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# Substrate-Selective Repair and Restart of Replication Forks by DNA Translocases

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## SUMMARY

Stalled replication forks are sources of genetic instability. Multiple fork-remodeling enzymes are recruited to stalled forks, but how they work to promote fork restart is poorly understood. By combining ensemble biochemical assays and single-molecule studies with magnetic tweezers, we show that SMARCAL1 branch migration and DNA-annealing activities are directed by the single-stranded DNA-binding protein RPA to selectively regress stalled replication forks caused by blockage to the leading-strand polymerase and to restore normal replication forks with a lagging-strand gap. We unveil the molecular mechanisms by which RPA enforces SMARCAL1 substrate preference. *E. coli* RecG acts similarly to SMARCAL1 in the presence of *E. coli* SSB, whereas the highly related human protein ZRANB3 has different substrate preferences. Our findings identify the important substrates of SMARCAL1 in fork repair, suggest that RecG and SMARCAL1 are functional orthologs, and provide a comprehensive model of fork repair by these DNA translocases.

## INTRODUCTION

During S phase, DNA-replication forks encounter many obstacles that block the replicative DNA polymerase and induce fork stalling, including unrepaired DNA damage, DNA-bound proteins, and DNA secondary structure. If left unrepaired, stalled forks can collapse, generate DNA double-strand breaks (DSBs), and be a source of the chromosome rearrangements frequently observed in cancer cells.

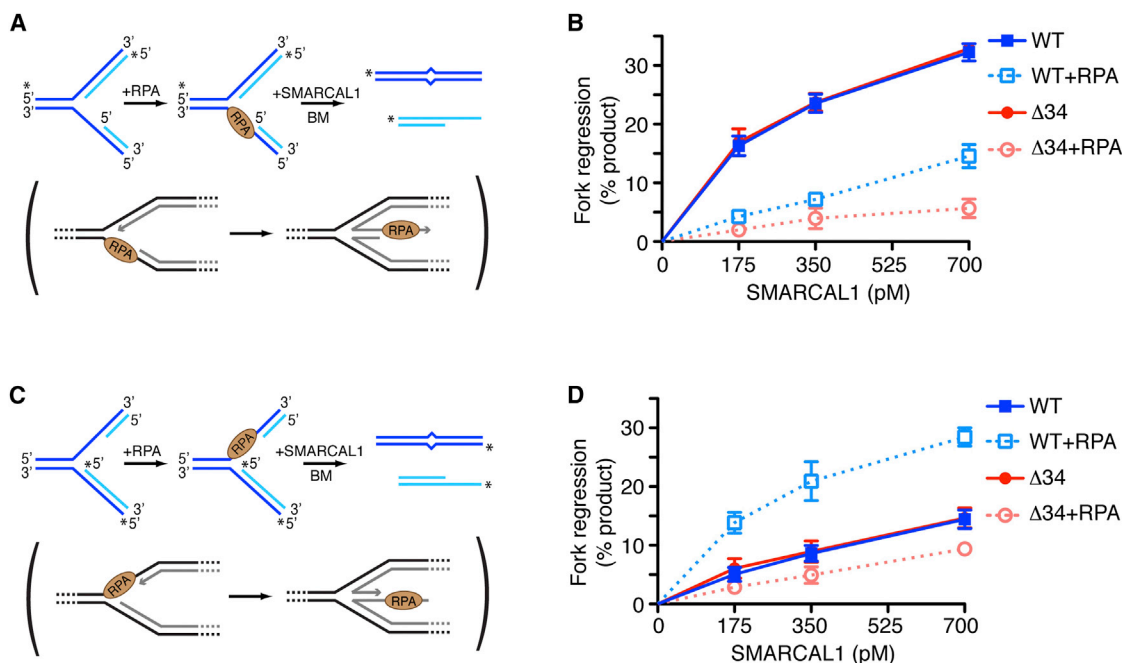
The DNA damage response (DDR) pathway works to prevent fork collapse by stabilizing the stalled fork, regulating DNA repair, and promoting replication restart (Branzei and Foiani, 2010). DDR proteins are recruited to stalled forks through multiple mechanisms including interactions with the single-stranded DNA (ssDNA)-binding protein replication protein A (RPA). A small amount of RPA-ssDNA is present on the lagging-strand template

during normal replication due to the discontinuous nature of lagging-strand synthesis. However, DNA damage can generate RPA-ssDNA on the leading-strand template due to uncoupling of the replicative DNA helicase and leading-strand polymerase (Byun et al., 2005). Fork stalling induces activation of the checkpoint kinase ATR, which phosphorylates hundreds of proteins to control the replication stress response (Cimprich and Cortez, 2008).

One of these ATR substrates is SMARCAL1, otherwise known as HARP (Bansbach et al., 2009; Postow et al., 2009). SMARCAL1 travels with the replisome during an unperturbed S phase (Bétous et al., 2012) and is concentrated at stalled forks via a direct interaction with RPA (Bansbach et al., 2009; Ciccica et al., 2009; Yuan et al., 2009; Yusufzai et al., 2009). Cells lacking SMARCAL1 are hypersensitive to replication stress (Bansbach et al., 2009; Ciccica et al., 2009; Yuan et al., 2009; Yusufzai et al., 2009) and accumulate DSBs during DNA replication due to fork cleavage by the MUS81 endonuclease (Bétous et al., 2012). Too much SMARCAL1 activity also causes fork-related damage indicating that SMARCAL1 must be regulated to prevent it from interfering with normal DNA replication (Bansbach et al., 2009). Inherited, biallelic loss-of-function mutations in *SMARCAL1* cause the disease Schimke immunosseous dysplasia (SIOD), characterized by bone growth defects, renal failure, immune deficiencies, and cancer predisposition (Baradaran-Heravi et al., 2012; Carroll et al., 2013).

Biochemically, SMARCAL1 is a DNA-dependent ATPase in the SNF2 family. It binds a broad range of DNA substrates that have both single- and double-stranded regions (Bétous et al., 2012). SMARCAL1 has the ability to anneal two complementary DNA strands (Yusufzai and Kadonaga, 2008). Furthermore, SMARCAL1 binds and branch migrates synthetic Holliday junctions and model replication forks (Bétous et al., 2012; Ciccica et al., 2012). This activity promotes fork regression into a “chicken foot” structure, which can be an intermediate in damaged replication fork repair.

Several other enzymes including FANCM, ZRANB3, WRN, and BLM can catalyze similar reactions on synthetic DNA substrates (Ciccica et al., 2012; Gari et al., 2008a, 2008b; Machwe et al., 2006; Ralf et al., 2006). ZRANB3 is also a SNF2 family member with sequence similarity to SMARCAL1. ZRANB3 is recruited to stalled replication forks but through an interaction with PCNA instead of RPA (Ciccica et al., 2012; Yuan et al., 2012).



**Figure 1. RPA Directs SMARCAL1 Specifically to a Damaged Replication Fork Substrate and Inhibits Its Action at a Normal Fork**

(A and C) Diagram of the lagging (A)- or leading (C)-gap replication fork regression assay.  $^{32}$ P-labeled strands are indicated with asterisks. A 2 bp mismatch is present on the parental (black) strands to prevent spontaneous branch migration. The physiological reaction mimicked by the experimental assay is shown in parentheses.

(B and D) Lagging (B)- or leading (D)-gap replication fork substrates were incubated 15 min at room temperature in the presence or absence of RPA. Increasing amounts of SMARCAL1-WT or - $\Delta$ 34 were added to the reaction and further incubated 20 min at 30°C. DNA products were analyzed by native gel electrophoresis, and phosphorimager quantitation of three experiments is shown (mean  $\pm$  SD).

See also Figure S1.

