

# **A Software Package for an Adaptive Satellite-based Sampling for Oceanographic cruises (SPASSOv2.0): tracking fine scale features for physical and biogeochemical studies**

Louise Rousselet, Francesco d'Ovidio, Lloyd Izard, Alice Della Penna, Anne Petrenko, Stéphanie Barrillon, Francesco Nencioli, Andrea M. Doglioli

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<sup>12</sup> *Corresponding author*: Louise Rousselet, louise.rousselet@locean.ipsl.fr

ABSTRACT: During the past decade increasing interests arose in understanding the role of finescales (processes of the order of 10s of km) on ocean energy and biogeochemical budgets. However, *in situ* evidence of these processes remains poorly documented and represents only few specific ocean conditions. To fill this gap, new adaptive sampling feature-oriented strategies need to be developed. A possible approach is to target fine-scale features, identified from space, with *in situ* surveys. The SPASSO (Software Package for an Adaptive Satellite-based Sampling for Oceanographic cruises) package provides real-time analysis of satellite-derived products and diagnostics to identify fine-scale biophysical dynamics of any given study area. Besides the visualization of satellite products, the software computes a large variety of Eulerian and Lagrangian diagnostics inferred from near-real time altimetry to accurately direct oceanographic sampling towards fine-scale features. Over the last decade, this adaptive sampling methodology was successfully developed as showcased by several previous cruise examples. In 2023, the groundbreaking SWOT mission fosters the development of a new, improved and fully automated version of the software (SPASSOv2.0) to address the need to target SWOT-scales during *in situ* experiments. 13 14 15 16 17 18 19 20 21 22 23 24 25 26

#### **1. Introduction**

 Ocean dynamics play a key role in the Earth system by distributing properties and matter around the globe. Ocean processes span a wide range of spatio-temporal scales from the global thermohaline circulation (∼ years - hundreds of kilometers) to the microscale of turbulent mixing (∼ hours/minutes - meters). In between stands the mesoscale (∼ months - hundred kilometers) and submesoscale (∼ days - few kilometers) dynamics characterized by the formation, transport and <sup>33</sup> dissipation of features such as eddies, meanders, filaments or fronts (McWilliams 2006). These <sup>34</sup> two regimes are closely associated, being defined by their transient nature as well as by respectively a dominant or non-negligible role of geostrophy (Lévy et al. 2012) and therefore nowadays often referred together as the *fine scale*. The *fine scales* have a central role in the physical, biogeochemical, 37 and ecological dynamics of the ocean, strongly modulating in the horizontal and vertical the energy and transport pathways of the ocean, the exchanges at its interfaces, and shaping the dynamical "seascape" in which marine biota live (Kavanaugh et al. 2016; Mahadevan 2016; McGillicuddy Jr 2016; Taylor and Thompson 2023). Although empirical evidence of strong intermittent ocean circulation below Θ(100km) emerged since the 60s (e.g., Gill et al. 1974; Wyrtki et al. 1976), eddies <sup>42</sup> and filaments still present today a major challenge in terms of their observability. During their life cycle, these structures may drift on distances that make several times their horizontal dimension. An observational network capable of fully resolving the spatial and temporal variability of one of these structures should therefore offer a precision of less than 1 km in the horizontal, of few meters in the vertical, on a region hundreds of kilometer large and hundreds of meter deep, for a period 47 up to several months.

 In the 1980's the first interdisciplinary studies based on *in situ* observation specifically focused on mesoscale features were carried out in the North Atlantic in the vicinity of the Gulf Stream and well-known Gulf Stream rings. Several mesoscale *in situ* mappings, such as the POLYGONE  $_{51}$  (Brekhovskikh et al. 1971), POLYMODE (McWilliams et al. 1983), MODE (Group et al. 1978) and Tourbillon (Tourbillon 1983) experiments were conducted in about 100  $\times$  100 km areas, 53 chosen ahead the field experiment for their intense mesoscale activity. At that time sampling strategies usually consisted in large scale surveys of the mapping area including CTD, expendable bathythermographs (XBT) profiles and floats deployment on a nearly uniform grid to target as precisely as possible the location of mesoscale features. After the completion of such large scale

 $57$  survey, some higher resolution feature-oriented mapping was performed to observe fine-scale <sup>58</sup> characteristics of a specific mesoscale feature.

<sup>59</sup> At the same time, advances in satellite infrared imagery allowed for mapping large areas of sea <sup>60</sup> surface temperature (SST). These near-real time images proved to be very useful for mesoscale <sup>61</sup> feature identification and tracking, as thermal signature reveals the formation and circulation of <sup>62</sup> warm and cold rings. Indeed several pioneering *in situ* cruises determined their sampling location <sup>63</sup> and strategy using advanced very high resolution radiometer (AVHRR) data. The "Ring group" <sup>64</sup> used these images to identify, track and sample several Gulf Stream cold rings for about a year <sup>65</sup> (including four cruises) to describe both the physical and biological interactions during the rings' <sup>66</sup> lifetime (Ring Group 1981). Similarly warm-core eddies in the Gulf of Mexico (Biggs 1992) <sup>67</sup> and Algerian eddies in the Mediterranean Sea (Millot et al. 1997; Taupier-Letage et al. 2003) <sup>68</sup> have been chosen from infrared images to study the mesoscale feature properties and associated <sup>69</sup> phytoplankton dynamics. However, the success of such strategies relied on the availability of  $\infty$  exploitable (i.e. cloud-free) sets of images.

