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Lithium inelastic cross-sections and their impact on micro and nano dosimetry of boron neutron capture

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- 16
- 17 Abstract:
- Objective: To present a new set of lithium-ion cross-sections for (i) ionization and 18 19 excitation processes down to 700 eV, and (ii) charge-exchange processes down to 1 keV/u. To evaluate the impact of the use of these cross-sections on micro a nano 20 21 dosimetric quantities in the context of boron neutron capture (BNC) 22 applications/techniques.
- Approach: The Classical Trajectory Monte Carlo (CTMC) method was used to calculate 23 24 Li ion charge-exchange cross sections in the energy range of 1 keV/u to 10 MeV/u. Partial 25 Li ion charge states ionization and excitation cross-sections were calculated using a detailed charge screening factor. The cross-sections were implemented in Geant4-DNA 26 v10.07 and simulations and verified using TOPAS-nBio by calculating stopping power and 27 28 CSDA range against data from ICRU and SRIM. Further microdosimetric and nanodosimetric calculations were performed to quantify differences against other 29 simulation approaches for low energy Li ions. These calculations were: lineal energy 30 spectra (yf(y) and yd(y)), frequency mean lineal energy $\overline{y_F}$, dose mean lineal energy $\overline{y_D}$ 31 and ionization cluster size distribution analysis. Microdosimetric calculations were 32 compared against a previous MC study that neglected charge-exchange and excitation 33 processes. Nanodosimetric results were compared against pure ionization scaled cross-34 35 sections calculations.
- Main Results: Calculated stopping power differences between ICRU and Geant4-DNA 36 decreased from 33.78% to 6.9%. The CSDA range difference decreased from 621% to 37 38 34% when compared against SRIM calculations. Geant4-DNA/TOPAS calculated dose 39 mean lineal energy differed by 128% from the previous Monte Carlo. Ionization cluster size frequency distributions for Li ions differed by 76% to 344.11% for 21 keV and 2 MeV 40 respectively. With a decrease in the N1 within 9% at 10 keV and agreeing after the 100 41 42 keV. With the new set of cross-sections being able to better simulate low energy behaviors 43 of Li ions. Significance: This work shows an increase in detail gained from the use of a more 44
- 45 complete set of low energy cross-sections which include charge exchange processes.
 46 Significant differences to previous simulation results were found at the microdosimetric
- 47 and nanodosimetric scales that suggest that Li ions cause less ionizations per path length
- 48 traveled but with more energy deposits. Microdosimetry results suggest that the BNC's

contribution to cellular death may be mainly due to alpha particle production when boron based drugs are distributed in the cellular membrane and beyond and by Li when it is at

- 51 the cell cytoplasm regions.
- 52
- 53

54 1. Introduction

55 Modeling particle tracks in an interaction-by-interaction approach provides a 56 comprehensive understanding of radiation-induced damage from first principles. It gives 57 us enough details to discern between direct physical-induced and indirect chemical-58 induced DNA strand breaks that have been demonstrated in previous works (Charlton et al., 1989; D-Kondo et al., 2021; Friedland et al., 2002; Meylan et al., 2017; Ramos-59 Mendez et al., 2021; Tomita et al., 1998). One of the best tools for DNA strand break 60 modeling are Monte Carlo Track-Structure (MCTS) codes (Nikjoo et al., 2006). Examples 61 of MCTS codes capable of simulating the chemistry necessary for the indirect DNA strand 62 breaks are PARTRAC (Friedland et al., 2011), TRACELE (Cobut et al., 1998), ETRAN 63 64 (S.M. Berger, 1973), and Geant4-DNA (Bernal et al., 2015; Incerti, Baldacchino, et al., 2010; Incerti et al., 2018; Incerti, Ivanchenko, et al., 2010), among many others. MCTS 65 codes require cross-section data that characterizes the radiation transport in terms of its 66 probability of interaction, energy loss, and scattering angles to properly simulate radiation 67 transport through matter. These cross-sections are obtained by using either experimental 68 data (Dingfelder et al., 2000) or theoretical models like the relativistic plane-wave Born 69 approximation (Fano, 1963) for bare ions. 70

71

72 Geant4-DNA, an extension of the general-purpose Monte Carlo code Geant4 (Agostinelli et al., 2003), is an MCTS code with a modular design that permits the incorporation of 73 74 new cross-sections without the need to change a significant portion of the already existing code. Today, Geant4-DNA has models that allow the simulation of interaction processes 75 for photons, electrons, protons, and all charged states of alpha particles among other 76 77 particles for transport in mediums consisting of liquid water, gold (Sakata et al., 2018), nucleotides (Zein et al., 2023) and nitrogen(Pietrzak et al., 2022). The low energy limit for 78 79 the different models of Geant4-DNA is about 10 eV for electrons (depending on the 80 models), 100 eV for protons and for bare alpha particles (Bernal et al., 2015; Incerti, 81 Ivanchenko, et al., 2010). For ions heavier than alpha, only the ionization process is considered and valid for energies above about 1 MeV/amu (Francis et al., 2012). Because 82 83 charge states or charge exchange, excitation, and elastic processes are not considered for ions heavier than alpha particles, the transport of such ions at low energy (below about 84 1 MeV/amu) is prone to give unreliable results. Charge-exchange cross-sections cannot 85 86 be obtained using scaling factors and are mostly obtained via experimental procedures as in the case of alpha particles (Dingfelder et al., 2005). However, due to the limited 87 number of current applications for heavy ion low energy cross-sections (below 100 keV/u) 88 there hasn't been an interest in measuring these cross-sections. To circumvent this lack 89 of experimental data, some works have used a method known as Classical Trajectory 90 Monte Carlo (CTMC) for the calculation of charge exchange cross-sections for carbon 91 ions (Liamsuwan et al., 2011; Liamsuwan & Nikjoo, 2013b) for energies down to 1 keV/u. 92 93