SMARCAL1 and ZRANB3 do not act redundantly because knockdown of either causes replication-associated DNA damage. It is unclear how these enzymes convert the energy of ATP hydrolysis into fork-remodeling activity. One model is that they act like *E. coli* RecG to translocate on double-stranded DNA (dsDNA) and have a domain that inserts into the DNA branch point to facilitate fork regression (Singleton et al., 2001).

Here, we investigated the mechanism by which SMARCAL1 repairs damaged replication forks. We find that SMARCAL1 can catalyze both fork regression and restoration. Its interaction with RPA directs these activities to provide the specificity needed to yield regression of damaged forks and restoration of normal fork structures. Single-molecule studies reveal how RPA enforces this substrate preference, and comparisons to other enzymes indicate a functional relationship between SMARCAL1 and *E. coli* RecG. Together, our results explain how SMARCAL1 is directed to remodel and repair stalled replication forks.

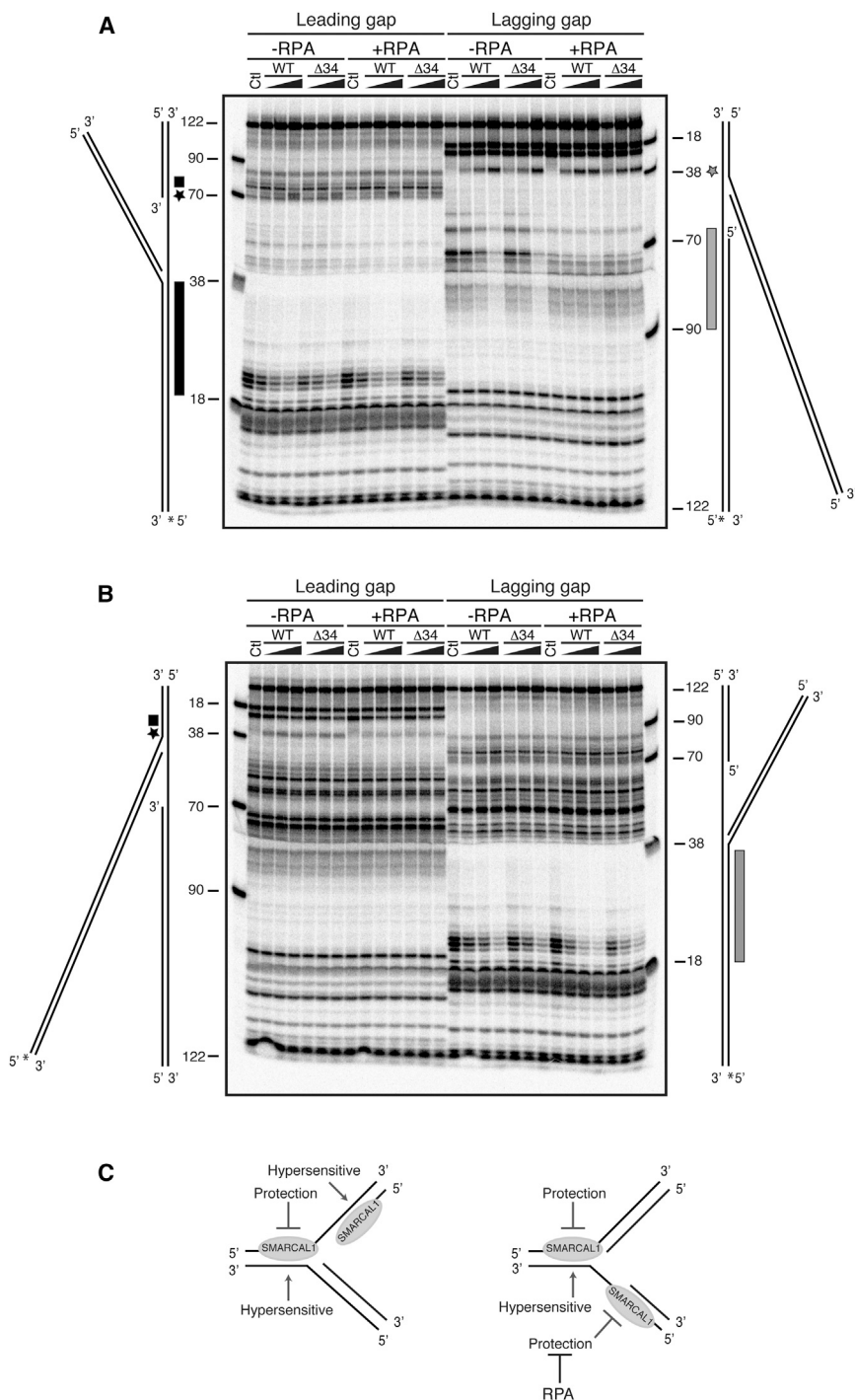
## RESULTS

### RPA Directs SMARCAL1 to Selectively Remodel Stalled Replication Forks with a Leading-Strand Gap

SMARCAL1 catalyzes fork regression of synthetic replication forks at least when no ssDNA gap or RPA is present on the substrate (Bétous et al., 2012; Ciccía et al., 2012). Fork regression

provides a mechanism of repair but should not happen at actively elongating forks. Yet, SMARCAL1 is present even at elongating replication forks because its interaction with RPA is not regulated (Bétous et al., 2012). Therefore, there must be mechanisms to direct its activity specifically to damaged forks and restrain its activity at normal forks. A ssDNA gap is present on the lagging strand at normal elongating forks. When a replisome stalls at a DNA lesion on the leading-strand template, uncoupling between the replicative polymerase and helicase creates a ssDNA gap on the leading-strand template. In both cases, the ssDNA is bound by RPA. To test if ssDNA gaps and RPA influence SMARCAL1 function, we designed leading- and lagging-strand gap fork substrates containing a 32 nt ssDNA gap that can accommodate one RPA molecule in its high-affinity DNA-bound conformation (Figures 1A and 1C). In the absence of RPA, SMARCAL1 has higher activity on a lagging-strand gap replication fork than on a leading-strand gap replication fork (Figures 1B, 1D, S1A, and S1B).

However, RPA inhibits SMARCAL1-catalyzed fork regression of a lagging-gap replication fork and stimulates fork regression of a leading-gap substrate (Figures 1B, 1D, S1A, and S1B). In these experiments, RPA was prebound to the gapped substrates such that all of the substrate molecules had one RPA molecule bound to the gap (Figures S1C and S1D). This stimulation of SMARCAL1 on the leading-gap substrate relies on a direct SMARCAL1-RPA interaction because RPA failed to stimulate a



**Figure 2. SMARCAL1 Binds Asymmetrically to dsDNA on the Gapped Fork Substrates**

(A and B) In each experiment, increasing amounts of SMARCAL1-WT or  $\Delta 34$  were incubated with the labeled substrate for 15 min prior to addition of nuclease. A diagram of each DNA substrate is placed next to the gel and stretched to correspond to the location of the size standards. The  $^{32}\text{P}$ -labeled DNA strand is indicated with an asterisk (\*). Where indicated, RPA was prebound to the substrate at a concentration sufficient to yield 100% binding. After nuclease digestion at levels titrated to yield single-cleavage events per DNA substrate, the reaction products were heat denatured and separated on an 8% polyacrylamide-denaturing sequencing gel. The control (Ct) samples are the DNA substrates in the absence of SMARCAL1 protein. The stars and boxes next to the DNA substrate indicate nuclease-hypersensitive and protected regions induced by SMARCAL1. (C) Summary of the modification of the digestion patterns observed in footprinting studies.

fork. This change in substrate preference enforced by RPA would help inhibit SMARCAL1 from working to regress an elongating, normal replication fork and stimulate it to regress a damaged fork.

