



HAL
open science

Tailoring of plasmonic functionalized metastructures to enhance local heating release

Antonio Ferraro, Giuseppe Emanuele Lio, Abdelhamid Hmina, Giovanna Palermo, Joseph Marae Djouda, Thomas Maurer, Roberto Caputo

► **To cite this version:**

Antonio Ferraro, Giuseppe Emanuele Lio, Abdelhamid Hmina, Giovanna Palermo, Joseph Marae Djouda, et al.. Tailoring of plasmonic functionalized metastructures to enhance local heating release. *Nanophotonics*, 2021, 10 (15), pp.3907 - 3916. 10.1515/nanoph-2021-0406 . hal-04662530

HAL Id: hal-04662530

<https://hal.science/hal-04662530>

Submitted on 26 Jul 2024

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Research article

Antonio Ferraro, Giuseppe Emanuele Lio, Abdelhamid Hmina, Giovanna Palermo, Joseph Marae Djouda, Thomas Maurer and Roberto Caputo*

Tailoring of plasmonic functionalized metastructures to enhance local heating release

<https://doi.org/10.1515/nanoph-2021-0406>

Received July 26, 2021; accepted August 29, 2021;

published online September 15, 2021

Abstract: Plasmonic nanoheaters are reported that produce a significant local heating when excited by a 532 nm wavelength focused laser beam. A significant temperature increase derives from the strong confinement of electric field enabled by the specific arrangement of Au nanodisks constituting the nanoheater. The thermal response is much more sensitive when layering the gold nanoheaters by a thick layer of doped polymer, reaching a temperature variation of more than 250 °C. The modulation of the excitation by a chopper enables the fine control of the thermal response with a measured maximum temperature variation of about 60 °C in a single period. These intriguing features can be efficiently exploited for the design of novel systems finding application in nano medicine and nano chemistry.

Keywords: dye-doped; hybrid; nano heater; thermoplasmonic.

*Corresponding author: **Roberto Caputo**, Physics Department, University of Calabria, I-87036 Arcavacata di Rende, CS, Italy; CNR Nanotec-Institute of Nanotechnology, UOS Cosenza, 87036 Rende, CS, Italy; and Institute of Fundamental and Frontier Sciences, University of Electronic Science and Technology of China, Chengdu 610054, China, E-mail: roberto.caputo@unical.it

Antonio Ferraro, Giuseppe Emanuele Lio and Giovanna Palermo, Physics Department, University of Calabria, I-87036 Arcavacata di Rende, CS, Italy; and CNR Nanotec-Institute of Nanotechnology, UOS Cosenza, 87036 Rende, CS, Italy,

E-mail: giuseppe.lio@unical.it (G. E. Lio), <https://orcid.org/0000-0003-0189-6729> (A. Ferraro), <https://orcid.org/0000-0002-8925-7202> (G. E. Lio)

Abdelhamid Hmina and Thomas Maurer, Laboratoire Lumière, nanomatériaux & nanotechnologies – L2n, Université de Technologie de Troyes & CNRS ERL 7004, 12 rue Marie Curie, 10000 Troyes, France, E-mail: thomas.maurer@utt.fr (T. Maurer)

Joseph Marae Djouda, EPF Graduate School of Engineering, 3 bis rue Lakanal, 92330 Sceaux, France; and Université Paris-Saclay, ENS Paris-Saclay, CNRS, LMT – Laboratoire de Mécanique et Technologie, 4 avenue des sciences, 91190 Gif-sur-Yvette, France

1 Introduction

For many years, ohmic losses and related plasmonic heating generated by metallic micro- and nano-structures have been long seen as an issue, limiting the performance and functionalities of realized devices. However, in the last decade many efforts have been spent by the research community toward the control of the thermal response of plasmonic structures for the applications in several fields like renewable energy, photo-catalysis, and nano medicine, just to name a few [1–9]. This significant interest arises from the feasible fine-control of the released heat by using lasers for the plasmonic excitation. The heat generation can be attributed to the resonant oscillation of free electrons, confined in metal nanoparticles (NPs), induced by the electric field carried on by the illuminating light source. The energy absorbed by the metallic system during this localized surface plasmon resonance (LSPR) excitation is then dissipated as heat into the surrounding medium following several phenomena including electron–electron scattering, electron–phonon, and phonon–phonon coupling [10–15]. Key parameters for describing the performance of a thermoplasmonic system are absorption (σ_{abs}) and scattering (σ_{sca}) cross sections that measure how much light is absorbed and re-emitted, respectively. The derivation of these quantities can be done by considering a metal sphere with diameter much smaller than the incident wavelength as an electromagnetic dipole [16]. In this approximation, the polarizability (α) of such a sphere is defined as:

$$\alpha(\omega) = 4\pi R^3 \frac{\epsilon(\omega) - \epsilon_s}{\epsilon(\omega) + 2\epsilon_s} \quad (1)$$

where R is the sphere radius, whereas $\epsilon(\omega)$ is the frequency-dependent complex permittivity of the NP immersed in a surrounding medium with $\epsilon(s)$ relative permittivity. Equation (1) reveals that the resonance condition occurs when $\epsilon(\omega) \approx -2\epsilon(s)$. At the resonance condition, (σ_{abs}) and (σ_{sca}) are then written as [17]:

$$\sigma_{\text{abs}} = \vec{K} \text{Im}(\alpha) - \frac{\vec{K}^4}{6\pi} |\alpha|^2 \quad (2)$$

$$\sigma_{\text{scat}} = \frac{\vec{K}^4}{6\pi} |\alpha|^2 \quad (3)$$

where \vec{K} is the wave vector. The extinction cross section (σ_{ext}) is instead calculated as:

$$\sigma_{\text{ext}} = \sigma_{\text{abs}} + \sigma_{\text{scat}} = \vec{K} \text{Im}(\alpha) \quad (4)$$

LSPR wavelength and absorption cross sections are influenced by several factors as shape and material of the single NP, and spatial arrangement, in case of more particles [18–21] or by combining metal and dielectric in nano shaped structures [22, 23]. In the model reported in Ref. [11], the energy rate supplied by laser light (Q_I) is:

$$Q_I = I(1 - 10^{-A_\lambda})\eta \quad (5)$$

where I is the laser power, A_λ is the optical absorption in materials, and η is the thermal conversion efficiency.