94 The resurgence of Boron Neutron Capture Therapy (BNCT) (Malouff et al., 2021; 95 Nedunchezhian et al., 2016) has renewed interest in obtaining low-energy lithium ion (Li) cross-sections. BNCT works by exploiting the high capture cross-section of thermal 96 97 neutrons (0.025 – 1 eV) by ¹⁰B (3990 barns), which is significantly higher than that of hydrogen (0.33 barns) and nitrogen (1.7 barns) found in biological tissue (Jin et al., 2022; 98 Malouff et al., 2021). When a ¹⁰B atom captures a neutron, one of two fission reactions 99 100 (Table 1) can occur (Barth et al., 2005; Hopewell et al., 2011). In both reactions, an alpha 101 particle and a lithium ion are ejected in opposite directions from one another. The linear energy transfer (LET) of the fission products is 150 keV µm⁻¹ and 175 keV µm⁻¹ for the 102 103 alpha and lithium particles, respectively. BNCT is a radiotherapy modality whose first clinical use dates back to 1951 (Farr et al., 1954). However, its clinical use was 104 abandoned due to the appearance of adverse effects on patients, mostly attributed to the 105 cytotoxicity of the boron-based drugs of the time. With the development of less cytotoxic 106 107 second-generation boron-based drugs in the form of boron phenylalanine (BPA) and 108 sodium borocaptate (BSH) (Barth et al., 2005) the interest in BNCT was reignited.

109 One of the main advantages of these new-generation drugs is their selective uptake by tumor cells as compared to normal tissue cells. This, along with the short ranges of the 110 particles produced in the BNC process (5 um and 9 um for Li and alpha ions, see Table 111 1) allows to deliver doses to highly localized and targeted tumoral volumes while avoiding 112 surrounding healthy tissues, overall increasing the therapeutic window. With different 113 boron-based drugs accumulating differently at the cellular level (BPA accumulating in the 114 115 cell cytoplasm and outside the cell and BSH accumulating on the cellular membrane and 116 outside the cell), the flexibility of BNCT to target different tumor types has increased. Because of these reasons, BNCT has been recognized as an effective radiotherapy 117 modality for treating locally invasive tumors, for example, head and neck and brain 118 tumors. For instance, BNCT achieved a 5-year overall survival rate of 58% in patients 119 with grade 3 and 4 glioblastoma multiforme (Barth et al., 2005). 120

1	21	
1	. ∠ ⊥	

	Channel	⁷ Li ³⁺ Energy	⁴ He ²⁺ Energy	γ Energy	Probability
100	1	0.84 MeV	1.47 MeV	478 keV	93.7 %
·•D + II →	2	1.02 MeV	1.77 MeV	-	6.3 %
			1 1 141		1 11141

122

123 An approach that has been used to study the microdosimetry of the ions ejected by the boron neutron capture (BNC) process involves the use of condensed history Monte Carlo 124 125 codes (Horiguchi et al., 2015; Hu et al., 2020; Islam et al., 2017; Kumada et al., 2004; Mukawa et al., 2011). However, condensed history Monte Carlo must be used with caution 126 at the sub-micrometer level, with reported differences against track structure of around 127 10-20% for electrons (Kyriakou et al., 2019). Although these differences have not been 128 studied with heavier particles, they might be affected by the complexity of ionization 129 distributions characteristic of heavier particles. This means that we could expect larger 130 131 discrepancies as the atomic number of the ion increases. Although the results obtained 132 in these previously mentioned works were in good agreement with experimental data, the use of such methods that omit charge-exchange processes is not recommended for 133 guantities that rely on the spatial distribution of interactions such as explicit DNA damage 134 simulations (D-Kondo et al., 2021; Meylan et al., 2017; Ramos-Mendez et al., 2021) or 135

Table 1: Boron neutron capture channels with occurrence probabilities.

136 radiation chemistry simulations (Plante, 2011; Ramos-Mendez et al., 2021). In both 137 cases, the lack of detail in the simulations at the nanometric scale may lead to inaccuracies in these calculations. In a previous work, we conducted an analysis of the 138 139 microdosimetry of both alpha particles and Li ions of BNCT energies (Han et al., 2023). Such study achieved a good agreement with experimental results for BPA and BSH 140 141 microdosimetry experiments. However, the use of an effective charge factor to create a 142 unique charge state for Li that encompassed all types of interactions that lead to primary 143 particle energy loss (ionization, excitation, charge exchange and elastic scattering) went against the core principles of the track-structure approach. As such, those scaled cross-144 145 sections were not suitable for their incorporation into the main Geant4-DNA code.

146

147 In this work, we present a new set of cross-sections needed to simulate low-energy Li ions in MCTS codes for pure liquid water, which include ionization, excitation, and charge 148 exchange processes. The cross-sections were obtained via charge scaling procedures 149 for ionization and excitation and with the CTMC approach for charge exchange 150 151 processes. We validated our cross-sections by calculating macroscopic quantities in the form of stopping power, CSDA range, and mean ion charge. We then compared the 152 predicted biological efficiency of the ions involved in BNC using microdosimetry via lineal 153 154 energy spectra analysis and nanodosimetry via ionization cluster size distribution analysis. For the microdosimetry calculations we took into account the different cellular 155 concentrations of BPA and BSH following the same approach from a previous study (Han 156 157 et al., 2023). Both drug cellular concentrations come from experimental work (Sato et al., 158 2018), which was used as a reference. Nanodosimetry calculations were conducted by obtaining the ionization cluster frequency distributions (ICSDs) in biologically relevant 159 geometries. These ICDS were compared against similar ones using the total ionization 160 cross-sections from the previous study (Han et al., 2023) to account the differences 161 between the two methods. Simulations were conducted using Geant4-DNA/TOPAS-nBio 162 (Incerti, Baldacchino, et al., 2010; Schuemann et al., 2019) and the results were 163 164 compared against available theoretical, experimental, and simulation data. 165