**SMARCAL1 Binds the Two Strands of the DNA Duplex Asymmetrically**

To understand how RPA modulates SMARCAL1, we asked if it affects SMARCAL1 ATPase activity. RPA minimally stimulates SMARCAL1 activity when it is bound both to the leading and lagging template strand (Figures S2A and S2B). Thus, a change in ATPase activity cannot explain how RPA switches SMARCAL1 substrate preference. Likewise, the difference in substrate preference cannot be explained by a change in affinity to the substrate because SMARCAL1 binds similarly to both substrates with and without RPA (Figures S2C and S2D).

The DNA substrates used in the fork regression assay present two possible binding sites for SMARCAL1: either at the junction of parental strands forming the fork itself, or on the other side of the gap at the junction of nascent/parental

strands. To test whether the differences in substrate preference were due to changes in where SMARCAL1 binds when RPA is present, we performed DNA-footprinting studies. First, we labeled either the leading or lagging template strand containing the ssDNA gap. SMARCAL1-wild-type (WT) or  $\Delta 34$  protected about 20 nt of the dsDNA portion of the parental strand duplex on the leading-gap substrate (Figure 2A, black rectangle). A

SMARCAL1- $\Delta 34$  mutant that lacks its RPA-binding domain and cannot interact with RPA (Bansbach et al., 2009). Moreover, the bacterial Single-Strand DNA-Binding Protein (SSB) that does not interact with SMARCAL1 inhibits SMARCAL1 fork regression of both leading- and lagging-strand gap replication forks (Figure S1E). Thus, RPA switches SMARCAL1 substrate preference for fork regression from a lagging to a leading-gapped replication

DNase-hypersensitive site is observed at the same position on the lagging-gap substrate, perhaps suggesting a bending of the DNA induced by SMARCAL1 (Figure 2A, gray star). SMARCAL1 strongly protects the lagging-strand template duplexed with the lagging nascent strand (Figure 2A, gray bar). In contrast, only high concentrations of SMARCAL1 induce a change in digestion pattern at this position on the leading-gap substrate (Figure 2A, black star and small rectangle). Labeling the other template DNA strand shows that SMARCAL1 protects this strand on the lagging-gap substrate (Figure 2B, gray bar), whereas it induces a hypersensitive site on the leading-gap substrate (Figure 2B, black star).

Most of the footprinting patterns were not affected by RPA. However, the binding of SMARCAL1 to the nascent/parental strand duplex on the lagging-gap replication fork substrate was reduced (Figure 2A, gray bar), suggesting that RPA prevents SMARCAL1 binding at this position of the DNA substrate (Figure 2A). This effect was independent of the SMARCAL1-RPA interaction because both SMARCAL1-WT and  $\Delta 34$  bindings were affected.

The footprinting results are summarized in Figure 2C. These data confirm that these substrates present two potential binding sites for SMARCAL1, although only binding at the fork junction is likely to allow fork regression. Both WT and  $\Delta 34$  SMARCAL1 bindings to the parental and nascent strand duplex were reduced in the presence of RPA on the lagging-strand gap substrate. The data also indicate that SMARCAL1 binds asymmetrically to the dsDNA. SMARCAL1 protects the parental leading-strand template at the fork regardless of the position of the gap or presence of RPA. SMARCAL1 binding to the fork also always induces a hypersensitive site on the lagging-strand template consistent with a conformational change of the DNA. Asymmetric binding to the dsDNA suggests that SMARCAL1 may translocate with a specific polarity along a single strand of the DNA duplex similar to other dsDNA translocases (Singleton et al., 2007). The direct interaction of SMARCAL1 with RPA has no effect on these DNA-binding patterns; therefore, they cannot explain why RPA stimulates the fork-regression activity of the WT but not  $\Delta 34$  SMARCAL1 protein on a leading-strand gap substrate.

### SMARCAL1 Catalyzes Repetitive Bursts of Annealing Activity

We next considered the possibility that RPA alters the ability of SMARCAL1 to convert ATP hydrolysis into movement. Fork regression requires concerted annealing of the parental strands, displacement of the nascent strands, and annealing of the nascent strands to one another. Because SMARCAL1 lacks helicase activity in standard helicase assays (Yusufzai and Kado-naga, 2008), strand displacement must be coupled to strand annealing. To understand if RPA changes the annealing or strand-displacement ability of SMARCAL1, we used a single-molecule approach.

First, we used an assay to monitor strand annealing (Manosas et al., 2012a) in which one end of a 1.2 kbp DNA hairpin substrate is attached to a glass slide, and the other end is attached to a magnetic bead. Application of a magnetic field gradient to generate a force unwinds the hairpin except for the last 20–30

nt, which stay in a double-stranded conformation due to high GC content. Annealing of the unwound strands is monitored after injection of enzyme and ATP by measuring the distance between the glass surface and magnetic bead (the molecular extension) (Figure 3A).

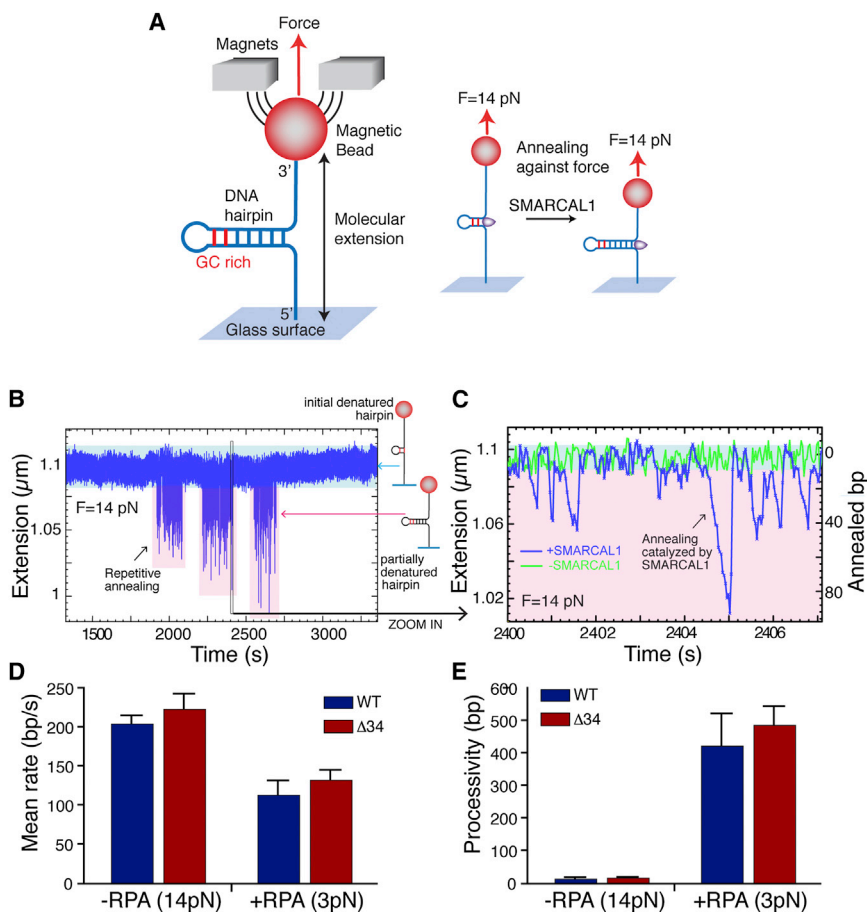
In the presence of ATP, SMARCAL1 catalyzes bursts of repetitive annealing of the DNA strands against the applied force as seen by a decrease in the extension length (Figures 3B and 3C). At low-enzyme concentration, these bursts of activity last for 150–250 s and are separated from each other by times of no activity (few hundreds of seconds), suggesting that a single molecule of SMARCAL1 performs each repetitive annealing burst.