The balancing of Q_I with the rate of energy dissipated to external environment (Q_{Ext}) returns:

$$\sum_i m_i C_{p,i} \frac{dT}{dt} = Q_I - Q_{\text{Ext}} \quad (6)$$

where m_i and $C_{p,i}$ are the mass and heat capacity of the components of the system, T and t represent temperature and time. In the last years, many attempts have been performed to actively control the absorption cross section of plasmonic systems including the use of flexible substrates [24–27], by introducing defects [28] or modifying the surrounding medium [29–33].

When considering the properties of a thermoplasmonic system, a key parameter is how the generated heat is transferred to the environment. In heat diffusion, the thermal conductivity (κ) of the medium surrounding the plasmonic system becomes the main actor [15, 20]. The heat transfer equation modeling the thermal behavior of the whole system is a function of the position dependent absolute temperature $T(\mathbf{r})$ [34]:

$$\rho C_p \frac{\delta T(\mathbf{r})}{\delta t} = \nabla \cdot [\kappa \nabla T(\mathbf{r})] + Q_I \quad (7)$$

where ρ indicates the density at constant pressure. From the above formula, it is evident that the performance of a plasmonic nano heater can be improved by appropriately choosing the surrounding medium. In this work, we present a detailed numerical and experimental study of the heat released by gold nano disks arranged in an optimized “flower” geometry. The overall size of the array of plasmonic flowers, patterned on glass substrate, is only $75 \times 75 \mu\text{m}^2$. The investigated tiny area of the nanoheaters reveals a significant macroscopic temperature variation (ΔT , with respect to room temperature) from ≈ 30 to 110°C ,

depending on the 532 nm incident laser intensity. The system performance is significantly enhanced by layering the nanoheaters with a $4 \mu\text{m}$ thick polyvinylpyrrolidone (PVP) film. In fact, due to the modification of the medium surrounding the nanostructures from air to PVP, the plasmonic coupling between close nanodisks is enhanced leading to an increase of the plasmonic coupling between neighbor nano flowers [35]. In this case, the generated ΔT reaches more than 200°C in less than 1 s. As predicted by Eq. (1), acting on the surrounding medium passively modifies the nanoheater thermal response. A way to actively control the absorption cross section (and thus the system thermal response) is instead enabled by dissolving dye molecules (Rhodamine 6G) with maximum absorption at $\lambda = 532 \text{ nm}$ in the PVP mixture. When this second mixture is deposited on bare flower nanoheaters, even higher temperatures are reached upon excitation, until the photobleaching of the dye occurs. The dynamic response of the nanoheaters is experimentally investigated as well by periodically shutting the pump beam. The measured temperature profiles reveal a sensitive thermal response following the pump beam behavior up to 10 Hz frequency, with a ΔT excursion of more than 60°C in one period. The results reported in this manuscript open a framework of possible applications where the fine control of heat generation is an essential parameter like in life science and nanotechnology.

2 Results and discussion

The search for the optimal distribution of metal nanoparticles or nanostructures, able to maximize the heat generation, represents an open challenge. The basic idea is to shift the absorption cross section (σ_{abs}) so as to match the laser pump line, thus increasing the thermal variation. To this aim, several attempts have been made by optimizing the NPs distribution thus creating efficient hot-spots and square, diagonal, octagonal, and circular patterns have been considered [36–40]. As an approach to challenge the problem in a more systematic way, we implemented a Matlab code that considers main analytical curves with high level of symmetry as circles (C), parabolas (P), and hyperbolas (H) as traces along which Au spheres (Sp) or disks (D) can be positioned (Figure 1a). For each obtained arrangement, COMSOL Multiphysics calculates the corresponding absorption cross section that is strongly dependent on the given design in the investigated spectral range (Figure 1b). By combining the previous spatial distributions and considering the steric hindrance