166 **2. Methodology**

167 **2.1. Lithium-Ion Ionization And Excitation Cross-Sections**

168 For ions heavier than alpha particles, ionization and excitation models used for MC simulations often rely on the use of charge-exchange factors applied to proton cross-169 170 sections (Friedland et al., 2017; Schmitt et al., 2015). The scaling method is often based on Barka's effective charge factor (Barkas, 1963). The approach consists in obtaining an 171 average effective charge as a function of the particle velocity, that accounts for the 172 173 different charge states of the ions. In this work, however, we followed the procedure reported in (Dingfelder et al., 2005), in which they calculated the ionization and excitation 174 cross-sections for "dressed" or "bare" ions using: 175

176

$$\frac{d\sigma_{ion}}{dE}(v_i) = Z_{eff}^2(E) \frac{d\sigma_{proton}}{dE}(v_i)$$
(1)

177

178 Where σ_{proton} is either the ionization or excitation cross-sections for protons, v_i is the 179 particle velocity and, Z_{eff} is the effective charge of the ion:

$$Z_{eff} = Z - S(R) \tag{2}$$

182 Where Z is the atomic number of the ion and S(R) is the screening factor due to the electrons orbiting the ion observed at a distance R from the ion nucleus. In the work of 183 184 Dingfelder (Dingfelder et al., 2005), a linear combination of hydrogenic wave functions of 185 the orbiting electrons was used to describe the screening factor for alpha particles (Z =186 2). However, for higher values of Z the number of terms increases in a non-linear manner due to the possible excited electrons orbiting outer shells. In consequence, instead of 187 188 using the same approach for Li ions, we used the effective charge factors from Garvey 189 (Garvey, 1975). Thus the screening factor was calculated using:

$$S(R) = N\left(1 - \frac{1}{\left(\frac{\eta}{\zeta}\right)\left[\exp(R\zeta) - 1\right] + 1}\right)$$
(3)

191

where *N* is the number of electrons orbiting the ion, and the parameters η and ζ are obtained using the Hartee-Fock theory for Z up to 54. They can be obtained using the following relationships:

195

$$\eta = \eta_0 + \eta_1 (Z - N - 1)$$
(4)

$$\zeta = \zeta_0 + \zeta_1 (Z - N - 1)$$
(5)

196

where the factors η_0 , η_1 , ζ_0 , and ζ_1 were taken from Table1 of (Garvey, 1975). To evaluate the effective charge, the adiabatic interaction radius was used given by the expression:

$$\hat{R} = \frac{2t_e \cdot f_e \cdot Q_{eff}}{E \cdot n_{shell}} \tag{6}$$

200

where t_e is the electron energy traveling with the same speed as the ion, and Q_{eff} is the Slater's effective charge of the electron in the outermost shell of the ion (Slater, 1930). We applied these equations to calculate the ionization and excitation cross-sections for lithium ions. The f_e term was used to fit the stopping power values to those of the ICRU, for lithium it has the value of 0.05 for all charge states.

206

This model is valid for all ions and their different charged states for energies ranging from 100 eV/amu to 1000 MeV/amu and for Z up to 54, which is the maximum value in the Table 1 of Garvey et al., 1975.

210

211 **2.2. Classical Trajectory MC Charge Exchange Cross-Sections**

In this work, charge exchange cross-sections for Li were calculated using the Classical Trajectory Monte Carlo (CTMC) (Hirschfelder et al., 1936). Due to the lack of experimental data for ions heavier than helium at low energies (< 10 MeV), and the complexity of the quantum mechanics equations, CTMC is the preferred method to calculate charge-

216 exchange cross-sections for low energy heavy ions. This method has been successfully

used for carbon ions for down to 12 keV/u (Liamsuwan & Nikjoo, 2013a) as well as other
heavier ions within limited energy ranges(Olson & Salop, 1977). It works by solving a
three-body problem using Runge-Kutta (RK) methods (Fehlberg, 1970) applied to the
following Newtonian relationships:

221

$$m_i \frac{d^2 \vec{r_i}}{dt^2} = -\sum_{j \neq i} \frac{Z_i(r_{ij}) Z_j(r_{ji})}{|\vec{r_i} - \vec{r_j}|}, \quad \frac{d \vec{r_i}}{dt} = \vec{v_i}$$
(7)

222

223 where *i* and *j* are either the active electron, the target (water atom) or the projectile (Li), m_i is the mass, \vec{r} is the position, $\vec{v_i}$ is the speed and Z_i is the effective charge (equation 224 2), and r_{ij} is the distance between particle *i* and *j*. We developed a CTMC tool in C++ 225 using the boost mathematical libraries (Karlsson, 2005). The RK variant used was the 226 Runge-Kutta Fehlberg 78 method (Fehlberg, 1994) with a global error of 1×10^{-10} and 227 local error of 1×10^{-7} . The approach followed to determine the appropriated initial 228 conditions for such differential equation is detailed elsewhere (Liamsuwan et al., 2011; 229 230 Tran et al., 2016)

231

To reduce computation times, we calculated only charge exchange (charge increase and charge decrease) cross-sections for the energy ranges of 7 keV to 70 MeV (1 keV/u to 10 MeV/u) using ten logarithmically evenly spaced energy points. Charge exchange cross-sections were separated per charged state.

236

Table 2 summarizes the set of cross-sections used in this work alongside their energy ranges. Both ionization and excitation cross-sections were obtained by the procedure described in Section 2.1 while charge exchange cross-sections were obtained by the procedure described in Section 2.2. Charge-exchange cross-sections are kept constant below 7 keV until the lowest ionization energy.

242

New Model	Based on	Applicable Energy
		Ranges
G4DNALiRuddIonizationModel	G4DNARuddIonizationExtendedModel	700 eV – 7 GeV
G4DNALiMillerGreenModel	G4DNAMillerGreenExcitationModel	70 eV – 3.5 MeV
G4DNALiBornExcitation	G4DNABornExcitationModel	3.5 MeV – 700 MeV
G4DNALiChargeIncrease	-	7 keV – 70 MeV
G4DNALiChargeDecrease	-	7 keV – 70 MeV

Table 2: Li Cross-Section models used in this work. Ionization and excitation models were extended from original Geant4-DNA methods. Charge exchange models were implemented based on the results from the CTCM approach. *The name of the models is subject to change once the models are incorporated into Geant4.

