

DART-Lux: an unbiased and rapid Monte Carlo radiative transfer method for simulating remote sensing images

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▶ To cite this version:

Yingjie Wang, Abdelaziz Kallel, Xuebo Yang, Omar Regaieg, Nicolas Lauret, et al.. DART-Lux: an unbiased and rapid Monte Carlo radiative transfer method for simulating remote sensing images. Remote Sensing of Environment, 2022, 274, pp.112973. 10.1016/j.rse.2022.112973 . hal-04643523

HAL Id: hal-04643523 https://hal.science/hal-04643523

Submitted on 10 Jul2024

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4	Yingjie Wang ¹ , Abdelaziz Kallel ³ , Xuebo Yang ² , Omar Regaieg ¹ , Nicolas Lauret ¹ , Jordan
5	Guilleux ¹ , Eric Chavanon ¹ , Jean-Philippe Gastellu-Etchegorry ¹
6	
7	¹ CESBIO, CNES-CNRS-IRD-UT3, University of Toulouse, 31401 Toulouse CEDEX 09,
8	France
9	² Aerospace Information Research Institute, Chinese Academy of Sciences, Beijing 100094,
10	China
11	³ CRNS, ATMS, Sfax, Tunisia
12	
13	Correspondence to: Yingjie WANG (vingjiewang1102@gmail.com), Jean-Philippe Gastellu-
14	Etchegorry (jean-philippe.gastellu@iut-tlse3.fr)
15	
16	Abstract
17	Accurate and efficient simulation of remote sensing images is increasingly needed in order to
18	better exploit remote sensing observations and to better design remote sensing missions. DART
19	(Discrete Anisotropic Radiative Transfer), developed since 1992 based on the discrete ordinates
20	method (i.e., standard mode DART-FT), is one of the most accurate and comprehensive 3D
21	radiative transfer models to simulate the radiative budget and remote sensing observations of
22	urban and natural landscapes. Recently, a new method, called DART-Lux, was integrated into
23	DART model to address the requirements of massive remote sensing data simulation for large-
24	scale and complex landscapes. It is developed based on efficient Monte Carlo light transport 1

25	algorithms (i.e., bidirectional path tracing) and on DART model framework. DART-Lux can
26	accurately and rapidly simulate the bidirectional reflectance factor (BRF) and spectral images
27	of arbitrary landscapes. This paper presents its theory, implementation, and evaluation. Its
28	accuracy, efficiency and advantages are also discussed. The comparison with standard DART-
29	FT in a variety of scenarios shows that DART-Lux is consistent with DART-FT (relative
30	differences < 1%) with simulation time and memory reduced by a hundredfold. DART-Lux is
31	already part of the DART version freely available for scientists (https://dart.omp.eu).

33 Key words

34 DART, Radiative transfer, Monte Carlo, Bidirectional path tracing, Remote sensing image

35

36 1 Introduction

Physically based three-dimensional (3D) models that simulate the interactions between 37 electromagnetic radiation and the realistic terrestrial surfaces and that simulate the remotely 38 39 sensed multi- and hyper-spectral images of these surfaces provide essential solutions for 40 quantitative interpretation of remote sensing data and for the design of remote sensing missions. 41 It explains that in the last four decades, a number of 3D radiative transfer (RT) models that can 42 simulate the radiative and biophysical processes in 3D natural and/or urban landscape have 43 been developed (Widlowski et al., 2015, 2013, 2007). These models can be divided into three 44 categories according to their mathematical solution of RT equation: (i) radiosity methods, (ii) 45 Monte Carlo methods and (iii) discrete ordinates methods.

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Radiosity methods, such as DIANA (Goel et al., 1991) and RGM (Qin and Gerstl, 2000), solve
the RT equation through the inversion of a square matrix that includes the geometric view
factors of each surface relative to all other surfaces in the simulated scene. The advantage of 2

50 the radiosity method is that once the inverse square matrix is computed, the bidirectional 51 reflectance factor (BRF), directional brightness temperature (DBT) and radiative budget of the scene can be easily derived. However, the major limitation is that its computation time and 52 53 computer memory dramatically increase for complex scenes made of millions of facets. Also, 54 it is less flexible to simulate other remote sensing signals such as LiDAR and polarization. 55 Monte Carlo methods, such as FLIGHT (North, 1996), Ravtran (Govaerts, 1996) and librat 56 (Lewis, 1999), estimate the solution of RT equation by repeatedly sampling the ray paths in the 57 scene. This stochastic process converges to the exact solution after sufficient trials and 58 repetitions. The Monte Carlo method is usually considered as the most accurate, flexible, but 59 also the most computer expensive solution of radiative transfer (Goel, 1988; Myneni et al., 60 1989). Discrete ordinates methods, such as the models of (Kimes and Kirchner, 1982; Myneni 61 et al., 1990), DART (Gastellu-Etchegorry et al., 1996) and DIRSIG (Kraska, 1996), solve the 62 RT equation along a finite number of discrete directions. Similar to Monte Carlo method, the discrete ordinates method is flexible to simulate the remote sensing signals and radiative budget 63 64 of complex landscapes. It is known as a good compromise between accuracy and computation 65 time.

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67 The initial implementations of the 3D RT models in the 1980s and 1990s were usually adapted 68 to small scale, schematic scenes, and provided limited remote sensing products (e.g., BRF or 69 image). The evolution of the remote sensing science, the ray-tracing algorithms, computer 70 hardware and 3D representations of Earth surface elements explains the constant improvement 71 of 3D RT models. For example, RAPID, developed on the basis of RGM, simplifies the 72 representation of canopy by porous objects, which allows one to simulate complex vegetated 73 scenes since only view factors between porous objects are computed and stored (Huang et al., 2013). Rayspread, a speeded up successor of Raytran, implements the variance reduction 74

75 technique called photon spreading that sends a group of virtual photons to all possible sensors 76 after each interaction (Widlowski et al., 2006). It also provides absorption, transmission, and 77 albedo products for studying photosynthesis and other physiological processes. The flexibility 78 of discrete ordinates method and the increasing requirements of 3D RT modelling explain that 79 DART and DIRSIG continuously extend their functionality for general-purpose remote sensing 80 applications. The initial DART only simulated the BRF and images of turbid canopies in the 81 short waves (Gastellu-Etchegorry et al., 1996). Later, it integrated a specifically designed 82 atmospheric RT modelling module (Gascon et al., 2001) and was extended to the thermal 83 infrared domain with the provision of 3D radiative budget of canopies (Guillevic et al., 2003). 84 Afterwards, it integrated an additional representation of vegetation and urban elements by polygons with various surface optical properties (e.g., Lambertian with specular, Hapke and 85 RPV models) (Gastellu-Etchegorry, 2008), LiDAR and passive sensors (e.g., pushbroom, 86 87 airborne and in-situ camera) (Gastellu-Etchegorry et al., 2015; Yin et al., 2016), and sun 88 induced fluorescence (SIF) (Gastellu-Etchegorry et al., 2017). Subsequently, it integrated a 89 powerful ray-object intersection kernel Embree (Wald et al., 2014) that considerably 90 accelerated it up to factors of ~300 (Qi et al., 2019b), depending on the simulated landscapes.

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92 Despite the continuous improvements of the functionality, accuracy and efficiency of the 3D 93 RT models, it is still challenging to simulate realistic complex canopy scenes. For example, 94 reference models in RAMI3 (RAdiative transfer Model Intercomparison) including DART had 95 good ~1% agreement for schematic canopies (Widlowski et al., 2007) but were less consistent 96 for the RAMI4 realistic canopies (Widlowski et al., 2015). Indeed, simplifications of RT 97 modelling and canopy mock-ups were likely applied by many 3D RT models to simulate the 98 huge volumes of measurements of realistic canopies in a reasonable computer time. Taking 99 DART as an example, the number of discrete directions was largely reduced, and the shoots of

100 pine trees were represented by voxels filled with turbid medium. It stresses the need to improve 101 3D RT models and the management of mock-ups in order to handle large-scale and complex 102 landscapes. The advancement of physically-based Monte Carlo light transport algorithms in 103 computer graphics aimed at rapid rendering of colour images provides solutions (Kajiya, 1986; 104 Pharr et al., 2016; Veach, 1997). Some of them are already integrated by recent 3D RT models. 105 For example, DIRSIG was redesigned with the path tracing approach (Goodenough and Brown, 106 2017; Kajiya, 1986), where a backward ray from the sensor is used to construct a stochastic 107 path that either connects a light source or terminates on an absorbing surface. LESS uses the 108 ray-tracer Mitsuba (Jakob, 2010; Nimier-David et al., 2019; Qi et al., 2019a), where a stochastic 109 path is constructed either forward to simulate the BRF or backward to simulate images.

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111 Standard DART modelling (i.e., discrete ordinates method) is much less efficient than recent 112 Monte Carlo-based models such as LESS in simulating precise images of large-scale and 113 complex landscapes. For example, it takes more than 45 times memory and more than 20 times 114 computation time than LESS to simulate the nadir image of the Järvselja Birch stand in RAMI4 115 experiment (Qi et al., 2019a). Therefore, with the goal of fast, robust and accurate RT 116 simulations for large-scale and complex landscapes, since 2018, we have been designing a new 117 Monte Carlo method called "DART-Lux" in DART model. It is based on the standard DART 118 framework and the Monte Carlo algorithm (*i.e.*, bidirectional path tracing) of the open-source renderer LuxCoreRender (https://luxcorerender.org). DART-Lux efficiently combines forward 119 120 and backward light transport to robustly simulate any sensor-source configuration and surface 121 scattering function, as detailed in section 3.

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Usually, light transport algorithms in computer graphics aim at producing very fast and visually
pleasing colour images or videos. They work with only three bands and with low radiometric

125 accuracy, which is not suitable for remote sensing applications. For example, sun and 126 atmosphere illumination in outdoor scenarios is simulated using parameterized models (Hosek 127 and Wilkie, 2012; Preetham et al., 1999), which is very approximative compared to the 128 atmospheric RT modelling in MODTRAN and DART (Berk et al., 1987; Grau and Gastellu-129 Etchegorry, 2013; Wang and Gastellu-Etchegorry, 2020). Similarly, important physical and 130 biophysical processes, such as polarization and SIF emission, are not considered. In contrast, 131 3D RT models must accurately model all major radiation interactions in the atmosphere and 132 landscapes for remote sensing applications. Therefore, three main types of development have 133 been carried out in DART-Lux, some of them are still in progress: (1) RT modelling: SIF and 134 thermal emission, realistic atmosphere and 3D clouds, any surface / volume scattering functions, 135 polarization, etc. (2) Products: pushbroom and camera hyperspectral radiance / reflectance / 136 brightness temperature / SIF images, 3D radiative budget, images per type of land cover (e.g., 137 tree, ground), LiDAR waveform, point cloud and photon counting, polarization components, 138 look-up-tables for inversion and sensitivity work, etc. (3) Computer science: accurate ray-object 139 intersection to avoid self-intersection and watertight intersection issues (Woo et al., 1996; 140 Woop et al., 2013)), high radiometric accuracy, GPU acceleration, distributed computing, etc.

