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## Seasonal variation of photosynthetic model parameters and leaf area index from global Fluxnet eddy covariance data

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[1] Global vegetation models require the photosynthetic parameters, maximum carboxylation capacity ( $V_{cm}$ ), and quantum yield ( $\alpha$ ) to parameterize their plant functional types (PFTs). The purpose of this work is to determine how much the scaling of the parameters from leaf to ecosystem level through a seasonally varying leaf area index ( $LAI$ ) explains the parameter variation within and between PFTs. Using Fluxnet data, we simulate a seasonally variable  $LAI_F$  for a large range of sites, comparable to the  $LAI_M$  derived from MODIS. There are discrepancies when  $LAI_F$  reach zero levels and  $LAI_M$  still provides a small positive value. We find that temperature is the most common constraint for  $LAI_F$  in 55% of the simulations, while global radiation and vapor pressure deficit are the key constraints for 18% and 27% of the simulations, respectively, while large differences in this forcing still exist when looking at specific PFTs. Despite these differences, the annual photosynthesis simulations are comparable when using  $LAI_F$  or  $LAI_M$  ( $r^2 = 0.89$ ). We investigated further the seasonal variation of ecosystem-scale parameters derived with  $LAI_F$ .  $V_{cm}$  has the largest seasonal variation. This holds for all vegetation types and climates. The parameter  $\alpha$  is less variable. By including ecosystem-scale parameter seasonality we can explain a considerable part of the ecosystem-scale parameter variation between PFTs. The remaining unexplained leaf-scale PFT variation still needs further work, including elucidating the precise role of leaf and soil level nitrogen.

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### 1. Introduction

[2] Global land surface schemes represent ecosystem characteristics by model parameters and state variables [e.g.,

*Sellers et al.*, 1997; *Foley et al.*, 1998; *Bonan et al.*, 2002; *Sitch et al.*, 2003; *Krinner et al.*, 2005]. A key issue for modelers is how to balance the detail required for process-

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**Table 1.** List of Most Important Parameters Derived for All Sites in Appendix A<sup>a</sup>

| Parameter      | Scale               | Data    | Definition  |
|----------------|---------------------|---------|---|
| $V_{cm,F}$     | Ecosystem, seasonal | Fluxnet | Ecosystem carboxylation capacity ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) |
| $v_{cm,25F}$   | Leaf, constant      | Fluxnet | Leaf carboxylation capacity ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )      |
| $V_{cm,M}$     | Ecosystem, seasonal | MODIS   | Ecosystem carboxylation capacity ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) |
| $v_{cm,25M}$   | Leaf, constant      | MODIS   | Leaf carboxylation capacity ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )      |
| $V_{cm,B}$     | Ecosystem, seasonal | Fluxnet | Bulk carboxylation capacity ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )      |
| $\alpha_{e,F}$ | Ecosystem, seasonal | Fluxnet | Ecosystem quantum yield ( $\text{mol mol}^{-1}$ )                         |
| $\alpha_F$     | Leaf, constant      | Fluxnet | Leaf quantum yield ( $\text{mol mol}^{-1}$ )                              |
| $\alpha_{e,M}$ | Ecosystem, seasonal | MODIS   | Ecosystem quantum yield ( $\text{mol mol}^{-1}$ )                         |
| $\alpha_M$     | Leaf, constant      | MODIS   | Leaf quantum yield ( $\text{mol mol}^{-1}$ )                              |
| $\alpha_{e,B}$ | Ecosystem, seasonal | Fluxnet | Bulk quantum yield ( $\text{mol mol}^{-1}$ )                              |

<sup>a</sup>Parameters are derived with Fluxnet or MODIS data and kept constant over time or are seasonally variable.

oriented simulations against the need for generality and the availability of parameters at large spatial and temporal scales. Leaf and canopy processes are well-known, but the level of understanding at the global scale is still inadequate. The pragmatic solution is to apply small-scale knowledge at the larger spatial and temporal scales [Jarvis, 1995].

[3] The process of photosynthesis is central to any land surface scheme that aims to model the global carbon balance. For example, the photosynthesis model of Farquhar *et al.* [1980] is used in many global models [e.g., Sellers *et al.*, 1997; Knorr, 2000; Arora, 2002; Sitch *et al.*, 2003; Krinner *et al.*, 2005]. Yet, although this model was developed for individual leaves at a temporal scale of several hours, it is applied at larger spatial scales by using leaf area index (*LAI*) to upscale the leaf-scale maximum carboxylation capacity ( $v_{cm,25}$ ) and quantum yield ( $\alpha$ ) or the leaf-scale photosynthesis flux. Upscaling assumes a particular radiation distribution within a canopy, in big leaf [Sellers *et al.*, 1992], multilayer [Baldocchi and Harley, 1995], sun/shade [de Pury and Farquhar, 1997], and three-dimensional models [Dauzat *et al.*, 2001]. This is combined with assumptions about the distribution of leaf nitrogen and photosynthetically active radiation (*PAR*) over the canopy profile [Reich *et al.*, 1997].

[4] Photosynthetic parameters are normally estimated at the leaf scale but can be determined at the ecosystem scale through the inverse application of ecosystem models using eddy-covariance (EC) flux observations. At the leaf scale there is evidence that parameters are seasonally variable and change with leaf age, temperature, water availability, and nitrogen content [e.g. Wilson *et al.*, 2001; Medlyn *et al.*, 2002; Xu and Baldocchi, 2003; Mäkelä *et al.*, 2004; Misson *et al.*, 2006; Kolari *et al.*, 2007; Misson *et al.*, 2010]. At the ecosystem-scale, seasonal variability of  $V_{cm}$  and  $\alpha_e$  (Table 1) derived from EC observations has been observed for a range of sites [Reichstein *et al.*, 2003a; Wang *et al.*, 2003; Owen *et al.*, 2007; Wang *et al.*, 2007; Mo *et al.*, 2008; Thum *et al.*, 2008], but between-site differences could be related to the mean summer *LAI* [Lindroth *et al.*, 2008].

[5] Photosynthetic parameters in global models are usually defined by plant functional types (PFTs) [Box, 1996; Bonan *et al.*, 2002; Sitch *et al.*, 2003; Krinner *et al.*, 2005]. The variation of leaf-scale  $v_{cm,25}$  between and within PFTs is derived by Kattge *et al.* [2009] and related to leaf nitrogen content in natural vegetation. This relationship varies by vegetation type, but the relationship with nitrogen-use

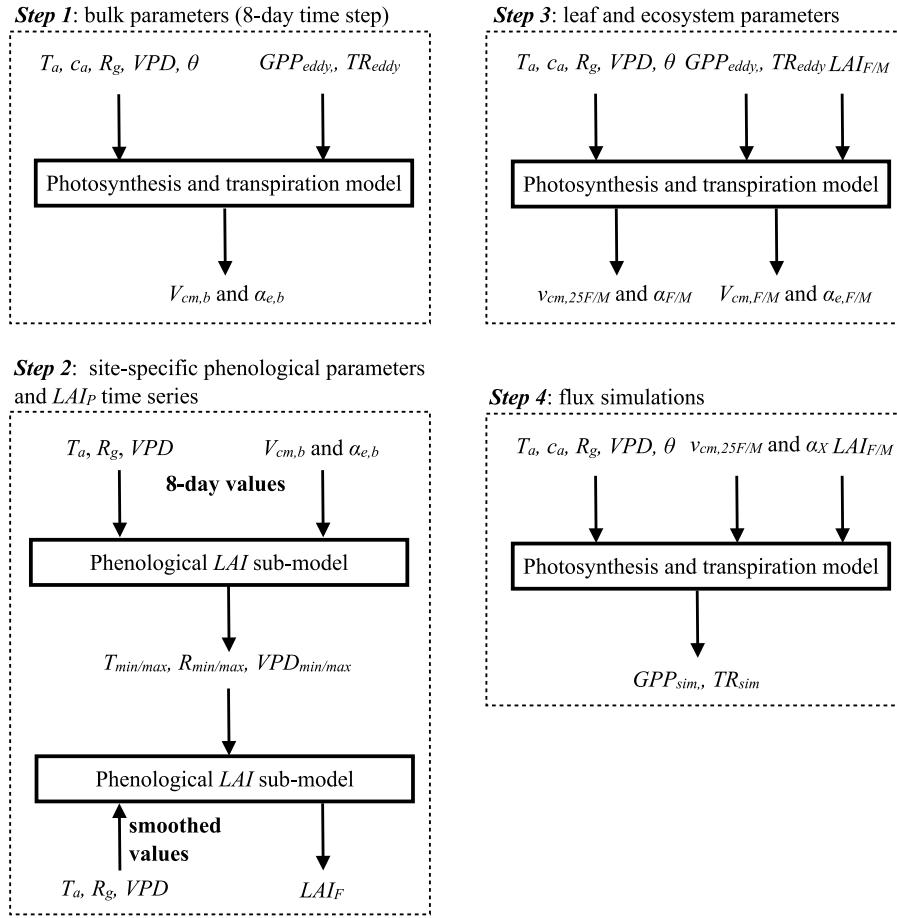
efficiency is independent of vegetation type. Williams *et al.* [2009] state that the Fluxnet data could be used to challenge and enrich the PFT approach at the ecosystem scale. A comparison of annual photosynthetic model parameters derived from 101 sites in the global Fluxnet data indicated that the ecosystem parameters are more variable than assumed within the PFTs and that a PFT-based classification does not reflect the reality of short-term photosynthesis and transpiration flux variation [Groenendijk *et al.*, 2011]. Furthermore, Alton [2011] reported that model parameters overlap between PFTs and that modeled carbon fluxes are especially sensitive to the classification of model parameters. These three examples raise issues regarding the classification and distribution of model parameters. This study aims to answer the question: what is the influence of seasonal variability on the ecosystem parameter variation within a PFT? Our hypothesis is that meteorological data can be used to constrain seasonal ecosystem-scale parameter variation.

[6] The overall objective of this study is thus to improve the understanding of the temporal and spatial variation of the photosynthetic model parameters, with an emphasis on their relationship with *LAI* and meteorological variables. The study aims to expand upon previous work [Groenendijk *et al.*, 2011] by further refining photosynthetic parameters derived from tower flux observations. Specific objectives are: (1) determine if *LAI* scaling of the parameters results in a better understanding of the parameter variation within and between PFTs; (2) quantify sensitivity of photosynthetic parameters to *LAI* variations; (3) determine if the Fluxnet EC and meteorological data can be used to derive a seasonal *LAI*; and (4) if this is comparable to *LAI* derived from MODIS, which can be used over larger areas.

## 2. Methods

### 2.1. Overview

[7] We use a big leaf model that can be applied at all Fluxnet sites without additional site-specific information on canopy architecture. Ecosystem-scale parameters ( $V_{cm}$  and  $\alpha_e$ ) are derived from an integrated light exponential profile, leaf-scale parameters ( $v_{cm,25}$  and  $\alpha$ ) and *LAI* [Field, 1983; Sellers *et al.*, 1992]. Parameter definitions are presented in Table 1. The leaf-scale model parameters  $v_{cm,25}$  and  $\alpha$  are assumed constant in time and scaled with *LAI* to obtain seasonally variable ecosystem-scale parameters  $V_{cm}$  and  $\alpha_e$ . This assumption separates spatial and temporal parameter



**Figure 1.** Data flow diagram used to derive leaf-scale ( $v_{cm,25F/M}$  and  $\alpha_{F/M}$ ) and ecosystem-scale parameters ( $V_{cm,F/M}$  and  $\alpha_{e,F/M}$ ) from observed meteorological data ( $T_a$ ,  $C_a$ ,  $R_g$ ,  $VPD$ ,  $\theta$ ) and flux data ( $GPP_{eddy}$ ,  $TR_{eddy}$ ). In steps 3 and 4 either  $LAI_F$  (Fluxnet) or  $LAI_M$  (MODIS) is used.

contributions to the overall variation. To account for seasonal changes in  $LAI$  and meteorology, a phenological submodel [Jolly *et al.*, 2005; Stöckli *et al.*, 2008] is used as an alternative to MODIS retrievals of  $LAI$  [Distributed Active Archive Center (DAAC), 2009]. This modeling strategy is chosen to produce insights in the climatic constraints on  $LAI$  and the influence of  $LAI$  on the variation of ecosystem-scale physiological parameters. In addition, it allows examination of the potential for simulating  $LAI$  using only meteorological tower observations that are measured at the same spatial scale as the eddy covariance fluxes. The range of parameters (Table 1) provides flexibility identifying relationships at different scales.

