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Establishment of polyploidy in natural populations of Mimulus guttatus

--Manuscript Draft--

 Key results: Tetraploids grew in 25% of Shetland populations, mostly together with diploids. In spite of large spatiotemporal overlap of the ploidies in nature, triploids were absent both in field and in controlled crossings, suggesting strong post-zygotic isolation. Fitness cost of triploid block, however, was not manifested in the field where we observed no decrease in fertility in areas of close ploidy sympatry. This suggests an additional post-pollination prezygotic isolation mechanism is involved. Indeed, mothers experimentally pollinated by a mixture of pollen from diploid and tetraploid fathers set fertile progeny whose ploidy was identical with the mother.

 Conclusions: In contrast to theory, neither pre-pollination segregation nor WGD-linked fitness benefit but prezygotic post-pollination barriers seems important for autotetraploid *Mimulus* establishment. Abundant presence of fertile tetraploids demonstrate the ability of novel autopolyploids to cope with both intrinsic and extrinsic challenges associated with WGD and to successfully establish in nature.

KEYWORDS

autopolyploidy, *Mimulus*, neopolyploid, post-pollination barrier, reproductive isolation,

whole‐ genome duplication

INTRODUCTION

 Polyploidy, which is the result of whole-genome-duplication, has long been recognized to be a prominent evolutionary process for plant speciation and adaptation. It has been estimated that up to 15 % of speciation events in flowering plants are associated with an increase in ploidy [\(Wood et al., 2009\).](https://www.zotero.org/google-docs/?MTDhHe) In addition, it has been demonstrated that polyploids have established themselves repeatedly within species [\(Ramsey and Schemske, 1998; Soltis and](https://www.zotero.org/google-docs/?Mt9pEj) [Soltis, 1999\).](https://www.zotero.org/google-docs/?Mt9pEj) Despite such a strong representation of polyploids, novel polyploid lineages (e.g., neo-tetraploids) are expected to face numerous challenges associated with their survival and establishment. The discrepancy between theoretical expectations on challenges during polyploid establishment (outlined below) and ubiquity of natural polyploidy represents a conundrum that calls for investigation of natural systems of incipient polyploid speciation.

 Polyploidization within a species (autopolyploidization) could be regarded as severe mutation with considerable negative effects on phenotypic, physiological, and life-history traits including cell-cycle regulation (e.g., [\(Levin, 1983; Otto and Whitton, 2000; Wright et](https://www.zotero.org/google-docs/?t86wVp) [al., 2015\),](https://www.zotero.org/google-docs/?t86wVp) meiosis [\(Doyle and Coate, 2019\)](https://www.zotero.org/google-docs/?vmVqX1) and reproductive success [\(Porturas et al., 2019;](https://www.zotero.org/google-docs/?Mu0AlB) [Clo and Kolář, 2021\).](https://www.zotero.org/google-docs/?Mu0AlB) As a result, neo-polyploids (exemplified by the most frequent neo- tetraploids with four chromosome sets, hereafter) are faced with competitive disadvantage with the well-established diploids, thereby making their establishment unlikely [\(Arrigo and](https://www.zotero.org/google-docs/?Lcgezj) [Barker, 2012; Clo, 2022\).](https://www.zotero.org/google-docs/?Lcgezj) To add insult to injury, novel tetraploid lineages arise sporadically within the diploid population, and, consequently, these rare tetraploids are expected to have lower reproductive success due to the effect of minority cytotype exclusion (MCE) [\(Levin,](https://www.zotero.org/google-docs/?qtojyY) [1975\).](https://www.zotero.org/google-docs/?qtojyY) Inter-cytotype hybridization is typically observed as a consequence of a lack of mating opportunities among the (initially rare) autotetraploids, resulting in unfit or sterile triploid progeny [\(Levin, 1975; Thompson and Lumaret, 1992; Husband, 2000; Otto and Whitton,](https://www.zotero.org/google-docs/?XpaO85)

 [2000; Ramsey and Schemske, 2002; Hazzouri et al., 2008; Parisod et al., 2010; Fowler and](https://www.zotero.org/google-docs/?XpaO85) [Levin, 2016\).](https://www.zotero.org/google-docs/?XpaO85) In sum, the combination of intrinsic challenges of WGD and reproductive interference with their diploid progenitors (MCE) are expected to make establishment of novel autotetraploids highly unlikely [\(Levin, 1975\).](https://www.zotero.org/google-docs/?3iGdT5)

 The wealth of naturally established autopolyploid lineages within many species [\(Kolář et al., 2017\),](https://www.zotero.org/google-docs/?CJ80Ga) however, demonstrated these challenges may often be overcome. Although polyploidization results in an initial fitness disadvantage [\(Porturas et al., 2019; Clo](https://www.zotero.org/google-docs/?m8GREk) [and Kolář, 2021\),](https://www.zotero.org/google-docs/?m8GREk) novel polyploids can rapidly adapt to the challenges of genome doubling [\(Yant et al., 2013; Bohutínská et al.,](https://www.zotero.org/google-docs/?BL2nhM) 2021a, b). A competitive advantage resulting from changes in plant traits in direct consequence of WGD or later adaptation may lead to niche differentiation and/or colonization of new environments, thereby reducing competition between the cytotypes [\(Stebbins, 1985; Baack, 2005\).](https://www.zotero.org/google-docs/?G4RoFi) In the longer term, polyploids may display higher adaptability than their progenitors, especially during stressful environmental conditions [\(Oswald and Nuismer, 2011; Yao et al.,](https://www.zotero.org/google-docs/?9k2PJg) [2019; Van Drunen and Friedman, 2022\).](https://www.zotero.org/google-docs/?9k2PJg)

