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**Epigallocatechin-3-gallate adsorbed on core-shell gold
nanorod@ mesoporous silica nanoparticles, an antioxidant
nanomaterial with photothermal properties**

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59 **Abstract**

60 Epigallocatechin-3-gallate (EGCG) exhibits several pharmacological activities with potential
61 benefits for human health, however, its use is limited by its low oral bioavailability, due to
62 its poor stability in the gastrointestinal tract, metabolism by intestinal bacteria, and limited
63 intestinal permeability. One promising approach to overcome these limitations is to transport
64 the EGCG in a nanostructured system to protect it until its arrival at the site of action. In this
65 sense, core-shell nanoparticles corresponding to gold nanorods (GNR) coated with
66 mesoporous silica (mSiO₂) may be an excellent alternative combining controlled targeted
67 drug delivery, and photothermal therapy. The aim of this work was to synthesize
68 GNR@mSiO₂-NH₂ nanoparticles loaded with EGCG as a useful antioxidant material and to
69 study their photothermal stability for their potential use in chemo-photothermal therapy.
70 Using an initial concentration of EGCG and GNR@mSiO₂-NH₂ of ≥ 300 $\mu\text{g/mL}$ and 1 nM,
71 respectively, the maximum encapsulation efficiency of EGCG (19.2%) and the maximum
72 adsorption of EGCG on the NPs (64.4 mg/g of NPs or 160.9 mg/g of mSiO₂, equivalent to a
73 loading capacity of 6.0 and 13.9%, respectively). The adsorption process was adjusted to a
74 pseudo-second-order kinetic model and the analysis of the adsorption isotherms revealed that
75 it is a chemical adsorption. Routine tests were carried out to study the antioxidant activity of
76 the nanosystem: DPPH• radical assay (DPPH• free radical scavenging capacity) and the Fe²⁺-
77 ferrozine complex formation inhibition assay (Fe²⁺ chelating capacity). The RSA of the
78 GNR@mSiO₂-NH₂/EGCG nanosystem was $60.7 \pm 6.9\%$ at an EGCG equivalent
79 concentration of 1 $\mu\text{g/mL}$ and the chelating activity was $71.0 \pm 6.4\%$ at an EGCG equivalent
80 concentration of 30 $\mu\text{g/mL}$. EGCG, as well as its RSA and chelating activity, remained stable
81 under NIR irradiation (808 nm, 7 W/cm²), and upon heating (up to 41°C), and under both
82 conditions simultaneously. While the morphology and the UV-Vis-NIR spectrum of the
83 GNR@mSiO₂ remained intact after being irradiated with NIR, although ultrasmall gold NPs
84 could be observed, probably the product of photocracking of the GNR. In summary, the
85 GNR@mSiO₂-NH₂/EGCG nanosystem has good antioxidant properties and remains stable
86 against NIR irradiation, making it potentially useful for the chemo-photothermal therapy.

87

88 **Keywords:** *Nanoparticles, GNR@mSiO₂, NIR, epigallocatechin-3-gallate, adsorption,*
89 *antioxidant.*

90 **1. Introduction**

91 Epigallocatechin-3-gallate is one of the main phenolic compounds in green tea [3]. Its
92 anticancer activity [4] and its ability to deactivate free radicals (including those biologically
93 relevant such as $\text{OH}\cdot$, $\text{O}_2\cdot^-$ and H_2O_2) [5-8], chelate metals [9-12], and modulate the
94 aggregation of proteins that are highly relevant in neurodegenerative diseases have been
95 described [13-16]. Clinical safety trials conducted to date have shown that oral administration
96 is well tolerated (800 mg/day with food) and safe, only minor adverse effects (nausea,
97 dizziness, heartburn, and stomach pain) have been reported [17]. However, its use in
98 pharmaceuticals is limited due to its low oral bioavailability, due to its poor stability in the
99 gastrointestinal tract, metabolism by intestinal bacteria, and limited intestinal permeability
100 [18-21]. These limitations mean that after oral or even parenteral administration, a low
101 percentage of the EGCG dose reaches its site of action intact and functional [20, 22]. Due to
102 this, high doses are necessary to achieve a therapeutic effect and effective treatment, which
103 brings with it adverse effects [17]. To overcome these limitations, it is necessary to transport
104 the drug in a nanostructured system to protect it until its arrival at the site of action.

105 Core-shell NPs have received considerable attention as nanocarriers for controlled and
106 targeted drug delivery applications [23]. In this sense, core-shell NPs (*ca* 100 nm) composed
107 of gold nanorods (GNR) coated with mesoporous silica (mSiO_2) are of particular interest.
108 Indeed, the outer layer of mSiO_2 features a biocompatible, highly accessible and porous
109 surface that can be easily functionalized, while the pores can be loaded with a drug for
110 potential spatially controlled drug release [24-27]. On the other hand, the GNR core benefits
111 from the localized surface plasmon resonance (LSPR) phenomenon that enables the
112 absorption of light followed by the complete dissipation of the energy in the form of heat,
113 which is known as the photothermal effect. The longitudinal plasmonic band of the GNR is
114 located in the biological transparency window (NIR 670-900 nm) and its position can be
115 tuned depending on its aspect ratio. Since biological tissues and fluids have minimal
116 absorption at this wavelength, the light can penetrate deep into human tissues without causing
117 damage, be absorbed by GNR causing a local temperature increase [28, 29]. This
118 photothermal effect makes it possible to trigger spatial and temporal controlled release of
119 drugs from delivery systems and, at the same time, could be useful for photothermal therapy
120 [24, 26, 30-32].

121 In summary, the core-shell nanosystems based on GNR@mSiO₂ can be useful for chemo-
122 photothermal therapy applications, as demonstrated by the significant number of publications
123 devoted to cancer treatment using this type of nanosystems loaded with doxorubicin and other
124 molecules [26, 27, 30, 33, 34]. This opens up an important range of possible diseases that
125 can be treated by chemo-photothermal therapy by loading this core-shell nanosystem with
126 other drugs.

127 The combined light-triggered-phototherapy/drug delivery strategy [24, 26] may be very
128 useful in the particular case of EGCG, as it would enable the protection of the fragile
129 therapeutic molecules in addition to the localized delivery for applications aimed at treating
130 cancer or neurodegenerative diseases.

131 The aim of the present work is to synthesize core-shell NPs GNR@mSiO₂-NH₂ loaded with
132 EGCG as a useful antioxidant material and to study their photothermal stability for their
133 potential use in chemo-photothermal therapy. Specifically, we characterized the adsorption
134 kinetics of EGCG and adsorption isotherms on GNR@mSiO₂-NH₂. In addition, the
135 antioxidant activity of the nanosystem was characterized through DPPH• radical assay to
136 evaluate the DPPH• free radical scavenging capacity and the Fe²⁺-ferrozine complex
137 formation inhibition assay to evaluate Fe²⁺ chelating capacity. Finally, the photothermal
138 stability of the core-shell NPs and EGCG was studied.

139

140 **2. Materials and Methods**

141 *2.1. Reagents, chemicals, and solvents*

142 Epigallocatechin-3-gallate (EGCG, ≥80%), Tetrachloroauric (III) acid trihydrate (HAuCl₄,
143 99.5%), sodium borohydride (NaBH₄, ≥98%), L-ascorbic acid (≥99.5%), silver nitrate
144 (AgNO₃, ≥99%), tetraethyl orthosilicate (TEOS, 98%), (3-aminopropyl)triethoxysilane
145 (APTES, ≥98%), cetyltrimethylammonium bromide (CTAB, ≥98%), 5,6-diphenyl-3-(2-
146 pyridyl)-1,2,4-triazine-4',4''-disulfonic acid sodium salt (ferrozine, ≥97%), iron(II) chloride
147 tetrahydrate (FeCl₂·4H₂O, 98%), 2,2-diphenyl-1-picryl-hydrazyl (DPPH•), and
148 trifluoroacetic acid (TFA, for HPLC ≥99%) were purchased from Sigma-Aldrich. Ethanol
149 (HPLC grade), methanol (HPLC grade), and acetonitrile (HPLC grade) were obtained from
150 Merck. Ultra-pure water (18.2 MΩ cm) was produced using a Simplicity System from

151 Millipore (Bedford, MA, USA). All materials were used as received without any further
152 purification.

153

154 2.2. *GNR@mSiO₂-NH₂ preparation.*

155 The GNRs were synthesized using the seed-mediated method as described by Jara-Guajardo
156 with minor modifications [35, 36]. For the synthesis, a seed solution and a growth solution
157 were prepared at 27 °C. For the preparation of a seed solution, 300 µL of a freshly-prepared
158 cold NaBH₄ aqueous solution (10 mM) were added to an aqueous solution containing CTAB
159 (0.1 M, 4.7 mL) and HAuCl₄ (42.5 mM, 42.5 µL) under vigorous magnetic stirring. Then, a
160 growth solution was prepared by mixing aqueous solutions of CTAB (0.1 M, 50 mL),
161 HAuCl₄ (29.4 mM, 42.5 µL), ascorbic acid (0.1 M, 375 µL) and AgNO₃ (5 mM, 400 µL)
162 under vigorous magnetic stirring. Finally, 600 µL of the previously prepared seed solution
163 was added to this growth solution. The final solution was incubated for 30 min at 27 °C and
164 centrifuged at 13,600 rcf for 20 min. After centrifugation, the supernatant was removed and
165 the pellet was resuspended in water. The centrifugation procedure was repeated at 11,200 rcf
166 for 20 min to remove excess CTAB. The pellet was finally resuspended in 50 mL of water.

