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### ► To cite this version:

Wesley Agoua, Benjamin Favier, Jorge Morales, Wouter J.T. Bos. A critical transition of two-dimensional flow in toroidal geometry. Journal of Fluid Mechanics, 2024, 988, pp.A33. 10.1017/jfm.2024.425 . hal-04620096

## HAL Id: hal-04620096 https://hal.science/hal-04620096

Submitted on 21 Jun2024

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## A critical transition of two-dimensional flow in toroidal geometry

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9 (Received xx; revised xx; accepted xx)

Abstract: We investigate two-dimensional flow in toroidal geometry, with a 10 focus on a transition between two-dimensional three-component flow and two-11 dimensional two-component flow. This latter flow-state allows a self-organization 12of the system to a quiescent dynamics, characterized by long-living coherent 13 structures. When these large-scale structures orient in the azimuthal direction, 14 the radial transport is reduced. Such a transition, if it can be triggered in 15toroidally confined fusion plasmas, is beneficial for the generation of zonal flows 16 and should consequently result in a flow field beneficial for confinement. 17

18 Key words: toroidal flow, turbulence, transition, plasma

#### 19 1. Two-dimensional turbulence and toroidal fusion plasmas

Thermonuclear fusion is a sustainable and carbon-free energy source. It can 20thereby constitute a game-changer in the context of energy regulation and climate 21change. Currently the most advanced geometry to achieve the ultimate goal of a 2223sustained large-scale fusion reaction is the tokamak: in a torus-shaped reactor chamber, magnetic fields are used to confine a plasma at a temperature of 24hundreds of millions degrees, in which energy is produced by fusion of hydrogen 25isotopes. A schematic of toroidal geometry, indicating the definitions of toroidal 26and poloidal directions, is shown in Fig. 1. 27

The largest obstacle for fusion is the confinement of a plasma. Indeed, if any 28reactor is to produce energy by a fusion reaction, the ionized gas of hydrogen 29isotopes should be kept at a sufficient temperature, with a sufficient density for a 30 long enough period of time. This triple criterion (time, density and temperature) 31 has been known since the 1950s (Lawson 1957) and the goal of almost any 32 magnetically controlled fusion experiment is to enhance this triple product. 33 Currently, tokamaks cannot work without continuous injection of energy in the 34 plasma, and they produce less energy than they need to sustain the reaction. The 35

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#### Abstract must not spill onto p.2



Figure 1: Tokamaks are torus-shaped fusion reactors where the plasma is confined by a magnetic field. The toroidal component of the magnetic field is dominant in realistic reactors. In the simplified description considered here, we only consider this toroidal field and assume it strong enough to render the plasma-dynamics invariant along the toroidal direction. This reduces the dynamics to a two-dimensional system, with three velocity components: two components in the poloidal plane,  $u_P$  and one toroidal component  $u_T$ . In the present schematic we indicate the major and minor axes R and a, respectively. The color plot indicate the (toroidal) vorticity associated with the poloidal velocity field.

36 ITER experiment aims at showing that tokamaks can reach, and go beyond, the 37 break-even point.

The main actor limiting the confinement in tokamaks is turbulence. The trans-38 port of heat and matter by turbulent fluctuations degrades the confinement 39 quality in all existing tokamaks (Liewer 1985; Garbet et al. 2004). The pres-40 ence of some turbulence does seem inevitable given the enormous gradients of 41 temperature and magnetic fields in the plasma edge, but limiting the intensity of 42this turbulence as much as possible in the reaction chamber is paramount. This 43 explains the tremendous importance given to a transition between two turbulent 44 states, observed first experimentally in the ASDEX experiment (Wagner et al. 451982). The transition from a, highly turbulent, low-confinement mode (or L-46 mode) to a, more quiescent, high confinement mode (H-mode), is observed to 47 increase the confinement time considerably. Knowing how to trigger such a LH-48 transition, and keep a plasma in H-mode, can thus be essential for the design of 49a successful fusion-reactor. 50

The understanding of the LH-transition is still incomplete. Different propositions of theoretical frameworks can be found in reviews on the subject (Wagner 2007; Connor & Wilson 2000). It is now well accepted that in H-mode, confinement is improved by the presence of shearing motion at the edge of the plasma (Groebner et al. 1990; Shaing & Crume 1989; Shats et al. 2007) and that interaction with the walls of the plasma vessel might play a role in this dynamics (Dif-Pradalier et al. 2022). Such shearing motion allows to decorrelate radially propagating structures by a mechanism called shear-sheltering in the fluid mechanics literature (Hunt & Carruthers 1990; Hunt & Durbin 1999). In the tokamak community this insight has had a major impact (Terry 2000), in particular since magnetized plasmas show the formation of zonal flows, radiallysheared poloidal flow structures, which contribute importantly to this shearsheltering (Biglari et al. 1990; Diamond et al. 2005; Gürcan & Diamond 2015).

