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▶ To cite this version:

Fabrizio Cleri. Quantum computers, quantum computing, and quantum thermodynamics. Frontiers in Quantum Science and Technology, 2024, 3, 10.3389/frqst.2024.1422257 . hal-04683476

HAL Id: hal-04683476 https://hal.science/hal-04683476v1

Submitted on 2 Sep 2024

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Quantum Computers, Quantum Computing and Quantum Thermodynamics

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2 ABSTRACT

Quantum thermodynamics aims at extending standard thermodynamics and non-equilibrium 3 statistical physics to systems with sizes well below the thermodynamic limit. A rapidly evolving 4 research field, which promises to change our understanding of the foundations of physics, while 5 6 enabling the discovery of novel thermodynamic techniques and applications at the nanoscale. 7 Thermal management has turned into a major obstacle in pushing the limits of conventional digital computers, and could likely represent a crucial issue also for quantum computers. The 8 practical realization of quantum computers with superconducting loops requires working at 9 cryogenic temperatures to eliminate thermal noise; ion-trap gubits need as well low temperatures 10 to minimize collisional noise; in both cases, the sub-nanometric sizes also bring about thermal 11 12 broadening of the quantum states; and even room-temperature photonic computers require cryogenic detectors. A number of thermal and thermodynamic questions therefore take center 13 14 stage, such as quantum re-definitions of work and heat, thermalization and randomization of quantum states, the overlap of quantum and thermal fluctuations, and many other, even including 15 a proper definition of temperature for the small open systems constantly out of equilibrium that 16 are the qubits. This overview provides an introductory perspective on a selection of current trends 17 in quantum thermodynamics and their impact on quantum computers and quantum computing, 18 with a language accessible also to postgraduate students and researchers from different fields. 19

20 Keywords: thermodynamics, qubits, quantum gates, information entropy, thermalization

1 INTRODUCTION

Quantum computing has gone a long way, from the dream of Richard Feynman expressed in his keynote paper of 1982 (Feynman (1982)), to the increasingly sophisticated theoretical developments that followed in the '90s, to the first realizations of experimental quantum bits in the past 20 years (Editorial (2022); Huang et al. (2020); Pelucchi et al. (2022)). Today we are just starting to see the first true quantum machines sporting some tens of qubits connected by fully reversible quantum gates, which attempt at solving theoretical benchmark challenges. It is not still the calculation of real-life problems, however they are getting a bit closer every few months, in a path that seems to echo the spectacular growth of digital

28 microelectronics in the last half of the past century.

By looking at the latest developments among the main players in quantum hardware and software, 29 30 Google's Quantum-AI subsidiary Alphabet first reported having reached "quantum advantage" in July 2019 with their Sycamore machine (Arute et al. (2019)), a claim later challenged by IBM engineers. (see below) 31 Both IBM and Google use qubits made with superconducting loops. IBM broke already the 100-wall with 32 its 127-qubit Quantum Eagle (Kim et al. (2023a)), and just announced its new milestone by the end of 2023 33 with his QuantumSystem-Two modular architecture including three Heron 133-qubit devices. Also Intel 34 is engaged in both superconducting and spin qubit research: June 2023 unveiled its new TunnelFalls, a 35 12-qubit all-silicon chip. NVIDIA launched in March 2023 the DGX Quantum, a GPU-accelerated system, 36 integrating their GraceHopper superchip with the OPX platform by Quantum Machines. Honeywell opted 37 for trapped-ion qubits in their System-Model H1, 10-qubit first operational machine, already used for 38 quantum chemistry simulations (Yamamoto et al. (2024)); a similar road to that followed by IonQ with their 39 Aria 25-qubit machine. Microsoft chose to work with a different concept for their Azure quantum system, 40 the "topological" qubits (Aghaee et al. (2023)), for which they received DARPA support; however, they 41 also pursue a different, hybrid strategy by coupling qubit-virtualization with ion-trap physical qubits from 42 Quantinuum, to achieve extremely low error rates (da Silva et al. (2024)). Notably, all these companies are 43 making their computing platforms, or a scaled-down version thereof, publicly web-accessible to anybody 44 for testing and running quantum codes via the internet. In June 2022, the US Senate passed the \$250 45 billion Innovation and Competition Act, promoting quantum information technologies among the actions to 46 ensure that the US semiconductor and information technology continue to play a leading role in the global 47 48 economy. At the other shore of the Pacific, with the help of a multi-billion-dollar funding package and a €10 billion investment in a quantum information laboratory, China hopes to make significant breakthroughs 49 in the field by 2030. Big names such as Alibaba and Baidu are engaged in sustaining R&D (although 50 Alibaba's quantum laboratory was suddenly shut down Nov. 2023). But already, one team at the University 51 of Science and Technology of China in Hefei, reported achieving quantum advantage by using two radically 52 different technologies, linear optics or superconducting qubits, just one year apart from each other (Wu 53 54 et al. (2021); Zhong et al. (2021)).

As far as Europe, In October 2018 the European Commission launched the "Quantum Technologies 55 Flagship" programme, to support hundreds of quantum science researchers over a ten-year period with a 56 budget of €1 billion. The OpenSuperQPlus project is a medium-term, 4-year project centered at the Jülich 57 58 Research Center in Germany, assembling 28 partners from 10 EU countries. However, compared to the US and China market dominated by a few hi-tech giants, the EU panorama is richer in smaller partnerships and 59 smaller companies (Räsänen et al. (2021)). UK, sadly no longer part of the European Union, has announced 60 conspicuous investments, while initially relying on technology provided by the US start-up Rigetti, and 61 62 other local solutions such as the OQC company in Oxford developing their "coaxmon" (Rahamim et al. (2017)). As usual, other EU countries are proceeding working the two sides of the street, partly following 63 EU guidelines and partly pushing national initiatives. Germany follows a strategy similar to UK, coupling 64 €3 billion in national investment with US quantum technology imported from IBM. Netherlands launched 65 its national quantum strategy in 2019 with €615 million and the Quantum Delta NL initiative to help 66 quantum research and marketing in universities. France follows, as it often happens, a more original way, 67 with a 5-year ≤ 1.8 billion funding initiative (half of which coming from public money), the development of 68 a large-scale quantum annealer (a somewhat different concept from the gate-based quantum computer) by 69 the start-up company Pasqal (Schymik et al. (2022)), and in parallel the Quandela (Somaschi et al. (2024)) 70 71 just-announced photonic computer installed in the north of France.

72 Then, why thermodynamics? Heat dissipation has always been a crucial problem for digital computers, 73 and represents probably the biggest limit to a further expansion of the CMOS-based computing technology 74 (Kish (2002); Valavala et al. (2018); Bespalov et al. (2022)). Up to the '90s, the solution was to reduce the voltage levels, but now we are already at 0.7 V and this figure could not be reduced further. The heating 75 problem has been exacerbated with the introduction of 3-dimensional design, that brought with it new issues 76 77 of capacitive charging of the metal connections crossing in the vertical direction. The progressively reduced transistor dimension, now at limits reaching below the 10 nm, has the additional issue of self-heating 78 because of the largely increased surface/volume ratio of the device. Switching is also at its limits: we have 79 80 devices in our laboratories that can easily function at 100 GHz and more, however the fastest clock cycle adopted in real computing units cannot go above the 5-6 GHz, just because the rate of heat accumulation 81 cannot be matched by a fast-enough rate of dissipation. Quantum computers could be in principle even 82 more sensitive to fluctuations and heat dissipation, since qubits are designed at the quantum scale, and the 83 thermal energy can represent a source of noise (interference) in their wavefunction. Discrete energy spectra 84 are typically very sensitive to small perturbations that can break symmetry-related degeneracies. Notably, 85 in order to prepare (reset) and retrieve the information of a qubit its quantum state must be destroyed, an 86 operation that necessarily entails some heat release. Until now, such a problematic (a.k.a. ensemble of 87 connected problems) has received a comparatively little attention, because of the already outstanding issues 88 represented by noise from imperfect control signals, interference from the environment and unwanted 89 interactions between qubits, the need for quantum error correction, and the need for operating at cryogenic 90 91 temperatures. However, questions about temperature, entropy, work, heat, take a very peculiar angle, when seen in the context of quantum mechanics and notably of quantum computing. 92

The purpose of this article¹, halfway between a review and a primer, is to give a concise summary of the 93 94 emerging field of quantum thermodynamics in relation to quantum computing. I should maybe provide a 95 more precise definition at the outset, since it may appear an oxymoron to put together in the same sentence 96 the word "thermodynamics", that is the phenomenological theory of the average macroscopic behavior of heat and work exchanges, and the word "quantum", that in itself represents the epitome of the microscopic 97 98 world. The two major shortcomings when trying to apply thermodynamics to the quantum domain should 99 be (1) the fact that, by its proper definition, thermodynamics does not contain microscopic information, nor does it have a protocol to relate to the microscopic degrees of freedom; and (2) the fact that it describes only 100 101 equilibrium states. The first one can be circumvented by passing to the statistical mechanics formulation of 102 thermodynamics, which provides the proper equations and language to make the link with the microscopic. The solution to the second one can leverage on the developments of stochastic thermodynamics, which uses 103 stochastic variables (thus offering a link with the quantum-mechanical notion of probability) to describe 104 105 the non-equilibrium dynamics typically observed at the molecular length and time scales.

106 Quite obviously, quantum thermodynamics covers more general questions than just quantum information. 107 Machines that convert heat into electrical power at a microscopic level, where quantum mechanics plays a 108 crucial role, such as thermoelectric and photovoltaic devices, are well known examples of systems requiring the new language of quantum thermodynamics. It is often said that such machines differ from conventional 109 machines by having no moving parts; however, while they may have no macroscopic moving parts, they 110 function with steady-state currents of microscopic particles (electrons, photons, phonons, etc.) which 111 are all quantum in nature. Nanotechnology has significantly advanced efforts in this direction, offering 112 unprecedented control of individual quantum particles. The questions of how this control can be used for 113

¹ An extended and updated version of a series of lectures given between January and April 2022, at the Quantum Information Working Group in the University of Lille, France.

new forms of heat-to-work conversion has started to be addressed in recent years (Benenti et al. (2017);Bhattacharjee and Dutta (2021)).

Hence, our title starts from quantum computers and moves to quantum computing, stressing the fact that 116 to realize a quantum computation you first need to build a physical quantum machine. (Not so obvious, 117 because one can also try to simulate the quantum computation on a classical computer.) The role of 118 thermodynamics, and notably of entropy, therefore will play a dual role in this context, in that it affects 119 both the physical system and the computation that is being carried out on that system. Entropy will have a 120 special position, since its different definitions seem to start from rather different premises each time, but 121 eventually end up to very similar, if not formally identical, formulations. We will ask whether a formal 122 similarity also implies, and to what extent, physical identification between different definitions. 123

124 This contribution is organized as follows: Sections 2 and 3 give a rapid overview (necessarily incomplete 125 and partial, given the obvious limitations of space) of quantum computers and quantum computing, 126 just some basic details to make this article self-contained for the materials that follow; I will mainly focus as a representative example on superconducting loops and the TransMon that, at this stage of 127 128 development, seemingly represent the most popular choice of constructors; Section 4 recalls some notions of basic thermodynamics in the context of digital computers; Section 5 deals with the link between 129 thermodynamic entropy and information entropy, and the (ir)reversibility of quantum computations; 130 Section 6 is both the longest and the richest of possibly novel ideas, discussing the reformulation of 131 some classical thermodynamics concepts in the framework of quantum mechanics, and providing several 132 examples of key questions in which quantum thermodynamics can make an impact on quantum computing. 133 Some conclusions and outlook are given in the final Section 7. 134

2 ON THE ADVANTAGE OF QUANTUM COMPUTING

Quantum advantage (the old word to indicate the computational gain of a quantum device compared to a 135 classical digital computer was "supremacy", but it is currently replaced by "advantage", or "computational 136 advantage") typically refers to situations in which information processing devices built on the principles of 137 quantum physics, attempt at solving computational problems that are not tractable by classical computers. 138 The resulting quantum advantage is usually defined as the ratio of classical resources required to solve the 139 problem, such as time or memory, to the associated quantum resources. Notably, the numerator in this ratio 140 is often just an estimation, since the problems that are faced are by definition beyond the reach, or at the 141 limits of the capabilities of classical digital computers. Quantum thermodynamics will offer an interesting 142 143 additional perspective, by comparing also energy dissipation between classical and quantum computing.

Maybe some points need to be clarified, to start with. A quantum computer can use bits and logic gates, 144 just as a digital computer does, therefore at least in theory it should be able to do any computation a classical 145 computer can do, plus a number of other computations that are beyond classical. From the standpoint 146 147 of computability theory, the key difference between the two is in the state of the bits at any stage of the 148 computation: a classical bit is always in either one of two defined states; a quantum bit is always in a combined state overlapping with the states of (some or all) the other bits. In this way, the interference 149 among quantum bits creates stronger correlations than allowed by classical probability rules (Rau (2009); 150 Wilce (2021)), and can force some bit combinations to be more likely than others. 151

Measuring a quantum state implemented on a quantum computer, however, will return only classical information, that is, strings of binary code. Then, how can we be sure that a quantum computation was carried out, and not a classical one? Well, the first and simplest check would be to execute many times the

same computation. Since quantum computers operate on probabilistic principles, the answer should not be 155 156 unique but rather a distribution of occurrences, with one being (hopefully) the most probable. Any simple quantum operation, such as summing two bits, necessarily gives a probabilistic answer. See for example 157 158 the original quantum full adder (Feynman (1985)) and its optimized versions (Maslov et al. (2008)): even 159 the best implementation gives a fidelity of 83.333% (Figgatt et al. (2019)). So, in this case the constant and deterministically repeatable answer of the classical computer is definitely preferable. A recent work 160 161 (Tindall et al. (2024)) proved that, by a judicious restructuring of the classical algorithm, even a laptop can 162 outperform the noisy results of the quantum computer on a problem for which an exact solution can be 163 calculated as reference, such as the short-time evolution of the 2D-Ising model.

Therefore, the real challenge would be to propose to the quantum computer a problem that is known to be unsolvable for the classical computer. Beware of the fact that here we intend a class of problems, not a particular instance of the class. "Factorization" is a class of problems, "factorizing the number 4321" is an instance: a classical or quantum algorithm could be good at solving a particular instance, but we are better interested in algorithms that solve the entire class.

In computation theory, problems whose solution can the obtained in a time that is some power of the 169 170 size (that is, resources, number of bits, energy) are called polynomial, or P. Given enough resources, a 171 classical computer like a Turing machine can solve any of these P-problems. By contrast, problems that in the general case cannot be solved in a time that grows at best polynomially with the size, are called 172 173 NP (yes, we are dividing the world into elephants and non-elephants). Factorization of integer numbers 174 is the most typical problem of this kind. A classical computer cannot decompose into prime numbers an integer of arbitrary size, since it would run out of resources at a rate faster than the growth of the required 175 176 integer. (The largest number factorized, RSA-250 with 795 bits, took the equivalent of 2,700 years on a big 177 supercomputer (Boudot et al. (2020)).)

178 Already 30 years ago, Peter Shor proposed a quantum-mechanical algorithm that reduces to P-class the 179 NP complexity of factorization, if implemented on an ideal quantum computer (Shor (2004, 2007)). Since then, his algorithm has been programmed on a few different quantum computers, e.g. to factor the number 180 15 already several times, and more recently the number 21 by using an iterative algorithm to limit the 181 182 number of necessary qubits (Martín-López et al. (2012)). In fact, Shor's algorithm to factor an odd integer 183 N requires a work register with $\log_2 N$ qubits, plus an output register with m qubits for a precision of m 184 digits: the result will appear as a series of probability peaks in the output register, the narrower and higher, 185 the larger is m. The total of resources required is not impressive, but quantum computers with more than a 186 few qubits are still difficult to build, one big problem being the growth of the error rate with the number of 187 entangled qubits. The most recent attempt at factorizing the number 35 on the IBM Q-System-One failed 188 just because of error accumulation (Amico et al. (2019)).

Next to problems of deterministic complexity (P, NP, NP-hard, NP-complete), a class of bounded-error probabilistic-polynomial problems, or BPP, has been defined. These are problems that can be solved in a polynomial time and include random processes (such as in a probabilistic Turing machine), but are bounded, meaning that the algorithm gives the right answer with a large probability, fixed at 2/3. Obviously, problems that are in the P class are also in the BPP class, and it is believed (but not proven) that the two classes coincide, especially after it was demonstrated that the primality problem (i.e., determining whether a number is prime) is also in P (Agrawal et al. (2004)).