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This paper presents the theoretical basis, framework architecture and evaluation of DART-Lux.
Section 2 summarizes the mathematical formulation of image modelling and DART framework.
Section 3 details DART-Lux theoretical basis and implementation. Section 4 compares the new
DART-Lux and the standard DART with schematic and realistic urban and forest landscapes.
Finally, section 5 discusses results and section 6 concludes the work and highlights perspectives.

148 2 Background and method

149 2.1 Mathematical formulation of remote sensing image modelling

A major objective of 3D RT modelling is to simulate remote sensing image (Gastellu-Etchegorry et al., 1996; Kraska, 1996; Lewis, 1999; Qi et al., 2019a; Richtsmeier et al., 2001). It is a two-dimensional map of the radiation that the Earth surfaces and the atmosphere emit and scatter to remote sensing sensor. This modelling can be explicitly formulated by a Lebesgue integration (Eq. (8)) as detailed below.

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156 2.1.1 Light transport equation

157 Radiation leaving a surface Σ is the sum of scattered and emitted radiation. Therefore, the exit 158 radiance $L(r, \Omega_o)$ along direction Ω_o is the sum of emitted radiance $L_e(r, \Omega_o)$ along direction 159 Ω_o and scattered radiance to direction Ω_o due to all incident radiance $L(r, -\Omega_i)$ from the 4π 160 space. It leads to the light transport equation, also called rendering equation (Kajiya, 1986):

$$L(r,\Omega_o) = L_e(r,\Omega_o) + \int_{4\pi} L(r,-\Omega_i) \cdot f(r,-\Omega_i,\Omega_o) \cdot \cos\theta_i \, d\Omega_i \tag{1}$$

161 with θ_i the incident angle (Figure 1.a) and $f(r, -\Omega_i, \Omega_o)$ the bidirectional scattering 162 distribution function (BSDF) of surface Σ that is the bidirectional reflectance distribution 163 function (BRDF) $\frac{\rho(r, -\Omega_i, \Omega_o)}{\pi}$ or the bidirectional transmittance distribution function (BTDF) 164 $\frac{\tau(r, -\Omega_i, \Omega_o)}{\pi}$, depending on the relative configuration of the incident and exit directions (Eq. (2)).

$$f(r, -\Omega_i, \Omega_o) = \begin{cases} \frac{\rho(r, -\Omega_i, \Omega_o)}{\pi}, & \text{if } \langle \boldsymbol{n} \cdot \Omega_o \rangle \cdot \langle \boldsymbol{n} \cdot \Omega_i \rangle \ge 0\\ \frac{\tau(r, -\Omega_i, \Omega_o)}{\pi}, & \text{otherwise} \end{cases}$$
(2)

Because solid angles depend on surface area, Eq. (1) is expressed in an area form (Eq. (3)) where an integral over all scene surfaces *A* replaces the integral over angular space (Figure 1.b)

$$L(r' \to r) = L_e(r' \to r) + \int_A L(r'' \to r') \cdot f(r'' \to r' \to r) \cdot G(r' \leftrightarrow r'') dA(r'')$$
(3)

167 with $r \in A(r) \subseteq A$ a point on a surface whose BSDF is $f(r'' \to r' \to r)$. $G(r' \leftrightarrow r'') =$ 168 $V(r' \leftrightarrow r'') \cdot \frac{\cos \theta_i^{r'} \cdot \cos \theta_o^{r''}}{\|r'' - r'\|^2}$ is a geometric term, with $V(r' \leftrightarrow r'')$ the visibility function between

169 r' and r'', $\theta_i^{r'}$ is the incident angle at r' and $\theta_o^{r''}$ is the exit angle at r''. $V(r' \leftrightarrow r'') = 1$ if 170 there is nothing between r' and r'', and 0 otherwise.



171

Figure 1. a) BSDF $f(r, -\Omega_i, \Omega_o)$ of a surface Σ of normal n: exit radiance along direction Ω_o due to the scattering of incident irradiance along direction $-\Omega_i$. Incident angle θ_i is the angle between Ω_i and n, exit angle θ_o is the angle between Ω_o and n. (b) Three-point method: a ray starts from r'' on dA(r'') is intercepted at r', then is scattered to r.

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177 2.1.2 Path integral of light transport equation

In a scene with no media between surfaces, the incident radiance on a surface is the exit radiance from previous surface. Therefore, Eq. (3) can be incrementally expanded to an infinite sum of multiple-dimensional integration (Eq. (4)) with r_0 a vertex on the sensor lens, r_1 a previous vertex, and so on. Each term is the result of emitted radiance $L_e(r_n \rightarrow r_{n-1})$ after (n - 1)scattering events:

$$L(r_{1} \rightarrow r_{0}) = L_{e}(r_{1} \rightarrow r_{0}) + \int_{A} L_{e}(r_{2} \rightarrow r_{1}) \cdot f(r_{2} \rightarrow r_{1} \rightarrow r_{0}) \cdot G(r_{1} \leftrightarrow r_{2}) dA(r_{2})$$

$$+ \int_{A} \int_{A} L_{e}(r_{3} \rightarrow r_{2}) \cdot f(r_{3} \rightarrow r_{2} \rightarrow r_{1}) \cdot G(r_{2} \leftrightarrow r_{3}) \cdot f(r_{2} \rightarrow r_{1} \rightarrow r_{0})$$

$$\cdot G(r_{1} \leftrightarrow r_{2}) dA(r_{3}) dA(r_{2})$$

$$+ \int_{A} \int_{A} \int_{A} L_{e}(r_{4} \rightarrow r_{3}) \cdot f(r_{4} \rightarrow r_{3} \rightarrow r_{2}) \cdot G(r_{3} \leftrightarrow r_{4})$$

$$\cdot f(r_{3} \rightarrow r_{2} \rightarrow r_{1}) \cdot G(r_{2} \leftrightarrow r_{3}) \cdot f(r_{2} \rightarrow r_{1} \rightarrow r_{0})$$

$$\cdot G(r_{1} \leftrightarrow r_{2}) dA(r_{4}) dA(r_{3}) dA(r_{2}) + \cdots$$

$$(4)$$

183 Eq. (4) can be rewritten as:

$$L(r_1 \to r_0) = \sum_{n=1}^{\infty} L(\bar{r}_n)$$
 (5)

184 with $L(\bar{r}_n)$ the radiance from r_1 to r_0 integrated over all paths of length n, *i.e.*, path with n edges 185 and n + 1 vertices, vertex r_n on the light source and vertex r_0 on the sensor lens. $\bar{r}_n = r_0 r_1 \dots r_n$ 186 with $r_{k=0,1,\dots,n} \in A$, and $\bar{r}_n \in$ path space \mathcal{D}_n ($n \in \mathbb{N}^*$) with \mathcal{D}_n the set of paths of length n. 187 Figure 2 shows a path of length 4. If n = 1, we have $L(\bar{r}_1) = L_e(r_1 \to r_0)$. If n > 1, we have

$$L(\bar{r}_n) = \int_A f(r_2 \to r_1 \to r_0) \cdot G(r_1 \leftrightarrow r_2) \int_A \cdots \int_A f(r_{n-1} \to r_{n-2} \to r_{n-3})$$

$$\cdot G(r_{n-2} \leftrightarrow r_{n-1}) \cdot \int_A L_e(r_n \to r_{n-1}) \cdot f(r_n \to r_{n-1} \to r_{n-2})$$

$$\cdot G(r_{n-1} \leftrightarrow r_n) dA(r_n) dA(r_{n-1}) \cdots dA(r_2)$$
(6)



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Figure 2. Path of length 4. It starts from light source at r_4 , is successively scattered at r_3 , r_2 , r_1 , and finally reaches the sensor at r_0 .

192 2.1.3 Instrumental characteristics

Figure 3 illustrates an example of pinhole camera. Radiation incident on pixel *j* is transferred to radiance measurement $L^{(j)}$ (Eq. (7)) using an importance function $W_e(r_0, \Omega_0)$ (Nicodemus, 195 1978) whose expression depends on the instrumental characteristics (*cf.* appendix A).

$$L^{(j)} = \int_{A_0} \int_{\Delta\Omega_0} W_e^{(j)}(r_0, \omega_0) \cdot L(r_1 \to r_0) \cdot \cos\theta_i^{r_0} d\Omega_0 dA(r_0)$$
(7)

196 with $W_e^{(j)}(r_0, \Omega_0) = 0$ if the incident ray is outside pixel j. $\theta_i^{r_0}$ is the angle between the 197 incident direction and the principal optical axis. $\Delta \Omega_0$ is the solid angle that encloses all 198 directions of the measured incident rays. $A_0 \equiv A(r_0)$ is the lens area.

199

In Eq. (7) expanded with the expression of $L(r_1 \rightarrow r_0)$ in Eq. (4), W_e and L_e are interchangeable which means that W_e can be treated as an exit term in the same way as L_e (Christensen et al., 1993). It gives the theoretical basis to backward light transport that is symmetric to the forward light transport. Appendix B gives a mathematical formulation of backward light transport.



204

Figure 3. Pinhole camera. The lens with area A_0 is at a distance f in front of the image plane of normal n. Radiation from a differential surface $dA(r_1)$ in the scene along direction $r_1 \rightarrow r_0$ is focused by the lens onto the differential surface $dA(r_{img})$ in the image plane.

209 2.1.4 Mathematical formulation of radiance measurement

210 The expanded form of Eq. (7) can be expressed in the more compact form:

$$L^{(j)} = \int_{\mathcal{D}} f^{(j)}(\bar{r}) \, d\mu(\bar{r})$$
(8)

211 with $f^{(j)}(\bar{r})$ the measurement contribution at pixel j and μ the area-product measure, $\mu(\mathcal{D}_n) =$

212
$$\int_{\mathcal{D}_n} d\mu(\bar{r}_n) = \int_{\mathcal{D}_n} dA(r_n) \cdot dA(r_{n-1}) \dots dA(r_0). \ \bar{r} \text{ is a path linking light source and sensor, } \bar{r} \in \mathcal{D}$$

213 path space and $\mathcal{D} = \bigcup_{n=1}^{\infty} \mathcal{D}_n$. For path \bar{r}_n , $f^{(j)}(\bar{r}_n)$ is defined as:

$$f^{(j)}(\bar{r}_n) = L_e(r_n \to r_{n-1}) \cdot G(r_0 \leftrightarrow r_1) \cdot W_e^{(j)}(r_0 \to r_1)$$

$$\cdot \prod_{k=2}^n f(r_k \leftrightarrow r_{k-1} \leftrightarrow r_{k-2}) \cdot G(r_{k-1} \leftrightarrow r_k)$$
(9)

214 The notation $f(r_k \leftrightarrow r_{k-1} \leftrightarrow r_{k-2})$ underlines the reciprocity of BSDF.

The contribution $\int_{\mathcal{D}_n} f^{(j)}(\bar{r}_n) d\mu(\bar{r}_n)$ due to the (n-1)th scattering order is denoted as $C_{\mathcal{D}_n}$. Then, $C_{\mathcal{D}_1}$ represents the contribution of direct illumination, $C_{\mathcal{D}_2}$ represents the contribution of first order scattering, and so on. The radiance measurement is simply the sum of $C_{\mathcal{D}_n}$ terms:

$$L^{(j)} = \int_{\mathcal{D}} f^{(j)}(\bar{r}) \, d\mu(\bar{r}) = \sum_{n=1}^{\infty} C_{\mathcal{D}_n} \tag{10}$$