167 Coating of mesoporous silica (mSiO₂) on bare GNR was achieved by a modified Stöber
168 method in accordance with previous reports with minor modifications [37]. Briefly, to the
169 previous suspension (50 mL) was added a CTAB solution (0.1 M, 200 µL) to reach a CTAB
170 concentration of 0.4 mM. After 20 h of stirring, NaOH (0.1 M, 200 µL) was added and after
171 an additional 30 min of stirring three aliquots of TEOS in methanol (20% (v/v), 3*150 µL)
172 were added at 30 min intervals with magnetic stirring. The mixture was stirred for 20 h and
173 washed three times with water by centrifugation (6,080 rcf for 30 min) to remove the CTAB
174 templates and finally, the GNR-mSiO₂ were resuspended in ethanol (50 mL).

175 Amino-functionalization of the GNR@mSiO₂ was performed by adding 1 mL of APTES
176 under magnetic stirring for 20 h. Then, the NPs were washed three times with ethanol by
177 centrifugation (6,080 rcf for 20 min), and finally resuspended in ethanol.

178

179 2.3. *Characterization of GNR@mSiO₂-NH₂*

180 UV-Vis-NIR absorption spectra were determined in ethanol using a Perkin Elmer Lambda
181 25 spectrophotometer.

182 Dynamic light scattering (DLS) characterization, which provides information regarding the
183 hydrodynamic diameter (D_h) of the NPs, was performed using a Malvern ZetaSizer Nano
184 ZS90 (Malvern, UK). For DLS analysis, a 1 mL aliquot of each solution was placed in a
185 disposable polycarbonate cell (DTS 1070, Malvern, UK) under precise temperature control
186 (25 °C) in ethanol. The hydrodynamic diameter was derived from the autocorrelation
187 function by means of the Stokes-Einstein equation [38].

188 Zeta potential (ZP) measurements were performed on a Malvern ZetaSizer Nano ZS90
189 (Malvern, UK). Disposable polycarbonate cells (DTS 1070, Malvern, UK) were used under
190 precise temperature control (25 °C) in ethanol. The ZP was calculated from the mean
191 electrophoretic mobility using the Smoluchowski approximation [38].

192 The morphology of GNR@mSiO₂ was determined by transmission electron microscopy
193 (TEM), using a Hitachi HT-7700 electron microscope (HV: 120 kV). Images were processed
194 with the ImageJ program to determine mSiO₂ layer thickness and dimensions of GNR and
195 GNR@mSiO₂. High-resolution TEM (HR-TEM) images for the study of the photothermal
196 stability of GNR@mSiO₂ were obtained using a JEOL JEM 2100 electron microscope (HV:
197 200 kV).

198 Fourier transform infrared (FT-IR) spectra were obtained on an Interspec 200-X FT-IR
199 spectrometer with a resolution of 4 cm⁻¹, in the range 600-4000 cm⁻¹, with an average of 16
200 scans.

201 Differential scanning calorimetry (DSC) curves for GNR@mSiO₂, GNR@mSiO₂-NH₂,
202 EGCG, physical mixture GNR@mSiO₂-NH₂ + EGCG, and GNR@mSiO₂-NH₂/EGCG were
203 obtained from a NETZSCH DSC 3500 system (NETZSCH, Germany). Scanning was
204 performed between 20 and 300 °C with a heating rate of 5 °C/min.

205 N₂-sorption isotherms were recorded at the University of Montpellier, France, on a
206 Micromeritics 3Flex apparatus, after outgassing for 18 h at 80 °C. Owing to the low amount
207 of material analyzed (6.7 mg), uncertainties on the weight (± 0.5 mg) give errors of $\pm 8\%$ for
208 the specific surface area and pore volume.

209

210 *2.4. Chromatographic method*

211 Chromatographic analysis was performed using a Shimadzu Prominence HPLC system
212 (Kyoto, Japan) composed of LC-20AT pump, DGU-20A_{5R} degassing unit, CTO-20A column

213 oven, SIL-20A autosampler and SPD-M20A diode array detector. Data were acquired,
214 recorded and analyzed by means of Shimadzu LabSolutions 5.54 software. The separation
215 was carried out on an IntertSustain C-18 column (GL Science Inc.; 4.6 mm × 250 mm;
216 particle size 5 μm; carbon load 14%; surface area 200 m²/g; pore size 100 Å; pH stability 1-
217 10) at room temperature. A binary mobile phase composed of water acidified with 0.1% v/v
218 trifluoroacetic acid (solvent A) and acetonitrile (solvent B) was used. The determinations
219 were carried out in an isocratic elution mode using 80% v/v of solvent A at a flow of 1
220 mL/min. The injection volume was 15 μL and detection was carried out by UV absorption at
221 280 nm. EGCG was detected at 7.25 min during a total run time of 12 min. The optimized
222 method was validated both in ethanol and in water following the guidelines of the
223 International Conference on Harmonization (ICH) [39] (Sections 2 and 3 of the
224 Supplementary Material).

225

226 2.5. EGCG adsorption on GNR@mSiO₂-NH₂

227 The adsorption was evaluated by adding different volumes of an ethanolic EGCG solution (2
228 mg/mL) to ethanolic solutions of GNR@mSiO₂-NH₂ in a 1 mL volumetric flask to obtain
229 different final EGCG concentrations (70, 100, 150, 200, 300, 400, and 500 μg/mL) and a
230 constant final concentration of GNR@mSiO₂-NH₂ equal to 1 nM. Then, the final solutions
231 were stirred for 24 h at room temperature (25 °C) to reach adsorption equilibrium and
232 subsequently centrifuged at 6,080 rcf for 30 min [40]. The supernatant was recovered and
233 filtered (0.22 μm MCE membrane) to obtain aliquots suitable for analysis of residual EGCG
234 concentration using the validated HPLC method of the present work (Section 2.4). The
235 amount of EGCG adsorbed at equilibrium per unit of GNR@mSiO₂-NH₂, called q_e (mg/g),
236 was calculated from the following equation:

237

$$238 \quad q_e = \frac{(C_0 - C_e) V}{m} \quad (1)$$

239

240 Where C_0 and C_e are the concentrations of EGCG in solution before and after adsorption
241 (mg/L), respectively, V is the bulk volume of the medium (L) and m is the mass of
242 GNR@mSiO₂-NH₂ (g).

243

244 *2.6. Adsorption kinetics*

245 The adsorption kinetics of EGCG on GNR@mSiO₂-NH₂ were evaluated by adding one
246 volume of ethanolic EGCG solution to an ethanolic solution of GNR@mSiO₂-NH₂ in a 1 mL
247 volumetric flask, for a final concentration of EGCG and GNR@mSiO₂-NH₂ equal to 300
248 µg/mL (to reach equilibrium of adsorption) and 1 nM, respectively. The final solution was
249 kept at room temperature (25 °C) under constant magnetic stirring. At defined time intervals
250 (0, 0.5, 1, 4, 6, 24, 30, 48, and 54 h), samples were collected and centrifuged at 6,080 rcf for
251 30 min [40]. The supernatant was recovered and filtered (0.22 µm MCE membrane) to obtain
252 aliquots suitable for analysis of residual EGCG concentration using the validated HPLC
253 method of the present work (Section 2.4). The amount of EGCG adsorbed per unit of
254 GNR@mSiO₂-NH₂ after each time interval, called q_t (mg/g), was calculated using the
255 following equation:

256

$$257 \quad q_t = \frac{(C_0 - C_t) V}{m} \quad (2)$$

258

259 Where C_0 is the initial concentration of EGCG (mg/L) and C_t is the concentration at a time
260 "t" (mg/L), V and m have the same meaning as in equation (1).

261

262 *2.7. Antioxidant activity evaluation*

263 *2.7.1. DPPH• Radical Assay*

264 The DPPH• radical assay was performed as described by Arriagada et al. [41]. Briefly, 1 mL
265 of freshly prepared DPPH• (50 µM) solution in methanol was mixed in a 5 mL amber
266 volumetric flask with different volumes of an EGCG solution, either free or adsorbed to
267 GNR@mSiO₂-NH₂, using a final concentration range from 0.05-1 µg/mL. The samples were
268 shaken for 20 min at room temperature (25 °C), then the samples were centrifuged at 14,850
269 rcf for 15 min and the supernatant was analyzed spectrophotometrically at 515 nm. The
270 radical-scavenging activity (RSA), expressed as a percentage, was calculated according to
271 the following equation:

272

$$273 \quad RSA (\%) = \frac{A_0 - A_1}{A_0} \times 100 \quad (3)$$

274 Where A_0 corresponds to the absorbance of the control (without EGCG or NPs) and A_1 is the
275 absorbance of the sample.