 $71$  This limitation is partially overcome since the 2000's thanks to the emergence of altimetry  $72$  derived products (sea surface height (SSH) and surface velocities). This technology provide  $\frac{73}{10}$  global observation of the ocean surface dynamics at mesoscale resolution (∼ 75-100 km; Abdalla  $74$  et al. 2021). In parallel, the first ocean color images (chlorophyll concentration, Chl) revealed  $75$  clear biological mesoscale patterns (McClain 2009). The spectacular advances in remote sensing  $\pi$  observations both in terms of horizontal resolution and temporal data availability widened the  $\pi$  mesoscale feature detection capabilities. Indeed not only satellite-derived data were made available <sup>78</sup> over the entire globe in a quasi near-real time, offering the possibility to track features directly from  $\gamma_9$  space, but also the variety of satellite products extensively diversified the approaches to identify <sup>80</sup> mesoscale processes. While some spatial technologies provide cloud-free snapshots of mesoscale 81 features (altimetry or micro-wave SST), others offer the opportunity, when sky is clear, to observe <sup>82</sup> submesoscale heterogeneity by highlighting local tracer gradients at remarkable scales of few <sup>83</sup> meters to kilometers (infra-red SST or ocean color). The submesoscale nature of phytoplankton <sup>84</sup> patchiness, as seen by satellite-derived Chl, results from advection and stirring effect of small-<sup>85</sup> scale ocean circulation as shown by several studies (Gower et al. 1980; Peláez and McGowan 1986; <sup>86</sup> Mahadevan and Campbell 2002; d'Ovidio et al. 2010).

<sup>87</sup> The *fine scale* surface transport information provided by satellite-derived products can be sup-<sup>88</sup> plemented by Eulerian and Lagrangian analysis inferred from velocity fields. Eulerian diagnostics, <sup>89</sup> such as kinetic energy (KE) or Okubo-Weiss (OW) criterion analyze local properties of the flow from instantaneous snapshot of the velocity field (Okubo 1970; Weiss 1991). On the other hand, 91 Lagrangian diagnostics provide a finer measure of the dynamics experienced by a water parcel transported by a time-dependent flow field. By essence, the chaotic advection of water parcels reveals transport structures below the resolution of the velocity field. This is achieved by estimating <sup>94</sup> sub-grid tracer filaments that are produced by the resolved features of the velocity field through stirring. For instance, a field of eddies with radii of 100 km and resolved at a resolution of 10 km can be used to initialize a Lagrangian model at 1 km resolution, and stir a numerical tracer into filaments at this finer resolution. This characteristic makes Lagrangian diagnostics powerful tools to investigate *fine scale* processes (d'Ovidio et al. 2009). For instance, the finite-size or finite-time Lyapunov exponents (FSLEs and FTLEs), a widely used Lagrangian diagnostic measuring the dispersal rate of advected particles, were proved to compare well with fine scale distribution of SST and satellite-derived phytoplankton niches (Lehahn et al. 2007; Rossi et al. 2008; d'Ovidio et al. 2010).

 By combining the analysis of available near-real time satellite resources with derived Eulerian and Lagrangian diagnostics in an automated way, Petrenko et al. (2017) set up a new approach for real-time adaptive *fine scale* sampling strategy during the LATEX10 cruise in 2010. An automated algorithm, the Software Package for an Adaptive Satellite-based Sampling for Oceano- graphic cruises (SPASSO), was developed to provide on-board teams with daily maps of: near-real time satellite-derived surface currents, SST and Chl concentration and altimetry-derived Eulerian and Lagrangian diagnostics. This methodology filled the need for assessing in real-time a de- tailed description of the sampling area to opportunely study various biophysical processes such 111 as the dynamic of an hyperbolic point and associated dispersion processes (LATEX10 cruise; Petrenko 2010), biogeochemical budgets associated with mesoscale phytoplankton bloom (OUT- PACE cruise; Moutin and Bonnet 2015), natural iron fertilization in the Southern Ocean (KEOPS-II cruise; Queguiner 2011), or fine scale biophysical coupling (OSCAHR cruise; Doglioli 2015). 115 The new Surface Water and Ocean Topography (SWOT) satellite mission, launched in late 2022,

offers a one of a kind opportunity to investigate the *fine scale* dynamics of the upper ocean, at

 unprecedented horizontal and temporal resolutions, and to address the *fine scale* influence on the global energy budget in the ocean (d'Ovidio et al. 2019). Indeed during the calibration and validation (CalVal) period, from March to July 2023, the satellite recorded cloud-free SSH observations along both SWOT swaths (120 km wide) with a 1-day repeat: the 1-day orbit, or *fast-*<sup>121</sup> *sampling* phase (Morrow et al. 2019). An international effort, conducted in the framework of the SWOT-Adopt-A-Crossover (AdAC) consortium, was carried out to coordinate *in situ* observations at orbit crossover points, hence visited twice a day during the fast-sampling phase. Endorsed by CLIVAR in 2019, this initiative encompasses more than 20 *in situ* experiments planned in a 125 variety of ocean regions during the CalVal phase. The need to uniformly support all SWOT-AdAC cruises in their sampling strategies motivated the development of an updated version (v2.0) of the SPASSO software aiming to: 1) easily enable the code configuration for simultaneous multiple fields experiments during SWOT fast-sampling phase; 2) facilitate its autonomous use by a wide range of oceanographers; 3) develop new diagnostics designed to address innovative biophysical issues.

