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▶ To cite this version:

Adam Chalumeau, Blandine Galiay. Proper quasi-homogeneous domains of the Einstein universe. 2024. hal-04662244

HAL Id: hal-04662244

https://hal.science/hal-04662244

Preprint submitted on 25 Jul 2024

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PROPER QUASI-HOMOGENEOUS DOMAINS OF THE EINSTEIN UNIVERSE

ADAM CHALUMEAU AND BLANDINE GALIAY

ABSTRACT. The Einstein universe $\mathbf{Ein}^{p,q}$ of signature (p,q) is a pseudo-Riemannian analogue of the conformal sphere; it is the conformal compactification of the pseudo-Riemannian Minkowski space. For $p,q\geq 1$, we show that, up to a conformal transformation, there is only one domain in $\mathbf{Ein}^{p,q}$ that is bounded in a suitable stereographic projection and whose action by its conformal group is cocompact. This domain, which we call a diamond, is a model for the symmetric space of $\mathrm{PO}(p,1)\times\mathrm{PO}(1,q)$. We deduce a classification of closed conformally flat manifolds with proper development.

1. Introduction

In this paper we investigate domains (i.e. connected open sets) in the Einstein universe, the pseudo-Riemannian analogue of the conformal sphere \mathbf{S}^n . We study a particular class of domains called *proper*, that is domains that are bounded within a suitable stereographic projection. We answer the question of whether a proper domain Ω can be *quasi-homogeneous*, meaning that the conformal group $\operatorname{Conf}(\Omega)$ acts on Ω with compact quotient.

- 1.1. The Riemannian case. Any spherical ball of the conformal sphere \mathbf{S}^n is a conformal model of the real hyperbolic space \mathbf{H}^n . This provides an example of a proper symmetric domain of the conformal sphere. When n=2, slight deformations of a cocompact lattice $\Gamma < \mathrm{PSL}(2,\mathbf{R})$ into $\mathrm{PSL}(2,\mathbf{C})$ remain discrete and faithful, acting cocompactly on a proper domain bounded by a topological circle. These examples are called quasi-Fuchsian. Similar techniques create examples of lattices Γ of $\mathrm{PO}(1,n+1)$ acting properly discontinuously and cocompactly on proper domains in \mathbf{S}^n , for $n \geq 3$. In fact, [Apa92] presents examples of deformations of lattices Γ of $\mathrm{PO}(1,n) = \mathrm{Isom}(\mathbf{H}^n)$ into $\mathrm{PO}(1,n+1) = \mathrm{Conf}(\mathbf{S}^n)$, corresponding to deforming the conformally flat structure of a finite volume complete hyperbolic n-manifold. Given a quasi-homogeneous domain $\Omega \subset \mathbf{S}^n$, either it is a spherical ball or its automorphism group is Zariski dense in $\mathrm{PO}(1,n+1)$. In particular, the examples of [Apa92] feature a discrete conformal group.
- 1.2. Higher signature case. Let $p,q \geq 1$. The Einstein universe $\mathbf{Ein}^{p,q}$ is a compact pseudo-Riemannian manifold of signature (p,q), where p and q are the number of negative and positive signs respectively. It can be regarded as the boundary of the (p,q+1) pseudo-Riemannian hyperbolic space $\mathbf{H}^{p,q+1}$. A notion of stereographic projection exists from a dense open subset of $\mathbf{Ein}^{p,q}$ onto the Minkowski space $\mathbf{R}^{p,q}$, the pseudo-Riemannian affine space of signature (p,q). In Lorentzian signature, one can construct a proper symmetric domain using the causal structure. Given two chronologically related points $a,b \in \mathbf{R}^{1,n-1}$, the diamond defined by a and b is the intersection of the chronological future of b with the chronological past of a. This defines a bounded domain conformally equivalent to $\mathbf{R} \times \mathbf{H}^{n-1}$ endowed with the product metric $-dt^2 \oplus g_{\mathbf{H}^{n-1}}$. The conformal group of a diamond is isomorphic to $\mathrm{Isom}(\mathbf{R}) \times \mathrm{Isom}(\mathbf{H}^{n-1})$. For general signature (p,q), the space $\mathbf{H}^p \times \mathbf{H}^q$ endowed

with the metric $-g_{\mathbf{H}^p} \oplus g_{\mathbf{H}^q}$ embeds as a proper homogeneous domain of the Einstein universe, see Section 3.3.2. One way to construct this embedding is by examining the open orbits of the action of $\mathrm{PO}(p,1) \times \mathrm{PO}(1,q) \subset \mathrm{PO}(p+1,q+1)$ on $\mathbf{Ein}^{p,q}$. All these domains are conjugate in $\mathrm{PO}(p+1,q+1)$ and referred to as diamonds. A purely causal definition exists for them, see [Tro24]. In contrast with the Riemannian case, for $p,q \geq 1$, we prove the following.

Theorem 1.1. Any proper quasi-homogeneous domain of $\mathbf{Ein}^{p,q}$ is a diamond.

Weakening the assumptions on the domain provides more flexibility. There are indeed many examples of nonproper domains of $\mathbf{Ein}^{p,q}$ that admit a cocompact and properly discontinuous action of a discrete subgroup of $\mathrm{PO}(p+1,q+1)$; for example the affine charts, i.e. subsets of the form $\mathbf{Ein}^{p,q} \setminus C(x)$ with $x \in \mathbf{Ein}^{p,q}$ (see Section 2.2). Other examples are given by cocompact domains of discontinuity of Gromov hyperbolic groups acting via P_{p+1} -Anosov representations with values in $\mathrm{PO}(p+1,q+1)$, where P_{p+1} is the stabilizer in $\mathrm{PO}(p+1,q+1)$ of a maximal totally isotropic subset of $\mathbf{R}^{p+1,q+1}$, see e.g. [Fra04, GW12]. These examples are never proper.

1.3. An application to conformally flat structures. When p and q are different, cocompact lattices of $PO(p,1) \times PO(1,q)$ are all reducible and virtually products of cocompact lattices. This fact and Theorem 1.1 enable us to fully classify closed conformally flat manifolds with *proper development*, that is closed manifolds M such that the image of the developing map $\text{dev}: \widetilde{M} \to \text{Ein}^{p,q}$ is proper.

Theorem 1.2. Let $1 \le p < q$ and M be a closed connected conformally flat pseudo-Riemannian manifold with proper development. Then, up to a finite cover, the manifold M is conformally equivalent to

$$(-\Sigma^p) \times \Sigma^q$$
,

where Σ^p and Σ^q are closed Riemannian hyperbolic manifolds. In Lorentzian signature, the manifold M is (up to finite cover) conformally equivalent to the product $(-\mathbf{S}^1) \times \Sigma$, where Σ is a closed Riemannian hyperbolic manifold.

In particular, every closed manifold with proper development is *Kleinian*, i.e. the developing map is a diffeomorphism onto its image. In general, the developing map of a closed conformally flat manifold may not even be a covering map onto its image. The Ehresmann–Thurston deformation principle provides Lorentzian conformally flat structures on $M = \mathbf{S}^1 \times \Sigma_g$, where Σ_g is a closed Riemannian surface of genus $g \geq 2$, such that the holonomy of M is non-discrete in PO(2, 3) (see [Fra04, Sect. 10.3.4]).

1.4. Divisible convex sets in flag manifolds. The theory of divisible convex subsets of the projective space $\mathbf{P}(\mathbf{R}^{n+1})$ generalizes real hyperbolic geometry and has been widely studied since Benzecri's thesis [Ben60]. The objects of study are domains that are bounded in some affine chart and that admit a cocompact action of a discrete subgroup of $\mathrm{PGL}(n+1,\mathbf{R})$.

A number of authors have investigated their general properties (see e.g. [Vin65, Kos68, Vey70, Ben01, Ben03]) and the construction of examples (see e.g. [VK67, JM87, BDL18, BV23]). The case where the set is strictly convex is well understood, while the case of non-strictly convex sets remains a subject of questions and recent research [Isl, CLM20, Zim23, Bla]; see [Ben08] for a survey on this theory and references.

There are many examples of divisible convex sets that are nonsymmetric, i.e. that are not Riemannian symmetric spaces embedded in the projective space, so that the theory does not reduce to the one of Riemannian symmetric spaces.

The projective space is an example of a flag manifold, i.e. a compact manifold G/P, where G is a noncompact semisimple Lie group and P a parabolic subgroup of G. Some notions of projective geometry can be adapted to study divisible convex sets in general flag manifolds (see [Zim18a]); in particular, there is a notion of properness in flag manifolds. The question of whether the theory of divisible convex sets generalizes to other flag manifolds was asked by W. van Limbeek and A. Zimmer. One issue is to determine whether there are examples of nonsymmetric divisible domains.

Question 1.3. [LZ19] Given some flag manifold G/P, are every divisible convex set in G/P symmetric?

Although we saw in Section 1.1 that there are examples of rank-one Lie groups where Question 1.3 has a negative answer, in higher rank it received a positive partial response in several cases: Limbeek–Zimmer proved rigidity of proper divisible domains in the flag manifolds $Gr_p(\mathbf{R}^{2p})$ that are convex in some affine chart [LZ19], and Zimmer proved in [Zim18b] that every divisible domain of $\mathbf{P}(\mathbf{C}^n)$ with C^1 boundary is projectively isomorphic to the complex unit ball. The case of an arbitrary flag manifold G/P where P is a nonmaximal proper parabolic subgroup of G also received a positive answer [Zim18a].

Theorem 1.1 gives a positive answer to Question 1.3 for the flag manifolds $\mathbf{Ein}^{p,q} = \mathrm{PO}(p+1,q+1)/P_1$, where $P_1 \leq \mathrm{PO}(p+1,q+1)$ is the stabilizer of an isotropic line of $\mathbf{R}^{p+1,q+1}$. Some exceptional Lie groups isomorphisms occurring in low dimension give:

Corollary 1.4. (1) Let $\Omega \subset Gr_2(\mathbf{R}^4)$ be a proper domain and assume that there exists a subgroup of $PGL(4, \mathbf{R})$ preserving and acting cocompactly on Ω . Then the group of all the elements of $PGL(4, \mathbf{R})$ preserving Ω is conjugate to PO(2, 2).

(2) Let \mathcal{F} be one of the two connected components of the space of maximal totally isotropic subspace of $\mathbf{R}^{4,4}$. Let $\Omega \subset \mathcal{F}$ be a proper domain and assume that there exists a subgroup of $\mathrm{PO}(4,4)$ preserving Ω and acting cocompactly on it. Then the group of all the elements of $\mathrm{PO}(4,4)$ preserving Ω is conjugate to $\mathrm{PO}(3,1) \times \mathrm{PO}(1,3)$.

Corollary 1.4.(1) improves the main result of [LZ19] for p=2, both by replacing the divisibility assumption by a weaker quasi-homogeneity assumption, and by removing the assumption that Ω is convex in an affine chart. J. Danciger and W. van Limbeek informed us that they have obtained a similar result to Corollary 1.4.(1) using different methods.

Remark 1.5. The starting point of this project was the case of $\mathbf{Ein}^{1,n-1} = \mathrm{PO}(2,n)/P_1$ (see Section 6). It was then generalized simultaneously to $\mathbf{Ein}^{p,q} = \mathrm{PO}(p+1,q+1)/P_1$ in the present paper, and to Shilov boundaries of Hermitian symmetric spaces of tube type in [Gal24], providing in both cases a positive answer to Question 1.3.

1.5. Outline of the proof.

1.5.1. Invariant distance function. A key ingredient for the study of proper domains Ω is a natural conformally invariant distance function δ^{Ω} , a pseudo-Riemannian analogue of the Hilbert metric. Such a distance function was defined by Markowitz in [Mar81] for pseudo-Riemannian manifolds, as a generalisation of Kobayashi's projectively invariant pseudodistance [Kob84]. Its definition relies on the notion of projective parameters of lightlike geodesics. We define this distance function for domains of the Einstein universe and study its basic properties in Section 4. In Section 4.2, we show that δ^{Ω} is proper and geodesic whenever Ω is proper and quasi-homogeneous.

1.5.2. Dual convexity. A first step in the Proof of Theorem 1.1 is to investigate the convex structure of a quasi-homogeneous domain Ω . In real projective space, any proper quasi-homogeneous domain is convex [Kob84, Prop. 3.25]. In [Zim18a], Zimmer defines dual convexity as a generalization of convexity in the real projective setting, using the characterization of convexity of bounded domains by the existence of supporting hyperplanes at any point of the boundary. He proves that every proper quasi-homogeneous domain of a flag manifold G/P is dually convex (see [Zim18a, Cor. 9.3]).

For the case of Lorentzian geometry, we relate the notion of dual convexity with the standard notion of causal convexity, see Section 6.1. This enables us to make a short proof of Theorem 1.1 in the Lorentzian signature in Section 6.3. In fact, we show that proper dually convex domains are precisely the proper causally convex maximal domains of the Minkowski space in the sense of [Sma].

- 1.5.3. Photon-extremal points. To prove Theorem 1.1, we define a notion of photon-extremality for points of $\partial\Omega$, similar to that of extremality for domains of real projective space. Follow the strategy of Limbeek–Zimmer [LZ19], we investigate the dynamics of $\operatorname{Conf}(\Omega)$ near extremal points. An analysis of the dynamics of divergent sequences of $\operatorname{PO}(p+1,q+1)$, using the Markowitz distance function as well as dual convexity, shows that extremal points satisfy a strong geometric property, see Proposition 5.1. This will split extremal points into two $\operatorname{Conf}(\Omega)$ -invariant categories: spacelike-extremal and timelike-extremal points (Section 7.2). Using the projective model of $\operatorname{Ein}^{p,q}$, this will impose that the conformal group $\operatorname{Conf}(\Omega)$ preserves a splitting $\operatorname{\mathbb{R}}^{p+1,q+1} = V_0 \oplus V_1$. The study of the signature of V_0 and V_1 will show that $\operatorname{Conf}(\Omega) = \operatorname{PO}(p,1) \times \operatorname{PO}(1,q)$ and that Ω is a diamond, see Section 7.
- 1.6. Organization of the paper. In Section 2, we recall well-known facts of conformal geometry and we introduce the Einstein universe. In Section 3, we define the notions of properness, quasi-homogeneity and dual convexity, and introduce the only proper symmetric domains of $\mathbf{Ein}^{p,q}$, namely, diamonds. In Section 4, we investigate the properties of the Markowitz pseudodistance on a domain Ω of $\mathbf{Ein}^{p,q}$, which turns out to be a distance function as soon as Ω is proper. In Section 5, we define photon-extremal points of the boundary of a proper domain, and use the Markowitz distance function to prove that they satisfy a strong geometric property in the quasi-homogeneous case. This geometric property allows us to finish the proof of Theorem 1.1 in Lorentzian signature in Section 6 and in any signature in Section 7. In Section 8 we prove Theorem 1.2. Finally, in Section 9 we prove Corollary 1.4.