By lowering the requirement of giving the correct answer to more than 1/2 probability (that seems justone inch above the tossing of a coin to find the answer), the larger class of PP (probabilistic-polynomial)

problems is defined. And somewhere between the two, there is the BQP class, or bounded-error quantum-198 polynomial complexity (Bernstein and Vazirani (1997)). By definition. BQP contains all BPP problems, 199 and obviously all P problems; it also includes some NP problems, such as factorization. And it includes 200 some problems "beyond-NP", that is, problems for which a classical computer cannot find, or even check 201 the correctness of, the answer in a polynomial time. An example is the boson sampling problem, in which 202 somebody wants to determine the probability distribution of an ensemble of M identical, non-interacting 203 bosons (photons, spin-0 atoms²) after scattering through an interferometer. Aaronson and Arkhipov (2011) 204 Such a physical experiment requires a mathematical tool to calculate the answer, the *permanent* of an 205 $M \times M$ matrix, which would normally require an exponential time to compute ($\mathcal{O}(M^2 2^M)$) on a classical 206 computer (Marcus and Minc (1965)). Therefore, this seems like an ideal case in which to test the advantage 207 or "supremacy" of a quantum computer with respect to classical, digital computers (see below). 208

What do quantum computers look like, A.D. 2024? The hardware requirements to achieve computational
advantage can be summarized by three key properties:

- the quantum systems must initially be prepared in a well-defined pure state;
- arbitrary unitary operators must be available and controllable in order to launch an arbitrary entangled
 state;
- measurements (read-out) of the qubits must be performed with high quantum efficiency.

215 We can rank the different solutions proposed up to date in about three broad classes:

Gate-based quantum computation and the related class of universal digital algorithms, are approaches 216 that rely on a quantum processor, encompassing a set of interconnected qubits, to solve a computation that 217 is not necessarily quantum in nature. The dominant technique for implementing single-qubit operations is 218 via microwave irradiation of a superconducting loop (see below, Sect.3). Circuit quantum electrodynamics 219 (CQED), the study and control of light-matter interaction at the quantum level (Blais et al. (2021)), 220 plays an essential role in all current approaches to gate-based digital quantum information processing 221 222 with superconducting circuits. Electromagnetic coupling to the qubit with microwave pulses at the qubit 223 transition frequency drives Rabi oscillations in the qubit state; control of the phase and amplitude of 224 the drive is then used, to implement rotations about an arbitrary axis of the quantum state of each qubit; 225 these are the logical gates, which perform the sequence of required operations in the algorithm as a 226 sequence of unitary transformations of the state of the ensemble of qubits. Typically, current universal algorithms are tailored to a specific, and potentially noisy hardware (noisy intermediate-scale quantum-, or 227 228 NISQ-technology (Cheng et al. (2023); Kim et al. (2023b))) in order to maximize the overall fidelity of the computation, despite the absence of a yet complete and reliable scheme for quantum error correction. (See 229 for example the reviews in Kjaergaard et al. (2020); Huang et al. (2020). More on this class of devices in 230 231 the following.)

Adiabatic quantum computation is an approach formally equivalent to universal quantum computation, in which the solution to computational problems is encoded into the ground state of a time-dependent Hamiltonian. Solving the problem translates into an adiabatic (that is, very slow) quantum evolution towards the global minimum of a total-energy landscape that represents the problem Hamiltonian. Compared to numerical annealing on a classical computer, achieved by using simulated thermal fluctuations to allow

 $^{^2}$ In these experiments, atoms such as 87 Rb or 133 Cs are used, which do not look like bosons. At low temperatures, however, electrons and the nucleus behave as a unique ensemble, so that the Rb spin-3/2 nucleus plus the 37 spin-1/2 electrons make up a single system with integer spin.

the system to escape local minima (such as in the kinetic Monte Carlo method), in quantum annealing the 237 238 transitions between states are caused by quantum fluctuations, rather than thermal fluctuations, leading to a highly efficient convergence to the ground state for certain problems. The D-WAVE quantum annealers are 239 240 a successful line of development of this scheme, having already demonstrated the successful operation of 241 a machine with more than 2,000 superconducting flux qubits, on real physics problems (see e.g. Harris 242 et al. (2018)). More generally, any optimization problems that can be reframed as minimization of a cost 243 function (the "total energy") could be efficiently run on such devices. It may be worth noting that up to 244 now, there is still no firm proof that an adiabatic computer could offer an effective speed-up (advantage) 245 over an equivalent classical computation (Ronnow et al. (2014); Yarkoni et al. (2022)).

246 Quantum simulators are well-controllable devices that mimic the dynamics or properties of a complex 247 quantum system that is typically less controllable or accessible. The key idea is to study relevant quantum 248 models by emulating or simulating them with an hardware that itself obeys the laws of quantum mechanics, 249 in order to avoid the exponential scaling of classical computational resources. Quantum simulators are 250 problem-specific devices, and do not meet the requirements of a universal quantum computer. This 251 simplification is reflected in the hardware requirements and may allow for a computational speed-up with 252 few, even noisy quantum elements, for example by emulating specific Hamiltonians and studying their 253 ground state properties, quantum phase transitions, or time dynamics (see e.g. Fitzpatrick et al. (2017); 254 Ma et al. (2019)). Therefore, quantum simulators might be ready to address meaningful computational 255 problems, demonstrating quantum advantage well before universal quantum computation could be a reality.

256 **Chariots of fire.** The first claim of quantum computational advantage was launched in 2019, with the 257 general-purpose Sycamore quantum processor, housing 54 superconducting programmable TransMon 258 qubits operating at 10 mKelvin, built by a team of Google engineers in Santa Barbara, CA (Arute et al. (2019)). The qubits are arranged in a rectangular 9×6 Ising layout, with gates operating either on single 259 260 qubits, or pairs of neighbor qubits. Pseudo-random quantum circuits are realized by alternating single-qubit and two-qubit gates, in specific, semi-random patterns. This gives a random unitary transformation perfectly 261 compatible with the hardware. The circuit output is measured many times, producing a set of sampled 262 bit-strings. The more qubits there are, and the deeper the circuit is, the more difficult it becomes to simulate 263 264 and sample these bit-string distributions on a classical computer. By extrapolation, Google engineers estimated that a sampling that required about 200 seconds run on Sycamore would have taken $\sim 10,000$ 265 266 years on a million-core supercomputer. However, the claim was questioned by a team at IBM (whose quantum computer Q-system One is also based on superconducting qubits) who devised a much faster 267 classical algorithm, based on which they predicted (yet without performing it) that the classical calculation 268 269 would get down to about 2-3 days. Two years later, a Chinese team used a tensor-based simulation on their 270 Sunway digital supercomputer, to perform the same simulation in 304 seconds (Liu et al. (2021)).

271 **The Dragon Labyrinth.** It has been demonstrated already some time ago that by using only linear optical elements (mirrors, beam splitters, phase shifters) any arbitrary 1-qubit unitary operation, or equivalent 272 quantum gate, can be reproduced (Knill et al. (2001)). The flip side of the coin is that photon-based 273 274 implementation is not a very compact architecture. However, photonic quantum microcircuits are under 275 active development (see the Canadian startup Xanadu, Madsen et al. (2022)). The boson random sampling experiment, proposed by Aaronson and Arkhipov at MIT (Aaronson and Arkhipov (2011)), entails 276 277 calculating the probability distribution of bosons whose quantum waves interfere by randomizing their 278 positions. The probability of detecting a photon at a given position (e.g. behind a diffraction grating) involves practically intractably big matrices (the "Torontonian" requires to compute 2N determinants of 279

rank N) The Heifei University group published results of their largest optical quantum computer (Zhong et al. (2021)). They used 72 indistinguishable single-mode Gaussian squeezed states as input, injected into a 144-mode ultralow-loss interferometer, generating entangled photon states in a Hilbert space of dimension $2^{144} \simeq 10^{43}$. The classical solution requires calculating 10^{43} determinants 144×144 , so the "supremacy" in this case is clearly indisputable.

3 SUPERCONDUCTING QUBITS AND QUANTUM GATES

A simple search on the internet will present you a fairly large number of options to realize a quantum bit, 285 or qubit, ranging from cold atoms (Wintersperger et al. (2023)), to trapped ions (Bruzewicz et al. (2019)), 286 to nuclear spins, quantum dots, topological systems with a gap, and others. However, when it comes to 287 practical implementations on currently existing quantum computers, the long list turns into a rather short 288 one. Basically, only three choices along with some variants, are the ones found along the beaten path: 289 superconducting quantum dots, ion traps, and photonic circuits. To avoid excessive length, this article 290 will not deal with photon-based computing. Aside of the long tradition that makes generation, control 291 and measurement of photons as quantum systems a routine in many laboratories and industry, and despite 292 their many advantages, among which working at room temperature, photon-based quantum computing has 293 one major disadvantage, in that photons do not interact with each other. This key issue requires a special, 294 295 dedicated approach to the problem, which is well described in a number of excellent reviews already (see e.g. Slussarenko and Pryde (2019); Pelucchi et al. (2022); Giordani et al. (2023)). Hence, since this is not 296 primarily a review on quantum computing hardware, I will briefly discuss in this Section as a practical 297 example of physical implementation only the superconducting (SC) qubits, which are up to now the most 298 popular choice (IBM, Google, Rigetti, and others), in its two main variants, the charge and the flux qubit. 299

TransMon basics. The basic idea behind the SC qubit is to create a tunable oscillator in the solid-state with well defined quantum mechanical states, between which the system can be excited by means of an external driving force. The quantum harmonic oscillator is a resonant circuit that can be schematized as a typical LC-circuit-equivalent, with characteristic inductance $L \simeq 1$ nH and capacitance $C \simeq 10$ pF, which result in a resonant frequency:

$$f = \frac{1}{2\pi\sqrt{LC}} \simeq 1.6 \text{ GHz, and } \lambda = \frac{c}{f} \simeq 2 \text{ cm}$$
 (1)

305 In order to address quantum states individually a non-linear component to the circuit must be introduced,

thus making it an anharmonic circuit. A Josephson junction (J-J) is a device that consists of an insulator
"sandwiched" between two superconductors, and can act as a non-dissipative and non-linear inductance.
For this purpose, temperature must be in the mK range, sufficiently low for electrons to condense below
the Fermi energy and form Cooper pairs.

Since the dimensions of the J-J are of only a few hundred μ m (i.e., much less than the circuit's operating wavelength λ above), everything falls well within the lumped-element limit, and it can be described by using one collective degree of freedom Φ (the magnetic flux). In its basic implementation, the SC qubit can be designed in different manners: (1) as a *charge* qubit, composed of a J-J and a capacitor; adjusting the voltage can control the number of Cooper pairs; (2) as a *flux* qubit, with a loop inductance replacing the capacitor; changing the bias flux can adjust the energy level structure; (3) as a *phase* qubit, with just the J-J
and a current modulator; adjusting the bias current can tilt the potential energy surface.



Figure 1. Basic scheme of a Transmon. (a) The double J-J forming a loop, with its shunting capacity (blue), the read-out LC resonator (it could be replaced by a resonant cavity), and the coupling capacity (grey). (b) Difference between the linearly-spaced levels of the harmonic oscillator, and the non-linearly-spaced levels in the magnetically driven cosine potential.

For Φ to be treated as a quantum-mechanical variable, the width of the energy levels of the resonator must be smaller than their separation, which puts a constraint on the damping Q of the oscillator. Hence, to keep a $Q \gg 1$, the inductor could only be made by a superconducting wire: a quantum-LC resonator. But a single quantum-LC is still a harmonic oscillator, which means that its equally-spaced energy levels are not individually addressable. It is then impossible to restrict the system to only two states, as a qubit requires.

However, the magnetic energy in the J-J is not classically quadratic in the flux, but rather proportional to 322 the cosine of Φ , as $E_J = E_J^{max} |\cos(\pi \Phi/\Phi_0)| = L_J I_c^2$. Here $I_c = 2E_J/\hbar$ is the critical current (maximum 323 current that can flow coherently through the junction), $\Phi_0 = \hbar/2e$ is the SC quantum, and $L_J = \Phi_0/2\pi I$ 324 325 is the J-J inductance. The junction current is $I = I_c \sin \phi$, with $\phi = (2\pi/\Phi_0)\Phi$ the Josephson phase (Blais et al. (2021); Kjaergaard et al. (2020)). Due to this anharmonicity (Fig.1b), the ground and first-excited 326 states of the Cooper pair may be uniquely addressed at a frequency f_{01} , typically in the microwave range 327 4-8 GHz, without significantly perturbing higher-excited states of this "artificial atom". Then, the two 328 lowest-energy states make up an effective two-level system, i.e. a "pseudo-spin-1/2" system, although the 329 330 SC loop *per se* would not be a true 2-level system.

331 **Charge qubit.** Starting from the three basic implementations, different and more advantageous types of SC qubits have been invented, the TransMon being by far the most popular. Based on the charge-qubit model, 332 in which the number of Cooper pairs N is the main variable, a flux-tunable transmon can be realized with 333 double J-J (a modified SQUID loop, first proposed by J. Koch's group in 2007 (Koch et al. (2007), Fig.1a) 334 that can store an energy $E_I = (\Phi_0/2\pi)I$. The circuit is shunted by a large coupling capacitance, such that 335 the coupling energy $E_C = e^2/2C \ll E_J$, thus giving a large Q. The advantage of such a double-J-J is that 336 the values of magnetic flux Φ can be fine-tuned, and each qubit can be individually addressed by a "gate" 337 (actually, a sequence of GHz pulses). The state of the qubit is readout by a second resonator ("cavity") 338 339 whose resonant frequency f_R is chosen to be far from f_{01} . Then, the two possible qubit states show up as a (small) red- or blue-shift Δ about the central readout frequency, $f_R \pm \Delta$. 340

341 The Hamiltonian of the classical equivalent circuit can be written as:

$$\mathcal{H} = 4E_C \left(N - \frac{1}{2} \right)^2 - E_J \cos \phi + \underline{W(t) \sin(\Omega_R t + \phi)}$$
(2)

342 The quantum version for the TransMon qubit (such as found in IBM Quantum System, or Google

Sycamore) runs on a slightly modified version of the celebrated Jaynes-Cummings theoretical model (Loet al. (1998)), and looks like:

$$\hat{\mathcal{H}} = \hbar \sqrt{8E_C E_J} \left(a^{\dagger} a + \frac{1}{2} \right) - \hbar E_C \left(a \hat{\sigma}^+ + a^{\dagger} \hat{\sigma}^- \right) + \underline{\Omega_R \left[\mathcal{J}(t) \hat{\sigma}_x + \mathcal{Q}(t) \hat{\sigma}_y \right]}$$
(3)

In the two equations, the underscored parts represent the external field (a train of microwave pulses)

346 driving the Hamiltonian. N is the number of excess Cooper pairs (destroyed/created by the operators 347 $a, a^{\dagger} = \sqrt{n} |n \mp 1\rangle \langle n \pm 1 |; \hat{\sigma}_{x,y,z} \text{ and } \hat{\sigma}^{\pm} = \hat{\sigma}_x \pm i \hat{\sigma}_y$ are Pauli matrices; Ω_R is the Rabi frequency; \mathcal{J} 348 and \mathcal{Q} are the in-phase and quadrature components of the microwave signal W(t). In principle, N and ϕ 349 are both good quantum numbers to describe the TransMon; however, in the $E_C \ll E_J$ limit only N is well 350 defined, while ϕ fluctuates randomly.

Flux qubit. A variant of the transmon is realized with a SC ring interrupted by 3 or 4 Josephson junctions. The qubit is engineered so that a persistent current flows continuously when an external magnetic flux is applied. Only an integer number of flux quanta penetrate the SC ring, resulting in clockwise or counterclockwise mesoscopic supercurrents (typically 300 nA) in the loop, which compensate (screen or enhance) a non-integer external flux bias. The ϕ degree of freedom becomes now the main variable, the number of flux quanta N being random, and the coupling energy dominates over the charging energy, $E_J \ll E_C$.

When the applied flux through the loop is close to a half-integer number of flux quanta, the two lowestenergy loop eigenstates are found in a quantum superposition of the two currents. This is what makes the flux qubit a spin-1/2 system, moreover with separately tunable z and x fields,

The flux qubit has been used as building block for quantum annealing applications based on the transverse Ising Hamiltonian (Hauke et al. (2020)). A typical quantum Hamiltonian that can be implemented in a connected network of flux qubits, such as in the D-WAVE Chimera or Pegasus architectures, looks like:

$$\hat{\mathcal{H}} = \Lambda(t) \left[\sum_{i} h_i \hat{\sigma}_i^z + \sum_{i < j} J_{ij} (\hat{\sigma}_i^z \cdot \hat{\sigma}_j^z) \right] + \Gamma(t) \sum_{i} \Delta_i \hat{\sigma}_i^x \tag{4}$$

363 The h_i are asymmetry energies, J_{ij} represent the coupling matrix elements, and Δ_i are tunneling energies.

At the beginning of the quantum annealing process, it is $\Gamma(0)=1$ and $\Lambda(0)=0$, to create a known ground state as an equal superposition in the computational basis. During the adiabatic annealing protocol, the two parameters slowly evolve towards $\Gamma \to 0$ and $\Lambda \to 1$.

Other transmon variants have been proposed to counter some of the practical problems encountered in the different SC loops implementations, such as the C-shunt flux qubit (You et al. (2007)), to reduce charge noise; the "fluxonium" (Manucharyan et al. (2009)), to address the noise from inductance and offset charge; the " $0-\pi$ " qubit (Brooks et al. (2013); Gyenis et al. (2021)), designed to improve the symmetry of the two current states; and various types of hybrid qubits (see, e.g., Marcos et al. (2010); Kubo et al. (2010); Zhu et al. (2011)), in which the SC loops are coupled to solid-state elements, a doped crystal, or a resonant cavity, to exploit the advantages from different quantum effects. Over the recent years, the key objective of increasing the lifetime of the qubit state has been pursued, extending the coherence time from mere fractions of μ s well into the ms domain (Pop et al. (2014)).