<sup>131</sup> In the following we provide a complete description of the SPASSOv2.0 software architecture and functioning. Examples of SPASSO-generated maps are displayed and the computation of available Eulerian and Lagrangian diagnostics is detailed (Section 2). To illustrate the software capabilities and efficiency to guide future successful *fine scale* biophysical studies, we present several case studies of previous SPASSO-based *in situ* sampling experiments which occurred in various oceanic regions (Section 3).

#### **2. Material and methods**

#### *a. Software architecture and philosophy*

139 A key characteristic of the new SPASSOv2.0 framework is to provide a complete Python environ- ment that encapsulates a set of functionalities to: 1) download ocean data; 2) perform dynamical analysis; 3) visualize and save ocean parameters. The tool is designed following modern scientific engineering software development and considering two main concepts:

 *(i) Ease of use:* Software usage must be accessible to various oceanographers from different fields that are not always familiar with complex model computation. Therefore, a standard configuration



Fig. 1. Functionalities and structure of the SPASSOv2.0 algorithm.

<sup>145</sup> of the software is openly accessible through Github (https://github.com/OceanCruises/SPASSO) 146 and can be implemented by modifying a unique file controlling the algorithm main options.

<sup>147</sup> *(ii) Modularity and flexibility:* The algorithm is designed to allow for the addition of various <sup>148</sup> specialized sub-components (data, plotting options, outputs) to match different ocean sampling 149 objectives without modifying the core code.

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<sup>151</sup> The main code (Spasso.py) first reads a text configuration file (config<sub>1</sub>\*.ini) containing all the necessary options to run SPASSOv2.0 (Fig.1). In the configuration file, the user sets specific options related to his configuration such as: the cruise name and domain, the type of configuration (near-real time or delayed-time), the list of the desired satellite products and associated parameter limits, the list and parameter details for Eulerian and Lagrangian configuration and some output file options. The configuration file is the only file the user needs to modify for a standard <sup>157</sup> configuration of the code. After reading the configuration file, SPASSOv2.0 loads the necessary <sup>158</sup> functions (Library.py) and global variables (GlobalVars.py) requested from the configuration file options. Then, the satellite data required by the user are downloaded and stored by Fields.py. A second layer is then in charge of plotting the downloaded data for visualization (PlotFields.py) 161 and optionally computing some diagnostics for dynamical analysis (Diagnostics.py). The data used by SPASSOv2.0 are mainly satellite-derived physical and biogeochemical ocean variables (surface currents, SSH, SST, Sea Surface Salinity and surface Chl concentration) freely available, in near-real time, distributed by different platforms such as the European Copernicus marine data 165 store (CMEMS). A novel option available in SPASSOv2.0 allows for the use of delayed-time available data to perform climatological and post-sampling analysis.

 Figure 2 shows an example of three typical maps generated by SPASSOv2.0 configured to use 168 SSH, surface currents, SST and Chl from CMEMS near-real-time products in the Indian sector of the Southern Ocean. These datasets provide some satellite-derived contextual information for spring 2011 (Queguiner 2011, KEOPS-II cruise). The satellite image analysis highlights the circulation of the major branch of the Antarctic Circumpolar Current, north of the Crozet Plateau, associated with the SubAntarctic Front (SAF) (Fig. 2a and b). For this specific example, we show the SAF position as detected by the local maxima of the SST gradient magnitude following a traditional 174 gradient-based front identification method (see more detail in Wang et al. (2023) Supplementary 175 Material). An intense phytoplankton bloom, constrained in cold waters to the south by the SAF, is visible on the chlorophyll-a image (Fig. 2c). The horizontal distribution of the bloom around <sup>177</sup> the Crozet Plateau is structured by the surface currents measured by altimetry. The analysis of this coupling can be extended by computing some classical Eulerian and Lagrangian diagnostics 179 (Diagnostics.py) provided by an embedded complementary software package coupled with SPASSOv2.0.



Fig. 2. Satellite-derived (a) Sea Surface Height and velocities (colorscale, m); (b) Sea Surface Temperature (colorscale, °C); (c) Surface Chlorophyll-a concentration (colorscale, mg.m<sup>-3</sup>) for October 14<sup>th</sup>, 2011. Red line shows the SubAntarctic Front (SAF) defined as the local maxima of satellite-derived SST gradient magnitude. Data were retrieved from the Copernicus Marine Data Store for the following products: (a) velocities and SSH: https://doi.org/10.48670/moi-00148; (b) SST: https://doi.org/10.48670/moi-00168; Chl-a: daily cmems\_obsoc\_glo\_bgc-plankton\_my\_l4-gapfree-multi-4km\_P1D 181 182 183 184 185 186

#### *b. Eulerian and Lagrangian analysis: LAMTA software*

 SPASSOv2.0 is coupled with a Python Lagrangian software (LAgrangian Manifolds Tracking 189 Algorithm, LAMTA included in the Diagnostics.py file) that computes some Eulerian and La- grangian diagnostics to provide statistics of the considered velocity field. Lagrangian diagnostics 191 are derived from the trajectories of virtual particles analyzed to provide a complementary hydro- dynamical description of the sampled region flow. Lagrangian ocean analysis are now widely used by the marine scientists community to track water masses, coherent structures, marine debris or biological dispersion (Van Sebille et al. 2018). LAMTA has been developed to advect virtual particles in horizontal 2-D time-evolving velocity fields and process the resulting trajectories to provide insights on the ocean circulation and dynamics (d'Ovidio et al. 2015). The Lagrangian diagnostics, including tracer advection, Lagrangian Coherent Structure (LCS) detection and water masses Lagrangian age, are provided as daily surface fields. These quantities are of particular interest for planning oceanographic sampling since they include history on the horizontal motion of seawater parcels. In the following, we describe the Eulerian diagnostics, the particle trajectory <sub>201</sub> computation and the derived Lagrangian diagnostics computed by the LAMTA software.