 In addition, emergence of reproductive barriers between cytotypes, either as a direct consequence of WGD *per se* or subsequent reinforcement selection, may enable cytotype coexistence. Various prezygotic mechanisms have been demonstrated to strengthen 84 assortative mating between cytotypes, and thus to counteract the negative fitness effects of MCE. Besides shift towards asexual reproduction (such as apomixis or vegetative spreading, [Van Drunen and Husband, 2019; Van Drunen and Friedman, 2022\)](https://www.zotero.org/google-docs/?GwkJ2d) or self-fertilisation [\(Rausch and Morgan, 2005; Husband et al., 2008; Griswold, 2021\),](https://www.zotero.org/google-docs/?oOeMmo) prezygotic barriers 88 associated with phenological shift [\(Rezende et al., 2020\),](https://www.zotero.org/google-docs/?oqQ9NC) pollinator spectra (Segraves and [Anneberg, 2016\),](https://www.zotero.org/google-docs/?NCF8Uc) pollen precedence (i.e. preference for pollen of the same cytotype, [\(Baldwin and Husband, 2011\)](https://www.zotero.org/google-docs/?XGVVKN) were reported from mixed-ploidy systems. However, the

 importance of these barriers strongly varies between systems and there is not a general trend in traits associated with pre-pollination segregation [\(Porturas et al., 2019\).](https://www.zotero.org/google-docs/?q953QR) The scarcity of studies of pollen-pollen and pollen-pistil interactions does not allow for general conclusions on post-pollination prezygotic barriers in natural systems. Additionally, if the triploids are partially fertile, they may backcross with diploids and tetraploids and contribute to recurrent polyploid formation in sympatry (triploid bridge, [Husband, 2004; Suda and Herben, 2013\).](https://www.zotero.org/google-docs/?knGivi) Finally, stochastic processes, such as demographic and environmental fluctuations, may favour the establishment of the novel tetraploid lineage [\(Otto and Whitton, 2000; Oswald and](https://www.zotero.org/google-docs/?qOxVhc) [Nuismer, 2011; Bomblies and Madlung, 2014; Clo et al., 2022\).](https://www.zotero.org/google-docs/?qOxVhc)

 In sum, there is a dearth of natural examples from the critical phase of polyploid evolution - when a recently formed autotetraploid population that is in the phase of establishment, still coexists with its diploid progenitors. Here we leverage a unique example of such a system - less than 100 years old, spontaneously formed autotetraploid cytotype of *Mimulus guttatus* (syn. *Erythrante guttata*) in the Shetlands islands [\(Simón-Porcar et al.,](https://www.zotero.org/google-docs/?8ywmpO) [2017\).](https://www.zotero.org/google-docs/?8ywmpO) Diploid *Mimulus guttatus* was first introduced to the UK at the beginning of the 19th century from Alaska and since then rapidly spread especially in the northern UK [\(Stace,](https://www.zotero.org/google-docs/?p2siRJ) [2010; Vallejo-Marin and Lye, 2013; Vallejo-Marín et al., 2021\).](https://www.zotero.org/google-docs/?p2siRJ) A recent spontaneous genome duplication has been discovered by genetic clustering of an autotetraploid found in one Shetland population together with nearby diploids [\(Simón-Porcar et al., 2017\).](https://www.zotero.org/google-docs/?hsRI9Z) Local polyploidization in the Shetlands has been further supported by a global genetic analysis of native and introduced *M. guttatus* populations demonstrating monophyly of the Shetland populations regardless of ploidy [\(Vallejo-Marín et al., 2021\).](https://www.zotero.org/google-docs/?Cau9bZ) Provided that the oldest known records of *Mimulus guttatus* from Shetlands are from the early 1950's (five sites N and S of Lerwick, including the target QUA population, [Anon., 1836, BSBI records\)](https://www.zotero.org/google-docs/?cyxo5a) an origin of the tetraploid less than 100 years ago is likely. Given such a local and recent origin, *Mimulus*

 autotetraploids provide a rare window into the early drivers and consequences of spontaneous whole genome duplication and its establishment in natural conditions.

 Using *M. guttatus* as a case of incipient sympatric polyploid speciation, we addressed potential mechanisms promoting establishment of neo-autotetraploid plants in sympatry with their diploid progenitors. Specifically, we asked the following questions. 1) How large is the natural range of the neo-autotetraploid *M. guttatus* in the Shetlands? 2) How strong are the components of prezygotic isolation with its diploid progenitor (spatial arrangement, overlap in flowering, relative investment into clonality, pollen precedence)? 3) What is the relative fitness of neo-polyploids compared to diploids in natural conditions? 4) Is there a fitness cost of spatial coexistence of ploidies, in line with predictions of minority cytotype exclusion? 5) Are triploid individuals readily formed in mixed populations and/or controlled crosses and if so are they fertile, possibly contributing to interploidy gene flow and polyploid establishment?

MATERIALS AND METHODS

Sampling

 Sampling was aimed at covering the entire Shetland Isles, in the UK where the tetraploids were previously recorded in a single mixed population (QUA, [Simón-Porcar et al., 2017\).](https://www.zotero.org/google-docs/?tEQa7U) In total, we surveyed 15 populations and sampled 679 adult individuals of *M. guttatus* across the Shetland Isles in August 2022 (Appendix S1). For a broad-scale screening of ploidy distribution, 15 populations were located based on available floristic data [\(Anon., 1836, BSBI](https://www.zotero.org/google-docs/?VGompZ) [records\)](https://www.zotero.org/google-docs/?VGompZ) and our own additional search in suitable habitats. At each site with *M. guttatus*, GPS coordinates were taken and, depending on the size of the population, 5 to 20 individuals were collected, spaced at regular intervals of at least 1 m, to avoid collecting clones. To

 further explore the fine-scale distribution of diploid and tetraploid cytotypes in the natural mixed ploidy patches, 221 and 270 adult individuals were sampled from the two largest mixed-ploidy populations (BIG, Bigton; QUA, Quarff, respectively) in a systematic stratified design along natural transects. The plants grow in wet road ditches and along small streams, i.e. sites that are well set for sampling along a linear transect (Fig. 2). In each transect, we collected one individual every 1 m, unless we reached a distribution gap > 1m when we sampled the first individual beyond such a gap.