276

277 *2.7.2. Measurements of chelating activity*

278 The chelating activity of free and adsorbed EGCG on GNR@mSiO₂-NH₂ were studied based
279 on their ability to inhibit the formation of the Fe²⁺-ferrozine complex, as described by
280 Arriagada et al. [41]. In a 2 mL volumetric flask, different volumes of an EGCG solution,
281 either free or adsorbed to GNR@mSiO₂-NH₂ (for a final concentration of 20-30 µg/mL),
282 were mixed with 40 µL of FeCl₂ (2 mM) and 80 µL of ferrozine (2 mM) in a mixture of
283 ethanol/acetate buffer (15/85, pH 5.0) and stirred for 10 min to reach equilibrium. The
284 samples were centrifuged at 14,850 rcf for 15 min and the absorbance of the supernatant was
285 measured at 562 nm. The chelating activity, expressed as a percentage, was calculated
286 according to the following equation:

287

$$288 \quad \text{Chelating activity (\%)} = \frac{A_0 - A_1}{A_0} \times 100 \quad (4)$$

289

290 Where A_0 corresponds to the absorbance of the control solution containing FeCl₂ and
291 ferrozine, and A_1 is the absorbance of the sample.

292

293 *2.8. Stability of GNR@mSiO₂ and EGCG against NIR irradiation*

294 To evaluate the stability of GNR@mSiO₂, the NPs were suspended in milli-Q water and
295 subjected to NIR irradiation (808 nm, 7 W/cm²) for 15 min. The irradiated samples were
296 characterized by UV-Vis-NIR spectroscopy, DLS, ZP, and TEM (Section 2.3).

297 To evaluate the stability of EGCG, aqueous solutions (80 µg/mL) were subjected to NIR
298 irradiation (808 nm, 7 W/cm²; IQ1A350, PowerTechnology Inc., USA), a temperature bath
299 (41 °C) and both conditions simultaneously for 30 min. Samples were immediately quantified
300 using the previously validated chromatographic method (Section 3 of the Supplementary
301 Material). To ensure that EGCG maintains its activity after NIR irradiation, its RSA and
302 chelating activity were evaluated after subjecting it to the same conditions mentioned above.

303

304

305 *2.9. Statistical analysis*

306 All determinations were performed in triplicate ($n = 3$) and data were evaluated using
307 descriptive statistics [mean and standard deviation (SD), standard error of the mean (SEM),
308 or relative standard deviation (RSD)]. Significant differences (* $p < 0.05$; ** $p < 0.01$; *** p
309 < 0.001 ; **** $p < 0.0001$) for three or more groups were determined by analysis of variance
310 (ANOVA) and the Tukey test. Calibrations were established by applying a linear regression
311 model to verify linearity. Calibrations with and without matrix were compared using the F
312 test and statistically significant differences were established for a value of $p < 0.05$. All
313 statistical analysis was carried out with GraphPad Prism 6 (GraphPad Software, San Diego,
314 USA).

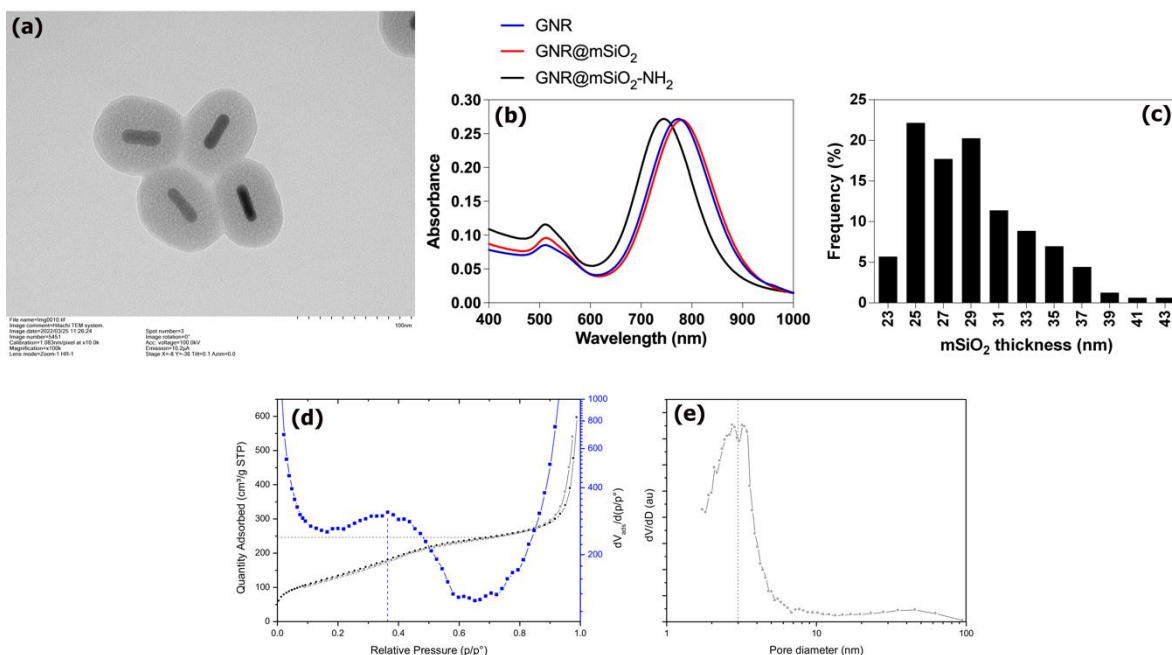
315

316 **3. Results and discussion**

317 *3.1. NPs characterization*

318 The analysis of the TEM images of the NPs (Figure 1a), allowed determining that the length
319 and width of the GNR were 34 ± 9 nm and 9 ± 1 nm, with an average aspect ratio
320 (length/width) of 3.7 ± 0.4 (Figures S1a, S1b, S1c of the Supplementary Material). The length
321 and width of GNR@mSiO₂ were 79 ± 8 nm and 63 ± 6 nm (Figures S1d and S1e of the
322 Supplementary Material), respectively. On the other hand, the thickness of the mSiO₂ shell
323 was 29 ± 4 nm (Figure 1c). Due to the GNR concentration used, the thickness of the mSiO₂
324 layer depends on the CTAB concentration used [37, 42]. Using the same concentration of
325 CTAB (0.4 mM) and GNR (≈ 1 nM), Abadeer et al. [37] obtained a thickness of 26 nm.

326



327

328 **Figure 1:** TEM image of GNR@mSiO₂ (a), UV-Vis-NIR spectra (b), thickness of the cover
 329 mSiO₂ corresponding to one of the samples studied (c), (black) N₂-sorption isotherm of
 330 GNR@mSiO₂ with (blue) first derivative of the plot (d), and BJH plot based on the desorption
 331 branch (e).

332

333 The porosity of the GNR@mSiO₂ was analyzed from the N₂-sorption isotherm (Figure 1d-
 334 e). The plot is typical of silica NPs obtained using CTAB as templating agent [43]. The first
 335 derivative of the plot allows to easily locate two substeps in the adsorption branch at p/p^o
 336 0.37 and 0.95. The latter is attributed to the condensation of N₂ in the large interparticular
 337 voids (30-50 nm), and is therefore not of interest for this study. The former corresponds to
 338 the filling of the inner mesopores of the silica framework, with a pore diameter of 3.0 ± 0.2
 339 nm, as inferred from the Barrett-Joyner-Elmer (BJH) transform. Notably, no micropores are
 340 present as inferred from the *t*-plot analysis. The inner pore volume V_p = 0.38 ± 0.03 cm³/g
 341 was calculated at p/p^o = 0.7 after all inner pores are filled but before N₂ starts to condense in
 342 the interparticular voids. The Brunauer-Emmet-Teller (BET) method yielded a specific
 343 surface area of 510 ± 40 m²/g. The pore volume [44, 45] and specific surface [25, 46-48]
 344 values are similar to those reported in other works for GNR@mSiO₂. These values may seem
 345 low, one has to keep in mind that GNR@mSiO₂ are made of dense gold cores covered by
 346 mSiO₂ layers. A simple geometric calculation based on the dimensions of the cores and of

347 the whole NPs shows that gold accounts for *ca* 60% of the weight. Therefore, the pore volume
348 and specific surface area for the mSiO₂ part only of these NPs are around 0.95 cm³/g and
349 1200 m²/g, which are usual values for mesoporous silica obtained using CTAB as templating
350 agent [49].

351 The process of synthesis, amino-functionalization and loading of the core-shell nanosystem
352 with EGCG was followed by DLS, electrophoretic mobility (Table S1 of the Supplementary
353 Material) and UV-Vis-NIR spectroscopy (Figure 1b). The GNR@mSiO₂ presented a
354 negative ZP equal to -23 ± 2 mV attributed to the mSiO₂ shell [24, 50] and a longitudinal and
355 transverse plasmonic band of 782 ± 3 and 512 ± 1 nm, respectively, characteristics of GNR
356 with an aspect ratio close to 3.7 [35, 37, 51]. The cumulant fit of the DLS autocorrelation
357 function for GNR@mSiO₂ suspensions in ethanol yielded values of 131 ± 8 and 19 ± 4 nm.
358 The largest D_h value is significantly larger than the length determined by TEM, which can
359 be attributed to a moderate aggregation of the NPs in the suspension, while the lower-than-
360 expected value for the transverse D_h value most probably results from the difficult multiple-
361 component fit of autocorrelation functions [52]. The amino-functionalization of the
362 nanosystem was verified with the change of the ZP to positive (42 ± 4 mV), and by the
363 significant blue-shift of the longitudinal and transverse plasmon bands (730 ± 13 and $513 \pm$
364 3 nm, respectively).