The next important operation to consider is the read-out of the information from the qubit. For solid-state qubits, this may be performed by energy-selective escape from a metastable potential (Martinis et al. (2002); Hanson et al. (2005)), or with a bifurcation amplifier (Siddiqi et al. (2004); Mallet et al. (2009)). For the SC loops, it is possible to detect either charge, flux, or inductance. A popular method is the dispersive read-out (Wallraff et al. (2004)), in which the qubit and the resonator (see again Fig.1a) are coupled by a strength parameter $g \ll \Delta = \omega_{01} - \omega_R$, as in the approximate Hamiltonian:

$$\hat{\mathcal{H}} = -\frac{\omega_{01}}{2}\hat{\sigma}^z + \left(\omega_R + \frac{g^2}{\Delta}\hat{\sigma}^z\right)a^{\dagger}a \tag{5}$$

382 The presence of a $|0\rangle$ or $|1\rangle$ state in the qubit shows up as a small frequency shift in the resonator by the

quantity g^2/Δ . The read-out is "dispersive" in the sense that the signal corresponding to the two possible states appears clustered in two disjointed clouds in the complex plane (Blais et al. (2021)). The theory behind this technique has been established for systems both in and out-of equilibrium, for general two-level driven systems, non-Markovian dynamics, and thermally excited multilevel systems (Kohler (2017, 2018); Shen et al. (2022); Yang and Shen (2024)).

Qubits and quantum gates. Independently on their actual physical implementation, qubits are mathematically defined as two-state quantum systems, described by a state vector in a 2-dimensional Hilbert space, spanning a closed surface with conserved norm (i.e., a sphere, called the "Bloch sphere", Figure 2a). A standard basis is defined by the two vectors $|0\rangle$ and $|1\rangle$, conventionally aligned with the positive and negative direction of the z-axis.

A quantum computer executes a sequence of unitary transformations, $U_1...U_n$, as specified by a quantum 393 algorithm, with each transformation acting on one or two (rarely three) qubits. The unitary transformation 394 is executed by a "gate", actually a physical operation that makes an external system (microwave or laser 395 pulse, magnetic field switch, AC/DC signal) to interact with the qubit to modify its state. For a single 396 qubit it is often a rather simple operation, for example a microwave pulse at the exact frequency of the J-J 397 junction. For two-qubit gates it may be more complicated, since qubits are in principle arranged in such a 398 399 way that do not interact with each other, in their ground state. Coupling can be realized for example by properly designing the capacitance between the pair (Yamamoto et al. (2003)), or through their mutual 400 401 inductance (Hime et al. (2006)), or by a microwave cavity in which confined photons transfer the quantum state between qubits (Majer et al. (2007)), or yet by other means. 402

One-qubit gates act on either the phase or the excitation energy of a single qubit by applying a rotation on the Bloch surface (Fig.2b). The states of the qubit can be represented as column vectors, $|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ and $|1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$. Then the simplest operations of transformation of the state (a "gate") can be schematized by 2×2 matrices. An arbitrary rotation of the qubit state about an axis $\hat{\mathbf{n}}$ is represented as $U_{\hat{\mathbf{n}}}(\phi) =$



Figure 2. (a) The Bloch sphere. (b) Schematic of the transformation on the Bloch surface corresponding to the sequential application of a H, Z and again a H gate, resulting in the spin flip from $|0\rangle$ to $|1\rangle$ (therefore equivalent to an X gate).

407 $\exp(-i\phi\hat{\mathbf{n}}\cdot\vec{\sigma}) = \cos(\phi)\mathbf{I} - i\sin(\phi)(\hat{\mathbf{n}}\cdot\vec{\sigma})$, with $\vec{\sigma}$ the block vector having the Pauli matrices as its *xyz* 408 components.

In the case of the TransMon, standard rotation gates are available (hardware implemented) in the *xy*-plane or in the *z*-axis. For the *xy* single-qubit gate, the Hamiltonian reads:

$$\mathcal{H} = -\frac{\hbar}{2}\omega_{01}\sigma_z + W\cos(\omega_R t - \phi)\sigma_x = -\frac{\hbar}{2}\left[\Delta\sigma_z - W(\cos\phi\sigma_x + i\sin\phi\sigma_y)\right]$$
(6)

411 When the MW frequency is exactly tuned to the qubit frequency it is $\Delta = 0$, and the rotation in the xy

412 plane is fixed only by the choice of the phase angle ϕ . This makes the xy or phase-gate one of the most 413 important elements of quantum computing.

Students may find it difficult to grasp the meaning of the phase gate, since in introductory classes of 414 quantum mechanics the role of the phase remains rather obscure, and is often swept under the carpet by 415 noticing that "phase disappears when taking the $|\psi|^2$ of the wavefunction".³ If the output of a measurement 416 is a random distribution, in a classical setting one can only accumulate probability amplitudes of each 417 418 instance; however, in the quantum calculation, constructive or destructive interference allows to amplify or suppress some of the outputs, by manipulating the phases of the qubits. A great example is the quantum 419 Fourier transform (QFT) which finds periodic instances in a sequence, just like its classical counterpart. 420 The QFT algorithm transforms a *m*-bit state $|\psi\rangle = \sum_i \alpha_i |i\rangle$ to $|\psi'\rangle = \sum_i \beta_i |i\rangle = \sum_i \sum_k \alpha_i e^{2\pi i \phi_k} |i\rangle$, 421 i, k = 1...m. It requires the application of one Hadamard gate to each pair of qubits, followed by a sequence 422 of phase-gates for each term in the k-sum, resulting in a total of m Hadamard + m(m+1)/2 phase-gates 423 (that is, $\mathcal{O}(m^2)$ polynomial complexity). 424

³ This may be true for pure states and for many expectation values, such as energy, but it is not always the case. Consider, e.g., the mixed state $\psi = a_1\phi_1 + a_2\phi_2$: its $|\psi|^2 = |a_1|^2|\phi_1|^2 + |a_2|^2|\phi_2|^2 + (a_1^*a_2\phi_1^*\phi_2 + c.c.)$ depends on the phase, since $a_1^*a_2 = |a_1||a_2|e^{i(\theta_2 - \theta_1)}$. The energy of such a mixed state has periodic oscillations at the frequency $\omega = (E_2 - E_1)/\hbar$ because of the rotating phase.

425 Two-qubit gates carry out controlled transformations of the second qubit state (target), conditioned by 426 the state of the first one (*control*). Compared to single-qubit gates, whose working speed is limited by the 427 strength of the driving fields (Frey (2016)), two-qubit gates (notably, the entangling gate) can only operate at a speed proportional to the interaction strength between the qubits (Schäfer et al. (2018); Steane et al. 428 429 (2014)). This is typically weaker than available single-qubit drive strengths and cannot be easily increased, thereby representing one important limit to the coherence time (see below). For the SC qubits, moreover, 430 the limited anharmonicity makes single-qubit gates not much faster than two-qubit gates (Stehlik et al. 431 (2021); Moskalenko et al. (2022)). 432

433 As the two-qubit state is a column vector of dimension 4, the gates can be written as a 4x4 matrix. The 434 controlled transformations can be of two main types: the Controlled-NOT (CNOT), which leaves $|00\rangle$ and $|01\rangle$ unaltered, and swaps $|10\rangle$ and $|11\rangle$; the Controlled-Phase (CPHASE), which flips the phase of 435 the two-qubit state if both the qubits are excited. It is interesting to note that CNOT can be constructed 436 by applying Hadamard to the target qubit, then CPHASE to this new state, and Hadamard again (see e.g. 437 Wallquist et al. (2005)). The ensemble of CNOT gate, the Hadamard, and all phase gates, form an infinite 438 439 set of *universal* gates, by which any *m*-qubit unitary operation can be represented using $\mathcal{O}(m4^m)$ such 440 gates.

Entanglement. It is worth noting once more that *coupling* is not the same thing as *entanglement*. The 441 coupling refers to the physical mechanism allowing the exchange of information between different qubits. 442 The result can be either a non-entangled, a partially-, or a maximally-entangled state vector $|\psi\rangle$ (Franco and 443 Compagno (2016)). If $\rho^2 = \rho$, with $\rho = |\psi\rangle \langle \psi|$, the state is pure by definition. To check if it is also entangled, 444 however, we may look at the purity of the respective substates describing its parts. Imagine a system divided 445 in two parts with coordinates q_1, q_2 , and in a pure state $|\psi_{12}\rangle = |\psi(q_1, q_2)\rangle$ such that $\rho_{12} = |\psi_{12}\rangle \langle \psi_{12}|$; 446 each subsystem lives in a state described by the reduced density $\rho_1 = \text{Tr}_2\{\rho_{12}\}, \rho_2 = \text{Tr}_1\{\rho_{12}\}$. Then, 447 448 if the state wavefunction is not factorizable into pure states for 1 and 2, $|\psi_{12}\rangle \neq |\psi_{12}\rangle \otimes |\psi_{22}\rangle$, the two subsystems are entangled, and their respective reduced density describes a mixed state for each part (Caban 449 et al. (2015)). When a state is written out as a sum of basis vectors it is not always obvious to decide whether 450 or not it is separable. For example, a general two-qubit pure state like $|\psi\rangle = a |00\rangle + b |01\rangle + c |10\rangle + d |11\rangle$, 451 452 is separable when a=b=c=d, as $|\psi\rangle = a(|0\rangle + |1\rangle)(|0\rangle + |1\rangle)$, and not separable (entangled) for, e.g., b=c=0, since both qubits are now in a mixture of $|0\rangle$ and $|1\rangle$. 453

The differences here are subtle, and worth explaining. *Separable* states can be written as a combination of *product* states; product states, in turn, can be written as direct product \otimes of quantum states living in each subspace. Product states have no correlation at all among the degrees of freedom of the subspaces, whereas separable states can have correlations, which however are entirely classical. *Entangled* states have a higher degree of correlation of purely quantum origin.

Maximally-entangled states are called "Bell states". The meaning of maximum entanglement is usually taken as the maximization of Von Neumann's entropy (see below, Eq.(27) and on). A more layman interpretation is that the state is described by a single wavefunction (i.e., not separable), so that a measurement of any qubit gives the values of all the others deterministically. (By contrast, a mixed state would give a statistical mixture of all qubits.)

Entangled states can be obtained by the sequential application of a Hadamard single-qubit gate, followed by a CNOT. The Hadamard produces a 50/50 superposition of the basis states, for example:

$$[H]|0\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1\\ 1 & -1 \end{pmatrix} \begin{pmatrix} 1\\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\ 1 \end{pmatrix} = \frac{|0\rangle + |1\rangle}{\sqrt{2}}$$
(7)

466 Then, the CNOT gate operates on the product states, such as $|\psi_p^{\pm}\rangle = \frac{1}{\sqrt{2}}(|0\rangle \pm |1\rangle) \otimes |p\rangle$, p = 0, 1 to 467 obtain the finally entangled states. For example:

$$[CNOT] |\psi_0^+\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 & 0 & 0\\ 0 & 1 & 0 & 0\\ 0 & 0 & 0 & 1\\ 0 & 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} 1\\ 0\\ 1\\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\ 0\\ 0\\ 1 \end{pmatrix} = \frac{1}{\sqrt{2}} \left(|00\rangle + |11\rangle \right)$$
(8)

468 For two qubits, the four possible Bell states obtained by combining all product states are given by:

$$\begin{split} |\psi_B^{\pm}\rangle &= \frac{1}{\sqrt{2}} \left(|00\rangle \pm |11\rangle\right) \\ |\phi_B^{\pm}\rangle &= \frac{1}{\sqrt{2}} \left(|01\rangle \pm |10\rangle\right) \end{split} \tag{9}$$

However, not all entangled states are Bell states. For example, the states $|\psi\rangle = \cos(\theta) |00\rangle + \sin(\theta) |11\rangle$ for $\theta \in (0, \pi/4)$ are all entangled but are not Bell-states.

A last point worth noting, is that the time profile of the interaction Hamiltonian is controlled by classical parameters, such as the intensity of a laser beam, the value of the gate voltage, or the current intensity in a wire. Of course, all such parameters are also quantum-mechanical in nature, when examined at the atomic level; the fact that they behave classically means that there should be no entanglement between their (very large) quantum states, and the internal states of the qubits of the quantum computer.

Decoherence, dephasing, thermalization. However, all physical quantum systems are subject to decoherence and dissipation, mainly arising from their noisy interaction with the environment. As we will see later (Section 5), when exploring the connection between thermodynamics and information, any realistic sequence of operations of a quantum information processing device is irreversibly accompanied by the production of entropy, which pairs with the irretrievable loss of (quantum) information into the environment. Then, some questions immediately appear:

- What are the physical limitations on information processing set by thermodynamics?
- Can we maintain quantum computers in the deep quantum regime, so that we can actually exploit their advantage w/r to classical computers?
- The exponential increase in computing capability will entail and exponential increase of thermodynamic
 work and dissipated heat?

Within a standard picture for spin-1/2 systems, there are two characteristic decay rates that contribute to coherence loss: $\Gamma_1 = 1/T_1$ is the longitudinal relaxation rate (an energy decay rate), that is the time over which the qubit exchanges energy with its environment; $\Gamma_2 = 1/T_2 = 1/(2T_1 + 1/T_{\phi})$ is the transverse

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490 relaxation rate (a decoherence rate), that is the time over which the device remains phase-coherent. In 491 simpler words, T_1 is the time taken by a qubits to decay spontaneously e.g. from $|1\rangle$ to $|0\rangle$, while T_2 is 492 where a qubit dephases into a mixture of states such that the phase can no longer be accurately predicted. 493 Over the past 20 years, a steady increase in T_2 has brought superconducting qubits from the stage of 494 laboratory experiments, to the capability of building the first quantum computers (Oliver and Welander 495 (2013); Gil and Green (2020)).

496 Currently the error rate on the best quantum computers is about 1% for each elementary operation. 497 Although a 99% accuracy may seem already high, a single mistake affects the whole entangled system: just 498 one error corrupts the result. One way to improve errors could be to replicate N identical copies of the 499 logical unit and have them "vote" on the output. Only if all N physical qubits give the same answer, the 500 logical qubit is correct. (This is similar to what happens in classical computers, e.g. with the Hamming 501 correction code.)

Another method that is becoming standard is the introduction of correction, or "ancilla", qubits, with the same logic of the parity bit in classical digital computers. The ancilla qubit is prepared in $|0\rangle$, and then a sequence of CNOT gates are applied, from the working qubits onto the ancilla qubit. These gates flip the ancilla or "check bit" between $|0\rangle$ and $|1\rangle$ an even or odd number of times, depending on the parity of the bit string stored in the data qubits. When the ancilla qubit is measured, the parity of the state is the only thing that is measured, without interfering with the rest of the quantum computation.

However, as the number of logical qubits grows, the number of layers to correct the original plus the correction qubits grows exponentially. Google's labs estimate is that current technology may require 1,000 physical qubits to encode 1 logical qubit and attain an error rate of 1 in 10^9 .

511 Introduced as a measure of the practical estimate of the minimal availability of quantum resources to 512 perform a computation, the *quantum volume* of a quantum computer depends on the number of qubits N, as 513 well as the number of steps that can be executed while remaining in a coherent state, that is the *circuit* 514 *depth*, *d*:

$$V_Q = \min[N, d(N)]^2 \tag{10}$$

515 The variation of d with the number of qubits is $d(N) \simeq 1/(N\epsilon)$, for an average error rate ϵ . However, a

516 quantum algorithm typically engages subsets of n qubits from those available in the whole machine N. 517 IBM's modified definition of quantum volume (Moll et al. (2018)) is the equivalent complexity to simulate 518 the same quantum circuit on a classical computer:

$$\log_2 V_Q = \max_{n \le N} \{ \min[n, d(n)]^2 \}$$
(11)

519 Such definitions only provide a measure of the theoretical feasibility of a computation, neglecting other

520 constraint factors as, e.g., read-out times, 1/f noise, quantum error correction, magnetic or current (phase) 521 fluctuations.

4 THERMODYNAMICS IN A CLASSICAL DIGITAL COMPUTER

522 Thermodynamics was developed in the XIXth century, to provide a unified framework between mechanical 523 sciences and calorimetry. At the time, the motivation was very practical, namely use differences of 524 temperature to generate heat that could put bodies into motion - as clearly indicated by its name, *thermo* 525 (heat) and *dynamo* (movement). In other words, the goal was to design and optimize thermal engines, i.e. 526 devices that exploit the transformations of some "working substance" between different temperatures, to 527 convert heat into work. Work and heat are two ways to exchange energy, according to the First Law of 528 thermodynamics it is possible to convert one into another.

