

## Humans (Homo sapiens) but not baboons (Papio papio) demonstrate crossmodal pitch-luminance correspondence

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9	Humans ( <i>Homo sapiens</i> ) but not baboons ( <i>Papio papio</i> ) demonstrate crossmodal
10	pitch-luminance correspondence
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#### 36 Abstract

Humans spontaneously and consistently map information coming from different sensory modalities. Surprisingly, the phylogenetic origin of such cross-modal correspondences has been under-investigated. A notable exception is the study of Ludwig et al (2011) which reports that both humans and chimpanzees spontaneously map high-pitched sounds with bright objects and low-pitched sounds with dark objects. Our pre-registered study aimed to directly replicate this research on both humans and baboons (Papio papio), an old world monkey which is more phylogenetically distant from humans than chimpanzees. Following Ludwig et al., participants were presented with a visual classification task where they had to sort black and white square (low and high luminance), while background sounds (low or high-pitched tones) were playing. Whereas we replicated the finding that humans' performance on the visual task was affected by congruency between sound and luminance of the target, we did not find any of those effects on baboons' performance. These results question the presence of a shared cross-modal pitch-luminance mapping in other non-human primates. Keywords: crossmodal correspondence; comparative cognition; iconicity Abbreviations: RFID: Radio Frequency Identification Device 

# Humans (*Homo sapiens*) but not baboons (*Papio papio*) demonstrate crossmodal pitch-luminance correspondence

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72 Humans tend to spontaneously form cross-modal correspondences, i.e., associations between modality-specific features (Spence, 2011). For instance, they tend to 73 74 associate certain sound frequency to shape properties: a high-pitched tone is typically 75 associated with small, spiky and bright objects, while a low-pitched tone is generally 76 associated with big, round, and dark objects (Spence, 2019). Cross-modal biases 77 have been experimentally demonstrated across a wide range of modalities, including between odors and visual stimuli (Hanson-Vaux et al., 2013), sound and taste 78 79 (Knöferle & Spence, 2012), and taste and touch (Christensen, 1980), among others 80 (Calvert et al., 2004).

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82 Cross-modal biases are considered a crucial mechanism for multisensory integration and language evolution. In perception, cross-modal correspondences may help to 83 84 constrain the cross-modal binding problem, i.e., the challenge of determining which sensory information to integrate together (Ernst, 2007). In language, cross-modal 85 biases to associate sounds with other sensory modalities may have facilitated the 86 87 evolution of spoken language by allowing for the expression of sensory experiences through a single auditory channel (Cuskley & Kirby, 2013; Ramachandran & Hubbard, 88 2001). Surprisingly though, despite the potential evolutionary importance of cross-89 modal correspondences, the investigation of their phylogenetic origins remains limited. 90 91

92 It is plausible that cross-modal correspondences may be constrained in non-human animals due to their lack of linguistic experience. According to the semantic/linguistic 93 94 account (Martino & Marks, 1999), the perceived connection between different sensory 95 stimuli may be explained by the use of common linguistic terms to describe them. For example, in English, tones are characterized as 'high' and 'low' in pitch, employing 96 97 terms associated with spatial elevation. This linguistic connection may be at the origin of the well-documented cross-modal association between pitch and height (Ben-Artzi 98 99 & Marks, 1995; Dolscheid et al., 2013; Getz & Kubovy, 2018; Occelli et al., 2009; 100 Trimble, 1934). However, cross-cultural (Parkinson et al., 2012) and developmental 101 studies (Walker et al., 2010) but see (Dolscheid et al., 2023) have provided evidence 102 challenging the purely linguistic nature of the pitch-height association. Infants and speakers from cultures where pitch is not described using spatial elevation terms still
exhibit a bias to associate higher-pitched sounds with higher spatial elevation and
lower-pitched sounds with lower spatial elevation.

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107 Comparative studies provide an immediate way to test whether cross-modal 108 associations are a purely linguistic phenomenon. To our knowledge, only few studies 109 have examined the existence of these cross-modal mappings in other animals. Two 110 studies provide evidence that domestic dogs prefer to associate higher frequencies with high spatial positions (a pitch-elevation mapping (Korzeniowska et al., 2019) and 111 with larger stimuli (a pitch-size mapping, Korzeniowska et al., 2022), Another study 112 shows that chicks prefer to associate stimuli situated at their right with brighter stimuli 113 (a space-luminance mapping, Loconsole et al., 2021). Lastly, the pitch-luminance 114 mapping-where higher pitch is associated with bright stimuli and lower pitch to dark 115 116 stimuli- has been found in chimpanzees (Ludwig et al., 2011), but not in chicks (Loconsole et al., 2022). Collectively, these results suggest that certain cross-modal 117 118 correspondences have non-linguistic origins.