365 After loading with EGCG, a notable decrease in ZP occurred to 4 ± 2 (Table S1 of the
366 Supplementary Material), and a small absorption band (indicated with a red arrow) appeared
367 near 280 nm (275 nm) in the UV-Vis-NIR absorption spectrum (Figure 2a), attributed to the
368 presence of EGCG adsorbed on the NPs.

369 FT-IR spectra were recorded in the range of 600-4000 cm⁻¹ (Figure 2b). The intense
370 absorption band at 1071 cm⁻¹ was attributed Si-O-Si asymmetric stretching vibrations. [24,
371 26, 40, 53], while the 968 cm⁻¹ and 794 cm⁻¹ bands are related to the Si-OH asymmetric
372 vibration and the Si-O symmetric vibration, respectively [40, 53, 54]. Residual CTAB-related
373 peaks can be observed as well, at 2923 cm⁻¹ and 2854 cm⁻¹ attributable to CH₂ asymmetric
374 and symmetric stretching vibrations and at 1490 cm⁻¹ (CH₂ bending and CH₃-(N⁺)
375 deformation) [24, 53, 55].

376 The spectrum of GNR@mSiO₂-NH₂, shows some changes compared to the spectrum of
377 GNR-mSiO₂; the Si-OH asymmetric stretching band at 968 cm⁻¹ decreased its intensity, in

378 addition the bands at 2923 cm^{-1} and 2854 cm^{-1} increased slightly due to the vibration of the
379 CH_2 group of the propyl chain [40, 56, 57] [40]; a new band was also observed at 1559 cm^{-1}
380 attributed to NH_2 asymmetric bending [24, 40]. This means that the concentration of Si-OH
381 groups decreased on the surface of the NPs and the amount of NH_2 groups increased,
382 suggesting a successful functionalization with APTES [40].

383 In the EGCG spectrum, characteristic absorption bands are observed at 3354 and 3280 cm^{-1}
384 corresponding to the stretching vibrations of the phenolic -OH groups; 1691 cm^{-1} and 1616
385 cm^{-1} corresponding to the stretching of the C=O group of the gallate moiety [58-61]; the 1543
386 cm^{-1} band is attributed to the C-C stretch; the band at 1446 cm^{-1} is attributed to the C-H group
387 of the chroman ring; the bands at 1345 and 1220 cm^{-1} represent the O-C=O group; 1146 and
388 1097 cm^{-1} are attributed to the -OH group; 789 cm^{-1} attributed to the C-H group of the
389 adjacent ring of the chroman ring [58, 61, 62].

390 In the $\text{GNR@mSiO}_2\text{-NH}_2/\text{EGCG}$ spectra, a weak band is observed at 1618 cm^{-1}
391 corresponding to one of the stretching bands of the C=O group of the gallate moiety of
392 EGCG, which confirms the adsorption of EGCG on the NPs. Furthermore, there was a
393 decrease in the band at 1559 cm^{-1} attributed to the asymmetric bending of $-\text{NH}_2$ and the -OH
394 vibration bands (3354 and 3280 cm^{-1}) of EGCG did not appear, suggesting that the amino
395 groups on the surface of the NPs and the hydroxyls of EGCG could be involved in the
396 adsorption process [60, 63], through the formation of hydrogen bonds and strong electrostatic
397 interactions [64].

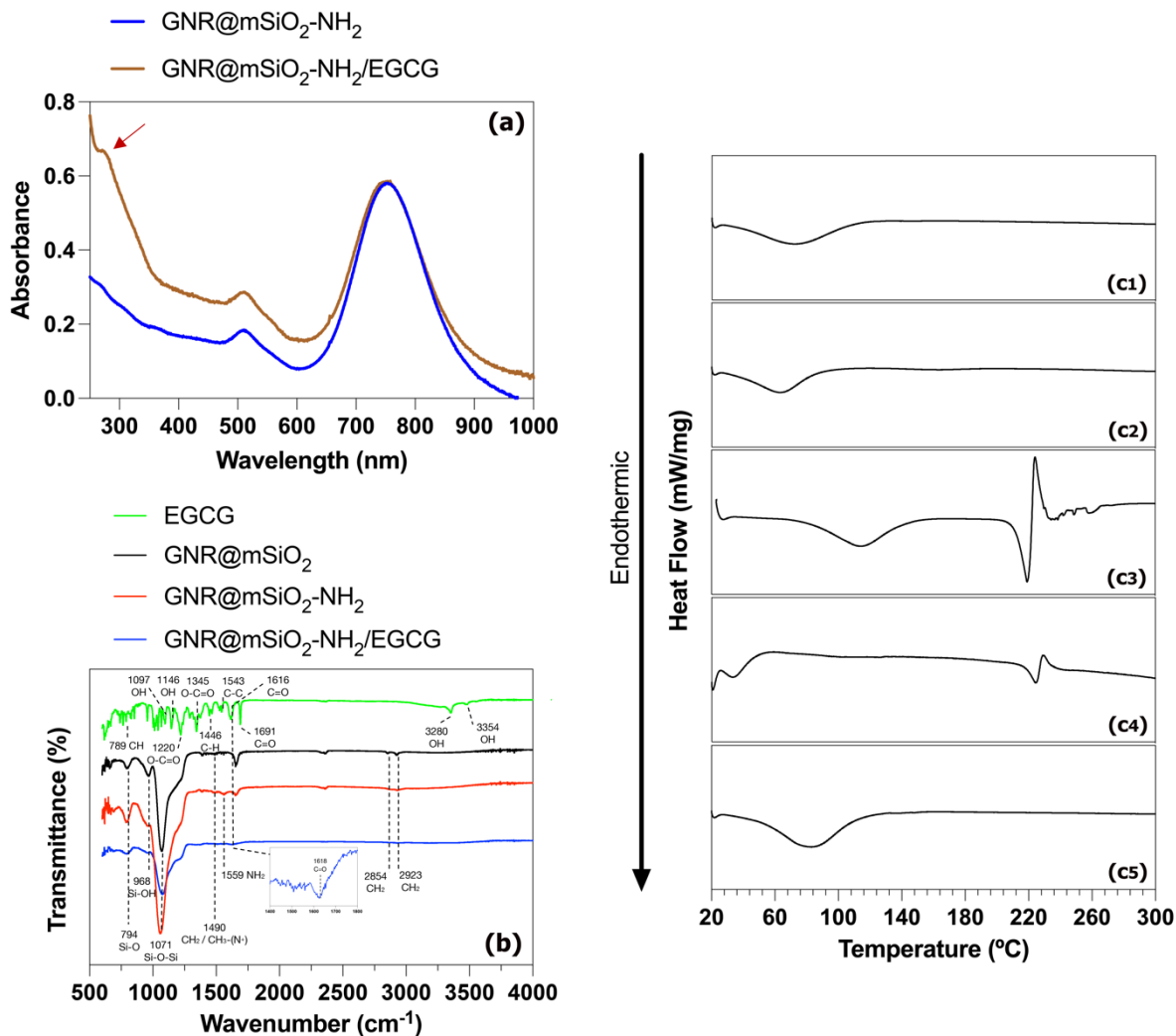
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404 **Figure 2:** UV-Vis-NIR absorption spectra of GNR@mSiO₂-NH₂ and GNR@mSiO₂-
 405 NH₂/EGCG (a), FT-IR spectra of GNR@mSiO₂, GNR@mSiO₂-NH₂, GNR@mSiO₂-
 406 NH₂/EGCG and EGCG (b), and DSC curves of GNR@mSiO₂ (c₁), GNR@mSiO₂-NH₂ (c₂),
 407 EGCG (c₃), physical mixture GNR@mSiO₂-NH₂ + EGCG (c₄) and GNR@mSiO₂-
 408 NH₂/EGCG (c₅).

409

410 The thermogram of pure-EGCG shows (Figure 2c₃) a sharp endothermic peak at 219.3 °C
 411 attributed to the melting point of EGCG. This endothermic peak demonstrates the crystalline
 412 nature of EGCG [62, 65-67]. The thermogram also shows a sharp exothermic peak at 224.2
 413 °C, which is probably attributed to the decomposition of EGCG [65]. The thermogram of the
 414 physical mixture EGCG + GNR@mSiO₂-NH₂ (Figure 2c₄) showed a reduced intensity at the
 415 melting point of EGCG. The thermogram of GNR@mSiO₂-NH₂/EGCG (Figure 2c₅) reveals