[8] We take a four step approach to using global Fluxnet and MODIS observations to quantify the influence of seasonal variation of photosynthetic model parameters on the parameter variation between sites and PFTs. Seasonal  $LAI$  is derived from Fluxnet observations (section 2.2) with the models described in sections 2.3 and 2.4. In this second step, seasonally variable bulk parameters  $V_{cm,B}$  and  $\alpha_{e,B}$  are used from the first step, where  $LAI$  scaling is implicitly included. This allows us to derive a seasonal signal from the parameters. Third,  $LAI$  derived from both the Fluxnet data ( $LAI_F$ ) and MODIS data ( $LAI_M$ ) is used to obtain two sets of leaf-scale photosynthetic parameters ( $v_{cm,25F}$ ,  $\alpha_F$  and

$v_{cm,25M}$ ,  $\alpha_M$ ). Finally, in the fourth step, the leaf-scale parameters and  $LAI_F$  or  $LAI_M$  are used to simulate the photosynthesis and transpiration fluxes.

## 2.2. Observations

[9] The Fluxnet database contains ecosystem fluxes of carbon, water, and energy measured with the eddy-covariance technique [Aubinet *et al.*, 2000]. All data are processed in a harmonized manner following Baldocchi *et al.* [2001], Papale and Valentini [2003], Reichstein *et al.* [2005], Papale *et al.* [2006], Moffat *et al.* [2007], and Baldocchi [2008]. The following variables are required to apply the photosynthesis and transpiration model and derive the photosynthetic parameters (Figure 1): net ecosystem exchange ( $NEE$ ), latent heat flux ( $LE$ ), air temperature ( $T_a$ ), global radiation ( $R_g$ ), vapor pressure deficit ( $VPD$ ), soil water content ( $\theta$ ), and maximum leaf area index ( $LAI_{max}$ ). Here  $\theta$  is observed in the topsoil at an average depth of 5–15 cm. These point observations are not representative for the full tower footprint, but the temporal dynamics of wetting and drying are. We have excluded sites with data gaps of more than 50% during the growing season, missing input variables, or having less than 2 years of data. On the basis of these criteria the sites in Appendix A were selected from the Fluxnet database (www.fluxdata.org) of April 2008.

[10] Within the Fluxnet database, the observed  $NEE$  is partitioned into gross primary production ( $GPP_{eddy}$ ) and ecosystem respiration ( $R_e$ ).  $R_e$  is determined from the temperature dependence of nighttime ecosystem fluxes using the methodology of *Reichstein et al.* [2005] and subtracted from  $NEE$  to estimate  $GPP_{eddy}$ .  $GPP_{eddy}$  is compared with simulated photosynthesis ( $GPP_{sim}$ , see next section), but because  $GPP_{eddy}$  is derived from observed  $NEE$  and simulated  $R_e$  there are uncertainties associated with this method that may affect model results [*Lasslop et al.*, 2008; *Vickers et al.*, 2009; *Lasslop et al.*, 2010]. Simulated latent heat fluxes are compared with observations to estimate model parameters, but the observed flux is the sum of transpiration and soil evaporation. We assume that during periods with no precipitation total evaporation equals transpiration ( $TR_{obs}$ ), which includes both the overstorey and understorey. These periods were selected by excluding data for days with precipitation and 3 days thereafter. All models (see Figure 1) are optimized with non-gap-filled observed data only.

[11]  $LAI_M$  is derived from the MODIS database [*DAAC*, 2009] for a  $7 \times 7$  km area centered on each site. The database contains 8-day composite values of  $LAI_M$  with no clouds and no presence of snow and ice ( $1 \times 1$  km resolution). The average of observations over the  $7 \times 7$  km areas is calculated, and the 8-day composites are linearly interpolated and smoothed with a moving average of 24 days to determine half-hourly values.

### 2.3. Photosynthesis and Transpiration Model

[12] The model used in this study is based on the equations of *Cowan* [1977], *Farquhar et al.* [1980], and *Arneeth et al.* [2002] and is fully described in the appendix of *Groenendijk et al.* [2011]. Photosynthesis ( $GPP_{sim}$ ) is given as the minimum of carboxylation ( $W_c$ ) and Ribulose-1,5-bisphosphate (RuBP) regeneration ( $W_j$ ) minus dark respiration ( $R_d$ ).

$$GPP_{sim} = \beta \left[ \left( 1 - \Gamma^* / C_i \right) \min\{W_c, W_j\} - R_d \right] \quad (1)$$

where  $\beta$  is a factor to reduce photosynthesis during dry periods,  $\Gamma^*$  is the compensation point for  $CO_2$  in the absence of dark respiration (ppm), and  $C_i$  the mole fraction of  $CO_2$  (ppm) and  $R_d = 0.07V_{cm}$ .  $W_c$  is a function of the parameter  $V_{cm}$ , and  $W_j$  is a function of the parameters  $J_m$  and  $\alpha$ :

$$W_c = \frac{V_{cm}C_i}{C_i + k'} \quad (2)$$

$$W_j = \frac{JC_i}{4(C_i + 2\Gamma^*)} \quad (3)$$

$$k' = K_c(1 + O/K_o) \quad (4)$$

$$\Gamma^* = 0.5 \frac{V_{om}}{V_{cm}} \frac{K_c}{K_o O} \quad (5)$$

$$J = \frac{\alpha I_{PAR} J_m}{\alpha I_{PAR} + 2.1 J_m} \quad (6)$$

where  $I_{PAR}$  is the absorbed photosynthetically active radiation ( $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ ),  $J$  is the electron yield,  $V_{cm}$  is the rate of carboxylation mediated by the enzyme Rubisco ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $V_{om}$  is the rate of oxygenation of Rubisco ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $J_m$  is the maximum potential electron transport rate ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $\alpha$  is the quantum yield ( $\text{mol mol}^{-1}$ ),  $K_c$  is the kinetic coefficient for  $CO_2$  (bar),  $K_o$  is the kinetic coefficient for  $O_2$  (bar), and  $O$  is the partial pressure for  $O_2$  (bar). The ratio  $V_{om}/V_{cm}$  is assumed to be a constant value of 0.21. The quantum yield is an adjustable parameter and contains a constant intrinsic quantum yield and a  $PAR$  absorption parameter, which is variable as a result of the optical characteristics of leaves, branches, and canopies. This model is developed for  $C_3$  vegetation and therefore can introduce uncertainty to model parameters and fluxes derived for sites where a part of the vegetation is  $C_4$ . The number of sites containing  $C_4$  vegetation is very small.

[13] Assuming an infinite boundary layer conductance, transpiration ( $TR_{sim}$ ) is a function of stomatal conductance ( $g_s$ ), which can be calculated from  $GPP_{sim}$ ,  $C_a$  and  $C_i$ :

$$g_s = \frac{GPP_{sim}}{C_a - C_i} \quad (7)$$

$$TR_{sim} = 1.6 D g_s \quad (8)$$

where  $D$  is the molar vapor gradient between leaf intercellular space and ambient air and 1.6 is the ratio of molecular diffusivity of  $H_2O$  to  $CO_2$ . The internal pressure of  $CO_2$  ( $C_i$ ) is determined as described by *Arneeth et al.* [2002], who linked the models of *Cowan* [1977] and *Farquhar et al.* [1980] using the parameter  $\lambda$  (the ratio between  $TR$  and  $GPP$  as a function of  $g_s$  ( $\text{mol mol}^{-1}$ )).

[14] Ecosystem gross primary production ( $GPP_{sim}$ ) and transpiration ( $TR_{sim}$ ) are calculated from half-hourly meteorological data, leaf area index ( $LAI$ ), and model parameters describing the ecosystem characteristics. The main leaf-scale parameters in this model are  $v_{cm,25}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), the rate of carboxylation mediated by the enzyme Rubisco at  $25^\circ\text{C}$  and  $\alpha$  ( $\text{mol mol}^{-1}$ ), the quantum yield. The parameter  $v_{cm,25}$  is converted to  $v_{cm}$  with a short-term temperature response [*Knorr and Kattge*, 2005; *Thum et al.*, 2008].  $j_{m,25}$  is related to  $v_{cm,25}$  by a constant ratio [*Wullschlegel*, 1993; *Leuning*, 2002]. In the work of *Groenendijk et al.* [2011] we derived  $j_{m,25} = 3v_{cm,25}$  for the Fluxnet sites. Thus we introduce an additional constraint to the present model.

[15] The photosynthesis model of *Farquhar et al.* [1980] was originally developed for the leaf scale. To use this model at the ecosystem scale, the parameters or fluxes need to be upscaled. The assumption generally used is that the profile of leaf-nitrogen content per unit of leaf area through the depth of the canopy follows the time-mean profile of radiation intensity [*Sellers et al.*, 1992; *Reich et al.*, 1997; *Arora*, 2002]. Because the leaf photosynthetic properties are proportional to nitrogen content, they also acclimate to the radiation profile, which we used to derive the ecosystem-scale properties by multiplication with the integrated exponential function of  $LAI$  [*Kull and Jarvis*, 1995; *Cox et al.*, 1998; *Wolf et al.*, 2006]. The leaf parameters  $v_{cm}$  and  $\alpha$

are converted to ecosystem parameters  $V_{cm}$  and  $\alpha_e$  by up-scaling with  $LAI$ :

$$P = p \times \frac{1 - e^{-kLAI}}{k} \quad (9)$$

where  $P$  is the ecosystem-scale parameter and  $p$  is the leaf-scale parameter. Here  $k$  represents the extinction coefficient and is set to 0.5 for all sites, although this can vary with canopy structure, including the effects of foliage clumping [Law and Waring, 1994].

## 2.4. Phenological LAI Submodel

[16] The submodel simulates  $LAI_F$  with observed eddy covariance and meteorological data. We assume the measured fluxes represent conditions within the MODIS area of  $7 \times 7$  km. The magnitude and significance of differences in the flux source region and MODIS data are addressed by comparing ecosystem parameters ( $V_{cm}$  and  $\alpha_e$ ) and fluxes ( $GPP$  and  $TR$ ) obtained using the phenological submodel and Fluxnet data with those obtained using MODIS data. The seasonal dynamics of  $LAI_F$  is simulated as a function of the growing season index ( $GSI$ ) and a maximum value ( $LAI_{max}$ ) [Jolly et al., 2005; Stöckli et al., 2008]:

$$LAI_F = LAI_{max} \times GSI \quad (10)$$

where  $LAI_{max}$  is given for each site in the Fluxnet database (Appendix A).  $GSI$  is related to seasonal climatic controls of the phenological processes: the minimum air temperature ( $T_a$ ), global radiation ( $R_g$ ), and vapor pressure deficit ( $VPD$ ). The meteorological parameters  $T_{min}$ ,  $T_{max}$ ,  $R_{min}$ ,  $R_{max}$ ,  $VPD_{min}$ , and  $VPD_{max}$  define how  $GSI$  varies between 0 and 1.

$$GSI = f(T_a) \times f(R_g) \times f(VPD) \quad (11)$$

$$f(T_a) = \frac{T_a - T_{min}}{T_{max} - T_{min}} \quad (12)$$

$$f(R_g) = \frac{R_g - R_{min}}{R_{max} - R_{min}} \quad (13)$$

$$f(VPD) = 1 - \frac{VPD - VPD_{min}}{VPD_{max} - VPD_{min}}. \quad (14)$$

## 2.5. Model Parameter Estimation

[17] The photosynthesis and transpiration model and the phenological  $LAI$  submodel were used to derive parameters and simulate fluxes for all sites in four steps. The differences between parameters are explained here, in Table 1, and in a data flow diagram (Figure 1). The different steps were required because it was not possible to optimize both models together to derive leaf-scale photosynthetic parameters and seasonally variable  $LAI$ . When this was tried, no unique parameter values were determined. This is due to equifinality, i.e., the problem that different sets of parameters may fit the data equally well, making it impossible to distinguish the correct values [Medlyn et al., 2005]. By using  $LAI_{max}$  the maximum values of  $V_{cm}$  and  $\alpha_e$  are constrained, but when

the seasonal variation simulated with the phenological submodel is also included there would be too many parameters to be determined simultaneously. This reduces the equifinality problem, but it still remains in the relation between  $LAI$  and the leaf-scale parameters. Comparable fluxes can be obtained by using a different  $LAI$  from Fluxnet or MODIS, which is caused by slightly different optimized leaf-scale parameters.