Acknowledgements. The authors would like to thank Charles Frances and Fanny Kassel for their support and valuable guidance in the research leading to the results presented in this paper. They would also like to thank Roméo Troubat for sharing his construction of diamonds and his inspiring insights in higher signature, and Yves Benoist for initially suggesting that they explore rigidity in the Einstein Universe of signature (1,2), which was the starting point for this work. The first-named author is also grateful to Pierre Py for the valuable discussions they shared on discrete subgroups of Lie groups. The second-named author also expresses gratitude to Jeffrey Danciger and Wouter van Limbeek for the valuable insights on Question 1.3. Finally, the authors would like to thank the organizers of the conference Diverse Aspects of Groups, Geometry and Dynamics, held in Heidelberg in September 2023, where this collaboration started.

2. Preliminaries

In this section, we recall some notions of conformal geometry and fix some notations.

2.1. General notions of conformal geometry. A conformal manifold is a manifold M equipped with a conformal class [g], where g is a pseudo-Riemannian metric on M, and

$$[g] = \left\{ e^f \cdot g \mid f \in C^{\infty}(M) \right\}.$$

The signature of g will be denoted by (p,q), where p refers to the number of "-" and q to the number of "+". If g has signature (p,q), we say that (M,[g]) is a conformal manifold of signature (p,q). At every point $x \in M$, the bilinear form g_x can be written as

$$g_x(v,v) = -(v_1)^2 \cdot \cdot \cdot - (v_p)^2 + (v_{p+1})^2 + \cdot \cdot \cdot + (v_{p+q})^2,$$

in a suitable basis of T_xM . A tangent vector $v \in TM$ is timelike (resp. lightlike, spacelike) if g(v,v) is negative (resp. null, resp. positive); it is causal if it is either timelike or lightlike. This enables us to talk about timelike curves in M (resp. causal, resp. lightlike, resp. spacelike).

A smooth map $\varphi: (M, [g_M]) \to (N, [g_N])$ is conformal if $\varphi^* g_N \in [g_M]$, which is the same as saying that φ sends causal curves to causal curves and spacelike curves to spacelike curves. We will write Conf(M) for the group of conformal automorphisms of M, and we will call it the conformal group of M.

2.1.1. Invariance of lightlike geodesics. In general, different metrics within the same conformal class of a conformal manifold will define geometrically distinct geodesics. However, the notion of unparametrized lightlike geodesic has a conformal meaning.

Theorem 2.1 (see e.g. [Mar81]). Let M be a smooth manifold and let g be a pseudo-Riemannian metric on M. Then any lightlike geodesic $\alpha: I \to M$ admits a canonical class of projective parameters $\{u(t)\}$. The family of reparametrized curves $\{\alpha(u)\}$ only depends on the conformal class of [g]. In particular the image of α is conformally invariant.

- 2.1.2. Conformal spacetimes. When the metric g has signature (1, n-1) where $n = \dim(M)$, we say that g is a Lorentzian metric and that (M, [g]) is a conformal Lorentzian manifold. In every tangent space of a conformal Lorentzian manifold, the set of nonzero causal vectors has two connected components. If there exists a global continuous choice of such connected components on M, we say that M is time-orientable. A continuous choice $x \mapsto F_x \subset T_x M$ of connected components of causal vectors is called a time-orientation. Causal vectors belonging (resp. not belonging) to these components are called future directed (resp. past directed). A conformal Lorentzian manifold that is time-oriented is called a conformal spacetime. Given a conformal spacetime (M, [g]) and $x \in M$, we write $I^+(x)$ (resp. $J^+(x)$) for the union of all endpoints of future timelike (resp. causal) curves starting at x. Similarly, we write $I^-(x)$ (resp. $J^-(x)$) for the union of all endpoints of past timelike (resp. causal) curves starting at x.
- 2.1.3. The Minkowski space and the Einstein universe. For arbitrary $p, q \in \mathbf{N}$, we will write $\mathbf{R}^{p,q}$ for the vector space \mathbf{R}^{p+q} endowed with a bilinear form \mathbf{b} of signature (p,q). This is a complete flat pseudo-Riemannian manifold called the Minkowski space. We will also write $\mathbf{R}^{p,q}$ for the associated conformal manifold $\mathbf{R}^{p,q} = (\mathbf{R}^{p+q}, [\mathbf{b}])$ and we will also call it the Minkowski space.

The Einstein universe is the space, denoted by $\mathbf{Ein}^{p,q}$, defined as

$$\mathbf{Ein}^{p,q} = \{ \mathbf{P}(v) \in \mathbf{P}(\mathbf{R}^{p+1,q+1}) \mid \mathbf{b}(v,v) = 0 \},$$

where $\mathbf{P}(v)$ is the line of $\mathbf{R}^{p+1,q+1}$ generated by $v \neq 0$. In other words $\mathbf{Ein}^{p,q}$ is the set of isotropic lines in $\mathbf{R}^{p+1,q+1}$. It is endowed with a natural pseudo-Riemannian conformal class $[\mathbf{b}|_{\mathbf{Ein}^{p,q}}]$ coming from the metric on $\mathbf{R}^{p+1,q+1}$. The Einstein universe

is a compact pseudo-Riemannian conformal manifold of signature (p,q). It admits a 2-sheeted conformal cover by $(\mathbf{S}^p \times \mathbf{S}^q, [-g_{\mathbf{S}^p} \oplus g_{\mathbf{S}^q}])$ whose nontrivial deck transformation is $(x,y) \mapsto (-x,-y)$. The natural action of PO(p+1,q+1) on $\mathbf{Ein}^{p,q}$ is by conformal automorphisms, and the conformal group of $\mathbf{Ein}^{p,q}$ coincides with PO(p+1,q+1). More generally, we have the following fundamental result which is due to Liouville in the Riemannian setting (see [Fra03]):

Theorem 2.2. Let U, V be connected domains of $\mathbf{Ein}^{p,q}$, and let $\varphi : U \to V$ be a conformal map. If $p+q \geq 3$, then there is a unique element $g \in \mathrm{PO}(p+1,q+1)$ such that $g|_U = \varphi$.

In particular, for a connected domain $\Omega \subset \mathbf{Ein}^{p,q}$, the conformal group of Ω is precisely the subgroup of $\mathrm{PO}(p+1,q+1)$ of all transformations q preserving Ω .

2.1.4. Conformally flat manifolds. In this article, we will restrict our study to conformally flat manifolds. We say that a conformal manifold (M, [g]) is conformally flat if it is locally conformally equivalent to an open subset of the Minkowski space. A consequence of Liouville's theorem is that conformally flat pseudo-Riemannian manifolds inherit a canonical $(PO(p+1, q+1), \mathbf{Ein}^{p,q})$ -structure (for basic properties of (G, X)-structures, see [Thu97]). This implies the following.

Proposition 2.1. Let M be a conformally flat manifold. Then there exists a conformal map $\text{dev}: \widetilde{M} \to \mathbf{Ein}^{p,q}$. If $\text{dev}': \widetilde{M} \to \mathbf{Ein}^{p,q}$ is another such conformal map, then there is a unique element $g \in PO(p+1, q+1)$ such that $\text{dev}' = g \circ \text{dev}$.

A map $\operatorname{dev}: \widetilde{M} \to \operatorname{\mathbf{Ein}}^{p,q}$ as in Proposition 2.1 is called a *developing map* for M. A $(\operatorname{PO}(p+1,q+1),\operatorname{\mathbf{Ein}}^{p,q})$ -structure on M is also encoded by the data of a *holonomy morphism* hol: $\pi_1(M) \to \operatorname{PO}(p+1,q+1)$. However, we will not use hol in this article.

- 2.2. Geometry of the Einstein universe. We briefly recall the basic tools for studying $Ein^{p,q}$. For a more general overview, see [Fra04] and [BCD⁺07].
- 2.2.1. Photons and lightcones. We write $C^{p+1,q+1}$ for the isotropic cone of $\mathbf{R}^{p+1,q+1}$ and $\pi:C^{p+1,q+1}\to\mathbf{Ein}^{p,q}$ for the projectivization map. The lightlike geodesics of $\mathbf{Ein}^{p,q}$ are precisely the curves $\pi(\Pi)$, where $\Pi\subset\mathbf{R}^{p+1,q+1}$ is a totally isotropic 2-plane. Such a curve is called a photon. The union of all photons passing through a point $x\in\mathbf{Ein}^{p,q}$, called the lightcone of x, will be denoted by C(x). The lightcone C(x) is the image under π of $C^{p+1,q+1}\cap x^{\perp}$. It is a singular hypersurface of signature $(0,-,\ldots,-,+\ldots,+)$ that is foliated by photons. In fact, the set $C(x)\setminus\{x\}$ is a smooth submanifold that is conformal to $(\mathbf{R}\times\mathbf{Ein}^{p-1,q-1},[0\oplus(-g_{\mathbf{S}^{p-1}})\oplus g_{\mathbf{S}^{q-1}}])$, where $(-g_{\mathbf{S}^{p-1}})\oplus g_{\mathbf{S}^{q-1}}$ is the quotient metric on $\mathbf{Ein}^{p-1,q-1}=\mathbf{S}^{p-1}\times\mathbf{S}^{q-1}/(x,y)\sim(-x,-y)$.
- **Remark 2.2.** Given a point $x \in \mathbf{Ein}^{p,q}$, the lightcone of x coincides with the non-transverse the set Z_x in the sense of [Zim18a, Sect. 1.6]. In the setting of general flag manifolds, the set Z_x is classically called a maximal proper Schubert subvariety of $\mathbf{Ein}^{p,q}$.
- 2.2.2. Affine charts. Given a point $x \in \mathbf{Ein}^{p,q}$, the open set $\mathbf{Ein}^{p,q} \setminus C(x)$ can be conformally identified with $\mathbf{R}^{p,q}$. An identification $\mathbf{Ein}^{p,q} \setminus C(x) \simeq \mathbf{R}^{p,q}$ is called an affine chart or a stereographic projection. With such an identification, the open set $\mathbf{Ein}^{p,q} \setminus C(x)$ inherits a canonical affine structure, independent of the conformal identification $\mathbf{Ein}^{p,q} \setminus C(x) \simeq \mathbf{R}^{p,q}$. This identification is the reason why $\mathbf{Ein}^{p,q}$ is sometimes referred to as the conformal compactification of $\mathbf{R}^{p,q}$: the Einstein universe is a compact conformal manifold containing a conformal copy of the Minkowski space as an open and dense subset.

2.2.3. Cartan decomposition of PO(p+1,q+1). Let $1 \le p \le q$ and let G = PO(p+1,q+1). We fix a basis in which the metric of $\mathbf{R}^{p+1,q+1}$ takes the expression $\mathbf{b}(v,v) = v_0v_{p+q+1} + \cdots + v_pv_{q+1} + (v_{p+1})^2 + \cdots + (v_q)^2$, and we write elements of G in that basis. Let G = KAK be a Cartan decomposition of G, where A is the abelian subgroup formed of diagonal matrices

diag
$$(\lambda_0, \ldots, \lambda_p, 1, \ldots, 1, \lambda_p^{-1}, \ldots, \lambda_0^{-1})$$
,

and $K < \operatorname{PO}(p+1,q+1)$ is a maximal compact subgroup. The KAK decomposition means that any element $g \in \operatorname{PO}(p+1,q+1)$ can be written $g = \kappa a \kappa'$ with $\kappa,\kappa' \in K$ and $a \in A$. We can always choose κ and κ' such that the eigenvalues of a satisfy $\lambda_0 \geq \lambda_1 \geq \cdots \geq \lambda_p \geq 1$. With this convention, the eigenvalues of a are uniquely determined by a.

2.2.4. Dynamics on the Einstein universe. Let (g_k) be a sequence in PO(p+1,q+1) and let $\lambda_0(k) \geq \cdots \geq \lambda_p(k) \geq 1$ be the associated sequences of eigenvalues for the matrix $a_k \in A$ in the Cartan decomposition of g_k as above. It is possible to describe geometrically the dynamic behaviour of (g_k) on $\mathbf{Ein}^{p,q}$ in terms of the sequences $(\lambda_i(k))$ (see [Fra04] in the Lorentzian case and [Cha24] for general signature). Since the general setting is slightly technical, we decided not to introduce it in this paper. We will only use the notion of contracting sequence in the proof of Proposition 5.1. We say that (g_k) is contracting if there exist two points $x, y \in \mathbf{Ein}^{p,q}$ (possibly equal) such that the restriction of (g_k) to $\mathbf{Ein}^{p,q} \setminus C(y)$ converges uniformly on compact subsets to the constant map equal to x. In that case, we will also say that (g_k) is (x,y)-contracting. In terms of the Cartan decomposition, this contracting condition is equivalent to saying that $\lambda_0(k)/\lambda_i(k) \to +\infty$ as $k \to +\infty$, for every $i \in \{1, \ldots, p\}$.

Fact 2.3 (See e.g. [Wei22, Appendix A]). Let (g_k) be a sequence of PO(p+1, q+1). Assume that there exists a compact subset $\mathcal{C} \subset \mathbf{Ein}^{p,q}$ with nonempty interior such that $(g_k(\mathcal{C}))$ converges to a point with respect to the Hausdorff topology. Then (g_k) is contracting.

3. Proper domains of the Einstein Universe

3.1. First definitions. Let $\Omega \subset \mathbf{Ein}^{p,q}$ be a domain, i.e. a connected open subset. We say that Ω is *proper* if there exists $x \in \mathbf{Ein}^{p,q}$ with $\overline{\Omega} \cap C(x) = \emptyset$. In other words, the domain Ω appears as a bounded domain in $\mathbf{R}^{p,q}$ by means of the affine chart $\mathbf{Ein}^{p,q} \setminus C(x)$. This notion coincides with the notion of properness of Ω as a subset of the flag manifold $\mathbf{Ein}^{p,q}$ recalled in the introduction.

Definition 3.1. We say that $\Omega \subset \mathbf{Ein}^{p,q}$ is quasi-homogeneous (resp. homogeneous, resp. divisible) if there exists some compact subset $\mathcal{K} \subset \Omega$ such that $\Omega = \mathrm{Conf}(\Omega) \cdot \mathcal{K}$ (resp. if $\mathrm{Conf}(\Omega)$ acts transitively on Ω , resp. if there exists a discrete subgroup $\Gamma \subset \mathrm{Conf}(\Omega)$ and a compact subset $\mathcal{K} \subset \Omega$ such that $\Omega = \Gamma \cdot \mathcal{K}$).

3.2. **Dual convexity.** An important feature of the theory of proper quasi-homogeneous domains of flag manifolds is the notion of dual convexity.

Definition 3.2. We say that a domain $\Omega \subset \mathbf{Ein}^{p,q}$ is dually convex if, for every $a \in \partial \Omega$ there is a point $b \in \mathbf{Ein}^{p,q}$ such that $a \in C(b)$ and $C(b) \cap \Omega = \emptyset$. In that case we say that C(b) is a supporting lightcone of Ω at a.