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120 What is then the origin of these cross-modal correspondences? Some may be 121 acquired through exposure to consistent patterns in the environment. For instance, the pitch-elevation correspondence might be explained by the environmental statistics of 122 auditory scenes, where higher frequency sounds typically originate from elevated 123 124 sources (Parise et al., 2014). Likewise, the pitch-size correspondence may be due to 125 the observation that large objects tend to produce low frequency sounds (e.g., when 126 dropped), whereas small objects generate high-pitched sounds (Coward & Stevens, 2004; Gaver, 1993). In contrast, the pitch-luminance and the space-luminance 127 mappings are difficult to explain based on regularities found in the environment alone 128 (but see Spence & Deroy, 2012). Given that chimpanzees have no language abilities, 129 it has been proposed that the pitch-luminance mapping is a structural correspondence 130 131 that is hard-wired in the brain (Walker et al., 2010). Both visual high luminance and loudness have a shared increase in stimulus intensity which is suggested to be 132 133 encoded by the same brain structure dedicated to magnitude (Lewkowicz & Turkewitz, 1980; Walsh, 2003), This shared feature in neural encoding could serve as the 134 135 foundation for correspondences based on intensity, such as the pitch-luminance mapping. If this account is correct, intensity-based correspondences may be a 136

137 characteristic common with other species that share the same neural mechanism138 (Gazes et al., 2023).

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140 The results of Ludwig et al., (2011) are thus particularly significant as they represent 141 the only demonstration that non-human animals can match features across modalities 142 in a way that is not directly attributable to associative learning, suggesting that some 143 cross-modal mappings may have a structural, innate, origin. In that study, 6 144 chimpanzees and 33 human participants were trained in a visual speeded classification task on a touch screen, where they had to match a sample square (either 145 black or white) to the matching stimulus (i.e., an identical black or white square). 146 During testing, both species performed the same task while passively listening to 147 background sounds (high and low-pitched tones), which were crossmodally either 148 congruent or incongruent to the luminance of the sample square. Both species 149 150 performed better when the frequency of the sound matched the luminance of the sample square, i.e. when the white square was congruent with the high-pitched tone 151 152 and the black square was congruent with the low-pitched tone.

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154 Previous attempts to test other sound-shape mappings in great apes (i.e., gorillas, 155 chimpanzees and bonobos) have failed to show any cross-modal effects in these species (Margiotoudi et al., 2019, 2022). In a forced choice matching task, humans 156 but not great apes, were more likely to select a rounded shaped upon being presented 157 158 with the wordform 'maluma', and an angular shape when presented with the wordform 159 'takete' (the 'maluma-takete' or 'bouba-kiki' effect (Köhler, 1967). Even, Kanzi, a 160 language-trained bonobo, showed no evidence of such a sound-shape sensitivity suggesting language exposure/learning alone cannot account for the observed 161 162 differences between humans and great apes, leading to the proposition that neuroanatomical differences between human and nonhuman primates could underlie 163 this effect in humans (Margiotoudi et al., 2022). 164

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166 Considering the weak experimental evidence for the existence of cross-modal 167 mappings in non-human primates, the purpose of the current pre-registered study was 168 to directly replicate Ludwig et al. (2011)'s study on the pitch-luminance 169 correspondence, in humans and baboons (*Papio papio*) using the same methods and 170 analyses. Humans and baboons were trained to classify squares based on their

luminance in a visual speeded classification task. During the testing phase, both 171 172 species completed the same speeded classification task, while being presented with background sounds (low vs high pitched tones). If baboons and humans have an 173 174 inherent tendency to match high pitch with luminance and low pitch with dark 175 luminance, we expect participants to be faster to classify dark and white squares when 176 a congruent sound is presented, compared to when an incongruent sound is presented. The outcomes of this experiment would be significant in two aspects. 177 178 Firstly, it will provide more support to the claim that possibly innate hardwired crossmodal mappings exist in non-human species, a claim currently grounded in a single 179 study (Ludwig et al., 2011). Second, a positive result in baboons would indicate that 180 the sensitivity to pitch-luminance correspondence is a shared feature of the primate 181 182 lineage, that could be part of an innate predisposition to associate intensity across dimensions. 183

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#### 186 Methods

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#### 188 Data availability statement

189 The methods, design and analysis were pre-registered at: <u>https://osf.io/vsnp4</u>.

190All the data and stimulus material are openly available at:191<a href="https://osf.io/ng6y3/?view\_only=b3797de197744bb79a1bcc544f0cf69a">https://osf.io/ng6y3/?view\_only=b3797de197744bb79a1bcc544f0cf69a</a>.