 For each sampled individual, reproductive and vegetative morphology were recorded to compare the phenotypic trait differences between diploid and tetraploid individuals in pure vs. mixed populations and transects. From all collected individuals, we inferred fitness and reproductive potential by recording the following traits: (1) number of flowers, (2) number of buds, (3) number of fruit capsules (both mature and immature), and (4) number of stolons branching at the base of each sampled stem. To be able to score both vegetative and reproductive traits on the same plants, we focused primarily on sampling flowering individuals. However, there were very few non-flowering individuals present in the transects in general, preventing sampling bias (pers. obs.). Prior statistical analysis, we omitted 35 individuals that lacked some traits to ensure that a homogeneous set of individuals is compared across traits. For statistical analyses, we thus used phenotype data of 320 diploid and 324 tetraploid adult plants (a subset of 180 diploids and 309 tetraploids came from the two deeply sampled mixed-ploidy populations). Wherever possible, mature capsules were collected from these plants and ripe seeds were stored in dry paper bags. In addition, parts of the stem with leaves were collected from each individual, placed in plastic bags and stored at cold temperatures (no more than 1 week) until ploidy level was estimated using flow cytometry.

Controlled crossings

In order to check for the presence of triploid block and the effect of pollen

 competition/pollen-pistil interactions, we raised plants (18 h light at 24°C and 6 h dark at 18°C, 70% relative humidity in controlled conditions) from mothers sampled in the two mixed-ploidy QUA and BIG populations and performed the following four treatments: (i) 169 interploidy cross where a mother plant was pollinated by father of different ploidy (six $2x \times$ 170 4x and four $4x \times 2x$ with maternal ploidy indicated first), (ii) mixed-cytotype cross where one 171 mother was pollinated by two fathers (ten $2x \times [2x + 4x]$ and ten $4x \times [2x + 4x]$) (iii) control 172 diploid cross ($2x \times 2x$, 13 crosses) and (iv) tetraploid control cross ($4x \times 4x$; three crosses as fewer tetraploids were available, they were less likely to flower in growth chambers, which may be due to their sensitivity to day length conditions, Simon Porcar et al., 2017). Young buds were emasculated and bagged one day prior to the pollination to avoid self-fertilization. Each pollination was ensured by pollen coming from four ripe anthers from a recently (1-2 days ago) open flower with fresh pollen. For controls and interploidy crosses, four anthers of a single father were used; for mixed-cytotype treatment, we simultaneously deposited pollen from two anthers of a father of the same ploidy and two anthers of a father of different ploidy 180 from the mother. Mature seeds were harvested after ~25 days after pollination and stored until germination in cold (+ 4 ℃). On a subset of 23 individuals (11 diploid and 12 tetraploid) where an undamaged terminal flower was well-developed at the time of scoring, we also recorded corolla height (measured from the base of the calyx to the highest tip of the petals) to check for ploidy-related quantitative difference in flower size reported previously [\(Simón-](https://www.zotero.org/google-docs/?urlCRk)[Porcar et al., 2017\).](https://www.zotero.org/google-docs/?urlCRk)

Experimental cultivations of seed progenies

 We sowed seeds of 69 mothers collected in the field from five populations as well as all 28 mothers from a crossing experiment to assess germination rates as a fitness proxy. For field- collected samples, we germinated seeds from the two mixed populations (BIG: 28, QUA: 28 mothers, representing both the areas of closest ploidy sympatry, i.e. mixed ploidy transects [subset of 27 mothers], and ploidy pure transects) and 3 diploid populations (COF: 3, AIT: 5, HOL: 5 mothers; seeds from the other ploidy pure populations were not available for germination). From the crossing experiment, we germinated progeny from all mothers that set any seed following the interploidy and mixed-cytotype treatments and from a subset of six control crosses.

 Mimulus seeds were not cold-stratified prior to germination; to avoid possible 197 infestation of cultivation spaces with thrips the seeds were treated at 37°C for 2 days, 198 followed by incubation at -18° C for another 2 days. Twenty seeds per each mother (or all seeds if less seeds were available) were sown in each petri dish in the soil (multi-purpose potting soil) and cultivated in a controlled environment facility (18 h light, 50% far-red light $+50\%$ white light, at 24 °C and 6 h dark at 18 °C, with sufficient watering) at the Department of Botany, Charles University. Seedlings were emerging by approximately four days after sowing (not shown) and germination success was thus scored 16-20 days after sowing when all germinable seeds were germinated and seedlings were clearly visible.

 To assess frequency of triploid formation in natural populations we determined ploidy variation in progeny coming from areas of close ploidy sympatry. To do so, 766 seedlings from a subset of 40 mothers from the two mixed-ploidy populations (all 27 mothers available from mixed-ploidy transects BIG:T1 and QUA:T2 and 13 mothers from diploid pure transects BIG: T2, T3 and QUA:T13, T14) were further analysed for ploidy by flow cytometry (see below). The mother plants from the two mixed-ploidy transects represented sites where individuals of both ploidies were intermingled at the scale of metres (Fig. 2).

 We assessed the ploidy level of all 679 adult individuals as well all 1302 germinated seedlings using flow cytometry (536 seedlings resulting from controlled crosses, 766 seedlings from natural mixed-ploidy populations, respectively). We prepared the samples following the two-step procedure using Otto buffers [\(Doležel et al., 2007\).](https://www.zotero.org/google-docs/?v6kaG2) We isolated the cell nuclei from fresh leaf tissues in the Otto I buffer (0·1 m citric acid, 0·5 % Tween-20; Otto, 1990) together with *Carex acutiformis* (2C = 0.82 pg; [Lipnerova et al., 2013\)](https://www.zotero.org/google-docs/?2hNRnV) as an internal standard. The solution was passed through a 42-μm nylon filter, stained with a staining solution consisting of Otto II buffer (0·4 m Na2HPO4.12H2O), β-mercaptoethanol, and a fluorochrome (DAPI, 4′,6-diamidino-2-phenylindole). The prepared samples were analysed in a Partec CyFlow ML (UV LED diode, fluorochrome – DAPI) flow cytometer, with a minimum of 1500 events analysed per sample. The histograms were evaluated with FloMax FCS 2.0 software (Partec, Münster, Germany). Only histograms with coefficients of variation (CVs) for the G0/G1 peak of the analysed *Mimulus* sample below five were considered. Low background debris, clear peaks and lack of prominent G2 or endopolyploid peaks in *Mimulus* enabled us to use pooled samples (up to 5-10 individuals) for ploidy screening. If a ploidy mixture was detected (multiple sample peaks), each adult sample was re-analyzed separately. This was not possible for young seedlings that were completely processed during the sample preparation. Lack of ploidy mixtures in progeny of one seed family, except for two analyses with a peak at position corresponding to a triploid among other tetraploid individuals (Appendix S2), enabled us to apply such a high-throughput screening approach also for ploidy characterisation in the progeny of all analysed seed families.

