 2022.

Two proof assistants

- Primary naïve question (hope!) : would the use of PA in the classroom improve math proving skills ?
- Doubts :
 - Appropriation of a new language (difficulty, effort, time, benefit)
 - Transition to pen/paper proofs
- Different characteristics of PA could impact differently proof learning (Bartzia, Meyer, and Narboux 2022)
- During one semester, the same group of students trained with two different proof assistants
 - Lean3
 - Edukera

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Lean3 and Edukera

Comparaison

according to some criteria from (Bartzia, Meyer, and Narboux 2022)

	Lean3	Edukera
Type of PA	Professional	Educational
Type of user input	text-based, srict syntax	point-and-click
Imperative/Declarative	Imperative (in tactics mode)	imp input, decl output
Math Lib	Comprehensive	Micro-worlds
Create new defs/lemmas?	Yes	No

Edukera (formalization)

" A player must be registered in order to play and any beaten player is eliminated "

n joueur doit êt	re inscrit pour pouvoir jouer et tout joueur battu est é	liminé.
∀ <i>x</i> ,((∃ <i>y</i> ,j(x,	$(y_{i}) \Rightarrow i(x_{i}) \land \forall x, ((\exists y, b(y_{i}, x_{i})) \Rightarrow e(x_{i}))$	~
es prédicats disp	onibles sont :	
j (x, y)	x a joué contre y	
b (x, y)	x a battu y	
i (x)	x est inscrit au tournoi	
e (x)	x est éliminé du tournoi	
es constantes dis	ponibles sont :	
A	Alain	
в	Bernard	

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A simple example

Definition

Let *E* et *F* be two sets. Let *f* be a map from *E* to *F*. *f* is said to be injective if and only id $\forall x \in E, \forall y \in E, f(x) = f(y) \Longrightarrow x = y$

Theorem

Let E, F and G be three sets, and $f : E \to F$ et $g : F \to G$ two maps. If $g \circ f$ is injective, then f is injective.

Proof.

Suppose that $g \circ f$ is injective. Let x and y be elements of E such that f(x) = f(y). Then we have g(f(x)) = g(f(y)). Therefore, it follows from the injectivity of $g \circ f$ that x = y.

Edukera (proof)

Q	r a o	-			6	6
	Soit	l'ensemble C		déclaration		
	Soit	$f: A \rightarrow B$		déclaration		
	Soit	$g: B \rightarrow C$		déclaration		
	(i) g°	f est injective		hypothèse		
	L [Soit $x \in A$		déclaration		
		Soit $y \in A$		déclaration		
	(2)	f(x) = f(y)		hypothèse		
	(3)	g(f(x)) = g(f(y))	(2)	par application de ${f g}$ à gauche et à droite		
	(4)	$g(f(x)) = g \circ f(y)$	(3)	par définition de la composition de fonctions	ĩ	
	(5)	$g\circ f(x)=g\circ f(y)$	(4)	par définition de la composition de fonctions	7	
	(6)	x = y	(1) (5)	par définition d'une fonction injective		
	(7) f est	injective	x (6)	par définition d'une fonction injective		
			Tran Minh	Use of 2 PA for teaching	-	

Edukera (proof)

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Lean (proof)



Lean (proof)



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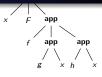
Pedagogical organization

- 64 1st year undergraduates in engineering school
- $6 \times 1,5h$ sessions (in tutorial groups of 32) over 1 semester
- mandatory
- Autonomous use of Edukera
- Lean c.a. 25% lecturing + 75 % practical work with handout
- \bullet Evaluation : Edukera Achievement + paper test (MCQ) + Machine Test
- https://github.com/ftranminh/Esisar_MA121_HA_lean_2023



Lean: Term-mode and Tactic-mode

- Term-mode : a proof is a λ-term ; carries a structure, e.g λx : F, f (g x) (h x)
- Tactic mode : a proof is a list of orders that manipuate goal and hypothesis ; intrinsically sequential ; mostly used for teaching (AFAIK)

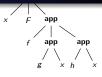


theorem T1 : $\forall (f:E \rightarrow F) (g:F \rightarrow G), (injective (gof)) \rightarrow (injective f) :=$

Term mode	Tactic mode
<pre>assume (f:E→F) (g:F→G),</pre>	begin
assume (h1:injective (gof)),	intros f g h1 x y h2,
assume (x y:E),	apply h1,
assume (h2: f x = f y),	simp [function.comp],
have h3: g (f x) = g (f y),	apply congr_arg g,
from congr_arg g h2,	assumption
h1 x y h3	end

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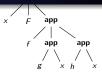


theorem T1 : $\forall (f:E \rightarrow F) (g:F \rightarrow G), (injective (gof)) \rightarrow (injective f) :=$