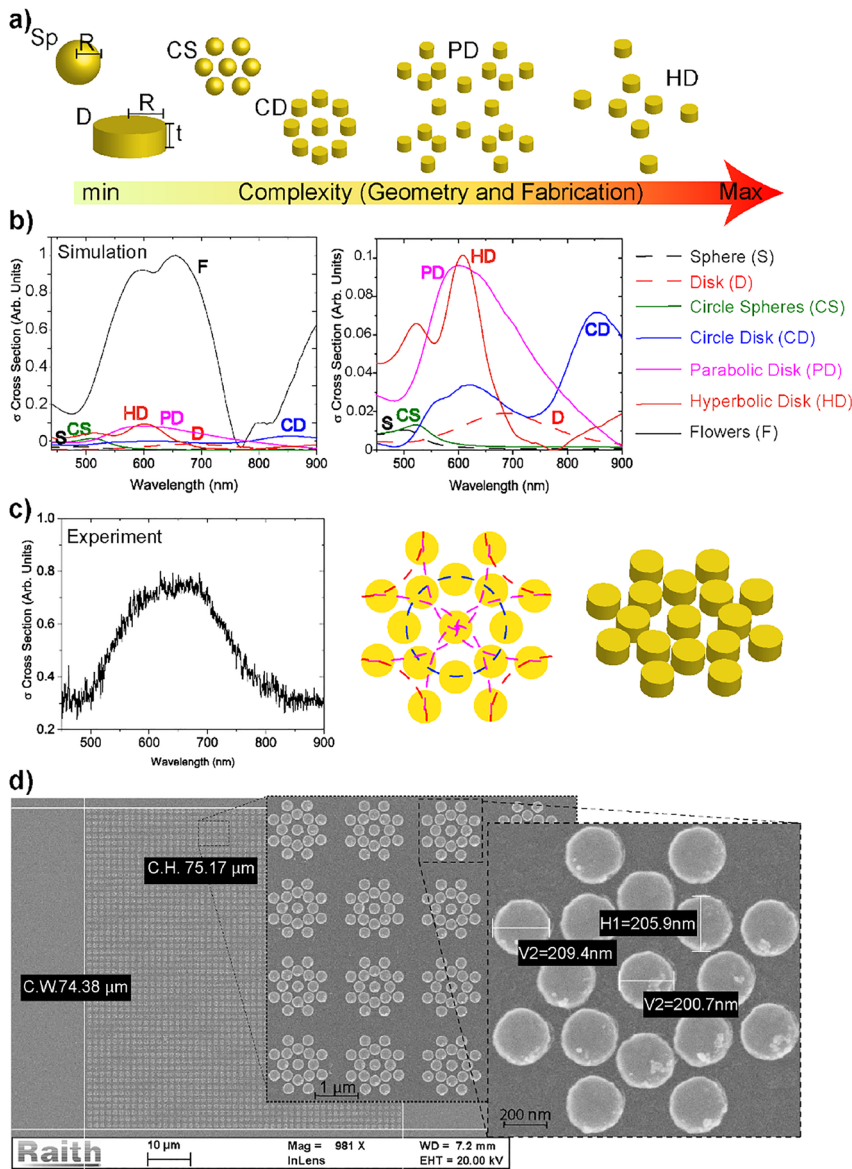


Figure 1: (a) Schematic view of different nanostructures arrangement where Sp and D refer to sphere and disk, respectively; C, P and H refer to circle, parabolas and hyperbolas, respectively. (b) Absorption cross section of the considered nanoparticles arrangement, namely single sphere (dotted black line), single disk (red), circle spheres (green), circle disk (blue), parabolic disk (pink), and hyperbolic disk (reddish) and flower (black). The right frame shows the same curves of the left but rescaled to better evidence the plasmonic features of the considered arrangements. (c) Experimental absorption cross section as a function of the wavelength for the fabricated nano flowers, the arrangement of a single flower is reported in the schematic view on the right side. (d) Scanning electron microscope (SEM) micro-graphs of the fabricated plasmonic nano heater in flower arrangement.

of the particles, pump wavelength $\lambda = 532$ nm, polarization insensitiveness, broad σ_{abs} and, at least, $\Delta T > 15$ °C, the optimal arrangement is found that resembles the “flower” illustrated in Figure 1c. Its numerical absorption cross section is reported in Figure 1b where the curve for each considered pattern is normalized to the maximum obtained value. The right frame of Figure 1b shows the same curves of the left one but rescaled to better evidence the plasmonic features of the considered arrangements. This design approach aims at increasing the density of nanodisks for unit area thus obtaining a very strong plasmonic coupling between them. The formation of a high density of strongly coupled plasmonic particles results in a specific absorption cross section that is much larger

than in a generic plasmonic distribution. This pattern comprises disks with $R \sim 100$ nm and $t \sim 50$ nm, and exhibits a broad numerical cross section that is in good agreement with the corresponding experimental one (Figure 1c). The broad absorption spectrum, from 500 to 750 nm, reveals quite convenient in case the system is excited by multiple wavelengths or white light. For what concerns the absolute values of absorption, the flower configuration, with the same number of disks of the other arrangements (CD, PD, and HD), exhibits a σ_{abs} at least one order of magnitude larger. After design and optimization process, the Au nanoheaters were fabricated through electron beam lithography (EBL) on glass substrate, see Experimental section. However, the configuration of this arrangement with specific gaps between disks needs an investigation

of the electron beam exposure dose to obtain the best resolution of the openings created in the PMMA resist. In fact, the dose factor depends on the pattern shape and especially on the gaps range (10–50 nm). A dose variation in the range 200–400 $\mu\text{C}/\text{cm}^2$ was applied to adjust the EBL process. Finally, two different doses have been used: center and external disks with 340 $\mu\text{C}/\text{cm}^2$ while 280 $\mu\text{C}/\text{cm}^2$ for inner disks where the gap sizes are smaller and the local proximity effect caused by the forward scattering electrons is high. The final device results in an array of flower nanoheaters with a period of 1.5 μm for a total patterned area of $75 \times 75 \mu\text{m}^2$. In the single flower, the central disk is positioned at a distance of ≈ 100 nm from the first neighbors, whereas the other disks are spaced of ≈ 40 –50 nm. The morphology of the fabricated nano flowers has been investigated by scanning electron microscopy (SEM) and the acquired images are reported in Figure 1d where in the first frame the $75 \times 75 \mu\text{m}^2$ array is shown that contains about 5000 flowers, in the second one ($5 \times 5 \mu\text{m}$), the detail of the ordered arrangement of these flowers is reported together with a single zoomed-in flower; the third frame displays the disk diameter and high resolution morphology details. All frames underline the excellent quality of the realized fabrication process.

In order to study the plasmonic behavior of the flower nanoheaters upon excitation, confocal and scanning near-field optical microscope (SNOM) analyses have been performed. As shown in Figure 2a, the far-field maps acquired in transmission by the bottom objective lens (60 \times) of the confocal microscope evidence a prevalent field enhancement at the external borders of each nano-flower, with values in the inter-flower gap ≈ 7 times higher than those measured within the single structure, see inset for more

details. Light is strongly absorbed by the flowers that appear as black spots without a possibility to discern the fine nanodisk morphology. Higher resolution SNOM images (Figure 2b and c) evidence more detailed field maps highlighting the inner contours of the beautiful flowers. The near field images also provide a meaningful insight of their collective plasmonic response [41–44]. In particular, the nanodisks belonging to a single flower are strongly coupled between each other because of their extreme proximity and all together behave as a single plasmonic macro-unit. Overall, the flower undergoes a dipolar excitation whose field lobes are oriented along the exciting polarization direction (x). A donut-shaped field localization is observed around the central disk, probably due to its distancing from first neighbors (≈ 100 nm), that weakens the plasmonic coupling. However, the several transverse field cuts reported in Figure 2d and e shows that the donut field amplitude is quite moderate if compared to that of the outer lobes. The observed plasmonic behavior is in significant agreement with the numerical simulation of a single flower which confirms the presence of dipolar field lobes as a result of an excitation along the x direction (Figure 2f). In the center of the simulated flower, an elongated field hot-spot is instead present that is in contrast with the experimentally observed donut shape. This discrepancy can be probably attributed to the slightly different excitation conditions between the experimental and numerical case. While in the numerical case an ideal plane wave is assumed for the excitation, in the experimental one the exciting light is focused on the sample by passing through the SNOM tip with a hole radius of ≈ 90 nm. Considering that the aperture size is comparable with the interdistance between central disk and neighboring ones,