243

244

245 **2.3. Validation**

246 Due to the lack of experimental cross-sections for low-energy Li in water, we conducted

an indirect validation by comparing the stopping power, range, effective charges, micro

248 and nanodosimetry quantities against ICRU data and other Monte Carlo software results

- (Han et al., 2023; Islam et al., 2017). We used a developer version of TOPAS-nBio built
- on top of Geant4 (v10.07.p03) to perform all our simulations. The TOPAS version used in

251 this work was OpenTOPAS version 4.0, available on the TOPAS collaboration GitHub 252 (https://github.com/OpenTOPAS). This version of the TOPAS code is a continuous 253 TOPAS version 3.9. То that end. development from we extended the 254 "G4DNARuddlonizationModel", "G4DNAMillerGreenExcitationModel" and "G4DNABornExcitationModel" Geant4-DNA physics models to include the scaling 255 procedure for the different charge states of Li and included two new classes for both the 256 257 lithium charge increase and charge decrease. We conducted the same tests using alpha 258 particles as both a validation of our implementation and a way to obtain all BNCT-relevant 259 particles.

260

261 **2.3.1. Stopping Power**

We compared the total stopping power for alpha particles in order to test our 262 implementation against the ICRU 49 report estimates (International Commission on 263 264 Radiation Units and Measurements, 1993). Li was compared against both the ICRU 73 report data (Bimbot et al., 2005) and the SRIM software (Ziegler & Biersack, 2010). 265 266 Simulations were conducted using the "static" particle velocity approach, which allows for the transport of primary particles (electrons and gammas not included) without energy 267 loss but allowing for changes to the charge state of the primary particle. This allows for 268 the calculation of stopping power without taking into consideration energy loss effects, 269 which may affect the stopping power value. Using the static approach avoided the use of 270 small track segments, decreasing the computation time. The geometrical setup was a 1 271 272 m³ water cube, big enough to contain 10,000 steps of the primary particle. In total, we simulated the energy ranges of 0.4 keV to 40 MeV for alphas and 0.7 keV - 70 MeV for Li 273 (0.1 keV/u to 10 MeV/u). Stopping power values that do not include the contribution of 274 275 charge exchange processes for the different charge states of alpha particles and Li were 276 also calculated as a comparison to showcase the differences due to simulation detail. 277

278 2.3.2. CSDA Range

Range was calculated using the continuous slowing down approximation (CSDA) with the
 stopping powers calculated in section 3.2. The geometrical setup of the simulation was a
 1 m³ water cube. Alpha particle ranges were compared against the ASTAR database
 whereas Li ranges were compared against SRIM software.

283

284 2.3.3. Mean Charge

We calculated the mean charge using the static particle velocity approach. For each energy, we let the primary particle, and its different charged states perform 10,000 steps and store the sum of the particle charge. Then we divided that quantity by the number of steps to get the average charge for a specific energy. The geometry setup was the same as the stopping power calculations, i.e., a 1 m³ water cube big enough to contain all the different steps for all the energy ranges. We compared the simulation results against the theoretical Barkas's scale factor.

292

293 2.4. Microdosimetry

Following the approach from our previous work (Han et al., 2023), the lineal energy frequency and dose distribution spectra, yf(y) and yd(y), respectively, were calculated using micrometer-sized volumes. The geometry used for the simulation was a $3 \times 3 \times 3$ array of cells (Figure 1).

298



Figure 1: Microdosimetry geometric setup schematic. a) Single cell dimensions: 2.5 μ m radius nucleus with a 5 μ m radius cytoplasm and 8 nm thick membrane. b) Cell array for the simulation. Cells were spaced by a distance of 10.016 μ m between their centers. Simulation volumes were modeled as perfect spherical volumes.

299 300

301 Each cell was modeled as three concentric spherical regions denoting the cell nucleus, cytoplasm, and membrane. The nucleus had a 2.5 µm radius, the cytoplasm 5 µm radius 302 and the membrane was 8 nm thick. Spectra scored were limited to particles incident on 303 304 the nucleus. Three particle sources were considered for the microdosimetry simulations. 305 These were a pure alpha particles, pure Li, and pairs consisting of both particles directed in opposite directions. The energies of the particles were determined by sampling the 306 307 BNC channel with the probabilities shown in Table 1. Gamma particles produced by 308 neutron capture reactions were ignored for the case of channel 1 due to its relatively high 309 energy (with a negligible interaction cross-section) for the dimensions of the simulation. The initial positions of the ejected alpha particles and Li ions were randomly sampled at 310 the intercellular, cytoplasm, or cell membrane regions for a total of three distinct lineal 311 energy spectra. The direction of the primary particles was isotropic. The sampling 312 313 volumes for the spectra calculation were 2.5 µm spheres. We compared our results with 314 previously published results (Han et al., 2023) and the frequency mean lineal energy \bar{y}_{F} and mean dose mean lineal energy \bar{y}_D values from Sato (Sato et al., 2018). To convert 315 averaged mean lineal energy from our separate spectra simulations we used the following 316 317 formulas: 318

$$\bar{y}_F = Q_1 \bar{y}_{1F} + Q_2 \bar{y}_{2F} \tag{8}$$

$$\bar{y}_D = \frac{Q_1 \bar{y}_{1F} \bar{y}_{1D} + Q_2 \bar{y}_{2F} \bar{y}_{2D}}{Q_1 \bar{y}_{1F} + Q_2 \bar{y}_{2F}}$$
(9)

where Q_i is the weight factor representing the concentration of the boron-based drug in the specific region. The regions used on this work were intercellular (outside of the cell membrane) cell membrane and cytoplasm. \bar{y}_{iF} it's the frequency mean lineal energy of the particles when they are generated in the region *i*, and \bar{y}_{iD} it's the dose mean lineal energy when they are generated in the region *i*. The different weight factors and regions for the two boron-based drugs are summarized in Table 3:

326

	BPA		BSH		
Q_1	Cytoplasm	22.14%	Intercellular	51.41%	
Q_2	Intercellular	77.86%	Membrane	48.59%	

327

Lineal energy frequency distributions were obtained using 10 batches of 100,000 primary events to achieve a statistical uncertainty of less than 1.5% for both alpha particles and Li.