#### *Eulerian diagnostics:*

 The surface **kinetic energy (KE)** estimated from altimetry-derived geostrophic currents is often used to study the variability of surface ocean currents and eddies. We define KE at a given time as the total kinetic energy, including mean flow and eddy contributions. KE thus represents the <sup>207</sup> amount of energy due to flow motion (Fig. 3a). It is expressed as the sum of the squared velocities  $_{208}$   $(u, v)$ , in  $cm^2.s^{-2}$ :

$$
KE = u^2 + v^2 \tag{1}
$$

 The identification of ocean mesoscale eddies is particularly useful since they are believed to play significant roles in ocean heat and salt exchanges (Jayne and Marotzke 2002; Dong et al.  $_{211}$  2014; Zhang et al. 2014) as well as on primary production, intermediate trophic levels and large predators (Falkowski et al. 1991; Cotté et al. 2007; Gaube et al. 2018; Della Penna and Gaube 213 2020). Different eddy detection methods have been developed and compared to identify mesoscale <sup>214</sup> features (Souza et al. 2011). One of them is based on the **Okubo-Weiss parameter** (*W*) which 215 compares at a given point  $(i, j)$  the intensity of the strain  $(S)$  to the vorticity  $(\omega)$  (i.e. the importance <sup>216</sup> of deformation *versus* rotation in  $days^{-2}$ ):

$$
W_{i,j} = Sn_{i,j}^2 + Ss_{i,j}^2 - \omega_{i,j}^2
$$
 (2)

where  $Sn_{i,j}$  is the normal strain,  $S_{S_{i,j}}$  is the shear strain and  $\omega_{i,j}$  is the relative vorticity. These 218 quantities are evaluated from the derivative of the daily surface geostrophic velocity fields  $(u, v)$ <sup>219</sup> derived from altimetry:

$$
Sn_{i,j} = \frac{\partial u}{\partial x_{i,j}} - \frac{\partial v}{\partial y_{i,j}}
$$

$$
S_{i,j} = \frac{\partial u}{\partial y_{i,j}} + \frac{\partial v}{\partial x_{i,j}}
$$

$$
\omega_{i,j} = \frac{\partial v}{\partial x_{i,j}} - \frac{\partial u}{\partial y_{i,j}}
$$

 $_{220}$  Negative values of W identify regions of recirculation that can be associated with eddy cores,  $_{221}$  while positive W locate prevailing stretching areas (Fig. 3b). Isolines of W can be used to identify <sup>222</sup> Eulerian eddy boundaries. However, since this diagnostic does not consider the temporal variability <sup>223</sup> of the velocity field, it fails at representing the complex filamentous structures associated with eddy <sup>224</sup> stirring. These *fine scale* characteristics of the flow can be more reliably assessed in a Lagrangian <sup>225</sup> framework involving numerical particle trajectories computation.



FIG. 3. (a) Kinetic energy (colorscale, cm.s<sup>-2</sup>) and (b) Okubo-Weiss parameter (colorscale, days<sup>-2</sup>) computed with satellite-derived geostrophic velocities from July 24<sup>th</sup>, 2022 (https://doi.org/10.48670/moi-00148). 226 227

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<sup>230</sup> Particle trajectories are computed off-line using horizontal 2-D velocity fields either stored from <sup>231</sup> altimetry or Eulerian model outputs. Trajectories can be computed in a forward or backward <sub>232</sub> in-time mode. Since water parcels history is traced back in SPASSOv2.0, LAMTA is set up by <sup>233</sup> default in a backward mode.

234 Virtual particles can either be seeded from a list of locations  $(x, y)$  and times (*t*) provided by the 235 user or can be initialized over a regular 2-D horizontal grid with a predefined resolution  $\delta_s$  at a  $236$  certain time *t*. The default seeding is over the entire domain and at the time of observation. A <sup>237</sup> fine spatial seeding resolution (i.e. small  $\delta_s$ ) results in higher horizontal resolution Lagrangian <sup>238</sup> diagnostics but also exponentially increases computing time. A trade-off between resolution and computing time must be considered when choosing  $\delta_s$ , especially for large regions of interest.

 $_{240}$  Particle advection is then performed by (1) interpolating in space and time the gridded velocity  $_{241}$  field to each individual particle position; (2) integrating in time the trajectory. LAMTA's archi-<sup>242</sup> tecture allows users for coding their preferred methods for spatial interpolation and explicit time <sup>243</sup> stepping. In the following we detail the default LAMTA set-up for a typical SPASSOv2.0 use. 244 Each individual particle position  $X(t)$  is updated by time-stepping the velocity at  $X(t) = x$ :

$$
\mathbf{X}(t + \Delta t) = \mathbf{X}(t) + \int_{t}^{t + \Delta t} v(\mathbf{x}(\tau), \tau) d\tau
$$
 (3)

<sup>245</sup> To accurately estimate the particle displacement, a fourth-order Runga-Kutta method is used for <sup>246</sup> the integration of Eq.3: the interpolated velocity field is evaluated every 6 hours. Within grid cells,  $247$  velocities are estimated using a linear 2-D interpolation. Once computed, the resulting trajectories <sup>248</sup> are used to derive several Lagrangian diagnostics such as tracer advection, Lagrangian Coherent 249 Structures and transit times.