Pollen staining

 To assess the pollen viability of both cytotypes, we randomly selected five diploid and five tetraploid flowering plants from the two mixed-ploidy populations (BIG and QUA). We harvested one mature flower bud (with already dehisced anther) from each plant and stained it following Alexander's staining [\(Peterson et al., 2010\).](https://www.zotero.org/google-docs/?QRmsD5) We removed two anthers from one bud and mounted them onto a microscope slide and stained with Alexander's stain diluted 1:1 241 with dH_2O . We captured images of a minimum of 100 pollen grains for each sample using an 242 Olympus DP72 light microscope at \times 20 magnification. Finally, we visually classified the pollen grains as either viable (> 80% of cytoplasm) or non-viable (< 20% of cytoplasm and non-stained).

Statistical analyses

 The statistical tests have been performed with R (R Development Core Team, 2013) and the package "lme4" [\(Bates et al., 2014\).](https://www.zotero.org/google-docs/?TkEP2F) We tested if diploid and tetraploid individuals differed significantly for the traits of interests (flowering proportion, reproductive investment, stolon number and germination rate, and size of the flowers) using mixed-effects generalized linear models. We used Poisson distributions and logarithmic link functions for the traits reproductive investment, stolon number, size of the flowers and pollen viability. We used binomial distribution with a logit link function for the flowering proportion and the germination rate. For the analyses on reproductive investment, stolon number, size of the flowers and pollen viability, we compared the two following models:

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257 Y_j = \mu + population_j + \varepsilon_j (model 1),
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and

261 $Y_{ij} = \mu + \text{cytotype}_i + \text{population}_i + \varepsilon_{ij}$ (model 2).

 In each models, *Y* represented the variable under study (reproductive investment, stolon number, flowering proportion or germination rate), *μ* was its mean, cytotypei was the 265 fixed effect of the *i*th cytotype (diploid or tetraploid), *population*_j was the random effect of the *j*th population, and ε _j or ε _{ij} were the residuals errors of models 1 and 2 respectively. For the germination rates, as we used several seeds from different mother plants, we also controlled for the among-mother variability. To do so, in addition to the random effect of population, we also added a random effect of a mother.

 For all traits, the model 1 is always nested in model 2, and the significance of the cytotype effect was tested with Likelihood Ratio Tests (LRT) between the two models. We fit the above-mentioned models on the whole data set (containing the 15 sampled populations), and on the mixed-populations only (BIG and QUA). In addition, we tested if differentiating diploid and tetraploids within pure and mixed-populations increased the likelihood of the models, but it did not, and these results are only presented in the supporting information section (Appendix S3). In contrast, differentiation between pure and mixed transects (when dealing with mixed populations only) had a significant effect and such results are presented in the main text.

 Differences in the germination rate of progeny from crossing experiments were tested using the same approach as described above for germination rate of cytotypes in the natural populations using treatment as a factor with three levels (control, interploidy and mixed- cytotype) and a random mother, accounting for the variability in germination success among 283 offspring coming from different mother. Crosses yielding less than five seeds $(N = 5)$ were excluded from the calculation to avoid imprecise estimates of germination rates.

 Spatio-temporal distribution of cytotypes at a landscape and within-population scales

 Among the 679 adult individuals sampled, we identified two clearly distinct relative genome size values corresponding to diploid and tetraploid cytotype (352/327, respectively), that have been previously karyologically validated [\(Simón-Porcar et al., 2017\).](https://www.zotero.org/google-docs/?iCyUfe) Diploid individuals were distributed across three islands within the Shetlands archipelago, while tetraploid cytotypes were restricted to the southernmost part of the Shetland Mainland Island, from Lerwick southwards (Fig. 1).

 At the within-population level, tetraploids mostly co-occurred with diploids: we sampled three ploidy-mixed and only one pure tetraploid population. In the remaining eleven populations we sampled only diploids. Within all three ploidy mixed populations, tetraploids were the dominant ploidy (57% - 69 %) and in each we found some areas with co-occurring diploid and tetraploid individuals, as well as ploidy-pure patches (Fig. 2). Strikingly, we found no adult triploid in spite of close spatial intermingling of diploids and tetraploids at a scale of less than a metre (Fig. 2).

 We also detected a consistent trend in temporal change in ploidy frequency in favour of tetraploids in one mixed population, QUA, that has been partially sampled already seven years ago [\(Simón-Porcar et al., 2017\).](https://www.zotero.org/google-docs/?xuYGFo) In transect T1, sampling from 2015 detected a mixture of diploid and tetraploid individuals (3/11 individuals respectively) while we found only 307 tetraploids there $(N = 124)$. A single tetraploid individual was sampled in 2022 in an area where seven diploids were sampled in 2015 (T5). In the other plant-rich transect, frequency of sampled tetraploids increased from 27% (11 diploids / 4 tetraploids) in 2015 to 47 % (45

Differences in reproductive and fitness related traits

 Within the mixed populations, we did not find any significant difference in the number of stolons (per plant) between both cytotypes (i.e. proxy of an investment into asexual reproduction; Table 1). In addition, the difference in the proportion of flowering units per plant (defined as the sum of flowers and flower buds divided by the sum of flowers, flower buds and fruits per plant) was also non-significant between diploids and tetraploids indicating large overlap in flowering, at least in the time of our sampling (Table 1). Further, we did not find a significant size difference between diploid and tetraploid flowers, when grown in standardised conditions of the cultivation chambers (corolla height, 41 and 45 mm on 323 average, for diploids and tetraploids, respectively; $X^2 = 2.07$, $df = 1$, $p = 0.15$).