However, turning heat into work and back into heat, comes at a cost: it is not possible to cyclically extract 529 work from a single hot bath (Kelvin 1851), and while any amount of work can generate the same amount 530 of heat, heat can never be converted into the same amount of work.⁴ This no-go statement is one of the 531 expressions of the Second Law of thermodynamics, which ultimately deals with irreversibility. Interestingly, 532 the concept of work came originally from mechanical sciences (Lazare Carnot, 1803) and represents a 533 form of energy that can be exchanged reversibly: in principle, there is no time arrow associated with 534 work exchanges (at least for conservative forces), since the equations of motion in classical mechanics are 535 perfectly time-reversible. But when building steam machines it is always found that heat Q spontaneously 536 flows (only) from hot to cold bodies. To extract work W, a source and a sink at different temperatures T_1 537 and T_2 are necessary, independently on the nature of the exchange medium, as stated by Sadi Carnot in 538 539 1823 in a idealized experiment, the "Carnot cycle", for which he derived a theorem regarding the efficiency of a machine producing work. 540

541 A Carnot cycle is a closed ensemble of operations by which a thermodynamic machine starts from a 542 condition and returns to the same condition, after having performed some work at the expenses of the heat 543 extracted from a source at higher temperature than a sink. The theorem states that the ideally reversible 544 engine produces work from heat if and only if the sink temperature is lower than the source's, $T_2 < T_1$. 545 If $T_2 > T_1$ work must be supplied to the engine. If on the other hand $T_1 = T_2$ no work can be extracted. Being in theory fully reversible and designed to have the maximum possible thermodynamic efficiency, the 546 547 Carnot cycle can be run in the "forward" direction and "in reverse". When running in the opposite direction, 548 the same amount of work performed in the forward cycle is returned to the source as heat, the sum of the 549 forward and reverse operation resulting in zero net energy consumed and zero net work extracted. All such considerations, however, remain in the domain of idealized systems. The practical problem with such an 550 551 ideal situation is that the heat is extracted from the source, and transferred to the sink, while remaining at constant temperature, T_1 and T_2 , apparently contradicting the experimental observation that Q only flows 552 from hot to cold bodies. Furthermore, to move from T_1 to T_2 and back, a rigorously lossless transformation 553 is required, which in practical terms means to proceed at infinitely slow rate. 554

555 Entropy is the name we give to our losses (Clausius, 1856). The Second Law of thermodynamics is quite 556 different from other laws in physics, since (i) there are many different statements for the same law, and 557 (ii) it is only a qualitative description, rather than a quantitative relationship between physical quantities. 558 Clausius wanted to put Carnot's theorem on a more general basis, considering that heat exchanges between 559 a body and a thermal bath are always *not* reversible in the real world, and imply a loss of energy to the 560 environment. He introduced the notion of entropy, *S*, as the ratio between heat exchanged and working 561 temperature, encompassing both reversible and irreversible transformations in the single inequality:

⁴ Until around 1850 heat and work were considered to be distinct subjects. The experimentally observed asymmetry was the reason why Lord Kelvin initially did not accept the equivalence of work and heat, and rather expanded on Clapeyron's theory of reversible heat engine (Saslow (2020)).

$$Q\left(\frac{1}{T_{low}} - \frac{1}{T_{high}}\right) = \Delta S \ge 0 \tag{12}$$

562 Hence, it is usually said that the Second Law of thermodynamics introduces the notion of a time arrow.

563 Here we already could start thinking of the analogy with the operations being carried out in a digital computer, accompanied by a waste of heat. The computer is in principle maintained at constant temperature, 564 however it is an engine consuming energy to perform a computation, and its temperature would increase 565 566 (in the absence of refrigeration and heat removal) at each operation performed. This energy goes into flow 567 of electrons that move around the integrated circuits, capacitors, resistances, connecting wires. We can use Maxwell equations to deduce the amount of power accompanying the current. However, the fundamental 568 569 operations that the computer is doing are creating and destroying information, by using this electrical 570 current to flip the bit states in its memory from 0 to 1 and vice versa. Is there a link between the logical operations of creating and destroying information, and the energy required to physically run the computing 571 machine? 572

Statistical mechanics definition of entropy (Boltzmann 1875). In order to make such a link, we must at 573 least be able to find a connection between the macroscopic world of thermodynamics, and the microscopic 574 world in which electrons move and collide with other electrons and lattice vibrations (phonons). The 575 connection between the macroscopic and microscopic degrees of freedom was attempted by Boltzmann, by 576 introducing the notion of micro-state, that is a definition of the instantaneous condition of the microscopic 577 degrees of freedom (i.e., positions and momenta) that make up a macroscopically observable state. As 578 it is immediately evident, a macroscopic state can be obtained in a variety of microscopic ways: the air 579 molecules in a room continuously change their micro-state while the overall temperature and pressure 580 remain constant. 581

Boltzmann introduced the following microscopic expression for the entropy, interpreted as an extensivefunction that "counts" the number of micro-states of the system:

$$S = k_B \ln \Omega \tag{13}$$

584 Ω is the number of microscopic states compatible with a given set of thermodynamic constraints

585 (T, P, V, N, ...). Ω is a very difficult quantity to compute, or even to estimate, except some very simple 586 cases, such as the perfect gas:

$$\Omega = \frac{1}{N!} \left(2mE\right)^{3N/2} V^N$$
(14)

587 This statement is valid in the "microcanonical" statistical mechanics ensemble at constant- $\{N, V, E\}$.

588 For this experimental set up, all micro-states are equiprobable at equilibrium.

By constrast, for constant- $\{N, V, T\}$ conditions, that is the "canonical" ensemble, micro-states are not equiprobable, but are distributed according to the Boltzmann probability $\exp(-E/k_BT)$, and the energy *E* is replaced by k_BT in the definition of Ω , since *T* is now constant and all energy values *E* are allowed. That is, energy can fluctuate. Fluctuating quantities are not usually considered in macroscopic thermodynamics, 593 which deals with average values at equilibrium. Macroscopically we expect a system to have both a 594 well-defined temperature and well-defined energy. When we look at the microscopic scale, for a given 595 temperature the energy can fluctuate between different values. The macroscopic condition is recovered 596 because energy fluctuations ΔE are proportional to the (square root of) specific heat, an intensive quantity 597 proportional to the number of degrees of freedom N of the system:

$$(\Delta E)^2 = k_B T^2 N c_V \tag{15}$$

598 Hence, when we calculate the relative importance of energy fluctuations with respect to the absolute

value of the intensive quantity energy, it is $\Delta E/E \propto \sqrt{N}/N = 1/\sqrt{N}$. In other words, in the limit of a macroscopic system $N \sim 10^{24}$ the energy is practically constant. Eq.(15) is an example of a fluctuationdissipation relation, establishing a relationship between the thermal fluctuations of a physical quantity (energy) and another quantity (the specific heat) that describes its dissipation.

603 CMOS power dissipation: how big is a bit? Logical units in digital computers are made by combining a 604 number of transistors, carved with high density in the silicon chip. When the transistor is in a given logical 605 state its current consumption is negligible. All energy dissipation takes place during transitions between 606 logical states, and the source of this dissipation is the need to charge or discharge the related capacitors. 607 The energy dissipated to charge/discharge one CMOS transistor has a well established form:

$$E_{switch} \simeq \alpha C_{node} V^2 \simeq 0.01 \cdot 10^{-12} \cdot (3)^2 \simeq 0.1 \text{ pJ} \simeq \mathcal{O}(10^7) k_B T \tag{16}$$

608 for a supply voltage of 3 V, C_{node} being the lumped capacitance, and α a coefficient including the clock

frequency (Wiltgen et al. (2013)). In the Xeon Broadwell-E5 (14-nm technology) about 7,2 million transistors arranged in about 1 million logic gates (making up CPU, memories, controllers, etc.), are packed in 456 mm². Therefore, each transistor covers about $8 \times 8 \mu m^2$, with a thickness of ~0.2 mm, that is about 150 billion Si atoms. So, each atom dissipates about $10^{-4}k_BT$ at each switching of the transistor.

613 However, switching is a collective, statistically uncorrelated process: atoms follow quantum mechanics, 614 currents follows Maxwell's equations. A question arises: is there a link between the heat dissipation and 615 the use/transfer/loss of information?

Thermal noise and random bit flips. The flow of electrons in any current-conducting medium, for example 616 across a resistor, is affected by thermal fluctuations that entail a voltage fluctuation, with a spectrum usually 617 assumed to be Gaussian with zero mean. The Johnson-Nyquist formula (originally derived on the basis 618 of the equipartition law, (Johnson (1928); Nyquist (1928))) gives the thermal noise power density as the 619 product of thermal energy by the bandwidth, $P = k_B T \Delta f$. To fix a number, a 1 kOhm resistor at room 620 temperature with a bandwidth of 1 Hz generates a RMS noise of 4 nV. Although a capacitor is ideally a 621 noiseless device, when combined with resistors it generates noise. Due to the fact that Δf is inversely 622 proportional to \sqrt{RC} , and given the steady reduction of the oxide layer with increasing transistor density, 623 the overall result of CMOS miniaturization is an increase in the RMS width of the Gaussian voltage noise. 624

Under such conditions, there is a finite probability that a spike in the voltage noise V_n could pass, every now and then, the threshold voltage V_{th} to flip the bit, in a random fluctuation. The average frequency by which such an event can occur can be estimated from the Rice formula (Rice (1945)), whose result in theapproximation of white-noise is:

$$\nu = \nu_0 \exp\left(-\frac{V_{th}^2}{2V_n^2}\right) \tag{17}$$

629 Due to the steep dependence on the square of the V_{th}/V_n ratio, the frequency of a random bit-flip is

estimated about 35 million per hour at $V_{th}/V_n \simeq 5$, and drops to 2 in 10^{-9} per hour (that is, about 20 errors 630 per hour in a 1-Gbyte RAM chip) at $V_{th}/V_n \simeq 10$ (Kish (2002)). In recent years the threshold voltage V_{th} 631 has been constantly reduced, proportionally to the decrease in supply voltage, and in the most advanced 632 CMOS circuits it could be of the order of ~ 0.45 V. Requiring the signal/noise voltage ratio to be at least 633 >10, implies that the RMS thermal voltage fluctuation must be kept below about 35 mV to ensure a safe 634 operation, or a maximum temperature of $T = eV_n/k_B \lesssim 150^{\circ}$ C. Since leakage currents start affecting 635 silicon electronics above $\sim 180^{\circ}$ C, random bit flipping is likely the main thermal limit to further decrease 636 of voltage, the peak temperature of hot-spots in dense multiprocessor arrays being in the $\sim 100-120^{\circ}$ C 637 (normal electronics is rated to function up to 85°C, military electronics up to 125°C, which actually seems 638 a bit of a stretch, in view of the rapidly increasing bit-error rate). 639

5 INFORMATION AND THERMODYNAMICS: THE DEMONS OF LEO SZILARD

"Information is physical" (R. Landauer, 1961). One important achievement in the study of information 640 processing has been to make the link with thermodynamics, with the understanding that manipulating 641 information is inevitably accompanied by a certain minimum amount of heat generation. Computing, like 642 all processes proceeding at a finite rate, must involve some dissipation. More fundamentally, there is a 643 minimum heat generation per operation, independently on the actual rate of the process. The binary logic 644 devices of digital computers must have at least one degree of freedom associated with the information they 645 carry, typically a logic port with more than one input and just one output mixes information from the input 646 data, to present a value to the single degree of freedom of its output. As we will see below, devices with 647 more input ports than output ports are inherently irreversible, in that the output does not allow to reconstruct 648 the input information, Such devices exhibiting logical irreversibility are essential to classical computing. 649 650 The important point is that logical irreversibility implies physical irreversibility, which is accompanied by dissipative effects. The Boltzmann expression, such as Eq.(14), makes a link between entropy and the 651 number of microstates available for a system at a given energy, showing that the larger is Ω , the larger the 652 653 distribution of possible configurations (in quantum terms, we could think of some analogy with mixed 654 states). The dynamical equations, perfectly reversible at the level of individual degrees of freedom, become practically irreversible when the number of degrees of freedom gets very large. If we film two colliding 655 656 balls and play the movie in reverse, it is impossible to tell the past from the future. If however we film a 657 single ball hitting a triangle at snooker and play the same trick, it is immediately evident that the future is 658 the one with more disorder at the end: the larger Ω brings more entropy, and less information about the 659 dynamics of the individual trajectories. For a snooker with an Avogadro's number of balls, the information about past physical trajectories is irreversibly lost. 660

Rather than counting micro-states *à la* Boltzmann, entropy can also be rewritten (Gibbs,Jaynes (1965)) in terms of the absolute probability of each micro-state:

$$S = -k_B \sum_{i} p_i \ln p_i \tag{18}$$

663 For the microcanonical ensemble in which all the $p_i = 1/\Omega$, this writing is exactly the same as

Boltzmann's Eq.(13) (which was actually put down in that form by Max Planck); for a distribution $p_i = \exp(-E_i/k_BT)$, instead, it easily shown that the canonical ensemble is obtained with constant N, Vand T.Goldstein et al. (2020)

667 The notion of *information entropy* was defined by Shannon, Shannon (1948) when he tried to quantify the 668 "loss of information":

$$H = -\sum_{i} p_i \ln p_i \tag{19}$$

669 It can be viewed as the entropy change due to the presence/absence of information about a system, and

it actually was Von Neumann to suggest Shannon the evident equivalence between his definition and
Boltzmann's statistical mechanics formulation. But pushing the analogy even more forward, couldn't it
also be a measure of a *heat loss* accompanying the exchange of information?

The Szilard engine. Instead of considering a gas made of a large number of particles (Carnot), consider just one single particle that is either on the left or on the right of a chamber equipped with two frictionless "pistons" and a "wall". "Left" or "right" positions can be used to encode one bit of information (Figure 3). A "demon" who knows in which side of the box the particle is at time t=0, can spend this information (entropy) to:

- 678 1. close the wall between the two halves of the box; then
- 679 2. let the piston in the empty side move by doing zero work, until reaching the closing wall; and finally
- 680 3. extract useful work, by opening the wall and leaving the particle to expand back (isothermally) to its681 original equilibrium volume.



Figure 3. The Szilard engine and its demon.

This thought experiment was designed in 1929 by Leo Szilard (Szilard (1929)), to prove that possessing and using pure information has measurable thermodynamic consequences. Denoting by p the probability that the particle is (for example) found on the left, the Shannon entropy for the 1-particle engine reads:

$$H[p] = -p\ln p - (1-p)\ln(1-p)$$
(20)

685 If the demon has zero information, then: p = (1 - p) = 1/2, and $H[p] = -\ln 1/2 = \ln 2$. But if the 686 demon has this 1 bit of information, H must go to zero upon completing the cycle and:

$$\Delta H = H_{fin} - H_{in} = -\ln 2 \tag{21}$$

687 Using the single bit of information thus corresponds to a *reduction* in the entropy of the system. The

global system entropy is not decreased, but information-to-free-energy conversion is possible. After the particle is confined in one side of the box, the system is no longer in equilibrium: it appears that using information changed the system state without apparently changing the energy. Notably, the Szilard engine has been recently realized experimentally, by using Brownian particles (Toyabe et al. (2010)) or single electrons (Koski et al. (2014)).

(To be fair, the demon should have indeed more than just one bit of information: he must firstly decide at what place to put the wall, and then control the piston's direction of motion. Therefore, at least 3 bits of information are required.)

696 Let us then consider a "computer" with N binary bits. In the initial (prepared) all-zero state, all the $p_i = 1$ 697 and :

$$H_{in} = -N\ln 1 = 0$$
 (22)

After thermal equilibration, each bit has equal probability $p_i = 1/2$ of being found in the state 0 or 1.

699 This is to say that the initial information can be dispersed in any of the N states, and the information 700 entropy is:

$$H_{fin} = N \ln 2 \tag{23}$$

When we restore the initial state (that is, flush all voltages to ground, and reset all bits to 0) a minimum

702 heat of:

$$\Delta Q = k_B T \Delta H = k_B T N \ln 2 \tag{24}$$

703 is wasted. The RESET operation (erasure) is irreversible, and the wasted information turns to heat. The

huge difference between the theoretical $\ln 2$ and the $O(10^9)k_BT$ observed for the heat dissipation of a real transistor is due to the accessory circuits and wiring around each bit of information, but the lower limit of $\ln 2$ is incompressible.

To check this absolute limit, imagine that our computer goes instead into a defined final configuration, in which some bits have a higher probability of being in a given state, as could be in the result of some calculation. For example, N/2 have p = 3/4 and N/2 have p = 1/4 of being in state 1 (and all have 1 - pprobability of being in 0):

$$H_{fin} = \Delta H = -\frac{N}{2} \ln \frac{3}{4} - \frac{N}{2} \ln \frac{1}{4} = N \left(\ln 4 - \ln 3^{1/2} \right) > N \ln 2$$
(25)

711 It is easily shown that any choice of p_i 's different from 1/N gives a larger entropy. The value of $\ln 2$

appears therefore as an absolute lower bound for the heat dissipated by an operation destroying theinformation of a single bit.