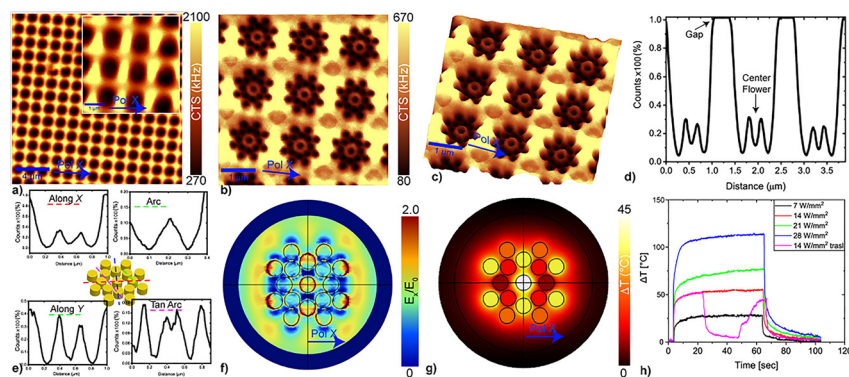


Figure 2: (a) Experimental confocal images of the plasmonic nano heater where the black zone denotes the nano flower. (b) Experimental scanning near-field optical microscope (SNOM) of the plasmonic nano heater $5 \times 5 \mu\text{m}$ where the black zone denotes the Au nano flower, (c) 2.5D view of micrograph (b). (d) Near electric-field profile on the averaged area $5 \times 5 \mu\text{m}$ and (e) near field distribution profiles along disks at different positions. (f) Normalized numerical electric field map and (g) near field thermal map of the proposed geometry using an intensity of $14 \text{ W}/\text{mm}^2$, (h) experimental macroscopic temperature at different laser ($\lambda = 532 \text{ nm}$) intensity for 60 s of irradiation. The purple line refers to a measurement acquired moving, after 20 s, the nano flower far away from the laser spot.

the measurement is possibly affected by a modification of the light polarization that results in the observed centrosymmetric donut field pattern. The numerically simulated thermal response of the flower nanoheater is performed by utilizing the same excitation conditions considered for the near-field simulation (Figure 2f). The confinement and enhancement of electric field yields an increase of temperature around the Au nano flower (Figure 2g). It is worth noting a $\Delta T = 46^\circ\text{C}$ in the central hot-spot, due to the plasmonic coupling with the neighboring Au nanodisks, during light excitation. Details about the performed numerical simulation are available in the Experimental section.

Following the optical characterization of the device, its thermal response has been experimentally investigated by utilizing an IR thermocamera that provides a macroscopic information (Figure 2h). For each measurement, the sample has been irradiated for 60 s by a $\lambda = 532\text{ nm}$ laser set at different intensities in the range 7–28 W/mm^2 , see Experimental section for details. The nano heaters exhibit a very fast response reaching a temperature equilibrium in less than 10 s of excitation with a maximum ΔT passing from 28°C to 110°C , depending on the beam intensity. It is worth nothing that for $14\text{ W}/\text{mm}^2$ the experimental ΔT (red curve) is almost equal to the numerical one reported in Figure 2g. Since the patterned area is quite small ($75 \times 75\ \mu\text{m}^2$), it is expected that a slight movement of the nanoheaters from the laser spot results in a drastic temperature decrease. This is experimentally confirmed by the purple curve in Figure 2h where, after 20 s of excitation, the sample was moved away by hundred microns and then back again. For enhancing their thermal emission, the nano heaters have been completely embedded in a matrix of $4\ \mu\text{m}$ thick of PVP characterized by a thermal conductivity (κ) higher than that of air. As predicted by Eq. (1), the change of dielectric constant from 1 to ~ 2.03 sensibly modifies the plasmonic response of the system with an overall increase of the normalized electric field and generation of a larger hot-spot cluster in the center of the nano flower (Figure 3a). The numerical results also confirm the more efficient thermal behavior: a pump intensity of $14\text{ W}/\text{mm}^2$ results in a more sensitive heat generation with $\Delta T = 146^\circ\text{C}$ in the hot-spot that decreases to $\approx 130^\circ\text{C}$ at the boundary of the nano flower (Figure 3b). This high temperature variation is confirmed by the experimental measurements, red curve in Figure 3c. Moreover, by irradiating with the minimum intensity of $7\text{ W}/\text{mm}^2$ the $\Delta T = 100^\circ\text{C}$ is easily reached, while the maximum intensity used in this work, namely $21\text{ W}/\text{mm}^2$, increases $\Delta T > 200^\circ\text{C}$. This extremely high confinement of the electric field and hence of the photogenerated heat is confirmed

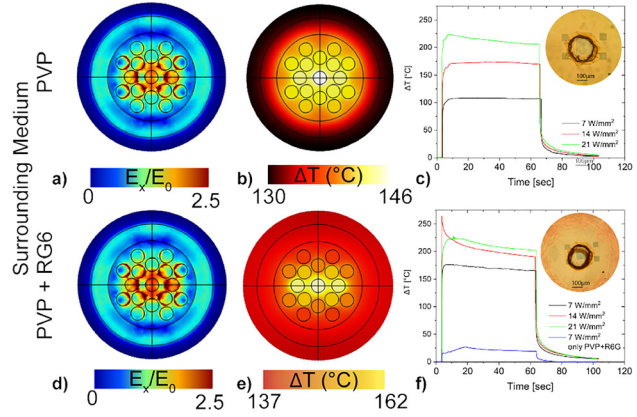


Figure 3: (a) Normalized numerical electric field map and (b) near field thermal map of the proposed geometry embedded into $4\ \mu\text{m}$ thick PVP layer, (c) experimental macroscopic temperature at different laser ($\lambda = 532\text{ nm}$) intensity for 60 s of irradiation. Inset: optical micrograph of the nanodisks where the black circle represent dissolved/burnt PVP (d) normalized numerical electric field map and (e) near field thermal map of the proposed geometry embedded into $4\ \mu\text{m}$ thick PVP + R6G dye layer, (f) experimental macroscopic temperature at different laser ($\lambda = 532\text{ nm}$) intensity for 60 s of irradiation. The blue line refers to a measurement where only PVP + R6G are present. Inset: optical micrograph of the nano flower where the black circle represents dissolved/burnt PVP + R6G.

by the optical image, acquired after measurement and reported in the inset of Figure 3c that highlights a circle of burned PVP around the nano flower matrix. The thermal emission is strongly related to the absorption cross section of the considered system. The addition of specific fluorescent molecules with an absorption band that overlaps the absorption cross section represents an easy way to enhance the whole absorption cross section and hence the thermal emission. The rhodamine 6G (R6G) Dye, with a maximum absorption exactly at the same wavelength of the pump laser source ($\lambda = 532\text{ nm}$), represents the ideal candidate to maximize the emission of the proposed device. The polymeric material doped with the dye emitter (PVP + Rhodamine 6G) can be modeled by considering a combination of Lorentzian and Gaussian functions [45]. The expression for the complex dielectric constant of the doped polymer $\tilde{\epsilon}$, taking into account the dye broadband absorption and emission, is written as:

$$\begin{aligned} \tilde{\epsilon} &= \epsilon_{\text{polymer}} f S_{\text{abs}} \frac{\omega_a^2}{\omega_a^2 - \omega_0^2 - i\gamma_a \omega} (n_0^a - n_1^a) \\ &\quad + \epsilon_{\text{polymer}} f S_{\text{abs}} \exp \left[\frac{(\omega - \omega_a)^2}{2(-i\gamma_a 2\omega)^2} \right] \\ &= \epsilon_{\text{polymer}} f S_{\text{em}} \frac{\omega_e^2}{\omega_e^2 - \omega_0^2 - i\gamma_e \omega} (n_0^e - n_1^e) \\ &\quad - \epsilon_{\text{polymer}} f S_{\text{emi}} \exp \left[\frac{(\omega - \omega_e)^2}{2(-i\gamma_e 2\omega)^2} \right] \end{aligned} \quad (8)$$

where ω_a , $f s_{\text{abs}}$ and γ_a are angular frequency, strength, and width of absorption, respectively. The emission, modeled by a Lorentzian function, is characterized by a strength parameter $f s_{\text{em}}$, an angular frequency ω_e , and a curve width γ_e . The parameter $n_m^{a(e)}$ represents the occupied energetic states in a two level model, with the populations n_0 of the ground state and n_1 of the excited state. In this model, the R6G dye is characterized by an absorption peak at ~ 530 nm and an emission peak at ~ 615 nm.

With respect to the case with PVP only, the behavior reported in Figure 3d and e is similar but characterized by higher temperature, with a net increase of about 70 °C for the lowest pump intensity black curve in Figure 3f. However, in presence of the dye, the underlying physical phenomena taking place are different. In fact, an extremely interesting behavior occurs with an intensity of 14 W/mm² (red curve): a very fast temperature increase of 264 °C in 500 ms is followed by an immediate drastic decrease. This fast decay is probably due to the irreversible photo-bleaching of the dye molecules that accompanies the well observable “burning” of the PVP (inset of Figure 3f). A confirmation of the irreversibility of the dye photo-bleaching is obtained by pumping the same area of the sample with a higher intensity, 21 W/mm² (red curve). The result of the thermal measurement is now analogue to the one acquired without dye, indicating that, being the dye already unable to fluoresce, the system behaves as it is not present at all. As observed in the previous case (inset of Figure 3c), the large amount of released heat burns the polymer only in the area surrounding the nano heaters. By moving the sample away from the nano-flower, the PVP + dye layer produces a ΔT of only 25 °C (blue curve in Figure 3f).

A rapid overview of the thermal response of the three configurations (air, PVP and PVP + dye) at a fixed laser intensity of 14 W/mm² is illustrated in Figure 4a. The ΔT enhancement of the nano flower covered by PVP + R6G is clearly visible with respect to the other cases. The maximum ΔT is higher than 250 °C but, due to bleaching of the dye, this value rapidly decreases to about 200 °C. The macroscopic thermal maps after 30 s of excitation are reported in Figure 4b–d, highlighting the highest temperature positions where the laser beam exactly impinges on the sample. The dynamic properties of the nano heaters thermal response have been investigated by pumping the bare system (nano flowers exposed to air) at the previously utilized laser intensities while periodically shutting the laser beam with a chopper rotating at three different frequencies, namely 1 , 5 , and 10 Hz. This test provides information about how fast the system is able to dissipate the photo-generated heat. The results (Figure 5) reveal a

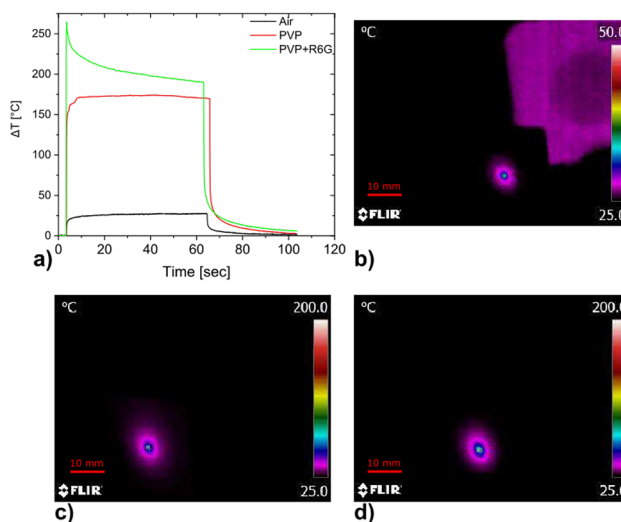


Figure 4: (a) Comparison of experimental macroscopic temperature acquired using an intensity of 14 W/mm². Experimental macroscopic thermal map after 30 s of excitation of (b) nano flower, (c) nano flower covered with 4 μm thick PVP layer, (d) nano flower covered with 4 μm thick PVP + R6G layer.

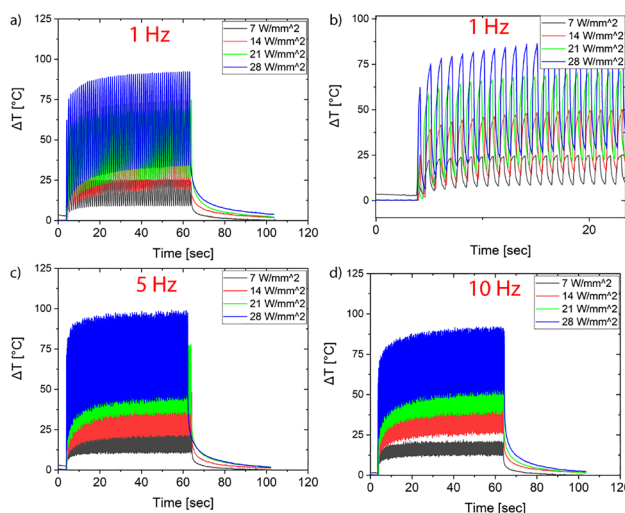


Figure 5: Experimental macroscopic temperature of gold nano flower at different laser ($\lambda = 532$ nm) intensity and chopper frequency of (a) 1 Hz, (b) zoom of 1 Hz, (c) 5 Hz, and (d) 10 Hz.

lower ΔT than the one measured in the corresponding static cases. This suggests that the time needed to generate all the possible heat is longer than the one allowed by the chopper rotation. For all the considered chopper frequencies, it is demonstrated that the ΔT value achieved in a period is directly proportional to the pump intensity and inversely proportional to the chopper frequency, with an optimal result of ≈ 60 °C for the case of 28 W/mm² and 1 Hz

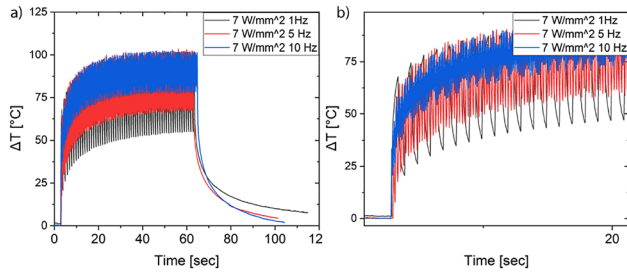


Figure 6: (a) Comparison of experimental macroscopic temperature acquired using an intensity of 7 W/mm^2 and laser chopper frequency of 1, 5, and 10 Hz for gold nano flower covered with $4 \mu\text{m}$ thick PVP + R6G layer (b) zoom of the measurements.