Term mode	Tactic mode
<pre>assume (f:E→F) (g:F→G), assume (h1:injective (gof)), assume (x y:E), assume (h2: f x = f y), have h3: g (f x) = g (f y), from congr_arg g h2, h1 x y h3</pre>	begin intros, unfold injective at *, intros, finish, end More automation
Tran M	Ainh Use of 2 PA for teaching

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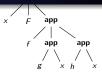


theorem T1 : $\forall (f:E \rightarrow F) (g:F \rightarrow G), (injective (gof)) \rightarrow (injective f) :=$

Term mode Tactic mode begin assume $(f: E \rightarrow F) (g: F \rightarrow G)$, intros f g h1 x y h2, have h3 : g (f x) = g (f y) := by tautoassume (h1:injective (gof)), assume (x y:E), assume (h2: f x = f y), tauto. have h3: g(f x) = g(f y), end from congr_arg g h2, h1 x y h3 Adjust automation Tran Minh

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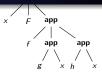
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Lean: Term-mode and Tactic-mode

15/34

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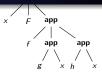


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Term mode Tactic mode begin assume $(f: E \rightarrow F)$ $(g: F \rightarrow G)$, intro f. assume (h1:injective (gof)), intro g, assume (x y:E), intro h1. assume (h2: f x = f y), intros x y, have h3: g(f x) = g(f y), intro h2. have h3:g(f x)=g(f y):=by congrfrom congr_arg g h2, h1 x y h3 ۰. apply h1. assumption end More verbose... Tran Minh

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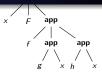
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More and more verbose...

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Edukera

- Formalization of sentences in French
- Formalization of math statements
- Proofs on sets, applications
- Lean
 - Propositional Logic
 - 1st order logic (ℕ)
 - Maps (surjectivity, injectivity, preimage,...)
 - Numerical sequences (limits)

Example

Not all novels were written by the same person

Tous les romans n'ont pas été écrits par une même personne.

 $\neg (\exists x, (H(x) \land \forall r, (R(r) \Rightarrow E(x, r))))$

Les prédicats disponibles sont :

L (x, y)	x a lu y
E (x, y)	x a écrit y
R (x)	x est un roman
H (x)	x est un être humain

Les constantes disponibles sont :

A	Anaïs
VH	Victor Hugo
LM	Les Misérables

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Example

f is increasing

La fonction f n'est pas croissante sur l'intervalle I.

 $\exists x, \exists y, (((x \in I \land y \in I) \land x \leq y) \land \neg (f(x) \leq f(y)))$

La formule ne doit pas démarrer par une négation.

Les prédicats disponibles sont :

$x \leqslant y$	x est inférieur ou égal à y
$x \in y$	x appartient à y
Les opérateurs disponib	les sont :
х (у)	х (у)
Les constantes disponib	les sont :
f	f
Ι	Ι

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Example

Prove $g \circ f$ injective $\Longrightarrow f$ injective

2	n a	5 🖶	6.6
	5	oit l'ensemble C	déclaration
	5	out $f : A \rightarrow B$	déclaration
	5	loit g : B→C	déclaration
	(i) g	• f est injective	hypothèse
		Soit $x \in A$	déclaration
		Solt $y \in A$	déclaration
	(2)	f(x) = f(y)	hypothëse
	(3)	g(f(x)) - g(f(y))	(2) par application de g à gauche et à droite
	(4)	$g(f(x)) = g \circ f(y)$	(3) par définition de la composition de fonctions
	(5)	$g\circ f(x)=g\circ f(y)$	(a) par définition de la composition de fonctions
	(6)	x = y	(1) (3) par définition d'une fonction injective
	(7) f	est injective	x (6) par définition d'une fonction injective
			Suivant
	* proof	and refutation	Lakatos (philo preuve)

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Example

```
\textit{Prove} (A \lor B) \Longleftrightarrow ((A \Longrightarrow B) \Longrightarrow B)
```

```
theorem T: \forall A B: Prop. A \lor B \leftrightarrow (A \rightarrow B) \rightarrow B :=
assume A B : Prop.
  iff.intro
   (assume h_A_{or_B} : A \lor B,
      assume h_A_{imp_B} : A \rightarrow B,
       or.elim h_A_or_B
       (assume h_A : A,
        show B. from h A imp B h A
       (assume h_B :B,
        show B. from h B
    (assume h_A_{imp}B_{imp}B:(A \rightarrow B) \rightarrow B,
     have h A or not A : A \lor \neg A. from
         classical.em A.
      or.elim h_A_or_not_A
      (assume h A : A.
       show A \vee B, from or inl h A
      (assume h not A : \neg A.
       have h_A_{imp_B} : A \rightarrow B, from
         assume h_A : A,
         show B. from absurd h A h not A.
       have h_B : B, from
             h_A_imp_B_imp_B h_A_imp_B.
       show A \vee B, from or.inr h_B
```