For quantum systems, the statistical state is described by the density matrix ρ . The probability to have a certain state $|n\rangle$ out of a complete basis, as the outcome of a measurement, is $p_n = \langle n | \rho | n \rangle$. Therefore, the (Shannon) information entropy for such a measurement is:

$$S(\rho) = \sum_{n} \langle n | \rho | n \rangle \ln \left(\langle n | \rho | n \rangle \right)$$
(26)

717 By changing to the basis in which the density matrix is diagonal, the entropy assumes its minimum value,

718 and is called the Von Neumann entropy:

$$S_{VN}(\rho) = -\operatorname{Tr}\{\rho \ln \rho\}$$
(27)

719 usually multiplied by the constant k_B to give the entropy energy-like units. Evidently, the Von Neumann

entropy can be identified with the information entropy only if we pretend to know beforehand in which basis ρ is diagonal. For an equilibrium state with Hamiltonian \mathcal{H} , this is the canonical state, $\rho = \exp(-\beta \mathcal{H})$. A rigorous definition of entropy, however, should not assume any special *a priori* basis. At least the (classical) uncertainty of the macroscopic measurement apparatus should be included, the quantum state entropy being written as a conditional probability $S(\rho|\mathcal{A})$ of obtaining a certain measurement outcome for a given measurement condition, and averaged over all the measurable results \mathcal{A} (Stotland et al. (2004)):

$$\langle S(\rho|\mathcal{A}) \rangle_{\mathcal{A}} = S(\mathcal{A}) + \sum_{\mathcal{A}} P(\mathcal{A}) S(\rho|\mathcal{A})$$
(28)

726 where $\langle ... \rangle_{\mathcal{A}}$ indicates ensemble averaging, $P(\mathcal{A})$ is the probability of finding the macroscopic measurement

apparatus in the condition \mathcal{A} , and $S(\mathcal{A})$ the corresponding classical entropy.

Landauer's Principle (classical). Logical irreversibility is the act of processing an information in which 728 729 the output does not uniquely permit to retrieve the inputs. Now, the link between Gibbs' and Shannon's definitions of entropy is a purely mathematical one: by dealing with two very different situations, different 730 variables and different processes, they arrive at two definitions of a measurable quantity that formally read 731 identical. A plausible deduction is that these should be therefore the same quantity. Landauer (Landauer 732 (1961)), and later Bennett (Bennett (1982)), tried to put the equivalence on more physical grounds. Their 733 idea was that information at its most basic stage is a distribution of 0's and 1's physically entrusted to a set 734 735 of bistable systems described by a bistable potential; then, the (classical) thermodynamics of each two-state 736 system automatically associates the processing of information with the thermodynamics laws that those physical systems ought to follow. As a result, Shannon, Gibbs and Clausius' entropies must describe the 737 738 same thing, and logical irreversibility *must* imply thermodynamic irreversibility in the sense of the Second Law, that is, increase of entropy (Ladyman et al. (2007)). 739

740 The only nontrivial reversible operation a classical computer can perform on a single bit is the NOT 741 operation, with one input and one output whose values are strictly defined. By contrast, the operations 742 AND, NAND, OR and XOR are all irreversible, since they have more than one input and just one output. 743 Hence, from the output of these logic gates we cannot reconstruct the input: information is irreversibly 744 lost. However, in a quantum computer irreversible operations may be - at least ideally - avoided, for 745 example by saving the entire history of the process, or by replacing the irreversible gates by more complex 746 but reversible gates, e.g. using a Toffoli gate instead of the AND. It seemed therefore that information 747 processing at the quantum level should have no intrinsic thermodynamic cost, as firstly Bennett (Bennett 748 (1982)) and then Feynman observed (Feynman (1985)).

But the operation of erasing a bit of information, instead, has two possible states (0 or 1) being mapped 749 750 to a single definite state of 0, so it must entail a loss of entropy since the value 0 has now p=1, for both a classical or a quantum computer. A reformulation of the Second Law, Landauer's principle states that 751 752 the entropy decrease of the information-carrying degrees of freedom must always be compensated by an 753 equal (or greater) entropy increase in the environment. Classical experiments in which the minimum heat dissipated to erase the initial state, with a colloidal particle optically trapped in a double well representing 754 the two memory states, beautifully confirmed the link between information theory and thermodynamics 755 (Bèrut et al. (2011)). 756

Quantum computation is microscopically reversible. Qubits are defined as two-state quantum systems, described by a state vector in a 2-dimensional Hilbert space, spanning a closed surface with conserved norm (i.e., the Bloch sphere). A standard basis is defined by two vectors $|0\rangle$ and $|1\rangle$, conventionally aligned with the positive and negative direction of the z-axis.

761 In principle, a quantum gate performs rotations in the Bloch sphere of one or more qubits onto which it is 762 applied. Therefore, any quantum gate is a unitary operator:

$$UU^{\dagger} = U^{\dagger}U = \mathbf{I} \tag{29}$$

763 I being the identity operator. Such operation conserves the norm of the quantum state, and is perfectly

764 time-reversible (Bennett (1982); Feynman (1985)). Upon application of any sequence of quantum gates,

state vectors span the whole surface of the Bloch sphere. Being unitary, qubit rotations (in principle) do not generate any heat.

A *pure* quantum state is one that cannot be written as a probabilistic mixture of other quantum states. Pure states can also result from the superposition of other pure quantum states (entanglement). A density matrix, $\rho = |\psi\rangle \langle \psi|$, can be used to represent both pure ($\rho^2 = \rho$) and mixed ($\rho^2 \neq \rho$) states. Let's look for example at two states in the 2-dim Hilbert space of a qubit, $|\psi_1\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ and $|\psi_2\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$. Then, for a mixed state with equal probabilities $p_i = \frac{1}{2}$ we have:

$$\rho = \sum_{i} p_{i} |\psi_{i}\rangle \langle\psi_{i}| = \frac{1}{2} \begin{pmatrix} 1 & 0\\ 0 & 1 \end{pmatrix}$$
(30)

772 On the other hand, for a pure state with equal amplitudes, $|\psi\rangle = \frac{1}{\sqrt{2}} (|\psi_1\rangle \langle \psi_2|$, the density matrix is:

$$\rho = |\psi\rangle \langle \psi| = \frac{1}{2} \begin{pmatrix} 1 & 1\\ 1 & 1 \end{pmatrix}$$
(31)

In the Bloch sphere representation of a qubit, each point on the unit sphere stands for a pure state. The

arbitrary state for a qubit can be written as a linear combination of the Pauli matrices $(\hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z)$, with three real numbers (r_x, r_y, r_z) as the coordinates of a point in the sphere:

$$\rho = \frac{1}{2} \left(\mathbf{I} + r_x \hat{\sigma}_x + r_y \hat{\sigma}_y + r_z \hat{\sigma}_z \right)$$
(32)

Points for which $r_x^2 + r_y^2 + r_z^2 = 1$ lie on the surface, and represent pure states of any superposition of

777 $|\psi_1\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ and $|\psi_2\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$. Any other combination of $r_x^2 + r_y^2 + r_z^2 < 1$ lies in the interior of the sphere, 778 and represents thermally-mixed states.

How can we get thermally mixed states starting from pure states, and perform unitary transformationsthat should not generate any heat loss?

Pure states vs. mixed states: quantum entropy. The time evolution of a pure state starting from ρ^0 at time t = 0 under the action of a unitary operator $\hat{U}(t) = exp(-i\mathcal{H}t/\hbar)$ is obtained from the Von Neumann equation:

$$\frac{d\rho}{dt} = -\frac{i}{\hbar} \left[\mathcal{H}, \rho\right] \tag{33}$$

784 (that is, the quantum-equivalent of Liouville's equation) as :

$$\rho^t = U(t)\rho^0 U^{\dagger}(t) \tag{34}$$

For a time-independent Hamiltonian it is easily shown that the density matrix elements evolve as:

$$\rho_{nm}(t) = e^{-i\omega_{nm}(t-t_0)}\rho_{nm}(t_0)$$
(35)

786 The intrinsic dynamics generated by this time evolution is unitary, i.e. the diagonal density ρ_{nn} is

conserved in time, and the coherent superpositions oscillate at the frequencies ω_{nm} . The Von Neumann entropy, Eq.(27), is as well invariant under unitary dynamics (in fact, for pure states this $S(\rho)$ is just zero). This means that entropy generation by irreversibility cannot be a result of the intrinsic quantum dynamics. It can only result from changes in time of the statistical description of the interaction with an external system, which turns pure states into mixed states.

792 The density matrix of a mixed state can be defined on the basis of all the pure states $|\psi_i\rangle$ as :

$$\rho = \sum_{i} p_{i} \left| \psi_{i} \right\rangle \left\langle \psi_{i} \right| \tag{36}$$

793 and the Von Neumann quantum entropy of the mixed state, by extension, is obtained as :

$$S_{VN}(\rho) = -k_B \operatorname{Tr} \{\rho \ln \rho\} = -k_B \sum_{i} p_i \ln p_i$$
(37)

For two entangled subsystems A and B (for example two qubits, or an atom and an external field) a

795 quantity of interest is the Araki-Lieb inequality (Araki and Lieb (1970)):

$$|S_A - S_B| \le S_{AB} \le S_A + S_B \tag{38}$$

For a pure state, the partial trace tells that the entropy is equal for the two subsystems $S(\psi) =$

797 $-\text{Tr }\rho_A \ln \rho_A = -\text{Tr }\rho_B \ln \rho_B$. The inequality (38) gives the same result, because the total wavefunction 798 is also a pure state, therefore $S_{AB} = 0$, which implies $S_A = S_B$. This may be very useful e.g. for the case 799 of an spin-1/2 atom interacting with an external field: while the entropy of a two-state system is easy to 800 calculate, the entropy of the field could be much more difficult to obtain. It has been recently demonstrated 801 that the same Araki-Lieb inequality can be extended to mixed states (Anaya-Contreras et al. (2019)).

6 QUANTUM THERMODYNAMICS IS NOT WHAT YOU THINK

It is both interesting and funny to think that, to some extent, quantum mechanics was born out of thermodynamic considerations. The energy quantum was introduced in 1900 by Max Planck as a last resort in the search for an explanation of the experimental data of thermal blackbody radiation. Five years later, Einstein introduced the first germ of the idea of quantization of the electromagnetic field, on the basis of thermodynamic equilibrium of the blackbody "resonators". And Einstein again, in 1916, explained the relation between stimulated emission and radiation absorption using as well thermodynamic equilibrium arguments, in a seminal paper that represents the theoretical birthdate of lasers (Einstein (1916)).

Temperature? Temperature is at the heart of both classical thermodynamics and statistical mechanics, 809 810 and yet it is a rather difficult notion to put on firm grounds. The schoolbook definition of temperature as "average kinetic energy of the system" makes little sense upon closer inspection, unless only the 811 translational kinetic energy is considered: the amount of energy to increase temperature by 1 degree is 812 different for a monoatomic vs. a diatomic gas. Kelvin's definition of absolute temperature focused on the 813 heat exchanges between thermal baths, in the style of Carnot (who in his time did not have the concepts of 814 heat and entropy, and spoke generally of "caloric"), defining the ratio of two temperatures as being equal 815 to the ratio of the exchanged heat between two bodies. The more formal definition (Gibbs) looks at the 816 change in entropy as a function of internal energy, at constant- $\{N, V\}$: 817

$$\frac{1}{T} = \frac{\partial S}{\partial E} \bigg|_{N,V}$$
(39)

818 and defines temperature as an intensive quantity, the ratio between the differentials of two extensive

819 variables.

As we saw in the previous section, defining entropy rigorously for quantum systems with discrete energy 820 levels is still problematic, and this holds even more true for the notion of temperature. Temperature is a 821 property of the aggregate system, not of each single particle, and is properly defined only for systems at 822 823 equilibrium. Instead, open quantum systems are often found in non-equilibrium states, strongly coupled and correlated with the environment. Temperature is classically an intensive variable, that is, a physical quantity 824 that can be measured locally and is the same throughout the system; however, for systems with strong 825 interactions and a small number of degrees of freedom, locality is lost and some equivalent of temperature 826 827 could no longer be found to be intensive (Hartmann and Mahler (2005); García-Saez et al. (2009)). In standard quantum statistical mechanics, temperature is treated just as a parameter in the wavefunction, and 828 does not have an operator associated (you usually see it just as the $\beta = 1/k_BT$ relief at the denominator 829 830 of the Fermi-Dirac or Bose-Einstein energy exponentials). A common approach is to assign an effective temperature T^* , as the temperature of an equilibrium Gibbs state with the same average energy, that 831 is $\text{Tr}[H\rho] = \text{Tr}[H(e^{-\beta^* H}/\mathcal{Z})]$ (see Eq.(49) for definitions), under the assumption that after a transient 832 833 thermalization time, the density ρ will become indistinguishable from the Boltzmann distribution. However, for a quantum system not in equilibrium, just looking at the direction of heat flow is no longer sufficient to 834 835 define which temperature is the hotter or colder than a reference (thermometer). It has been recently shown experimentally (Micadei et al. (2019)) that in a system made, e.g., of quantum correlated spins prepared at 836 two different local temperatures, heat can flow in reverse from the cold to the hot region. Such a reversal 837 can be explained by a trade-off between the information contained in the correlated state and the reduction 838 of entropy, see e.g. Lloyd (1989); Henao and Serra (2018). 839

For a quantum system with sufficiently close-spaced energy levels, it is customary to use Von Neumann's definition, Eq.(27) (which strictly speaking refers to information and not to heat exchanges, Vallejo et al. (2020)). The reduced density matrix of a qubit in a random point of the Bloch sphere (see Eq.(32)) is then:

$$\rho_r = \frac{1}{2} \left(1 + \vec{r} \cdot \vec{\sigma} \right) = \frac{1}{2} \begin{pmatrix} 1 + r_z & r_x - ir_y \\ r_x + ir_y & 1 - r_z \end{pmatrix}$$
(40)

843 $\vec{\sigma}$ being the vector with components the Pauli matrices, and the entropy for the modulus $r = |\vec{r}|$ is:

$$\frac{S_r}{k_B} = -\left(\frac{1+r}{2}\right)\ln\left(\frac{1+r}{2}\right) - \left(\frac{1-r}{2}\right)\ln\left(\frac{1-r}{2}\right)$$
(41)

Let us imagine for the sake of simplicity a spin-qubit μ in a magnetic field \vec{B} , with Hamiltonian $\mathcal{H} = -2\mu(\vec{r} \cdot \vec{B})$. The internal energy in the density-matrix formalism is defined $E = \langle \mathcal{H} \rangle = \text{Tr}\{\rho_r \mathcal{H}\}$, from which a quantum equivalent "temperature" follows by formally applying Eq.(39):

$$T = \frac{1}{k_B} \frac{\mu r}{B_{||} \tanh^{-1} r}$$
(42)

847 $B_{||}$ indicating the component of \vec{B} projected on \vec{r} . It can be seen that at this level, thermodynamic properties,

and in particular the temperature, are function only of \vec{r} . Note that for pure states on the Bloch surface, the Von Neumann entropy is zero and such a definition of temperature also goes to zero (with the puzzling consequence that one could get to absolute zero by using a finite quantity of energy, thus contradicting the Third Law of thermodynamics). On the other hand, temperature is intended an average quantity that is applicable only to a system with a large number of degrees of freedom, and in contact with a thermal bath, that is, in a mixed state.

As a next step, let us consider an isolated system of 2N non-interacting spin qubits, initially prepared in an eigenstate with total energy E. At a given temperature, a subset M of spins is excited. For a weak coupling, it must be $M \ll 2N$. This is a *microcanonical* ensemble that at thermal equilibrium must equally share the total energy between all its degrees of freedom Ω . To have a density operator that is diagonal in any base, we must require that the wavefunction is an incoherent superposition of all states with constant energy E and random phases $\phi_i \in [0, 2\pi]$ (Ghonge and Vural (2018)):

$$|\psi\rangle = \frac{1}{\sqrt{R}} \sum_{j=1}^{R} e^{i\phi_j} |\psi_M^{(j)}\rangle, \qquad R = \binom{2N}{M}$$
(43)

860 R is the number of states with M thermally excited spins, and $|\psi_M^{(j)}\rangle$ is the j-th wavefunction of such

861 ensemble. For example, with N=4 and M=2, it is R=28 and $|\psi_2^{(1)}\rangle = |1100000\rangle$, $|\psi_2^{(2)}\rangle = |1010000\rangle$, ... 862 $|\psi_2^{(28)}\rangle = |00000011\rangle$. For a given excitation B, the temperature is a function of R:

$$T_R = \frac{1}{k_B} \frac{2\mu B}{\ln(2N/M - 1)}$$
(44)

An increasing temperature corresponds to an increasing fraction, $M \rightarrow N$, of spins excited; at the

864 opposite, $T \rightarrow 0$ when the system tends to perfect paramagnetic alignment.

Other concepts of effective temperature have been derived from detailed balance for near-equilibrium conditions (Dann et al. (2020)), for example in the case of the XX-Heisenberg system of two qubits representing an Otto cycle whose energy gaps are changed by the same ratio in the quantum adiabatic strokes (Huang et al. (2013)). Anyway, the definition of temperature in the quantum regime still remains a
subject of fundamental, and quite "heated" discussions (see, e.g., Kosloff (2013); Hartmann and Mahler
(2005); Ghonge and Vural (2018); Lipka-Bartosik et al. (2023)).