(Figure 5b). The same dynamic measurements have been performed on the nano flowers covered by PVP + R6G. For all chopper frequencies, a pump intensity of 7 W/mm^2 , well below the photo-bleaching threshold of 14 W/mm^2 , has been used (Figure 6). As expected, the presence of the R6G dye enhances the thermal response of the investigated system thus resulting in a wider temperature variation in a period, almost double with respect to the bare nano flower case.

3 Conclusion

This manuscript presents a new thermoplasmonic device composed of gold nano heaters, operating at wavelength of 532 nm , showing an extremely high ΔT of more than $100 \text{ }^\circ\text{C}$. Numerical and experimental measurements have been performed on bare structures showing the expected characteristics such as broad absorption cross section and high thermal heating. The performance of the proposed nanostructure has been improved by covering the nano heaters with a layer of polymer (PVP) enabling a temperature increment of almost $210 \text{ }^\circ\text{C}$. In fact, the presence of a cover layer strongly enhance the confinement of the electric field. Successively, a dye, absorbing at the operating wavelength, has been added to the PVP solution and spin coated on the bare device. The augmented absorption cross section, now, permits to reach ΔT higher than $250 \text{ }^\circ\text{C}$ in less than 1 s.

Finally, by using a chopper to regularly interrupt the impinging laser beam, a new temperature control mechanism has been added to thermoplasmonic nanostructures. In this situation the maximum temperature variation reached in a period of 1 Hz is $\approx 60 \text{ }^\circ\text{C}$.

To conclude, the achieved results can pave the way for new breakthrough applications in several fields that

cover a paramount interest in chemistry, life science and renewable energy.

4 Experimental section

4.1 Numerical model

The absorption cross sections have been calculated using a finite element method (FEM) implemented in COMSOL Multiphysics by means of the following relations: $\sigma_{\text{abs}} = W_{\text{abs}}/P_{\text{in}}$, $\sigma_{\text{sca}} = W_{\text{sca}}/P_{\text{in}}$, where P_{in} is the incident irradiance, defined as energy flux of the incident wave; W_{abs} is the energy rate absorbed by particle, that is derived by integrating the energy loss Q_{loss} over the volume of the particle, while W_{sca} is the energy rate absorbed by particle, is derived by integration of the Poynting vector over an imaginary sphere around the particle. All the other parameters as scattered field method, proper polarization, and propagation direction as opportunely set to numerically investigate the near field distribution and the plasmonic response of the nanodisks. The light source used in the FEM software is a monochromatic one, meaning that the spectral simulations are performed wavelength by wavelength. The light beam intensity has been evaluated as $I = E_0^2/(2Z_{0\text{const}}) = 13.27 \text{ W/mm}^2$, where E_0 is the initial electric field ($1 \times 10^5 \text{ V/m}$) and $Z_{0\text{const}} = 376.73 \Omega$ is the impedance of the system that takes into account also the incident area. This tool package offers the possibility to study the energy flow that passes through the nanodisk when they are shone by a light beam and the energy flow scattered from nano flower and collected on the external layer used as an integrating sphere.

4.2 Nanofabrication

The nano flowers have been fabricated using EBL Raith system operating at 20 kV and $10 \mu\text{m}$ aperture to reduce the neighboring effects. An e-beam resist, polymethyl methacrylate PMMA 950 k (molecular weight) was spin coated at 4000 rpm for 30 s producing a layer of 160 nm . The glass sample was baked at $170 \text{ }^\circ\text{C}$ for 15 min . A thin layer of conductor polymer was spin coated to provide anti-charging during the adjustment of focus and astigmatism by a contamination spot observed at fixed point exposure of substrate top surface. The exposure of PMMA layer resist was carried out with a spot size of a 3 nm and a beam current of 10 pA . Next, the development was performed in a (1:3) methyl isobutyl ketone (MIBK):iso-propyl alcohol (IPA) solution for 60 s at $23 \text{ }^\circ\text{C}$ and finally rinsed in IPA

during 30 s, dried with air and followed by electron beam deposition process of 5 nm Cr layer as an adhesion promoter. A 50 nm gold layer was deposited with deposition rate of 0.1 nm/s. Finally, the sample was immersed in acetone to remove the PMMA layer and the unwanted gold layer (lift-off).

4.3 Confocal and scanning near-field optical microscope

The confocal images have been acquired using WITEC Alpha 300, in transmission mode by using as source a laser having wavelength of 532 nm X-polarized focused on the sample through a Zeiss 100× objective confocal with a Leica 60× objective, used at the bottom for collection. A photomultiplier tubes (PMT) has been used for the detection. For SNOM measurement, the same instrument has been used but it is equipped with hollow AFM tips covered with aluminium. Then, an incident wavelength of 532 nm has been focused on the sample passing through the SNOM tip with a hole radius of 90 nm.

4.4 Polymer solution

A PVP solution, dissolved in ethanol with a final concentration of 15 wt%, has been spin coated at 2000 rpm for 30 s followed by a baking on hot plate at 80 °C for 5 min. A solution of Rhodamine 6G 5 wt% in ethanol has been prepared and mixed in a ratio 1:1 with the PVP 15 wt% solution. The PVP + R6G solution has been spin coated at 2000 rpm for 30 s followed by baking on hot plate at 80 °C for 5 min.

4.5 Optical characterization

The experimental cross-section has been acquired using an optical microscope in transmission mode with 50× objective for acquiring the light only from the area where the flower arrangement is fabricated. The light is collected with an optical fiber connected to UV–Vis spectrometer Ocean Optics USB2000+.