 Tetraploid individuals sampled in the field had a significantly lower reproductive investment (total number of reproductive organs) and germination rate than their diploid counterparts within the two mixed ploidy populations (Table 1). It is, however, notable that reproductive investment and germination rate of tetraploids remains high, on average 74% and 95% of diploid values, respectively (Table 1). Similar differences in germination success was found even when additional scored individuals from cytotype pure populations were included (Appendix S3) but disappears when only strictly sympatric individuals from mixed- plody transects are taken into account where the germination rates of diploids and tetraploids are comparable and high (97% and 99%, respectively, Fig. 3). Finally, we did not find significant difference in the pollen viability between diploids and tetraploids, with an average 334 viability of 60% for diploids and 77% for tetraploids $(X^2 = 0.10, df = 1, p = 0.74)$.

Effect of sympatry on the differences in fitness proxies

 Differentiating between diploids and tetraploids in pure and mixed populations did not 339 improve the likelihood of the models for the reproductive investment (likelihood-ratio test, X^2 340 = 4.38, df = 2, p = 0.11) or the germination rate ($X^2 = 1.42$, df = 2, p = 0.49), meaning that cytotypes are performing similarly, on average, in pure and mixed-cytotype populations. Differentiating between pure and mixed-ploidy transects within a mixed population gave an unexpected result that plants in mixed-transects generally performed better than in 344 pure ones (Fig. 3). For the germination rate, the effect of ploidy was also significant (X^2 = 52.9 , df = 2, p < 0.001), with seeds from tetraploid mothers sampled in pure transects germinated significantly less than seeds of both diploid and tetraploid mothers from mixed patches (Fig. 3), the latter two having similar values (germination rate ranging from 0.97 to 0.99). This result was mainly due to some mothers from the pure tetraploid transect performing badly, while other mother plants were in the range of diploids and tetraploids found in mixed transects (See Supplementary data table 1: sheet 3). For reproductive investments, differentiating between pure and mixed-ploidy transect within a mixed 352 populations significantly improved the statistical model ($X^2 = 421.2$, df = 2, p < 0.001). On average, plants found in mixed-transect performed better than plants in pure transects (Fig. 3), and diploids performed better than tetraploids, eventhough there is large variation among diploid individuals from mixed patches (Fig. 3).

Triploid formation in natural and experimental conditions

 To assess the rates of spontaneous formation of triploids in natural populations, we cytotyped the progeny of 40 maternal families sampled *in situ* in the two ploidy mixed populations. Ploidy of all of the 766 cytotyped seedlings (Appendix S4) corresponded with that of their mother (510 diploids and 256 tetraploids).

 We further performed controlled crosses to exclude possible effects of pre-pollination barriers and measure the rates of triploid formation. The effect of crossing treatment was 365 highly significant ($X^2 = 210$, df = 2, p < 0.001). When pollinating only by an individual of the opposite ploidy, the proportion of viable seeds was markedly reduced (1.7%, i.e. 5 out of 300 seeds germinated) as compared to homoploid controls exhibiting 83% germination rate on average. When, however, a mother plant was pollinated by an even mixture of pollen from individuals of the opposite and its own ploidy, its fertility was largely restored, with germination rates of 89.6% and 54.3% on average, for diploid and tetraploid mothers respectively. Ploidy of the progeny largely corresponded to that of their mother in all treatments, with the exception of two cases (mothers) when an individual with genome size corresponding to a triploid was found in a mixture of other tetraploid progeny (relative genome size value of 0.73 corresponding to an expected 3:4 ratio, Appendix S2). Interestingly, these were the two mothers exhibiting the lowest germination in the mixed-ploidy treatment.

DISCUSSION

 Here we leveraged a unique 'natural experiment' of a recent, spontaneously establishing autotetraploid cytotype of *Mimulus guttatus* in Shetland Islands [\(Simón-Porcar et al., 2017\)](https://www.zotero.org/google-docs/?KdWfb3) to explore evolutionary drivers of incipient polyploid speciation. We discovered that since its formation < 100 years ago, the novel tetraploid cytotype already spread over the entire

 southern part of the Shetland Mainland island and formed multiple populations, mostly still mixed with its diploid progenitor. Here we discuss possible drivers of its successful establishment and coexistence with diploids.

Interploidy reproductive barriers preventing triploid formation

 In spite of strong barriers imposed by whole genome duplication, hybridisation among ploidies is frequently documented by field and experimental studies, especially in cases of autopolyploidy [\(Husband and Sabara, 2004; Kolář et al., 2017; Sutherland and Galloway,](https://www.zotero.org/google-docs/?valPyn) [2017; Morgan et al., 2021\).](https://www.zotero.org/google-docs/?valPyn) Strikingly, we found no single triploid individual in our field survey of nearly 1400 adult plants and seedlings, suggesting a strong interploidy reproductive barrier. Large spatio-temporal overlap between diploids and tetraploids in field suggests that pre-pollination prezygotic barriers are not likely to be the major cause of such separation, which echoes and strengthens results from recent meta-analyses [\(Porturas et al., 2019; Clo](https://www.zotero.org/google-docs/?J8GxI3) [and Kolář, 2021\).](https://www.zotero.org/google-docs/?J8GxI3) Among the traits studied in controlled conditions, an increase in flower size found in cultivated tetraploids in a previous study [\(Simón-Porcar et al., 2017\)](https://www.zotero.org/google-docs/?ykinuY) might contribute to prezygotic isolation, however such a pattern is less evident in our study. Differences in floral morphology may affect pollinator spectra and promote assortative mating in some species [\(Segraves and Thompson, 1999; Kennedy et al., 2006; Thompson and](https://www.zotero.org/google-docs/?fpjS36) [Merg, 2008; Roccaforte et al., 2015; Laport et al., 2021\).](https://www.zotero.org/google-docs/?fpjS36) However, the lack of evidence for a ploidy-driven shift in pollinator preferences found in other systems [\(Jersáková et al., 2010;](https://www.zotero.org/google-docs/?Q9ioBL) [Castro et al., 2011, 2020\)](https://www.zotero.org/google-docs/?Q9ioBL) demonstrates the need for an experimental test of such an assumption. Evolution of the reproductive and/or mating system might play a role [\(Griswold,](https://www.zotero.org/google-docs/?LcxHwj) [2021; Van Drunen and Friedman, 2022\),](https://www.zotero.org/google-docs/?LcxHwj) but we found no significant differences in vegetative reproduction among cytotypes. As *M. guttatus* is also able to self-fertilise, it would

 be interesting to quantify the average selfing rate in diploid and tetraploid plants in order to see if it increases with the ploidy level.