This notion was first introduced by A. Zimmer [Zim18a] for arbitrary flag manifolds. He proved that for a proper domain Ω , dual convexity is equivalent to the completeness of an invariant distance function on Ω : the Caratheodory metric C_{Ω} . The distance function C_{Ω} is always shown to be complete when Ω is a quasi-homogeneous domain, so Zimmer obtains the following:

Theorem 3.1 ([Zim18a]). Let Ω be a proper quasi-homogeneous domain of $\mathbf{Ein}^{p,q}$. Then Ω is dually convex.

For a direct proof of that statement using the dynamics of PO(p+1, q+1) acting on $Ein^{p,q}$, see [Cha24].

- 3.3. **Diamonds.** Let $p, q \ge 2$. We define diamonds, which are models for the symmetric space of $PO(p, 1) \times PO(1, q)$ in $\mathbf{Ein}^{p,q}$. Diamonds are proper symmetric domains, all conformally equivalent to each other, and by Theorem 1.1, they will be the only proper quasi-homogeneous domain in $\mathbf{Ein}^{p,q}$. We start with the case where p=1, where diamonds are well known and admit an explicit description in terms of causality (see Section 3.3.1 below). In Section 3.3.2, we generalise the construction of diamonds to any signature.
- 3.3.1. The Lorentzian diamond. We write $\mathbf{b}(x,x) = -x_1^2 + x_2^2 + \cdots + x_n^2$ for the bilinear form on $\mathbf{R}^{1,n-1}$. Given a point $x \in \mathbf{R}^{1,n-1}$, its future is the set $I^+(x) = \{a \in \mathbf{R}^{1,n-1} \mid \mathbf{b}(a-x,a-x) < 0 \text{ and } \mathbf{b}(a-x,e_1) < 0\}$. Similarly the past of x is the set $I^-(x) = \{a \in \mathbf{R}^{1,n-1} \mid \mathbf{b}(a-x,a-x) < 0 \text{ and } \mathbf{b}(a-x,e_1) > 0\}$. Now let $x,y \in \mathbf{R}^{1,n-1}$ such that $y \in I^+(x)$. The diamond defined by x and y is the proper domain, denoted by $\mathbf{D}(x,y)$, defined by

$$\mathbf{D}(x,y) = I^+(x) \cap I^-(y).$$

See Figure 1. More generally, let $x, y \in \mathbf{Ein}^{1,n-1}$ be two points such that $x \notin C(y)$. Then $\mathbf{Ein}^{1,n-1} \setminus (C(x) \cup C(y))$ has three connected components, two of which are proper and conformal to each other. These components are called the diamonds generated by x and y. If $\mathbf{Ein}^{1,n-1}$ is endowed with a time orientation, we write $\mathbf{D}(x,y)$ for the connected component that contains a future directed curve joining x to y. If x and y belong to an affine chart where they are chronologically related, and if the induced orientation is the natural one on $\mathbf{R}^{1,n-1}$, we obtain the same definition as before. Note that $\mathbf{PO}(2,n)$ acts transitively on the set of diamonds, so the conformal structure of $\mathbf{D}(x,y)$ is independent of the choice of x and y. We write $\mathbf{D}^{1,n-1}$ for a model space with this conformal structure.

Proposition 3.3. $\mathbf{D}^{1,n-1}$ is conformally equivalent to $(\mathbf{R} \times \mathbf{H}^{n-1}, [-dt^2 \oplus g_{\mathbf{H}^{n-1}}])$.

Proof. Let $x, y \in \mathbf{R}^{1,n-1}$ such that $y \in I^+(x)$. A stereographic projection argument shows that $\mathbf{D}(x,y)$ is conformally equivalent to $I^+(x) \subset \mathbf{R}^{1,n-1}$ (send y to infinity). Fix an origin $0 \in \mathbf{R}^{1,n-1}$ and identify \mathbf{H}^{n-1} with $\{z \in I^+(0) \mid \mathbf{b}(z,z) = -1\}$. Then the map $\varphi : \mathbf{R} \times \mathbf{H}^{n-1} \to I^+(x)$ given by $\varphi(t,z) = e^t(x+z)$ is a conformal diffeomorphism.

From this identification, one can check using Liouville Theorem that every diamond is homogeneous, with conformal group

$$\operatorname{Conf}(\mathbf{D}^{1,n-1}) \simeq \operatorname{Isom}(\mathbf{R}) \times \operatorname{Isom}(\mathbf{H}^{n-1}) \simeq (\mathbf{Z}_2 \ltimes \mathbf{R}) \times \operatorname{PO}(1,n-1).$$

In particular, the domain $\mathbf{D}^{1,n-1}$ is divisible and admits quotients of the form $\mathbf{S}^1 \times \Sigma$, where Σ is a compact Riemannian manifold of constant negative curvature.

3.3.2. Diamonds and other homogeneous domains of $\mathbf{Ein}^{p,q}$. We first introduce models for constant curvature geometry in higher signature. We let V be a finite-dimensional real vector space and \mathbf{b} be a quadratic form of signature (p,q) on V. The sheet $\mathbf{H}^{p-1,q} \subset V$ is defined by

$$\mathbf{H}^{p-1,q} = \{ x \in V \, | \, \mathbf{b}(x,x) = -1 \} \, .$$

The metric **b** restricts to a complete pseudo-Riemannian metric of signature (p-1,q) and of constant negative curvature on $\mathbf{H}^{p-1,q}$. The two connected components of $\mathbf{H}^{0,n}$

are standard models for the real n-dimensional hyperbolic space, and we denote them simply by \mathbf{H}^n . The space $\mathbf{H}^{1,n}$ is usually referred to as the *anti de Sitter space* in Lorentzian geometry. Similarly we define

$$dS^{p,q-1} = \{x \in V \mid \mathbf{b}(x,x) = +1\},\$$

so that the metric **b** restricts to a complete pseudo-Riemannian metric of signature (p, q - 1) and of constant positive curvature on $\mathbf{dS}^{p,q-1}$. The space $\mathbf{dS}^{0,n}$ is a model for the round sphere, we will denote it by \mathbf{S}^n . The space $\mathbf{dS}^{1,n}$ is called the *de Sitter space* in Lorentzian geometry. We will use the notation $-\mathbf{H}^n$ to denote $\mathbf{dS}^{n,0}$.

Let $\mathbf{R}^{p+1,q+1} = V_0 \oplus V_1$ be an orthogonal decomposition. For $i \in \{0,1\}$, we write (p_i,q_i) for the signature of V_i and we assume for instance that $p_0 \leq p_1$. We denote by F_i the intersection $F_i = \mathbf{Ein}^{p,q} \cap \mathbf{P}(V_i)$ (this intersection might be empty). Let $J \subset \mathbf{Ein}^{p,q}$ be the *joint* of F_0 and F_1 , that is J is the union of all photons intersecting F_0 and F_1 . The domain $U = \mathbf{Ein}^{p,q} \setminus J$ is the union of homogeneous connected components. More precisely we have the following description of U.

Proposition 3.4. One has the following 4 possible cases:

- * If $p_i = 0$ or $q_i = 0$ for some $i \in \{0,1\}$, then the domain U is connected, homogeneous (in fact symmetric) and dense in $\mathbf{Ein}^{p,q}$.
- * If $p_0 = p_1 = q_0 = q_1 = 1$, then the domain U has 4 connected components, all of which are Lorentzian diamonds.
- * If $p_0q_1 = 1$ and $p_1q_0 \ge 2$, then the domain U has 3 connected components, all of which are symmetric and two of which are proper and isomorphic to each other. The same conclusion holds if $p_0q_1 \ge 2$ and $p_1q_0 = 1$.
- * If $p_0q_1 \geq 2$ and $p_1q_0 \geq 2$, then the domain U has 2 connected components, which are both symmetric and nonproper.

Proof. Assume first that $p_i, q_i \geq 1$ for $i \in \{0, 1\}$. One can write explicitly

$$F_i = \mathbf{P} \{ v_i \in V_i \mid v_i \neq 0, \ \mathbf{b}(v_i, v_i) = 0 \},$$

such that $J = \mathbf{P}\{v_0 + v_1 \in V_0 \oplus V_1 \mid \mathbf{b}(v_i, v_i) = 0 \text{ for } i \in \{0, 1\}\}$. Therefore, we can express U as the union $U = U_0 \sqcup U_1$, where

$$U_i = \mathbf{P}\left\{v_0 + v_1 \in V_0 \oplus V_1 \mid -\mathbf{b}(v_0, v_0) = \mathbf{b}(v_1, v_1) = (-1)^i\right\}.$$

Now the map

$$\pi: \mathbf{H}^{p_0-1,q_0} \times \mathbf{dS}^{p_1,q_1-1} \longrightarrow U_0$$

$$(v_0,v_1) \longmapsto \mathbf{P}(v_0+v_1).$$

defines a conformal 2-sheeted covering. The nontrivial deck transformation φ centralizes $\operatorname{PO}(p+1,q+1)$, so $U_0 \simeq \mathbf{H}^{p_0-1,q_0} \times \mathbf{dS}^{p_1,q_1-1}/_{x \sim \varphi(x)}$ is symmetric with isometry group $\operatorname{PO}(p_0-1,q_0) \times \operatorname{PO}(p_1,q_1-1)$. If $p_0 \neq 1$ or $q_1 \neq 1$, then at least one of the factors \mathbf{H}^{p_0-1,q_0} or \mathbf{dS}^{p_1,q_1-1} contains a lightlike geodesic γ defined over \mathbf{R} . To find such a geodesic, intersect any degenerate plane of signature (0,-) or (0,+) with \mathbf{H}^{p_0-1,q_0} or \mathbf{dS}^{p_1,q_1-1} , respectively. In particular, the domain U_0 contains a photon minus a point. Hence U_0 is neither Markowitz-hyperbolic nor proper. If $p_0 = q_1 = 1$, the total space $\mathbf{H}^{0,q_0} \times \mathbf{dS}^{p_1,0}$ has 4 connected components and U_0 is the union of two connected components both conformal to $(-\mathbf{H}^{q_0}) \times \mathbf{H}^{p_1}$. In order to write the components of U_0 explicitly, let $e_0 \in \mathbf{H}^{0,q_0}$ and $e_1 \in \mathbf{dS}^{p_1,0}$. Then

$$U_0 = D_0 \sqcup D_1,$$

where

$$D_i = \mathbf{P}\{v_0 + v_1 \in U_0 \mid (-1)^i \mathbf{b}(v_0, e_0) \mathbf{b}(v_1, e_1) > 0\}.$$

For $x = \mathbf{P}(v_0 + v_1) \in D_0$ and $y = \mathbf{P}(w_0 + w_1) \in D_1$, the signs of $\mathbf{b}(v_0, w_0)$ and $\mathbf{b}(v_1, w_1)$ are the same. In particular the value of $\mathbf{b}(u, w)$ cannot be zero. This means

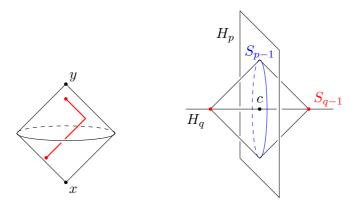


FIGURE 1. On the left: the Lorentzian diamond in $\mathbb{R}^{1,2}$. Two points in the diamond are joined by a sequence of two segments of photons (see Fact 3.6). On the right: a diamond in $\mathbb{R}^{p,q}$. The spheres S_{p-1} and S_{q-1} correspond to the subsets F_0 and F_1 in Proposition 3.4. The boundary S of $D^{p,q}$ is a subset of the set J in Proposition 3.4.

that the lightcone of every element of D_0 (resp. D_1) does not intersect D_1 (resp. D_0). In particular these components are proper. Similarly, U_1 is symmetric and has one or two connected components depending on the values of p_1 and q_0 . In the case where (for instance) $p_0 = 0$, the subset F_0 is empty, and $J = F_1$ has an empty interior in $\mathbf{Ein}^{p,q}$. Thus U is dense in $\mathbf{Ein}^{p,q}$. A map similar to π shows that U is connected and symmetric.

The proper components mentioned in Proposition 3.4 are called *diamonds*, we will denote them by $\mathbf{D}^{p,q}$. In the proof of Proposition 3.4, one gets the following conformal identification

$$\mathbf{D}^{p,q} \simeq (\mathbf{H}^p \times \mathbf{H}^q, [-g_{\mathbf{H}^p} \oplus g_{\mathbf{H}^q}]).$$

We now describe a concrete way to obtain a diamond as a bounded domain of $\mathbf{R}^{p,q}$. Let H_p be a negative definite affine p-plane in $\mathbf{R}^{p,q}$, and let H_q be a positive definite affine q-plane orthogonal to H_p . Let c be the intersection of r>0. The flat metric restricts to a negative definite product on H_p and a positive definite product on H_q . We write S_{p-1} and S_{q-1} for the balls of center c and radius r in H_p and H_q , respectively. Given a point $a \in S^{p-1}$ and $b \in S^{q-1}$, then the affine segment [a,b] is lightlike. Then the union S of all such segments is a topological sphere (it is a topological joint of S_{p-1} and S_{q-1}). It separates $\mathbf{R}^{p,q}$ into two connected components, one of which is bounded and convex. This component is a diamond. Write $D^{p,q}$ for this component. We define for $x \in \mathbf{R}^{p,q}$

$$||x||_{p,q} = \sqrt{-\mathbf{b}(x_p, x_p)} + \sqrt{\mathbf{b}(x_q, x_q)},$$

where $x = x_p + x_q \in \overrightarrow{H}_p \oplus \overrightarrow{H}_q$. Then $\|\cdot\|_{p,q}$ defines a norm on $\mathbf{R}^{p,q}$ which depends on H_p and H_q , and we can describe $D^{p,q}$ as a ball for this norm:

$$D^{p,q} = \{ x \in \mathbf{R}^{p,q} \, | \, ||x - c||_{p,q} < r \}.$$

In particular, the domain $D^{p,q}$ is a convex domain of $\mathbf{R}^{p,q}$. See Figure 1.

Remark 3.5. There is a purely causal way to define $D^{p,q}$. In signature (p,q), one can define the future of an inextensible (p-1)-timelike curve (see [Tro24]). In that setting, the diamond is the future of the timelike sphere S^{p-1} .

We will use the following fact later:

Fact 3.6. Any two points $x, y \in \mathbf{D}^{p,q}$ can be joined by a sequence of two segments of photons contained in $\mathbf{D}^{p,q}$. See Figure 1.

Proof. Write $x = (x_p, x_q)$ and $y = (y_p, y_q)$ in the model $\mathbf{H}^p \times \mathbf{H}^q$. We let d refer to the hyperbolic distance function. Assume for instance that $d(x_p, y_p) < d(x_q, y_q)$. Let $z_p \in \mathbf{H}^p$ such that $d(x_p, z_p) + d(x_p, y_p) = d(z_p, y_p)$ and let $z_q \in [x_q, y_q] \subset \mathbf{H}^q$ such that $d(x_q, z_q) = d(x_p, z_p)$. Then the lightcone of $z = (z_p, z_q)$ contains both x and y.

4. The Markowitz distance function

In this section we define the Markowitz pseudodistance on a domain of $\mathbf{Ein}^{p,q}$, and investigate its properties.