4.6 Thermal measurement

For thermal measurement, a COHERENT Verdi $\lambda = 532$ nm CW laser has been used as a source. A pin-hole with a diameter of 3 mm has been used to reduce the beam spot. Successively, the laser beam has been focused on the sample through a lens producing a spot of around 100 μm . The thermal measurement have been acquired using an IR camera FLIR E40 having an IR resolution of 160×120 pixel, a spatial resolution (IFOV) of 2.72 mrad/pixel

and an acquisition rate of 30 frames/seconds (30 Hz). This corresponds to an acquisition rate of about 33.3 ms ($1 \text{ s} \div 30 \text{ frames}$). The IR thermo-camera is positioned at a distance of 10 cm from the sample thus allowing a macroscopic “observation” of the thermal response of the whole sample (nanodisks + substrate). The emissivity has been fixed at 0.97 which was experimentally retrieved using the relative procedure. The measurement starts 3 s prior the beam impinges on the sample for 60 s. Then, the beam is shut-off and the temperature decay is measured 40 s.

Acknowledgements: A. F., G. P. and R. C. thank the “Area della Ricerca di Roma 2”, Tor Vergata, for the access to the ICT Services (ARToV-CNR) for the use of the COMSOL Multiphysics Platform and Origin Lab, and the Infrastructure “BeyondNano” (PONA3-00362) of CNR-Nanotec for the access to research instruments.

Author contribution: All the authors have accepted responsibility for the entire content of this submitted manuscript and approved submission.

Research funding: This work has been supported by the Agence Nationale de la Recherche and the FEDER (INSOMNIA project, contract “ANR-18-CE09-0003”). Financial support of NanoMat (www.nanomat.eu) by the “Ministère de l’enseignement supérieur et de la recherche,” the “Conseil régional Champagne-Ardenne,” the “Fonds Européen de Développement Régional (FEDER) fund,” and the “Conseil général de l’Aube” is also acknowledged by A. H. and T. M.

Conflict of interest statement: The authors declare no conflicts of interest regarding this article.

References

- [1] S. V. Boriskina, H. Ghasemi, and G. Chen, “Plasmonic materials for energy: from physics to applications,” *Mater. Today*, vol. 16, pp. 375–386, 2013.
- [2] T. Fujimoto, Y. Imai, K. Tei, S. Ito, H. Kanazawa, and S. Yamaguchi, “High temperature heat source generation with quasi-continuous wave semiconductor lasers at power levels of 6 w for medical use,” *J. Biomed. Opt.*, vol. 19, p. 101502, 2014.
- [3] M. L. Brongersma, N. J. Halas, and P. Nordlander, “Plasmon-induced hot carrier science and technology,” *Nat. Nanotechnol.*, vol. 10, p. 25, 2015.
- [4] L. V. Besteiro, X.-T. Kong, Z. Wang, F. Rosei, and A. O. Govorov, “Plasmonic glasses and films based on alternative inexpensive materials for blocking infrared radiation,” *Nano Lett.*, vol. 18, pp. 3147–3156, 2018.
- [5] A. Convertino, V. Mussi, L. Maiolo, et al., “Array of disordered silicon nanowires coated by a gold film for combined NIR photothermal treatment of cancer cells and Raman monitoring of the process evolution,” *Nanotechnology*, vol. 29, p. 415102, 2018.