331

332 **2.5. Nanodosimetry**

333 Normalized ionization cluster size distributions (ICSDs) per primary particle f(v) were calculated for Li ions with energies ranging from 1 keV – 2 MeV. To improve the correlation 334 335 of these distributions with biological effects, the regions used to score the ionization cluster sizes resembled biologically relevant geometries. Following methods reported 336 337 elsewhere (Faddegon et al., 2023; Ramos-Méndez et al., 2018), we irradiated a cubic volume of 50×50×50 nm³. The cube was filled with 1800 smaller cylinders 3.4 nm long 338 339 by 2.3 nm in diameter (Figure 2a), where the ionization clusters were scored. These 340 dimensions approximate DNA segments of 10 base pairs in length, where complex damage may occur. The radiation source was placed 10 nm away from the cube to allow 341 for the Li ions to equilibrate to their preferred charge state before impact. The cylinders 342 343 were placed and oriented randomly within the enclosing cube, avoiding any overlaps. We used this geometry to calculate f(v) of Li ions with a set of logarithmic-spaced energies 344 345 ranging from 1 keV – 2 MeV.



Figure 2: Nanodosimetry geometric setup. A $50 \times 50 \times 50$ nm³ cube of water with embedded cylindrical scoring regions, irradiated by a a) 2 MeV (256 keV/u) and b) 80 keV (11.4 keV/u) Li ion respectively.

We compared our results against the cross-sections from our previous work which used a modified effective charge scaling factor from Schmitt (Schmitt et al., 2015). From these ICSDs, we also calculated three different ionization detail parameters (I_p); F_k with k=2, k=5 and k=7 and N_k with k=1. These parameters are collapsed representations of the ICSD along particle tracks, defined as:

353

$$F_k = \sum_{\nu=k}^{N} f(\nu) \tag{10}$$

$$N_k = \sum_{\nu=k}^N \nu f(\nu) \tag{11}$$

354

where v is the ionization cluster size. In other words, F_k is the probability to create a cluster of k or more ionizations in the nanoscopic cylinders described above per source particle. The I_p parameters were calculated using ICSDs normalized so that the sum of the frequency distributions was equal to unity. In such a representation, F_1 is equal to unity and N_1 is equal to the expected value of the frequency distribution.

The I_p considered in this study have been shown to closely associate with different biological endpoints independently of the particle; N_1 with cell inactivation cross-sections (Conte et al., 2017), F_2 with DSB cross-sections (Nettelbeck & Rabus, 2011), F_5 and F_7 with cell survival in aerobic and hypoxic conditions, respectively (Eaddedon et al. 2023)

with cell survival in aerobic and hypoxic conditions, respectively (Faddegon et al., 2023).

364

365 **3. Results**

366 **3.1. Charge Exchange Cross-Sections**

367 Charge exchange cross-sections due to electron capture and loss as a function of the 368 energy (from 7 keV to 7 MeV) calculated using the CTCM method are shown in figure 3. The total capture cross-sections decrease with the projectile (lithium ion) energy. As the 369 370 particle velocity increases, the interaction time between the electron bound to the water 371 molecule and the projectile decreases, thus lowering the probability of interaction. There is an energy threshold of approximately 10 MeV for Li, after which the projectile velocity 372 373 is too high for the electron to attach to it. Total charge increases cross-sections (Figure 374 3b) decrease in the 7 keV to 100 keV range followed by an increase up to 1-10 MeV, decreasing after that. Charge decreases cross-sections behave consistently for the 375 376 number of repetitions used on this work, whereas charge increase exhibits some noise at the 10^4 to 10^5 eV. 377





Figure 3: Lithium charge exchange cross-sections calculated with the CTCM method. a) Charge capture/loss cross-sections for $Li^{1+} \rightarrow Li^{0}$ (blue circles), $Li^{2+} \rightarrow Li^{1+}$ (red squares) and $Li^{3+} \rightarrow Li^{2+}$ (green triangles) and b) charge loss/increase for $Li^{0} \rightarrow Li^{1+}$ (black hexagons), $Li^{1+} \rightarrow Li^{2+}$ (blue circles) and $Li^{2+} \rightarrow Li^{3+}$ (red squares).

379 380

381 **3.2. Macroscopic**

382 Alpha and Li particle stopping powers calculated with Geant4-DNA are shown in figures 383 4a and 4b, respectively. Alpha particles stopping powers were compared against those from the NIST ASTAR database for liquid water. Figure 4b includes simulation data that 384 use the original Geant4-DNA cross-sections (G4RuddlonisationExtendedModel) to 385 showcase the impact of the new cross-sections. Statistical errors were kept below 0.05% 386 for both alpha particles and Li. The mean percentage difference (MPD) between Geant4-387 DNA and ASTAR for alpha particles was of 4.6%. For Li, the MPD between the original 388 389 Geant4-DNA cross-sections and the reference data from ICRU and SRIM was 33.78% 390 and 54.08% respectively. When using the charge exchange cross-sections computed in 391 this work these differences decreased to 6.9% and 24.9%.

392

CSDA ranges for alpha particles and Li calculated with stopping powers presented in this
 section are shown in Figure 4c and 4d. Alpha particle results are compared against the

CSDA ranges from the NIST ASTAR database for liquid water. CSDA ranges for Li are
 shown alongside the original Geant4-DNA cross-sections. Statistical errors for alpha
 particles and Li were kept below 0.12%. The MPD between ASTAR and Geant4-DNA
 estimates was 7.4%. For Li, MDP were 621% for the original Geant4-DNA cross-sections,
 which decreased to 34% when using the cross-section obtained in this work.