4.1. **Definition of the pseudodistance and Markowitz hyperbolicity.** Let Ω be a domain of $\mathbf{Ein}^{p,q}$ (not necessarily proper). Let I=(-1,1) be the one-dimensional hyperbolic space endowed with its projectively invariant hyperbolic metric $g_{hyp}=\frac{4dx^2}{(1-x^2)^2}$. We write d_{hyp} for the associated hyperbolic distance on I. Let $\mathrm{Proj}(I,\Omega) \subset \mathrm{Proj}(I,\mathbf{RP}^{p+q+1})$ be the set of projective immersions $\alpha:I\to\mathbf{RP}^{p+q+1}$ whose image lies entirely in Ω . The image of a curve $\alpha\in\mathrm{Proj}(I,\Omega)$ is a conformal lightlike geodesic. In fact the curve α is a projectively parametrized lightlike geodesic in the sense of [Mar81] and every lightlike geodesic is (locally) equal to an element of $\mathrm{Proj}(I,\Omega)$. This allows us to define the Markowitz pseudodistance in the following way. Let $x,y\in\Omega$. A chain from x to y (of index $N\in\mathbf{N}$) is a sequence of points $x_0=x,x_1,\ldots,x_N=y\in\Omega$ together with sequences $s_1,\ldots,s_N,t_1,\ldots,t_N\in I$ and projectively parametrized lightlike geodesics $\alpha_i:I\to\Omega$ such that $\alpha_i(s_i)=x_{i-1}$ and $\alpha_i(t_i)=x_i$. We will write it $((x_0,\cdots,x_N),(\alpha_i)_{1\leq i\leq N-1})$. Then we define

$$\delta^{\Omega}(x,y) = \inf \sum_{i} d_{hyp}(s_i, t_i)$$

where the infinimum is taken over all chains from x to y. This definition coincides with the definition of Markowitz for pseudo-Riemannian manifolds (see [Mar81]).

Since a conformal map f sends a chain from x to y to a chain from f(x) to f(y), we have the following standard and important naturality properties.

Proposition 4.1. (1) Given two domains Ω_1, Ω_2 of $\mathbf{Ein}^{p,q}$ such that $\Omega_1 \subset \Omega_2$, one has: $\delta^{\Omega_2}(x,y) \leq \delta^{\Omega_1}(x,y)$ for all $x,y \in \Omega_1$.

(2) For every domain $\Omega \subset \mathbf{Ein}^{p,q}$, the pseudodistance δ^{Ω} is $\mathrm{Conf}(\Omega)$ -invariant.

Note that δ^{Ω_2} and δ^{Ω_1} and δ^{Ω} do not need to be distance functions in Proposition 4.1. Given a domain Ω and points $x, y \in \Omega$, we write $\mathcal{C}_{x,y}$ (resp. $\mathcal{C}^N_{x,y}$) for the collection of all chains from x to y (resp. chains of index lower or equal to N). For x, y sufficiently close to each other, we can find a diamond included in Ω and containing x and y. In that case $\mathcal{C}_{x,y}$ is nonempty (and even $\mathcal{C}^2_{x,y}$ is nonempty by Fact 3.6). Since the relation "x and y can be joined by a chain" is an equivalence relation, the set $\mathcal{C}_{x,y}$ is never empty. Therefore $\delta^{\Omega}(x,y)$ is always finite.

Because one can concatenate and reverse the orientation of a chain, the map $\delta^{\Omega}: \Omega \times \Omega \to \mathbf{R}$ is symmetric and satisfies the triangular inequality. Therefore δ^{Ω} is always a pseudodistance. A domain Ω is said to be Markowitz-hyperbolic if δ^{Ω} is a distance function, that is, if δ^{Ω} separates points.

Example 4.2. Diamonds are Markowitz-hyperbolic domains. In the model $\mathbf{D}^{p,q} = (-\mathbf{H}^p) \times \mathbf{H}^q$, one has

$$\delta^{\mathbf{D}^{p,q}}((x_p, x_q), (y_p, y_q)) = \max\{d(x_p, y_p), d(x_q, y_q)\},\$$

where d(x,y) is the hyperbolic distance between x and y. Write g for the Riemannian metric $g_{\mathbf{H}^p} \oplus g_{\mathbf{H}^q}$. We use of the Markowitz infinitesimal conformal pseudometric F_{Mark} (see [Mar81]). For a lightlike vector $X \in T_x \mathbf{D}^{p,q}$, Markowitz defines $F_{\mathsf{Mark}}(X) = \inf_{\alpha} |u|$, where the infinimum is taken over all projectively parametrized photons $\alpha: I \to \mathbf{D}^{p,q}$ and $u \in T_0I$ such that $\alpha_*(u) = X$. Let $\mathbf{L}\mathbf{D}^{p,q}$ be the bundle of lightlike directions of $\mathbf{D}^{p,q}$. Since $\mathbf{D}^{p,q}$ is homogeneous and the stabilizer H_x of a point $x \in \mathbf{D}^{p,q}$ sends any lightlike line in $T_x\mathbf{D}^{p,q}$ to any other lightlike line in $T_x\mathbf{D}^{p,q}$, the space $\mathbf{L}\mathbf{D}^{p,q}$ is $\mathrm{Conf}(\mathbf{D}^{p,q})$ -homogeneous. Let $X_0 \in T\mathbf{D}^{p,q}$ be a nonzero lightlike vector and let $\alpha \geq 0$ such that $F_{\mathsf{Mark}}(X_0) = \alpha \sqrt{g(X_0, X_0)}$. Since F_{Mark} is a conformally invariant functional, the same equality holds for any vector in the $\mathrm{Conf}(\mathbf{D}^{p,q})$ -orbit of X_0 . Because F_{Mark} satisfies $F_{\mathsf{Mark}}(\lambda X) = \lambda F_{\mathsf{Mark}}(X)$ for every $\lambda > 0$ and because $\mathbf{L}\mathbf{D}^{p,q}$ is homogeneous, we get that for every lightlike vector $X \in T\mathbf{D}^{p,q}$

$$F_{\mathsf{Mark}}(X) = \alpha \sqrt{g(X,X)}.$$

Since $\mathbf{R}^{p,q}$ is Ricci flat, every affine parameter for geodesics of $\mathbf{R}^{p,q}$ is a projective parameter in the sense of [Mar81]. A direct computation, using any maximal segment $\ell \subset \mathbf{D}^{p,q} \subset \mathbf{R}^{p,q}$, shows that $\alpha = 1/\sqrt{2}$. From Theorem 4.8 of [Mar81], we can conclude that for $x, y \in \mathbf{H}^p \times \mathbf{H}^q$

$$\delta^{\mathbf{D}^{p,q}}(x,y) = \frac{1}{\sqrt{2}} \inf_{\gamma} L(\gamma,g),$$

where the infinimum is taken over every piecewise Riemannian geodesics $\gamma = (\gamma_p, \gamma_q)$ of $\mathbf{H}^p \times \mathbf{H}^q$ from x to y such that γ_p and γ_q are parametrized by arc length. The result follows.

Once equipped with the distance function $\delta^{\mathbf{D}^{p,q}}$, the diamond $\mathbf{D}^{p,q}$ is a homogeneous locally compact metric space and is therefore a proper and geodesic metric space (see [BH13, Prop. 3.7]). The topology induced by $\delta^{\mathbf{D}^{p,q}}$ is the standard one. In fact for a general proper dually convex domain Ω , the pseudodistance δ^{Ω} will be a proper and geodesic distance function defining the standard topology of Ω .

Proposition 4.3. Proper domains of $\mathbf{Ein}^{p,q}$ are Markowitz-hyperbolic.

Proof. By Proposition 4.1, any open subset of a Markowitz-hyperbolic domain is itself hyperbolic. Since any proper domain of $\mathbf{Ein}^{p,q}$ is contained in a diamond, it is Markowitz-hyperbolic.

Remark 4.4. A Markowitz-hyperbolic domain needs not be proper. For instance, the Misner domain M defined as the future of two transverse degenerate hyperplanes in $\mathbf{R}^{1,n-1}$ is an example of a Markowitz-hyperbolic homogeneous domain which is not proper, as ∂M contains infinitely many photons.

Proposition 4.5. Let $\Omega \subset \mathbf{Ein}^{p,q}$ be a proper domain. Then δ^{Ω} induces the standard topology on Ω .

Proof. We need to show that δ^{Ω} defines the same convergence as the one induced on Ω by the topology of $\mathbf{Ein}^{p,q}$. Let (x_k) be a sequence of Ω and let $x \in \Omega$. Assume first that $x_k \to x$ as $k \to \infty$. Let D be a diamond containing x and contained in Ω . Example 4.2 shows that δ^D defines the standard topology on D, so that $\delta^D(x, x_k) \to 0$ as $k \to +\infty$. By Proposition 4.1, one has $\delta^{\Omega}(x, x_k) \leq \delta^D(x, x_k)$. Thus $\delta^{\Omega}(x, x_k) \to 0$ as $k \to \infty$.

The converse implication is immediate if Ω is proper. Indeed assume that $\delta^{\Omega}(x, x_k) \to 0$ as $k \to \infty$ and let D be a diamond containing Ω . Then $\delta^{D}(x, x_k) \leq \delta^{\Omega}(x, x_k)$ so that $\delta^{D}(x, x_k) \to 0$ as $k \to \infty$. By Example 4.2 this implies that (x_k) converges to x.

Remark 4.6. If Ω is proper and $(x_k), (y_k) \in \Omega^{\mathbf{N}}$ satisfy $\delta^{\Omega}(x_k, y_k) \to 0$, and if $x_k \to x \in \partial\Omega$, then $y_k \to x$. To prove this, take a diamond D containing $\overline{\Omega}$, and apply the proof of Proposition 4.5.

Remark 4.7. It is also true that for general Markowitz-hyperbolic manifolds, the Markowitz distance function induces the standard topology, see [Cha24].

4.2. Properness of the Markowitz distance function. Let Ω be a Markowitz hyperbolic domain and let $x, y \in \Omega$. Any sequence

$$((x_0,\cdots,x_N),(\alpha_i)_{1\leq i\leq N-1})\in\mathcal{C}_{x,y}$$

with $(s_i), (t_i) \in I$ such that $\alpha_i(s_i) = x_{i-1}$, $\alpha_i(t_i) = x_i$, gives rise to a continuous path γ from x to y, with image the union of all the images of $\alpha_i|_{[s_i,t_i]}$. This path is unique up to reparametrization. We write $L(\gamma)$ for the length of γ measured with the metric δ^{Ω} . This length does not depend on the choice of parametrization. Hence we will identify the elements of $\mathcal{C}_{x,y}$ with continuous paths γ arising from this construction, by means of an arbitrary choice of parametrization.

Lemma 4.8. Assume Ω is a proper dually convex domain of $\mathbf{Ein}^{p,q}$. Then δ^{Ω} is a length metric on Ω . Moreover, for any maximal lightlike geodesic $\alpha: I \to \Omega$ and any $s, t \in I$, the length of the segment $\alpha_{[s,t]}$ is equal to $d_{hyp}(s,t)$.

Proof. Let us fix some notation. Given two points $x, y \in \mathbf{Ein}^{p,q}$, there exist $u, v \in \mathbf{R}^{p+q+2} \setminus \{0\}$ such that $x = \mathbf{P}(u)$ and $y = \mathbf{P}(v)$. Given two points $\xi_1 = \mathbf{P}(v_1), \xi_2 = \mathbf{P}(v_2) \in \mathbf{Ein}^{p,q}$, each quantity $\langle \xi_i, x \rangle$, $\langle \xi_i, y \rangle$ is not well defined in itself, however, the quantity

$$|[\xi_1:x:y:\xi_2]| := \left| \frac{\langle v_1, u \rangle \cdot \langle v_2, v \rangle}{\langle v_2, u \rangle \cdot \langle v_1, v \rangle} \right|$$

makes sense as soon as $\xi_1, \xi_2 \notin C(x) \cup C(y)$, because it does not depend on the choice of generators of x, y, ξ_1 and ξ_2 . Moreover, we will write $\langle \xi, x \rangle \neq 0$ if, and only if $\langle v_1, u \rangle \neq 0$. This property does not depend on the choice of generators.

Let $\mathbf{R}^{p,q}$ be some affine chart containing $\overline{\Omega}$. Let us show that maximal lightlike geodesics (i.e. lightlike geodesics $\alpha: I \to \Omega$ with endpoints in $\partial\Omega$) in Ω are geodesics for δ^{Ω} . Let us define

$$K(\Omega) := \{ \xi \in \mathbf{Ein}^{p,q} \mid \langle \xi, x \rangle \neq 0 \quad \forall x \in \Omega \}.$$

Let $\alpha: I \to \Omega$ be a maximal lightlike geodesic. Let Δ be the photon of $\mathbf{Ein}^{p,q}$ such that the image of α is contained in Δ . Let $u \in \mathbf{R}^{p+q+2} \setminus \{0\}$ such that $\alpha(0) = \mathbf{P}(u)$. Let $\{x_{\infty}\} = \Delta \setminus \mathbf{R}^{p,q}$ and $v \in \mathbf{R}^{p+q+2} \setminus \{0\}$ such that $x_{\infty} = \mathbf{P}(v)$. Let a, b be the intersection of Δ with $\partial\Omega$, so that a and b are the endpoints of α . Then there exist $\lambda, \mu \in \mathbf{R}$ such that $a = \mathbf{P}(u + \lambda v)$ and $b = \mathbf{P}(u + \mu v)$. By dual convexity, there exist $\xi_1 = [v_1], \xi_2 = \mathbf{P}(v_2) \in K(\Omega)$ such that $a \in C(\xi_1)$ and $b \in C(\xi_2)$. Then

$$\lambda = -\frac{\langle v_1, u \rangle}{\langle v_1, v \rangle}$$
 and $\mu = -\frac{\langle v_2, u \rangle}{\langle v_2, v \rangle}$.