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220 2.2 DART model and its framework

221 DART (https://dart.omp.eu) is one of the most accurate and comprehensive 3D RT models in 222 the remote sensing community (Gastellu-Etchegorry et al., 2015, 1996). It simulates the 223 radiative budget, BRF, radiometric (*i.e.*, radiance, reflectance, brightness temperature) images 224 (Eq. (8)) of 3D natural and urban scenes from visible to thermal infrared domain. Its standard 225 iterative discrete ordinates method, called DART-FT, tracks radiation along N discrete directions $\{\Omega_n\}_{n=1,2,\dots,N}$. Radiation intercepted in iteration k is scattered to N discrete directions 226 in iteration k + 1. All radiation scattered in iteration k to a sensor contributes to $C_{\mathcal{D}_{k+1}}$ (Eq. (10)) 227 228 (Gastellu-Etchegorry et al., 1996). DART-FT had good agreement compared to the other five 229 benchmark models (i.e., drat, FLIGHT, Rayspread, Raytran, SPRINT) in RAMI3 experiment 230 with 1% difference on average (Widlowski et al., 2007). It was also successfully evaluated with 231 the satellite, airborne and ground-based measurements which indicated a RMSE about 0.02 on 232 reflectance (Janoutová et al., 2019) and less than 2 K on brightness temperature (Guillevic et 233 al., 2003; Sobrino et al., 2011). DART also has a complete framework for 3D RT modelling 234 and for remote sensing and radiative budget applications (Gastellu-Etchegorry et al., 2015), 235 with specific input data, processing modules and output data (Figure 4).

Input data. Input data set up all the parameters to run a DART simulation. A graphical user interface (GUI) allows one to import / manage 3D objects and digital elevation models (DEM), define and assign optical and temperature properties, configure the atmosphere (geometry, vertical profile and optical properties of gas, aerosol and/or cloud), select the RT modelling method (*e.g.*, discrete ordinates, Monte Carlo) and the products. All input parameters are encoded in extensible markup language (XML) to ease data access.

243

244 **Processing modules.** Four major modules process input data to simulate products. (1) Direction: 245 it subdivides the 4π space into N user-defined discrete directions for ray tracking. (2) Phase: it 246 computes band optical properties, temperature properties, and scattering phase functions of 247 turbid and fluid (gas, aerosol, cloud, soots, etc.) volumes. (3) Mock-up: it creates the 3D mock-248 up of the landscape and atmosphere, assigns temperature and optical properties per scene 249 element, and computes atmosphere vertical profiles (pressure, temperature, density). (4) Dart: 250 it simulates the RT in the landscape and in the atmosphere with a selected modelling method 251 and generates the requested products.

252

Output data. Two types of products are simulated. (1) Remote sensing signal: satellite/airborne/in-situ radiometric images, BRF/DBT, LiDAR signal, SIF, *etc.* (2) Radiative budget: 1D/2D/3D distribution of intercepted, absorbed, scattered and emitted (*i.e.*, thermal emission, SIF) radiation. All products can be stored per type of scene element (*e.g.*, leaf, trunk) and in a look-up-table. In addition, DART also generates geometric products such as digital surface model, area per type of scene element, leaf area index, *etc*.



Figure 4. DART framework. Its four modules (Direction, Phase, Mock-up, Dart) simulate remote
 sensing and radiative budget products for any instrumental / experimental configurations.

259

263 3 A new Monte Carlo method DART-Lux

264 **3.1** Presentation

Monte Carlo methods (Weinzierl, 2000) can assess the high-dimensional integral form of $L^{(j)}$ in Eq. (8). For example, a random path $\bar{R} \in D$ sampled according to a choosing probability density function (PDF) $p(\bar{r})$, can give an unbiased estimate of $L^{(j)}$:

$$F^{(j)} = \frac{f^{(j)}(\bar{R})}{p(\bar{R})}$$
(11)

268 with expected value
$$\mathbb{E}(F^{(j)}) = \int_{\mathcal{D}} \frac{f^{(j)}(\bar{r})}{p(\bar{r})} p(\bar{r}) d\mu(\bar{r}) = \int_{\mathcal{D}} f^{(j)}(\bar{r}) d\mu(\bar{r}) = L^{(j)}.$$

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270 The remote sensing images can be accurately and efficiently simulated under two conditions: 271 (1) path samples are generated quickly, and (2) an optimised estimator speeds up the 272 convergence. To meet these conditions, the new Monte Carlo method, called DART-Lux 273 (Figure 5) is designed based on the bidirectional path tracing (BDPT) algorithm (Lafortune and Willems, 1993; Veach and Guibas, 1995a, 1995b). It estimates the radiance measurement $L^{(j)}$ 274 275 by sampling a set of paths and summing up their weighed contributions (Figure 5). This section 276 details its theoretical basis: path creation method, evaluation of radiance measurement, and its 277 light and sensor models.



279 Figure 5. DART-Lux bidirectional path tracing with $N_v = 4$ vertices (maximal scattering order 3). 280 Random walks start from the light source and sensor. $\bar{p}_4 = p_0, p_1, p_2, p_3$ is a light sub-path 281 (random walk from light source). $\bar{q}_4 = q_0, q_1, q_2, q_3$ is a sensor sub-path (random walk from 282 sensor). A set of paths is generated by connecting a vertex of light sub-path and a vertex of 283 sensor sub-path. An intersection test is done at each connection. Three methods can connect 284 vertices. 1) Connect to light: a sensor sub-path vertex is connected to a newly sampled vertex 285 on the light source. 2) Connect to sensor: a light sub-path vertex is connected to a newly 286 sampled vertex on the sensor lens and mapped to the image plane. 3) Connect vertices: a light

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sub-path vertex in the scene is connected to a sensor sub-path vertex in the scene. Note that a
light sub-path can randomly hit the sensor lens, and a sensor sub-path can randomly hit the
light source.

290

291 **3.2 Efficient path sampling**

292 **3.2.1 Direction and vertex sampling**

At each scattering event, a random scattered direction is sampled according to the distribution of scattering power due to unit incident power. Eq. (12) defines the PDF of an exit direction Ω_o knowing the incident direction $-\Omega_i$, using the importance sampling method (Kahn and Marshall, 1953). The probability of reflection P* is 1 for opaque surfaces (transmittance $\tau = 0$), 0 for non-reflective surfaces (reflectance $\rho = 0$), and 0.5 otherwise ($\rho, \tau > 0$) which makes the sampling less dependent on spectral properties. The PDF $p(\Omega_i | -\Omega_o)$ for sensor sub-path sampling can be derived similarly.

$$p(\Omega_{o}| - \Omega_{i}) = \begin{cases} P^{*} \cdot \frac{\frac{\rho(r, -\Omega_{i}, \Omega_{o})}{\pi} \cdot \cos \theta_{o}}{\int_{2\pi} \frac{\rho(r, -\Omega_{i}, \Omega_{o}')}{\pi} \cdot \cos \theta_{o}' \, d\Omega_{o}'}, & \text{if } (\boldsymbol{n} \cdot \Omega_{o}) \cdot (\boldsymbol{n} \cdot \Omega_{i}) \ge 0\\ (1 - P^{*}) \cdot \frac{\frac{\tau(r, -\Omega_{i}, \Omega_{o})}{\pi} \cdot \cos \theta_{o}}{\int_{2\pi} \frac{\tau(r, -\Omega_{i}, \Omega_{o}')}{\pi} \cdot \cos \theta_{o}' \, d\Omega_{o}'}, & \text{otherwise} \end{cases}$$
(12)

300 For a Lambertian surface (*i.e.*, $\rho(r, -\Omega_i, \Omega_o) = \rho$, $\tau(r, -\Omega_i, \Omega_o) = \tau$), we have:

$$p(\Omega_o|-\Omega_i) = \begin{cases} P^* \cdot \frac{\cos \theta_o}{\pi}, & \text{if } (\boldsymbol{n} \cdot \Omega_o) \cdot (\boldsymbol{n} \cdot \Omega_i) \ge 0\\ (1 - P^*) \cdot \frac{\cos \theta_o}{\pi}, & \text{otherwise} \end{cases}$$
(13)

301 Once a scattering direction is sampled, an intersection test along the sampled direction 302 determines the next path vertex. Sections 3.4 details the vertex and direction samplings on the 303 light source and sensor.

305 3.2.2 Bidirectional random walk

By repeatedly sampling vertices in the scene, the BDPT method (Figure 5) creates two random walks with N_{ν} vertices each. A random walk starts from the light source and gives a light subpath $\bar{p}_{N_{\nu}} = p_0, p_1, ..., p_{N_{\nu}-1}$ with vertex p_0 on the light source. A second one starts from the sensor and gives a sensor sub-path $\bar{q}_{N_{\nu}} = q_0, q_1, ..., q_{N_{\nu}-1}$ with vertex q_0 on the sensor lens.

310

311 3.2.3 Path generating ways

312 An end-to-end path $\bar{r}_{s,t}$ is generated by connecting a light sub-path $\bar{p}_s = p_0, p_1, \dots, p_{s-1}$ and a 313 sensor sub-path $\bar{q}_t = q_0, q_1, \dots, q_{t-1}$ with $s, t \in [0, N_v]$.

$$\bar{r}_{s,t} = \bar{p}_{s}, \bar{q}_t = p_0, p_1, \dots, p_{s-1}, q_{t-1}, q_{t-2}, \dots, q_0$$
(14)

314 Vertex q_{t-1} is on the light source if s = 0. Vertex p_{s-1} is on the sensor lens if t = 0. Any path 315 of length n (*i.e.*, s + t = n + 1 vertices) can be created in n + 2 ways. For example, Figure 6 316 shows the five ways to create a path of length 3.



Figure 6. Five ways to generate a path of length 3. (a) Hit light: a ray starts from a sensor, is scattered twice in the scene, then hits a light source. (b) Connect to light: a ray starts from a sensor, is scattered once in the scene, then intersects a scene element from which a path is created by an explicit intersection test between the intersect vertex and a light source. (c) Connect vertices: 2

rays start from a sensor and light source, are intercepted by the scene, and a path is created by an intersection test between the two intersect vertices. (d) Connect to sensor: a ray starts from a light source, is scattered once in the scene, and intersects a scene element from which a path is created by an explicit intersection test between the intersect vertex and a sensor. (e) Hit sensor: a ray starts from a light source, is scattered twice in the scene and hits a sensor.