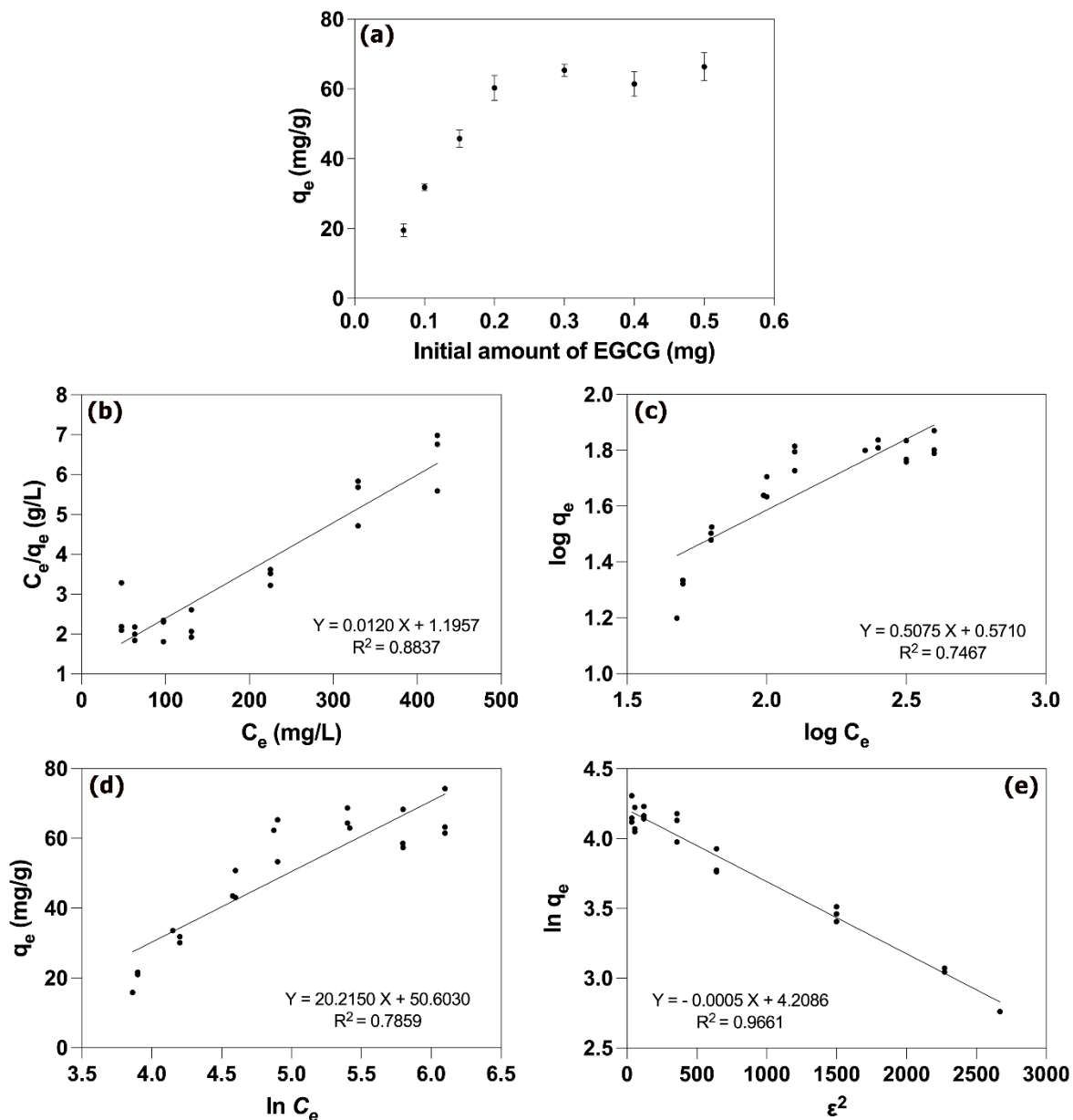
416 the disappearance of the characteristic peaks of EGCG. This is presumably attributed to the
417 transformation of the drug to an amorphous state upon adsorption onto the GNR@mSiO₂-
418 NH₂. Other studies showed similar behavior of crystalline drugs loaded on a mesoporous
419 silica surface [68, 69]. These observations suggest that EGCG was successfully incorporated
420 into the mesoporous channels of GNR@mSiO₂-NH₂ in a non-crystalline form.

421

422 3.2. Adsorption isotherm

423 Any adsorption process usually is controlled by three diffusion steps, determining the
424 kinetics of adsorption: (i) transport of the solute from the bulk solution to the film
425 surrounding the adsorbent, (ii) from the film to the surface of the adsorbent, and (iii) from
426 the surface to the internal sites of the particle [70]. Moreover, the adsorbed amount is mainly
427 determined by energetic terms, such as (a) the physicochemical and structural characteristics
428 of the molecule being absorbed (adsorbate) [71-73], (b) the type of medium in which
429 diffusion and adsorption itself occurs [74], (c) the physicochemical and structural
430 characteristics of the adsorbent particle [75-77], and (d) the type of interactions between the
431 adsorbent and the adsorbate (determined by a, b and c) [78]. Figure 3a corresponds to the
432 amount of EGCG adsorbed per amount of GNR@mSiO₂-NH₂ versus the initial amount of
433 EGCG. It can be seen that the amount of EGCG adsorbed increased when the initial amount
434 of EGCG increased, reaching saturation from initial values of 0.2 mg (initial EGCG/NPs
435 ratio = 0.17; initial EGCG/mSiO₂ ratio = 0.44). The maximum adsorption of EGCG was 64.4
436 mg/g equivalent to a load of 6.0% and an encapsulation efficiency (EE) equal to 19.2%. If
437 only the mSiO₂ shell is considered, which is equivalent to \approx 40% w/w of the GNR@mSiO₂,
438 the maximum adsorption of EGCG was 160.9 mg/g equivalent to a loading capacity of
439 13.9%. Hu et al. [79] reported that the maximum absorption of EGCG on mesoporous silica
440 nanospheres (MSN) (diameter = 78 ± 5 nm, pore surface area = 557 m²/g, pore volume =
441 0.93 cm³/g, average pore size = 2.6 nm) was 276 mg/g (initial EGCG/NPs ratio = 0.8), i.e.
442 1.7 and 2.5 times higher than in the mSiO₂ layer of our amino-functionalized (160.9 mg/g)
443 and non-amino-functionalized NPs (111.3 mg/g), respectively, even though the surface area
444 of mSiO₂ of our GNR@mSiO₂ was 2.2 times larger than that in MSN. In addition, Ding et
445 al. [80] reported an EE and loading capacity of EGCG on MSN (diameter \approx 50 nm and
446 average pore size = 2 nm) of 66% and 25%, respectively. This difference in adsorption levels

447 may be due to the different conditions used in the loading process: type of solvent, initial
 448 EGCG/mSiO₂ ratio [74], and the contact time between EGCG and NPs [40]. However, our
 449 adsorption results are comparable with those reported for other phenolic compounds (morin,
 450 rutin, and quercetin) [40, 77, 81] and other types of active molecules (vitamin E, doxorubicin,
 451 camptothecin, and AlPcS4) [27, 30, 57, 82, 83] on mesoporous silica NPs.
 452



453
 454 **Figure 3:** EGCG adsorption onto GNR@mSiO₂-NH₂. Adsorbed amount of EGCG as a
 455 function of different initial amount of EGCG (a); linear fit of experimental data obtained

456 using Langmuir (b), Freundlich (c), Temkin (d), and Dubinin-Radushkevich (e) isotherm
457 models at 25 °C (Mean \pm SEM, n = 3).

458

459 It is clear that the type of functionalization together with the physicochemical and structural
460 characteristics of the adsorbed molecule plays a fundamental role in the adsorption capacity
461 [84]. According to other reports, the aminopropyl chains of the amino-functionalized
462 nanosystem play an important role in the level of adsorption of molecules such as
463 polyphenols [40, 77, 82], in particular through the establishment of van der Waals
464 interactions [40]. It has been previously proposed that the adsorption of EGCG on an amino-
465 functionalized surface occurs through two mechanisms: (i) formation of hydrogen bonds
466 between the carbonyl and hydroxyl groups of EGCG and the amino groups, (ii) strong
467 electrostatic interactions between the positively charged amino-functionalized surface and
468 the negatively charged EGCG molecule ($pK_a = 7.7$ [85]) [64]. This may explain the higher
469 EE of EGCG in GNR@mSiO₂-NH₂ (≈ 1.5 -fold) compared to GNR@mSiO₂ (data not
470 shown).

471 Adsorption isotherms better express the magnitude of the retention and the behavior of the
472 molecule on a solid surface once the equilibrium of the adsorption/desorption phenomena
473 has been reached. They can be described from the ratio of the remaining concentration of a
474 molecule to the concentration or amount of molecule retained on the solid surface at a
475 constant temperature [86, 87].

476 The Langmuir, Freundlich, Temkin, and Dubinin-Radushkevich isotherm models are used to
477 describe the adsorption equilibrium and provide information to elucidate the adsorption
478 mechanism, surface properties, and the degree of affinity of the adsorbent for the adsorbate
479 [40, 88, 89]. Consequently, we analyze our data according to the linearized forms of these
480 adsorption isotherms whose equations are presented in Table 1.

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Table 1: EGCG adsorption isotherm parameters in GNR@mSiO₂-NH₂.

Isotherm	Equation	Parameters
Langmuir	$\frac{C_e}{q_e} = \frac{1}{Q_m b} + \frac{1}{Q_m} C_e$ (5)	Q_m (mg/g) = 83.3
		b (L/mg) = 0.01
		$R^2 = 0.8837$
		$R_L = 0.17 - 0.59$
Freundlich	$\log(q_e) = \log K_f + \frac{1}{n} \log C_e$ (6)	$K_f ((\text{mg/g})(\text{L/mg})^{1/n}) = 3.4$
		$n = 1.97$
		$R^2 = 0.7467$
Temkin	$q_e = B \ln A + B \ln C_e$ (7)	$B = 20.0$
		A (L/mg) = 12.2
		$R^2 = 0.7859$
Dubinin-Radushkevich	$\ln q_e = \ln Q_s - K \varepsilon^2$ (8) $\varepsilon = RT \ln \left(1 + \frac{1}{C_e}\right)$	$Q_s = 67.3$
		$K \times 10^{-7} (\text{mol}^2/\text{kJ}^2) = 0.0005$
		$E_a = 100.0$
		$R^2 = 0.9661$

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q_e = amount of EGCG adsorbed at equilibrium per unit of GNR@mSiO₂-NH₂ (mg/g), C_e = concentration of residual EGCG remaining in solution after adsorption (mg/L), Q_m = maximum monolayer absorption of EGCG per unit of GNR@mSiO₂-NH₂ (mg/g), b = Langmuir constant for adsorbate-adsorbent equilibrium (L/mg); K_f = Freundlich coefficient, n = is the Freundlich constant; A = Temkin isothermal constant (L/mg), B = constant defined as $B = RT/b_T$ (T = absolute temperature in Kelvin, R = universal gas constant 8.3143 J/mol K, b_T = is a constant related to the heat of adsorption); Q_s = maximum absorption of EGCG per unit of GNR@mSiO₂-NH₂ (mg/g) [1, 2].