[18] To evaluate model performance with independent data the models were optimized (all steps in Figure 1) using all the data from all the years except one. This omitted year was then used in a validation to compare simulated fluxes with observed fluxes, using the model parameters from the other calibration years. This procedure was repeated for each site, resulting in a number of parameters equal to the number of data years available for each site.

[19] Observed daytime photosynthesis ( $GPP_{eddy}$ ) and transpiration ( $TR_{eddy}$ ), the meteorological variables  $T_a$ ,  $R_g$ ,  $VPD$ , and  $\theta$  are used to derive time series of 8-day bulk model parameters  $V_{cm,B}$  and  $\alpha_{e,B}$ . The photosynthesis and transpiration model is optimized for 8-day periods (step 1 in Figure 1). This time step length is chosen to allow a direct comparison with simulations using MODIS  $LAI_M$ . A simplex search algorithm [Lagarias et al., 1998] is used to find the minimum of the summed normalized root mean square error ( $RMSE_n$ ) of half-hourly photosynthesis and transpiration within an 8-day period ( $N$ ). Equal weight is given to both processes:

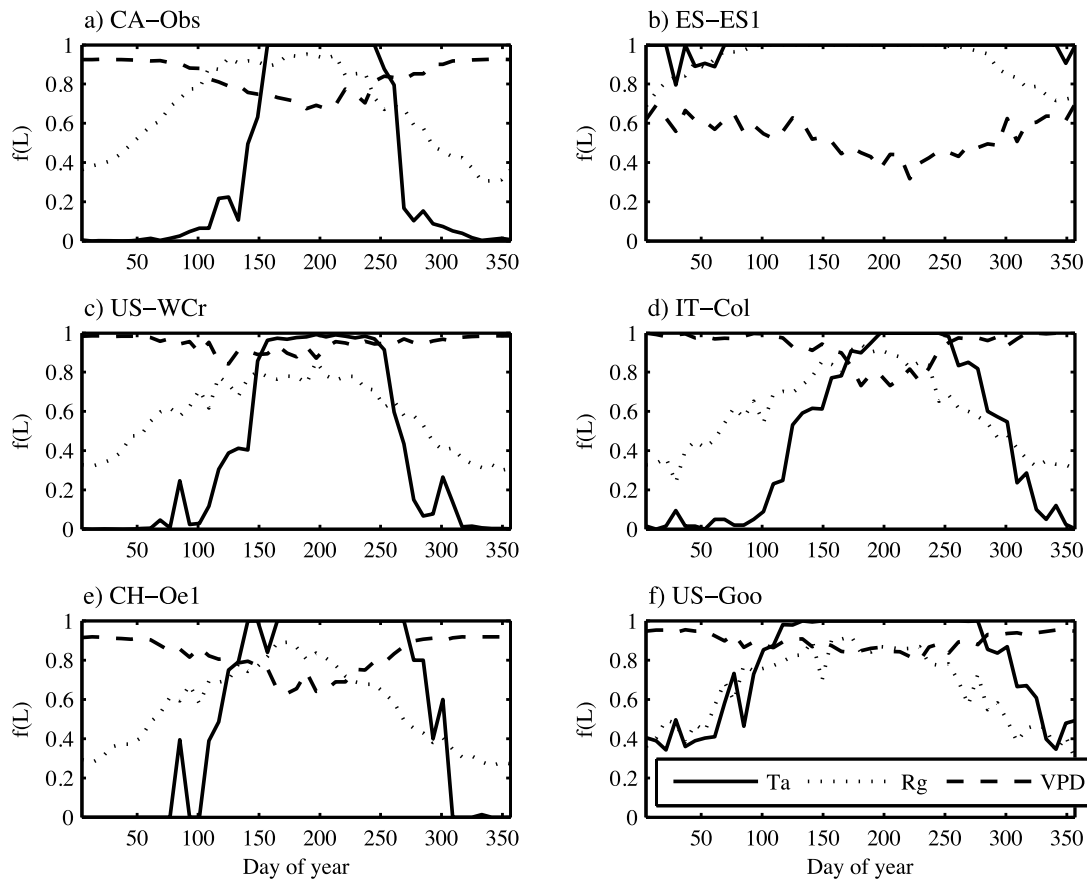
$$RMSE_n = \frac{\sqrt{\left(\sum (GPP_{sim} - GPP_{eddy})^2\right)/N}}{\overline{GPP_{eddy}}} + \frac{\sqrt{\left(\sum (TR_{sim} - TR_{eddy})^2\right)/N}}{\overline{TR_{eddy}}} \quad (15)$$

[20] With the 8-day bulk parameters  $V_{cm,B}$  and  $\alpha_{e,B}$  the phenological submodel is parameterized with average 8-day meteorological variables in step 2. The bulk parameters are normalized between 0 and 1 and simultaneously used to derive the phenological model parameters ( $T_{min}$ ,  $T_{max}$ ,  $R_{min}$ ,  $R_{max}$ ,  $VPD_{min}$ , and  $VPD_{max}$ ). The seasonality of the normalized bulk parameters is assumed to be equal to  $GSI$ . The minimum  $RMSE_n$  is searched for:

$$RMSE_n = \frac{\sqrt{\left(\sum (GSI_{sim} - GSI_{pars})^2\right)/N}}{\overline{GSI_{pars}}} \quad (16)$$

where  $GSI_{sim}$  is simulated growing season index (equation (11)) and  $GSI_{pars}$  normalized bulk parameters. To obtain a smooth time series,  $LAI_F$  is simulated with the phenological parameters and meteorological variables smoothed with a moving average of 21 days. This procedure is similar to the use of a moving average in the original phenology model [Jolly et al., 2005].

[21] In step 3 observed daytime photosynthesis ( $GPP_{eddy}$ ) and transpiration ( $TR_{eddy}$ ) fluxes, meteorological variables, and  $LAI$  are used to derive the leaf-scale parameters ( $v_{cm,25}$  and  $\alpha$ ). As in step 1, a simplex search algorithm is used to minimize the difference between the observed and simulated



**Figure 2.** Examples of the seasonal variation of the limiting factors determining the growing season index ( $GSI_{pars}$ ) for six sites derived from the bulk parameters. The limiting factors  $f(L)$  (dimensionless) are air temperature ( $T_a$ ), global radiation ( $R_g$ ), and vapor pressure deficit ( $VPD$ ). For each site the average seasonality of all simulations is shown.

photosynthesis and transpiration. For this step, we use all years of data from each site. Two sets of parameters are derived, using either  $LAI_M$  (MODIS) or  $LAI_F$  (Fluxnet). For nine sites the derived site-specific parameters were outside the specified realistic range of 0 to 500 for  $v_{cm,25}$  and 0 to 1 for  $\alpha$  (Appendix A). These sites were excluded and all analyses were performed with the remaining 81 Fluxnet sites.

[22] Finally, half-hourly  $GPP_{sim}$  and  $TR_{sim}$  fluxes are simulated in step 4 with the previously estimated parameters and  $LAI$ . Two sets of fluxes are simulated, using MODIS  $LAI_M$ , or Fluxnet  $LAI_F$  derived from tower meteorological and flux data.

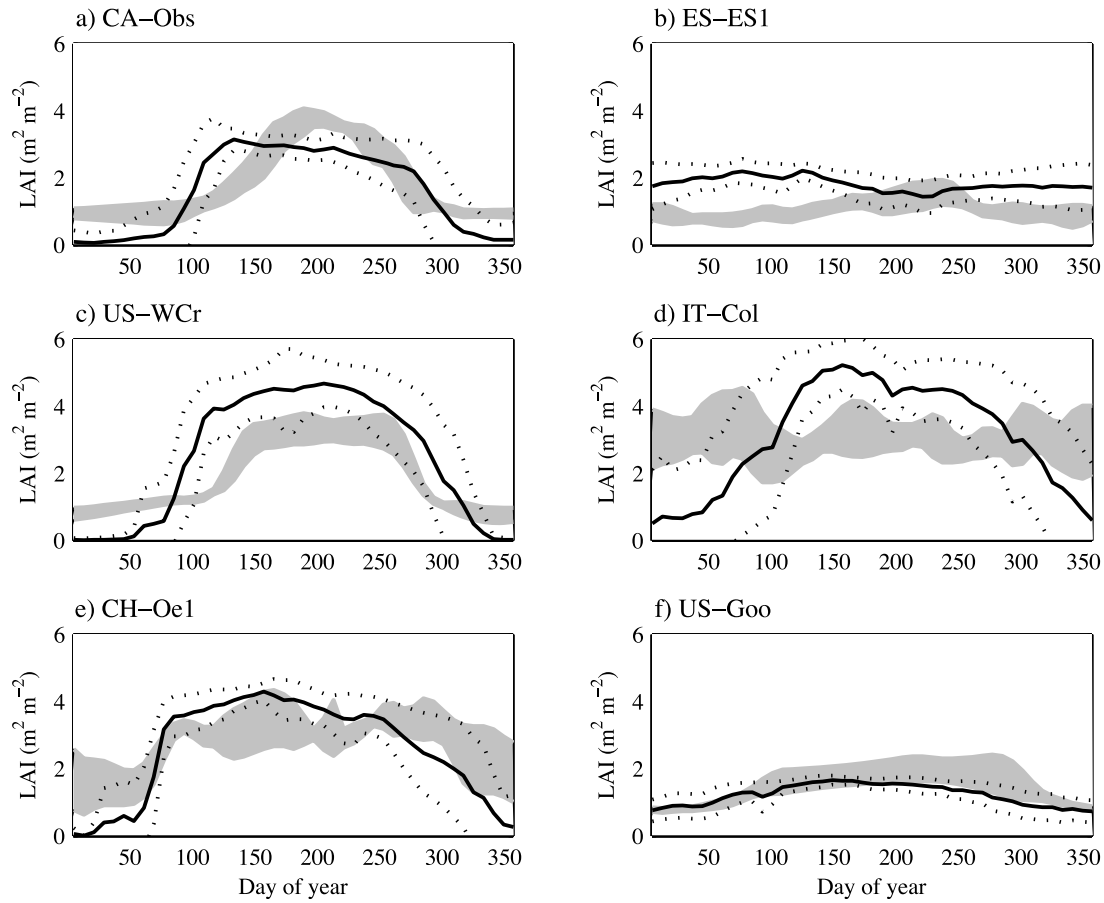
### 3. Results

#### 3.1. Phenological $LAI$ Submodel

[23] Examples of the seasonal control of the meteorological variables on the growing season index ( $GSI_{pars}$ ) for six sites are presented in Figure 2. The sites are selected to represent a large range of vegetation types and climate. The average seasonality of all simulations is shown for each site. CA-Obs and ES-ES1 are evergreen needleleaf forest sites (ENF) with a boreal and Mediterranean climate, respectively; US-WCr and IT-Col are deciduous broadleaf forest sites (DBF) with a temperate-continental and Mediterranean

climate; CH-Oe1 and US-Goo are grassland sites (GRA) with a temperate and subtropical climate. There are general patterns visible at almost all sites in Figure 2. The start and end of the growing season is controlled by air temperature ( $T_a$ ), with the shortest growing season at the coldest site (CA-Obs). The end of the growing season is initiated by a decreasing amount of global radiation ( $R_g$ ). In the middle of the growing season  $GSI_{pars}$  is constrained by vapor pressure deficit ( $VPD$ ). For the Mediterranean ENF site ES-ES1 there is no clear seasonality, and  $GSI_{pars}$  is only constrained by  $VPD$ .