Indeed, in addition to zonal flows, another established ingredient of the H-64 mode is its link with two-dimensional turbulence. It has been known since the 65 works of Kraichnan (Kraichnan (1967); Kraichnan & Montgomery (1980)) that 66 a fluid flow in two space dimensions has the tendency to self-organize into 67 68 large-scale structures. Examples of such self-organization are cyclonic structures in the atmosphere, and controlled numerical and physical experiments have 69 verified this tendency to self-organization (McWilliams 1984; Sommeria 1986; 70 Paret & Tabeling 1997). The turbulence in plasmas seems to behave in a similar 71 manner (Fyfe & Montgomery 1979), i.e., the turbulence also tends to form large 72scale structures. This link between the formation of space-filling structures in 73two-dimensional flows and the H-mode was stressed in experiments (Shats et al. 742005). However, the magnetic field is also present in the L-mode, so that the 75dynamics should not be far from two-dimensional. Therefore it is not only the 76 two-dimensional character of the flow which allows to explain the LH-transition. 77 In the present study we use a representation of a toroidal plasma which is delib-78

erately simplified as much as possible to study the dynamics of two-dimensional 79 flow in bounded toroidal geometry. Thereby we certainly miss a number of 80 features important to describe the dynamics of fusion plasmas, but this approach 81 allows to understand a critical transition between nearly two-dimensional flow 82 with three dominant flow components, to a purely two-dimensional flow and 83 the influence of this transition on confinement properties. The present study is 84 thereby complementary to investigations of simple models of plasma turbulence in 85 periodic slab-geometry, such as the Hasegawa-Mima (Hasegawa & Mima (1978)), 86 Hasegawa-Wakatani (Hasegawa & Wakatani (1987)), which ignore the toroidal 87 boundaries, while it remains simpler to interpret than toroidal simulations us-88 ing the full three-dimensional MHD system or even more complex gyrokinetic 89 descriptions Goerler et al. (2011); Grandgirard et al. (2006). 90

The motivation for the present work finds its origin in recent insights from 91theoretical studies on axisymmetric turbulence, which we will now briefly re-92view. We consider purely axisymmetric flows, where not only the average flow 93 94 quantities, but also every fluctuation is exactly axisymmetric. In the absence of magnetic fields or other body-forces, in neutral fluids such a flow in the turbulent 95regime is difficult to establish. Therefore, in the fluid mechanics community, 96 this type of flow has received interest only recently, mostly in order to extend 97 ideas from statistical mechanics of two-dimensional flows to a case closer to 98 three dimensions (Leprovost et al. 2006; Naso et al. 2010; Thalabard et al. 2014). 99 Since such a turbulence is hard to reproduce experimentally, the assessment of 100 theoretical ideas has been mainly achieved through direct numerical simulations 101 of the axisymmetric Navier-Stokes equations (Qu et al. 2017, 2018). In a recent 102investigation (Qin et al. 2020) it was observed that a critical transition between 103 two types of axisymmetric turbulence can be observed, where one of the flow 104 105 states is characterized by typical two-dimensional behavior, i.e., self-organization of large velocity structures, whereas the other flow is two-dimensional, but in-106



Figure 2: Spectral element mesh on the poloidal plane. The mesh consists of a central part and a boundary-adapted circular part. The major radius of the torus is R and the minor radius is denoted a.

volves three velocity components (see Fig. 3(a,b) for an illustration). Even though
this latter state is essentially 2D, the large-scale flow structures are inherently
unstable and tend to loose their energy to smaller scales, a feature reminiscent
of 3D turbulence: this change in cascade direction is a major difference between
2D and axisymmetric 2D3C turbulence.

Whereas neutral fluid turbulence is rarely in a close to axisymmetric state, 112this changes for the case of electrically conducting fluids, or plasmas. Indeed, 113the presence of a strong azimuthal magnetic field limits the variations in the 114 direction of the field (Moffatt 1967; Favier et al. 2010; Gallet & Doering 2015a). 115In a tokamak, a strong toroidal magnetic field is present, which renders the flow 116 close to axisymmetric. In general the strength of this field is an order magnitude 117 larger than the poloidal field (associated with a toroidal current) which we will 118 neglect in our approach. The plasma in a tokamak is thereby close to a strictly 119axisymmetric state and can be described, at first order, by an axisymmetric fluid 120121flow. We suggest that the transition which was discovered between two different axisymmetric turbulent states (Qin et al. 2020) should carry over to the dynamics 122of tokamaks. To illustrate this possibility, we have set-up a numerical experiment 123 in toroidal geometry and we will show the confinement properties of the two 124axisymmetric flow states from a pure fluid mechanics perspective. 125

We are not aware that such an elementary fluid set-up has been investigated 126previously. Most fluid mechanics studies in toroidal geometry have focused on 127128liquid metal flows (Baylis & Hunt 1971), and very few studies consider the axisymmetric limit (Poyé et al. 2020). We think that, even if the transition that 129we will assess is shown in a too simple set-up to claim a one-to-one correspondence 130 with the LH transition, it does illustrate the robustness of the 2D3C-2D2C 131 transition in toroidal geometry, and its ability to dramatically change the flow-132physics and self-organization properties of the flow. 133

In the next section, we describe in detail the model we use to describe a
turbulent plasma in toroidal geometry. In Sec. 3 we discuss the numerical details.
In Sec. 4 we present the results of our numerical experiments. Finally in Sec. 5
we conclude.