#### <sup>250</sup> *Tracers advection:*

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<sup>252</sup> Computed in non-divergent flows, Lagrangian tracer patches conserve mass and volume. Several <sup>253</sup> Lagrangian tools have been developed to map volume transport pathways by attributing small <sup>254</sup> amount of transport, conserved along the trajectories, to each virtual particle (Blanke and Raynaud

<sup>255</sup> 1997; Döös et al. 2017). Similarly, tracers' dispersion can be studied by attributing a portion of a <sup>256</sup> tracer quantity assumed to be conserved for a given period equal to the virtual particle advection  $257$  time.



Fig. 4. (a) Longitude (left) and (b) latitude (right) advection computed from Lagrangian trajectories initiated on May 11, 2018 on a 0.01 degree grid and advected backward in time for 15 days using altimetry derived velocities (Mediterranean product from Copernicus Marine Data Store: https://doi.org/10.48670/moi-00141). (c) SST advected (shaded colormap and plain contours) backward for 3 days from May 11, 2018. The dashed contours show the SST field on May 11, 2018 before advection (SST product from Copernicus Marine Data Store: METOFFICE-GLO-SST-L4-REP-OBS-SST). 258 259 260 261 262 263

<sup>264</sup> Another way to study content advection is to map the difference between the tracer value at <sup>265</sup> the initial and final position of the virtual particle. Tracer/content values are defined from the <sup>266</sup> satellite-derived value identified at the time and location of the particle (Barceló-Llull et al. 267 2021). In SPASSOv2.0 tracer advection maps (SST, SSS or chlorophyll-a) can be computed with <sup>268</sup> this method. A default advection time of 3 days is considered for these non-conservative tracers: <sup>269</sup> Figure 4c shows a map of temperature advection from a satellite derived SST field. Advection maps <sub>270</sub> of longitude or latitude can also help identifying water masses origin. This diagnostic measures  $_{271}$  the difference in longitudes (or latitudes) between each initial and final particle position. A typical <sub>272</sub> value of 12 to 15 days for particle advection is recommended for these diagnostics to assume that <sub>273</sub> water masses conserved the initial characteristics they had at their origin. Figure 4a,b show an <sub>274</sub> example of longitude and latitude advection maps computed south of the Balearic Islands in the <sup>275</sup> Mediterranean Sea. Green patches identify regions where water parcels were located anywhere east <sup>276</sup> of the grid cell 15 days before; whereas red areas indicate a western origin. Similar conclusions can  $277$  be inferred from the latitude advection map in the North-South direction: blue (yellow) patches <sup>278</sup> reveal a northern (southern) origin respectively. Strong gradients of these advections can also

<sub>279</sub> be used to detect frontal areas between potentially different water masses moving in opposite <sup>280</sup> directions.

<sup>281</sup> *Lagrangian Coherent Structure detection:*

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 Coherent structures such as eddies, jets and fronts are ubiquitous features in the ocean that drive the distribution of ocean tracers and impact ocean biogeochemistry (Smith et al. 2016; Mahadevan 2016; Zhang et al. 2019). The Lagrangian Coherent Structures (LCS) theory relies on the detection <sup>286</sup> of distinct coherent regions, separated by clear boundaries, based on Lagrangian trajectory analysis. One empirical diagnostic of LCSs is the Finite Time Lyapunov Exponent (FTLE) which identifies attracting and repelling material structures (Lapeyre 2002). FTLE  $(\lambda)$  computed from a backward in time integration measures the exponential rate of separation between water parcels initially at a close distance from one another, and is defined as (Eq. 4):

$$
\lambda = \frac{1}{2} \frac{\log(\frac{d_f}{d_i})}{T} \tag{4}
$$

291 with  $d_f$  the final particle separation (distance),  $d_i$  the initial particle separation (distance) and T <sup>292</sup> the integration time (i.e. number of days of integration).

<sub>293</sub> The backward FTLE diagnostic identifies attracting LCSs along which water parcels tend to accumulate due to horizontal stirring even if they were originally located at large distance apart. Because of that, the ridges (i.e. local maxima) of Lyapunov exponents, computed from altimetry data, can be used to identify stirring regions where water masses are relatively quickely elongated. Figure 5a shows FTLEs associated with the highly energetic Gulf Stream and related rings: the current and eddy boundaries intensely stir the water masses, as revealed by the FTLEs filaments. <sub>299</sub> These features can thus be used to indentify frontal regions acting like barriers to the flow. On the contrary, regions of low or null FTLEs, highlighted in black on Figure 5a emphasize zones with low <sup>301</sup> stirring effect. Several studies have already shown the correlation between the detected structures <sup>302</sup> from Lyapunov exponents and the distribution of tracer gradients (Lehahn et al. 2007; d'Ovidio et al. 2009; Rousselet et al. 2018), or the location of biological niches (d'Ovidio et al. 2010; <sub>304</sub> De Monte et al. 2012; Della Penna et al. 2017). These previous studies computed the so-called Finite Size Lyapunov Exponent (FSLE): the lyapunov exponent is calculated only for particle pairs

306 when they reach a  $d_f$  equal or greater than a predefined distance (or size, as its name suggests). The <sup>307</sup> FSLE computation can take an infinite time if we wait for all particles to reach the final distance. <sup>308</sup> The FTLE theory instead computes Lyapunov exponents for all particles advected for a finite time, <sup>309</sup> regardless of their final separation. In this version of LAMTA, we chose to compute FTLE rather 310 than FSLE because the FSLE concept particle integration needs to be bounded in time anyway.