[24]  $LAI_F$  is derived from the curves in Figure 2 by multiplication with  $LAI_{max}$  (equations (10) and (11)).  $LAI_F$  is compared with  $LAI_M$  in Figure 3.  $LAI_F$  is presented as an average seasonality of all simulations for a site. For the ES-ES1 site there is no large seasonal variation in  $LAI_M$ , although the variation is opposite to  $LAI_F$ . The five other sites show a seasonal  $LAI_F$  that is comparable to  $LAI_M$  during the growing season, but  $LAI_F$  is zero during winter and  $LAI_M$  is not. This is a result of the use of flux data to parameterize the phenological model. When there is no photosynthesis the bulk parameters are zero, even though there is still vegetation present, as is observed with  $LAI_M$ . For the IT-Col site the seasonal  $LAI$  observed in the field follows  $LAI_F$  and not  $LAI_M$  (L. Montagnani, personal communication, 2011). The fact that  $LAI_M$  is not zero during winter is an artifact



**Figure 3.** Examples of the average seasonal variation of  $LAI_F$  (black lines) and  $LAI_M$  (grey) for six sites. Interannual variation of all simulations for a site is presented with the dashed lines for  $LAI_F$  and with the grey areas for  $LAI_M$ .

of the MODIS algorithm and of the possibly heterogeneous footprint. At most sites  $LAI_M$  is a good estimate at the ecosystem scale.

[25] The key meteorological constraint on  $GSI_{pars}$  for each site is determined from the time series of  $f(L)$  as presented in Figure 2. For CA-Obs,  $T_a$  represents the key constraint for 67% of the time,  $R_g$  for 11%, and  $VPD$  for 22%. Thus  $T_a$  is the key meteorological constraint at this site. At US-WCr, IT-Col, and CH-Oe1  $T_a$  is also the key constraint with 58%, 54%, and 50%, respectively. At ES-ES1 and US-Goo sites,  $VPD$  is the primary constraint with 99% and 62%, respectively. All simulations (equal to the number of site years) are classified based on these three key meteorological constraints, as summarized in Table 2. The most common constraint is  $T_a$  for 55% of the simulations, while  $R_g$  and  $VPD$  are the key constraints for 18% and 27% of the simulations, respectively.

### 3.2. Seasonal Model Parameter Variation

[26] Phase and amplitude of the scaled parameters critically depend on the  $LAI$  values used in the inversion. Figure 4 thus compares the average difference between  $LAI_M$  and  $LAI_F$  for the different vegetation types. For GRA, DBF and ENF sites,  $LAI_F$  tends to be smaller than  $LAI_M$  between late fall and late winter, during spring  $LAI_F$  is larger. For SAV sites  $LAI_F$  is smaller than  $LAI_M$  and has

an irregular pattern. For EBF sites  $LAI_F$  is larger than  $LAI_M$  for most of the year except summer.

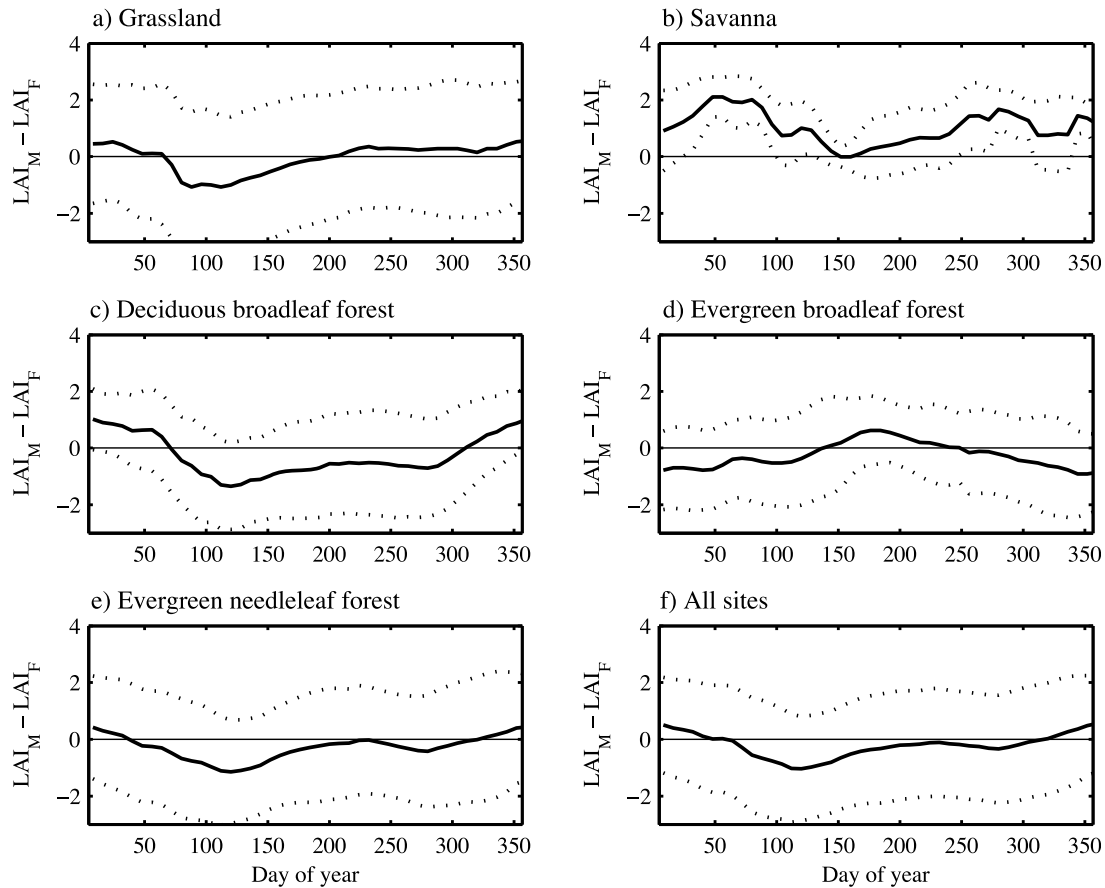
[27] Seasonal variation of model parameters is presented for the ecosystem parameters derived using  $LAI_F$  (Figure 5). For five vegetation types the average seasonal parameter variation is determined by grouping sites with a similar climate (cold, temperate, or warm). The ecosystem parameters derived using  $LAI_M$  are not shown because the patterns are comparable to those derived with  $LAI_F$ , despite the differences between  $LAI_M$  and  $LAI_F$  (Figure 4). Comparison of Figure 5 shows that the largest variation is observed for

**Table 2.** Distribution of Key Meteorological Constraints of  $LAI_F$  Over Climate Classes<sup>a</sup>

| Climate                       | $n_F$ | $T_a$      | $R_g$     | $VPD$      |
|-------------------------------|-------|------------|-----------|------------|
| Arctic                        | 3     | 3 (100)    | -         | -          |
| Boreal                        | 83    | 80 (96.4)  | 3 (3.6)   | -          |
| Temperate continental         | 101   | 45 (44.6)  | 10 (9.9)  | 46 (45.5)  |
| Temperate                     | 153   | 54 (35.3)  | 47 (30.7) | 52 (34.0)  |
| Subtropical and Mediterranean | 31    | 27 (87.1)  | 4 (12.9)  | -          |
| Tropical                      | 14    | 2 (14.3)   | 4 (28.6)  | 8 (57.1)   |
| All sites                     | 385   | 211 (54.8) | 68 (17.7) | 106 (27.5) |

<sup>a</sup>For each key meteorological constraint (air temperature ( $T_a$ ), global radiation ( $R_g$ ), or vapor pressure deficit ( $VPD$ )) the number of simulations (with percentages between brackets) is presented.  $n_F$  is the number of simulations for each class.





**Figure 4.** Seasonal variation of the difference between  $LAI_M$  and  $LAI_F$  for groups of sites with similar vegetation type and for all sites together. The black line is the average of all simulations and the dotted lines are representing the standard deviation. Sites from the Southern Hemisphere are excluded.

$V_{cm,F}$  for all vegetation types and climates. The parameter  $\alpha_{e,P}$  is less variable throughout the year and is even nearly constant for the warm sites. This could be a result of the scaling functions used.  $V_{cm,F}$  is a function of  $LAI_F$  and  $T_a$ , while  $\alpha_{e,F}$  is only a function of  $LAI_F$ . A general trend is that the maximum value of  $V_{cm,F}$  is largest for the warm sites for all vegetation types. When meteorological key constraints (as in Table 2) are used instead of climate, the differences between the lines is much smaller (not shown). This indicates that although the constraints are able to predict the seasonality of a single site, the difference between sites is more complex and strongly influenced by both vegetation type and climate.

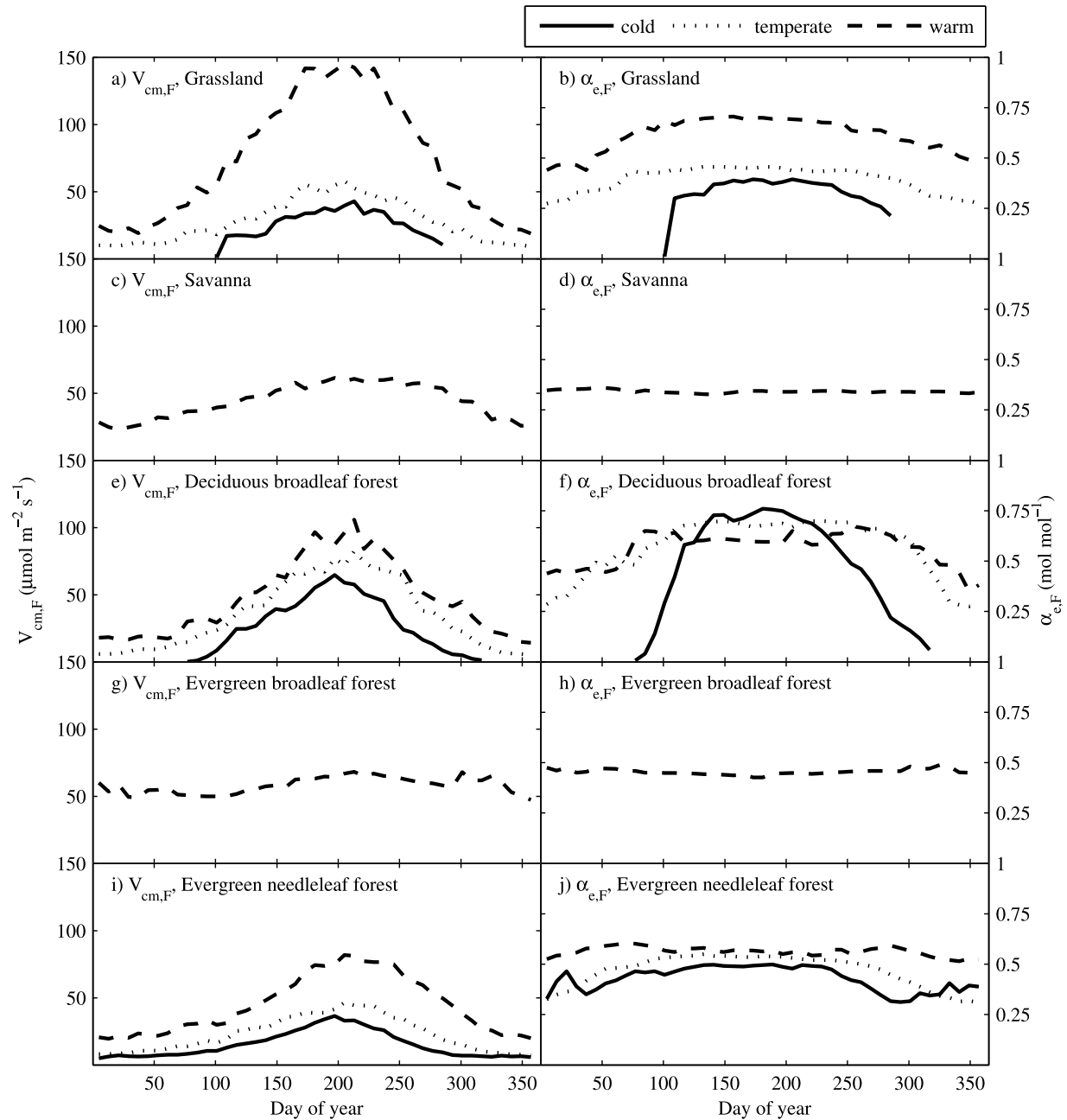
[28] The bulk parameters ( $V_{cm,B}$  and  $\alpha_{e,B}$ ) derived for the estimation of  $GSI_{pars}$  can be used to evaluate seasonality of the ecosystem parameters  $V_{cm,F}$  and  $\alpha_{e,F}$ . The average difference between bulk and ecosystem parameters for the vegetation types is shown in Figure 6. They are both derived from the same data, but with a different model setup. Differences are a result of the scaling with  $LAI$ , which is implicitly present in the bulk parameters, and part of the model setup for the ecosystem parameters. Ideally, the two model setups should result in identical model variations.  $V_{cm,F}$  of GRA sites is lower than  $V_{cm,B}$  during winter and higher during summer. This behavior is related to management, which is not included in the phenology submodel. The

bulk parameters are directly derived from flux observations and therefore are affected by management. For the DBF sites, differences show no clear seasonal cycle, lower values of  $V_{cm,F}$ , and more variability. The difference between  $\alpha_{e,F}$  and  $\alpha_{e,B}$  of the DBF sites shows a clear seasonal pattern, with the largest deviations in spring. For EBF and ENF sites seasonal variation is similar but less pronounced. The seasonal variation of the difference for EBF sites is almost the opposite, with too low values of  $V_{cm,F}$  in spring.  $V_{cm,F}$  of ENF sites is lower than  $V_{cm,B}$  during the whole season.