871 Quantum Carnot. Classically, the Carnot engine consists of two sets of alternating adiabatic strokes 872 and isothermal strokes. A priori, one may argue that the laws of thermodynamics (with an exception for the First) are defined just for macroscopic systems described by statistical averages, and hence, the 873 question of their validity for microscopic systems consisting of a few particles, or qubits, may itself appear 874 meaningless. However, already in 1959 (Scovil and Schulz-DuBois (1959)) Scovil demonstrated that 875 876 the working of a quantum three-level maser coupled to two thermal reservoirs resembles that of a heat engine, with an efficiency upper-bounded by the Carnot limit. A quantum analogue of the Carnot engine 877 consists of a working fluid, which could be a particle in a box (Bender et al. (2000)), qubits of various 878 kind (Geva and Kosloff (1992)), multiple-level atoms (Quan et al. (2007)) or harmonic oscillators (Lin and 879 Chen (2003)). For the simplest case of a three-level system, the quantum working fluid is the spectrum 880 of energy levels $E_1 < E_2 < E_3$; the high-temperature bath can excite transitions $\hbar \omega_h = |E_1 - E_3|$, the 881 low-temperature sink induces transitions $\hbar\omega_c = |E_1 - E_2|$; and a radiation field is tuned resonantly at 882 the frequency $\hbar\omega_r = |E_2 - E_3|$. At equilibrium, for each excitation $\hbar\omega_h$ the system loses an energy $\hbar\omega_c$ 883 to the cold sink, and $\hbar\omega_r$ to the radiation, so that the population ratios $n_1/n_3 = \exp(\hbar\omega_h/k_BT_h)$ and 884 $n_1/n_2 = \exp(\hbar\omega_c/k_BT_c)$ are maintained steady. The energy exchanged with the two thermal baths can 885 be thought of as "heat" (positive or negative), while the energy exchanged with the radiation field can be 886 identified with "work" extracted from the quantum system (the radiation plays the same role as Carnot's 887 "piston"). This identification of work and heat implies the energy relation $\hbar\omega_h = \hbar\omega_c + \hbar\omega_r$, which is the 888 analog of entropy conservation for a reversible cycle. (Reversibility here is within the limit of statistical 889 equilibrium among all the excitations.) 890

A remarkable result appears when the efficiency of this "thermodynamic" system is considered. The quantum system can work as an engine when a population inversion is realized between the levels 2 and 3, $n_3 > n_2$, which leads to a condition:

$$\frac{n_3}{n_2} = \frac{n_3}{n_1} \frac{n_1}{n_2} > 1 = \exp\left(\frac{\hbar\omega_c}{k_B T_c} - \frac{\hbar\omega_h}{k_B T_h}\right) \ge 1$$
(45)

894 The efficiency is, as usual, the ratio of the work extracted to the heat supplied by the hot reservoir,

$$\eta = \frac{\hbar\omega_r}{\hbar\omega_h} = 1 - \frac{\hbar\omega_r}{\hbar\omega_h} \tag{46}$$

895 which - thanks to the previous inequality - gives Carnot's limit $\eta \leq 1 - T_c/T_h$.

The proof of existence of Carnot's limit (a manifestation of the Second law of thermodynamics) at quantum length scales establishes a strong case for the emergence of thermodynamic laws at the most fundamental level. Quantum cyclic processes, although different in many ways from a Carnot cycle, still have important features in common with it. Most importantly, however, it has been shown that a quantum engine could exceed the capabilities of the Carnot cycle, in that it can operate between reservoirs of positive and negative temperatures (Geusic et al. (1967)).



Figure 4. A reversible quantum Carnot cycle depicted in the space of the normalized magnetic field ω and the magnetization *B*. The horizontal lines represent adiabats wherein the engine is uncoupled from the heat baths at inverse temperatures $\beta_{h,c} = 1/k_B T_{h,c}$, and the magnetic field is changed between two values; the two horizontal strokes represent changing the magnetisation ω while the qubits are connected to a heat bath at constant temperature. Black arrows indicate the direction of heat and work from/to the spin-qubit system. (Adapted w. perm. from Ref.[58]).

902 The classical definition Eq.(39) of temperature as the variation of entropy with energy, allows in theory a negative value of temperature if for some system the entropy does not increase, but rather decreases 903 upon increasing energy. The conditions by which this could happen were first identified by Onsager 904 (Onsager (1949)), and more precisely stated by Ramsey, as far back as 1956 (Ramsey (1956)). The 905 simplest example is a 1D chain of 1/2-spins of non-interacting qubits with gyromagnetic constant γ , in a 906 magnetic field $\omega(t) = -\gamma B_z(t)$ (Geva and Kosloff (1992)). The time-dependent Hamiltonian is simply 907 $\mathcal{H}(t) = \hbar\omega(t)\sigma_z/2$, coupled to two baths at temperatures T_h and T_c (Figure 4). During the adiabatic 908 expansion, $\omega_2 \rightarrow \omega_4$, and compression, $\omega_3 \rightarrow \omega_1$, work is done by, or on the spins, but entropy is constant; 909 in the cold, $\omega_1 \rightarrow \omega_2$, and hot isotherm, $\omega_4 \rightarrow \omega_3$, both heat and work are transferred to the cold bath, or 910 removed from the hot bath, while entropy, respectively, decreases or increases. The expectation value of 911 the Hamiltonian is obtained as: 912

$$\frac{d\langle \mathcal{H}(t)\rangle}{dt} = \frac{1}{2} \left(\frac{d\omega}{dt} \langle \sigma_z \rangle + \omega \frac{d\langle \sigma_z \rangle}{dt} \right)$$
(47)

913 The two terms on the RHS are to be identified, respectively, with the average work, $\langle \delta W \rangle = \langle \sigma_z \rangle \delta \omega / 2$,

914 and average heat, $\langle \delta Q \rangle = \omega \delta \langle \sigma_z \rangle / 2$, exchanged, in the analog of the derivation of the First Law (see 915 Eq.(50) below).

Now, consider the extreme situation in which the total magnetic energy of the spin chain increases 916 917 continuously from the lowest state with all spins "down", up to the highest state with all spins "up". Both the initial and final states have just one microstate available, $\Omega = 1$ $p_1 = 1$, therefore their Von Neumann 918 entropy is zero. While the magnetic field spans between these two extremes, the entropy first increases 919 from 0, goes to its maximum when the spins are on average half-up and half-down, and then decreases 920 again going back to 0. Correspondingly, the temperature goes from zero to plus-infinity at the entropy 921 maximum, then jumps to negative-infinity (because entropy and energy have opposite-sign first derivatives) 922 and goes back to zero always from negative values. 923

As Ramsey pointed out (Ramsey (1956)), the number of physical systems actually capable of assuming a 924 negative temperature is limited to systems with a finite number of energy levels, and sufficient thermal 925 insulation from positive-temperature reservoirs. In the real-world, atomic or nuclear spin systems have other 926 degrees of freedom; if the coupling between the spins and other degrees of freedom is much weaker than 927 928 the strong coupling between spins, we can talk about a "spin-temperature" separately from the temperature of the atoms, or lattice as a whole. It is interesting to note that if one can realize a system simultaneously 929 coupled to a positive and a negative thermal bath, Carnot's efficiency $(1 - T_1/T_2)$ could indeed assume 930 values larger than 1 (Geusic et al. (1967)). 931

By using quantum mechanical states as the heat exchanger "fluid", even a single atom can turn into 932 a Carnot engine, as shown by Singer's group in Mainz (Rossnagel et al. (2016)). Sandwiched between 933 an electric field representing the hot reservoir and a laser cooling beam representing the cold reservoir, 934 a single ⁴⁰Ca⁺ ion is caught in a funnel-shaped, magnetic quadrupole linear trap, with frequency ω_r . 935 The "temperature" of the ion quantum state is determined by the radial spreading of the wavefunction, 936 approximately Gaussian with a width $\sigma(T) = (k_B T / m \omega_r^2)^{1/2}$. The cooling laser is always on, while the 937 electric field switched on and off, thereby making the ion temperature oscillate between "cold" and "hot"; 938 by sweeping the trap frequency between the extremes $\pm \omega_M$, a thermodynamic cycle is performed and work 939 is extracted by the axial force generated by the movement of the trapped ion. Compared to the equivalent 940 Carnot cycle, the efficiency is extremely small, of the order of 0.003, however the result of a single ion 941 performing as a reversible and essentially frictionless quantum engine is nothing short of amazing. 942

The same group had previously demonstrated (but not experimentally realized, at least yet) an example of a Otto cycle for a time-dependent oscillator coupled to a "squeezed" thermal reservoir, which could have a theoretical efficiency above Carnot's limit and approaching unity (Rossnagel et al. (2014)). There, the squeezing (a common concept in quantum optics, (Breitenbach et al. (1997))) refers to the particular construction of the quantum states of the thermal bath, in which the thermal noise is distributed differently among the degrees of freedom; for example, in a harmonic oscillator the noise can be concentrated in the phase but not in the amplitude (Breitenbach et al. (1997); Esteve et al. (2008)).

Thermal vs. quantum fluctuations. Thermodynamics is a macroscopic effective picture of thermal 950 processes, not concerned with microscopic details, but only dealing with average quantities such as 951 temperature, work, dissipated heat. This classical approach is valid for a macroscopic number of particles 952 in the so-called thermodynamic limit $(N \to \infty, V \to \infty, \text{ with } N/V = const)$, but starts losing accuracy 953 as the system size decreases to a small number of degrees of freedom. In this regime, thermal fluctuations 954 of the average quantities can become as relevant as the averages themselves, or more, since they alone may 955 induce deviations from the average behavior (Alemany and Ritort (2010). Compared with macroscopic 956 thermodynamics, fluctuations play a much more important role in small systems. However, the presence 957 of fluctuations does not mean that we cannot characterize quantum systems thermodynamically; on the 958

959 contrary, fluctuations typically contain important additional thermodynamic and energetic information that960 is usually lost as noise in the infinite-system limit.

Stochastic thermodynamics picks up where the macroscopic description starts to fail, and gives insight into 961 962 the fluctuations of thermodynamic quantities. It also moves beyond the equilibrium situations associated with thermodynamics, and can describe the behavior of systems that are out of equilibrium. Considerations 963 stemming from fluctuation theorems (Evans et al. (1993); Jarzynski (1997); Crooks (1999)) are vital 964 965 when considering nanoscale devices, or biological protein machines, for which experiments confirmed the theoretical predictions of local violation of the Second Law (Wang et al. (2004)). Such "theorems" 966 (in fact, they should be better called "relations", since they do not stem from a rigorous derivation from 967 a set of axioms) state at different levels that for dynamical systems far from equilibrium there exists a 968 physically meaningful, real-valued variable Ω_t , extensive both in space and time, whose positive values are 969 exponentially more probable than the negative ones, or: 970

$$\frac{P(+\Omega_t)}{P(-\Omega_t)} = e^{\Omega_t} \tag{48}$$

971 In practice, this variable is easily identified with the entropy production, extensive and increasing with

time. What such fluctuation relations state, therefore, is that the Second Law probabilistically holds for a 972 macroscopic system observed over macroscopic times. And it can be "violated" (that is, entropy flows in 973 974 the reverse direction) is the system is sufficiently small and/or the observation time is sufficiently short. In 975 particular, according to Crook's fluctuation relation (Crooks (1999)), for a transformation between two microscopic states A and B separated by a free energy ΔF , the thermodynamic work W is a fluctuating 976 quantity, and is therefore given by a probability distribution of values. For ideally reversible transformations, 977 978 the work distributions in the time-forward or backward direction cross at the value $W = \Delta F$, as clearly demonstrated by optical tweezers experiments on the cyclic folding and unfolding of RNA fragments 979 (Collin et al. (2005)). 980

At the even smaller scale, however, fluctuations are no longer just thermal, but quantum-mechanical in origin. In the regime in which quantum phenomena are manifest, that is, very low temperatures and sizes smaller than the De Broglie wavelength, Heisenberg's uncertainty relations become the relevant source of noise in the form of localized, temporary random changes of the system energy, for a (very) short time. Then, many questions arise when applying such concepts to qubits. For example:

- What becomes of thermodynamic equilibrium, for time-reversible, unitary transformations?
- What is the meaning of thermalization in the presence of quantum integrals of motion?
- How to define and/or measure thermodynamic quantities for quantum systems?
- How entanglement is connected with the information entropy?
- and more...

The fluctuations we are after for a quantum system in contact with a heat bath are not strictly thermal ones. Rather, they are represented by combinations of (1) the possible changes in the distributions of the energy levels (that is, a change of the Hamiltonian), and/or (2) changes of their occupation numbers (that is, entropy). In both cases, the result is a degradation of the quantum state, i.e. a loss of coherence. The pure state turns into a mixed state. In the approximation of weak coupling between the quantum system and the thermal bath, the equilibrium density tends to a Gibbs state ($\beta = 1/k_bT$):

$$\rho^{eq} = \frac{\exp(-\beta \mathcal{H})}{\mathcal{Z}} \tag{49}$$

998 with as usual $\mathcal{Z} = \text{Tr}\{\exp(-\beta \mathcal{H})\}$ the system's partition function. The average internal energy is $E(\rho) =$

999 $\operatorname{Tr}{\mathcal{H}\rho}$, the entropy $S = -\operatorname{Tr}{\rho \ln \rho}$, and the free energy is obtained as $F = E(\rho) - TS(\rho) = -\ln \mathcal{Z}/\beta$. 1000 Hence, a "weak" quantum-equivalent of the First Law can be written:

$$dE = \delta Q + \delta W = \text{Tr}\{(d\rho^{eq})\mathcal{H}\} + \text{Tr}\{\rho^{eq}(d\mathcal{H})\}$$
(50)

1001 The first term on the right-hand side, containing the differential of the equilibrium density, is relative

to a variation of occupation numbers of the quantum eigenstates, and is therefore assimilated to a form of thermodynamic entropy analogous to the δQ of classical thermodynamics; the second term, in turn, containing the differential of the system Hamiltonian, corresponds to a change in the structure of the energy levels, as it could derive by a change in the system mechanics, and can be assimilated to a work δW done on, or by, the quantum system.

1007 Work and heat are not quantum-mechanical observables. Both quantities are dependent on the process 1008 path λ (and thus are non-exact differentials, like in classical thermodynamics), which means they do 1009 not correspond to quantum-mechanical observables, i.e. there is no Hermitian operator \hat{q} or \hat{w} such that 1010 $Q = \text{Tr}\{\rho\hat{q}\}$ and $W = \text{Tr}\{\rho\hat{w}\}$. The intuitive, simplistic reasoning behind such a statement is that the 1011 final-state Hamiltonian at $t=\tau$ does not necessarily commute with the initial Hamiltonian at t=0, i.e.

$$[\mathcal{H}(\lambda^t), \mathcal{H}(\lambda^\tau)] \neq 0 \tag{51}$$

1012 for some (or all) times $0 < t < \tau$.

1013 A different definition of *quantum work* (w/r to Eq.(50)) can be given as the difference between eigenvalues 1014 of the "instantaneous" Hamiltonian at the beginning and end of the path λ :

$$W = \left(\epsilon_m^{\lambda_\tau} - \epsilon_n^{\lambda_0}\right) \tag{52}$$

1015 Here quantum work is a random variable distributed as $p(W; \lambda)$, and is given by a time-ordered correlation

1016 function as a path-dependent quantity. On this basis, the quantum-equivalent of the fluctuation theorems1017 can also be recovered (Talkner et al. (2007); Hänggi and Talkner (2011)):

$$\frac{p(W;\lambda)}{p(-W;\lambda)} = e^{\beta(W-\Delta F)}$$
 (Crooks) (53)

$$\langle e^{\beta W} \rangle_{\lambda} = e^{-\beta \Delta F}$$
 (Jarzinsky) (54)

1018 However, for a quantum system entangled with its environment the interaction energies are not weak, in

1019 fact they will quickly degrade the pure state into a mixed one, in a time of the order of the coherence time. 1020 Identification of "heat" and "work" with the variation of the system's characteristics $(d\rho, d\mathcal{H})$ is no longer 1021 enough. During isothermal quasi-static processes, part of the free energy exchanged with the environment 1022 represents an "energetic price" to pay, in order to preserve the coherence and quantum correlations in the 1023 system. Denoting a non-Gibbsian, *coherent* and *correlated* state as ρ^{cc} , the extended entropy S_e can be 1024 written as :

$$S_{e} = -\operatorname{Tr}\{\rho^{cc} \ln \rho^{cc}\} =$$

$$= -\operatorname{Tr}\{\rho^{cc} \ln \rho^{cc}\} + [\operatorname{Tr}\{\rho^{cc} \ln \rho^{eq}\} - \operatorname{Tr}\{\rho^{cc} \ln \rho^{eq}\}] =$$

$$= \beta[E - (F + TS(\rho^{cc} || \rho^{eq})] = \beta[E - \mathcal{F}]$$
(55)

1025 (note that the last term in [...] in the second line is zero). $S(\rho^{cc}||\rho^{eq}) = \text{Tr}\{\rho^{cc}(\ln \rho^{cc} - \ln \rho^{eq})\}$ is 1026 the quantum relative entropy (Vedral (2002)), and $\mathcal{F} = F + TS(\rho^{cc}||\rho^{eq})$ is the so-called information 1027 free energy (Parrondo et al. (2015)). In analogy with the perfect-Gibbs case, consider the non-Gibbsian 1028 infinitesimal of dS_e :

$$dS_e = \beta (dE - d\mathcal{F}) = \beta \left(\operatorname{Tr} \{ (d\rho^{cc}) \mathcal{H} \} + \operatorname{Tr} \{ \rho^{cc} (d\mathcal{H}) \} - d\mathcal{F} \right)$$

$$\equiv \beta (\delta Q_{tot} - \delta Q_{cc})$$
(56)

1029 where $\delta Q_{tot} = Tr\{(d\rho^{cc})\mathcal{H}\}$ is assimilated to the total heat exchanged, and $\delta Q_{cc} = d\mathcal{F} - \text{Tr}\{\rho^{cc}(d\mathcal{H})\}$ 1030 is the "energetic price" to maintain coherence and correlation.