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#### 193 Ethical standards

All methods for the human study were approved by the Ethics Committee of Aix-Marseille University and for the baboon study received approval from the French Ministère de l'Education Nationale (approval no. APAFIS-2717-2015111708173794-V3).

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#### 199 Participants and apparatus

Humans: Twenty-seven, right-handed human adults (21 females, age *M*=22.70 years ± 5.55) participated in the study. The sample size was determined from a power analysis based on an effect size of *d*=0.57, as reported in the human study of Ludwig et al. (2011), a power of 0.8, and an alpha level of  $\alpha$ =0.05. All the participants were native speakers of French and had corrected to normal vision and normal hearing. Participants were recruited from announcements at the Aix-Marseille University campus and internal email lists. Testing took place at an experimental booth at the Laboratoire de Psychologie in the same campus. Participants received a monetary compensation of 10 euros.

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Baboons: Fifteen Guinea baboons (Papio papio), (12 females, age M=11.4 years ± 210 5.64) from the CNRS primate center of Rousset-sur-Arc completed the study. A power 211 212 analysis based on an effect size of d=0.87, as reported in the chimpanzee study of Ludwig et al. (2011), a power of 0.8, and an alpha level of  $\alpha$ =0.05, indicated that we 213 should test at least 13 individuals to replicate an effect of similar magnitude. Because 214 of our testing apparatus, the baboons cannot be tested away from their social group, 215 216 so the study was presented to all the individuals (n = 23). Baboons live in two social 217 groups and had access to 13 ALDMs (Automated Computer Learning devices) (Fagot 218 & Bonté, 2010), that are freely accessible from their enclosures. Each device has a food dispenser, a touchscreen, two speakers and a radio frequency identification 219 220 (RFID tag) of the participants. The RFID tag allows us to test the individuals without capturing them, which improves animal welfare in experimental research (Fagot et al., 221 222 2014).

223

#### 224 Apparatus.

Humans were tested on a on a 23-inch LCD monitor Ilyama Prolite (screen refresh 225 rate: 60Hz, screen resolution: 1920 x 1080). The sounds were presented via two 226 227 Logitech S-20 speakers, placed at each side of the screen. Baboons were tested on 228 LCD capacitive touchscreens, for which a built-in secure glass of 3 mm wide allowed 229 screen protection. The speakers were positioned in front of the baboons, above them, 230 and directed toward them. The human experiment was designed on E-prime 3.0 software (Psychology Software Tools, Inc., Pittsburg, PA, USA), while the baboon 231 experiment on E-prime 1.2. 232

233

#### 234 Stimuli

Both auditory and visual stimuli were the same as in the original study (Ludwig et al., 2011). The auditory stimuli consisted of computer-generated tones, with frequencies of either 1,047 Hz (high-pitched) or 175 Hz (low-pitched). As for the visual stimuli, the sample stimulus was 99 × 99 pixel square (2.5 × 2.5 cm), displayed at the screen's

- center against a gray background (RGB: 128, 128, 128]). This square was black (RGB:
  0, 0, 0) or white (RGB: 255, 255, 255) depending on the trial. Each of the two choice
  buttons measured 250 × 250 pixels (6.5 × 6.5 cm). For half of the participants (humans
  and baboons), the right-side button was white and for the other half, it was black.
- 243

#### 244 **Procedure and design**

Humans and baboons were required to perform an identity match to sample task (MTS 245 246 task), where they had to match a sample square (black or white) to one of the two alternatives that was identical to the sample. The experiment was divided into two 247 phases, a training and a testing phase. During the training phase, participants 248 performed the MTS task with no sound. During the testing phase, participants had to 249 250 perform the same task while a tone, either high or low-pitched, was played. 251 Participants received feedback in both the training and testing phases. The design 252 was identical to the one described in Ludwig et al. (2011), with the sole difference on the feedback screens (see supplementary Figure S1). In the present study, we used 253 254 visual feedback, instead of audio feedback (Ludwig et al., 2011), as this group of baboons had previously been accustomed to training with visual feedback while 255 256 engaging in touch-screen tasks (Fagot & Bonté, 2010). After a correct response, a 257 black screen appeared for 3s combined with food reward (only for the baboons), whereas after an incorrect response, a green screen appeared for 3s followed by a 258 black screen of 3s. 259



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Figure 1. Time course of a trial. The background sound appeared only during the testing phase.