Each burst corresponds to multiple cycles of ATP hydrolysis and protein movement. In this system, the annealing of a single base pair (bp) corresponds to an extension change of approximately 1 nm (Manosas et al., 2012a). At 14 pN, the measured SMARCAL1-annealing rate is approximately 200 bp/s at physiological levels of ATP (Figures S3A and S3B). The mean processivity, measured as the number of bp annealed prior to pausing, dissociation, or strand switching, is  $15 \pm 3$  bp per annealing event at this force level (Figure S3C).

To address whether RPA affects SMARCAL1-annealing activity, we added RPA to the single-molecule substrate prior to introducing SMARCAL1. At high forces, RPA destabilizes the DNA helix resulting in melting/unwinding of dsDNA. Consequently, generation of the RPA-bound substrate and its analysis had to be completed at reduced force (3 pN) (see [Extended Experimental Procedures](#)). In these conditions, the SMARCAL1-annealing rate is reduced modestly (40%), indicating the increased difficulty in reannealing the DNA strands when RPA must be displaced (Figure 3D). However, SMARCAL1 processivity is greatly increased to approximately 400 bp/annealing event in the presence of RPA (Figure 3E). WT and the  $\Delta 34$  protein exhibit similar annealing rates and processivity at the same force in the absence and presence of RPA (Figures 3D and 3E). Therefore, the SMARCAL1-RPA interaction does not influence SMARCAL1-annealing activity when RPA is bound to both ssDNA segments. The increase in SMARCAL1 processivity when RPA is present is likely due to the decrease in force needed to complete the experiment with RPA, although we cannot rule out other effects of RPA in this context.

### RPA Influences SMARCAL1 Fork-Regression Activity by Affecting the Distance It Moves

Next, we asked whether RPA influences substrate specificity by altering SMARCAL1 DNA strand-displacement activity during the fork-regression reaction. To generate the strand-displacement substrates, an oligonucleotide complementary to a central region of the hairpin is annealed to a fully denatured hairpin, and the force is decreased to allow the hairpin to spontaneously reanneal until the stem of the hairpin encounters the oligonucleotide. This creates a hairpin with long ssDNA tails and containing a duplex region at the junction between the hairpin stem and the 3' or 5' arm (Figures 4A and S4). Hairpins with a duplex region on the 3' or 5' arms were respectively called lagging- and leading-strand gap substrates because they mimic replication forks with long lagging- and leading-strand gaps.



**Figure 3. SMARCAL1 Catalyzes Repetitive Rounds of DNA Annealing**

(A) Schematic of the magnetic tweezers' single-molecule annealing experiment. Details are described in [Extended Experimental Procedures](#). (B and C) Experimental traces corresponding to background fluctuation (green) or SMARCAL1-annealing activity (blue). Example of repetitive annealing events catalyzed by single SMARCAL1 molecules at 30 pM enzyme concentration is shown. (C) is a zoom in of a small portion of (B). (D and E) Mean annealing rates (D) and processivity (E) of SMARCAL1-WT or RPA-binding mutant ( $\Delta 34$ ) in the presence or absence of RPA calculated by fitting the distribution of rates and processivity to a Gaussian function and exponential function, respectively. At least 75 traces were analyzed per condition. Error bars represent SD. See also [Figure S3](#).

of RPA significantly increases the T rep measured on the lagging-gap substrate and decreases the T rep measured for the leading-gap substrate ([Figures 4C and S4](#)). Again, these results are consistent with the RPA effects we observed on SMARCAL1 fork-regression activity in the ensemble reactions, although the stimulation of SMARCAL1 by RPA on the leading-gap substrate and inhibition on the lagging gap are not sufficient to fully reverse the substrate preference in

After SMARCAL1 injection, there is a period of inactivity (T binding) representing the time required for SMARCAL1 to bind the DNA substrate ([Figure 4B](#)). Upon SMARCAL1 binding, a period of sustained unproductive repetitive movement was observed (T rep). Eventually, full oligonucleotide displacement allows rapid final hairpin annealing ([Figure 4B](#)). Thus, T binding measures any effect of oligonucleotide position or RPA on the ability of SMARCAL1 to load onto the substrate, whereas T rep measures the efficiency with which it displaces the oligonucleotide during the annealing reaction.

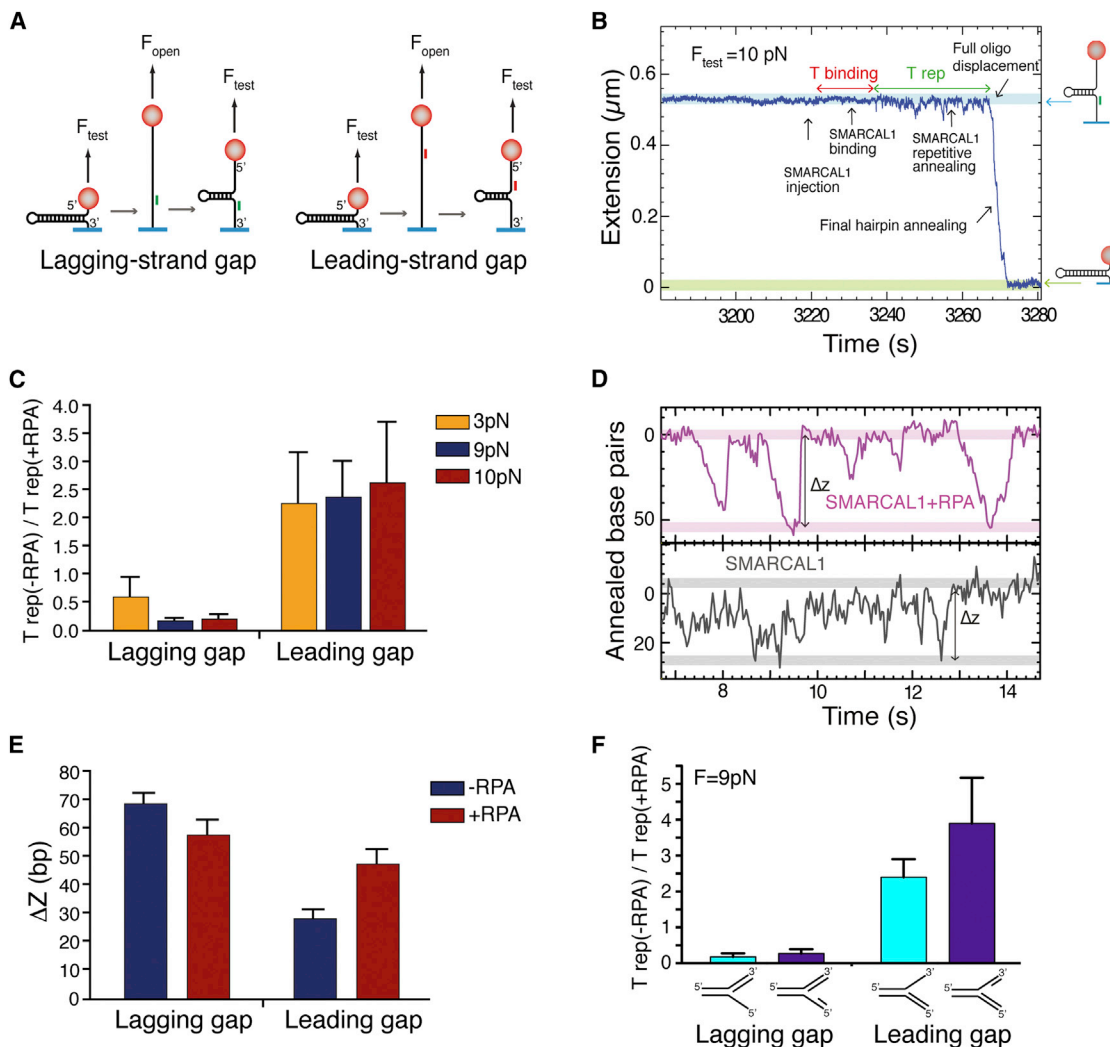
T rep is influenced by the length of the duplexed region with longer oligonucleotides requiring more time to be fully displaced. In each case though, T rep is considerably shorter for the lagging-gap substrate compared to the leading-gap substrate ([Figures S4C and S4D](#)), and the ratio of the T rep for the lagging versus leading substrate remains the same ([Figure S4E](#)). This is consistent with the observations from the ensemble studies where SMARCAL1 also showed a preference for the lagging-strand gap substrate in the absence of RPA ([Figure 1](#)).