The particle mean charge as a function of the lon energy are shown in Figure 4e and 4f for alpha particles and Li respectively. Statistical errors were kept below 0.15%. Barka's effective charge is shown alongside simulation results as a comparison point. Both plots show that instead of a smooth transition between the different charge states with increasing energy, particles tend to be at the extremes with rapid changes at a specific energy threshold.





Figure 4: Macroscopic results of the BNC recoil ions. a) Alpha particles stopping power, showing results for the ICRU ASTAR database (red squares) and Geant4-DNA (blue circles with dashed lines). b) Stopping power of Li with the results from this work (green triangles with dashed lines), original Geant4-DNA cross-sections (blue circles with dashed lines), ICRU reports 49 and 73 (red squares) and SRIM (black pentagons). c) CSDA range of alpha particles with results from Geant4-DNA (blue circles with dashed lines) and ICRU ASTAR (red squares). d) CSDA range of Li showing the results of this work (blue circles with dashed lines), Geant4-DNA original cross-sections (green triangles with dashed lines) and SRIM (red squares). e) Mean particle charge of alpha particles with results from Geant4-DNA (blue circles with dashed lines) and Barka's effective charge (black dashed line). And f) mean particle charge of Li with results from this work (blue circles with dashed line) and Barka's effective charge (black dashed line).

408 3.3. Microdosimetry

Lineal energy frequency and dose distributions (yf(y), yd(y)) spectra for alpha particles, and a combination of alpha particles and lithium ions with energies sampled from Table 1 are shown in Figure 5a, 5b, 5c and, 5d respectively. Results are compared against previous results from (Han et al., 2023). Li reference data corresponds to total ionization effective charge-scaled cross-sections. Simulation results were within 1.5% of standard deviation for all the lineal energy frequency distributions.





Figure 5: Lineal energy spectra of Li for (a) frequency weighted lineal energy (yf(y)) (b) dose weighted lineal frequency (yd(y)). c) Frequency-weighted lineal energy (y(f(y))) and d) dose-weighted lineal frequency (y(d(y))) for both alpha particles and Li. Results are shown for the boron-based drug accumulated on the cytoplasm (blue line), intracellular (red line), and membrane (black lines). Reference data for the cytoplasm (blue circles), intercellular (red squares) and cell membrane (black triangles) are from (Han et al., 2023).

Figure 5a and 5b results showcase differences for the different Li cross-section approaches. Differences in the lower end in the intercellular and cell membrane configurations are due to the reduced ranges of the new cross-sections. While the cytoplasm particle emission configuration shows similar results within statistical uncertainties.

421

422 Figure 5c and 5d presents the results for the BNC configuration, in which we took 423 simulations in which one event was taken as both one alpha particle and one Li ion 424 isotropically ejected in opposite directions. In the frequency-averaged lineal energy 425 spectra, a second peak appears for the Li configuration in the membrane configuration 426 using the new cross-sections but is not present in the other two configurations (cytoplasm 427 and intracellular). Li ranges are 3.7 and 4.36 µm for 0.84 and 1.02 MeV respectively (channels 1 and 2) when using the new cross-sections. Thus, the probability of Li reaching 428 429 the nucleus is greatly reduced at the cellular membrane, having to traverse at least 2.5 um. This gives alpha particles the advantage with their ranges of around 8 and 9.6 um at 430 the cellular membrane and beyond. The results obtained in Figure 5 support this result 431 432 by showing that alpha particles predominate the lineal energy frequency distributions. 433

Table 4 summarizes the \bar{y}_D and \bar{y}_F mean lineal energies including the unweighted pure particles and mixed configurations and weighted according to the different boron-based compounds. Results for the pure Li differ from the previously published values of Han et. al. within 57% for \bar{y}_F and 56% for \bar{y}_D . Results for alpha particles agree with the work of Han within one standard deviation, with differences attributed to the batch method we used on this work instead of the full 3 million histories used in Han's work. The results for the BPA and BSH boron-based drugs are within statistical differences. This suggests that although there are intrinsic differences in the lithium component of the lineal energy

442 spectra, at the micrometric level, alpha particles are the most significant.

443

	Cyto	plasm	Mem	Ibrane	Intercellular		
	$\overline{y_F}$ (keV/ μm)	$\overline{y_D}$ (keV/µm)	$\overline{y_F}$ (keV/ μm)	$\overline{y_D}$ (keV/ μm)	$\overline{y_F}$ (keV/ μm)	$\overline{y_D}$ (keV/ μm)	
Alpha (This work)	159.19 <u>+</u> 4.24	211.16 <u>+</u> 6.83	140.14 ± 4.32	195.02 <u>+</u> 7.22	105.42 ± 4.07	158.67 <u>+</u> 7.49	
Alpha (Han et.al.)	169.67 <u>+</u> 7.76	223.28 ± 11.85	149.15 <u>+</u> 8.29	205.62 ± 14.28	108.55 ± 5.31	159.96 <u>+</u> 9.51	
Lithium (This work)	114.12 ± 3.51	158.92 ± 5.88	21.91 ± 0.94	31.47 ± 1.6	12.61 ± 0.97	21.99 ± 2.47	
Lithium (Han et. al.)	100.24 ± 5.84	155.20 ± 11.44	9.31 ± 0.27	13.92 ± 0.62	5.79 ± 1.61	10.97 ± 3.69	
Mixed	141.12 ± 2.77	195.09 ± 4.77	101.35 ± 2.66	182.58 ± 6.16	92.24 ± 3.35	156.59 ± 6.97	
	BPA				BSH		
	Charge	Charge Scaled	Sato	Charge	Charge Scaled	Sato	
	Exchange	(Han et. al.)		Exchange	(Han et. al.)		
$\overline{y_F}$ (keV/µm)	130.30 ± 2.25	137.24	118.43	96.67 ± 2.18	106.87	120.32	
$\overline{y_D}$ (keV/ μm)	189.05 ± 3.26 199.64		213.55	215.20 <u>+</u> 4.51	182.73	198.21	

Table 4: Frequency mean lineal energy and dose mean lineal energy values. BPA and BSH averaged lineal energy include data for the charge exchange (this work), charge scaled (Han et al., 2023) and experimental results of Sato (Sato et al., 2018). Uncertainties are one standard deviation.