1031 Then, the "entangled system" quantum-equivalent of the First Law can now be written as:

$$de = dS_e/\beta + \delta \mathcal{F} = Tr\{(d\rho^{cc})\mathcal{H}\} + Tr\{\rho^{cc}(d\mathcal{H})\}$$
(57)

1032 only formally similar to the previous statement, Eq.(50), but with the peculiarly different meaning of the 1033 symbols for E, S_e, \mathcal{F} . 1034 **Quantum version of Landauer's limit.** Consider a quantum system S whose information content is 1035 progressively erased upon interacting with a quantum environment E. Both S and E are living in their 1036 respective Hilbert spaces W_S, W_E . Assume that the initial state of the composite system is factorized

$$\rho_{SE}(0) = \rho_S(0) \otimes \rho_E(0) \tag{58}$$

1037 such that no initial correlations are present. The environment is initially prepared in a thermal Gibbs state

1038 $\rho_E(0) = \exp(-\beta \mathcal{H}_E)/\mathcal{Z}_E$. S and E interact via the unitary transformation $U(t) = \exp(-i\mathcal{H}t/\hbar)$, with 1039 $\mathcal{H} = \mathcal{H}_S + \mathcal{H}_E + \mathcal{H}_{SE}$ the total Hamiltonian comprising the system, the environment and their interaction.

1040 Landauer's principle is related to the change in entropy of the total system plus environment, therefore 1041 we can reinterpret the heat exchanged between S and E, as the difference between their respective initial 1042 and final entropy:

$$[S(\rho_S^t) - S(\rho_S^0)] + [S(\rho_E^t) - S(\rho_E^0)] = \Delta S_S - \Delta S_E = \mathcal{I}(\rho_{SE}^t) \ge 0$$
(59)

1043 This is, by definition, also equal to the *quantum mutual information* exchanged between S and E:

$$\mathcal{I}(\rho_{SE}^t) = S(\rho_E^t) + S(\rho_E^t) - S(\rho_{SE}^t)$$
(60)

1044 (Note that for a completely factorized initial state, $\mathcal{I}(\rho_{SE}^t) = 0$.) With some algebra (see full derivation

1045 in Ref.Reeb and Wolf (2014)) it is shown that the average heat dumped from S into the environment, 1046 $\langle Q_E \rangle = \text{Tr}\{(\rho_E^t - \rho_E^0)\mathcal{H}_E\}$, is equal to:

$$\beta \langle Q_E \rangle = \Delta S_S + \mathcal{I}(\rho_{SE}^t) + S(\rho_E^t || \rho_E^0)$$
(61)

1047 And since both $\mathcal{I}(\rho_{SE}^t)$ and $S(\rho_E^t || \rho_E^0) \ge 0$, it is also:

$$\beta \langle Q_E \rangle \ge \Delta S_S \tag{62}$$

1048 This important relationship therefore establishes that the only heat dissipation in quantum computing

1049 occurs during state initialization and reset (erasure) operations, which are both linear in the number of 1050 qubits: the entropy changes in the quantum system turn into heating of the environment, by an amount 1051 simply proportional to the number of qubits, and not to the dimension of their Hilbert space. That's quite 1052 good news, since for N qubits the Hilbert space has dimension 2^N or, in other words, 2^N -distinct possible 1053 eigenstates, a number that grows very quickly. A classical computer simulating this quantum computer, 1054 instead, must use an energy at least equal to $2^N k_B T \ln 2$ just to initialize or erase the configuration. Hence, 1055 this represents an additional bound to quantum advantage for a given classical calculation.

Equation (62) has been verified experimentally in a number of cases. In Figure 5 the results of two such experiments are reported (Yan et al. (2018); Cimini et al. (2020)).



Figure 5. (a) Experimental verification of Eq.(62) on a ⁴⁰Ca ion-trap qubit. Black data = $\beta \langle Q_E \rangle$; red data = $\Delta S_S + \mathcal{I}(\rho_{SE}^t) + S(\rho_E^t)|\rho_E^0$ (reprinted w. perm. from Ref.Yan et al. (2018)). (b) The $\beta \langle Q_E \rangle \ge \Delta S_S$ limit demonstrated experimentally on a toy-model optical qubit gate. (Reprinted w/perm. from Ref.[34]).

Thermalization: randomization of pure states into mixed states. The typical initial condition of a 1058 quantum computer is a *pure state*, for example with all the qubits prepared in a same state $|\psi_i\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ for 1059 $i \in N$, by a previous RESET operation. As we saw in the subsection above, this operation costs both energy 1060 and heat, but it is fortunately linear with N. Quantum decoherence explains how a system interacting with 1061 an environment, transitions from being a pure state (which exhibits coherent superpositions) to a mixed 1062 state, that is an incoherent combination of classical alternatives. The transition is ideally reversible, as the 1063 combined state of system and environment may still be a pure state. However, for all practical purposes it 1064 should be seen as irreversible, as the environment is in general a very large and complex quantum system, 1065 1066 and it is not practically feasible to reverse their interaction.

A general description of the transformations between states when the quantum system is interacting with an external environment can be given by a kind of master equations, first introduced by Lindblad (Lindblad (1976)). Such dynamics preserves trace and positivity of the density matrix, while allowing the density matrix to vary otherwise (Breuer and Petruccione (2002)). Master equations have the general form (Manzano (2020)):

$$\frac{d\rho}{dt} = -\frac{i}{\hbar} \left[\mathcal{H}, \rho\right] + \sum_{k} \left[L_k \rho L_k^{\dagger} - \frac{1}{2} \left(L_k^{\dagger} L_k \rho + \rho L_k L_k^{\dagger} \right) \right]$$
(63)

1072 (to be compared with Eq.(33) above). The L_k are Lindblad operators that describe the effect of the

interaction between the system and the environment on the system's state. A good example is the interaction of the 1/2-spin qubit with an electromagnetic field, for which there is just one operator $L = \sigma^+$, $L^{\dagger} = \sigma^-$, which applied on the qubit give $\sigma^- |0\rangle = |1\rangle$ and $\sigma^+ |1\rangle = |0\rangle$. The external photon field is described by a spontaneous emission rate γ_0 , with number density N given by the Bose-Einstein distribution:

$$N = \frac{1}{e^{\beta\omega} - 1} \tag{64}$$

1077 and $\gamma = \gamma_0(2N+1)$ is the total emission rate, including thermally-induced absorption and emission at the



Figure 6. (a) Unitary dynamics from Eq.(33), and (b) dissipative dynamics from Eq.(65) in the Bloch sphere. (Adapted from G.T. Landi, w/perm.)

1078 temperature $\beta = 1/k_B T$ (Cherian et al. (2019); Jäger et al. (2022)). The master equation describing the 1079 evolution is:

$$\frac{d\rho}{dt} = -\frac{i}{\hbar} \left[\mathcal{H}, \rho\right] + \gamma_0 (N+1)\mathcal{D}(\sigma^-) + \gamma_0 N\mathcal{D}(\sigma^+) \tag{65}$$

1080 where the more compact "dissipator" notation $\mathcal{D}(L) = L\rho L^{\dagger} - \frac{1}{2} \{L^{\dagger}L, \rho\}$ has been introduced. Figure

1081 6a,b compares the evolution of the density matrix $\rho(t)$ in the two cases: (a) under the action of Eq.(33), 1082 with the unitary Hamiltonian $\mathcal{H}_0 = \hbar \omega \sigma_z/2$, and (b) the dissipative Eq.(65). All the unitary Hamiltonian 1083 does is a precession of the state vector around z; on the other hand, upon coupling to the dissipator operator 1084 the precession is accompanied by a damping towards the z-axis. (Side note: The Hamiltonian for a spin 1085 population pumped by a coherent laser source, $\mathcal{H} = \mathcal{H}_0 + \frac{\lambda}{2}(\sigma^+ + \sigma^-)$, is still unitary and hermitian (the 1086 rates of upward and downward transitions are equal), the result is just a precession about an axis inclined 1087 w/r to z, see dashed line in Fig.6a.)

It is worth noting that the time-independent generator in Lindblad form describes a *memoryless* dynamics 1088 1089 of the open system, typically leading to an irreversible loss of characteristic quantum features. However, in many applications open systems exhibit pronounced memory effects, in the more general framework of 1090 1091 non-Markovian quantum dynamics (Breuer et al. (2016)). Typically, this is due to the fact that the relevant environmental correlation times are not small compared to the system's relaxation or decoherence time, 1092 1093 thus rendering the standard Markov approximation not applicable. The violation of this separation of time scales can occur, for example, in the cases of strong system-environment couplings, structured or finite 1094 reservoirs, low temperatures, or large initial system-environment correlations (see, e.g., Verstraete et al. 1095 1096 (2009); Hanson et al. (2008); Zhang et al. (2017); Shen et al. (2013)).

1097 Decoherence describes the classical limit of quantum mechanics, but is different from wavefunction 1098 collapse. In the mixed state all classical alternatives are still present, whereas the wavefunction collapse

1099 (i.e., a measurement) selects only one of them (Hill and Wootters (1997); Wootters (1998)). Consider two qubits A and B (e.g., spin-1/2 particles, or polarized photons) characterized by an excitation energy E, at a 1100 temperature $k_B T = 1/\beta$, so that the thermal probability of the excited state is $p = [1 + \exp(-\beta E)]^{-1}$. 1101 Both a pure and a mixed state can be entangled over the ensemble of their qubits, however the difference 1102 1103 between the two cases is important. A pure state is called entangled when it is unfactorizable. One of the simplest definitions of entanglement of a pure state can be given as the (Von Neumann) entropy of 1104 either member of the pair. A mixed state, on the other hand, is called entangled if it cannot be represented 1105 as a mixture of factorizable pure states. The entanglement of a mixed state ρ is the minimum average 1106 1107 entanglement of an ensemble of pure states that represents ρ .

1108 The entanglement of formation $\epsilon_f(\rho)$ of the mixed state ρ , is the quantity of resources needed to create 1109 a given entangled state.Bennett et al. (1996) $\epsilon_f(\rho)$ is defined as the average entanglement between pure 1110 states of the decomposition, minimized over all the decompositions ψ_i of ρ (Verstraete et al. (2001)):

$$\epsilon_f(\rho) = \min \sum_i p_i S(\psi_i) = S_e \left[\frac{1}{2} \left(1 + \sqrt{1 - C} \right) \right]$$
(66)

1111 also related to a different measure of entanglement, the *concurrence* $C(\rho)$, via the Shannon entropy S_e .

In a quantum computation, maximally-entangled states of a pair of qubits (Bell states) can be constructed as we saw in Section 3 above, by applying a Hadamard gate (rotation) followed by a CNOT; let us call these two unitary operators U_1 and U_2 . Starting from an initial density ρ_i , the final maximally entangled state is $\rho_f = U_2 U_1 \rho_i U_1^{\dagger} U_2^{\dagger}$ (Verstraete et al. (2001)). What is interesting to note here, is that after some algebra, the concurrence of the final state can be obtained explicitly as:

$$C = \max\left(0, 2p^2 - p - 2(p-1)\sqrt{p(1-p)}\right)$$
(67)

1117 This suggests the existence of an "entanglement threshold": for $p \leq 0.698$, or equivalently for $k_B T/E \gtrsim$

1118 1.19, no entangled state of two qubits can be produced. For the typical TransMon excitation energy of the 1119 order of E=4 GHz, the maximum entanglement temperature is $T \simeq 240$ mK. Note that this limit is well 1120 above the working temperature of SC loops, around 10-20 mK, while trapped ion qubits are operated at 1121 even lower, liquid-He temperatures.

Many qubits, multipartite systems. We can associate to every unitary operation U a work cost W =1122 Tr $\mathcal{H}(\rho^f - \rho^i)$, which corresponds to the external energy input required to perform that operation. Think 1123 of two qubits A and B in a same thermal state at a temperature $T = 1/\beta$; their initial thermal state is joint, 1124 we can write $\rho_{AB}(\beta) = \rho_A(\beta) \otimes \rho_B(\beta)$, but their Hamiltonian is non-interacting, $\mathcal{H}_{AB} = \mathcal{H}_A + \mathcal{H}_B$. 1125 To entangle (correlate) them we must bring the joint system out of equilibrium. Necessarily W > 0 for 1126 every possible U because the initial state is in thermal equilibrium. Then, a relevant question is: what is 1127 1128 the minimal work cost for correlating thermal states? Or equivalently, what is the maximal amount of 1129 attainable correlations when the energy at our disposal is necessarily limited?

1130 The result of a limiting temperature for the entanglement of a pair of qubits, obtained from Eq.(67), can 1131 be generalized to the case of multiple qubits (Huber et al. (2015)). Consider N qubits and the rotation 1132 (Hadamard) from a pure to a maximally-entangled state in the subspace $|0\rangle^{\otimes N}$, $|1\rangle^{\otimes N}$. Next, consider the 1133 possible bipartitions of the system (j|N - j), for which we consider a subpart of the system of j < N1134 qubits entangled with its complement N - j. It has been shown that the concurrence is in fact independent 1135 on the particular choice of the bipartition, and depends only on the system size:

$$C = p^{N} - (1-p)^{N} - 2p^{N/2}(1-p)^{N/2}$$
(68)

1136 Again, we ask what is the smallest thermal factor $p_b = [1 + \exp(-E/k_BT_b)]^{-1}$, or the maximum

1137 temperature $k_B T_b = 1/\beta_b$, which allows to simultaneously obtain entanglement across all the possible 1138 bipartitions of the system. By imposing C to be positive, that is:

$$\frac{1}{\beta_b E} \ge \frac{N}{2\ln(1+\sqrt{2})} \tag{69}$$

1139 The corresponding work of correlation for the maximally-entangled set is:

$$W = NE \frac{(1 - e^{-N\beta_b E})}{2(1 + e^{-\beta_b E})^N} = NE \frac{1 + \sqrt{2}}{\left[(1 + \sqrt{2})^{2/N} + 1\right]^N}$$
(70)

1140 which is exponentially small in the number of qubits N. This interesting result proves that by increasing

1141 the number of qubits, it becomes possible to generate partial entanglement even at (arbitrarily) high 1142 temperatures. This is due to the fact that typical gate protocols act on qubit subspaces, whose population 1143 becomes negligible in the limit of large N. Therefore, even a small amount of entanglement obtained on a 1144 subset of the available states might be enough to obtain a substantial quantum advantage.

How many correlations can be induced in a system of many qubits? And how can we make sure that a set 1145 of qubits is actually entangled? This is the more general problem of entanglement detection. Guhne and 1146 Toth (2009) A measure of the total number of correlations gives the deviation of the global state of the 1147 1148 quantum computer from a corresponding uncorrelated state, a quantity that is important to estimate in the preparation of the initial correlated state. The total system composed of k subsystems would be said to 1149 have zero correlation if its state is such that $\rho = \otimes \rho_i$, $i \in k$, i.e. the direct product of its partials. Therefore, 1150 1151 a common measure of the correlation can be given by the relative entropy of the state (Goold et al. (2016); Bennett et al. (2011); Girolami et al. (2017)): 1152

$$S_{rel}(\rho) = \sum_{i} S_i(\rho_i) - S(\rho)$$
(71)

1153 Despite its apparent simplicity, such a measure is highly non-linear and difficult to access in a real

experimental device with more than just a few qubits, so that alternative approaches have been proposed,
based e.g. on the Rényi entropy (Brydges et al. (2019)), or the measurement of "witness" observables

(Guhne and Toth (2009); Friis et al. (2019)), or more general quantifiers including the notion of "fidelity"

1157 (Liang et al. (2019); Liu et al. (2022)).

The supremacy clause of thermodynamics. As it was briefly discussed in Section 4, the fundamental noise limit for classical computers is of thermal origin, with a contribution $\mathcal{O}(k_B T)$. To prevent random bit flipping, the excitation energy *E* needs to be sufficiently larger than the thermal energy $k_B T$. Under reasonable assumptions, the error probability in assigning a state to a classical bit is (Kish (2002)):

$$\epsilon_Q \gtrsim \exp\left(-E/k_B T\right) \tag{72}$$

1162 For a quantum computer with gates driven by auxiliary oscillators at frequency ω (with $\omega > 2\pi/\tau$, and τ

1163 the coherence time), the corresponding lower error limit is (Gea-Banacloche (2002b)):

$$\epsilon_Q \gtrsim \frac{\hbar\omega}{E} > \frac{h}{E\tau} \tag{73}$$

1164 It can be noted that this is a sort of generalized time-energy uncertainty relation, describing the minimum

1165 energy needed to change a state in a time less than τ with failure probability smaller than ϵ_Q . The meaning 1166 of this comparison is that in the quantum case the error decreases only in inverse proportion as the energy 1167 used, while in the classical case it decreases exponentially. The quantum regime corresponds to $\hbar \omega > k_B T$, 1168 that is when the quantum noise in the driving oscillator exceeds the thermal noise at the work temperature.