 In contrast to weak evidence for pre-pollination barriers, our experimental crossings demonstrated a strong postzygotic barrier in triploid formation. Formation of shrivelled, mostly non-germinable seeds in interploidy crosses suggests the presence of strong triploid block in our system (Fig. 4) which is in line with observation in other *Mimulus* species [\(Coughlan et al., 2020\).](https://www.zotero.org/google-docs/?ec10RO) These findings are also consistent with the rarity of triploids observed in interploidy hybridizations of *M. guttatus* [\(Meeus et al., 2020\).](https://www.zotero.org/google-docs/?lI9umw) Additionally, the interspecific crosses between different diploid and tetraploid *Mimulus* species exhibited a similar pattern of reduced viability of interploidy hybrids, although with some degree of permeability in the hybridisations when the tetraploid acted as a father [\(Vallejo-Marín et al.,](https://www.zotero.org/google-docs/?p7mJot) [2016\).](https://www.zotero.org/google-docs/?p7mJot) Thus, while the strength of the triploid block may vary between interspecific and intraspecific cross, triploid block serves as a potent mechanism for reproductive isolation between *Mimulus* diploids and tetraploids overall. Within natural populations of *M. guttatus*, however, other prezygotic post-pollination barriers are likely to precede triploid block, as is discussed below.

Possible pathways in Mimulus establishment

 Successful establishment of a novel polyploid cytotype is expected under scenarios of either (i) neo-polyploid superiority, (ii) its escape to a novel niche or area or (iii) stable coexistence with its diploid progenitor [\(Ramsey and Schemske, 2002; Husband and Sabara, 2004; Gaynor](https://www.zotero.org/google-docs/?5Yy9ds) [et al., 2023\).](https://www.zotero.org/google-docs/?5Yy9ds) The first two explanations are unlikely in the case of *M. guttatus.* First, tetraploids exhibited either similar vegetative (stolon production) and generative (germination) fitness proxies as sympatric diploids, or were even less fit (reproductive

 investment; Table 1). In fact, germination of tetraploid's progeny was even lower than diploid's in seed families sampled from cytotype-pure patches, although we rather consider this to be an effect of accidental sampling of a fraction of markedly unfit mothers (large CIs of tetraploid individuals on Fig. 3), perhaps linked with ongoing spread to novel yet less suitable microsites. Second, there were no signs of expansion of tetraploids beyond the area occupied by diploids (Fig. 1). We also had not observed any obvious niche differentiation: both ploidies grew in wet road ditches with no obvious preference for either position along the stream (Fig. 2) nor overall character of the water habitat (Appendix S5). Yet, our limited temporal comparison in the QUA population over seven years provided a subtle indication for tetraploid spread [\(Simón-Porcar et al., 2017\)](https://www.zotero.org/google-docs/?frPYs2) which is in line with presumed past expansion that resulted in the current tetraploid's range spanning over 20 km. Further experiments on competitive exclusion and overall temporal dynamics of natural populations may help to explain whether stochasticity or so far undetected tetraploid advantage underlies the tetraploid *M. guttatus* expansion.

 Lastly, stable coexistence of ploidies may be possible if reproductive interactions between ploidies are minimised. Interploidy hybridization usually results in unfit triploids, causing frequency-dependent selection against the rarer cytotype (minority cytotype exclusion, [Levin, 1975\).](https://www.zotero.org/google-docs/?pFKrJB) Strong triploid block, demonstrated by unsuccessful interploidy crosses in *M. guttatus* (Fig. 4), results in wasting gametes on unviable progeny and thus promotes minority cytotype exclusion. However, this is not the case in the field as we found no fitness cost of living in cytotype sympatry, as measured by germination rates (Fig. 3, Table 1, Appendix S3). In light of utmost weak pre-pollinator barriers (discussed above), this suggests an existence of a strong prezygotic post-pollination mechanism preventing formation of the unviable triploid hybrids. Indeed, our crossing experiment demonstrated that when a mixture of pollen from diploid and tetraploid fathers lands on a stigma, germinability

 of the progeny is mostly restored to the levels of pure cytotype controls for all diploid and also some tetraploid mothers. Interestingly, a subset of tetraploid mothers exhibited reduced germination and even a rare triploid progeny (Fig. 4). This may reflect higher siring success of pollen of diploid fathers, that fully pollinated diploid mothers while it left a fraction of unviable interploidy hybrid progeny on tetraploid mothers. Differences in siring success have been observed in mixed-ploidy *Chamerion* crosses, where neo tetraploids exhibited lowest siring success while established tetraploids were the most successful [\(Husband et al., 2002;](https://www.zotero.org/google-docs/?maLEM9) [Baldwin and Husband, 2011\).](https://www.zotero.org/google-docs/?maLEM9) The young *Mimulus* tetraploids, although seemingly with already restored pollen fertility, may still face additional early post-WGD problems and thus resembling rather the *Chamerion* neo-tetraploids. Regardless of the exact mechanism, the variable outcome of tetraploid mothers in the mixed-pollination treatment suggests there might be natural variation in the strength of the prezygotic post-pollination barriers among newly formed autopolyploids.