We measured T binding and T rep at three different forces for both the leading- and lagging-strand gap substrates in the absence or presence of RPA. T binding was equivalent in all conditions (data not shown), indicating that neither the oligonucleotide orientation nor RPA affects SMARCAL1 binding to the DNA substrates, consistent with the ensemble results. Addition

this experimental setup. Despite absolute differences in T rep in the three force conditions, the relative effects of RPA were similar, suggesting that the inhibition and stimulation on the lagging- and leading-gap substrates, respectively, by RPA are not artifacts of the applied force.

The average distance that SMARCAL1 moves ( $\Delta Z$ , see [Figure 4D](#)) during each repetitive annealing event prior to full oligonucleotide dissociation and final hairpin annealing is different for each substrate. In the absence of RPA,  $\Delta Z$  is greater for the lagging-gap substrate than the leading-gap substrate ([Figure 4E](#)). RPA bound to the lagging-gap substrate decreased  $\Delta Z$ , whereas RPA bound to the leading-gap substrate increased  $\Delta Z$  ([Figure 4E](#)). Therefore, the values of T rep and  $\Delta Z$  are inversely correlated ([Figure S4I](#)). RPA also had the same effects on SMARCAL1 activity toward single-molecule substrates containing true gaps on either the leading or lagging strands, which were generated by annealing a second oligonucleotide to either the template leading or lagging strands, respectively ([Figure 4F](#)).

These results indicate that RPA influences SMARCAL1 fork-regression activity by affecting the distance it translocates during each repetitive annealing and strand-displacement event. Specifically, RPA increases the distance SMARCAL1 moves on a leading-gap substrate and decreases it on the lagging-gap substrate.



**Figure 4. RPA Increases the Distance SMARCAL1 Travels per Annealing Event Specifically on a DNA Substrate that Mimics a Stalled Replication Fork with a Leading-Strand Gap**

(A) Schematics of the strand-displacement substrate construction with a gap on the lagging or leading strand. Details are described in [Extended Experimental Procedures](#) and [Figure S4](#).

(B) Example of a typical experimental trace displaying characteristic features of the strand-displacement reaction (SMARCAL1 binding, SMARCAL1 repetitive annealing, full oligonucleotide displacement, and final hairpin annealing) at 200 pM enzyme concentration. The molecular extensions corresponding to the initial strand-displacement substrate and the fully formed hairpin are highlighted in blue and green, respectively.

(C) The ratio of  $T_{rep}$  measured in the absence or presence of RPA was measured at three different  $F_{test}$  forces for both lagging- and leading-strand gap substrates. ( $n = 58-89$  depending on condition). Error bars represent SD. The experiment at 10 pN was done with a wash step after RPA binding to remove any free RPA molecules excluding the possibility that free RPA contributes to the differences.

(D) Typical trace of the leading-strand gap substrate showing repetitive annealing events in absence or presence of RPA.  $\Delta Z$  represents the amplitude of the repetitive annealing events measured in the number of annealed bp.

(E) Mean and SD of  $\Delta Z$  in the absence or presence of RPA for lagging- or leading-strand gap substrates. The difference in  $\Delta Z$  measured in assays in the absence and presence of RPA is significant (independent two-group t test:  $p = 0.006$  and  $p = 1.9 \times 10^{-7}$  for lagging- and leading-strand gap substrates).

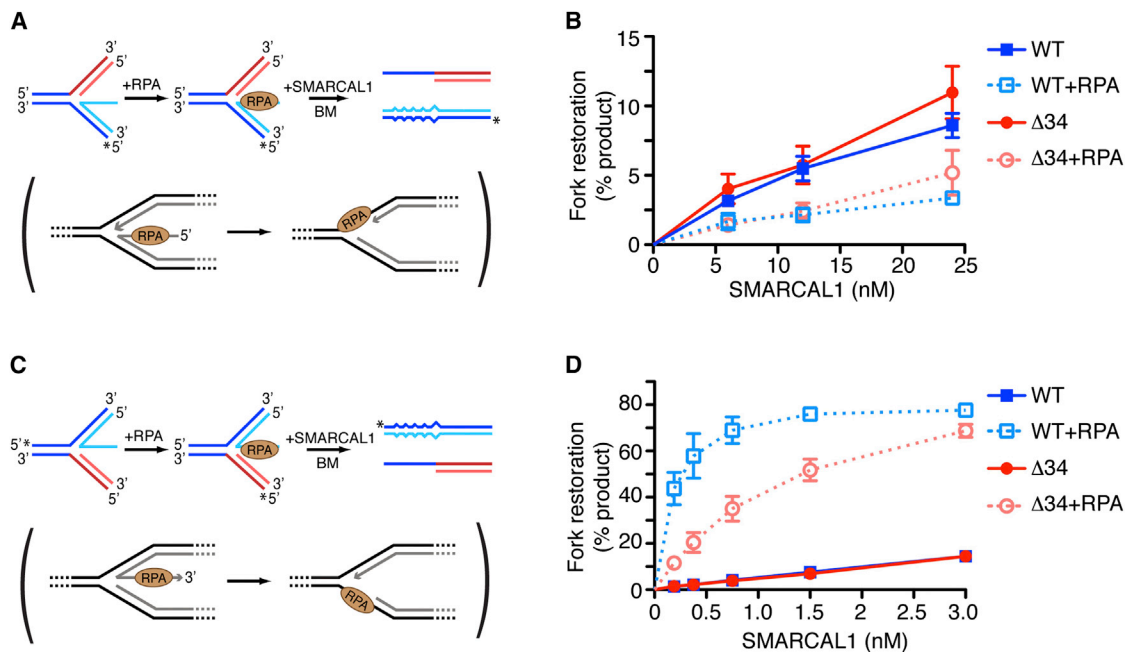
(F) The presence of a second oligonucleotide to generate a true 64 bp gap on the lagging or leading strand did not change the ability of RPA to inhibit or activate SMARCAL1, respectively.

See also [Figure S4](#).

### RPA Stimulates SMARCAL1 to Catalyze Normal Replication Fork Restoration when the Nascent Leading Strand Is Longer Than the Lagging Strand

The regression of a fork into a chicken foot structure could be an intermediate in fork repair or a pathological event caused by

torsional stress or aberrant fork processing. In either case, restarting replication requires restoration of a normal fork structure. In principle, SMARCAL1 could participate in the fork-restoration reaction. Restoration would require branch migration of the regressed chicken foot structure back toward the fork, and



**Figure 5. SMARCAL1 Preferentially Catalyzes Fork Restoration that Yields a Normal Lagging-Strand Gap Replication Fork in the Presence of RPA**

(A and C) Schematic of the leading (A)- or lagging (C)-gap replication fork restoration assay.  $^{32}\text{P}$ -labeled strands are indicated with asterisks. Mismatches in the DNA strands were inserted between the longest nascent strand and the corresponding parental strand to prevent spontaneous fork restoration. In parentheses is the physiological reaction mimicked by the experimental assay.

(B and D) Leading (B)- or lagging (D)-gap replication fork restoration substrates were incubated 15 min at room temperature in the presence or absence of RPA sufficient to bind 100% of the DNA substrate. Increasing amounts of SMARCAL1-WT or  $\Delta 34$  were added to the reaction and further incubated 20 min. DNA products were analyzed by native gel electrophoresis. Mean  $\pm$  SD from three independent experiments is depicted.