Now let $t \in I \setminus \{0\}$ and let $\mu' \in \mathbf{R}^*$ such that $\alpha(t) = \operatorname{Span}(u + \mu'v)$. Then

$$d_{hyp}(0,t) = \log \left| \frac{\lambda \cdot (\mu - \mu')}{\mu \cdot (\lambda - \mu')} \right| = \log \left| \frac{\langle v_1, u \rangle \cdot \langle v_2, u + \mu' v \rangle}{\langle v_2, u \rangle \cdot \langle v_1, u + \mu' v \rangle} \right| = \log |[\xi_1 : \alpha(0) : \alpha(t) : \xi_2]|.$$

Then $d_{hyp}(0,t) \le \sup_{\xi_1,\xi_2 \in K(\Omega)} \log |[\xi_1 : \alpha(0) : \alpha(t) : \xi_2]|.$

For the converse inequality, let $\xi_1, \xi_2 \in K(\Omega)$ and let $a', b' \in \Delta$ such that $\{a'\} = \Delta \cap C(\xi_1)$ and $\{b'\} = \Delta \cap C(\xi_2)$. Let $\beta : I \to \mathbf{Ein}^{p,q}$ be the lightlike geodesic with

endpoints a' and b', whose image contains the image of α . Then there exist $s, t' \in I$ such that $\beta(t') = \alpha(t)$ and $\beta(s) = \alpha(0)$. The same argument

$$d_{hyp}(s,t') = \log |[\xi_1 : \beta(s) : \beta(t') : \xi_2]| = \log |[\xi_1 : \alpha(0) : \alpha(t) : \xi_2]|$$

But $a', b' \notin \Omega$, so $d_{hyp}(s, t') \leq d_{hyp}(0, t)$. Hence

$$d_{hyp}(0,t) \ge \log |[\xi_1 : \alpha(0) : \alpha(t) : \xi_2]|.$$

This is true for all $\xi_1, \xi_2 \in K(\Omega)$, so $d_{hyp}(0,t) = \sup_{\xi_1, \xi_2 \in K(\Omega)} \log |[\xi_1 : \alpha(0) : \alpha(t) : \xi_2]|$. Similarly, for all $s, t \in I$, one has

(4.1)
$$d_{hyp}(s,t) = \sup_{\xi_1, \xi_2 \in K(\Omega)} \log |[\xi_1 : \alpha(s) : \alpha(t) : \xi_2]|.$$

Now fix $s, t \in I$ and $x = \alpha(s)$, $y = \alpha(t)$. Let $((x_0, \dots, x_N), (\alpha_i)_{1 \le i \le N-1}) \in \mathcal{C}_{x,y}$. Then by (4.1) one has

$$\sum_{i} d_{hyp}(s_{i}, t_{i}) \geq \sum_{i} \sup_{\xi_{1}, \xi_{2} \in K(\Omega)} \log |[\xi_{1} : x_{i} : x_{i+1} : \xi_{2}]|$$

$$\geq \sup_{\xi_{1}, \xi_{2} \in K(\Omega)} \sum_{i} \log |[\xi_{1} : x_{i} : x_{i+1} : \xi_{2}]|$$

$$= \sup_{\xi_{1}, \xi_{2} \in K(\Omega)} \log |[\xi_{1} : x : y : \xi_{2}]| = d_{hyp}(s, t).$$

This is true for all $((x_0, \dots, x_N), (\alpha_i)_{1 \leq i \leq N-1}) \in \mathcal{C}_{x,y}$, so $\delta^{\Omega}(x,y) \geq d_{hyp}(s,t)$. The converse inequality is straightforward.

In particular, the length of a chain $\gamma = ((x_0, \dots, x_N), (\alpha_i)_{1 \le i \le N-1}) \in \mathcal{C}_{x,u}$ is

$$L(\gamma) = \sum_{i} \delta^{\Omega}(x_i, x_{i+1}).$$

Then one has $\delta^{\Omega}(x,y) = \inf_{\gamma \in \mathcal{C}_{x,y}} L(\gamma)$. Now let $\mathcal{P}_{x,y}$ the set of all rectifiable curves joining x and y in Ω . By definition of the length of a curve,

$$\inf_{\gamma \in \mathcal{C}_{x,y}} L(\gamma) = \delta^{\Omega}(x,y) \le \inf_{\gamma \in \mathcal{P}_{x,y}} L(\gamma).$$

Since chains are rectifiable (for the above identification with continuous paths), this inequality is an equality. Hence δ^{Ω} is a length metric.

Corollary 4.9. Let Ω be a Markowitz hyperbolic quasi-homogeneous domain of $\mathbf{Ein}^{p,q}$. Then δ^{Ω} is a proper distance function.

Proof. By Propositions 4.1 and 4.5 and Lemma 4.8, the space $(\Omega, \delta^{\Omega})$ is a locally compact length metric space and since $\operatorname{Conf}(\Omega) \subset \operatorname{Isom}(\Omega, \delta^{\Omega})$ there exists some compact subset $\mathcal{K} \subset \Omega$ such that $\Omega = \operatorname{Isom}(\Omega, \delta^{\Omega}) \cdot \mathcal{K}$. This implies that $(\Omega, \delta^{\Omega})$ is complete (see [Zim18a, Lem. 9.2]). Then by Hopf–Rinow Theorem, the distance function δ^{Ω} is proper (see [BH13, Prop. 3.7]).

Note that Hopf–Rinow Theorem also implies that the distance function δ^{Ω} is geodesic, although we will not use this fact.

Example 4.10. One can construct proper causally convex domains of $\mathbf{R}^{1,n-1}$ whose Markowitz distance function is incomplete. Let $D \subset \mathbf{R}^{1,n-1}$ be a diamond with past endpoint x and let $y \in D$. The domain $\Omega = D \setminus J^+(y)$ is a causally convex domain contained in D (see Figure 2). Let $U = \mathbf{D}(x,y)$ be the shaded diamond in Figure 2. We claim that δ^{Ω} and δ^{D} coincide on $U \times U$. We already have the inequality $\delta^{D} \leq \delta^{\Omega}$ on $U \times U$. Let $a, b \in U$ and let $c \in U$ such that [a, c] and [b, c] are lightlike segments (exist by Fact 3.6). Let Δ (resp. Δ') be the photon containing a and c (resp. b and c) and let $I = \Delta \cap D$ (resp. $I = \Delta' \cap D$). The union of I and I' is a chain C of D from

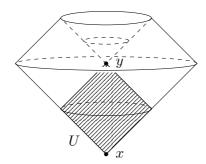


FIGURE 2. A proper causally convex domain with incomplete Markowitz distance function.

a to b such that $L(C) = \delta^D(a, b)$. Since both I and I' are contained in Ω , the chain C is also a chain of Ω from a to b. In particular $\delta^{\Omega}(a, b) \leq L(C) = \delta^D(a, b)$. This proves the converse inequality.

5. Dynamics at points of the boundary

When the conformal group of a proper domain of the Einstein universe is cocompact, it gives information on the structure of the domain and its boundary. In this section, following the strategy of [LZ19], we use the Markowitz distance function to investigate the structure of the boundary of a proper quasi-homogeneous domain.

5.1. **Photon-extremal points.** Let $\Omega \subset \mathbf{Ein}^{p,q}$ be a proper domain. Let $a \in \partial\Omega$. We say that a is photon-extremal if for any photon Δ through a, the point a is not contained in $\mathrm{int}_{\Delta}(\Delta \cap \overline{\Omega})$, where $\mathrm{int}_{\Delta}(S)$ denotes the interior of a subset S with respect to the induced topology on Δ . We will denote by $\mathrm{Extr}(\Omega)$ the set of photon-extremal points of Ω . Our goal is to prove the following.

Proposition 5.1. Let Ω be a proper quasi-homogeneous domain. If $a \in \partial \Omega$ is photon-extremal, then C(a) is disjoint from Ω .

The idea of the proof is to relate the geometric property of photon-extremal points with the Markowitz distance. This is done explicitly with the following lemma.

Lemma 5.2. Let Ω be a proper quasi-homogeneous domain and let $a \in \partial \Omega$ be photon-extremal. Then for all $(x_k), (y_k) \in \Omega^{\mathbf{N}}$ such that $x_k \to a$, if the sequence $(\delta^{\Omega}(x_k, y_k))$ is bounded, then $y_k \to a$ as $k \to +\infty$.

Before proving Lemma 5.2, we prove Proposition 5.1, assuming that the conclusion of Lemma 5.2 holds.

Proof of Proposition 5.1. Let $a \in \partial \Omega$ be photon-extremal. Since Ω is quasi-homogeneous, there exist a point $x \in \Omega$, a sequence $(x_k) \in \Omega^{\mathbf{N}}$ converging to x, and a sequence $(g_k) \in \operatorname{Conf}(\Omega)^{\mathbf{N}}$ such that $g_k \cdot x_k \to a$. By Lemma 5.2, we have that $\lim_k g_k \cdot B(x_k, 2) = \lim_k B(g_k \cdot x_k, 2) = \{a\}$ as $k \to +\infty$, where $B(x, r) = \{y \in \Omega \mid \delta^{\Omega}(x, y) \leq r\}$. Therefore $\lim_k g_k \cdot B(x, 1) = \{a\}$. Using Fact 2.3, there exists some $b \in \operatorname{\mathbf{Ein}}^{p,q}$ such that (g_k) is (a, b)-contracting, in the sense that

$$g_k|_{\mathbf{Ein}^{p,q} \setminus C(b)} \to a,$$

uniformly on compact subsets of $\mathbf{Ein}^{p,q} \setminus C(b)$. Since Ω is a $\mathrm{Conf}(\Omega)$ -invariant proper open subset of $\mathbf{Ein}^{p,q}$, the set $K(\Omega) := \{x \in \mathbf{Ein}^{p,q} \mid C(x) \cap \Omega = \emptyset\}$ is a $\mathrm{Conf}(\Omega)$ -invariant closed subset of $\mathbf{Ein}^{p,q}$ with nonempty interior. In particular, there exists $x \in K(\Omega) \setminus C(b)$ such that $g_k \cdot x \to a \in K(\Omega)$. This implies $C(a) \cap \Omega = \emptyset$ by definition of $K(\Omega)$.

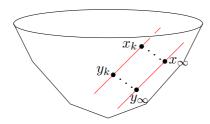


FIGURE 3

The rest of the section is devoted to the proof of Lemma 5.2. We need to introduce new functions of $\Omega \times \Omega$, related to the distance function δ^{Ω} . For $x, y \in \Omega$ and $N \in \mathbf{N}^*$, let us define

$$\delta_N^{\Omega}(x,y) := \inf_{\gamma \in \mathcal{C}_{x,y}^N} L(\gamma).$$

Note that this quantity can be infinite. For every $x,y\in\Omega$, the sequence $(\delta_N^\Omega(x,y))_{N\in\mathbf{N}^*}$ is nonincreasing, eventually finite, and one has $\delta^\Omega(x,y)=\lim_{N\to+\infty}\delta_N^\Omega(x,y)$.

Lemma 5.3. Let $K \subset \Omega$ be a compact subset. Then there exist $N \in \mathbb{N}^*$ and M > 0 such that for all $x, y \in K$, one has $\delta_N^{\Omega}(x, y) \leq M$.

Proof. Since δ^{Ω} is continuous, one can define the diameter of a compact subset \mathcal{C} of Ω as

$$\operatorname{diam}(\mathcal{C}) := \max_{x,y \in \mathcal{C}} \delta^{\Omega}(x,y).$$

Then for any diamond D such that $\overline{D} \subset \Omega$, by Fact 3.6 and the second part of Lemma 4.8 one has

(5.1)
$$\forall x, y \in D \quad \delta_2^{\Omega}(x, y) \le 2 \operatorname{diam}(\overline{D}).$$

Since δ^{Ω} generates the standard topology on Ω and since diamonds form a basis of neighborhoods of $\mathbf{Ein}^{p,q}$, for any $x \in \Omega$ there exists a diamond D_x containing x such that $\overline{D}_x \subset \Omega$ and $\operatorname{diam}(\overline{D}_x) \leq 1$. We fix such a diamond D_x for all $x \in \mathcal{K}$. Then there exists a finite subset $F \subset \mathcal{K}$ such that $\mathcal{K} \subset \bigcup_{x \in F} D_x$.

For any $x, y \in F$, there exists a minimal integer $N(x, y) \in \mathbb{N}_{>0}$ such that

$$M(x,y) := \delta_{N(x,y)}^{\Omega}(x,y) < +\infty.$$

Then for all $(z, z') \in D_x \times D_y$, by Fact 3.6, we know that $N(z, z') \leq N(x, y) + 4$, and by (5.1), we have

$$\delta_{N(z,z')}^{\Omega} \leq \delta_{N(x,y)+4}^{\Omega}(z,z') \leq M(x,y) + 2\operatorname{diam}(\overline{D_x}) + 2\operatorname{diam}(\overline{D_y}) \leq M(x,y) + 4.$$

Let $N := \max_{x,y \in F} N(x,y) + 4$ and $M := \max_{x,y \in F} M(x,y) + 4$. Then for all $z, z' \in \mathcal{K}$, one has $\delta_N^{\Omega}(z,z') \leq M$.

Lemma 5.4. Let $x_{\infty} \in \partial \Omega$ be a extremal point and let $N \in \mathbb{N}^*$. For every sequence $(x_k) \in \Omega^{\mathbb{N}}$ converging to x_{∞} and for every sequence $(y_k) \in \Omega^{\mathbb{N}}$ such that $\delta_N^{\Omega}(x_k, y_k)$ is bounded by a constant M > 0, we have $y_k \to x_{\infty}$ as $k \to +\infty$.

Proof. For any $k \in \mathbb{N}$, let $\gamma_k = ((x_0^k, \dots, x_N^k), (\alpha_i^k)_i) \in \mathcal{C}_{x_k, y_k}^N$ be such that

$$\sum_{i=0}^{N-1} \delta_1^{\Omega}(x_i^k, x_{i+1}^k) = L(\gamma_k) \le \delta_N^{\Omega}(x_k, y_k) + 1 \le M + 1.$$

Then in particular, for all $i \in \{0, ..., N-1\}$ one has $\delta^{\Omega}(x_k^i, x_k^{i+1}) \leq M+1$. Hence one can assume that N=1 and deduce the result by induction. Let us then assume that

N=1. For all $k \in \mathbb{N}$, there exists a maximal lightlike geodesic $\alpha_k : I \to \Omega$ and $s_k, t_k \in I$ with $s_k < t_k$, such that $\alpha_k(s_k) = x_k$ and $\alpha_k(t_k) = y_k$. Then by Proposition 4.8, one has $\delta^{\Omega}(x_k, y_k) = \delta^{\Omega}_1(x_k, y_k) = d_{hyp}(s_k, t_k)$, so the sequence $(d_{hyp}(s_k, t_k))$ is bounded.

The image of α_k is contained in a unique photon Δ_k . Let a_k, b_k be the endpoints of $\Delta_k \cap \overline{\Omega}$, such that a_k, x_k, y_k, b_k are aligned in this order. Up to extracting, we may assume that $y_k \to y_\infty \in \overline{\Omega}$, $a_k \to a_\infty \in \partial \Omega$ and $b_k \to b_\infty \in \partial \Omega$ as $k \to +\infty$. For all $k \in \mathbb{N}$, the points a_k, x_k, y_k, b_k lie on the same photon Δ_k , so $a_\infty, x_\infty, y_\infty, b_\infty$ lie on the same photon Δ , in this order. By extremality of x_∞ , we must have $x_\infty = a_\infty$. This implies that $t_k \to -1$. But then, since the sequence $(d_{hyp}(s_k, t_k))$ is bounded, we must also have $s_k \to -1$. Hence $y_\infty = x_\infty$. See also Figure 3.