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The Langmuir model considers a monolayer adsorption, that is, the adsorption would occur in a finite number of localized sites, without lateral interaction or steric hindrance between the adsorbed molecules. Under these conditions, maximum adsorption is reached when a saturated monolayer of molecules is produced on the solid surface [2, 87]. The Freundlich model assumes a non-ideal and reversible multilayer adsorption, applicable to heterogeneous surfaces with a non-uniform distribution of heat of adsorption and intensities of affinity on the surface [2, 87]. On the other hand, the Temkin model takes into account the indirect interactions between the adsorbent and adsorbate. This isotherm assumes that the heat of adsorption of all molecules in the layer decreases linearly with increasing coverage due to adsorbate-adsorbent interactions, thus adsorption is characterized by a uniform distribution of binding energies [1]. The Dubinin-Radushkevich isotherm model is an empirical model that presents information on the type of adsorption [1, 90]. According to the determination coefficients (R^2) (Table 1), the adsorption behavior of EGCG on the GNR@mSiO₂-NH₂ is better fitted by the Dubinin-Radushkevich model ($R^2 = 0.9661$)

508 compared to the rest of the studied models (Langmuir $R^2 = 0.8837$, Freundlich $R^2 = 0.7467$,
509 and Temkin $R^2 = 0.7859$).

510 The Dubinin-Radushkevich adsorption model yields a maximum amount of EGCG adsorbed
511 per amount of GNR@mSiO₂-NH₂ of 67.3 mg/g, a value very close to that obtained
512 experimentally (64.4 mg/g). The adsorption activation energy (E_a), which is defined as the
513 free energy change when one mole of molecules is transferred from the solution to the solid
514 surface [2], was calculated from the isotherm of Dubinin-Radushkevich adsorption as
515 follows:

516

$$517 \quad E_a = \frac{1}{\sqrt{2K}} \quad (9)$$

518

519 The magnitude of E_a gives information about the nature of the adsorption process. E_a values
520 in the range of E_a in the range 1-8 kJ/mol correspond to a physical adsorption; between 9-16
521 kJ/mol is associated with ion exchange; and more than 16 kJ/mol represents a chemical
522 adsorption [91]. The calculated E_a value was 100 kJ/mol at 25 °C, which indicates that the
523 adsorption of EGCG on GNR@mSiO₂-NH₂ corresponds to a strong chemical adsorption,
524 compatible with electrostatic interactions between the surface OH and NH₂ groups and the
525 phenol functions.

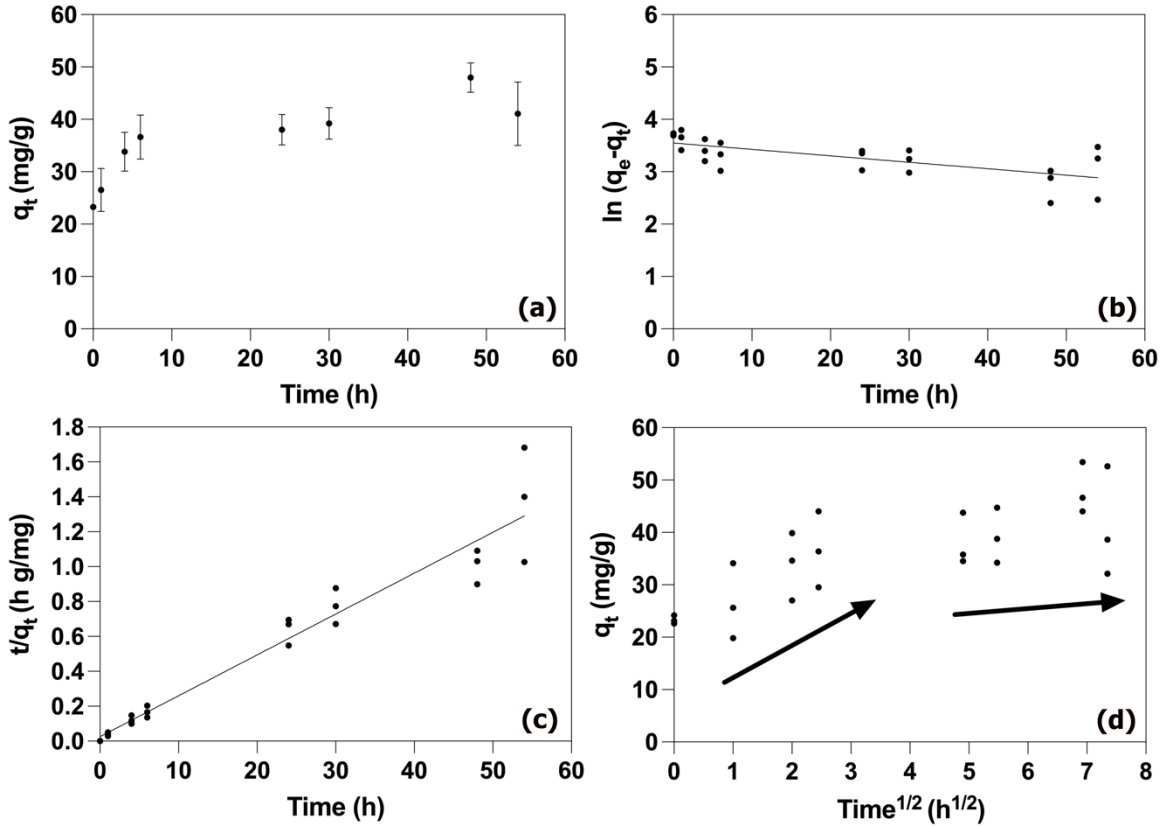
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527 *3.3. Kinetic Adsorption*

528 The general rate of the adsorption process is determined by the slowest step, usually the
529 diffusion of the solute from the surface film of the adsorbent to the internal sites of the
530 particles [70].

531 Figure 4a shows the effect of the contact time between GNR@mSiO₂-NH₂ and EGCG, where
532 a gradual adsorption process is observed during the first 6 h. After that, a second final
533 equilibrium stage was observed where maximum adsorption was reached, probably due to a
534 decrease in the number of available adsorption sites and the reduction in the concentration of
535 EGCG in the solution [40].

536



537

538 **Figure 4:** EGCG adsorption kinetics on GNR@mSiO₂-NH₂. Effect of contact time (Mean ±
 539 SEM, n = 3) (a), linear fit of experimental data obtained using pseudo-first order model (b),
 540 pseudo-second order model (c), and intra-particle diffusion model (d).

541

542 The adsorption kinetics of EGCG on GNR@mSiO₂-NH₂ was analyzed using three different
 543 kinetic models: the pseudo-first-order first proposed by Lagergren (Equation 9), the pseudo-
 544 second-order model of Ho and McKay (Equation 10), and the model of Weber and Morris
 545 intraparticle diffusion (Equation 11):

546

$$547 \ln(q_e - q_t) = \ln q_e - k_1 t \quad (9)$$

548

$$549 \frac{t}{q_t} = \frac{1}{k_2 q_e^2} + \frac{t}{q_e} \quad (10)$$

550

$$551 q_t = K_{id} t^{\frac{1}{2}} + I \quad (11)$$

552

553 Where q_e and q_t are the amounts of EGCG adsorbed on GNR@mSiO₂-NH₂ at equilibrium
 554 and at time t , respectively; k_1 is the observed rate constant of the pseudo-first-order model
 555 and k_2 is the observed rate constants of the pseudo-second-order model. K_{id} is the intraparticle
 556 diffusion rate constant and I is related to the thickness of the boundary layer.

557 As the results in Table 2 show, the coefficient of determination (R^2) values of the linear fits
 558 (Figure 4) suggest that the pseudo-second-order model is more suitable for predicting the
 559 kinetic mechanism for EGCG adsorption on GNR@mSiO₂-NH₂ in the present work. The
 560 value of q_e obtained with the pseudo-second-order model is close to the value obtained
 561 experimentally. Until now, no works have been published that describe the adsorption
 562 process of EGCG on silica NPs, however, other works on kinetic processes involving silica
 563 NPs agree that the pseudo-second-order model is the most adequate to predict the behavior
 564 of different compounds adsorbed on silica NPs [70, 92-94], including some phenolic
 565 compounds [40, 89].

566

567 **Table 2:** Kinetic parameters of EGCG absorption in GNR@mSiO₂-NH₂.