See also Figure S6 and Extended Results.

our previous studies indicated that SMARCAL1 can catalyze this reaction (Bétous et al., 2012). Furthermore, using magnetic tweezers and a substrate that mimics a stalled fork without a gap, we have observed that SMARCAL1 regresses the fork forming a Holliday junction, migrates the Holliday junction, and frequently switches directions toward either fork regression or restoration (Figure S5). However, in cells, the leading and lagging nascent strands are likely to have different lengths. Therefore, regressed forks may have an extra ssDNA protrusion, which will be bound by RPA. Thus, either a nuclease activity to remove this ssDNA end or an activity to remove the RPA and anneal the nascent ssDNA to the template strand would be needed to fully restore the fork.

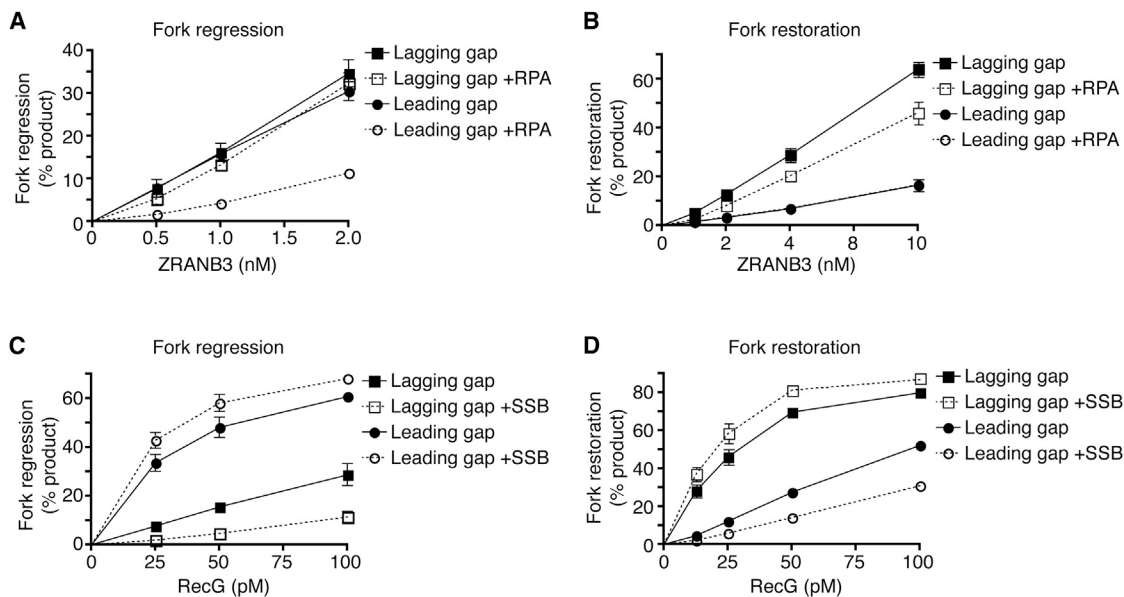
To investigate whether SMARCAL1 could catalyze this reaction, we designed a replication fork-restoration assay using DNA substrates mimicking partially regressed replication forks with a protruding 32 nt ssDNA tail (Figures 5A and 5C). The substrates contain mismatches to prevent spontaneous branch migration, contain heterologous arms to allow measurement of only fork restoration, bind one molecule of RPA in its high-affinity DNA-bound state, and contain either a 3' or 5' "nascent" ssDNA tail. Branch migration of these substrates would restore replication forks with a ssDNA gap either on the lagging or the leading template strand depending on whether the nascent leading or lagging strand was longer, respectively.

SMARCAL1 catalyzed fork restoration of both substrates but with very different efficiencies. In the absence of RPA, SMARCAL1 has a strong preference for restoring a lagging-strand gap because much higher SMARCAL1 concentrations were required to restore a replication fork with a leading-strand gap (Figures 5B and S6). This difference in substrate preference was exacerbated when RPA was bound. RPA strongly stimulated SMARCAL1 activity to restore a normal fork configuration with a lagging template-strand gap while inhibiting its ability to restore the leading-strand gap fork (Figures 5D and S6). The RPA stimulation partially depends on the direct interaction between SMARCAL1 and RPA because the SMARCAL1- $\Delta 34$  protein activity is also stimulated although not as strongly (Figures 5B and S6).

#### ***E. coli* RecG, but Not Human ZRANB3, Shares Similar Substrate Preferences to SMARCAL1**

To determine if the SMARCAL1 family member ZRANB3 shares a similar substrate preference, we assayed its activity using substrates with ssDNA gaps. In contrast to SMARCAL1, ZRANB3 has no intrinsic preference for regressing either lagging- or leading-strand gap forks (Figure 6A). Furthermore, unlike SMARCAL1, RPA inhibits ZRANB3 fork-regression activity on leading-strand gap substrates. When tested in the fork-restoration assay, ZRANB3 exhibits a preference to restoring a normal





**Figure 6. *E. coli* RecG, but Not Human ZRANB3, Exhibits Similar Substrate Preferences to SMARCAL1 in the Presence of ssDNA-Binding Proteins**

(A and C) Leading- or lagging-strand gap fork regression substrates were incubated 15 min in the presence or absence of RPA (A) or SSB (C). Increasing amounts of ZRANB3 (A) or RecG (C) were then added to the reaction and further incubated 20 min. The DNA products were analyzed by native gel electrophoresis.

(B and D) Fork-restoration substrates were incubated with RPA or SSB and ZRANB3 or RecG as indicated. Reaction products were analyzed by native gel electrophoresis. Mean  $\pm$  SD from three experiments is shown in all graphs.

replication fork, but the presence of RPA inhibits its activity (Figure 6B). These results suggest that SMARCAL1 and ZRANB3 may have different substrates at stalled forks, perhaps explaining why they work nonredundantly to promote fork repair and restart. Future studies to determine how PCNA affects ZRANB3 may be informative.

SMARCAL1 fork-remodeling activities are reminiscent of the bacterial helicase RecG (McGlynn and Lloyd, 2000). Analogously to SMARCAL1, RecG directly interacts with *E. coli* SSB (Buss et al., 2008), SSB modestly enhances RecG activity (Robu et al., 2004), and RecG-catalyzed DNA unwinding in the absence of SSB is greater on model substrates with ssDNA on the leading-strand arms (modeling a leading-strand gap) than when the ssDNA is on the lagging-strand arms (McGlynn and Lloyd, 2001). To more directly compare RecG and SMARCAL1, we tested if RecG has any specificity for fork regression to normal (lagging-strand gap) or damaged (leading-strand gap) forks in the presence or absence of SSB. RecG prefers to regress a leading-strand gap substrate in the absence of SSB, and SSB further accentuates this difference in specificity by inhibiting RecG activity on the lagging-strand gap substrate and slightly stimulating its activity on the leading-strand gap substrate (Figure 6C).