### 3.3. Spatial Model Parameter Variation

[29] Spatial variation is quantified by comparing the leaf-scale model parameters  $v_{cm,25}$  and  $\alpha$  for all sites. The parameters were related to average summertime meteorological variables and  $LAI$  (not shown), but a relation or dependence was not found for any vegetation type, PFT, or key constraint. There was no direct relation between the meteorological variables and the parameters. Additional variables (e.g., nitrogen content and management history) are required to explain the observed spatial variation.

[30] The site-specific parameters are grouped by vegetation type and climate in Table 3. The parameters derived from  $LAI_M$  and  $LAI_F$  are within the same range, even though they were from independent data sets (only the parameters derived with  $LAI_F$  are presented in Table 3). It is interesting

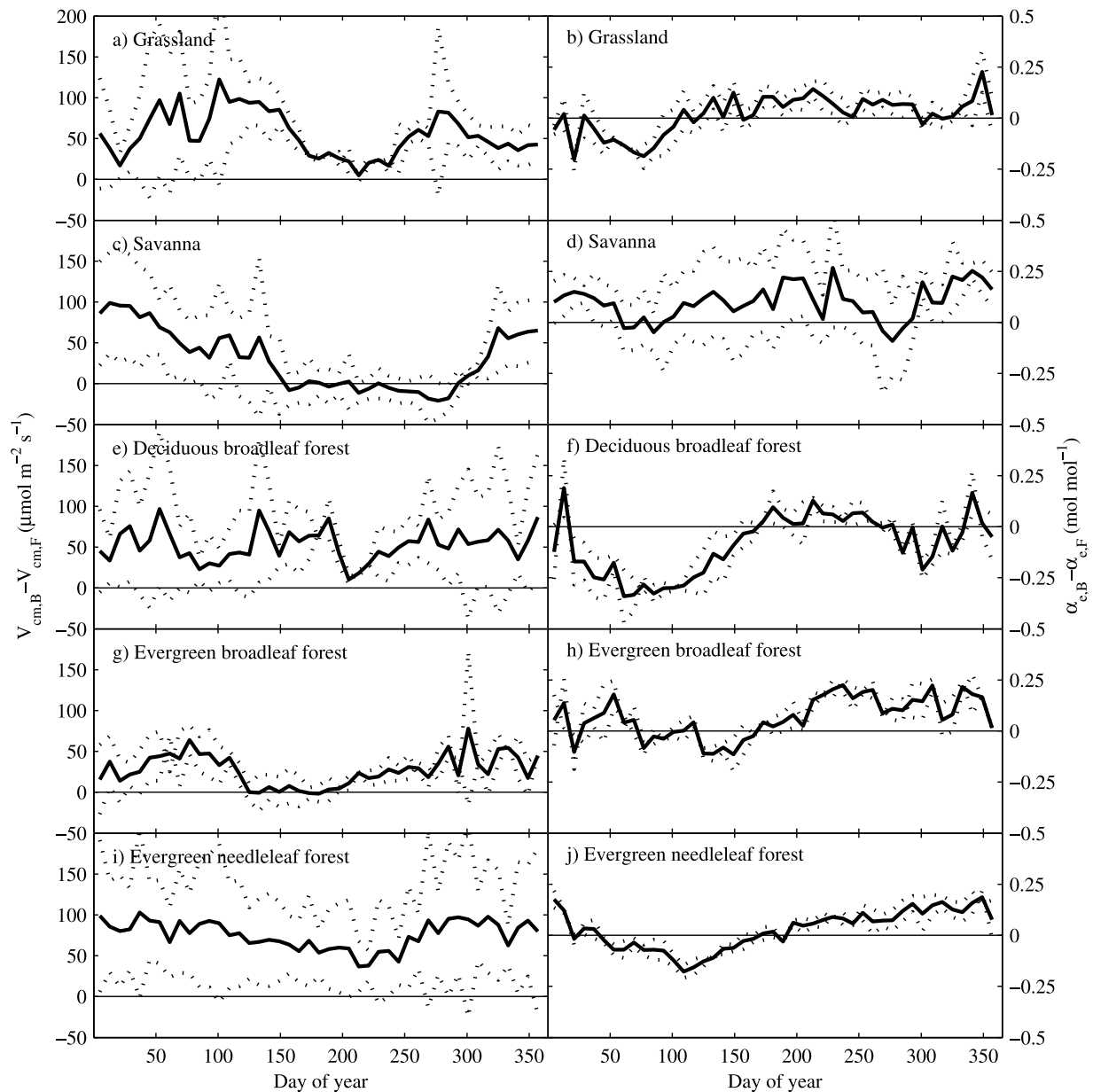


**Figure 5.** Average seasonal ecosystem parameter variation ( $V_{cm,F}$  and  $\alpha_{e,F}$ ) for groups of simulations with a similar vegetation type and climate. Sites from the Southern Hemisphere are excluded.

to note that with two independent  $LAI$  data sets (MODIS and Fluxnet) comparable parameters were derived. The values for  $v_{cm,25M}$  and  $\alpha_M$  are only slightly higher than the values of  $v_{cm,25}$  and  $\alpha_F$ .

[31] Parameters in Table 3 are only of practical use when the differences between the groups are understood. These differences can be explained with the meteorological variables air temperature ( $T_a$ ) and annual precipitation ( $Prec$ ) which influence the occurrence of PFTs in many global land-surface schemes [e.g., Bonan *et al.*, 2003; Sitch *et al.*, 2003]. Global radiation ( $R_g$ ) is added as it is one of the meteorological constraints in the  $LAI$  submodel. An average annual  $VPD$  is meaningless and therefore not presented.

Lowest values of  $v_{cm,25F}$  are seen at SAV, warm EBF, and cold and temperate ENF sites, while the highest values of  $v_{cm,25F}$  are seen at the cropland, cold DBF, temperate EBF, and GRA sites. It is difficult to see any patterns in this variation; for instance, high values at cold sites are difficult to interpret as  $25^\circ\text{C}$  might not often be reached at these sites. Although one may expect that sites with a low  $R_g$  have a high  $\alpha_F$ , this is not the case. However, these sites do have higher values for  $LAI_{max,F}$ . From this table it can be concluded that the variation of the leaf-scale parameters  $v_{cm,25F}$  and  $\alpha_F$  of the different sites have a more complex relationship with the average meteorological variables than the



**Figure 6.** Residual parameter variation not explained by  $LAI$  scaling. Average seasonal bulk parameters ( $V_{cm,B}$  and  $\alpha_{e,B}$ ) minus ecosystem parameters ( $V_{cm,F}$  and  $\alpha_{e,F}$ ) for groups of sites with a similar vegetation type. The average seasonal residual is presented with the black line and the standard deviation by the dotted lines. Sites from the Southern Hemisphere are excluded.

seasonal variation of the ecosystem-scale parameters in Figure 5.

### 3.4. Flux Simulations

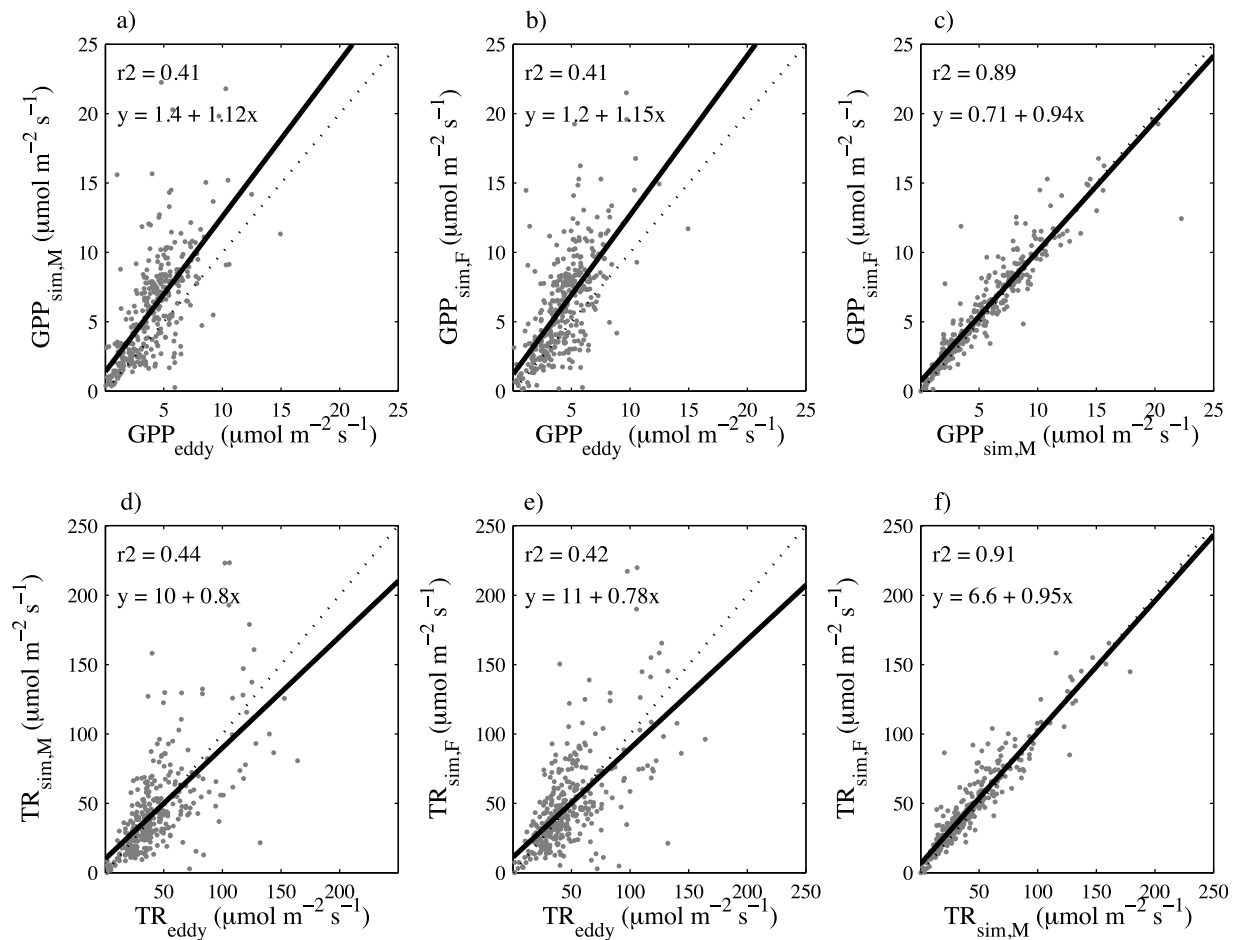
[32] For validation the half-hourly fluxes were simulated with meteorological variables,  $LAI$ , and leaf-scale parameters  $v_{cm,25}$  and  $\alpha$  (step 4 in Figure 1). The annual photosynthesis ( $GPP_{sim}$ ) and transpiration ( $TR_{sim}$ ) fluxes derived with  $LAI_M$  and  $LAI_F$  are compared with observations and each other in Figure 7. The parameters and  $LAI$  were derived for different site years than the site year used to validate the fluxes. As expected from the similar seasonal variation of the scaled parameters, the simulated annual photosynthesis fluxes are comparable, with an  $r^2$  of 0.89