 As pollination by multiple fathers is very likely to occur in dense mixed-ploidy populations such as those of Shetland's *M. guttatus* (Fig. 2), differences in siring success due to ploidy-altered pollen competition and/or pollen-pistil interaction may be the prime mechanism reducing reproductive interactions between *Mimulus* cytotypes and hence enabling their coexistence in nature. Prezygotic post-pollination barriers are considered the key reproductive barriers for interspecific hybridization [\(Carney et al., 1996; Diaz and](https://www.zotero.org/google-docs/?Fc0Wpq) [Macnair, 1999\).](https://www.zotero.org/google-docs/?Fc0Wpq) Such a barrier has been documented from few mixed-ploidy systems so far (likely due to the need of laborious crossing experiments), however it had an important effect on pollination success and progeny ploidy in all cases [\(Baldwin and Husband, 2011;](https://www.zotero.org/google-docs/?tgHbfa) [Koutecký et al., 2011; Castro et al., 2020\).](https://www.zotero.org/google-docs/?tgHbfa) Importantly for tetraploid's establishment, overall high germinability of progeny of tetraploid mothers from mixed-ploidy transects indicates that the likely difference in siring success observed in our experiment may be mitigated in

 nature, perhaps by excessive pollen loads or at least partial non-random pollen transfer. To which extent pollen of different fathers of the same ploidy vs. selfing is involved in this process in natural *Mimulus* populations is unclear as our treatment included emasculated mothers. Theoretically, spontaneous selfing [\(Willis, 1993; Zimmer et al., 2023\)](https://www.zotero.org/google-docs/?t7ZewN) or selfing induced by presence of the foreign pollen (mentor effect, [Koutecký et al., 2011\)](https://www.zotero.org/google-docs/?DTkZPQ) may take part in nature.

 Tetraploid establishment and its coexistence with diploids may be also enhanced by repeated tetraploid formation that depends on presence of fertile triploids and/or involvement of unreduced gametes of the diploid. Crosses involving (semi)fertile triploid individuals may contribute to formation of novel tetraploid individuals via backcrossing to diploids and tetraploids (so-called triploid bridge, [\(Husband, 2004\).](https://www.zotero.org/google-docs/?3eTCco) Even in absence of interploidy hybridization, triploids may be formed via merger of reduced and unreduced gametes (UGs) of a diploid. The lack of triploids in both mixed and pure diploid populations, however, demonstrates that neither triploid bridge, nor unreduced gamete formation significantly contribute to tetraploid persistence in mixed-ploidy *M. guttatus* populations. In fact, among the 536 cytotyped seedlings in crossing experiment, we found a single case when unreduced female gamete of a diploid was likely involved in the origin of a tetraploid progeny on a diploid mother pollinated by a tetraploid father (Table 2). No triploid individual was found in pure diploid populations or transects, further illustrating the low potential for unreduced gamete formation and triploid bridge [\(Kolář et al., 2017\)](https://www.zotero.org/google-docs/?ZofZOF) in natural *M. guttatus* . UGs are expected to be an essential component of neo tetraploid formation [\(Ramsey and Schemske,](https://www.zotero.org/google-docs/?ejOIlj) [1998, 2002\)](https://www.zotero.org/google-docs/?ejOIlj) and we cannot exclude presence of genetically (UG producer) or environmentally increased UG formation (e.g. following a temperature shock, [Mason et al.,](https://www.zotero.org/google-docs/?GqQao5) [2011\)](https://www.zotero.org/google-docs/?GqQao5) might have played a significant role at the time of tetraploid origin. Our findings, however, suggest a rather negligible role of UGs in the current diploid-tetraploid coexistence.

CONCLUSIONS

 The presence of established populations of autotetraploid *M. guttatus* in the Shetland Islands provides unique evidence for rapid establishment of polyploidy under natural conditions. We found that rapid success of spontaneous whole genome duplication in *M. guttatus* likely stems from a combination of fitness- and reproduction-related traits. Neotetraploids were able to keep (or quickly restore) viability and fertility and assure pollination by their own ploidy, thus mitigating the strong fitness challenges of triploid block when growing in diploid- tetraploid sympatry. To which extent these traits result from genome doubling *per se* or from post-WGD adaptation, remains to be tested e.g. by interploidy crosses comparing natural and synthetic polyploids. Further experimental and population genomic studies are also needed to uncover genetic underpinning of these key traits for incipient polyploid speciation in this leading plant evolutionary model.

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AUTHOR CONTRIBUTIONS

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- S.S., and F.K. designed the methodology with help of materials provided by M.V.M. S.S.,
- J.C., and F.K. carried out the fieldwork. S.S. conducted the plant cultivation and germination
- experiments. S.S. and J.C. carried out data analysis. S.S., J.C., and F.K. wrote the manuscript.
- S.S., J.C., and F.K. reviewed and edited subsequent versions of the manuscript, with
- contributions from M.V.M. F.K. conceived the ideas and supervised the study. All authors
- gave final approval for publication.
-

DATA AVAILABILITY

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- All data for this study are available as supplementary data.
-

SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section

- at the end of the article.
- Supplementary data table 1.
- APPENDIX S1. Population and locality details of 15 sampled populations.
- APPENDIX S2. Flow cytometry analysis of *Mimulus guttatus*.
- APPENDIX S3. Trait differences between diploid and tetraploid *M. guttatus* based on the
- analysis of all scored individuals from total sampled populations.
- APPENDIX S4. Natural progeny array in two mixed-ploidy populations: BIG and QUA.
- APPENDIX S5. Natural growth habitat of *Mimulus guttatus*.
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- **TABLES**

- **Table 1.** Reproductive and fitness trait differences between diploid and tetraploid *Mimulus*
- *guttatus* based on the analysis of all field-scored individuals from the two large mixed
- populations BIG and QUA. Average and standard errors of diploid and tetraploid trait values
- and results of likelihood ratio tests are presented for each trait.
-

757 Notes:

758 ^a The sum of flowers and flower buds divided by the sum of flowers, flower buds and fruits

759 per plant

^b 760 *The sum of buds, flowers and fruits per plant*

^c 761 *N (dip/tet): number of diploid and tetraploid individuals considered respectively for scoring*

762 *each trait*

^d 763 *N of seedlings germinated*

764

765 **Table 2.** Ploidy of progeny of different treatments in the controlled crossings of *Mimulus*

766 *guttatus*

767

Crossing treatment

769 Notes:

^a 770 *The number of seedlings cytotyped (all germinated seeds were cytotyped in the interploidy*

771 *crossing treatment)*

^b 772 *Proportion of respective cytotypes in each treatment (in %)*

773

774 **FIGURE LEGENDS**

775 **Fig. 1** Distribution of diploid (red) and tetraploid (blue) *M. guttatus* in Shetland islands at the

776 scale of populations. In addition to 15 populations sampled by us, 4 diploid populations found

- 777 by Simon Porcar et al., 2017 are also depicted (BOD, HAM, NIN, WEI). The population
- 778 label for NIN is not visible on the map because it overlaps with the BIG population label. Pie-
- 779 charts denote the proportion of individuals of a particular cytotype in each population in our
- 780 sampling. Note that additional exclusively diploid *M. guttatus* populations were sampled in
- 781 mainland Scotland (Simon Porcar et al., 2017).