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Before starting with the task, human participants received written instructions and were 265 266 asked to be as fast and accurate as possible in performing the visual speeded classification task. During the training phase, participants performed 16 matching-to-267 268 sample trials without any background sound (for details see supplementary material). Only participants who reached a performance of 100% continued to the testing phase. 269 270 These 16 trials could be repeated once in case of mistakes. Two participants did not 271 reach a 100% performance at the first training and repeated the procedure. In the 272 testing phase, participants received written instructions to focus on the visual speeded classification task, even though sounds would be played in the background. The 273 testing phase started with 4 practice trials including sounds, which were excluded from 274 275 the analysis. Then, two blocks of 80 trials each (160 trials in total) followed, with a 276 break in-between. Humans were tested in one single session of 30 minutes.

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Baboons were exposed to the same training procedure (for details on the training see
supplementary material). All baboons completed the experiment in three sessions
during three consecutive days such that one session was presented every day
(following (Ludwig et al., 2011). Each session included a training and a testing phase.
During the training phase, participants were presented with blocks of 16 trials, where

they had to achieve a performance of at least 80% in both categories (i.e., black/white)
(White: *M*=0.80%; SE=0.02%, Black: *M*=0.88%; SE=0.02). Blocks were repeated until
the criterion was reached (average number of training blocks =2.71; min=1; max=11;
SE=0.31). The details of the baboon training are available in the supplementary
material. After that, the testing phase started. First baboons performed 4 practice test
trials with background sounds, then, a block of 160 testing trials followed. In total
baboons completed 480 testing trials across the 3 experimental sessions.

290

Testing blocks consisted of the same number of possible sample-sound combinations 291 292 following the description by Ludwig et al. (2011). That is for a testing block of 160 trials, 293 there were 80 congruent trials (40 trials presenting a white sample and a high pitch 294 and 40 trials presenting a black sample and a low pitch) and 80 incongruent trials (40 trials presenting a white sample and a low pitch and 40 trials presenting a black sample 295 296 and a high pitch). The same sound category, luminance sample or congruency category could not be presented in more than three consecutive trials (as in Ludwig et 297 298 al., 2011).

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#### 300 Analysis

All analyses were performed in R [29]. We used the Ime4 package for the mixed model analysis (Bates et al., 2014) and the package emmeans (<u>https://cran.r-</u> <u>project.org/web/packages/emmeans/index.html</u>) was used for post-hoc tests. T-test are all paired t-test.

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#### 306 **Results**

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We conducted the analysis described by Ludwig et al., (2011). We first looked at the 308 median RT and the error rates for congruent and incongruent trials in both species. 309 Our results confirm the findings of Ludwig et al. (2011) in humans but not in non-310 311 human primates. In Ludwig et al. (2011), chimpanzees made more errors in incongruent than in congruent trials, while, in baboons, no differences were observed 312 313 on the mean error rates between congruent (M= 10.58%, SD= 3.16%) and incongruent 314 trials (M = 10.58%, SD= 4.16%). Similarly, there was no difference on the median RTs 315 between congruent (M = 653ms, SD = 97.6ms) and incongruent trials (M = 657ms, SD

= 102.5ms; t(14)=-1.31, p=0.20, r = 0.33) for baboons, mirroring the findings of Ludwig et al. (2011) in chimpanzees.

Humans exhibited no significant difference in mean error rates between congruent (*M* = 0.18%, SD = 0.04%) and incongruent trials (M = 0.46%, SD = 0.06%; t(26)=-0.94, p=0.35, r = 0.18). While the humans were overall faster than in Ludwig et al. (2011)'s study (see supplemental material), they were similarly affected by trial congruency: as in Ludwig et al. (2011), they were faster for congruent trials (M = 544ms; SD = 71.8 ms) than for incongruent trials (M = 560ms, SD = 69.8ms; t(26)=-4.88, p<0.001, r =0.69).

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326 Following Ludwig et al. (2011), we then calculated the speed-accuracy trade-off for 327 congruent and incongruent trials in both species, by calculating the Inverse Efficiency score (IE) (Townsend & Ashby, 1983). To compute the IE scores, we divided the 328 329 median RT by the proportion of correct responses, for each participant, for the two conditions (congruent vs. incongruent). Humans had a significantly lower IE score (i.e., 330 331 indicating better performance) (t(26)=-4.15, p<0.001; r=0.69) for congruent (IE = 545, SD = 71.2) than for incongruent trials (IE = 564, SD = 75.5). In contrast to the findings 332 333 of Ludwig et al. (2011) on chimpanzees, the results on the baboons revealed no 334 significant difference (t(14)=-0.54, p=0.60) on the IE score between congruent (mean IE: 734, SD = 128) and incongruent trials (mean IE: 739, SD = 130) (see Fig.2). 335 Overall, the IE scores were lower for humans (who were faster and performed better 336 at the task) than baboons. This was similar in Ludwig et al. (2011): humans displayed 337 338 lower IE scores than chimpanzees. Based on these findings, we replicated the findings 339 of Ludwig et al. (2011) in humans, but did not extend it in baboons (for details see results in supplementary material). 340



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Figure 2. Mean IE scores for congruent (blue) and incongruent (red) trials in A. baboons and B. humans. The error bars represent standard errors of the mean. The asterisk indicates significance between conditions in the human group (p<0.001).