Fig. 5. (a) Finite-Time Lyapunov exponents (colorscale in days−<sup>1</sup> ) (FTLE). (b) Retention parameter (colorscale, days) computed from 30 days backward trajectories initiated on a 0.01 degree grid on July  $22^{nd}$ , 2022 using altimetry derived velocities (https://doi.org/10.48670/moi-00148). 311 312 313

<sup>314</sup> Other significant coherent structures commonly tracked are oceanic mesoscale eddies, due their 315 ability to trap and transport water masses and their biotic and abiotic contents. If the Lyapunov 316 exponents can detect enclosed filaments associated with some eddy cores, they fail at estimating the 317 trapping nature of eddies. One crucial information to understand some of the biological dynamics <sup>318</sup> related to ocean eddies is in fact the timescale of eddy trapping. d'Ovidio et al. (2013) have 319 defined the Retention Parameter (RP) that evaluates the time a water parcel recirculates within <sup>320</sup> an eddy. Unlike traditional eddy tracking algorithm usually based on the detection of sea level 321 anomaly, vorticity or streamlines closed contour (Haller 2015; Le Vu et al. 2018; Laxenaire et al.  $322$  2018), the RP calculation is an hybrid Eulerian-Lagrangian method based on the evaluation of the 323 OW parameter along backward Lagrangian trajectories. As long as the OW parameter remains <sup>324</sup> successively negative, the particle is considered trapped in an eddy core. Once the OW parameter <sub>325</sub> changes sign (i.e. becomes positive), the particle is treated as out of the eddy. Therefore the RP is

- <sup>326</sup> defined as the time of persistence of a negative OW value. Compared to the Eulerian OW parameter
- $327$  diagnostic, the RP identifies only structures able to trap water in their core (Fig. 3c).

#### <sup>332</sup> *Lythogenic water masses age and transit:*

Nutrient enrichment of water masses by contact with a topographic feature such as coasts, shelf



Fig. 6. Water parcels lythogenic age (colorscale in days) since they were in contact with isobath  $z = -200$  m marked by magenta contours. Lagrangian trajectories were initiated on a 0.01 degree grid resolution and computed from 30 days backward advection starting on March  $8<sup>th</sup>$ , 2015 using using altimetry derived velocities (https://doi.org/10.48670/moi-00148). 328 329 330 331

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<sup>334</sup> breaks, sea mounts or hydrothermal vents is a crucial physical process leading to biological <sup>335</sup> enhancement. A Lagrangian approach developed by d'Ovidio et al. (2015) considers the time <sup>336</sup> since the last contact with an isobath ('water age') chosen according to the topographic element 337 depth. Technically, 2-D backward-in-time trajectories are checked for crossing any area where ocean <sub>338</sub> depths are shallower than the selected isobath anytime during the time integration. If a trajectory <sup>339</sup> never reaches a region above the chosen isobath then it is discarded from the water age calculation. <sup>340</sup> The time taken by the particle to reach the isobath is assigned to the initial particle grid cell. This <sup>341</sup> means the water parcel located in this area was in contact with the topographic feature, defined 342 by the isobath threshold, X days ago. Theoretically this lythogenic water age diagnostic allows <sup>343</sup> for the identification of water patches potentially nutrient-enriched by contact with coastal or deep <sup>344</sup> sediments. Figure 6 shows an example of a water patch previously in contact with the Tonga

 $_{345}$  islands in the southwest Pacific: the waters located at 172°W and 18°S were near the Tonga island <sup>346</sup> coasts 20 days prior 8 March 2015. This diagnostic has been previously matched with the surface 347 distribution of satellite-derived Chl patches and surface drifters (d'Ovidio et al. 2015; Sanial et al. <sup>348</sup> 2014, 2015; Sergi et al. 2020; Chabert et al. 2021).

#### <sup>349</sup> **3. Biophysical applications**

<sup>350</sup> In its early stages, SPASSO was used to specifically track *fine scale* processes and features such 351 as the evolution of the dynamics associated with persistent mesoscale eddies (LATEX cruises, <sup>352</sup> Petrenko et al. 2017), or to monitor the impact of horizontal advection in regulating the salinity <sup>353</sup> maximum within the subtropical gyre (STRASSE cruise, Reverdin et al. 2015). However it rapidly <sup>354</sup> proved to be quite useful for biophysical applications of growing interests lately. This section <sup>355</sup> reviews how SPASSO can help design sampling strategies to elucidate biophysical processes at <sup>356</sup> *fine scales*. We selected the following examples as they span a large variety of oceans and seas <sup>357</sup> (Atlantic, Pacific, Southern Ocean and Mediterranean Sea) as well as physically and biologically <sup>358</sup> contrasted environments: productive boundary current, open ocean oligotrophic areas or High-359 Nutrient, Low-Chlorophyll (HNLC) region.