(Figure 7c), and transpiration fluxes are correlated with an  $r^2$  of 0.91 (Figure 7f). Average photosynthesis and transpiration fluxes are equally simulated with  $LAI_M$  and  $LAI_F$ . There is variation in the results of the simulated annual fluxes for different vegetation types. Simulated annual photosynthesis of the ENF sites had the strongest correlation, with  $r^2 = 0.50$ – $0.64$ , but annual transpiration was poorly simulated with  $r^2 = 0.28$ – $0.32$  (slope 1.11–1.18 for photosynthesis and 0.69–0.70 for transpiration). Annual transpiration fluxes of EBF sites were simulated better than for ENF sites ( $r^2 = 0.44$ – $0.43$ , with slopes of 1.23–1.26); however, photosynthesis was simulated poorly ( $r^2 = 0.27$ – $0.29$  and slopes of 0.90–0.91). Both photosynthesis and transpiration fluxes were poorly simulated at GRA and DBF sites. This is a

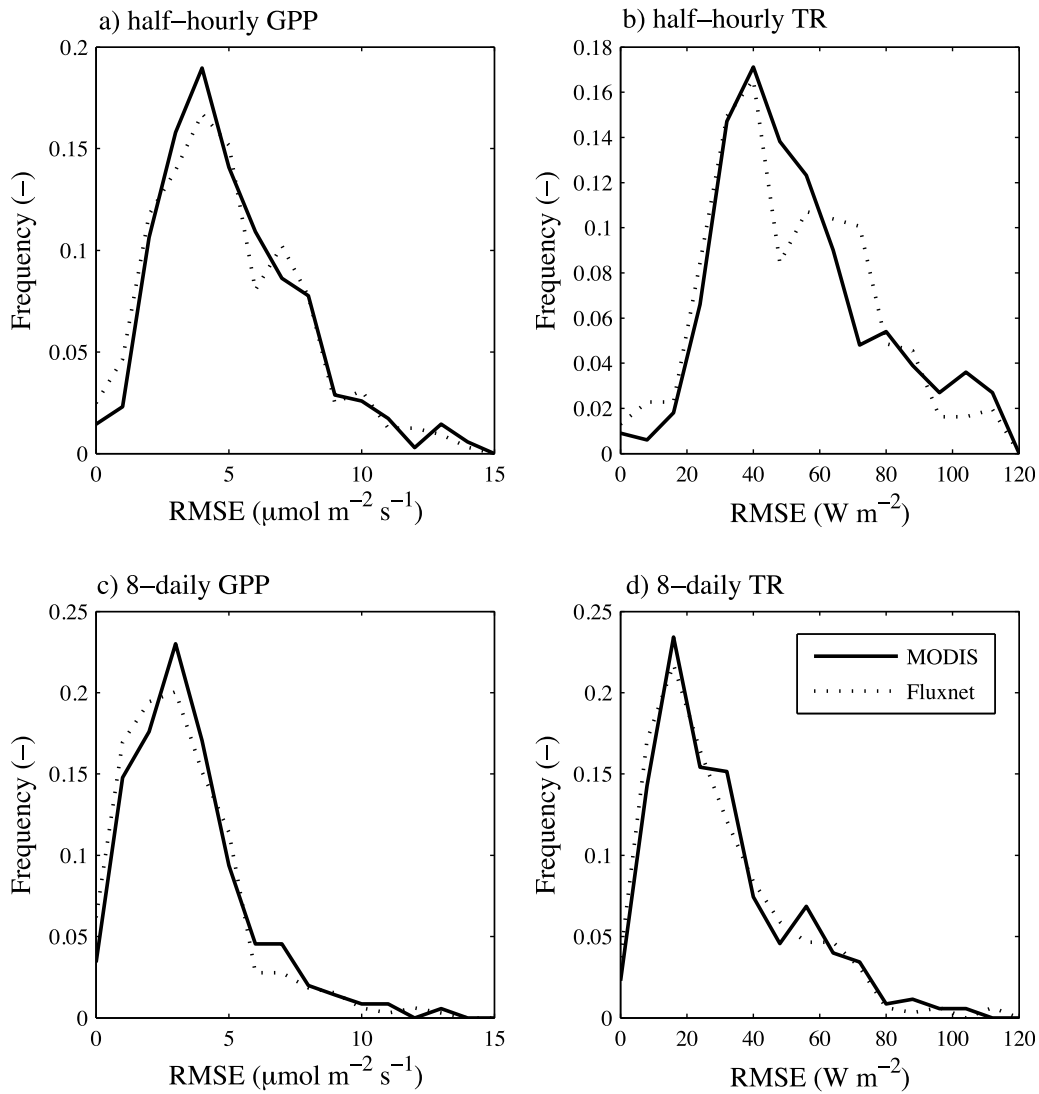
**Table 3.** Average and Standard Deviations of the Leaf-Scale Model Parameters  $v_{cm,25F}$  and  $\alpha_F$ , Maximum  $LAI$ , Air Temperature, Global Radiation, and Precipitation Derived With Fluxnet Data for Groups of Simulations With a Similar Vegetation Type and Climate<sup>a</sup>

| Vegetation                     | Climate   | $n_F$ | $v_{cm,25F}$ | $\alpha_F$  | $LAI_{max,F}$ | $T_a$       | $R_g$    | $Prec$     |
|--------------------------------|-----------|-------|--------------|-------------|---------------|-------------|----------|------------|
| Cropland                       | temperate | 6     | 105.2 (25.9) | 0.36 (0.13) | 7.1 (2.8)     | 9.5 (6.2)   | 123 (77) | 531 (170)  |
|                                | warm      | 6     | 66.6 (11.0)  | 0.33 (0.06) | 4.4 (1.6)     | 17.4 (5.8)  | 186 (82) | 929 (403)  |
| Savanna                        | cold      | 5     | 13.1 (1.8)   | 0.13 (0.02) | 2.8 (0.5)     | -0.6 (14.9) | 131 (85) | 208 (0)    |
|                                | warm      | 14    | 44.6 (8.1)   | 0.29 (0.15) | 3.0 (1.1)     | 21.4 (5.9)  | 200 (67) | 1374 (449) |
| Deciduous<br>broadleaf forest  | cold      | 7     | 95.9 (12.9)  | 0.68 (0.11) | 2.1 (0.1)     | 1.8 (11.9)  | 132 (83) | 409 (61)   |
|                                | temperate | 35    | 62.0 (20.7)  | 0.50 (0.19) | 5.0 (0.9)     | 9.3 (8.3)   | 128 (81) | 773 (151)  |
| Evergreen<br>broadleaf forest  | warm      | 25    | 66.0 (24.8)  | 0.46 (0.11) | 5.1 (1.6)     | 12.3 (8.2)  | 165 (81) | 821 (127)  |
|                                | temperate | 3     | 86.0 (33.8)  | 0.56 (0.32) | 5.5 (0.0)     | 13.1 (4.6)  | 158 (64) | 526 (0)    |
| Evergreen<br>needleleaf forest | warm      | 23    | 39.7 (11.7)  | 0.29 (0.10) | 4.5 (1.1)     | 20.9 (6.7)  | 183 (67) | 1380 (852) |
|                                | cold      | 71    | 40.6 (21.8)  | 0.32 (0.14) | 3.7 (2.1)     | 1.5 (12.0)  | 126 (83) | 443 (192)  |
| Grassland                      | temperate | 86    | 43.8 (10.3)  | 0.32 (0.12) | 5.3 (2.3)     | 8.7 (7.0)   | 131 (83) | 879 (294)  |
|                                | warm      | 39    | 72.4 (68.1)  | 0.45 (0.17) | 4.8 (2.4)     | 13.0 (7.5)  | 167 (85) | 962 (512)  |
|                                | cold      | 3     | 141.6 (11.7) | 0.56 (0.17) | 1.1 (0.1)     | -0.5 (8.8)  | 200 (53) | 579 (0)    |
| Mixed forest                   | temperate | 39    | 57.4 (25.8)  | 0.29 (0.14) | 4.9 (2.7)     | 8.2 (7.1)   | 138 (80) | 979 (237)  |
|                                | warm      | 9     | 103.2 (18.2) | 0.60 (0.11) | 2.2 (0.3)     | 13.6 (8.4)  | 166 (78) | 1181 (390) |
|                                | temperate | 19    | 41.8 (19.5)  | 0.37 (0.20) | 6.4 (1.6)     | 7.1 (8.3)   | 126 (74) | 774 (292)  |
|                                | warm      | 8     | 40.8 (5.1)   | 0.31 (0.04) | 5.8 (0.9)     | 15.0 (7.8)  | 148 (56) | 1072 (15)  |

<sup>a</sup>Units of measure are as follows:  $v_{cm,25F}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $\alpha_F$  ( $\text{mol mol}^{-1}$ ), maximum  $LAI$  ( $\text{m}^2 \text{m}^{-2}$ ), air temperature ( $T_a$ ,  $^{\circ}\text{C}$ ), global radiation ( $R_g$ ,  $\text{W m}^{-2}$ ), and precipitation ( $Prec$ ,  $\text{mm yr}^{-1}$ ). Here  $n_F$  is number of simulations with a similar vegetation type and climate.



**Figure 7.** Comparison of observed and simulated annual photosynthesis ( $GPP$ ) and transpiration ( $TR$ ) fluxes for all Fluxnet sites used in this study. The simulations are performed with a model using (a, d) MODIS data or (b, e) Fluxnet data. (c, f) The simulated fluxes from the two data sets are compared. Only fluxes observed during dry periods (more than three days after rainfall) were used to ensure a valid comparison. The dashed line is the 1:1 line and the solid line the regression line.



**Figure 8.** Frequency distribution of *RMSE* (root mean square error) between eddy covariance and simulated photosynthesis (*GPP*) and transpiration (*TR*) for all half-hourly fluxes and average eight-daily fluxes at all sites. The different lines represent the used data: MODIS or Fluxnet.

result of the large deviation in seasonal variation of the parameters for these sites (Figures 6a, 6e, and 6f). Differences between the simulated fluxes are a result of variations in magnitude and seasonality of the ecosystem parameters  $V_{cm}$  and  $\alpha_e$ , which are strongly coupled to *LAI* seasonality.

[33] Results of the half-hourly and average 8-day flux simulations are presented in Figure 8. For each data set using parameters derived with  $LAI_M$  or  $LAI_F$ , the distribution of *RMSE* (root mean square error) is given for simulated against observed *GPP* and *TR* fluxes of all sites. The *RMSE* of simulations using  $LAI_M$  or  $LAI_F$  is comparable. Again, there is not a large difference between simulated photosynthesis and transpiration fluxes for the two modeling approaches using MODIS  $LAI_M$  or Fluxnet  $LAI_F$ .

#### 4. Discussion

[34] Model parameters were successfully derived at both the leaf and ecosystem scale for 81 Fluxnet sites. The leaf-

scale  $v_{cm,25}$  and  $\alpha$  parameters were used to derive seasonal variable ecosystem parameters  $V_{cm}$  and  $\alpha_e$  through explicit upscaling with *LAI*. In our previous study [Groenendijk *et al.*, 2011] we suggested that the spatial variation of the parameters  $v_{cm,25}$  and  $\alpha$  is larger than assumed with PFTs. Here we analyzed the influence of the seasonal parameter variation on PFT parameter variation. From Figure 5 it can be seen that the parameter seasonality is different for PFTs, but there are noticeable patterns. A shorter growing season and a lower maximum  $V_{cm,F}$  are seen for the colder sites for all vegetation types. The differences between PFTs are smaller for  $\alpha_{e,F}$ , the growing season is more similar and the maximum is almost equal. PFT leaf parameters are commonly prescribed in global vegetation schemes as the values in Table 3, which are much more difficult to interpret directly. When comparing this table with the seasonal PFT ecosystem parameters in Figure 5, it is obvious that the leaf parameters explain only a small part of the variation. The variation between PFTs is much easier explained when the

seasonal meteorological and phenological differences are taken into account.