Proof of Lemma 5.2. Let $\mathcal{K} \subset \Omega$ be a compact subset such that $\Omega = \operatorname{Conf}(\Omega) \cdot \mathcal{K}$. Let $(x_k) \in \Omega^{\mathbf{N}}$ be a sequence converging to $a \in \partial \Omega$ and let $(y_k) \in \Omega^{\mathbf{N}}$ such that there exists some M > 0 with $\delta^{\Omega}(x_k, y_k) \leq M$ for all $k \in \mathbf{N}$. There exists $(g_k) \in \operatorname{Conf}(\Omega)^{\mathbf{N}}$ such that $g_k^{-1} \cdot x_k \in \mathcal{K}$ for all $k \in \mathbf{N}$. Let $\mathcal{K}' := \{x \in \Omega \mid \delta^{\Omega}(x, \mathcal{K}) \leq M\}$. This is a compact subset of Ω by Corollary 4.9. Then by Lemma 5.3, there exists some $N \in \mathbf{N}$ and some M' > 0 such that $\delta^{\Omega}_N(x, y) \leq M'$ for all $x, y \in \mathcal{K}'$. For all $k \in \mathbf{N}$, one has $g_k^{-1} \cdot x_k, g_k^{-1} \cdot y_k \in \mathcal{K}'$. Hence $\delta^{\Omega}_N(x_k, y_k) = \delta^{\Omega}_N(g_k^{-1} \cdot x_k, g_k^{-1} \cdot y_k) \leq M'$. Then by Lemma 5.4, the sequence (y_k) converges to a.

6. Lorentzian features

This section is devoted to the Einstein universe of Lorentzian signature, meaning that p = 1. We write n = q + 1, so that conformal manifolds have signature (1, n - 1). In Lorentzian signature, the Einstein universe is endowed with an additional structure: the causal structure. In other words, the Einstein universe $\mathbf{Ein}^{1,n-1}$ is a time-orientable spacetime (see Section 2.1.2). This allows us to use the notion of causal convexity. In Sections 6.1 and 6.2, we introduce this notion and compare it to the notion of dual convexity from Section 3.2. The causal structure provides a direct proof of Theorem 1.1 in Lorentzian signature: see Section 6.3. For a general proof in arbitrary signature, see Section 7.

6.1. Causal convexity. In this section we recall the notion of *causal convexity*, specific to conformal Lorentzian manifolds, and compare it to the notion of dual convexity introduced in Section 3.2.

Let (M, [g]) be a conformal spacetime (see Section 2.1.2). Recall that a domain Ω of M is said to be causally convex if every causal curve of M joining two points of Ω lies entirely in Ω (cf [MS08, pp 8]). A domain $\Omega \subset M$ is causally convex if and only if for every pair $a, b \in \Omega$, the open diamond $I(a, b) = I^-(a) \cap I^+(b)$ lies entirely in Ω . This is also equivalent to saying that for every pair $a, b \in \Omega$, the closed diamond $J(a,b) = J^-(a) \cap J^+(b)$ lies entirely in Ω . Since $\mathbf{Ein}^{1,n-1}$ is totally-vicious, that is, there exists a closed timelike curve through any given point of $\mathbf{Ein}^{1,n-1}$, causally convex domains of $\mathbf{Ein}^{1,n-1}$ are all trivial (see [MS08, pp 19]). However, the notion of causal convexity in $\mathbf{R}^{1,n-1}$ is nontrivial (because the Minkowski space is globally hyperbolic, see Section 6.2 for a definition). All our domains will be contained in affine charts, so we make the following definition.

Definition 6.1. A domain $\Omega \subset \mathbf{Ein}^{1,n-1}$ is *causally convex* if Ω is contained in an affine chart as a causally convex domain of $\mathbf{R}^{1,n-1}$.

For a domain Ω of $\mathbf{Ein}^{1,n-1}$, the property of being causally convex does not depend on the choice of affine chart containing Ω . Note that this definition of causal convexity is not the standard notion of causal convexity in the Einstein universe.

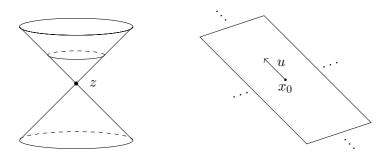


FIGURE 4. We draw the trace in $\mathbf{R}^{1,2}$ of the lightcone of a point $z \in \mathbf{Ein}^{1,2} = \mathbf{R}^{1,2} \cup C(\infty)$. On the left, the point z belongs to $\mathbf{R}^{1,2}$ and the cone C(z) is the set of lightlike vector from z. On the right, the point z belongs to $C(\infty) \setminus \{\infty\}$ and C(z) appears as a degenerate affine plane $P = x_0 + u^{\perp}$ in $\mathbf{R}^{1,2}$, for some lightlike vector $u \in \mathbf{R}^{1,2}$.

Proposition 6.2. A dually convex domain (that is not $Ein^{1,n-1}$) is causally convex.

Proof. Let $\Omega \subsetneq \mathbf{Ein}^{1,n-1}$ be a dually convex domain. Since Ω is disjoint from at least one lightcone, we can identify Ω with a subset of $\mathbf{R}^{1,n-1}$. Let $x,y\in\Omega$ and let γ be a causal curve of $\mathbf{R}^{1,n-1}$ from x to y. Assume by contradiction that γ is not contained in Ω , so that γ intersects $\partial\Omega$ at a point $a\in\partial\Omega$. Since Ω is dually convex, there is a point $z\in\mathbf{Ein}^{1,n-1}$ such that $a\in C(z)$ and $C(z)\cap\Omega=\emptyset$. Since $\Omega\cap C(z)$ is empty, the domain Ω lies in one of the connected components of $\mathbf{R}^{1,n-1}\smallsetminus C(z)$. Write U for this component (the number of connected component of $\mathbf{R}^{1,n-1}\smallsetminus C(z)$ is either 2 or 3 depending on whether $z\in\partial\mathbf{R}^{1,n-1}$ or $z\in\mathbf{R}^{1,n-1}$, see Figure 4). Because U is itself causally convex, the curve γ is contained in U, contradicting $a\in C(z)$.

6.2. Globally hyperbolic spacetimes. In this section, we give a complete characterization of proper dually convex domains of the Lorentzian Einstein universe. This section is not used in the rest of the paper.

Recall that a conformal spacetime (M,[g]) is called *globally hyperbolic* if it admits a Cauchy hypersurface Σ , that is Σ is an acausal hypersurface such that any inextensible causal curve contained in M meets Σ exactly once (see [MS08, Def. 3.74]). Given two globally hyperbolic spacetimes M and N, a Cauchy embedding of M into N is a one-to-one conformal map $f: M \to N$ that sends a Cauchy hypersurface of M to a Cauchy hypersurface of N. We say that a globally hyperbolic spacetime M is maximal is any Cauchy embedding of M is onto (see [Sal13, Sect. 3]).

Concrete examples of globally hyperbolic spacetimes are causally convex domains of $\mathbf{R}^{1,n-1}$ (and more generally of $\widetilde{\mathbf{Ein}}^{1,n-1}$). These domains have been studied in [Bar05] and [Sma]. For simplicity we will only describe bounded causally convex domains of $\mathbf{R}^{1,n-1}$, or equivalently proper causally convex domains of $\mathbf{Ein}^{1,n-1}$. Let $\Omega \subset \mathbf{R}^{1,n-1}$ be a bounded causally convex domain. We fix a spacelike hyperplane H in $\mathbf{R}^{1,n-1}$ and we define V to be the image of the orthogonal projection of Ω on H. If $x \in V$, the normal line to H through x intersects Ω in a bounded segment $(f_{-}(x), f_{+}(x))$. This defines two 1-Lipschitz functions $f_{-}, f_{+}: V \to H^{\perp}$ which coincide on ∂V such that

$$\Omega = \{ (x, t) \in V \times H^{\perp} \mid f_{-}(x) < t < f_{+}(x) \}.$$

Conversely, any domain defined in this way, where $f_-, f_+: V \to H^{\perp}$ are 1-Lipschitz functions defined on a bounded domain $V \subset H$ that coincide on ∂V , is causally convex. All these domains are seen to be globally hyperbolic. A Cauchy hypersurface of Ω is

exactly the graph of a 1-Lipschitz function $h: V \to H^{\perp}$ such that $f_{-} < h < f_{+}$. Moreover we know precisely when these domains are maximal as globally hyperbolic spacetimes: with the notation above, the domain Ω is maximal exactly when f_{+} and f_{-} are eikonal (see [Sma, Prop. 15]). We make the link between the "abstract" notion of dual convexity and the more concrete and understood notion of causally convex maximal domains of the Minkowski space.

Proposition 6.3. Let Ω be a proper causally convex domain of $\mathbf{Ein}^{1,n-1}$. Then Ω is dually convex if and only if Ω is globally hyperbolic maximal.

Proof. We fix a suitable stereographic projection and identify Ω with a bounded subset of $\mathbf{R}^{1,n-1}$. We write $\mathbf{R}^{1,n-1} = H \oplus H^{\perp}$ for some spacelike hyperplane $H \subset \mathbf{R}^{1,n-1}$ and $\Omega = \{(x,t) \in V \times H^{\perp} \mid f_{-}(x) < t < f_{+}(x)\}$ where $V \subset H$ is a bounded domain and $f_{-}, f_{+} : V \to H^{\perp}$ are two 1-Lipschitz functions that coincide on ∂V . We denote by $f : \partial V \to H^{\perp}$ the common value of f_{+} and f_{-} on ∂V and $\pi : \mathbf{R}^{1,n-1} \to H$ the projection map.

If Ω is globally hyperbolic maximal, then (see [Sma, Sect. 7.1]) Ω is a connected component of the complementary $\mathbf{R}^{1,n-1} \setminus C(\Lambda)$, where Λ is the graph of f and $C(\Lambda) = \bigcup_{x \in \Lambda} C(x)$. Therefore Ω is dually convex.

Assume now that Ω is dually convex. Let $\Omega' = \mathbf{R}^{1,n-1} \setminus C(\Lambda)$ where Λ is the graph of f. Since Ω is causally convex, one has $\Omega \subset \Omega'$. We want to show that $\partial \Omega \subset C(\Lambda)$. Let $y \in \partial \Omega$ and let $z \in \mathbf{Ein}^{1,n-1}$ such that C(z) is a supporting lightcone at y. If $y \in \Lambda$ then in particular $y \in C(\Lambda)$. Assume $y \notin \Lambda$, so that $y = (x, f_+(x))$ for some $x \in V$ (the case $y = (x, f_-(x))$ is similar). If $y \neq z$, let Δ be the unique photon through y and z (if y = z, take Δ to be any photon through y). The intersection $\Delta \cap \overline{\Omega}$ is a lightlike segment containing y, we write it [a, b] with $a \in I^+(b)$. The projection $\pi([y, b]) = [x, \pi(b)]$ is a segment contained in \overline{V} . Assume by contradiction that this segment is contained in V. Let $v \in H$ be a unit vector collinear to $\pi(b) - x$ and $v' \in H^{\perp}$ be a unit future directed vector. For ε sufficiently small one has $f_-(b + \varepsilon v) < f_+(\pi(b)) - \varepsilon v' \le f_+(b + \varepsilon v)$ so $[x, b + \varepsilon(v + v')/\sqrt{2}] \subset \overline{\Omega}$, a contradiction with the definition of [a, b].

Therefore $\pi([y,b])$ intersects ∂V . We can always shorten [y,b] and assume that $\pi([y,b))$ is contained in V and $\pi(b) \in \partial V$. Therefore $y \in C(b) \subset C(\Lambda)$. This implies that Ω is a connected component of $\mathbf{R}^{1,n-1} \setminus C(\Lambda)$, so Ω is globally hyperbolic maximal (see [Sma, Sect. 7.1]).

6.3. A short proof of Theorem 1.1 in Lorentzian signature. We consider the case p=1 and n:=q+1. The key point here is the causal structure of $\mathbf{R}^{1,n-1}$. Also, we combine Theorem 3.1 and Proposition 6.2 to conclude that proper quasi-homogeneous domains of $\mathbf{Ein}^{1,n-1}$ are causally convex.

Lemma 6.4. Let $\Omega \subset \mathbf{R}^{1,n-1}$ be a bounded domain. Then for all $x \in \Omega$, the past $I^-(x)$ and the future $I^+(x)$ both contain at least one photon-extremal point of Ω .

Proof. Let $x \in \Omega$ and write \mathbf{H}_{λ} for the past hyperbolic sheet:

$$\mathbf{H}_{\lambda} = \left\{ z \in \mathbf{R}^{1,n-1} \, | \, \mathbf{b}(z-x,z-x) = -\lambda^2 \right\} \cap I^-(x).$$

Since Ω is proper, the sheet \mathbf{H}_{λ} is disjoint from Ω for sufficiently large λ . Let $\lambda_0 > 0$ be the smallest λ with this property. Then \mathbf{H}_{λ_0} must intersect $\overline{\Omega}$. Let $a_0 \in \partial \Omega \cap \mathbf{H}_{\lambda_0}$. The causal past $J^-(a_0)$ is contained in the past of \mathbf{H}_{λ_0} , so it intersects $\overline{\Omega}$ only at $\{a_0\}$. In particular a_0 is an extremal point in Ω . An analogous proof shows that there exists an extremal point $b_0 \in I^+(x) \cap \partial \Omega$.

Let Ω be a proper quasi-homogeneous domain of $\mathbf{Ein}^{1,n-1}$, and let us fix once and for all some affine chart $\mathbf{R}^{1,n-1}$ containing $\overline{\Omega}$. Let $x \in \Omega$ and let a_0 (resp. b_0) be an

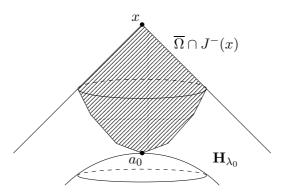


Figure 5. Existence of extremal points in the past of x.

extremal point of Ω in the past of x (resp. in the future), both provided by Lemma 6.4. Then one has $b_0 \in I^+(a_0)$ and one can consider the diamond $\mathbf{D}(a_0, b_0) \subset \mathbf{R}^{1,n-1}$, which contains x. By Proposition 5.1, one has $C(a_0) \cap \Omega = C(b_0) \cap \Omega = \emptyset$. Since $x \in \mathbf{D}(a_0, b_0)$, the domain Ω is contained in $\mathbf{D}(a_0, b_0)$ by connectedness. Conversely, since Ω is causally convex by Theorem 3.1 and Proposition 6.2, one has $\mathbf{D}(a_0, b_0) \subset \Omega$. Hence $\Omega = \mathbf{D}(a_0, b_0)$.

7. Proof of the main theorem in higher signature

In this section, we give a proof of Theorem 1.1 in any signature. For this we use the projective model of the Einstein universe.

7.1. A projective lemma. In this section we prove Lemma 7.1. It is a necessary step to make the link between the pseudo-Riemannian geometry of $\mathbf{Ein}^{p,q}$ and convex geometry inside $\mathbf{P}(\mathbf{R}^{p+1,q+1})$.