327

328 **3.3 Measurement evaluation**

329 **3.3.1** MIS estimator of radiance measurement

330 The contribution $C_{\mathcal{D}_{n-1}}$ of a path of length *n* can be estimated with Eq. (11) using one of n+2331 ways (cf. section 3.2.3). For example, the backward light transport applies the "connect to light" way (Figure 6.(b)), and the forward light transport applies the "connect to sensor" way (Figure 332 333 6.(d)). However, the performance of path generation depends on the light source and sensor configurations, surface scattering properties, as well as the 3D structure of the landscape. For 334 335 example, the "hit light" way is not efficient for sunlight because the probability to hit the sun 336 is very small, while it is efficient for diffuse light from the sky. The "connect to light" way is 337 efficient for Lambertian surfaces and not efficient for glossy surfaces. Hence, to robustly handle 338 a variety of scenarios, contributions of the n + 2 ways are weighted and summed. For example, 339 in the "hit light" case, we can assign a small weight if the light source is sunlight and assign a 340 larger weight if the light source is the sky. This combined weighting method, called the multiple importance sampling (MIS) (Veach and Guibas, 1995b), uses a MIS weight $w_{s,t}$ per path $\bar{r}_{s,t}$: 341

$$F_{\rm MIS}^{(j)} = \sum_{s \ge 0} \sum_{t \ge 0} w_{s,t}(\bar{r}_{s,t}) \cdot \frac{f^{(j)}(\bar{r}_{s,t})}{p(\bar{r}_{s,t})}$$
(15)

342 Two conditions must be met to get an unbiased estimate for path of length *n*: 343 $\sum_{s=0,t=n-s+1}^{n+1} w_{s,t}(\bar{r}_{s,t}) = 1$ if $f^{(j)}(\bar{r}_{s,t}) \neq 0$, and $w_{s,t}(\bar{r}_{s,t}) = 0$ if $p(\bar{r}_{s,t}) = 0$.

344

Eq. (15) gives the radiance measurement of infinite scattering order although infinite scattering order cannot be simulated explicitly. Usually, the contribution C_{D_n} in Eq. (10) decreases exponentially with scattering order (Kallel, 2018). If after scattering order *M* (*M* depends on spectral band, landscape complexity and scene optical properties), the contribution of higher scattering order is negligible, *i.e.*, $\varepsilon_M = \sum_{n=M+2}^{\infty} C_{D_n} \ll L^{(j)}$, and we use the approximation:

$$L^{(j)} = C_{\mathcal{D}_1} + C_{\mathcal{D}_2} + \dots + C_{\mathcal{D}_{M+1}}$$
(16)

350 The maximal scattering order *M* is a DART parameter: paths of length larger than M + 1 are 351 ignored, which is consistent with scattering order *M*. The MIS estimator of $L^{(j)}$ in Eq. (16) is:

$$F_{\rm MIS}^{(j)} = \sum_{n=1}^{M+1} \sum_{s=0}^{n+1} w_{s,t}(\bar{r}_{s,t}) \cdot \frac{f^{(j)}(\bar{r}_{s,t})}{p(\bar{r}_{s,t})}$$
(17)

352 with

$$w_{s,t}(\bar{r}_{s,t}) = \frac{\left(p(\bar{r}_{s,t})\right)^2}{\sum_{s'=0,t'=n-s'+1}^{n+1} \left(p(\bar{r}_{s',t'})\right)^2}$$
(18)

353 Here s + t = s' + t' = n + 1 is always ensured. Appendix C details the incremental 354 computation of Eq. (18) along the random walk.

355

356 **3.3.2** Computation of unweighted contribution $\frac{f^{(j)}(\bar{r}_{s,t})}{p(\bar{r}_{s,t})}$

357 The so-called "unweighted contribution" is the estimate of corresponding path generating way

358 (*cf.* section 3.2.3) using Eq. (11), *i.e.*,
$$\frac{\text{measurement contribution function } f^{(j)}(\bar{r}_{s,t})}{\text{path PDF } p(\bar{r}_{s,t})}.$$

- 359
- 360 Measurement contribution function $f^{(j)}(\bar{r}_{s,t})$. It is the integrand in Eq. (8).

$$f^{(j)}(\bar{r}_{s,t}) = L_e(p_0 \to p_1) \cdot T(\bar{p}_s) \cdot f(p_{s-2} \leftrightarrow p_{s-1} \leftrightarrow q_{t-1}) \cdot G(p_{s-1} \leftrightarrow q_{t-1})$$

$$\cdot f(p_{s-1} \leftrightarrow q_{t-1} \leftrightarrow q_{t-2}) \cdot T(\bar{q}_t) \cdot W_e^{(j)}(q_0 \to q_1)$$
(19)

361 with throughputs $T(\bar{p}_s)$ and $T(\bar{q}_t)$ computed incrementally along the random walks:

$$T(\bar{p}_s) = \prod_{k=1}^{s-1} f(p_{k-2} \leftrightarrow p_{k-1} \leftrightarrow p_k) \cdot G(p_{k-1} \leftrightarrow p_k)$$

$$T(\bar{q}_t) = \prod_{k=1}^{t-1} f(q_k \leftrightarrow q_{k-1} \leftrightarrow q_{k-2}) \cdot G(q_{k-1} \leftrightarrow q_k)$$
(20)

362 The virtual BSDFs $f(p_{-1} \leftrightarrow p_0 \leftrightarrow p_1)$ and $f(q_1 \leftrightarrow q_0 \leftrightarrow q_{-1})$ are introduced to simplify the 363 mathematical formulation. They are set to 1. $f(\bar{r}_{0,t}) = L_e(q_{t-1} \rightarrow q_{t-2}) \cdot T(\bar{p}_t) \cdot W_e^{(j)}(q_0 \rightarrow$ 364 q_1) if s = 0 and $f(\bar{r}_{s,0}) = L_e(p_0 \rightarrow p_1) \cdot T(\bar{p}_s) \cdot W_e^{(j)}(p_{s-1} \rightarrow p_{s-2})$ if t = 0.

365

Path probability density $p(\bar{r}_{s,t})$. In the random walk (*i.e.*, Monte Carlo Markov chain), a stochastic exit direction depends only on the local incident direction. As a result, the PDF $p(p_k|p_{k-1}, p_{k-2})$ of vertex p_k depends only on the two previous vertices p_{k-1} and p_{k-2} and the PDF of a path is the product of the PDF of all its vertices (Eq. (21)).

$$p(\bar{r}_{s,t}) = p(\bar{p}_s) \cdot p(\bar{p}_t) = \prod_{k=0}^{s-1} p(p_k | p_{k-1}, p_{k-2}) \cdot \prod_{k=0}^{t-1} p(q_k | q_{k-1}, q_{k-2})$$
(21)

370

371 3.4 Light and sensor models

372 **3.4.1 light sources**

373 DART-Lux is flexible to integrate a variety of light sources (*e.g.*, sun, sky, moon, LiDAR). 374 Here, the modelling of sunlight and light from the sky is presented. The light from the sky is 375 the light scattered downwards by the atmosphere. If more than one light source is simulated, 376 the light sources are sampled according to their power. The sunlight can be parallel or within a 377 cone and the sky light can be isotropic or anisotropic. In both cases, light is uniformly emitted 378 from a virtual disk A_{disk} that is the projection of the scene sphere along the illumination

direction, *i.e.*, PDF of the first vertex on the light source is $p(p_0) = \frac{1}{A_{\text{disk}}}$. The so-called scene 379 380 sphere is the sphere with minimum radius R that encloses all the scene (Figure 7). The light 381 direction is sampled according to the energy angular distribution. The emitted radiance 382 $L_e(p_0, \Omega_0)$ is always determined by the relationship $E_{BOA} = \int L_e(p_0, \Omega_0) \cdot \cos \theta_0 \, d\Omega_0$ where E_{BOA} is the bottom of atmosphere (BOA) irradiance of the light source. Compared to the 383 384 commonly used strategy in which the light is emitted from the horizontal plane at the scene top 385 as in (North, 1996; Thompson and Goel, 1998), this method is more robust, since it ensures that 386 the scene can be fully illuminated along any light direction even with strong sloping topography. 387

Sunlight. If sunlight (solar direction Ω_s) is parallel, the direction PDF is interpreted as a Dirac delta function $p(\Omega_0) = \delta(\Omega_0 - \Omega_s)$. The emitted radiance is $L_e(p_0, \Omega_0) = \frac{E_{BOA}^{dir}}{\cos \theta_s} \cdot \delta(\Omega_0 - \Omega_s)$, where E_{BOA}^{dir} is the direct irradiance at BOA. If the penumbra is simulated, the direction Ω_0 is uniformly sampled within solid angle $\Delta \Omega = \frac{A_{sun}}{(1 A U)^2} (A_{sun}$ is the solar disk area, 1 A U is the distance from the Earth to sun). Then, the emitted radiance is $L_e(p_0, \Omega_0) = \frac{E_{BOA}^{dir}}{\cos \theta_s \cdot \Delta \Omega}$.

395 $\frac{\cos \theta}{\pi}$. The emitted radiance is $L_e(p_0, \Omega_0) = \frac{E_{BOA}^{diff}}{\pi}$, where E_{BOA}^{diff} is the diffuse irradiance at BOA. 396 If it is anisotropic, the direction PDF and the emitted radiance is computed according to the 397 energy distribution as described in (Wang and Gastellu-Etchegorry, 2021).



Figure 7. Scene illumination of a) Parallel sunlight and b) diffuse light from the sky. The Earth scene
is in a scene sphere with radius *R*. Sunlight or light from the sky originates from a virtual disk
that is the projection of the scene sphere along the illumination direction.

402 3.4.2 Sensors

403 The two common remote sensing sensors, *i.e.*, pinhole camera and orthographic camera, are 404 implemented. The pinhole camera has an infinitesimal lens. It is used to simulate airborne and 405 in-situ observations with infinite depth of field. The orthographic camera has an infinitesimal 406 field of view (FOV). It is used to simulate satellite images. For both cameras, the random walk 407 starts by sampling a random vertex on the lens A_0 and a direction in the FOV. The computation 408 of importance W_e is detailed in appendix A. In addition, a special camera, called BRF camera, 409 is designed for an efficient simulation of the scene albedo, BRF and DBT.

410

411 **Pinhole camera** (Figure 3). The pinhole is at a distance f in front of the image plane A_{img} . The 412 vertex on the lens is sampled by a Dirac delta function $p(q_0) = \delta(q_0 - q_d)$, with q_d the pinhole 413 position. The direction is obtained by uniformly sampling a vertex q_{img} on the image plane and 414 connect q_0 on the lens. Since the lens does not refract rays, the directional PDF is derived from

415 the relationship
$$p(\Omega_0) = p(q_{\text{img}}) \cdot \frac{\left(\frac{f}{\cos \theta_i^{q_0}}\right)^2}{\cos \theta_i^{q_0}} = \frac{f^2}{A_{\text{img}} \cdot \cos^3 \theta_i^{q_0}}$$

416

417 **Orthographic camera** (Figure 8). It captures parallel radiation perpendicular to the image 418 plane. Hence, the lens has the same shape as the image ($A_0 \equiv A_{img}$). The vertex on the lens is 419 uniformly sampled with PDF $p(q_0) = \frac{1}{A_0}$ and the direction is sampled by a Dirac delta function

420 $p(\Omega_0) = \delta(\Omega_0 - \Omega_d)$ with Ω_d the orientation of the camera.



421

mage plane ming

422 Figure 8. Orthographic camera. Lens with area A_0 is placed in front of the image plane A_{img} ($A_0 \equiv$ 423 A_{img}). Radiation from a differential surface $dA(q_1)$ in the scene along direction $q_1 \rightarrow q_0$ is 424 focused by the lens onto the differential surface $dA(q_{img})$ at the image plane.