[35] The two methods in Figure 6 show different seasonality in the ecosystem parameters. This difference is important, as it can lead to an improvement of the seasonal parameters used in global land surface schemes. Variation of the 8-day bulk parameters could be seen as actual parameter values because they are derived directly from the observed data without the use of additional scaling models. Thus when ecosystem parameters deviate from the bulk parameters, this suggests that the scaling assumptions are not correct. But it is also important to keep in mind that the bulk parameters are, at least partly, a response to differences in weather patterns; there is no change in the underlying parameter values. Bulk parameters will therefore likely overestimate the temporal variation. But they appeared to be useful for diagnosing the sites where the model failed to reproduce correct ecosystem parameters, and annual flux simulations were consequently much lower than the observations. The analysis reveals that for grassland and deciduous broadleaf forest sites fluxes cannot be simulated correctly with model parameters only scaled with  $LAI$ . The seasonal variation of the parameters is larger and has a different pattern. Additional processes related to management and summertime droughts are needed to correctly simulate the fluxes for these sites [Bonal et al., 2008; Wohlfahrt et al., 2008; Churkina et al., 2010; Bellassen et al., 2010]. The phenological  $LAI$  submodel is not always able to correctly simulate the seasonal variation of  $LAI$  and related ecosystem-scale parameters. This increases the uncertainty of leaf-scale model parameters, which will bias the understanding of the leaf-scale parameter variation.

[36] With tower observations and additional observations of ground-based  $LAI$  and leaf-nitrogen content [e.g., Kattge et al., 2009] the parameters can be better constrained for natural vegetation by defining the limits of the different variables responsible for transitions between constraints. Our average leaf-scale  $v_{cm,25F}$  values (Table 3) are within the same ranges as the values derived from a large number of leaf observations [Kattge et al., 2009]. But to be able to relate our leaf-scale parameters to leaf-nitrogen content, observations at the Fluxnet sites are needed. When integrated with meteorological variables to upscale the parameters from the leaf to the ecosystem scale, this approach will be applicable in global models. This is a first step towards a classification with more gradual transitions, comparable to the leaf economics spectrum [Reich et al., 1997; Wright et al., 2004; Harrison et al., 2010].

[37] The seasonal parameter variation in the model is fully assigned to the ecosystem parameters. In the model, leaf-scale parameters are kept constant for each site, assuming that these parameters are constant during the year. It has been observed that leaf parameters actually vary seasonally in response to environmental conditions. For example  $v_{cm,25}$  of leaves from the upper canopy is lower during periods of drought [Misson et al., 2010] and during the spring recovery phase [Wilson et al., 2001; Monson et al., 2005]. Leaf parameters might not be constant, which could possibly explain why no direct relation between the leaf-scale parameters and average climate is observed. A second explanation might be the relation between photosynthesis and leaf-nitrogen content [Reich et al., 1997; Wright et al., 2004]. Kattge et al. [2009] have shown that variation of

$v_{cm,25}$  is related to a high variability of leaf-nitrogen content, while the variation between PFTs is dominated by photosynthetic nitrogen use efficiency. These relationships with nitrogen content and nitrogen use efficiency could be included in the model definition to constrain  $v_{cm,25}$  and  $V_{cm}$ . The scale of the studies is different, although Kattge et al. [2009] extrapolated the observations from leaf to globe. On the leaf scale there have been a large number of studies [e.g., Wilson et al., 2001; Medlyn et al., 2002; Xu and Baldocchi, 2003; Mäkelä et al., 2004; Kolari et al., 2007; Misson et al., 2010], yet further work is needed to extrapolate these findings to the ecosystem scale. A combination of eddy-covariance data, leaf observations of nitrogen content, and photosynthetic parameters will be valuable for improving understanding of upscaling from the leaf to the ecosystem and global scale. A third possible explanation is that the model parameters simply are not directly related to meteorological variables because the time needed to adapt to climate is longer than that of the observation time series. This could imply that site-specific parameters are a reflection of the historical environment and vegetation adaptation, which follows more gradual transitions than with static PFTs [Harrison et al., 2010].

[38] At several (predominantly warm and dry) sites a decline of  $V_{cm}$  is observed during summer, but it is not reproduced in the seasonal  $LAI$  variation. This could be related to the temperature response function, which increases exponentially with temperature. A parabolic function, with a maximum parameter value at a certain temperature and a decline for higher temperatures, as in the work of Farquhar et al. [1980], might be more appropriate, although Thum et al. [2008] and Kattge and Knorr [2007] have suggested otherwise. In the model the relation between photosynthesis and soil water content is controlled by parameter  $\beta$  in equation (1); therefore the parameters are assumed to be not sensitive to soil water changes. This can introduce a bias in the sensitivity of the photosynthetic parameters to soil water deficits. More attention should also be paid to the stomatal conductance model formulation, which plays an important role in regulating the amount of transpiration and photosynthesis [Medlyn et al., 2011].

## 5. Conclusions

[39] We presented an approach to derive photosynthetic model parameter variation directly from global Fluxnet eddy-covariance and meteorological data. The variation of the leaf-scale parameters  $v_{cm,25}$  and  $\alpha$  was coupled to vegetation type and climate as in a PFT classification. When taking into account the seasonal variation of ecosystem-scale parameters, variation between PFTs is better understood. For example seasonal variation of ecosystem-scale  $V_{cm}$  of cold, temperate, and warm evergreen needleleaf forests shows a clear pattern of increasing growing season length and maximum values, while the patterns of leaf-scale parameters between these PFTs are not that obvious.

[40] Seasonal bulk parameters  $V_{cm,B}$  and  $\alpha_{e,B}$  were derived from eddy-covariance flux observations and used to parameterize a phenological submodel to simulate  $LAI_F$ . The seasonal variation of  $LAI_F$  was compared with MODIS  $LAI_M$ , with as main difference between the data sets the start of the growing season. The differences between the parameters

**Table A1.** Model Parameters and Characteristics of the Fluxnet Sites Used in This Study<sup>a</sup>

| Name   | Climate | Vegetation | Latitude | Longitude | <i>n</i> | $v_{cm,25F}$ | $\alpha_F$  | $LAI_{max}$ | Reference                       |
|--------|---------|------------|----------|-----------|----------|--------------|-------------|-------------|---------------------------------|
| AT-Neu | TE      | GRA        | 47.12    | 11.32     | 5        | 47.2 (0.5)   | 0.31 (0.02) | 6.5         | Wohlfahrt et al. [2008]         |
| AU-Fog | TR      | SAV        | -12.54   | 131.31    | 2        | 9.3 (0.4)    | 0.06 (0.00) | 5.1*        | -                               |
| AU-Wac | TE      | EBF        | -37.43   | 145.19    | 3        | 86.0 (19.5)  | 0.56 (0.19) | 5.5*        | Wood et al. [2008]              |
| BE-Vie | TE      | MFO        | 50.31    | 6.00      | 11       | 36.7 (0.7)   | 0.30 (0.01) | 5.1         | Aubinet et al. [2001]           |
| BR-Ban | TR      | EBF        | -9.82    | -50.16    | 4        | 38.2 (1.3)   | 0.20 (0.01) | 5.3         | -                               |
| BR-Sp1 | TR      | SAV        | -21.62   | -47.65    | 2        | 93.6 (30.3)  | 0.53 (0.13) | 4.4         | Santos et al. [2004]            |
| CA-Ca1 | TE      | ENF        | 49.87    | -125.33   | 9        | 54.1 (0.2)   | 0.44 (0.00) | 8.4         | Humphreys et al. [2006]         |
| CA-Ca2 | TE      | ENF        | 49.87    | -125.29   | 6        | 20.5 (0.3)   | 0.15 (0.00) | 2.2         | Humphreys et al. [2006]         |
| CA-Ca3 | TE      | ENF        | 49.53    | -124.90   | 5        | 38.8 (1.2)   | 0.18 (0.01) | 6.7         | Humphreys et al. [2006]         |
| CA-Mer | TC      | SAV        | 45.41    | -75.52    | 0        | -            | -           | 1.3         | Lafleur et al. [2003]           |
| CA-NS3 | BO      | ENF        | 55.91    | -98.38    | 5        | 18.2 (1.0)   | 0.17 (0.01) | 5.3         | Goulden et al. [2006]           |
| CA-NS4 | BO      | ENF        | 55.91    | -98.38    | 3        | 8.7 (1.4)    | 0.12 (0.03) | 4.2*        | Goulden et al. [2006]           |
| CA-NS5 | BO      | ENF        | 55.86    | -98.49    | 5        | 23.8 (1.0)   | 0.21 (0.01) | 5.5         | Goulden et al. [2006]           |
| CA-NS6 | BO      | SAV        | 55.92    | -98.96    | 5        | 13.1 (0.8)   | 0.13 (0.01) | 3.0         | Goulden et al. [2006]           |
| CA-Oas | BO      | DBF        | 53.63    | -106.20   | 7        | 95.9 (4.9)   | 0.68 (0.04) | 2.1         | Black et al. [2000]             |
| CA-Obs | BO      | ENF        | 53.99    | -105.12   | 7        | 39.4 (0.9)   | 0.24 (0.01) | 3.8         | Bergeron et al. [2007]          |
| CA-Ojp | BO      | ENF        | 53.92    | -104.69   | 7        | 56.0 (1.0)   | 0.42 (0.01) | 2.6         | Howard et al. [2004]            |
| CA-Qcu | BO      | ENF        | 49.27    | -74.04    | 6        | 65.7 (2.8)   | 0.64 (0.06) | 0.8         | Giasson et al. [2006]           |
| CA-Qfo | BO      | ENF        | 49.69    | -74.34    | 4        | 32.3 (2.2)   | 0.30 (0.02) | 3.7         | Bergeron et al. [2007]          |
| CA-SF1 | BO      | ENF        | 54.49    | -105.82   | 3        | 35.9 (1.5)   | 0.32 (0.02) | 3.4         | Mkhabela et al. [2009]          |
| CA-SF2 | BO      | ENF        | 54.25    | -105.88   | 3        | 36.9 (0.9)   | 0.34 (0.02) | 3.0         | Mkhabela et al. [2009]          |
| CA-SF3 | BO      | ENF        | 54.09    | -106.01   | 3        | 38.5 (1.3)   | 0.27 (0.00) | 1.1         | Mkhabela et al. [2009]          |
| CA-SJ1 | BO      | ENF        | 53.91    | -104.66   | 5        | 22.2 (2.5)   | 0.30 (0.06) | 0.8         | Zha et al. [2009]               |
| CA-SJ2 | BO      | ENF        | 53.95    | -104.65   | 3        | 16.6 (2.2)   | 0.19 (0.09) | 0.5         | Zha et al. [2009]               |
| CA-SJ3 | BO      | ENF        | 53.88    | -104.65   | 2        | 34.8 (3.0)   | 0.23 (0.03) | 2.9         | Zha et al. [2009]               |
| CA-TP4 | TC      | ENF        | 42.71    | -80.36    | 3        | 31.0 (0.9)   | 0.24 (0.01) | 8.0         | Araïn and Restrepo-Coupe [2005] |
| CH-Oe1 | TE      | GRA        | 47.29    | 7.73      | 5        | 55.6 (4.0)   | 0.28 (0.02) | 4.9         | Ammann et al. [2007]            |
| CN-HaM | AR      | GRA        | 37.37    | 101.18    | 3        | 141.6 (6.7)  | 0.56 (0.10) | 1.1         | Kato et al. [2006]              |
| DE-Hai | TE      | DBF        | 51.08    | 10.45     | 7        | 40.1 (0.4)   | 0.25 (0.00) | 6.1         | Knohl et al. [2003]             |
| DE-Kli | TE      | CRO        | 50.89    | 13.52     | 3        | 107.8 (14.6) | 0.33 (0.11) | 9.7         | -                               |
| DE-Tha | TE      | ENF        | 50.96    | 13.57     | 11       | 42.5 (0.2)   | 0.32 (0.00) | 8.0         | Grünwald and Berhofer [2007]    |
| DE-Wet | TE      | ENF        | 50.45    | 11.46     | 5        | 46.1 (2.6)   | 0.25 (0.02) | 4.8         | Anthoni et al. [2004]           |
| DK-Lva | TE      | GRA        | 55.68    | 12.08     | 2        | 77.6 (37.0)  | 0.29 (0.09) | 6.9         | Gilmanov et al. [2007]          |
| DK-Sor | TE      | DBF        | 55.49    | 11.65     | 11       | 73.1 (0.8)   | 0.70 (0.01) | 5.0         | Pilegaard et al. [2003]         |
| ES-ES1 | SM      | ENF        | 39.35    | -0.32     | 8        | 47.7 (1.5)   | 0.33 (0.02) | 2.6         | Sanz et al. [2004]              |
| ES-ES2 | SM      | CRO        | 39.28    | -0.32     | 3        | 61.3 (1.9)   | 0.33 (0.00) | 3.0         | -                               |
| ES-LMa | SM      | SAV        | 39.94    | -5.77     | 3        | 49.9 (11.0)  | 0.34 (0.05) | 2.0         | -                               |
| ES-VDA | TE      | GRA        | 42.15    | 1.45      | 3        | 59.3 (3.5)   | 0.18 (0.01) | 1.4         | Gilmanov et al. [2007]          |
| FI-Hyy | BO      | ENF        | 61.85    | 24.29     | 11       | 44.6 (0.8)   | 0.37 (0.01) | 6.7         | Suni et al. [2003a]             |
| FI-Sod | BO      | ENF        | 67.36    | 26.64     | 0        | -            | -           | 1.2         | Suni et al. [2003b]             |
| FR-Fon | TE      | DBF        | 48.48    | 2.78      | 2        | 81.7 (30.5)  | 0.47 (0.19) | 5.1         | -                               |
| FR-LBr | TE      | ENF        | 44.72    | -0.77     | 8        | 48.8 (0.8)   | 0.37 (0.01) | 3.5         | Berbigier et al. [2001]         |
| FR-Lq1 | TE      | GRA        | 45.64    | 2.74      | 2        | 76.6 (3.2)   | 0.60 (0.27) | 2.5         | Soussana et al. [2007]          |
| FR-Lq2 | TE      | GRA        | 45.64    | 2.74      | 1        | 155.1 (0.0)  | 0.65 (0.00) | 2.3         | Soussana et al. [2007]          |
| GF-Guy | TR      | EBF        | 5.28     | -52.93    | 0        | -            | -           | 5.2*        | Bonal et al. [2008]             |
| HU-Mat | TE      | GRA        | 47.85    | 19.73     | 3        | 33.7 (1.9)   | 0.15 (0.01) | 3.9*        | Pintér et al. [2008]            |
| ID-Pag | TR      | EBF        | 2.35     | 114.04    | 2        | 46.2 (4.9)   | 0.32 (0.02) | 5.6         | Hirano et al. [2007]            |
| IE-Dri | TE      | GRA        | 51.99    | -8.75     | 3        | 50.2 (2.8)   | 0.26 (0.04) | 5.2*        | Peichl et al. [2011]            |
| IT-Amp | SM      | GRA        | 41.90    | 13.61     | 4        | 92.6 (7.9)   | 0.53 (0.06) | 2.5         | Gilmanov et al. [2007]          |
| IT-BCi | SM      | CRO        | 40.52    | 14.96     | 3        | 71.9 (8.3)   | 0.34 (0.05) | 5.8         | Reichstein et al. [2003b]       |
| IT-Col | SM      | DBF        | 41.85    | 13.59     | 11       | 77.3 (0.4)   | 0.53 (0.01) | 6.8         | Valentini et al. [1996]         |
| IT-Cpz | SM      | EBF        | 41.71    | 12.38     | 8        | 30.9 (1.3)   | 0.25 (0.01) | 3.5         | Garbulsky et al. [2008]         |
| IT-Lav | TE      | ENF        | 45.96    | 11.28     | 0        | -            | -           | 8.1         | Marcolla et al. [2003]          |
| IT-Lec | SM      | EBF        | 43.30    | 11.27     | 2        | 26.9 (0.5)   | 0.23 (0.02) | 2.5         | Chiesi et al. [2011]            |
| IT-LMa | TE      | DBF        | 45.58    | 7.15      | 4        | 45.1 (2.7)   | 0.47 (0.05) | 3.0*        | -                               |
| IT-Mal | TE      | GRA        | 46.12    | 11.70     | 4        | 83.6 (13.0)  | 0.33 (0.02) | 3.9         | Gilmanov et al. [2007]          |
| IT-MBo | TE      | GRA        | 46.02    | 11.05     | 4        | 60.1 (2.2)   | 0.26 (0.01) | 2.9         | Gianelle et al. [2009]          |
| IT-PT1 | SM      | DBF        | 45.20    | 9.06      | 0        | -            | -           | 0.0         | Ê-                              |
| IT-Ren | TE      | ENF        | 46.59    | 11.43     | 8        | 31.6 (0.8)   | 0.15 (0.01) | 5.1         | Montagnani et al. [2009]        |
| IT-Ro1 | SM      | DBF        | 42.41    | 11.93     | 7        | 58.4 (1.5)   | 0.40 (0.02) | 3.0         | Rey et al. [2002]               |
| IT-SRo | SM      | ENF        | 43.73    | 10.28     | 8        | 71.9 (2.1)   | 0.57 (0.02) | 4.2         | Chiesi et al. [2005]            |
| JP-Tom | TC      | MFO        | 42.74    | 141.51    | 3        | 80.4 (14.4)  | 0.82 (0.09) | 9.2         | Hirata et al. [2007]            |
| NL-Ca1 | TE      | GRA        | 51.97    | 4.93      | 4        | 31.1 (1.0)   | 0.18 (0.01) | 11.3        | Jacobs et al. [2007]            |
| NL-Loo | TE      | ENF        | 52.17    | 5.74      | 11       | 50.5 (1.0)   | 0.41 (0.01) | 2.0         | Dolman et al. [2002]            |
| SE-Faj | TE      | GRA        | 56.27    | 13.55     | 0        | -            | -           | 1.0         | Lund et al. [2007]              |
| SE-Nor | TC      | ENF        | 60.09    | 17.48     | 6        | 50.5 (2.4)   | 0.47 (0.03) | 4.8         | Lagergren et al. [2008]         |
| UK-EBu | TE      | GRA        | 55.87    | -3.21     | 2        | 48.3 (11.9)  | 0.45 (0.14) | 3.9         | Soussana et al. [2007]          |
| UK-ESa | TE      | CRO        | 55.91    | -2.86     | 3        | 102.6 (18.4) | 0.40 (0.05) | 4.7*        | -                               |
| UK-Gri | TE      | ENF        | 56.61    | -3.80     | 6        | 52.7 (5.3)   | 0.41 (0.01) | 7.0         | Rebmann et al. [2005]           |
| UK-Ham | TE      | DBF        | 51.12    | -0.86     | 0        | -            | -           | 3.7*        | -                               |
| UK-PL3 | TE      | DBF        | 51.45    | -1.27     | 1        | 12.1 (0.0)   | 0.12 (0.00) | 3.9*        | -                               |
| US-Bar | TC      | DBF        | 44.06    | -71.29    | 2        | 63.5 (30.1)  | 0.45 (0.17) | 4.7         | Jenkins et al. [2007]           |