568

Kinetics model	Equation	Parameters
Pseudo first-order	$\ln(q_e - q_t) = -0.0123 t + 3.5496$	k_1 (1/h) = 0.0123
		q_e (mg/g) = 34.8
		$R^2 = 0.4667$
Pseudo second-order	$\frac{t}{q_t} = 0.0234 t + 0.0259$	$k_2 = 0.0234$
		q_e (mg/g) = 42.7
		$R^2 = 0.9358$
Intra-particle diffusion	$q_t = 5.6038 t^{\frac{1}{2}} + 22.421$ $q_t = 2.5575 t^{\frac{1}{2}} + 25.823$	K_{id1} (g/mg h ^{1/2}) = 5.6038
		$I_1 = 22.421$
		$R^2 = 0.5263$
		K_{id2} (g/mg h ^{1/2}) = 2.5575
		$I_2 = 25.823$
		$R^2 = 0.1451$

569

570 The steps of the adsorption process were thoroughly studied with the intraparticle diffusion
 571 model of Weber and Morris, as shown in Figure 4d. According to Equation 11, if the graph
 572 turns out to be a straight line, it can be concluded that intraparticle diffusion is the only step
 573 that controls the rate of adsorption. But as seen in Figure 4d, the adsorption data presents a
 574 multilinear plot, gradual adsorption followed by a final equilibrium stage of the process,
 575 suggesting that there is more than one step involved in the adsorption Process [40, 93]. The

576 results obtained suggest a complex adsorption process in which chemisorption, in addition to
577 intraparticle diffusion, could be a rate-limiting step of adsorption [40].

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579 *3.4. Antioxidant activity evaluation*

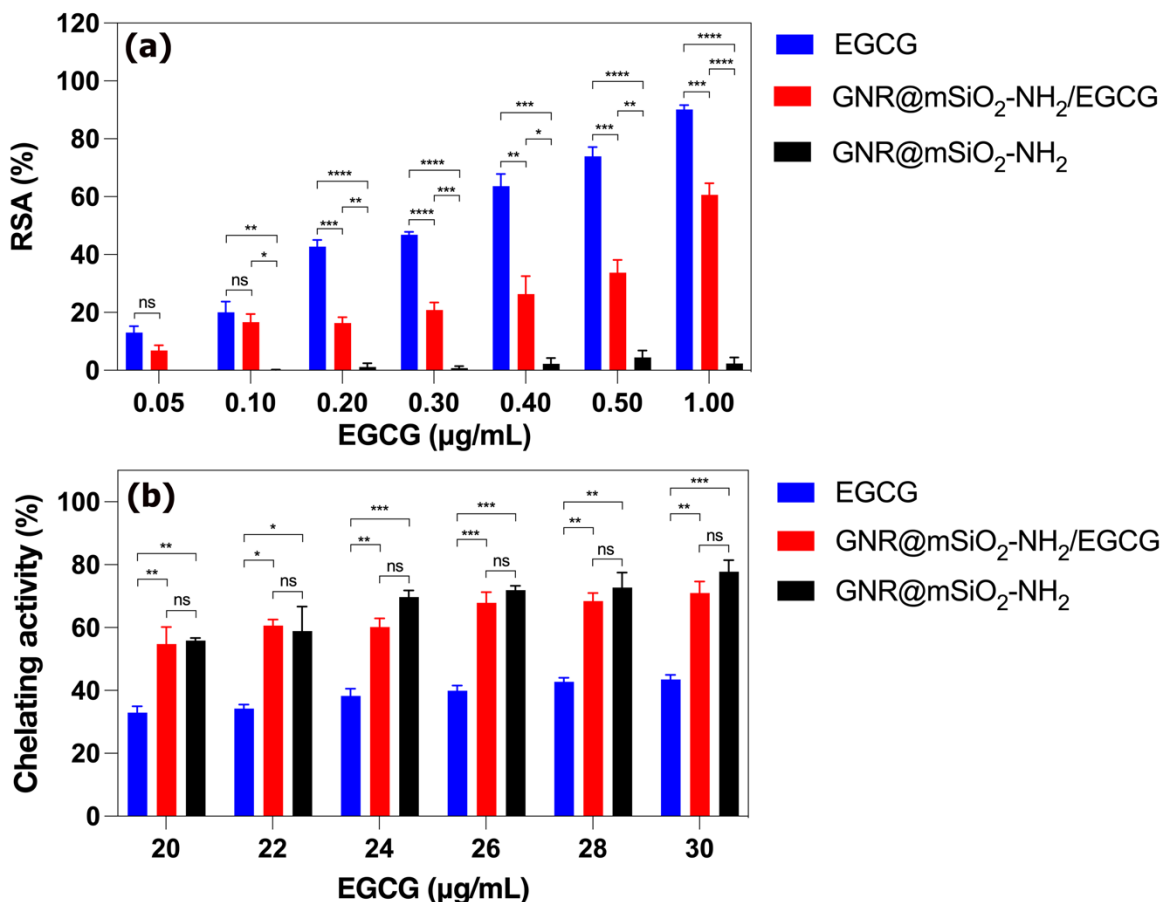
580 *3.4.1. DPPH• assay*

581 The DPPH• assay, which is based on the reduction of DPPH• to non-radical DPPH-H, is an
582 easy-to-use, rapid, reproducible, and low-cost method for detecting the ability of compounds
583 to scavenge free radicals [95, 96]. Furthermore, this assay has been widely used by several
584 authors to evaluate the activity of polyphenols and other compounds incorporated in silica
585 NPs [41, 77, 81, 82, 97-99]

586 Taking into account the results of this work, EGCG eliminates the DPPH• radical through a
587 moderate kinetic pattern according to the classification proposed by Xie and Schaich [100],
588 where it first acts by an electron transfer mechanism (SET) and, as the reaction proceeds,
589 hydrogen atom transfer (HAT) appears. As shown in Figure 5a, for GNR@mSiO₂-
590 NH₂/EGCG, an increase in EGCG concentration in the range of 0.05-1 µg/mL produced a
591 rise in free radical scavenging activity, expressed as RSA. A comparison of the RSA of
592 EGCG and GNR@mSiO₂-NH₂/EGCG showed that the antiradical activity was directly
593 related to the amount of EGCG in the NPs. According to the results, attenuation in the
594 scavenging activity of GNR@mSiO₂-NH₂/EGCG is observed compared to free EGCG in the
595 range of 0.2-1 µg/mL. Other authors have also reported this phenomenon for polyphenols
596 and other compounds incorporated in silica NPs [41, 77, 81, 82, 97-99]. It is believed that
597 this behavior is due to the immobilization of the active molecules at the surface of the NPs
598 and the tendency of DPPH• to be absorbed into the NPs; this causes a limitation to the free
599 diffusion of the molecules involved, which hinders the antioxidant activity. However, the
600 free radical scavenging activity of GNR@mSiO₂-NH₂/EGCG remains very high, reaching
601 an RSA of $60.7 \pm 6.9\%$ at an EGCG equivalent concentration of 1 µg/mL, so the data suggests
602 that the EGCG scaffold necessary to carry out the radical scavenging mechanism remains
603 available after adsorption on GNR@mSiO₂-NH₂. As expected, GNR@mSiO₂-NH₂ alone did
604 not show any significant effect against DPPH•. After 20 min of incubation at room
605 temperature, only $2.9 \pm 0.2\%$ of the EGCG loaded in GNR@mSiO₂-NH₂ is desorbed (Figure
606 S8a of the Supplementary Material), so the free radical-scavenging activity of GNR@mSiO₂-

607 NH_2/EGCG corresponds mainly to the action of EGCG adsorbed on the $\text{GNR@mSiO}_2\text{-NH}_2$
 608 nanoparticles. For comparison, Arriagada et al. [41, 97] reported RSA of $\sim 87\%$ and $\sim 95\%$
 609 for caffeic acid ($17 \mu\text{g/mL}$) and rosmarinic acid ($10.8 \mu\text{g/mL}$) immobilized on mesoporous
 610 silica NPs, respectively.

611



612

613 **Figure 5:** RSA (a) and chelating activity (b) of free EGCG, EGCG adsorbed on
 614 $\text{GNR@mSiO}_2\text{-NH}_2$, and $\text{GNR@mSiO}_2\text{-NH}_2$. Significance level: * $p < 0.05$, ** $p < 0.01$, ***
 615 $p < 0.001$, **** $p < 0.0001$ and ns: not significant ($p > 0.05$). Mean \pm SEM, $n = 3$.

616

617 3.4.2. Chelating activity evaluation

618 Free or loosely bound Fe^{3+} (also Cu^{2+}) is an effective catalyst for the production of free
 619 radicals or reactive oxygen species (ROS), which can be reduced to Fe^{2+} by physiologically
 620 relevant reducing agents (such as glutathione or ascorbate) and can then react through the
 621 Fenton reaction with hydrogen peroxide (H_2O_2) to form hydroxyl radical ($\text{OH}\cdot$), one of the

622 most reactive ROS [101-103]. Consequently, it is essential that an antioxidant nanosystem
623 have a good metal chelation activity to avoid oxidative stress and damage.