425

426 **BRF camera.** It is designed for BDPT algorithm to give the scene exit radiance. Its hemispheric 427 image plane is an array of pixels that capture the scene upward radiation along a solid angle 428 $\Delta\Omega = \int_{\Delta\varphi} \int_{\Delta\theta} \sin\theta \, d\theta d\varphi$ (Figure 9.b). Each pixel stores the scene average radiance along a 429 direction $\Omega_0(\Delta\Omega)$. An exit direction is sampled with:

$$p(\Omega_0) = \frac{p(\theta, \varphi)}{\sin \theta}, \text{ with } \begin{cases} p(\theta) = \frac{1}{\pi/2} \\ p(\varphi) = \frac{1}{2\pi} \end{cases}$$
(22)

430 Then, the vertex on the lens is uniformly sampled on the scene ortho-projected surface 431 $A_{\text{ortho}}(\Omega_0)$ (Figure 9.a) along the viewing direction Ω_0 with PDF $p(q_0) = \frac{1}{A_{\text{ortho}}(\Omega_0)}$.

432

433 Compared to the photon spread method (Thompson and Goel, 1998) commonly implemented 434 in forward light transport code, such as SPRINT and Rayspread, the BRF camera offers two 435 advantages: (1) it is flexible to implement in Monte Carlo codes (*e.g.*, forward, backward and 436 bi-directional light transport), and (2) the mean radiance/reflectance of any direction with any 437 solid angle can be derived in a postprocess once the camera pixel values are computed.



438

439 Figure 9. a) Exit radiation of a landscape along direction Ω_0 captured by a "specific" orthographic 440 camera with image plane $A_{ortho}(\Omega_0)$. b) The hemispheric image plane of the BRF camera.

441

442 4 Comparison with standard DART-FT

Here, DART-Lux accuracy for BRF and remote sensing images is assessed using DART-FT as
a reference. Indeed, as indicated in section 2.2, DART-FT accuracy is ~0.02 for reflectance, <

2 K for brightness temperature and ~1% compared to benchmark RT models. Three scenes are
considered: schematic scene, urban scene and forest scene.

447

448 4.1 Schematic scene

The schematic scene (Figure 10) has seven cherry trees with different sizes and a DART-created house with gable roof to assess DART-Lux accuracy in presence of slopes. Its mock-up consists of 0.137 million facets. Table 1 and Table 2 give DART input parameters. Its BOA images are simulated for four spectral bands (blue B: 0.44 μ m; green G: 0.56 μ m; red R: 0.66 μ m; near infrared NIR: 0.87 μ m) at 0.125m spatial resolution, for three light conditions (*i.e.*, single and multiple light sources) with SKYL = $\frac{BOA sky diffuse irradiance}{BOA total irradiance}$ equal to 0 (direct sun), 1 (diffuse sky) and 0.5 (direct sun + diffuse sky).

457 Table 1. Input parameters for the mock-up, light source and spectral band.

Parameters		Value
DART scene	Scene dimension	X = Y = 32 m
	Spatial resolution	$\Delta x = \Delta y = 0.125 \text{ m}$
	Tree model	Cherry tree
	Building model	DART classic house
	Neighborhood effect	Repetitive mode
Sunlight	Direction	Zenith angle $\theta_{sun} = 30^\circ$, Azimuth angle $\varphi_{sun} = 225^\circ$
_		
	TOA irradiance	THKUR (Berk et al., 2008)
Sky light	SKYL	0. 0.5 or 1
~~,g		·, ···
Spectral band	Spectral band	0.44 µm, 0.56 µm, 0.66 µm, 0.87 µm
- F	1	· · · · · · · · · · · · · · · · · · ·
	Bandwidth	0.02 <i>u</i> m
	254114 11 14411	0.02 pm

DART-Lux		DART-FT		
Samples/pixel	400	Discrete direction	1000	
Max scattering order	6	Max scattering order	6	
Number of threads	8	Number of threads	8	
		Illumination rays per pixel	169	

459 Table 2. Configurations of DART-Lux and DART-FT RT methods.



462 Figure 10. Mock-up of the schematic scene: 7 cherry trees of different sizes and a DART classic house.463

The consistency of DART-Lux and DART-FT images is illustrated by the visual comparison of their RGB colour composite images (Figure 11) and by the scatter plots of their NIR reflectance (Figure 12) for the three BOA illumination conditions. Degrading the image resolution from 0.125m to 0.5 m greatly improves the pixelwise comparison from $\{R^2 > 0.968,$ bias < 0.006} to $\{R^2 > 0.995,$ bias < 0.0004} because it mitigates the noise and discretization effects. Figure 13 shows the BRF profiles in the solar plane for the four spectral bands (B, G, R, NIR), with viewing zenith angle step $\Delta\theta_v = 5^\circ$. Differences are quantified by the average

471 absolute relative difference $\bar{\varepsilon} = \frac{1}{N_{\theta_v}} \sum_{\theta_v} \left| \frac{\rho_{\text{DART-LUX}}(\theta_v) - \rho_{\text{DART-FT}}(\theta_v)}{\rho_{\text{DART-FT}}(\theta_v)} \right| \cdot 100\%$ with N_{θ_v} the 472 number of viewing directions. Usually, $\bar{\varepsilon} \approx 0.4\%$ (Table 3) and maximal $\bar{\varepsilon}_{\text{max}} \approx 0.6\%$. The 473 slight differences in the scatter plot and the BRF profile are mostly due to DART-Lux Monte 474 Carlo noise and DART-FT discretization processes. Indeed, even with 1000 discrete directions, 475 the DART-FT "atmosphere shadows" (*i.e.*, SKYL = 1) have a discrete aspect less realistic than 476 that with DART-Lux.



477 Figure 11. DART-FT (top) and DART-Lux (bottom) RGB images for three light conditions: SKYL=0
478 (left), SKYL=1 (centre) and SKYL=0.5 (right).

 $SKYL = 0 \qquad SKYL = 1 \qquad SKYL = 0.5$



479 Figure 12. Pixelwise comparison of DART-FT and DART-Lux NIR reflectance. Pixel values

at 0.5 m resolution result of the degradation of the initial image at 0.125 m resolution.







482 Figure 13. DART-FT and DART-Lux solar plane reflectance ($\Delta \theta_{\nu} = 5^{\circ}$) in four spectral bands (R, G,

B, NIR) for three illuminations (SKYL=0, SKYL=1, SKYL=0.5).

483

484

485

Table 3. Summary of average absolute relative difference $\bar{\varepsilon}$ of BRF in Figure 13.

Band	SKYL = 0	SKYL = 1	SKYL = 0.5
В	0.443	0.335	0.360
G	0.467	0.336	0.359
R	0.445	0.349	0.404
NIR	0.605	0.226	0.338

486

487 **4.2** Urban scene

The urban scene is the Brienne district (1400 m × 750 m) of Toulouse, France. Its 3D mockup (Figure 14.a) was provided by the Toulouse townhall. It contains 953 buildings, 2433 trees, 3 grasslands, 1 river, 1 canal and other city facilities, represented by 8 million facets. DART-FT and DART-Lux are configured with direct sun light ($\theta_{sun} = 20^\circ, \varphi_{sun} = 180^\circ, SKYL = 0$), 29 492 0.5 m spatial resolution, four spectral bands (B: 0.44 μ m, G: 0.55 μ m, R: 0.66 μ m, NIR: 0.87 493 μ m), maximal scattering orders 6, no topography, and no atmosphere. Common optical 494 properties are assigned per type of urban element (e.g., roof, vegetation). DART-FT is run with 495 100 discrete directions and 100 illumination rays per pixel. DART-Lux is run with 60 samples 496 per pixel.

497

498 DART-FT and DART-Lux RGB images are very close as illustrated by their RGB colour 499 composites (Figure 14) and scatter plot of pixel reflectance in R band at 0.5m resolution (Figure 500 15.a): R²>0.99 and bias ~0.0001. Degrading image resolution down to 2.0 m improves their 501 similarity: R²>0.999 and bias <0.0001 (Figure 15.b). Figure 15.c shows the R band BRF in the 502 solar plane with zenith angle step $\Delta \theta_{\nu} = 2^{\circ}$. Its average absolute relative difference $\bar{\varepsilon}$ is 0.24%.







504 (c) RGB images.





Figure 15. DART-Lux and DART-FT reflectance in R band. Scatter plot of pixel reflectance for 0.5
m (a) and 2.0 m (b) spatial resolution. c) Reflectance in the solar plane.

508

509 4.3 Forest scene

The forest scene is the Järvselja summer birch forest (summer, HET09_JBS_SUM) of RAMI4 experiment (https://rami-benchmark.jrc.ec.europa.eu). It has 1029 realistic trees with 465 birch trees, 196 common alder trees, 185 aspen trees, 78 linden trees, 39 spruce trees, and 46 ash and maple trees (Figure 16). Its mock-up is created by repeating and/or rotating 18 individual 3D tree objects. For example, the 465 birch trees are generated by cloning and/or rotating 4 birch tree objects at different growing stages. This forest stand is very challenging for 3D RT models (Figure 3 in (Widlowski et al., 2015)) because it consists of more than 550 million facets.

517

518 Simulations are for direct sun illumination ($\theta_{sun} = 36.6^\circ$, $\varphi_{sun} = 270.69^\circ$, SKYL=0), 0.125 m 519 spatial resolution, 4 spectral bands (B: 0.44 μ m, G: 0.55 μ m, R: 0.66 μ m, NIR: 0.87 μ m), 520 maximal scattering order 6, and specific optical properties are assigned per tree species. DART-521 Lux is run with 200 samples per pixel. DART-FT is run with 62500 illumination rays per pixel, 522 and 80 discrete directions. Figure 16.b shows DART-FT and DART-Lux RGB color composite 523 images. As for the schematic and urban cases, the scatter plots of pixel NIR reflectance indicate that the pixelwise comparison greatly improves from 0.125 m spatial resolution (Figure 16.d): 524 $\{R^2 > 0.93, bias \approx 0.01\}$ to 1 m spatial resolution (Figure 16.e): $\{R^2 > 0.997, bias < 0.002\}$. 525

526

527 Figure 16.f shows DART-FT and DART-Lux NIR reflectance in the solar plane, at first order 528 scattering. DART-FT is run with and without an acceleration technique: rays that exit a cell 529 face along a same direction are not merged (approximate case called DART-FT) or merged 530 (accurate case called DART-FT-REF, used as a reference). DART-Lux average absolute

relative difference is $\bar{\varepsilon} = 0.5\%$ for DART-FT-REF and 0.7% for DART-FT. Larger differences occur at the hot spot direction. DART-FT underestimates the hot spot (Figure 16.f) because its merging technique reduces the exactly backscattered rays. Multiple scattering is only simulated with DART-FT and DART-Lux because DART-FT-REF is very time and memory consuming. The average absolute difference $\bar{\varepsilon}$ between DART-Lux and DART-FT is 1.0% (Figure 16.g).