Edukera

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Example

Prove: if m, n are even then m + n is even

```
def even (n:N):Prop:=∃(k:N),n=2*k
lemma S:\forall m n:\mathbb{N}. (even m)\land(even n)
                    \rightarrow(even (m+n))
                                         :=
assume (m n:\mathbb{N}),
assume h1: (even m) \land (even n).
 exists.elim h1.left (
 assume j:\mathbb{N}, assume h2: m=2*j,
  exists.elim h1.right (
  assume k:\mathbb{N}, assume h2: n=2*k,
   exists.intro (j+k) (
   calc
    m+n=2*i+n : congr arg (\lambda z, z+n)
                                                h1
     \dots = 2*j+2*k: congr_arg (\lambda z, 2*j+z)
                                               h2
     ...=2*(j+k):(mul_add 2 j k).symm
```

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Example

```
Prove: g \circ f injective \Longrightarrow f injective
```

Edukera

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Example

Prove that the sum of two convergent sequences converges to the sum of their limits.

```
def seq := \mathbb{N} \to \mathbb{R}
definition seqadd (u:seq)(v:seq):seq:=
     \lambda n, (u n) + (v n)
def cv (u:seq)(ℓ:ℝ):Prop:=
   \forall \varepsilon : \mathbb{R}, \varepsilon > 0 \rightarrow \exists n 0 : \mathbb{N}, \forall n : \mathbb{N}, n > n 0 \rightarrow |u n - \ell| < \varepsilon
theorem sumlim (u v:seq) (\ell \ \ell': \mathbb{R}):
    ((\operatorname{cv} \operatorname{u} \ell) \land (\operatorname{cv} \operatorname{v} \ell')) \rightarrow (\operatorname{cv} (\operatorname{u+v}) (\ell + \ell'))
(assume (h1: (cv u \ell) \wedge (cv v \ell')),
 assume (\varepsilon:\mathbb{R}) (h\varepsilon: \varepsilon > 0),
   have h \in 2: (0 < (\varepsilon/2)), from
       (by simp:(0/2)=(0:\mathbb{R}))
       ►(lt_div2 (0:\mathbb{R}) \varepsilon h\varepsilon),
    exists.elim (h1.left (\varepsilon/2) h\varepsilon2)
    (assume n0:\mathbb{N}, assume h2: \forall n:\mathbb{N}, n>n0\rightarrow |u n
              \ell | \leq \varepsilon / 2,
      exists.elim (h1.right (\varepsilon/2) h\varepsilon2)
      (assume n1:\mathbb{N}.assume h3:\forall n:\mathbb{N}.n>n1\rightarrow |v n-
                |<\varepsilon/2.
       let n2:=(max n0 n1) in exists.intro n2
        assume n:\mathbb{N} (h4:n>n2),
       calc
          |(\mathbf{u}+\mathbf{v}) \mathbf{n}-(\ell+\ell')| = |(\mathbf{u} \mathbf{n}-\ell)+(\mathbf{v} \mathbf{n}-\ell')|; t
                       abel
          ... < |(u n-\ell)| + |(v n-\ell')| : abs add
```

Contents

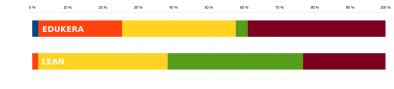
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Quick poll : how difficult did they perceive it?

• Getting started

0 %	10 %	20 %	30 %	40 %	50 %	60 %	70 %	80 %	90 %	100 %
EDU	UKERA									
LE/	AN									

Difficulty of exercises



■ Very Easy ■ Rather Easy ■ Rather Difficult ■ Very Difficult ■ Heterogeneous Difficulty

Quick poll : how difficult did they perceive it?

• Getting started

0 %	10 %	20 %	30 %	40 %	50 %	60 %	70 %	80 %	90.%	100 %
EDU	JKERA									
_										
LEA	١N									

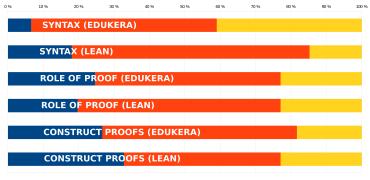
Difficulty of exercises

0 %	10 %	20 %	30 %	40 %	50 %	60 %	70 %	80 %	90 %	100
_										
E	DUKERA									

clic-based interface: beyond subjective ease : some benefits, some biases

Quick poll : how much do they think it helped?

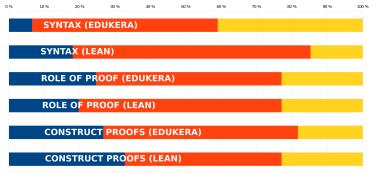
• How does it help for ...