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447 3.4. Nanodosimetry



Figure 6: Normalized ICSDs and I_{ρ} s calculated with charge exchange cross-sections (solid lines) and total ionization scaled cross-sections (dashed lines): a) ICSDs for different Li-ion energies. b) First moment of the ICSDs (N_1) as a function of energy c) Frequency of clusters with two or more ionizations (F_2) for different Li-ion energies. Vertical bars are 1 standard deviation statistical uncertainties.

448

449 Figure 6 compares the cross-sections of this work with the total ionization scaled crosssections previously available in Geant4-DNA on the a) ICSDs, b) the first moment of the 450 ICSDs and c) the frequency of clusters of two or more ionizations per primary ion. The 451 mean percentage differences of the distributions of Figure 6a are 76%, 61%, 30% and 452 344% for the 3, 7, 66, 286 keV/u Li-ions, respectively. The N_1 values differed by up to 453 35%, with the maximum difference at 1.42 keV/u (the first data point). F_2 values for the 454 two approaches (Figure 6c) are significantly different at lower energies and converge 455 within statistical uncertainties near the maximum F_2 value, at around 11.42 keV/u. The 456 457 difference at 1.42 keV/u was of 9.0%, well outside of the statistical uncertainty. Figure 6c showcases a well-known behavior in the region above 90 keV (12.82 keV/u) (Ramos-458 459 Méndez et al., 2018), caused by the combined effect of direct and indirect ionizations in 460 the small cylinders. In the previously cited work, a continuous increase in the 1-10 MeV/u of oxygen ions for F_2 was observed. However, the effect that comes below 1 MeV/u 461 remained unnoticed due to the energy limits of such heavier ions. In this work, thanks to 462 the extension of the cross-section to lower energies, a global maximum localized at 463

464 around 11.42 keV/u was observed for all I_p investigated, independent of the cross-section 465 sets used for the simulation.

466



Figure 7: F_5 and F_7 values for different Li-ion energies. Results are shown for the charge-exchange cross-sections (red line) and the total ionization scaled cross-sections (dashed blue lines). Vertical bars are 1 standard deviation statistical uncertainties.

467

Figure 7 shows the F_5 and F_7 parameters as a function of the Li-ion energy. In both cases,

- results from both approaches converge above 7.14 keV/u. Below 7.14 keV/u, differences are within 45% and 65% for F_5 and F_7 , respectively. Results show a maximum peak
- between 5.71 7.14 keV/u, which is in the decreasing region of the stopping power plots
- 472 (Figure 4b).
- 473

474 **4. Discussion**

We calculated the charge exchange cross-sections for Li in pure liquid water using the CTMC method. Results of simulations using this new set of cross-sections were compared against the stopping power and CSDA range from ICRU as a form of validation. We also calculated the lineal energy spectra with frequency mean lineal energy and dose mean lineal energy, and ionization cluster size distributions to study the impact of the new cross-sections at microscopic to nanoscopic scales as compared to the pure ionization charge scaled cross-sections.

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Results for stopping power showed significative differences between the original Geant4-483 DNA cross-sections and the references. Such differences were expected since those 484 cross-sections were forced for low energies, with their lower energy range being about 1 485 MeV/u (Francis et al., 2011, 2012; Incerti, Baldacchino, et al., 2010). Figure 4 however, 486 487 show that even at 10 MeV, results diverge significantly due to the lower interaction probabilities which impacts energy loss, giving less stopping power and larger ranges. 488 489 Differences between Geant4-DNA and ICRU stopping power data decreased from 81.93% for the pure effective charge ionization cross-sections to a 6.9% difference for the 490 set of cross-sections presented in this work. As a consequence of the increase in stopping 491 492 power from the new cross-sections, CSDA ranges decreased, obtaining a better 493 agreement with ICRU.

Mean particle charge results (Figure 4e and 4f) show the difference between using effective charge factors against the real charge state of the ion. In this case, both alphas and Li share the same behaviors, with the exception that alpha particles have a very smooth continuous curve whereas Li exhibit a discontinuity at around 80 keV/u. This discontinuity is likely associated with the first valence electron in lithium, and it is only present in lithium because of its metallic properties. Which means that its first electron is free allowing it to conduct electricity.

502

503 Microdosimetric results for alpha particles agree within statistical uncertainties with our previous work. For Li statistically significant differences were found. Specifically, Li ions 504 with the cross-sections of this work has a probability of depositing low energies (> 1 keV) 505 in the cell nucleus but only when the primary generation happens at either the intercellular 506 507 or the cell membrane regions. This is due to the difference in particle ranges between the 508 two methods, whereas Li could have a maximum range of around 6 µm in the work of 509 Han et al (scaled cross-sections), with the new set of cross-sections it's closer to 3.6 µm. This significantly lowers the probability of an ion hitting the cell nucleus when it must travel 510 at least trough the entire cytoplasm region, with radius equal to 5 µm or a travel distance 511 512 of at most 2.5 µm. Due to this change in range, only particles that are generated relatively close to the cell membrane will transfer energy to the nucleus and their energy will be 513 lower and thus depositing more energy per unit path length. This does not happen when 514 515 the particles are created in the cell cytoplasm, in which case the results are identical to 516 the effective charge method within statistical uncertainties. This suggests that both methods are statistically equivalent for energies with ranges lower than 2.5 µm. An overall 517 increase in all the frequency mean lineal energy and dose mean lineal energy values for 518 519 lithium was found when using the charge exchange cross-sections compared to our previous charge scaled method (Han et al., 2023). Ranging from 37%-58% and 2.34%-520 521 56% for \bar{y}_F and \bar{y}_D respectively, between the cytoplasm and the cell membrane regions. Differences in mean lineal energy distributions between the two spatial distributions of 522 523 two boron-based drugs (BPA and BSH) agreed within one standard deviation with respect 524 to our previous work and were within reasonable agreement with the results of Sato with 525 a 10% difference which was also within 1 standard deviation. This suggests that, for the 526 microdosimetric calculations of BNC in the cell nucleus, the contribution of the charge exchange process of Li is relatively low as compared to the contribution of alpha particles. 527 528