Figure 16. Järvselja birch forest. a) Location of trees and 3D mock-up of the centre region. DART-FT (b) and DART-Lux (c) RGB images at resolution 0.125 m. Scatter plot of pixel NIR reflectance at resolution 0.125 m (d) and 1.0 m (e). DART-FT and DART-Lux NIR reflectance in the solar plane with zenith angle step $\Delta \theta_{\nu} = 2^{\circ}$: f) single scattering reflectance, g) total reflectance.

540

541 5 Discussion

542 5.1 Correlation of path samples

543 The BDPT (cf. section 3.2) with N_{ν} vertices per random walk is very efficient because it creates N_v^2 paths with only $N_v^2 + 2(N_v - 1)$ intersection tests, compared to N_v^3 if each path is created 544 545 independently. Knowing that the intersection test is the most computational expensive process in 3D RT modelling, the BDPT decreases simulation time by a factor $\frac{N_v^3}{N_v^2+2(N_v-1)}$. However, it 546 547 increases the covariance (i.e., Monte Carlo noise) between path samples, because they are 548 created with the same light and sensor sub-paths. Although the MIS estimator gives unbiased 549 results (cf. appendix D), compared to estimates with independent path samples, the path sample correlation can increase the overall variance by a maximal factor f. With $\tau \approx \frac{C_{D_n}}{C_{D_{n+1}}}$ ($0 \le \tau < 1$ 550 1) the average ratio of contributions of successive scattering orders (*cf.* appendix E), we have: 551 552

$$f(\tau) = \frac{(1+\tau) \cdot \left(2 + \tau \left(\frac{\sqrt{2}}{2} - \tau\right)(3-\tau)\right)}{(1-\tau)^2}$$
(23)

553

Figure 17.a shows the decrease in the contribution of the scene radiance of scattering order n = 1to 6, relative to the contribution of first order scattering, for the three scenes studied in section 4. The trendiness is an exponentiation $g(n)=\tau^{-(n-1)}$ with τ that in [0, 0.1] for most simulations in visible bands and in [0.4, 0.6] for most simulations in the NIR band. $f(\tau)$ of Eq. (23) (Figure 558 17.b) is less than 2.4 for simulations in visible bands, and in [9, 22] for most simulations in the 559 NIR band, which stresses that DART-Lux usually converges faster in visible bands than in NIR 560 bands. This is also underlined by the BRF profiles (Figure 13): results are noisier in NIR bands 561 than in visible bands if the same number of samples per pixel is used.



Figure 17. Contribution g(n) of scene radiance for scattering order n = 1 to 6, relative to the contribution of first order scattering, for the three studied scenes: schematic, urban and forest. a) Trendlines $g(n)=\tau^{-(n-1)}$. b) Factor *f* in function of τ value (Eq. (23)).

565

566 The efficiency η of a Monte Carlo method depends on its variance V and computation time T 567 (Eq. (24)) (Veach, 1997).

$$\eta = \frac{1}{\mathbb{V} \cdot \mathbb{T}} \tag{24}$$

568 Compared to the use of independent paths, the DART-Lux BDPT algorithm appears to be more 569 efficient despite the correlation of its path samples. The efficiency gains of DART-Lux over 570 the method with independent path samples is $\gamma = \left(\frac{\eta_{\text{DART-Lux}}}{\eta_{\text{independent path}}} - 1\right) = \left(\frac{1}{f} \cdot \frac{N_v^3}{N_v^2 + 2(N_v - 1)} - 1\right)$ 571 1). In visible bands, usually $N_v = 5$, $f \le 2.5$, then $\gamma > 50\%$. In NIR bands, usually $N_v \ge 40$,

572 $f \le 22$, then $\gamma > 70\%$. Actually, γ is even larger because the variance is usually smaller than 573 the upper boundary variance.

575 5.2 Advantages of DART-Lux for simulating images

576 Compared to DART-FT, DART-Lux has great advantages for simulating remote sensing 577 images and BRF, especially for complex scenes with millions of facets. Table 4 summarizes 578 the memory demand and computation time of simulations in section 4. For the Järvselja birch 579 forest, DART-Lux reduces the simulation time by 715 times, and the memory by 142 times. 580 Four factors explain DART-Lux efficiency. 1) End-to-end simulation: DART-Lux samples the 581 paths that contribute only to the simulated image whereas DART-FT tracks all possible paths. 582 2) Efficient path generating strategy: bi-directional random walk and vertex connection ways 583 can generate a group of paths with less time cost. Despite the potential increase of variance 584 since path samples can be correlated, the overall efficiency increases (cf. section 5.1). 3) Depth-585 first strategy: the random walk requires much less memory compared to the breadth-first 586 strategy of DART-FT whose memory demand greatly increases with scattering order. Although 587 DART-FT applies an acceleration technique by merging rays that come out of a cell face for 588 each discrete direction (cf. section 4.3), its memory usage is still very high for modelling large-589 scale landscapes. 4) Data organisation: for a scene with N instances of a 3D object, DART-Lux 590 cloning technique stores a unique 3D object and N rotation - scaling matrices whereas DART-FT stores N 3D objects in the memory for simulating the 3D radiative budget. Therefore, 591 592 DART-Lux uses much less memory and time than DART-FT.

594Table 4. Simulation time and memory demand for the three cases of section 4. Cases 1 and 2 are595simulated on a personal computer (Intel Xeon E5-1620 @ 3.5 GHz, 8 cores, 64 Gb memory).596Case 3 is simulated on a server (Intel Xeon E5-2687W @ 3.1 GHz, 40 cores, 560 Gb memory).

	DAF	RT-FT	DART-Lux	
Scene	Time (min) Memory (Gb)		Time (min)	Memory (Gb)

Case 1: Schematic	70.8	1.25	1.38	0.07
Case 2: Urban	571	40.0	10.86	2.60
Case 3: Forest	4962	469.0	6.93	3.30

598 5.3 Accuracy of DART-Lux

599 In theory, the Monte Carlo method is more accurate than the discrete ordinates method, because 600 it does not need mock-up or modelling simplifications. The DART-FT underestimation of the 601 hot-spot in Figure 16.f illustrates this point. However, because Monte Carlo methods need many 602 samples to reach convergence, there is a trade-off between accuracy and number of samples. 603 Fortunately, DART-Lux accuracy and efficiency is less dependent than DART-FT on the scene 604 complexity. For example, the forest scene has an average computation time per sample (*i.e.*, $\frac{\text{Simulation time}}{\text{Number of samples}}$) that is only 7 times longer than for the schematic scene, whereas it is 4000 605 times more complex than the schematic scene in terms of number of facets (Table 5). Table 6 606 607 shows the accuracy of DART-Lux forest reflectance for six values of samples/m²: difference 608 $\varepsilon_{\text{mean}}$ of image mean reflectance, and RMSE $\varepsilon_{\text{pixel}}$ of image pixel reflectance relative to the 609 reference values computed with a huge number of samples/m². Results stress that DART-Lux 610 configuration can be optimized according to the application and accuracy requirements. 1) 611 Convergence is much faster for low reflectance bands than high reflectance bands, which is 612 consistent with discussion in section 5.1. 2) $\varepsilon_{\text{mean}}$ and $\varepsilon_{\text{pixel}}$ decrease with the increase of 613 samples/m², with a much faster convergence for ε_{mean} than for ε_{pixel} .

614

Table 5. Average time cost per sample $\frac{\text{Simulation time}}{\text{Number of samples}}$ of the schematic and forest scenes in section

616

4, for an Intel Xeon E5-2687W server (3.1 GHz, 40 cores, 560 Gb memory).

Scene	Nb facet	Nb pixels	Samples/pixel	Time (min)	Time/sample (μ s)

Case 1: Schematic	0.137 106	65536	400	0.20	0.45
Case 3: Forest	558.2 10 ⁶	640000	200	6.93	3.25

618 Table 6. Absolute nadir reflectance error ε_{mean} and pixel RMSE ε_{pixel} of forest scene in the G and

619

NIR bands for six samples/m² values; reference images are simulated with 128000 samples/m².

	Samples/m ²	640	3200	6400	12800	25600	51200
C	$\varepsilon_{ m mean}$	3.8E-6	9.7E-6	4.0E-7	2.4E-6	1.5E-6	2.1E-6
G	$\varepsilon_{ m pixel}$	0.010	0.005	0.003	0.002	0.002	0.001
NID	$\varepsilon_{ m mean}$	3.0E-6	4.1E-5	1.2E-5	4.9E-6	1.3E-5	1.1E-5
INIK	$\varepsilon_{\rm pixel}$	0.075	0.034	0.024	0.018	0.013	0.010

620

621 6 Conclusion and perspectives

622 The unbiased, rapid and robust DART-Lux is a new Monte Carlo RT method in DART. Its 623 physical modelling relies on a bidirectional path tracing algorithm that efficiently samples a 624 group of paths between the light source and the sensor to estimate radiance measurements. The 625 algorithm is flexible to incorporate multi light sources (e.g., sun and sky) and multi sensors 626 (perspective camera, orthographic camera, BRF camera). It greatly improves the computational 627 efficiency of DART to simulate spectral images and BRF. Its accuracy and efficiency are 628 assessed by standard DART-FT for three landscapes (i.e., schematic scene, urban scene, forest 629 scene). Compared to DART-FT, DART-Lux gives consistent results (relative difference < 1%) 630 while reducing the computation time by up to a factor of 700. In addition, conversely to DART-631 FT, its accuracy and efficiency depend much less on the landscape complexity.

632



634 variance. It appears that DART-Lux algorithm improves efficiency η (*i.e.*, inverse of Variance 38

Komputation time) even if it creates correlated path samples. It also has great advantages and is much faster for simulating remote sensing images due to end-to-end modelling, efficient path sampling and depth-first strategy. Finally, a sensitivity study shows that DART-Lux error decreases with the number of samples, image mean values converges much faster than image pixel values, and the convergence is faster for low reflectance bands than for high reflectance bands.

641

642 The high-performance DART-Lux addresses the requirements for simulating large-scale and 643 complex landscapes and massive remote sensing data, as well as the trends in RT model 644 development. The Monte Carlo approach is potentially better adapted than discrete ordinates 645 method for designing and implementing complex physical phenomena such as adjacency effects and clouds scattering and shadowing. DART-Lux opens new avenues for many remote 646 647 sensing applications: design of satellite missions; correction of directional effects; inversion of 648 remote sensing images; training machine learning models with a large amount of images; 649 studying the impact of complex 3D architecture, etc. DART-Lux modelling development is still 650 underway to expand DART functionality, including SIF and thermal emission, LiDAR, 651 atmospheric RT, polarization and 3D radiative budget (Gastellu-Etchegorry et al., 2022; 652 Regaieg et al., 2022; Yang et al., 2022).

653

654 Acknowledgement

655 This work is funded by Région Occitanie Pyrénées-Méditerranée, France and TOSCA program 656 of CNES. We are grateful to CNRS for funding the PhD of Omar Regaieg through the 80|Prime 657 program and to Dr I. Georgiev (Saarland University, German) and W. Huang (Walt Disney 658 Animation Studio, USA) for their advice on the algorithm of bidirectional path tracing. We also

- 659 thank Toulouse Metropole, and in particular M. Pagès, Head of the Digital Land Modelling
- 660 Service, for providing the urban geometric database of the district of Toulouse used in this work.