Not at all A little A lot

Quick poll : how much do they think it helped?

• How does it help for ...



constrained input: could help to construct the idea of a rigid syntax?

Clic-based interface + Constrained input

- \oplus : quick learning curve, helps to start a proof, to see what is expected, learning by habituation
- \ominus : few initiative needed in constructing a proof, few opportunities to mistake^7, possibility to click around without deep understanding
 - ? Hybrid tool to accompany them during their first steps with formal proofs?

⁷Lakatos, 1963, Proof and Refutations

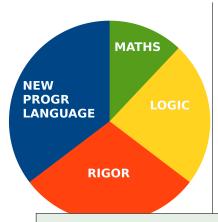
Quick poll : what do they relate their difficulties to ?



The possible difficulties encountered with Lean did they seem to you to be related to : (you may tick several boxes)

- Learning a new programming langage
- The rigor required
- Logic skills (how to prove such a statement...)
- Mathematical skills (knowledge of definitions...)
- No difficulties encountered

Quick poll : what do they relate their difficulties to ?



The possible difficulties encountered with Lean did they seem to you to be related to : (you may tick several boxes)

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Many students attribute their difficulties to the langage syntax rather than mathematical shortcomings

Distinction language skills vs math skills ?

- "I have a lot more trouble understanding how to write a proof in Lean than understanding what proof to provide"
- "I had great difficulty with the exercises because of my programming errors in Lean when I could do the mathematical proof"
- "It is sometimes annoying because of the syntax and the '()' or ',' which can make our proof wrong for Lean, when it was right"
- This partially makes sense, but...
 - what is a proof ? what is understand?
 - Is it credible when (eg) they formalize def of surjectivity while injectivity is expected ?

Quick poll : Free comments

• Positive impact frequently explicitely reported (44 %)

- live feedback (41 %)
- autonomy allowed, self correction (11 %)
- individualization
- attention attracted to rigor
- playful
- Drawbacks
 - Feedback perceived as binary (18 %)
 - Lean: difficulty of appropriation (syntax,...) (16 %)
- Asked for more lecturing, tutorial sessions (33 %)

Quick poll : Free comments

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Quick, even binary feedback perceived as motivating ; produce relevant feedback ?

Produce relevant feedback ?

• Professional PA is not a tutor: feedback is a compiler error message

```
variables {E F G:Type}
definition injective (f: E → F) : Prop := ∀ (u:E), ∀ (v:E), f u = f v → u=v

theorem ex P_1 : Prop := ∀ f( E → F), ∀ g(F → G), injective f ∧ injective g → injective ;:
assume (injective f injective g),

assume (injective (f)),
assume (injective (g)),
assume (h:injective f ∧ injective g),
bow injective, from and.import h
```

⁸Carl, Lorenzen, and Schmitz 2022.

Produce relevant feedback ?

• Professional PA is not a tutor: feedback is a compiler error message



⁸Carl, Lorenzen, and Schmitz 2022.

Produce relevant feedback ?

• Professional PA is not a tutor: feedback is a compiler error message

```
serrei.lean:31:10
                            theorems do not get VM compiled, use 'def' or add 'noncomputable' modifier to
                           serrei.lean:31:81
/ariables {E F G:Type}
                            type expected at
definition injective (f:
                              injective
                            term has type
                              (?m 1 → ?m 2) → Prop
 theorem ex 2 1 : Prop
                           serrej.lean:31:91
 assume (injective f
                            command expected
   assume (injective (f All Messages (16)
      assume (injective
        assume (h:inject
          show injective invalid field notation, type is not of the form (C ...) where C is a constant
                           has type
```

• Identify and produce different levels of relevant feedback (⁸)

⁸Carl, Lorenzen, and Schmitz 2022.

Long term investment as alternative to Natural Controlled Language?

- Natural Controlled Language (ex: LeanVerbose, Waterproof)⁹
 - Could ease appropriation of the PA ?
 - Could help to make an efficient transition to pen/paper proof?
- Other approach
 - Conditions : Long Term Basis (1,2 years...) ; complete integration to regular math course ; CS students
 - May be worth to invest in learning the PA original language
 - Postulate : there are common skills involved in building a pen/paper proof and a formalized proof
 - How to help students relate both ?
 - In : Nipkow 2012

"Teaching Isabelle required the first quarter of the semester. We believe that this initial investment did not just improve the students' understanding of the logical foundations, it also allowed us to cover the semantics material more quickly than normally because of the solid and uniform foundations that could be taken for granted."

⁹Kerjean et al. 2022; Wemmenhove et al. 2022.

Contents

- Two proof assistants : why ?
- 2 Edukera and Lean3
- 3 Pedagogical setup and choices
- 4 quick poll
- 5 Questions?



Questions?

Contents

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