Nanodosimetry results show differences outside of the statistical uncertainties, exceeding 529 a factor of 3 at 2 MeV (286 keV/u) Li-ion energy. This 2 MeV mark is where the Lithium 530 charge starts to decrease (Figure 4f) due to the predominance of the charge decrease 531 532 process (Figure 3a). Even though differences in the ICSDs were significant, the different 533 I_{ps} (Figures 6 and 7) all converged above 80-100 keV (11.42-14.28 keV/u). The reason they converge is due to the behavior of the ICSDs, where charge-exchange cross-534 535 sections predict that the smaller cluster sizes are more frequent with the larger cluster sizes less frequent (since the ICSD is normalized to unity) than those calculated using 536 total ionization scaled cross-sections. The $I_{\rho s}$ converge at higher energy due to our choice 537 538 of $I_{\rho}s$, shown to have a close association with biological effects, with values dominated by the prevalence of smaller clusters and the convergence of the ICSDs at the smaller 539

540 cluster size. The maximum found in the 60-80 keV (8.57-11.42 keV/u) energy range can 541 be explained by two factors given by our models; 1) At the lower ion energies (~20 keV), the secondary electron energies are below the water ionization energy (~10 eV) (Perry et 542 543 al., 2020), leading to thermalization (solvation) processes (Incerti et al., 2018). 2) As lithium energies decrease below this energy, the number of ionizations will also decrease 544 according to their stopping power. The maximum then arises at the interface at which the 545 546 low energy secondary electrons can generate one or two densely packed ionizations 547 before undergoing solvation processes, but not enough energy to travel far from their generation point. These statements are supported by Figure 8a, which shows a bar plot 548 549 with the frequencies of the different processes that the secondary electrons experience in a 50³ nm³ cube. The average secondary electron energy of the ions was 1.9 ± 1.4 , 9.3550 ± 5.3, and 13 ± 10 eV for both approaches at the 20, 80, and 250 keV (2.85, 11.42, 35.71 551 keV/u) ion energy respectively. The maximum kinetic energy of the secondary electrons 552 553 was of 5.94, 24.422 and 509 eV for the total ionization scaled cross section approach at the 20, 80 and 250 keV respectively and 6, 24 and 77 eV for the set of cross-sections 554 555 from this work at the same energies respectively. Figure 8b doesn't show chargeexchange processes because the ions equilibrate in the 10 nm distance between the 556 source and the sensitive volume. Figure 8b lacks excitation processes for the total 557 ionization scaled cross-sections because the approach assumes that all interactions are 558 559 contained in one process.

560



Figure 8: Frequency of physical processes for a) secondary electrons from the lithium ions and b) primary lithium ions. Electron processes shown include solvation (red), ionization (blue), excitation (green), and vibration excitations (yellow). Total ionization scaled cross-sections are shown with solid-colored bars while charge-exchange cross-sections are shown filled with diagonal lines. Lithium processes shown are ionization (red scaled cross-sections and blue for charge exchange) and excitation (green, only for charge-exchange). Processes that did not contribute significantly to the plots were left out. This includes electron attachment and charge exchange.

561

The results shown in Figure 7 suggest that for Li-ion energies above 60 keV, both approaches might be indistinguishable from one another when used for biological effect estimations. The remaining 60 keV of energy would be equivalent to $0.6 - 0.92 \mu m$ of range for the total ionization scaled and charge-exchange cross-sections respectively. 566 However, this 45% to 65% difference between the two models is significant for its 567 biological effect relationship. The ranges for the Li ions in the BNC energies will be of 4.36 and 3.77 µm for the 1.02 and 0.84 MeV respectively. When taking into account the cellular 568 569 geometry of Figure 1, this would mean that a Li ion generated at the cellular membrane 570 will have at most an energy of 240 or 114 keV for the 1.02 and 0.84 MeV initial energies 571 respectively when it arrives to the cellular nucleus. These energies are low enough that 572 they can be absorbed completely at the cell nucleus. This results in a different I_p at the 573 cellular nucleus for the BSH drug distribution for the two different cross-sections 574 approaches studied in this work. These differences are high enough to give a different 575 predicted outcome for the cross-sections used in this work when compared against the total ionization scaled cross-sections. 576

577 Overall, in this work we present a set of low energy cross-sections for Li ions that explicitly 578 simulate charge exchange cross-sections using results from the CTCM approach. Results 579 derived from these cross-sections significantly differ from results using the current 580 available cross-sections, calculated using effective charge scalation methods, at the 581 macroscopic, microscopic and nanoscopic scales. The new cross-section led to a better 582 agreement with available experimental and theoretical data as compared to current ones. 583

584

585 5. Conclusion

In this work, we provided a new set of lithium cross-sections for energies down to 700 eV. 586 587 These new cross-sections correspond to the ionization, excitation, and charge exchange 588 processes of every single lithium charge state. They were explicitly computed by the classical trajectory Monte Carlo method and partial charge scaling methods. Our results 589 590 using these cross-sections show a closer agreement with ICRU stopping power and CSDA ranges (6.9% difference) than previous Geant4-DNA cross-sections (82%) 591 difference). This increase in "accuracy" is relevant for BNC applications, due to the low 592 593 energy of the recoil ions produced which are very sensitive to energy losses. Differences 594 in dosimetric evaluation at the micro and nanoscale were found using these two sets of cross-sections within 58% at the micro scale and 344% at the nanoscale. These 595 differences were outside of the statistical errors, highlighting the importance of including 596 597 the charge-exchange processes in BNC calculations.

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