Figure 7. Estimate of minimum power required to factor a 1000-bit number, as described in the text. Solid line: oscillatory control fields, $\omega = (\epsilon_Q^{3/2} \tau)^{-1}$. Dashed line: static control fields only. (Reprinted w/perm. from Ref.[55])

Because of quantum reversibility the excitation energy E need not be dissipated, it only needs to be put into, and removed from, the driving system to switch on and off the desired gate evolution. In theory, if nothing is ever erased, "conservative" computation is possible. However, there are two caveats. Firstly, any

- 1172 proposed quantum computer architecture up to date has no mechanisms to actually "recycle" that energy.
- 1173 Current SC gates are based on microwave power pulses, which obviously consume energy irreversibly.

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However, any practical computer, even if it could use fully-reversible gates, is still going to generate heat at least because of error correction to keep the computation on track. Error correction inherently requires irreversible operations, such as supplying continuously ground-state configurations (e.g. "zero" ancilla qubits). Reversible circuits need to be adiabatic, there cannot be heat exchanges between the circuit and its environment. They must be in equilibrium at all times, which means to conserve the squared modulus of the wavefunction, and "all times" actually means during the coherence time.

1180 Two universal reversible logic gates, both operating on three bits or qubits, have been suggested to implement logically-reversible operations. The Toffoli gate (Toffoli (1982)) inverts the state of a target bit 1181 conditioned on the state of two control bits; the Fredkin gate (Fredkin and Toffoli (1982)) swaps the last 1182 two bits conditioned on the state of the control bit. In these two gates, any set of inputs is processed and 1183 1184 results as a unique pattern of outputs; these gates are therefore logically reversible. Examples of logically reversible circuits have actually been designed and fabricated (Shao et al. (2007); Patel et al. (2016); Li et al. 1185 1186 (2022); Orbach et al. (2012)), however they always practically display some degrees of energy dissipation by different means (e.g., requiring ancilla qubits (Ikonen et al. (2017)), finite-rate operation and read-out 1187 (Orbach et al. (2012)), and so on). 1188

1189 Secondly, and most important, this much excitation energy to prepare the initial state, even if in principle recyclable, has to be fully available at least to start the calculation. If we assume as a practical upper 1190 bound $\epsilon_Q \simeq 10^{-5}$ and a GHz quantum computer, the minimum (reversible) E per elementary logical 1191 operation is then ~ 0.007 eV, compared to the thermal energy $\sim 10^{-6}$ eV at 30 mK. As a worst-case 1192 example (Gea-Banacloche (2002a)), let us suppose that 5000 logical qubits are needed to factor a 1000-bit 1193 1194 number; a 7-qubit code concatenated only once (depth=2) is used for error correction; only local gates are available; and about 10 ancilla qubits per logical qubit are used. Figure 7 shows estimates of the minimum 1195 power as a function of coherence time, for a driving microwave field at frequency $\omega = (\epsilon_O^{3/2} \tau)^{-1}$, the full 1196 and dashed lines corresponding to periodic and static excitation fields. 1197

1198 Despite the purely heuristic nature of Eq.(73) (for example, the error limit could be improved by smarter 1199 correction algorithms, or by improved hardware solutions) the results clearly indicate that, for very large-1200 scale quantum computations, one really needs to use quantum systems with very long decoherence times. 1201 Values of τ in the 100- μ s range would require megawatt start-up power. It is just not feasible to get around 1202 the problem of short decoherence times just by driving the system at faster frequencies. This also suggests 1203 that there could probably never be the equivalent of a "Moore's law" for quantum computers.

1204 The required energy budget that would be needed for large-scale quantum applications has only sparely 1205 been considered, up to now. Efficiency, or quantum advantage, or quantum supremacy are most often 1206 estimated in terms of the amount of resources needed for a quantum vs. classical computation (number of 1207 qubits, connections, scaling of the operations). But the final bill from the electric company will eventually count the watt-hours consumed, and the notion of "green quantum advantage" provides the more useful 1208 1209 comparison, by looking at the amount of elementary operations performed per watt consumed (Bedingham and Maroney (2016); Jaschke and Montangero (2023)). A key quantity to consider is the amount of 1210 energy needed to implement a quantum gate in a set amount of time (Cimini et al. (2020); Deffner (2021); 1211 1212 Stevens et al. (2022); Fellous-Asiani et al. (2023)). While the main concern of fundamental quantum 1213 computing is focused at the issues of noise reduction and protecting quantum resources from decoherence, the management of resources at the full-stack, macroscopic level must take into account all the enabling 1214 1215 technologies that surround the quantum machine, and that make it possible to interact with and extract 1216 information from it. Quantum thermodynamics is but one brick of the construction that will lead to the 1217 future quantum computers; however, as it can be demonstrated by comparing with the historical trajectory

of classical CMOS computers, the issues around energy consumption of quantum computing represent
a crucial step, and must be faced even well before any practical machine will be operational (Aufféves
(2022); Carlesso and Paternostro (2023)).

Objectivity of measurement and "Quantum Darwinism" In the standard circuit (or QED) model, the 1221 array of qubits is initialized for example in the logical $|0\rangle$ state; then, a sequence of quantum gates is 1222 applied depending on the required algorithm; finally, a read-out operation is carried out by measuring 1223 1224 individual qubits in the same $|0/1\rangle$ computational basis. In alternative, the adiabatic quantum computation does not rely on gate sequences, but on the direct implementation of a smoothly varying Hamiltonian on the 1225 network of qubits; after the initial prepared state, annealing and read-out are cyclically performed to obtain 1226 1227 the global optimum configuration of spins, which gives the ground state of the "solution" Hamiltonian. In either instance, the read-out operations give a human-readable, classical physics result from the quantum 1228 1229 computation.

1230 The final state of the computation is something like $|\psi\rangle = \sum_{n} c_n |n\rangle$ and, as we know, the complex 1231 amplitudes c_n are not directly accessible. The measurement gives one of the $n \in N$ possible outcomes 1232 with probability $|c_n|^2$, that is a probabilistic rule for projecting the state vector onto one of the vectors of 1233 the orthonormal measurement basis. Consider for example the general qubit state $|\psi\rangle = c_1 |0\rangle + c_2 |1\rangle$, 1234 and assume that we want to perform a measurement in the orthonormal basis $|u\rangle = a |0\rangle + b |1\rangle$, $|v\rangle =$ 1235 $b^* |0\rangle - a^* |1\rangle$. The probability of a measurement giving $|u\rangle$ as a result is:

$$P(u) = |\langle u, \psi \rangle|^2 = |(a^* \langle 0| + b^* \langle 1|)(c_1 |0\rangle + c_2 |1\rangle)|^2 = |a^*c_1 + b^*c_2|^2$$
(74)

1236 and similarly, the probability of getting $|v\rangle$:

$$P(v) = |\langle v, \psi \rangle|^2 = |(b \langle 0| - a \langle 1|)(c_1 | 0 \rangle + c_2 | 1 \rangle)|^2 = |bc_1 - ac_2|^2$$
(75)

1237 Decoherence of the qubits is the loss of their typical quantum properties, entanglement and non-locality,

through interactions with the environment. New correlations with the thermal bath degrees of freedomappear, which degrade the information originally encoded in the quantum system.

In classical physics, what you see is simply "how things are". You can measure a tennis ball traveling at 120 km/h to a given direction, passing through a given point in space at a given instant of time. What more is there to say? But when a quantum particle is in a state of "superposition" before the measurement, the various superposed states interfere with one another in a wavelike manner. Only when we make a measurement we see one of those outcomes. But, given the probabilistic nature of the result, why just *that one*? Could someone else check our result and find that same outcome?

The definite properties that we associate with classical physics, such as position and velocity, may be selected from a "menu" of quantum possibilities, in a process loosely analogous to natural selection in evolution. The quantum properties that survive are - in a kind of pseudo-Darwinist sense - the "fittest" (Zurek (1982, 2003)). And, as it happens in natural selection, the "survivors" are those that make the most copies of themselves. Many independent observers can thus make measurements of the quantum system, each one using a different copy of the result, and agree on the outcome - a hallmark of classical behavior. "Quantum Darwinism" (QD, Zwolak et al. (2009); Milazzo et al. (2019); Chen et al. (2019); Ryan et al. (2021))



Figure 8. (a) Typical behavior of the information plots \mathcal{I}_m as a function of the fractions of environment interrogated by different observers. (b) The spin star environment.

1253 changes the role of the environment, from being a shady background with undetermined characteristics, into 1254 a fragmented space filled with redundant information that can be accessed and "measured" by individual 1255 observers. Notably, this notion is different from the macroscopic limit, because of which the number 1256 of degrees of freedom of the environment is so large that the *averaging* process dominates the read-out 1257 process; QD instead deals with the mechanism by which the quantum information gets encoded (i.e., 1258 entangled) to the surrounding quantum states of the environment.

Experimental measurements of the result of a quantum computation are typically recorded by collecting 1259 information transmitted through some carriers - photons, electrons, phonons - that constitute the 1260 environment (thermal bath). While there will be many such individual information carriers, only a small 1261 fraction typically needs to be captured in order for the observer to accurately record the measurement. 1262 1263 Given two observers, they will agree on the outcome when they can independently intercept different fractions of these information carriers, and both perform the same type of measurement on their respective 1264 1265 sets. In the QD scheme, they will necessarily arrive at the same conclusion, due to the entanglement shared between the system and all the environmental degrees of freedom. Then, a key question is whether is it 1266 possible to get enough information, by monitoring only a small part of the environment? 1267

1268 We may look at the amount of (Shannon) entropy that is produced by destroying the correlations between 1269 the system S and a fraction $m \in N$ of the total environment E, that is the quantum mutual information \mathcal{I} defined in Eq.(60) above, and ask how the partial information gathered compares to the whole (Blume-1270 Kohout and Zurek (2005)). From the obvious condition that \mathcal{I}_m must be non-decreasing, three possible 1271 behaviors can be envisaged as shown in Figure 8a: the linear one, $\mathcal{I}_m \propto m$, in which each fraction of the 1272 environment provides unique and independent information, so that each observer would obtain a separate 1273 information about the system: alternatively the curve 2, describing redundantly stored information, \mathcal{I}_m 1274 1275 rapidly increases, then plateaus at the value for which all observers essentially obtain the same information (the so-called "objectivity plateau"); or the curve 3, describing information about the system that is 1276 tightly encoded, so that \mathcal{I}_m remains close to zero, then suddenly increases to the maximum around some 1277 1278 characteristic amount, for example $m \sim N/2$.

1279 Let us consider a quantum system S of a single qubit initially in a pure state, superposition of two states 1280 $|\psi_1\rangle$, $|\psi_2\rangle$ expressed in the conventional basis $|0/1\rangle$ (for the sake of simplicity, I avoid here the customary 1281 introduction of the "pointer" states):

$$|\psi_S\rangle = a |\psi_1\rangle + b |\psi_2\rangle, \qquad |a|^2 + |b|^2 = 1$$
(76)

1282 and embedded in an "environment" of N other qubits, all in a same generic state $|\psi_E\rangle$, also expressed

in the same basis $|0\rangle$, $|1\rangle$ but with random coefficients. The overall initial state is assumed to be without correlation between S and E, i.e. factorizable as :

$$|\psi_{SE}^{0}\rangle = (a|\psi_{1}\rangle + b|\psi_{2}\rangle) \otimes |\psi_{E}\rangle$$
(77)

1285 QD posits that, after some thermalization time, in which the coupled S - E system evolves, the total

1286 state of the system can be turned to:

$$|\psi_{SE}^t\rangle = a |1\rangle |1^{\otimes N}\rangle + b |0\rangle |0^{\otimes N}\rangle$$
(78)

1287 the notation $M^{\otimes N} = M \otimes M \dots \otimes M$ indicates the direct product of all environment degrees being in either

1288 one or the other state of the computational basis.

Several authors have considered the configuration as a "spin star" (e.g., Giorgi et al. (2015); Ryan et al.
(2021), see Fig.8b), in which the single qubit is a spin surrounded by a circle of environmental spins.
Different subgroups of environment spins can be read-out by different observers, without perturbing the
central spin, which interacts independently and equally with each one of the subsystems

1293 If now we take the partial trace over the N qubits (spins) of the environment, the density matrix for S is 1294 obtained:

$$\rho_S = |a|^2 |\psi_1\rangle \langle \psi_1| + |b|^2 |\psi_2\rangle \langle \psi_2| \tag{79}$$

1295 while each of the environment qubits has the same density matrix:

$$\rho_{E_i} = |a|^2 |0\rangle \langle 0| + |b|^2 |1\rangle \langle 1|$$
(80)

1296 The crucial point is that, although the system S has lost its coherence, the population coefficients (a, b) of

1297 the qubit S are "imprinted" on each of the N environment qubits, generating a redundancy of information. 1298 This is the phenomenon manifested in the "plateau" of constant information seen in Fig.8a, curve (2). 1299 Then, different observers measuring only a subset of the final state will agree on the result. And this should 1300 represent the emergence of classical objectivity.

7 CONCLUSIONS

1301 This overview tried to provide a (necessarily limited and incomplete) synthesis of some outstanding issues 1302 in the definition of thermodynamic concepts at the level of quantum mechanics, under the peculiar angle of their possible and likely impact on quantum computer technology, and quantum computing algorithms. This 1303 1304 field has known a rapid growth in the past decades, moving from the domain of theoretical speculations, 1305 to the urgent requirement of starting to provide real solutions to practical problems that the quantum computing hardware is facing. Despite the main technical difficulties today still lie in the probabilistic 1306 nature of the quantum computing output and the need for error correction, it is possible that thermal limits 1307 1308 will represent the next hurdle for the efficient and useful operation of such machines.

1309 It may look surprising that the historical and philosophical discussions about the Second Law of thermodynamics should have an interest, and even represent a foundation for practical quantum computing. 1310 1311 The relationship between information theory, manipulation of information at small scales (which also 1312 interests other fields, such as molecular and DNA-based computing, see e.g. Kempes et al. (2017); Daley and Kari (2002)) and thermodynamics is not purely formal, but treats information as a physical entity. The 1313 1314 contribution of fluctuation theorems and stochastic thermodynamics provides a more ample framework for 1315 analyzing quantum information and exchanges of work and heat in open quantum systems. The definition of quantum entropy (Von Neumann's, despite some ambiguities, or other competing definitions) is also key 1316 1317 in the attempt at understanding the emergence of macroscopic information in the measurement process.

1318 Still, several problems and questions remain open, both at the fundamental- and applied-physics level. 1319 For example, the definition of quantum equivalents of work and heat given in Eq.(50) and the path-integral 1320 form in Eq.(52) refer to different situations. While the latter, fluctuation-based concept is applicable in 1321 general to either closed or open systems, the "weak" form refers to the average energy exchanges (ensemble 1322 averages) in and out of the system. In most cases these (and a couple other) different definitions arrive in 1323 practice at the same results, however our understanding of the question still appears not solid enough, and 1324 open to further investigation.

1325 At first, entanglement seems to be unrelated to thermodynamics. However, the challenge of maintaining 1326 entangled states is linked to the interaction of qubits with the environment, that is a thermal bath. Quantum decoherence, or the loss of off-diagonal components in the density matrix, is the process 1327 that eventually undermines entanglement, by transfer of entangled states between the computing qubits and 1328 1329 the environment's quantum states. There is a whole thermodynamic domain that I did not touch in this article, that is quantum batteries (Bhattacharjee and Dutta (2021); Shi et al. (2022)), whose key problem is 1330 1331 to quantify the maximum extractable work, and which crucially depends on the interplay of coherence and 1332 entanglement between the quantum battery and the charger.

Quantum computers can check and verify the theoretical predictions of quantum thermodynamics, and
quantum thermodynamics will, in turn, help to quantify and master dissipative processes in quantum
computing. The interplay of quantum mechanics and thermodynamics is a young research field, still rich of
interesting issues and open questions.

CONFLICT OF INTEREST STATEMENT

1337 The author declares no competing interests.

AUTHOR CONTRIBUTIONS

1338 FC assembled all the materials and compiled the original manuscript.

FUNDING

1339 Institutional funding from IEMN CNRS and the University of Lille is acknowledged.

ACKNOWLEDGMENTS

- 1340 I gratefully thank my colleagues Valérie Vallet and Stephan De Bievre for their kind invitation to the
- 1341 Quantum Information Working Group, in the University of Lille, which provided the excuse to assemble
- 1342 these lectures. Several useful discussions with Stefano Giordano (IEMN) are also gratefully acknowledged.

DATA AVAILABILITY STATEMENT

1343 All data that is used is public and referred to by references, footnotes or otherwise in the article.

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