**Table A1.** (continued)

| Name   | Climate | Vegetation | Latitude | Longitude | <i>n</i> | $V_{cm,25F}$  | $\alpha_F$  | $LAI_{max}$ | Reference                     |
|--------|---------|------------|----------|-----------|----------|---------------|-------------|-------------|-------------------------------|
| US-Blo | SM      | ENF        | 38.90    | -120.63   | 10       | 51.2 (0.8)    | 0.30 (0.00) | 4.6         | Misson <i>et al.</i> [2005]   |
| US-CaV | TE      | GRA        | 39.06    | -79.42    | 1        | 33.9 (0.0)    | 0.25 (0.00) | 3.0         | -                             |
| US-Dk2 | SM      | MFO        | 35.97    | -79.10    | 3        | 35.8 (1.4)    | 0.26 (0.01) | 7.0         | Pataki and Oren [2003]        |
| US-Dk3 | SM      | MFO        | 35.98    | -79.09    | 5        | 43.9 (1.5)    | 0.34 (0.01) | 5.2         | Pataki and Oren [2003]        |
| US-Goo | SM      | GRA        | 34.25    | -89.97    | 5        | 111.7 (7.4)   | 0.65 (0.04) | 2.0         | -                             |
| US-KS2 | SM      | SAV        | 28.61    | -80.67    | 7        | 38.4 (1.5)    | 0.27 (0.01) | 2.5         | Powell <i>et al.</i> [2006]   |
| US-Me1 | SM      | ENF        | 44.58    | -121.50   | 2        | 256.8 (218.3) | 0.43 (0.35) | 3.1*        | Irvine <i>et al.</i> [2007]   |
| US-Me3 | SM      | ENF        | 44.32    | -121.61   | 1        | 129.0 (0.0)   | 0.79 (0.00) | 0.5         | Vickers <i>et al.</i> [2009]  |
| US-Me4 | SM      | ENF        | 44.50    | -121.62   | 0        | -             | -           | 2.1         | Anthoni <i>et al.</i> [2002]  |
| US-MMS | SM      | DBF        | 39.32    | -86.41    | 0        | -             | -           | 4.7         | Schmid <i>et al.</i> [2000]   |
| US-MOz | SM      | DBF        | 38.74    | -92.20    | 1        | 158.0 (0.0)   | 0.82 (0.00) | 4.2         | Gu <i>et al.</i> [2006]       |
| US-NC2 | SM      | ENF        | 35.80    | -76.67    | 2        | 69.1 (1.5)    | 0.66 (0.01) | 3.0         | Noormets <i>et al.</i> [2010] |
| US-NR1 | BO      | ENF        | 40.03    | -105.55   | 4        | 100.9 (11.1)  | 0.44 (0.02) | 5.6         | Monson <i>et al.</i> [2002]   |
| US-Syv | TC      | MFO        | 46.24    | -89.35    | 5        | 29.6 (0.8)    | 0.28 (0.01) | 7.5         | Desai <i>et al.</i> [2005]    |
| US-WCr | TC      | DBF        | 45.81    | -90.08    | 8        | 75.2 (1.6)    | 0.53 (0.01) | 5.4         | Cook <i>et al.</i> [2004]     |
| US-Wi4 | TC      | ENF        | 46.74    | -91.17    | 4        | 40.9 (0.5)    | 0.38 (0.02) | 2.8         | Noormets <i>et al.</i> [2007] |
| US-Wrc | SM      | ENF        | 45.82    | -121.95   | 8        | 71.6 (0.8)    | 0.55 (0.01) | 9.2         | Falk <i>et al.</i> [2008]     |
| VU-Coc | TR      | EBF        | -15.44   | 167.19    | 4        | 44.2 (1.2)    | 0.33 (0.01) | 5.7         | Roupsard <i>et al.</i> [2006] |

<sup>a</sup>The site name codes are a composition of country (first two letters) and site name (last three letters). Vegetation types are cropland (CRO), deciduous broadleaf forest (DBF), evergreen broadleaf forest (EBF), evergreen needleleaf forest (ENF), grassland (GRA), mixed forest (MFO) and savanna (SAV). Climates are arctic (AR), boreal (BO), subtropical Mediterranean (SM), temperate (TE), temperate continental (TC) and tropical (TR). The parameters  $V_{cm,25F}$  and  $\alpha_F$  are derived with only Fluxnet tower observations and a tower based  $LAI_{max}$  with *n* (equal to the number of site years) simulations for each site. An asterisk indicates  $LAI_{max}$  from MODIS.

and fluxes when using  $LAI_F$  or  $LAI_M$  were very small, which indicated that the use of Fluxnet and MODIS data sets result in a similar variation of  $LAI$ . In addition, the seasonal variation of the bulk parameters  $V_{cm,B}$  and  $\alpha_{e,B}$  was compared with the ecosystem parameters  $V_{cm,F}$  and  $\alpha_{e,F}$ . The main differences were here also seen at the start of the growing season and for the grassland and deciduous forest sites. This indicates that upscaling with both  $LAI_F$  and  $LAI_M$  is not sufficient to explain the seasonal variation of  $V_{cm}$  and  $\alpha_e$ . Seasonal leaf-scale parameter variations should also be incorporated.

[41] Our hypothesis was that meteorological data could be used to constrain seasonal ecosystem-scale parameter variation. We have shown that this is partly true; the seasonal ecosystem variation is largely explained by the meteorological variation through upscaling with  $LAI$ . This influence of the seasonal variability on ecosystem-scale parameter variation within a PFT is large and important for our understanding of leaf-scale parameter variation, which can be better separated now. The remaining unexplained variation needs further research and should focus on the relation between seasonal leaf-scale photosynthetic parameters and nitrogen content.

## Appendix A: Model Parameters and Characteristics of the Fluxnet Sites Used in This Study

[42] In Table A1 the model parameters and characteristics of the Fluxnet sites used in this study are presented.

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