662 Appendix A. Definition of the importance function

663 The importance function $W_e(r_0, \Omega_0)$ (Nicodemus, 1978), quantifies the sensor response 664 $dS(r_0, \Omega_0)$ to the flux $d\Phi(r_0, \Omega_0)$ incident on the sensor lens at r_0 along direction Ω_0 .

$$d\mathbf{S}(r_0, \Omega_0) = W_e(r_0, \Omega_0) d\Phi(r_0, \Omega_0)$$
(A.1)

665 With the usual assumption of constant W_e for a position r_0 and a direction Ω_0 , the sensor response 666 of pixel *j* is:

$$S^{(j)} = \int_{A_0} \int_{\Delta\Omega_0} W_e^{(j)}(r_0, \Omega_0) d\Phi(r_0, \Omega_0) = \int_{A_0} \int_{\Delta\Omega_0} W_e^{(j)}(r_0, \Omega_0) \cdot L(r_1 \to r_0) \cos \theta_i^{r_0} d\Omega_0 dA(r_0) \quad (A.2)$$

667 DART-Lux importance function $W_e(r_0, \Omega_0)$ is such that it transfers the sensor response $S^{(j)}$ to

radiance measurement $L^{(j)}$ (*i.e.*, average radiance for pixel area $A_{img}^{(j)}$), knowing $L(r_{img})$ at r_{img} :

$$L^{(j)} = \frac{1}{A_{\rm img}^{(j)}} \int_{A_{\rm img}^{(j)}} L(r_{\rm img}) dA(r_{\rm img})$$
(A.3)

- 669 Below, we give the expression of $W_e(r_0, \Omega_0)$ for two sensors:
- 670 <u>*Pinhole camera*</u> ($A_0 \ll A_{img}$, Figure 3): the optical system does not refract incident rays. Eq.(A.3)

671 gives
$$L^{(j)} = \frac{1}{A_{img}^{(j)}} \cdot \int_{\Delta\Omega_0^{(j)}} L(r_1 \to r_0) \cdot J_T d\Omega_0$$
 with transfer function $J_T = \frac{(f/\cos\theta_i^{r_0})^2}{\cos\theta_i^{r_0}}$ and solid angle

- 672 $\Delta\Omega_0^{(j)}$ that contains the directions that are mapped to $A_{img}^{(j)}$. Comparing $L^{(j)}$ to Eq. (A.2) and
- 673 assuming that $\int_{\Delta\Omega_0} W_e^{(j)}(r_0, \Omega_0) \cdot L(r_1 \rightarrow r_0) \cdot \cos \theta_i^{r_0} d\Omega_0$ is constant over A_0 , we have:

$$W_e^{(j)}(r_0, \Omega_0) = \begin{cases} \frac{f^2}{A_{\text{img}}^{(j)} \cdot A_0 \cdot (\cos \theta_i^{r_0})^4}, \Omega_0 \in \Delta \Omega_0^{(j)} \\ 0, & \Omega_0 \notin \Delta \Omega_0^{(j)} \end{cases}$$
(A.4)

674 <u>Orthographic camera</u> $(A_0 \equiv A_{img}, Figure 8)$: it captures light in very narrow $\Delta\Omega_0$. With Ω_d the 675 camera orientation, $S^{(j)} = \int_{A_0} \frac{W_e^{(j)}(r_0,\Omega_0)}{\delta(\Omega_0 - \Omega_d)} \cdot L(r_1 \rightarrow r_0) dA(r_0)$. Its comparison to Eq. (A.3) gives:

$$W_e^{(j)}(r_0, \Omega_0) = \frac{\delta(\Omega_0 - \Omega_d)}{A_{\text{img}}^{(j)}}$$
(A.5)

676 Appendix B. Importance transport equation (backward light transport)

677 Christensen et al. (1993) proved that the backward and forward light transport are symmetric if 678 the BSDF reciprocity is verified. Then, the importance function W_e can be treated equivalently 679 as an exit quantity as the emitted radiance L_e . The importance function $W^{(j)}(r \rightarrow r')$ along 680 direction $r \rightarrow r'$ quantifies the contribution of the exit radiance at r' to the radiance 681 measurement at pixel j. Let a virtual ray carrying $W^{(j)}(r \rightarrow r')$ that starts from r, and is 682 virtually scattered to r'' after being intercepted at r'. The adjoint formulation of Eq. (3) is:

$$W^{(j)}(r' \to r'') = W_e^{(j)}(r' \to r'') + \int_A W^{(j)}(r \to r') \cdot f(r \to r' \to r'') \cdot G(r \leftrightarrow r') dA(r)$$
(B.1)

683 Eq. (3) and Eq. (B.1) are symmetric if there is BSDF reciprocity, *i.e.*, $f(r \rightarrow r' \rightarrow r'') = f(r'' \rightarrow r' \rightarrow r)$.

685

687 Appendix C. MIS weight evaluation

688 Direct evaluation of the MIS weight is very computationally expensive, which reduces the 689 efficiency of the bidirectional path tracing algorithm. DART-Lux uses an efficient method to 690 evaluate it incrementally along the random walk.

691

692 The power heuristic MIS weight is:

$$w_{s,t}(\bar{r}_{s,t}) = \frac{\left(p(\bar{r}_{s,t})\right)^2}{\sum_{s'=0}^{n+1} \left(p(\bar{r}_{s',t'})\right)^2}$$
(C.1)

693 where s + t = s' + t' = n + 1. The virtual path $\bar{r}_{s',t'}$ with $(s',t') \neq (s,t)$ has the same 694 vertices as the sampled path $\bar{r}_{s,t}$, but is generated with another sampling way (Figure 6). The 695 term "virtual path" emphasizes that the path is only used to evaluate the MIS weight $w_{s,t}(\bar{r}_{s,t})$. 696

697 The division of Eq. (C.1) by
$$(p(\bar{r}_{s,t}))^2$$
 gives:

$$w_{s,t}(\bar{r}_{s,t}) = \frac{1}{w_{s-1}^{\text{light}} + 1 + w_{t-1}^{\text{sensor}}}$$
(C.2)

698 with

$$w_{s-1}^{\text{light}} = \sum_{s'=0}^{s-1} \left(\frac{p(\bar{r}_{s',t'})}{p(\bar{r}_{s,t})} \right)^2 \text{ and } w_{t-1}^{\text{sensor}} = \sum_{t'=0}^{t-1} \left(\frac{p(\bar{r}_{s',t'})}{p(\bar{r}_{s,t})} \right)^2$$
(C.3)

699 The terms $\vec{p}(p_k) \equiv p(p_k | p_{k-1}, p_{k-2})$, $\tilde{p}(p_k) \equiv p(p_k | p_{k+1}, p_{k+2})$ and $\vec{p}(q_k) \equiv$ 700 $p(q_k | q_k) = p(q_k | q_k - q_{k-1})$ are used to simplify the expressions of $p(\bar{r}_{k+1})$

700
$$p(q_k|q_{k+1}, q_{k+2}), \ \bar{p}(q_k) \equiv p(q_k|q_{k-1}, q_{k-2})$$
 are used to simplify the expressions of $p(\bar{r}_{s,t})$
701 and $p(\bar{r}_{s',t'})$:

$$p(\bar{r}_{s,t}) = p(\bar{p}_s) \cdot p(\bar{p}_t) = \prod_{k=0}^{s-1} \vec{p}(p_k) \cdot \prod_{k=0}^{t-1} \vec{p}(q_k)$$
(C.4)

$$p(\bar{r}_{s',t'}) = \begin{cases} \prod_{k=0}^{s'-1} \vec{p}(p_k) \cdot \prod_{k=s'}^{s-1} \vec{p}(p_k) \cdot \prod_{k=0}^{t-1} \vec{p}(q_k), s' < s \\ \prod_{k=0}^{s-1} \vec{p}(p_k) \cdot \prod_{k=t'}^{t-1} \vec{p}(q_k) \cdot \prod_{k=0}^{t'-1} \vec{p}(q_k), s' > s \end{cases}$$

702 Using Eq. (C.4), Eq. (C.3) can be expanded as

$$w_{s-1}^{\text{light}} = \sum_{s'=0}^{s-1} \left(\frac{\prod_{k=0}^{s'-1} \vec{p}(p_k) \cdot \prod_{k=s'}^{s-1} \vec{p}(p_k) \cdot \prod_{k=0}^{t-1} \vec{p}(q_k)}{\prod_{k=0}^{s-1} \vec{p}(p_k) \cdot \prod_{k=0}^{t-1} \vec{p}(q_k)} \right)^2 = \sum_{s'=0}^{s-1} \left(\prod_{k=s'}^{s-1} \frac{\vec{p}(p_k)}{\vec{p}(p_k)} \right)^2$$
(C.5)
$$w_{t-1}^{\text{sensor}} = \sum_{t'=0}^{t-1} \left(\frac{\prod_{k=0}^{s-1} \vec{p}(p_k) \cdot \prod_{k=t'}^{t-1} \vec{p}(q_k) \cdot \prod_{k=0}^{t'-1} \vec{p}(q_k)}{\prod_{k=0}^{s-1} \vec{p}(p_k) \cdot \prod_{k=0}^{t-1} \vec{p}(q_k)} \right)^2 = \sum_{t'=0}^{t-1} \left(\frac{\prod_{k=t'}^{s-1} \vec{p}(q_k)}{\prod_{k=0}^{s-1} \vec{p}(q_k) \cdot \prod_{k=0}^{t-1} \vec{p}(q_k)} \right)^2$$

Both w_{s-1}^{light} and w_{t-1}^{sensor} can be iteratively evaluated. After mathematical inductions, Eq. (C.6) can be incrementally evaluated along the random walk. Then, the MIS weight (Eq. (C.2)) of any path sample is fast computed based on w_k^{light} and w_k^{sensor} .

$$w_{k}^{\text{light}} = [\tilde{p}(p_{k})]^{2} \left(\frac{1}{[\vec{p}(p_{k})]^{2}} + \frac{w_{k-1}^{\text{light}}}{[\vec{p}(p_{k})]^{2}} \right)$$

$$w_{k}^{\text{sensor}} = [\vec{p}(q_{k})]^{2} \left(\frac{1}{[\vec{p}(q_{k})]^{2}} + \frac{w_{k-1}^{\text{sensor}}}{[\vec{p}(q_{k})]^{2}} \right)$$
(C.6)

706

708 Appendix D. Upper boundary of estimate variance of C_{D_n}

709 The variance of estimate of scattering order contribution C_{D_n} can increase if path samples $\bar{r}_{s,t}$

710 $(\bar{r}_{s,t} \in D_n, s+t=n+1)$ are not all independent. The MIS estimator for C_{D_n} and its variance are

$$F_{\mathcal{D}_n} = \sum_{s=0}^{n+1} w_{s,t}(\bar{r}_{s,t}) \cdot \frac{f^{(j)}(\bar{r}_{s,t})}{p(\bar{r}_{s,t})} = \sum_{s=0}^{n+1} F_{s,t}_{(s+t=n+1)}$$
(D.1)

$$\mathbb{V}(F_{\mathcal{D}_n}) = \sum_{s=0}^{n+1} \sum_{s'=0}^{n+1} \operatorname{Cov}(F_{s,t}, F_{s',t'}) = \sum_{s=0}^{n+1} \mathbb{V}(F_{s,t}) + \sum_{s=0}^{n+1} \sum_{s'=0, s'\neq s}^{n+1} \operatorname{Cov}(F_{s,t}, F_{s',t'})$$
(D.2)

711 With independent path samples, $|Cov(F_{s,t}, F_{s',t'})|=0 \quad \forall s' \neq s$, then $\mathbb{V}(F_N) = \sum_{s=0}^{n+1} \mathbb{V}(F_{s,t}) = \delta_{\mathcal{D}_n}^2$.