 Fig. 2 Distribution of diploid (red) and tetraploid (blue) *M. guttatus* in the two mixed-ploidy populations from Shetland islands BIG (A) and QUA (B). Dots show the position of adult individuals sampled in regular min. 1m intervals around naturally occurring linear transects (water channels), the inset shows an illustrative photo of one such transect (T1 of population QUA).

 Fig. 3 Performance of diploids and tetraploids in pure and mixed-ploidy transects of the mixed-ploidy populations (BIG and QUA) assessed by germination rate and reproductive investment of individuals (i.e., sum of buds, flowers, and fruits per plant). Diploid (red) and tetraploid (blue) individuals are classified according to pure and mixed-ploidy patches. Points and error bars respectively stand for the estimated mean and 95% confidence intervals. Upper limit of the confidence interval for the reproductive investment of diploids in mixed transects has been cut for the sake of visibility.

 Fig. 4 Germination proportion of F1 hybrids across six crossing treatments, following hand pollinations of emasculated *Mimulus guttatus* plants. Ploidy of the mother is indicated first and reflected by the colour of boxplot. Photographs of mature seeds, showing phenotypes across the respective crossing treatments. Bars, 2.5 μm.

Fig. 1 Distribution of diploid (red) and tetraploid (blue) *M. guttatus* in Shetland islands at the scale of populations. In addition to 15 populations sampled by us, 4 diploid populations found by Simon Porcar et al. (2017) are also depicted (BOD, HAM, NIN, WEI). The population label for NIN is not visible on the map because it overlaps with the BIG population label. Pie-charts denote the proportion of individuals of a particular cytotype in each population in our sampling. Note that additional exclusively diploid *M. guttatus* populations were sampled in mainland Scotland (Simon Porcar et al. 2017).

Fig. 2 Distribution of diploid (red) and tetraploid (blue) *M. guttatus* in the two mixed-ploidy populations from Shetland islands BIG (A) and QUA (B). Dots show the position of adult individuals sampled in regular min. 1m intervals around naturally occurring linear transects (water channels), the inset shows an illustrative photo of one such transect (T1 of population QUA).

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Fig. 4 Germination proportion of F1 hybrids across six crossing treatments, following hand pollinations of emasculated *Mimulus guttatus* plants. Ploidy of the mother is indicated first and reflected by the colour of boxplot. Photographs of mature seeds, showing phenotypes across the respective crossing treatments. Bars, 2.5 μm.

Appendix S1. Population and locality details of 15 sampled populations. Diploids and tetraploids are indicated as 2x and 4x, respectively.

Appendix S2. Flow cytometry analysis of *Mimulus guttatus*. Histogram of a mix-ploidy cross (4x x [2x x 4x]) using FloMax software, with ploidy indicated for the respective peaks.

Appendix S3. Trait differences between diploid and tetraploid *M. guttatus* based on the analysis of all scored individuals from total sampled populations.

Notes:

a The sum of buds, flowers and fruits per plant

^b N (dip/tet): number of diploid and tetraploid individuals considered respectively for scoring each trait

^c N of seedlings germinated

Appendix S3. Trait differences between diploid and tetraploid *M. guttatus* based on the analysis of all scored individuals from total sampled populations.

Appendix S4. Natural progeny array in two mixed-ploidy populations: BIG and QUA.

Ploidy of the progenies in pure diploid and mixed transects of the two mixed-ploidy populations is presented here.

Appendix S5. Natural growth habitat of *Mimulus guttatus*. *M. guttatus* inhabits streams, roadside ditches, and waterlogged ground. (A-

C) Pure diploid population, (D) Diploid *M. guttatus* growing in the water stream, (E-F) Pure and mix-ploidy transect in a mix-ploidy

population (QUA), respectively.

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Dear Editors,

We are pleased to submit our manuscript titled 'Establishment of polyploidy in natural populations of *Mimulus guttatus*' for consideration in the American Journal of Botany. Our study focuses on elucidating the key evolutionary mechanisms important for the successful establishment of neo-polyploid lineages. We intend this submission as an invited contribution to the special issue '*Twice as Nice: New Techniques and Discoveries in Polyploid Biology'.*

 • What are the questions addressed or hypotheses tested?

We conducted an empirical investigation to assess the variations in fitness and reproductive traits between neo-polyploids and their diploid progenitors. Additionally, we explored potential reproductive barriers that contribute to the successful establishment and coexistence of neopolyploids alongside their progenitor counterparts.

• What is the major contribution of your paper to your discipline?

Polyploids have been demonstrated to occur recurrently in nature, however, the evolutionary mechanisms promoting their establishment in natural populations remain poorly known. In the present study, we investigate a unique system of a plant species in which recently formed local autotetraploids still coexist with their diploid progenitors. Thus, our study provides a rare insight into the critical early phases of polyploidization in natural populations, thereby advancing our understanding of the consequences of whole genome duplication.

• How is this contribution of interest to a broad audience?

Polyploidy can lead to the emergence of new species, which is a fundamental process in evolution. By investigating the natural establishment of polyploids, we gain insights into the mechanisms of speciation leading force of plant speciation. Additionally, successfully established polyploids can confer a survival advantage in challenging environments, offering a window into the ways organisms adapt to shifting environmental conditions.

Thank you for considering this manuscript for the *American Journal of Botany*.

Yours Faithfully

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Filip Kolar

American Journal of Botany Author Agreement Form

Corresponding Author's Name: Filip Kolar

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