#### 346 Discussion

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348 Following the protocol of Ludwig et al. (2011), we tested the pitch-luminance crossmodal correspondence in humans and baboons. In the original study, humans 349 350 exhibited longer response times when the sound was incongruent to the luminance of the sample stimulus. In chimpanzees, no interference effect was observed on 351 352 response time, but they did make more errors on cross-modally incongruent trials compared to congruent trials. In the present study, although we replicated the human 353 354 results, our results did not show any evidence of sound interference effects on either response time or error rate in baboons. 355

356

How can we account for the difference between chimpanzees (Ludwig et al., 2011) and the current baboon results? Certainly, primate species differences might suggest that the mapping of pitch-to-luminance is unique to chimpanzees and humans, but does not extend to more phylogenetically distant relatives such as the Old World monkeys, including the baboons. According to this hypothesis, such a cross-modal correspondence might thus have emerged and be inherited from the last common ancestor of the Hominini group.

An alternative possibility is that the absence of any systemic pitch-to-luminance 365 366 correspondence in baboons, questions the existence of this mapping not only in this species but also in any other nonhuman species. There are several reasons to 367 368 question the robustness of the chimpanzee's result. First, while the study of Ludwig et 369 al. (2011) showed a pitch interference effect in a visual speeded classification task in 370 chimpanzees, this effect was based on a 2.7% difference between mean error rates 371 for congruent and incongruent trials and was not visible on median response times, 372 contrary to human participants. Second, a common problem in animal studies is the low statistical power due to small sample size (6 individuals in Ludwig et al., 2011), an 373 374 aspect known to increase type I errors (Christley, 2010). Third, whether the chimpanzees tested in the Ludwig et al., (2011) had previous experiences on auditory 375 376 discrimination between high- and low-pitched tones, is not specified in the original study. Any such previous experience could have increased sensitivity to the auditory 377 378 stimuli of the present paradigm. This is of particular importance as testing non-human 379 primates on auditory paradigms is not a trivial task (Hashiya & Kojima, 2001; Martinez 380 & Matsuzawa, 2009).

381

382 Our results are consistent with previous results showing no evidence of structural 383 crossmodal mappings in non-human primates (Margiotoudi et al., 2019, 2022) or in other animals such as chicks (Loconsole et al., 2022). This could suggest that some 384 crossmodal correspondences might not be shared with other nonhuman species, thus 385 386 reopening the possibility that those mappings, and in particular the pitch-luminance 387 mapping, could be mediated by language, in English the same adjectives to refer to 388 luminance and pitch, namely 'high' and 'low'. While the pitch-luminance effect has been evidenced robustly in humans (Hubbard, 1996; Ludwig et al., 2011; Marks et al., 389 390 1987), it has not been systematically examined in speakers of languages that do not describe luminance and pitch in the same way, nor in 'pre-linguistic' infants. Such 391 investigations will be critical to determine whether the pitch-luminance mapping truly 392 393 reflect a universally predisposed structural correspondence.

394

As we noted, the absence of crossmodal effects in baboons and in great apes (Margiotoudi et al., 2019, 2022) could have plausibly resulted from attention or discriminatory troubles on auditory stimuli. In addition, factors such as previous habituation to visual tasks, training history, protocol design, or age of the individuals appear to play an important role in testing the performance of nonhuman primates on
tasks including auditory stimuli (Ennaji, 2022). More generally, this highlights the need
for a broad comparative approach, investigating the ability of various species to
spontaneously associate different stimuli (much like has been done in human adults,
see (Getz & Kubovy, 2018; Parise & Spence, 2009) in order to derive a cartography
of cross-modal correspondences and of where they are found in phylogeny.

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To conclude, evidence of the presence of a pitch-luminance mapping in other nonhuman species appears to be weak, suggesting that language, culture or humanspecific neuro-anatomical features may be fundamental for the emergence of the pitch-luminance mapping. Future studies will be necessary to investigate the reliability of this hypothesis.

411

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