#### <sup>360</sup> *a. Natural ocean fertilization effect on phytoplankton blooms*

<sup>361</sup> Phytoplankton blooms are episodic and rapid population increase events often driven by an unusual supply of limiting nutrients. In the case of seasonal blooms, the simultaneous availability of light energy along with nutrient resources (or a rapid decrease in grazing pressure) is also required <sup>364</sup> for photosynthesis and phytoplankton growth. Submesoscale horizontal and vertical transport is one among the physical processes (restratification, solar forcing, surface heating) participating in enhancing phytoplankton blooms whether they occur in coastal, oligotrophic or HNLC regions (Mahadevan 2016; Lévy et al. 2018).

<sup>374</sup> The main goal of the KEOPS-2 campaign was to study the potential influence of natural iron <sup>375</sup> fertilization on phytoplankton blooms off the Crozet Plateau around the Kerguelen islands in the 376 Southern Ocean (Queguiner 2011). SPASSO outputs were perfectly suited to identify such a bloom <sup>377</sup> from space and plan *in situ* sampling. SPASSO maps of surface Chl were used to locate the bloom 378 and displayed a surface patch expanding from the Crozet Islands (∼46°S-52°E) further north until



Fig. 7. Reproducing Sanial et al. (2014) results: Water ages (colorscale, days) since lying above the 2000 m isobath (isobath limit is shown by the limit of initial age  $= 0$  days). The Lagrangian trajectories are advected from October 14th, 2011 for a maximum of 80 days using the satellite-derived velocities (https://doi.org/10.48670/moi-00148). The magenta line marks the SAF front identified by the maximum SST gradient (Fig. 2). The green line contours satellite chlorophyll-a concentration above 0.25 mg.m<sup>-3</sup>. Colored dots (same color scale, days) show the apparent radium ages of surface waters derived from  $^{224}Ra^{228}Ra$  ratios (more details in Sanial et al. (2014)). 

379 restricted by the SubAntarctic Front (SAF, Fig. 2c). The bloom extension and SAF position are 380 also shown by the green and magenta lines respectively on Figure 7. The water mass ages were 381 also estimated from the 2000 m isobath to mimic the potential deep-ocean iron fertilization. The water mass age patch distribution matches the distribution of significantly high values of surface Chl (Fig. 2c and green contour on Fig. 7). SPASSO output analysis thus suggests that the seasonal phytoplankton bloom has been triggered by a deep-water iron enrichment: the relatively good overlay between both independent estimates of Chl-a concentration and Lagrangian lythogenic water mass age indicates a potential influence of physical processes in driving and shaping the bloom. In order to validate the lythogenic water mass age diagnostic, Sanial et al. (2014) estimated the age of surface waters from radium isotopic ratios. The colored dots on Figure 7 show an increase in water age with the distance from the Crozet Islands. However the farthest station (B) is characterized by relatively younger waters (∼8 days old) indicating a more recent interaction with the shelf sediments than stations (C,D) located closer to the islands. This pattern is probably due to

<sup>392</sup> a small-scale circulation stirring a tongue of young waters in contact with the northern boundary <sup>393</sup> of the Plateau further east. This tongue is actually visible on the lythogenic water age at around 394 45°S-51°E but does not extend east enough probably because of the poor accuracy (at that time) 395 of altimetry-derived geostrophic currents near the coast.



Fig. 8. Satellite-derived surface chlorophyll-a concentration (colorscale in mg m−3) on March 8th, 2015 extracted from de Verneil et al. (2017) and Rousselet et al. (2018) results. Contours of "enriched" water patches derived from water age diagnostic (Fig 6) are shown in red. 396 397 398

399 Another phytoplankton bloom has been sampled in the ultra-oligotrophic South Pacific ocean <sup>400</sup> during the OUTPACE cruise (Moutin et al. 2017). The main objectives of OUTPACE were to char- acterize the distribution of biogeochemical elements and biological diversity along an oligotrophic gradient (i.e. depleted nutrient environments). Halfway through the cruise, SPASSO images re- vealed a spectacular unusual phytoplankton bloom marked by relatively high Chl concentration in the vicinity of Tonga Islands (Fig. 8). *In situ* high-resolution biological sampling confirmed the location of the bloom (Rousselet et al. 2018) as shown by the *Synechococcus* abundances on Figure 8. The patch of lythogenic water ages (red contours) initiated at 200 m (i.e. around the Tonga Islands) matched the surface distribution of satellite-derived Chl suggesting the bloom could be induced by a near-surface coastal nutrient enrichment. This hypothesis have then been confirmed by further Lagrangian analysis and the mechanism in place has been defined as a delayed island  mass effect (IME) where mesoscale circulation create a hotspot of productivity by transporting nutrient enriched waters (de Verneil et al. 2017; Messié et al. 2020).

#### *b. Eddy influence on primary production*

 Gulf Stream rings are certainly the most studied eddies in ocean research history since the pioneer observations in the 1970's (Richardson et al. 1978). Although several studies already fully describe the formation, evolution and biological implications of warm-core and cold-core Gulf Stream rings, a subtancial knowledge can be derived by sampling a Gulf Stream eddy with <sup>417</sup> high frequency multiplatform sampling technologies and methods. The FIGURE-CARING cruise (Benavides and Carracedo 2022) was guided by SPASSO to a large mesoscale eddy with a non- negligible Chl signature, while heading towards the North Atlantic to cross-sample the Gulf Stream (Fig. 9).