First, let us fix some notations. Let $\Omega \subset \mathbf{Ein}^{p,q}$ be a proper domain: there exists $\xi_0 \in \mathbf{Ein}^{p,q}$ such that $C(\xi_0) \cap \overline{\Omega} = \emptyset$. Then $\overline{\Omega} \cap \xi_0^{\perp} = \emptyset$, which means that Ω is proper in $\mathbf{P}(\mathbf{R}^{p+1,q+1})$. Since it is connected, one can lift it to a proper cone $\widetilde{\Omega} \subset \mathbf{R}^{p+1,q+1}$. Then we define $\widetilde{\mathcal{O}}_{\Omega} := \mathrm{Conv}(\widetilde{\Omega})$ its convex hull in \mathbf{R}^{p+q+2} , which is a proper convex cone of \mathbf{R}^{p+q+2} , a priori not necessarily open. We define $\mathcal{O}_{\Omega} := \mathbf{P}(\widetilde{\mathcal{O}}_{\Omega})$. It is a properly convex subset of $\mathbf{P}(\mathbf{R}^{p+1,q+1})$, and it does not depend on the choice of affine chart containing $\overline{\Omega}$. In particular, \mathcal{O}_{Ω} is $\mathrm{Conf}(\Omega)$ -invariant. More precisely, for all $g \in \mathrm{Conf}(\Omega)$, there exists a unique lift $\widetilde{g} \in \mathrm{O}(p+1,q+1)$ that preserves $\widetilde{\Omega}$ and hence $\widetilde{\mathcal{O}}_{\Omega}$. Each time we will write \widetilde{g} for $g \in \mathrm{Conf}(\Omega)$ or $\widetilde{\mathcal{O}}_{\Omega}$ it will be by means of a chosen lift $\widetilde{\Omega}$ of Ω .

Lemma 7.1. Let $\Omega \subset \mathbf{Ein}^{p,q}$ be a proper domain. If $p \geq 1$, then \mathcal{O}_{Ω} is a proper domain of $\mathbf{P}(\mathbf{R}^{p+1,q+1})$.

Remark 7.2. If p = 0, then the convex hull of Ω need not be open.

Proof. We already know that \mathcal{O}_{Ω} is proper. To prove that \mathcal{O}_{Ω} is open, we show that it is a neighborhood of Ω in $\mathbf{P}(\mathbf{R}^{p+1,q+1})$: this implies that there is an open set $\Omega \subset U \subset \mathcal{O}_{\Omega}$, so in particular $\mathcal{O}_{\Omega} = \operatorname{Conv}(U)$ is open in $\mathbf{P}(\mathbf{R}^{p+1,q+1})$. Given $z \in \Omega$, we want to find a neighborhood of z contained in \mathcal{O}_{Ω} . We can always make Ω smaller and assume that $\Omega = \mathbf{D}^{p,q}$. Since diamonds are homogeneous, we can always make a specific choice for z. We decompose $\mathbf{R}^{p+1,q+1} = \mathbf{R}^{p,1} \oplus \mathbf{R}^{1,q}$ such that

$$\Omega = \left\{ \mathbf{P}(v+w) \mid v \in \mathbf{R}^{p,1}, \quad \mathbf{b}(v,v) = +1, \quad v_{p+1} > 0 \\ w \in \mathbf{R}^{1,q}, \quad \mathbf{b}(w,w) = -1, \quad w_{q+1} > 0 \right\}.$$

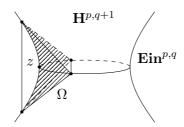


FIGURE 6. The convex hull of Ω contains z in its interior.

Here v_{p+1} (resp. w_{q+1}) stands for the coordinates of v (resp. w) in the decomposition $\mathbf{R}^{p,1} = \langle e_1, \dots, e_{p+1} \rangle$ (resp. $\mathbf{R}^{1,q} = \langle f_1, \dots, f_{q+1} \rangle$) for which the bilinear form **b** is written $\mathbf{b}(v,v) = -(v_1)^2 - \dots - (v_p)^2 + (v_{p+1})^2$ (resp. $\mathbf{b}(w,w) = (w_1)^2 + \dots + (w_p)^2 - (w_{q+1})^2$). We let $u = e_{p+1} + f_{q+1} \in \Omega$ and

$$\begin{cases} a_i^{\pm} = f_{q+1} + \sqrt{2}e_{p+1} \pm e_i \in \widetilde{\Omega}, & (i = 1, \dots, p), \\ b_j^{\pm} = e_{p+1} + \sqrt{2}f_{q+1} \pm f_j \in \widetilde{\Omega}, & (j = 1, \dots, q). \end{cases}$$

 $\begin{cases} a_i^{\pm} = f_{q+1} + \sqrt{2}e_{p+1} \pm e_i \in \widetilde{\Omega}, & (i = 1, \dots, p), \\ b_j^{\pm} = e_{p+1} + \sqrt{2}f_{q+1} \pm f_j \in \widetilde{\Omega}, & (j = 1, \dots, q). \end{cases}$ One can check that $S = \{a_i^{\pm}, b_j^{\pm}\}_{i,j}$ generates $\mathbf{R}^{p+1,q+1}$ and that $u = \frac{1}{(1+\sqrt{2})2p} \sum_i a_i^{\pm} + \frac{1}{(1+\sqrt{2})2p} \sum_i a$ $\frac{1}{(1+\sqrt{2})2q}\sum_{j}b_{j}^{\pm}$. Since u is a nontrivial barycenter of \mathcal{S} , it belongs to the interior of $\operatorname{Conv}(\mathcal{S})$. Hence the point $z := \mathbf{P}(u)$ is in the interior of $\mathbf{P}(\mathcal{S}) \subset \mathcal{O}_{\Omega}$.

7.2. Spacelike and timelike-extremal points. Photon-extremal points of a proper domain of $\mathbf{Ein}^{p,q}$ have been defined in Section 5.1. We will see in the present section that quasi-homogeneity makes it possible to separate the set of photon-extremal points into two $Conf(\Omega)$ -invariant families: namely, the one spacelike-extremal points and timelike-extremal points.

We first check that $\operatorname{Extr}(\Omega)$ is generic, in the sense that lines in \mathbf{R}^{p+q+2} corresponding to photon-extremal points of a proper domain Ω of $\mathbf{Ein}^{p,q}$ generate \mathbf{R}^{p+q+2} as a vector space:

Lemma 7.3. The extremal points (in the projective sense) of \mathcal{O}_{Ω} are all photonextremal points of Ω . In particular, there exist $x_1,...,x_{p+q+2} \in \operatorname{Extr}(\Omega)$ such that $\mathbf{P}(\mathbf{R}^{p+1,q+1}) = x_1 \oplus \dots \oplus x_{p+q+2}.$

Proof. Let $a \in \partial \mathcal{O}_{\Omega}$ be an extremal point. By definition of the convex hull, the point a must lie in $\overline{\Omega}$. If it is in Ω , then for any photon Δ passing through a, the point a lies in the interior of a connected component of $\overline{\Omega} \cap \Delta$, which is an open interval of projective line seen in $\mathbf{P}(\mathbf{R}^{p+1,q+1})$, contained in $\overline{\mathcal{O}_{\Omega}}$. This is impossible since a is an extremal point of \mathcal{O}_{Ω} . This proves that $a \in \partial \Omega$. Similarly, if Δ is a photon, the point a cannot lie on a nontrivial connected component of $\operatorname{int}_{\Delta}(\overline{\Omega} \cap \Delta)$, otherwise it would lie in the nontrivial interval of $\operatorname{int}_{\Delta}(\overline{\mathcal{O}_{\Omega}} \cap \Delta)$. Hence $a \in \partial \Omega$ is photon-extremal.

From now on, we assume that Ω is a proper quasi-homogeneous domain of $\mathbf{Ein}^{p,q}$. We fix an affine chart $\mathbf{R}^{p,q}$ containing Ω . For any point $x \in \mathbf{R}^{p,q}$, we write

$$I(x) = \{ y \in \mathbf{R}^{p,q} \mid y - x \text{ is timelike vector} \},$$

and $J(x) = \overline{I(x)} \subset \mathbf{R}^{p,q}$. Let $a \in \operatorname{Extr}(\Omega)$ be a photon-extremal point of Ω . By Proposition 5.1, one has $C(a) \cap \Omega = \emptyset$. Since Ω is connected, one has $\Omega \subset I(a)$, in which case we say that a is a timelike-extremal point, or $\Omega \subset \mathbf{R}^{p,q} \setminus J(a)$, in which case we say that a is a spacelike-extremal point. We denote by $E^+(\Omega)$ (resp. $E^-(\Omega)$) the set of spacelike (resp. timelike) extremal points of Ω .

Lemma 7.4. The sets $E^+(\Omega)$ and $E^-(\Omega)$ satisfy the following properties:

- (1) They are $Conf(\Omega)$ -invariant.
- (2) They are both nonempty.
- (3) If $a \in E^+(\Omega)$ and $b \in E^-(\Omega)$, then $a \in C(b)$.

Proof. Since the definition of timelike (resp. spacelike) extremal points depends of the affine chart, it is not clear that it is $Conf(\Omega)$ -invariant, as the affine chart $\mathbf{R}^{p,q}$ need not be $Conf(\Omega)$ -invariant. However, one can write

$$E^+(\Omega) = \{ a \in \operatorname{Extr}(\Omega) \, | \, \forall U \subset \operatorname{\mathbf{Ein}}^{p,q} \text{ neighbourhood of } a, \, I(a) \cap U \cap \Omega \neq \emptyset \} \,,$$

which is a chart-free expression invariant under the conformal group of Ω . Similarly the set $E^-(\Omega)$ is invariant. This proves 1.

Let us prove 2. Assume for example that $E^+(\Omega) = \emptyset$. Then for any two points $a, b \in \partial \widetilde{\mathcal{O}}_{\Omega} \setminus \{0\}$ such that $\mathbf{P}(a), \mathbf{P}(b)$ are extremal points of in \mathcal{O}_{Ω} , one has $\mathbf{b}(a, b) \leq 0$, by definition of $E^-(\Omega)$. By bilinearity of \mathbf{b} and by definition of $\widetilde{\mathcal{O}}_{\Omega}$, one has $\mathbf{b}(v, w) \leq 0$ for all $v, w \in \widetilde{\mathcal{O}}_{\Omega}$. By Lemma 7.1, this is in particular true for all $v, w \in \widetilde{\Omega}$, which means that for all $x \in \Omega$ one has $\Omega \subset \overline{I(x)}$. This is impossible by openness of Ω in $\mathbf{Ein}^{p,q}$. Hence $E^+(\Omega) \neq \emptyset$, and similarly $E^-(\Omega) \neq \emptyset$.

To prove 3, assume $a \in E^+(\Omega)$ and $b \in E^-(\Omega)$. Since $a \in \partial\Omega$ and $\Omega \subset I(b)$, we get $a \in J(b)$. Similarly $a \in \partial\Omega$ and $\Omega \subset \mathbf{R}^{p,q} \setminus J(a)$, so that $b \in \mathbf{R}^{p,q} \setminus I(a)$ or equivalently $a \in \mathbf{R}^{p,q} \setminus I(b)$. Therefore $a \in C(b)$.

7.3. End of the proof of Theorem 1.1. We can now complete the proof of Theorem 1.1 in general signature. We will make use of the following lemma, which is already proved in [DGK, Lem. 3.3] (and stated with a discreteness assumption which is not necessary). We give its proof for the reader's convenience.

Lemma 7.5. Let V be a finite-dimensional real vector space. We fix $\|.\|$ any norm on V. Let $U \subset V$ be a properly convex open cone, and let $H \leq \operatorname{SL}(V)$ be a subgroup preserving U. Let $v \in U$ and $(h_k) \in H^{\mathbf{N}}$ such that there exists $a \in \partial \mathbf{P}(U)$ satisfying $\mathbf{P}(h_k \cdot v) \to a$. Then $\|h_k \cdot v\| \to +\infty$.

Proof. We still denote by $\|\cdot\|$ the operator norm associated to $\|\cdot\|$. Let us first show that $\|h_k\| \to +\infty$. Assume by contradiction that (h_k) admits a subsequence with bounded norm. We still denote this subsequence by (h_k) . Then (h_k) converges in $\operatorname{End}(V)$ to some h. The limit h is in $\operatorname{SL}(V)$ and preserves U. Denoting by $\mathbf{P}(g)$ the projection of an element $g \in \operatorname{End}(V)$ in $\mathbf{P}(\operatorname{End}(V))$, one has $\mathbf{P}(h_k) \to \mathbf{P}(h)$ in $\operatorname{PGL}(V)$. Since $\mathbf{P}(h_k \cdot v) \to \mathbf{P}(h \cdot v)$, one has $a = \mathbf{P}(h \cdot v) \in \mathbf{P}(U)$, contradiction. Hence $\|h_k\| \to +\infty$.

Let φ be a linear form on V such that $\overline{U} \subset \{\varphi > 0\}$. We may assume that $\varphi(v) = 1$. The set $U \cap \{\varphi = 1\}$ is bounded; let \mathcal{K} be its boundary. Since \mathcal{K} is compact, there exists some $0 < \varepsilon < 1$ such that for all $w \in \mathcal{K}$, the line through v and w intersects \mathcal{K} in a $w' \neq w$ such that v = tw + (1-t)w' for some $t \geq \varepsilon$. Then for all $k \in \mathbb{N}$ one has

$$\varphi(h_k \cdot v) \ge \varepsilon \max_{\mathcal{K}} (\varphi \circ h_k).$$

Thus it is sufficient to see that the maximum of $\varphi \circ h_k$ over \mathcal{K} tends to infinity with k. Since $U \cap \{\varphi = 1\}$ is the convex hull of \mathcal{K} , it suffices to show that the maximum of $\varphi \circ h_k$ over $U \cap \{\varphi = 1\}$ tends to infinity with k. Now since U is a cone, it suffices to show that the supremum of $\varphi \circ h_k$ over $U \cap \{\varphi < 1\}$ tends to infinity with k. Since $\overline{U} \subset \{\varphi > 0\}$, there exists some $\alpha > 0$ such that $\varphi(u) > \alpha ||u||$ for all $u \in \overline{U}$. By openness, there exists some $\beta > 0$, such that for all $k \in \mathbb{N}$ there exists $u_k \in U \cap \{\varphi < 1\}$ such that $||h_k \cdot u_k|| > \frac{1}{2} ||h_k||$. Then one has:

$$\max_{U \cap \{\varphi < 1\}} (\varphi \circ h_k) \ge \varphi(h_k \cdot u_k) > \alpha ||h_k \cdot u_k|| \ge \alpha \beta ||h_k|| \to +\infty.$$

Let $V_0 := \operatorname{Span}(E^+(\Omega)) \subset \mathbf{R}^{p+1,q+1}$ and $V_1 := \operatorname{Span}(E^-(\Omega)) \subset \mathbf{R}^{p+1,q+1}$. By Lemma 7.3, one has $\mathbf{R}^{p+1,q+1} = V_0 + V_1$. Moreover, by Lemma 7.4.(3), the two spaces V_0 and V_1 are orthogonal. It follows that $V_0 \cap V_1 = \{0\}$, so $\mathbf{R}^{p+1,q+1} = V_0 \oplus V_1$. For the same reason, the spaces V_0, V_1 are nondegenerate, meaning that the restriction of \mathbf{b} to V_i for $i \in \{0,1\}$ has no kernel and is of signature (p_i, q_i) , with $p_0 + p_1 = p + 1$ and $q_0 + q_1 = q + 1$. Moreover, by Lemma 7.4, 1), the subspace V_i is $\operatorname{Conf}(\Omega)$ -invariant. Therefore we get a $\operatorname{Conf}(\Omega)$ -invariant orthogonal decomposition

$$\mathbf{R}^{p+1,q+1} = V_0 \oplus V_1.$$

Let us recall the notation of Section 3.3.2 and of the proof of Proposition 3.4; we write

$$J = \mathbf{P} \{ v_0 + v_1 \in V_0 \oplus V_1 \mid \mathbf{b}(v_i, v_i) = 0 \text{ for } i \in \{0, 1\} \}$$

and

$$U_i = \mathbf{P} \left\{ v_0 + v_1 \in V_0 \oplus V_1 \mid -\mathbf{b}(v_0, v_0) = \mathbf{b}(v_1, v_1) = (-1)^i \right\},$$

for $i \in \{0, 1\}$.