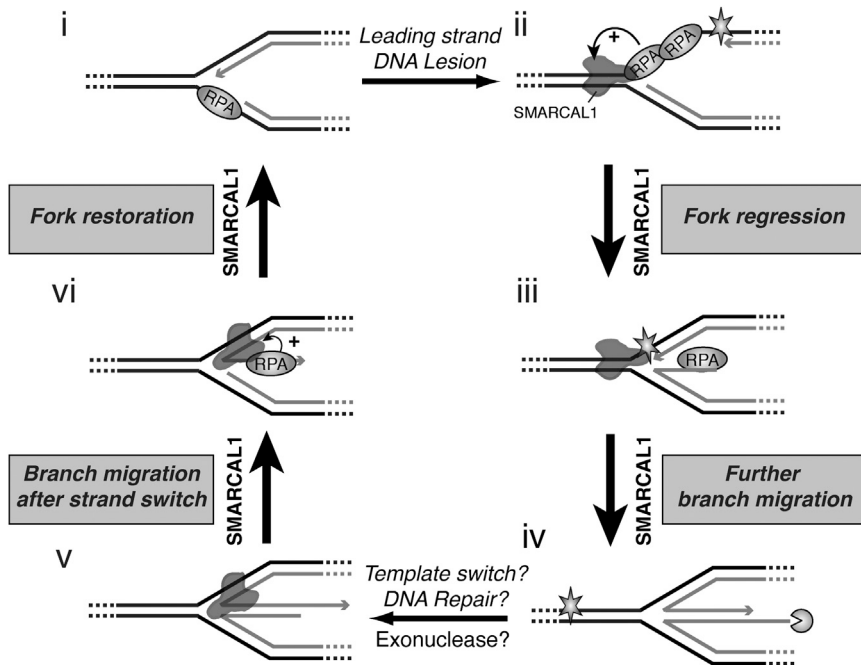
When tested using the fork-restoration assay, RecG also exhibited a similar substrate preference as SMARCAL1. RecG prefers to work on a regressed fork with a longer nascent leading strand, yielding restoration of a normal fork with a lagging-strand gap (Figure 6D). Again, SSB further accentuates this substrate preference. As might be expected for a prokaryotic enzyme, RecG has significantly higher activity at lower enzyme concen-

trations than SMARCAL1 (Figure S6F). Nonetheless, in the presence of their ssDNA-binding protein partners, both human SMARCAL1 and *E. coli* RecG prefer to regress stalled forks that would be generated by leading-strand template damage and restore normal replication forks with a lagging-strand gap. See Extended Results for more information.

## DISCUSSION

Our results support a comprehensive model of SMARCAL1 function in fork stabilization and repair (Figure 7). First, the ssDNA-binding protein RPA directs SMARCAL1 to catalyze fork regression on only a damaged replication fork that would be generated by stalling of the leading-strand polymerase and prevents this activity at normal forks. Also, RPA inhibits SMARCAL1 binding to the lagging nascent/parental strand junction (Figure 2), which may help prevent SMARCAL1 from interfering with Okazaki fragment processing. Other mechanisms, such as the coupling of helicase and polymerase, are also likely to prevent SMARCAL1 from acting at actively elongating forks as they do in other systems (Manosas et al., 2012a). The overexpression of SMARCAL1 may cause fork damage (Bansbach et al., 2009) because higher concentrations of SMARCAL1 overcome these regulatory mechanisms.

Second, SMARCAL1 catalyzes fork restoration after fork regression. Critically, RPA again enforces a strong specificity on SMARCAL1 that ensures it catalyzes fork restoration when the nascent leading strand is longer than the nascent lagging strand. Thus, SMARCAL1 will restore a normal fork configuration



**Figure 7. Model for Damaged Replication Fork Repair by SMARCAL1**

(i and ii) RPA inhibits SMARCAL1 from regressing normal elongating forks with lagging-strand template gaps. DNA damage on the leading-strand template induces stalling of the replicative DNA polymerase and generation of a leading-strand ssDNA.

(ii and iii) RPA stimulates SMARCAL1 fork-regression activity on this stalled fork.

(iii and iv) Continued branch migration yields a true chicken foot structure.

(iv and v) Fork regression permits repair of the DNA lesion in the context of dsDNA or could allow template switching. The nascent lagging strand of the regressed fork can be digested by a 5'–3' exonuclease, which may form a regressed fork with a longer nascent leading strand.

(v and vi) Strand switching and branch migration would yield a partially regressed replication fork with a ssDNA-strand 3' tail corresponding to the nascent leading strand.

(vi and i) RPA stimulates SMARCAL1 to reanneal the nascent leading ssDNA strand with the complementary parental strand to reform a normal DNA-replication fork that can resume DNA replication. This model is similar to those described for *E. coli* RecG function (Gregg et al., 2002; McGlynn and Lloyd, 2000), although it also incorporates the substrate specificity dictated by ssDNA-binding proteins.

See also Figure S7.

with a lagging template-strand gap but is inhibited from restoring a damaged fork configuration with a leading template-strand gap.

The combination of these RPA-directed substrate preferences with its Holliday junction migration activity allows SMARCAL1 to catalyze fork remodeling to deal with leading-strand damage. Fork regression would place the DNA lesion back into the context of dsDNA where it can be repaired, or template switching could bypass the lesion. Either template switching or limited 5'–3' exonuclease activity may yield a blunt end in the middle toe of the chicken foot structure. Additional exonuclease degradation of the nascent lagging strand would generate a longer 3' tail. Branch migration and RPA-stimulated, SMARCAL1-dependent fork restoration would then yield a normal fork configuration with a lagging template-strand gap (Figure 7).

This model predicts that a 5'–3' exonuclease is involved prior to the fork-restoration step. Indeed, in *S. cerevisiae*, both Dna2 and Exo1 process regressed forks, and the Mre11 nuclease is present at damaged forks in vertebrates to promote fork restart (Costanzo et al., 2001; Cotta-Ramusino et al., 2005; Hu et al., 2012; Mirzoeva and Petrini, 2003; Trenz et al., 2006). A second prediction of the model is that in the absence of SMARCAL1, stalled forks with either a leading-strand gap or perhaps an intermediate in fork reversal should accumulate. These intermediates would be good substrates for the MUS81 structure-specific endonuclease (Osman and Whitby, 2007). Indeed, MUS81 catalyzes DSB formation in SMARCAL1-deficient cells (Bétous et al., 2012).

### Mechanism of SMARCAL1 Fork Remodeling

Our single-molecule studies reveal that SMARCAL1 acts to anneal DNA in a repetitive fashion. This repetitive activity is independent of the applied force or presence of RPA. Repetitive bursts of activity have also been observed for other DNA-tracking enzymes like BLM and may be important for the enzyme's function (Yodh et al., 2009). This repetitive action might correspond to repetitive cycles of catalysis followed by slippage of the enzyme, allowing mechanical unwinding of the substrate due to the applied force. Slippage in the activity of several nucleic acid translocases has been observed (Manosas et al., 2012b; Myong et al., 2007; Sun et al., 2011). Alternatively, the repetitive activity might be generated through a strand-switching mechanism in which the enzyme changes how it is bound to the substrate (Desingnes et al., 2004; Yodh et al., 2009). Strand switching would enable a switch from fork regression to restoration (Figure S7).

The single-molecule studies also suggest that the mechanism for how RPA binding to SMARCAL1 enforces a substrate preference for fork regression is at least partially due to a change in distance of SMARCAL1 movement per round of activity before slipping or switching strands. When RPA is bound to a substrate mimicking a leading-strand gap, the distance SMARCAL1 moves per enzymatic burst is larger than when RPA is absent. The direct interaction between SMARCAL1 and RPA may assist in the RPA-displacement reaction by serving as an anchoring point for SMARCAL1, thereby allowing it to move further during each reaction cycle.

The RPA stimulation of SMARCAL1 is dependent on the DNA substrate. In fact, RPA inhibits SMARCAL1 when it is bound to a

lagging-strand gap or a 5' DNA tail in the fork-regression or fork-restoration assays, respectively. This difference may be explained by the asymmetric binding of RPA to ssDNA with four DNA-binding domains (Fanning et al., 2006). SMARCAL1 prefers fork-regression and fork-restoration substrates in which the highest-affinity DNA-binding domains are positioned on the ssDNA closest to the fork, and the weaker DNA-binding domains are positioned further away (Figure S7). The interaction surface of RPA for SMARCAL1 is attached to the weak DNA-binding domain in RPA32. When all four DNA-binding domains of RPA are bound, the ssDNA adopts a conformation that brings the RPA70A and RPA32D domains closer together (Fan and Pavlitch, 2012). Nonetheless, the orientation specificity of RPA on the ssDNA necessarily controls the distance between the DNA and protein interaction surfaces for SMARCAL1 on the RPA-bound fork substrates. The combination of RPA DNA-binding orientation and location of the SMARCAL1-binding surface provides a basis for the differences in SMARCAL1 movement and enzymatic activity.