- [6] P. Roy and A. D. Bolshakov, “Temperature-controlled switching of plasmonic response in gallium core–shell nanoparticles,” *J. Phys. Appl. Phys.*, vol. 53, p. 465303, 2020.
- [7] G. Baffou, F. Cichos, and R. Quidant, “Applications and challenges of thermoplasmonics,” *Nat. Mater.*, vol. 19, pp. 946–958, 2020.
- [8] C. Kuppe, K. R. Rusimova, L. Ohnoutek, D. Slavov, and V. K. Valev, ““Hot” in plasmonics: temperature-related concepts and applications of metal nanostructures,” *Advanced Optical Materials*, vol. 8, p. 1901166, 2020.
- [9] D. Paria, A. Convertino, V. Mussi, L. Maiolo, and I. Barman, “Silver-coated disordered silicon nanowires provide highly sensitive label-free glycosylated albumin detection through molecular trapping and plasmonic hotspot formation,” *Adv. Healthcare Mater.*, vol. 10, p. 2001110, 2021.
- [10] A. O. Govorov and H. H. Richardson, “Generating heat with metal nanoparticles,” *Nano Today*, vol. 2, pp. 30–38, 2007.
- [11] H. H. Richardson, M. T. Carlson, P. J. Tandler, P. Hernandez, and A. O. Govorov, “Experimental and theoretical studies of light-to-heat conversion and collective heating effects in metal nanoparticle solutions,” *Nano Lett.*, vol. 9, pp. 1139–1146, 2009.
- [12] G. Baffou, R. Quidant, and C. Girard, “Thermoplasmonics modeling: a green’s function approach,” *Phys. Rev. B*, vol. 82, p. 165424, 2010.
- [13] A. O. Govorov, H. Zhang, H. V. Demir, and Y. K. Gun’ko, “Photogeneration of hot plasmonic electrons with metal nanocrystals: quantum description and potential applications,” *Nano Today*, vol. 9, pp. 85–101, 2014.
- [14] H. Zhang and A. O. Govorov, “Optical generation of hot plasmonic carriers in metal nanocrystals: the effects of shape and field enhancement,” *J. Phys. Chem. C*, vol. 118, pp. 7606–7614, 2014.
- [15] G. Baffou, *Thermoplasmonics: Heating Metal Nanoparticles Using Light*, Cambridge, Cambridge University Press, 2017.
- [16] G. Baffou and R. Quidant, “Thermo-plasmonics: using metallic nanostructures as nano-sources of heat,” *Laser Photon. Rev.*, vol. 7, pp. 171–187, 2013.
- [17] C. F. Bohren and D. R. Huffman, *Absorption and Scattering of Light by Small Particles*, New York, John Wiley & Sons, 1998.
- [18] N. Mahi, G. Leveque, O. Saison, et al., “In depth investigation of lattice plasmon modes in substrate-supported gratings of metal monomers and dimers,” *J. Phys. Chem. C*, vol. 121, pp. 2388–2401, 2017.
- [19] J. Marae-Djouda, R. Caputo, N. Mahi, et al., “Angular plasmon response of gold nanoparticles arrays: approaching the Rayleigh limit,” *Nanophotonics*, vol. 6, pp. 279–288, 2017.
- [20] L. Jauffred, A. Samadi, H. Klingberg, P. M. Bendix, and L. B. Oddershede, “Plasmonic heating of nanostructures,” *Chem. Rev.*, vol. 119, pp. 8087–8130, 2019.
- [21] B. Doiron, M. Mota, M. P. Wells, et al., “Quantifying figures of merit for localized surface plasmon resonance applications: a materials survey,” *ACS Photonics*, vol. 6, pp. 240–259, 2019.
- [22] N. Maccaferri, Y. Zhao, T. Isoniemi, et al., “Hyperbolic meta-antennas enable full control of scattering and absorption of light,” *Nano Lett.*, vol. 19, pp. 1851–1859, 2019.
- [23] N. Maccaferri, A. Zilli, T. Isoniemi, et al., “Enhanced nonlinear emission from single multilayered metal–dielectric nanocavities resonating in the near-infrared,” *ACS Photonics*, vol. 8, pp. 512–520, 2021.
- [24] U. Cataldi, R. Caputo, Y. Kurylyak, et al., “Growing gold nanoparticles on a flexible substrate to enable simple mechanical control of their plasmonic coupling,” *J. Mater. Chem. C*, vol. 2, pp. 7927–7933, 2014.
- [25] Z. J. Coppens, W. Li, D. G. Walker, and J. G. Valentine, “Probing and controlling photothermal heat generation in plasmonic nanostructures,” *Nano Lett.*, vol. 13, pp. 1023–1028, 2013.
- [26] G. Palermo, U. Cataldi, A. Condello, et al., “Flexible thermo-plasmonics: an opto-mechanical control of the heat generated at the nanoscale,” *Nanoscale*, vol. 10, pp. 16556–16561, 2018.
- [27] C. A. Burel, A. Alsayed, L. Malassis, C. B. Murray, B. Donnio, and R. Dreyfus, “Plasmonic-based mechanochromic microcapsules as strain sensors,” *Small*, vol. 13, p. 1701925, 2017.
- [28] G. E. Lio, A. De Luca, C. P. Umeton, and R. Caputo, “Opto-mechanically induced thermoplasmonic response of unclonable flexible tags with hotspot fingerprint,” *J. Appl. Phys.*, vol. 128, 2020, Art no. 093107.
- [29] L. Pezzi, L. De Sio, A. Veltri, et al., “Photo-thermal effects in gold nanoparticles dispersed in thermotropic nematic liquid crystals,” *Phys. Chem. Chem. Phys.*, vol. 17, pp. 20281–20287, 2015.
- [30] T. Maurer, J. Marae-Djouda, U. Cataldi, et al., “The beginnings of plasmomechanics: towards plasmonic strain sensors,” *Front. Mater. Sci.*, vol. 9, pp. 170–177, 2015.
- [31] T. V. Howard, J. R. Dunklin, G. T. Forcherio, and D. K. Roper, “Thermoplasmonic dissipation in gold nanoparticle–polyvinylpyrrolidone thin films,” *RSC Adv.*, vol. 7, pp. 56463–56470, 2017.
- [32] D. K. Roper, K. R. Berry, J. R. Dunklin, et al., “Effects of geometry and composition of soft polymer films embedded with nanoparticles on rates for optothermal heat dissipation,” *Nanoscale*, vol. 10, pp. 11531–11543, 2018.
- [33] G. E. Lio, A. Ferraro, M. Giocondo, R. Caputo, and A. De Luca, “Color gamut behavior in epsilon near-zero nanocavities during propagation of gap surface plasmons,” *Adv. Opt. Mater.*, vol. 8, p. 2000487, 2020.
- [34] L. D. Landau and E. M. Lifshitz, *Fluid Mechanics*, 2nd ed. Oxford, U.K, Pergamon Press, 1987.
- [35] B. Li, S. Zu, Z. Zhang, et al., “Large rabi splitting obtained in ag-ws2 strong-coupling heterostructure with optical microcavity at room temperature,” *Opto-Electronic Advances*, vol. 2, p. 190008, 2019.
- [36] Z. Kudyshev, A. V. Kildishev, V. M. Shalaev, and A. Boltasseva, “Machine-learning-assisted topology optimization for refractory photonics,” in *Integrated Photonics Research, Silicon and Nanophotonics*, Burlingame, Optical Society of America, 2019, p. IW2A-2.
- [37] G. E. Lio, G. Palermo, R. Caputo, and A. De Luca, “Opto-mechanical control of flexible plasmonic materials,” *J. Appl. Phys.*, vol. 125, 2019, Art no. 082533.
- [38] G. E. Lio, G. Palermo, A. De Luca, and R. Caputo, “Tensile control of the thermal flow in plasmonic heaters realized on flexible substrates,” *J. Chem. Phys.*, vol. 151, p. 244707, 2019.

- [39] Z. A. Kudyshev, A. V. Kildishev, V. M. Shalaev, and A. Boltasseva, “Machine-learning-assisted metasurface design for high-efficiency thermal emitter optimization,” *Appl. Phys. Rev.*, vol. 7, 2020, Art no. 021407.
- [40] E. Ashalley, K. Acheampong, L. V. Besteiro, et al., “Multitask deep-learning-based design of chiral plasmonic metamaterials,” *Photon. Res.*, vol. 8, pp. 1213–1225, 2020.
- [41] T. Shimada, K. Imura, H. Okamoto, and M. Kitajima, “Spatial distribution of enhanced optical fields in one-dimensional linear arrays of gold nanoparticles studied by scanning near-field optical microscopy,” *Phys. Chem. Chem. Phys.*, vol. 15, pp. 4265–4269, 2013.
- [42] X. Zhou, J. Wenger, F. N. Viscomi, et al., “Two-color single hybrid plasmonic nanoemitters with real time switchable dominant emission wavelength,” *Nano Lett.*, vol. 15, pp. 7458–7466, 2015.
- [43] P. Bazylewski, S. Ezugwu, and G. Fanchini, “A review of three-dimensional scanning near-field optical microscopy (3d-snom) and its applications in nanoscale light management,” *Appl. Sci.*, vol. 7, p. 973, 2017.
- [44] H. Wang, J. Li, J. H. Edgar, and X. G. Xu, “Three-dimensional near-field analysis through peak force scattering-type near-field optical microscopy,” *Nanoscale*, vol. 12, pp. 1817–1825, 2020.
- [45] N. T. Fofang, N. K. Grady, Z. Fan, A. O. Govorov, and N. J. Halas, “Plexciton dynamics: exciton- plasmon coupling in a j-aggregate- au nanoshell complex provides a mechanism for nonlinearity,” *Nano Lett.*, vol. 11, pp. 1556–1560, 2011.