624 The ability of NPs as metal chelators was studied, based on their ability to inhibit the
625 formation of the Fe^{2+} -ferrozine complex. As depicted in Figure 5b, the chelating activity
626 increases with the concentration of GNR@mSiO₂-NH₂/EGCG. The chelating activity of
627 GNR@mSiO₂-NH₂/EGCG was statistically higher than that of free EGCG in the
628 concentration range studied. However, the activity of GNR@mSiO₂-NH₂/EGCG was not
629 statistically more elevated than that of GNR@mSiO₂-NH₂. Considering the low chelating
630 activity of the GNR@mSiO₂ control (between 9 and 14%) (Figure S6 of the Supplementary
631 Material) and what has been reported by other authors [41, 77], the chelating activity of
632 GNR@mSiO₂-NH₂ is high thanks to the presence of free amino groups at the surface of the
633 NPs. After 10 min of incubation at room temperature, only $5.8 \pm 0.1\%$ of the EGCG loaded
634 into GNR@mSiO₂-NH₂ is desorbed (Figure S8b of the Supplementary Material), so the
635 chelating activity of GNR@mSiO₂-NH₂/EGCG is mainly due to the action of adsorbed
636 EGCG molecules and GNR@mSiO₂-NH₂ nanoparticles. The results of the FT-IR and
637 adsorption isotherm analyses suggest that EGCG chemo-adsorbs to GNR@mSiO₂-NH₂
638 through the formation of hydrogen bonds between the hydroxyl and carbonyl groups of
639 EGCG and the amino groups on the surface of the NPs, therefore the EGCG scaffold
640 necessary to exert its chelating activity (hydroxyl groups on carbons 3' and 4' of ring B and
641 3'' and 4'' of ring D [9, 11, 104]) is not sufficiently available, therefore, EGCG loading is
642 not able to further enhance the chelating activity of GNR@mSiO₂-NH₂.

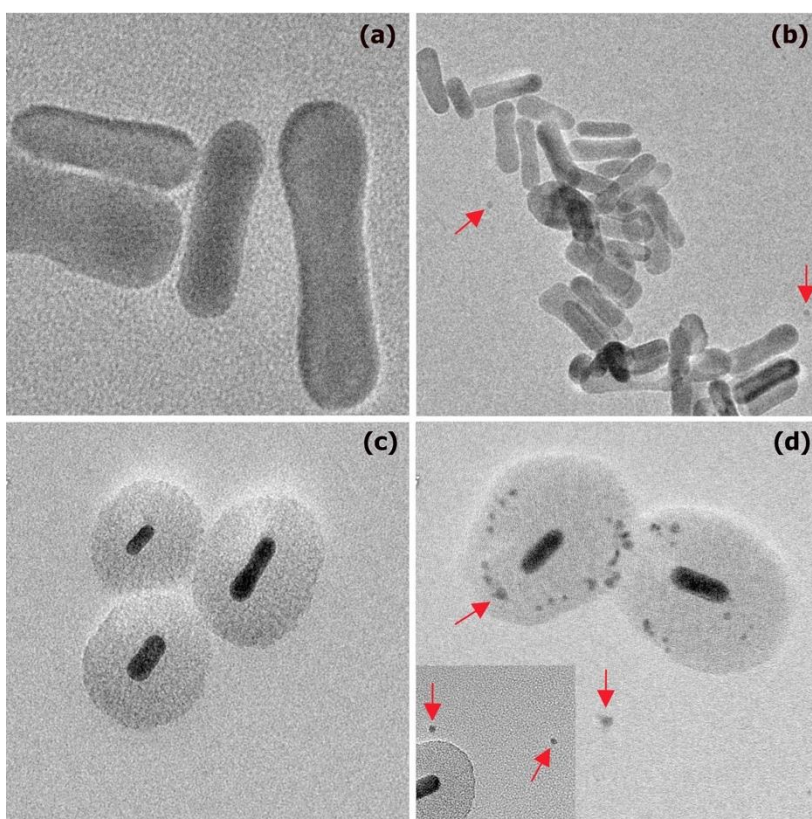
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644 *3.5. Stability of GN@mSiO₂ and EGCG against NIR irradiation*

645 To evaluate the stability of the nanosystem, aqueous suspensions of GNR and GNR@mSiO₂
646 (1 nM) were irradiated with NIR for 15 min. The morphology (Figure 6b) and the UV-Vis-
647 NIR spectrum (Figure S9c of the Supplementary Material) of the GNR remained intact,
648 however, ultrasmall gold NPs are observed in the HR-TEM images. When naked GNR are
649 irradiated with NIR, very high temperatures are reached on their surface that can be
650 transmitted a few nanometers in the medium. Therefore, this surface temperature is higher
651 than the global temperature reached by the medium in which the NPs are found [105]. The
652 temperature levels that are reached depend on the concentration of NPs and the irradiation

653 power density [105, 106]. Plasmonic heating by NIR irradiation sustained over time could
654 have caused photocracking of the GNRs with the formation of ultrasmall gold NPs of various
655 sizes (Figure 6b), as previously reported by Khanadeev et al. [107]. The formation of the
656 silica layer on the surface of the GNR increases its photostability (because it partially limits
657 the absorption and emission of energy in the form of heat [33, 83]) and avoids unwanted
658 changes. However, under certain conditions (*e.g.*, high NIR laser power density and/or mSiO₂
659 thin film thickness < 24 nm) a small or large amount of ultrasmall gold NPs could be
660 generated as a result of the destruction partial or total of the GNR [107], even photocracking
661 of the mSiO₂ shell can occur [107, 108]. The morphology (Figure 6d) and UV-Vis-NIR
662 spectrum (Figure S9d of the Supplementary Material) of the GNR@mSiO₂ appear intact,
663 however, ultrasmall gold NPs could also be observed.

664



665

666 **Figure 6:** HR-TEM images of non-irradiated GNR (200000X) (a), NIR-irradiated GNR
667 (60000X) (b), non-irradiated GNR@mSiO₂ (50000X) (c), and NIR-irradiated GNR@mSiO₂
668 (80000X) (d). Red arrows point to ultrasmall gold residues.

669

670 As detailed in Table S9 of the Supplementary Material, when NPs were irradiated with NIR,
671 there was a slight increase in D_h that was not significant. Furthermore, an increase in PDI and
672 a decrease in ZP were observed. This indicates that NIR irradiation may have favored the
673 appearance of slightly more aggregates.

674 The core-shell nanosystem corresponding to GNR coated with $mSiO_2$ can be irradiated with
675 NIR to stimulate and control the release of drugs adsorbed on the $mSiO_2$ coating through the
676 photothermal effect [32]. According to the above, it is necessary to evaluate the stability of
677 the drug to be nanovehiculized against NIR irradiation and temperature.

678 To evaluate the stability of EGCG, aqueous solutions were subjected to NIR irradiation,
679 temperature bath, and both conditions simultaneously (NIR irradiation and temperature).
680 According to Figure S10a of the Supplementary Material, EGCG remained stable against
681 NIR irradiation, conserving $101.1 \pm 6.5\%$ of the concentration after 30 min.

682 When aqueous suspensions of GNR@ $mSiO_2$ (3 nM) were subjected to NIR irradiation a
683 maximum temperature of approximately $41^\circ C$ was reached (Figure S9b of the
684 Supplementary Material). This is an adequate temperature to stimulate the release of drugs
685 adsorbed in this type of nanosystems [32] and, in addition, it is the maximum temperature
686 that can be reached *in vivo* without causing cell apoptosis due to hyperthermia [109]. As
687 observed in Figure S10b of the Supplementary Material, EGCG remained stable at $41^\circ C$,
688 conserving $103.5 \pm 3.7\%$ of the concentration after 30 min. Similarly, EGCG remained stable
689 when subjected to a temperature of $41^\circ C$ and NIR irradiation simultaneously, conserving
690 $101.5 \pm 6.2\%$ of the concentration after 30 min (Figure S10C of the Supplementary Material).
691 None of the stability tests observed the appearance of an additional signal in the
692 chromatograms that could be interpreted as a degradation product (Figure S11 of the
693 Supplementary Material).

694 Furthermore, the DPPH• radical inactivating ability and EGCG chelating activity were
695 maintained after being exposed to NIR irradiation, temperature bath ($41^\circ C$), and both
696 conditions simultaneously (Figure S7 of the Supplementary Material). This confirms that the
697 EGCG remains stable and functional after being exposed to the aforementioned conditions.

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701 **4. Conclusions**

702 The objective of this work was to synthesize core-shell NPs composed of GNR@mSiO₂-NH₂
703 loaded with EGCG as a useful antioxidant material and to study their photothermal stability
704 for their potential use in the chemo-photothermal therapy. Epigallocatechin-3-gallate is
705 chemo-adsorbed on GNR@mSiO₂-NH₂, reaching loading capacity comparable to other
706 reports related to the adsorption of phenolic compounds on mesoporous silica surfaces. The
707 GNR@mSiO₂-NH₂/EGCG nanosystem presented good antioxidant activity evaluated in
708 terms of its RSA and chelating activity. EGCG, as well as its antioxidant activity (RSA and
709 chelating activity), remained stable under NIR irradiation, at the temperature, and under both
710 conditions simultaneously. In addition, the morphology and the UV-Vis-NIR spectrum of the
711 NPs remained intact after being irradiated with NIR, although ultras-small gold NPs could be
712 observed, probably the product of photocracking of the GNR. According to these results, the
713 GNR@mSiO₂-NH₂/EGCG nanosystem is potentially useful for the chemo-photothermal
714 therapy.

715

716 **Declaration of interests**

717 The authors declare that they have no interests that may have influenced the work reported
718 in this document.

719

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