Let $\Omega_0 := \Omega \cap U_0$, $\Omega_1 := \Omega \cap U_1$, and $\Omega_J := \Omega \cap J$. These sets are $\operatorname{Conf}(\Omega)$ -invariant. One has either $\Omega_0 \neq \emptyset$ or $\Omega_1 \neq \emptyset$, because Ω_J has empty interior in $\operatorname{Ein}^{p,q}$. Let us assume for example that $\Omega_0 \neq \emptyset$. Let $a \in \partial \Omega_0$ and let $(a_k) \in \Omega_0^{\mathbf{N}}$ such that $a_k \to a$. By quasi-homogeneity of Ω , there exists a sequence $(x_k) \in \Omega^{\mathbf{N}}$ that is bounded for the distance function δ^{Ω} and there exists a sequence $(g_k) \in \operatorname{Conf}(\Omega)^{\mathbf{N}}$ such that $g_k \cdot x_k = a_k$ for all $k \in \mathbf{N}$. Since Ω_0 is $\operatorname{Conf}(\Omega)$ -invariant, one has $x_k \in \Omega_0$ for all $k \in \mathbf{N}$. Up to extracting, we can always assume that that (x_k) converges to some point $x \in \Omega \cap \overline{\Omega_0}$. Since $\delta^{\Omega}(g_k \cdot x_k, g_k \cdot x) = \delta^{\Omega}(x_k, x) \to 0$, we have $g_k \cdot x \to a$ (see Remark 4.6).

Since $x \in \Omega \subset \mathcal{O}_{\Omega}$, there exists $(v_0, v_1) \in V_0 \times V_1$ such that $v_0 + v_1 \in \widetilde{\mathcal{O}}_{\Omega}$ and $x = \mathbf{P}(v_0 + v_1)$. For all $k \in \mathbf{N}$, let \widetilde{g}_k be the unique lift of g_k defined in SubSection 7.1. Since \widetilde{g}_k preserves $\widetilde{\mathcal{O}}_{\Omega}$, by Lemma 7.5, one has $\|\widetilde{g}_k(v_0 + v_1)\| \to +\infty$ for some norm $\|.\|$ on V. On the other hand, up to extracting, there exist $w_0 \in V_0$ and $w_1 \in V_1$ such that $\|w_0 + w_1\| = 1$ and $\frac{\widetilde{g}_k(v_0 + v_1)}{\|\widetilde{g}_k(v_0 + v_1)\|} \to w_0 + w_1$. This implies $a = \mathbf{P}(w_0 + w_1)$. But

$$\mathbf{b}(w_0, w_0) = \lim_{n \to +\infty} \mathbf{b} \left(\frac{\widetilde{g}_k v_0}{\|\widetilde{g}_k (v_0 + v_1)\|}, \frac{\widetilde{g}_k v_0}{\|\widetilde{g}_k (v_0 + v_1)\|} \right) = 0,$$

and the same computation holds for w_1 , meaning that $a \in \partial U_{+1}$.

Hence one has $\Omega_0 \subset U_0$ and $\partial\Omega_0 \subset \partial U_0$. This implies that Ω_0 is closed in U_0 . Since it is also open, it is a union of connected components of U_0 . But as soon as $q_0 \geq 2$ or $p_1 \geq 2$, by Proposition 3.4, the open set U_0 has no proper connected components. Since $\Omega_0 \subset \Omega$ is proper, this implies that $(p_0, q_0) = (p, 1)$ and $(p_1, q_1) = (1, q)$. Hence again by Proposition 3.4, the set Ω_0 is a diamond.

If $\Omega_1 \neq \emptyset$, then by Proposition 3.4, it has to be the diamond dual to Ω_0 . But then $\Omega_0 \cup \Omega_1 \subset \Omega$ is not proper. Hence necessarily $\Omega_1 = \emptyset$. By openness of Ω , one thus have $\Omega_J = \emptyset$, hence $\Omega = \Omega_0$ is a diamond. This concludes the proof of Theorem 1.1.

8. Conformally flat manifolds with proper development

This section is devoted to the proof of Theorem 1.2.

Proof of Theorem 1.2. Let $\operatorname{dev}: \widetilde{M} \to \operatorname{Ein}^{p,q}$ be a developing map for M and let $\Omega = \operatorname{dev}(\widetilde{M})$. Since M is closed, there is a compact fundamental domain $\mathcal{K} \subset \widetilde{M}$ for the action of $\pi_1(M)$. Since the developing map is equivariant, the compact set

 $\operatorname{dev}(\mathcal{K}) \subset \Omega$ intersects any $\operatorname{hol}(\pi_1(M))$ -orbit, that is Ω is quasi-homogeneous. Theorem 1.1 implies that Ω is a diamond and is conformally equivalent to $-\mathbf{H}^p \times \mathbf{H}^q$. Let g_{Ω} be the conformally invariant Riemannian metric of Ω equal to $g_{\mathbf{H}^p} \oplus g_{\mathbf{H}^q}$ under this identification and let $g = \operatorname{dev}^* g_{\Omega}$. The metric g is invariant under $\pi_1(M)$, so it defines a Riemannian metric of M. This metric must be complete since M is closed, so g is also complete. The map dev is a local isometry between complete Riemannian manifolds, so it is a covering map. Since Ω is simply connected, the covering map is a diffeomorphism onto its image and M is a compact quotient of $\mathbf{H}^p \times \mathbf{H}^q$.

When $p, q \ge 1$ are distinct, it is a general fact that cocompact lattices of $PO(p, 1) \times PO(1, q)$ are virtually products (see e.g. [Mor15, Thm 5.6.2] for a proof using Margulis Arithmeticity when $p, q \ge 2$). This fact completes the proof of the theorem.

When p=q, the argument in the first part of the proof of Theorem 1.2 is valid, so any closed conformally flat (p,p)-manifold with proper development is conformally equivalent to a quotient $(-\mathbf{H}^p) \times \mathbf{H}^p/\Gamma$, where $\Gamma < \mathrm{PO}(p,1) \times \mathrm{PO}(1,p)$ is a cocompact lattice. However, one can construct irreducible cocompact lattices of $\mathrm{PO}(p,1) \times \mathrm{PO}(1,p)$ (see [Mor15, Prop. 15.25]). By Margulis arithmeticity Theorem, these lattices are arithmetic. When p=q=2, arithmetic lattices of $\mathrm{PO}(1,2) \times \mathrm{PO}(1,2)$ are fully described, see e.g. [Vig80]. An explicit example can be found in [Mor15, Example 5.5.3].

9. Exceptional isomorphisms in low dimensions

9.1. The Grassmannian $Gr_2(\mathbf{R}^4)$. In [LZ19], Limbeek–Zimmer prove that any proper divisible domain of the Grassmannian $Gr_p(\mathbf{R}^{2p})$ of p-planes of \mathbf{R}^{2p} which is convex in some affine chart is isomorphic to a model of the symmetric space of PO(p, p). The exceptional isomorphism $PGL(4, \mathbf{R})^0 \simeq PO(3, 3)^0$ allows us to strengthen this rigidity result in the case of $Gr_2(\mathbf{R}^4)$, not making any convexity assumption and only asking for quasi-homogeneity.

The group $PGL(4, \mathbf{R})$ acts naturally on the flag manifold $Gr_2(\mathbf{R}^4)$, and the stabilizer of a point is a parabolic subgroup of $PGL(4, \mathbf{R})$. An *affine chart* of $Gr_2(\mathbf{R}^4)$ is a subset of the form

$$\mathbf{A}_W = \{ V \in Gr_2(\mathbf{R}^4) \mid V \cap W = \{0\} \},$$

where $W \in \operatorname{Gr}_2(\mathbf{R}^4)$ (see e.g. [LZ19]). A domain $\Omega \subset \operatorname{Gr}_2(\mathbf{R}^4)$ is *proper* if its closure is contained in an affine chart. Its *automorphism group* is the set of all elements $g \in \operatorname{PGL}(4, \mathbf{R})$ such that $g \cdot \Omega = \Omega$. We say that two domains $\Omega_1, \Omega_2 \subset \operatorname{Gr}_2(\mathbf{R}^4)$ are isomorphic if there exists $g \in \operatorname{PGL}(4, \mathbf{R})$ such that $\Omega_2 = g \cdot \Omega_1$.

Example 9.1. The symmetric space of PO(2, 2) embeds as a proper symmetric domain of $Gr_2(\mathbf{R}^4)$. To be more precise, let \mathbf{b} be a nondegenerate symmetric bilinear form of signature (2, 2) on \mathbf{R}^4 and let $\mathbf{B}_{2,2}(\mathbf{b})$ be the subset of $Gr_2(\mathbf{R}^4)$ consisting of all the 2-planes V such that $\mathbf{b}_{|V\times V}$ is positive definite. The domain $\mathbf{B}_{2,2}(\mathbf{b})$ is proper, symmetric and divisible (see [LZ19]), and its automorphism group is equal to $PO(\mathbf{b}) \simeq PO(2,2)$, and the stabilizer of a point is a maximal compact subgroup of $PO(\mathbf{b})$. Hence $\mathbf{B}_{2,2}(\mathbf{b})$ is a model for the symmetric space $\mathbf{H}^2 \times \mathbf{H}^2$ of PO(2,2). By the Sylvester's law of inertia, all the domains $\mathbf{B}_{2,2}(\mathbf{b})$, with \mathbf{b} of signature (2, 2), are isomorphic.

Let $\tau: \mathrm{PGL}(4,\mathbf{R}) \to \mathrm{PGL}(\Lambda^2 \mathbf{R}^4)$ be the group embedding defined by the action of $\mathrm{PGL}(4,\mathbf{R})$ on the projectivization of alternate product $\mathbf{P}(\Lambda^2 \mathbf{R}^4)$. By factorization, we get the classical *Plücker embedding*

(9.1)
$$\iota: \begin{cases} \operatorname{Gr}_2(\mathbf{R}^4) & \longrightarrow \mathbf{P}(\Lambda^2 \mathbf{R}^4) \\ \operatorname{Span}(u, v) & \longmapsto \mathbf{P}(u \wedge v). \end{cases}$$

The bilinear form ω defined on $\Lambda^2 \mathbf{R}^4$ by

$$\omega(x,y) = x \wedge y \quad \forall x, y \in \Lambda^2 \mathbf{R}^4,$$

is nondegenerate, symmetric, of signature (3,3). Since $\tau(\operatorname{PGL}(4,\mathbf{R}))$ preserves this bilinear form, one has $\tau(\operatorname{PGL}(4,\mathbf{R})) \subset \operatorname{PO}(\omega) \simeq \operatorname{PO}(3,3)$, with an equality between the identity components of these two groups. Then the image of the Plücker embedding (9.1) is equal to

$$\operatorname{Ein}(\Lambda^2 \mathbf{R}^4, \omega) := \{ \mathbf{P}(x) \in \mathbf{P}(\Lambda^2 \mathbf{R}^4) \mid \omega(x, x) = 0 \} \simeq \operatorname{Ein}^{2,2}.$$

Thus there is a τ -equivariant diffeomorphism $\operatorname{Gr}_2(\mathbf{R}^4) \simeq \operatorname{Ein}^{2,2}$.

- 9.2. **Triality and** PO(4,4). Another exceptional isomorphism arising in low dimension appears for $\mathbf{Ein}^{3,3}$. The set of maximal totally isotropic subspaces of $\mathbf{R}^{4,4}$ has two connected components, denoted by \mathcal{F}_1 and \mathcal{F}_2 . They are both flag manifolds, corresponding to two extremal roots of the Dynkin diagram of PO(4,4). The root system of PO(4,4) is D_4 . It is a tripod and has automorphism the symmetric group \mathfrak{S}_3 . The extremal roots correspond to the flag manifolds $\mathbf{Ein}^{3,3}$, \mathcal{F}_1 and \mathcal{F}_2 , and are permuted by the automorphism group of D_4 . In particular, there exists an automorphism σ of order 3, sending the root corresponding to $\mathbf{Ein}^{3,3}$ to the one corresponding to \mathcal{F}_1 . The automorphism σ induces an outer automorphism φ of order 3 of PO(4,4), called triality. Then φ induces a φ -equivariant diffeomorphism $\mathbf{Ein}^{3,3} \simeq \mathcal{F}_1$. The notion of transversality, and hence of properness (defined in a general setting in [Zim18a]), is preserved by this diffeomorphism, as all the flag manifolds of PO(4,4) are symmetric (the opposition involution of D_4 is trivial). The same construction holds for \mathcal{F}_2 , considering φ^2 instead of φ .
- 9.3. **Proof of Corollary 1.4.** (1) Let $\Omega \subset \operatorname{Gr}_2(\mathbf{R}^4)$ be a proper quasi-homogeneous domain. By properness, there exists $y \in \operatorname{Gr}_2(\mathbf{R}^4)$ such that $y \cap x = \{0\}$ for all $x \in \overline{\Omega}$. But this is equivalent to saying that $\iota(x) \notin \iota(y)^{\perp_{\omega}}$ for all $x \in \overline{\Omega}$. Hence $C(\iota(y)) \cap \overline{\iota(\Omega)} = \emptyset$, so $\iota(\Omega)$ is a proper domain of $\operatorname{Ein}^{2,2}$. Moreover, by τ -equivariance of ι , the domain $\iota(\Omega)$ is $\operatorname{PO}(3,3)$ -quasi-homogeneous. Then by Theorem 1.1, it is a diamond. By the equality $\tau(\operatorname{PGL}(4,\mathbf{R})^0) = \operatorname{PO}(3,3)^0$, we thus know that all proper quasi-homogeneous domains of $\operatorname{Gr}_2(\mathbf{R}^4)$ are isomorphic. Since the domain $\mathbf{B}_{2,2}(\mathbf{b}_0)$ (for some fixed (2,2)-bilinear form \mathbf{b}_0) is part of them, they are all isomorphic to it. Point (1) then follows by Example 9.1.
- (2) This is a straightforward consequence of the φ -equivariance (resp. φ^2 -equivariance) of the diffeomorphism $\mathbf{Ein}^{3,3} \simeq \mathcal{F}_1$ (resp. $\mathbf{Ein}^{3,3} \simeq \mathcal{F}_2$) preserving the notions of transversality and properness.

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