712 When $|Cov(F_{s,t}, F_{s',t'})| > 0$ the overall variance can increase. By resampling vertices on light 713 source or sensor (*i.e.*, no re-use of already sampled vertex), the "connect to light" and "connect

714 to sensor" methods (Figure 5) reduce path correlation. For path sample
$$\bar{r}_{s,t}$$
 of length *n*:

715
$$\operatorname{Cov}(\bar{r}_{0,n+1}, \bar{r}_{n+1,0}) = \operatorname{Cov}(\bar{r}_{1,n}, \bar{r}_{n,1}) = \operatorname{Cov}(\bar{r}_{0,n+1}, \bar{r}_{n,1}) = \operatorname{Cov}(\bar{r}_{1,n}, \bar{r}_{n+1,0}) = 0 \text{ and } \mathbb{V}(F_{\mathcal{D}_1}) = \delta_{\mathcal{D}_1}^2.$$

716 If n > 1, the upper boundary of $\mathbb{V}(F_{\mathcal{D}_n})$ is computed with the Cauchy-Schwarz inequality

717
$$\operatorname{Cov}(X, Y) \le \sqrt{\mathbb{V}(X) \cdot \mathbb{V}(Y)}$$
 and inequality $\sqrt{\mathbb{V}(X) \cdot \mathbb{V}(Y)} \le \frac{\mathbb{V}(X) + \mathbb{V}(Y)}{2}$.

718
$$\mathbb{V}(F_{\mathcal{D}_n}) \leq \sum_{s=0}^{n+1} \sum_{s'=0}^{n+1} \frac{\mathbb{V}(F_{s,t}) + \mathbb{V}(F_{s',t'})}{2} - 2\left(\mathbb{V}(F_{0,n+1}) + \mathbb{V}(F_{1,n}) + \mathbb{V}(F_{n+1,0}) + \mathbb{V}(F_{n,1})\right)$$

719
$$= (n+2)\delta_{\mathcal{D}_n}^2 - 2\left(\mathbb{V}(F_{0,n+1}) + \mathbb{V}(F_{1,n}) + \mathbb{V}(F_{n+1,0}) + \mathbb{V}(F_{n,1})\right)$$

Hence:

$$\begin{cases}
\mathbb{V}(F_{\mathcal{D}_n}) = \delta_{\mathcal{D}_n}^2, & \text{if } n = 1 \\
\mathbb{V}(F_{\mathcal{D}_n}) \le 2\delta_{\mathcal{D}_n}^2, & \text{if } n = 2 \\
\mathbb{V}(F_{\mathcal{D}_n}) \le (n+2)\delta_{\mathcal{D}_n}^2, & \text{if } n > 2
\end{cases}$$
(D.3)

Although the variance of the estimator F_{D_n} can increase due to correlation, it is still unbiased:

721
$$\mathbb{E}(F_{\mathcal{D}_n}) = \sum_{s=0}^{n+1} \mathbb{E}(F_{s,t})_{(s+t=n+1)} = \sum_{s=0}^{n+1} \int_{\mathcal{D}_n} w_{s,t}(\bar{r}_n) \cdot \frac{f(\bar{r}_n)}{p(\bar{r}_n)} \cdot p(\bar{r}_n) d\mu(\bar{r}_n)_{(s+t=n+1)}$$

722
$$= \int_{\mathcal{D}_n} \left(\sum_{s=0} w_{s,t}(\bar{r}_n) \right) \cdot f(\bar{r}_n) d\mu(\bar{r}_n)_{(s+t=n+1)} = \int_{\mathcal{D}_n} f(\bar{r}_n) d\mu(\bar{r}_n)$$

723 where $p(\bar{r}_n)$ is a marginal PDF if the path samples are correlated.

724

725 Appendix E. Upper boundary of variance for radiance measurement

The methodology in appendix D can be extended to evaluate the upper boundary variance of MIS estimator for radiance measurement (Eq. (15)). Since in most optical Earth observation missions, the sensor does not see the light source (*e.g.*, sun), the contribution C_{D_1} is zero, and the correlation between path samples does not affect the variance of estimate for C_{D_1} (Eq. (D.3)). Therefore, the following discussion focuses on the impact of path sample correlation on the contributions for scattering order larger or equal to one. Then, the MIS estimator becomes:

$$F_{\rm MIS} = \sum_{n=2}^{\infty} F_{\mathcal{D}_n} \tag{E.1}$$

Figure 732 Eq. (E.1) is unbiased (cf. appendix D) even if path samples are correlated. Its variance is:

$$\mathbb{V}(F_{\mathrm{MIS}}) = \sum_{n_1=2}^{\infty} \sum_{n_2=2}^{\infty} \operatorname{Cov}\left(F_{\mathcal{D}_{n_1}}, F_{\mathcal{D}_{n_2}}\right)$$
(E.2)

(Kallel, 2018) shows that the contribution $C_{\mathcal{D}_n}$ decreases exponentially with the scattering order. We can suppose $C_{\mathcal{D}_{n+1}} \approx \tau \cdot C_{\mathcal{D}_n}, \tau$ is a constant, $0 \le \tau \le 1$. It is more or less true in most RT modelling for remote sensing data (Figure 17.a). It leads to: $\mathbb{V}(F_{\mathcal{D}_{n+1}}) \approx \tau^2 \cdot \mathbb{V}(F_{\mathcal{D}_n})$.

737 If all the path samples are independent, the variance $\mathbb{V}(F_{\text{MIS}})$ is the sum of $\delta_{\mathcal{D}_n}^2$

$$\mathbb{V}(F_{\text{MIS}}) = \sum_{n=2}^{\infty} \delta_{\mathcal{D}_n}^2 = \sum_{n=2}^{\infty} \tau^{2(n-2)} \cdot \delta_{\mathcal{D}_2}^2 = \frac{\delta_{\mathcal{D}_2}^2}{1-\tau^2} = \delta_0^2$$
(E.3)

738 If path samples are not all independent, the Cauchy-Schwarz inequality and Eq. (D.3) lead to:

739
$$\mathbb{V}(F_{\mathrm{MIS}}) = \sum_{n_1=2}^{\infty} \sum_{n_2=2}^{\infty} \mathrm{Cov}\left(F_{\mathcal{D}_{n_1}}, F_{\mathcal{D}_{n_2}}\right) \le \sum_{n_1=2}^{\infty} \sum_{n_2=2}^{\infty} \sqrt{\mathbb{V}\left(F_{\mathcal{D}_{n_1}}\right) \cdot \mathbb{V}\left(F_{\mathcal{D}_{n_2}}\right)}$$

740
$$= \mathbb{V}(F_{\mathcal{D}_2}) + 2\sqrt{\mathbb{V}(F_{\mathcal{D}_2})} \sum_{n=3}^{\infty} \sqrt{\mathbb{V}(F_{\mathcal{D}_n})} + \sum_{n_1=3}^{\infty} \sqrt{\mathbb{V}(F_{\mathcal{D}_{n_1}})} \sum_{n_2=3}^{\infty} \sqrt{\mathbb{V}(F_{\mathcal{D}_{n_2}})}$$

741
$$\leq 2\delta_{D_2}^2 + 2\sqrt{2}\delta_{D_2}\left(\sum_{n=3}^{\infty}\sqrt{n+2}\,\delta_{D_n}\right) + \sum_{n_1=3}^{\infty}\sqrt{n_1+2}\delta_{D_{n_1}}\sum_{n_2=3}^{\infty}\sqrt{n_2+2}\delta_{D_{n_2}}$$

742
$$= \delta_{\mathcal{D}_2}^2 \left(2 + 2\sqrt{2} \cdot \sum_{n=3}^{\infty} \sqrt{(n+2)} \cdot \tau^{n-2} + \sum_{n_1=3}^{\infty} \sum_{n_2=3}^{\infty} \sqrt{(n_1+2)(n_2+2)} \cdot \tau^{n_1-2} \cdot \tau^{n_2-2} \right)$$

743 Because $\sqrt{(n_1+2)(n_2+2)} \le \frac{(n_1+2)+(n_2+2)}{2}$, $\sqrt{(n+2)\cdot 1} \le \frac{(n+2)+1}{2}$ when n > 0 and

744
$$\sum_{n=3}^{\infty} (n+i) \cdot \tau^{n-2} = \frac{(3+i)\tau - (2+i)\tau^2}{(1-\tau)^2} (i \in \mathbb{N})$$
, we have:

$$\mathbb{V}(F_{\text{MIS}}) \leq \delta_{\mathcal{D}_{2}}^{2} \left(\frac{2 + (6\sqrt{2} - 6)\tau + (11 - 11\sqrt{2})\tau^{2} + (5\sqrt{2} - 6)\tau^{3}}{(1 - \tau)^{3}} \right) = \left(\frac{2 + \tau \left(\frac{\sqrt{2}}{2} - \tau\right)(3 - \tau)}{(1 - \tau)^{3}} + \frac{\left(\frac{9}{\sqrt{2}} - 6\right)\tau + \left(14 - \frac{21}{\sqrt{2}}\right)\tau^{2} + (5\sqrt{2} - 7)\tau^{3}}{(1 - \tau)^{3}} \right) \approx \delta_{\mathcal{D}_{2}}^{2} \cdot \left(\frac{2 + \tau \left(\frac{\sqrt{2}}{2} - \tau\right)(3 - \tau)}{(1 - \tau)^{3}} \right) = \delta_{0}^{*2}$$
(E.4)

The term $\left(\frac{9}{\sqrt{2}} - 6\right)\tau + \left(14 - \frac{21}{\sqrt{2}}\right)\tau^2 + (5\sqrt{2} - 7)\tau^3$ is omitted since it is less than $0.04 \ll 1$ if

746 $\tau \in [0,1]$. Hence, the variance will increase maximally by a factor of

$$\frac{\delta_0^{*2}}{\delta_0^2} = \frac{(1+\tau) \cdot \left(2 + \tau \left(\frac{\sqrt{2}}{2} - \tau\right)(3-\tau)\right)}{(1-\tau)^2}$$
(E.5)

In the short waves, a sensor does not usually see the light source, conversely to the long waves where the observed landscape is a light source, which implies that the contribution C_{D_1} to the measured radiance is usually large. Then, the same method as above shows that the variance

750 maximally increases by the factor
$$\frac{\delta_0^{*2}}{\delta_0^2} = \frac{(1+\tau)\cdot(1+\tau^2\cdot(1-\tau^2)\cdot(2-\tau))}{(1-\tau)^2}$$

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