#### Are RecG and SMARCAL1 Functional Orthologs?

Fork repair and restart through fork regression have been documented in prokaryotes and even bacteriophage (Gregg et al., 2002; Long and Kreuzer, 2009; Manosas et al., 2012a; McGlynn and Lloyd, 2000, 2001). The *E. coli* fork-regression enzyme RecG shares some properties with SMARCAL1, and our model of SMARCAL1 function to repair damaged replication forks is similar to the models developed for RecG (Gregg et al., 2002; McGlynn and Lloyd, 2000). Our data further extend these models by demonstrating that ssDNA-binding proteins help to enforce substrate specificity on these proteins.

RecG activity is critical to prevent lethality arising from 3' ssDNA flap accumulation, especially during DNA-replication stress (Rudolph et al., 2010). RPA strongly stimulates SMARCAL1 activity to restore normal replication forks from partially regressed replication forks with 3' ssDNA flaps. Therefore, we propose that like RecG, SMARCAL1 may prevent accumulation of these partially regressed 3' ssDNA flap structures in cells, which would be efficiently cleaved by MUS81, yielding the replication-associated DSBs observed in SMARCAL1-deficient cells (Bétous et al., 2012).

Structural studies show that RecG catalyzes fork regression by acting as a dsDNA translocase with a wedge domain that binds at the fork junction to promote specific DNA-remodeling activities (Singleton et al., 2001). SMARCAL1 also acts as a dsDNA translocase. Based on homology with the RAD54 SNF2 family member and our footprinting studies, the SMARCAL1 ATPase domains likely bind to the dsDNA (Dürr et al., 2005). The SMARCAL1 HARP domains are candidates for wedge domain activity because they are essential for DNA binding and enzyme function (Bétous et al., 2012; Ghosal et al., 2011).

There are also some differences between these enzymes. For example, RecG has a preference to regress DNA substrates with leading-strand gaps even without SSB, whereas SMARCAL1 requires RPA to be present to have this preference. In addition, RecG has measurable helicase activity, which has not yet been observed for SMARCAL1. Nonetheless, the similarities in substrate preference indicate that they are likely functional orthologs

with similar mechanism to maintain genome integrity during DNA replication.

#### SMARCAL1 Has Unique Biochemical Activities Not Shared by Related Fork-Processing Enzymes

A close sequence paralog of SMARCAL1, ZRANB3, also is recruited to stalled replication forks to promote stabilization and restart (Ciccina et al., 2012; Yuan et al., 2012). Knockdown of ZRANB3 and SMARCAL1 yields similar phenotypes, and ZRANB3 also has annealing helicase and fork-regression activities (Ciccina et al., 2012; Yuan et al., 2012; Yusufzai and Kado-naga, 2010). So why are there two enzymes with similar functions in the cell? One difference is that ZRANB3 does not bind RPA. Instead, it is recruited to damaged forks through an interaction with PCNA (Ciccina et al., 2012; Yuan et al., 2012). Our data indicate that this difference in interacting proteins also yields a difference in substrate preference. ZRANB3 is not stimulated by RPA. In fact, its abilities to regress a damaged replication fork or restore a normal fork are inhibited when RPA is present. Thus, ZRANB3 is likely to participate in a different reaction at stalled forks. Similarly, we also have compared SMARCAL1 to the WRN helicase and found that SMARCAL1 is much more active as a fork-regression enzyme (Bétous et al., 2013). Comparisons with additional fork-remodeling enzymes like FANCM and HLTF in the presence of RPA and other accessory proteins will be needed to fully understand the unique abilities of each of these enzymes. For example, the FANCM accessory protein FAAP24 has ssDNA-binding properties and dramatically increases FANCM binding to splayed-arm substrates (Ciccina et al., 2007).

#### Conclusions

Our discovery that SMARCAL1 is directed by RPA to have specific substrate preferences explains how it acts to promote damaged replication fork repair and restart. This activity appears to be functionally similar to the activity of *E. coli* RecG in fork repair. The ability of SMARCAL1 to restore a regressed fork into a normal fork structure may be important more generally outside the context of damaged fork repair. Fork regression can happen due to torsional stress when forks encounter tethered regions of chromosomes or during replication termination. SMARCAL1 may be needed in these circumstances if RPA stabilizes the reversed fork structure. In the absence of SMARCAL1, the regressed forks become substrates for endonucleases yielding DSBs, increasing the chance of chromosomal rearrangements or cell death.

#### EXPERIMENTAL PROCEDURES

##### Protein Purification and Ensemble Biochemical Assays

Flag-SMARCAL1 was purified from baculovirus-infected insect cells as described previously (Bétous et al., 2012). ZRANB3 was purified from HEK293T cells transfected with His-Flag-ZRANB3 expression plasmid as described for SMARCAL1 human cell expression (Bétous et al., 2012). Recombinant RecG was purified from *E. coli* using pGS772-RecG expression plasmid (Lloyd and Sharples, 1993) obtained from Piero Bianco (University of Buffalo). Details of protein purification and substrate preparation are presented in Extended Experimental Procedures. For fork-regression and -restoration assays, 3 nM of DNA substrates was incubated with 6 nM of RPA or SSB

and increasing amounts of SMARCAL1, ZRANB3, or RecG in reaction buffer (40 mM Tris [pH 7.5], 100 mM KCl, 5 mM MgCl<sub>2</sub>, 100 μg/ml BSA, 2 mM ATP, and 2 mM DTT) for 20 min at 30°C. Fork-restoration assays with SMARCAL1 and ZRANB3 were performed at 37°C for 20 min. Samples were separated on 8% polyacrylamide gels. The DNA-binding and ATPase assays were performed as described previously (Bétous et al., 2012).

#### DNA Footprinting

A total of 5 nM of purified DNA substrate was incubated with or without RPA and increasing concentration of SMARCAL1 (2.5, 5, and 10 nM) in footprinting buffer (20 mM HEPES [pH 7.6], 0.1 M KCl, 5 mM MgCl<sub>2</sub>, 250 ng/ml BSA, 1% glycerol, 0.1% IGEPAL CA-630, and 1 mM DTT). Samples were cooled on ice for 3 min and incubated for 2 min in the presence of 40 mU of Benzovase (Novagen). Reactions were stopped with 95% formamide and 20 mM EDTA, denatured, and separated by electrophoresis.

#### Single-Molecule Studies

Bead images were acquired at 30 Hz using a PicoTwist-inverted microscope, and the DNA extension was measured by tracking the bead position in real time (Gosse and Croquette, 2002). The force was estimated by using a force versus magnet vertical position calibration curve. The 1.2 kbp hairpin with modified tails to attach to the magnetic bead and the glass surface was prepared as described elsewhere (Manosas et al., 2009). Experiments with SMARCAL1 were performed at 37°C in 10 mM Tris-Ac (pH 7.5), 40 mM KOAc, 1 mM Mg(OAc)<sub>2</sub>, 0.5 mM dithiothreitol, and 1.5 mM ATP unless otherwise indicated. The protein concentration was 30–200 pM SMARCAL1 and 3 nM RPA.

#### SUPPLEMENTAL INFORMATION

Supplemental Information includes Extended Results, Extended Experimental Procedures, and seven figures and can be found with this article online at <http://dx.doi.org/10.1016/j.celrep.2013.05.002>.

#### LICENSING INFORMATION

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