FIG. 9. Satellite-derived chl concentration (color scale, mg.m<sup>-3</sup>) for 24 July 2022 (product from Copernicus Marine Data Store: cmems\_obs-oc\_glo\_bgc-plankton\_myint\_l4-gapfree-multi-4km\_P1D). Contours of retention parameter (25 days) in red, Okubo-Weiss parameter (-1 days−<sup>2</sup> ) in dashed green and kinetic energy (7000 cm.s−<sup>2</sup> ) in magenta. Arrows show surface currents from ADCP (first level at 27 m). Colored dots show surface chl concentration (color scale, mg.m−<sup>3</sup> ) from underway sea water system every 3 minutes for visualization purposes. 

<sup>427</sup> A high resolution biophysical cross-eddy sampling was planned based on SPASSO diagnostics information. The core and eddy center were accurately determined using several Eulerian and Lagrangian-based diagnostics (KE, OW and FSLE ) as revealed by the surface current measured by the hull-mounted vessel ADCP (Fig. 9). High-frequency sampling (every 5 seconds) of <sup>431</sup> the surface Chl concentration displays a similar gradient of surface Chl as the one detected by 432 satellite. High-resolution measurements of  $N_2$  fixation rates conducted across the eddy revealed substantially higher eddy-induced rates, associated with consistent detection of Trichodesmium cells, than previously reported in the western North Atlantic (Hoerstmann et al. 2023). Overall, these feature-oriented observations demonstrate that eddies contribute significantly to diazotrophy and thus to reactive nitrogen input in the western North Atlantic.

#### *c. Fronts and biological niches*

<sup>438</sup> The few observations focusing on frontogenesis influence over phytoplankton diversity usu-<sup>439</sup> ally took place in persistent and intense fronts generated by energetic boundary currents or coastal upwellings (Ribalet et al. 2010; Clayton et al. 2017). However, most of the global ocean is characterized by weakly energetic and short-lived features. One of the main objectives of the PROTEVSMED-SWOT cruise was to observe (sub)mesoscale circulation and associated ageostrophic dynamics in the moderately energetic open Mediterranean Sea (Dumas 2018). Based on SPASSO analysis, simultaneous physical and biological high-frequency measurements were performed, south of the Balearic Islands, in a potential frontal area marked by a surface Chl gradi- ent (Tzortzis et al. 2021). The analysis of FTLE distribution and water mass origins also suggested <sup>447</sup> the presence of a front separating biogeochemically contrasted areas.

 Figure 10 shows a global water mass origin map produced during post-processing by combining both longitude and latitude advection maps from Figure 4. Each color map refers to a relative orientation on a compass rose (SouthWest, NorthWest, SouthEast and NorthEast) and follows the wind direction formalism (i.e. coming from). Figure 10 thus highlights that most of the waters 458 in the 38.5°N-39°N band are globally coming from the west whereas waters in the  $38.5°N-38°N$  travel from East to West. This contrast in water mass origins is confirmed by the hydrological characteristics measured at high-frequency with a CTD mounted on a towed vehicle (see Tzortzis et al. (2021) for more details). For example the salinity dramatically changes at the boundary of the



Fig. 10. Water parcels origin computed from longitude and latitude advections shown in Figure 4. The colorscale indicate geographical origin direction from the grid cell position (SW: SouthWest; NW: NorthWest; SE: SouthEast; NE: NorthEast). Black arrows show the current velocities measured by a vessel-mounted ADCP on May 11th, 2018 at 25 m depth. Near-surface absolute salinity measured with a SeaSoar vehicle along the cross-front transect is also shown with the green to pink colorscale and the Synechococus concentration with the blue to green colorscale (more details about *in situ* data acquisition and treatment in Tzortzis et al. (2021)). 

 two different water masses: northern saltier waters travel east whereas southern cooler waters flow west. The Lagrangian-based water mass origins and directions are coherent with surface currents estimated from the hull-mounted ADCP. A FTLE-derived front also matches with the change in direction from surface currents. The distribution of phytoplankton abundances (*Synechococcus* on Figure 10) shows an across front contrast in phytoplankton diversity: some groups are dominant on one or the other side of the front thus creating biological niches. SPASSO analysis allowed for sampling a contrasted front whose 3D fine-scale biophysical description and history was completed by post-cruise investigations (see Tzortzis et al. 2021, 2023).

#### **4. Conclusions**

<sup>471</sup> In this paper, we have introduced the new user-friendly Python version of the SPASSO software <sup>472</sup> and detailed the Eulerian and Lagrangian diagnostics available for near-real time ocean analysis. <sup>473</sup> We have also documented potential biophysical applications and assess the current code accuracy 474 based on previous scientific experiments that were conducted using previous less well-developped SPASSO version. This represent a benchmark for future usages especially in the context of the new altimetric SWOT mission and field experiments conducted in the framework of the SWOT-AdAC

477 consortium (https://www.swot-adac.org). Future evaluation of SPASSO-based strategies will arise from the analysis of the *in situ* SWOT-AdAC sampling experiments that will provide a large 479 overview of the *fine scales* in diverse oceans and regions (coastal vs. open ocean, eutrophic vs. oligotrophic, highly vs. weakly energetic). Additionally, future versions of SPASSO will benefit from the new high-resolution SWOT products, when available, enabling for the first time very *fine scale* features detection.

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 *Code availability.* The code for SPASSO is licensed under the MIT licence and version 2.0 is available through GitHub at https://github.com/OceanCruises/SPASSO/.

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