#### 138 2. Modeling and governing equations

The system we consider is a model representation of a magnetically confined 139plasma in toroidal geometry. Since we assume axisymmetry, the dynamics can be 140described by a three-component dynamics in the poloidal plane. This simplifies 141 the numerical experiments considerably. The poloidal domain on which we focus 142is shown in Fig. 2, where we indicate the coordinate system. The major radius is 143R and the minor radius a. The cylindrical coordinate system is centered around 144 the major (z-)axis of the torus. In this coordinate system the radial and vertical 145direction in the poloidal plane are defined r, z. We also define a local coordinate 146system, centered in the circular cross-section, with polar coordinates  $\rho, \theta$ . 147

In the present section we will first introduce the fluid-description. Then we will focus on the forcing protocol, representing the plasma instabilities, and we will explain how we measure the confinement quality of the plasma.

151

#### 2.1. A fluid mechanics modeling of toroidal plasmas

Plasmas can be described by a hierarchy of physical models (Boyd et al. 2003). 152The most precise, but thereby also least tractable, description is a kinetic ap-153proach involving all charged particles of the plasma and their nonlocal interactions 154155(Diamond et al. 2010). The coarsest approach is probably a fluid approach, where the plasma is described using continuum mechanics (Biskamp 1997). In the 156present investigation it is this latter description which is adopted. We will omit 157all kinetic effects from our system. Furthermore we will assume the dynamics 158isothermal, solenoidal and we do not model the detailed interaction of the plasma 159with electrical currents and magnetic fields. The only influence of magnetic 160 fields which is retained in the present system is the influence of a toroidal 161 magnetic field, assumed to be strong enough to render the dynamics perfectly 162axisymmetric. Physically this corresponds to the fact that charged particles 163 can freely move along magnetic field lines, whereas perpendicular motion is 164constrained by Coulomb-forces. This quasi-bidimensionalisation of the flow is 165well documented in magnetohydrodynamical turbulence (Bigot & Galtier 2011; 166 Alexakis 2011) and can even be exact when the magnetic Reynolds number is 167 low enough (Gallet & Doering 2015b). 168

In such an axisymmetric set-up, the dynamics are entirely described by the two velocity components in the poloidal plane  $u_P = (u_r, u_z)$ , and one component  $u_T$ perpendicular to it (see Fig. 1). Such a system does not represent the instabilities associated with temperature, density and magnetic field gradients. It is in fusion plasmas these gradients which are at the origin of the turbulent fluctuations and these sources of instabilities are here modeled explicitly by appropriate external force terms.

176 We start by writing the axisymmetric Navier-Stokes equations.

177 
$$\frac{\partial \boldsymbol{u}_P}{\partial t} + \boldsymbol{u}_P \cdot \nabla \boldsymbol{u}_P + \nabla P - \nu \Delta \boldsymbol{u}_P = \boldsymbol{\mathcal{N}}_P + \boldsymbol{F}_P \qquad (2.1)$$

$$\frac{\partial u_T}{\partial t} + \boldsymbol{u}_P \cdot \nabla u_T - \nu \Delta u_T = \mathcal{N}_T + F_T. \qquad (2.2)$$

 $178 \\ 179$ 

The pressure P, in which we absorbed the constant density, ensures incompressibility through the condition

182 
$$\frac{1}{r}\frac{\partial ru_r}{\partial r} + \frac{\partial u_z}{\partial z} = 0.$$
(2.3)

183 The last terms on the left hand side of Eq. (2.1) and Eq. (2.2) represent the 184 viscous stresses, with  $\nu$  the kinematic viscosity. In these terms the  $\Delta$  indicates 185 the axisymmetric vector-Laplacian in polar coordinates.

The left-hand-sides (LHSs) of Eq. (2.1) and Eq. (2.2), respectively, describe purely two-dimensional fluid motion, represented by the velocity vector-field  $\boldsymbol{u}_P(\boldsymbol{x},t)$  advecting the toroidal (out of plane) component of the velocity  $\boldsymbol{u}_T(\boldsymbol{x},t)$ . In a toroidal geometry the curvature introduces the  $\mathcal{N}$  terms, which couple the two fields. The curvature terms are

$$\mathcal{N}_P = u_T^2 / r \boldsymbol{e}_r \tag{2.4}$$

(2.5)

$$191 \\ \frac{193}{193}$$

These terms are reminiscent of the vortex-stretching terms, essential in threedimensional energy transfer, but absent in purely two-dimensional systems. All toroidal derivatives,  $\partial/\partial\phi$  are zero since we consider the axisymmetric case. Physically this assumption is justified by the presence of a strong toroidal magnetic field.

 $\mathcal{N}_T = -u_T u_r / r.$ 

Before discussing the forcing terms  $F_P$  and  $F_T$ , we will focus on the invariants of the system. In the absence of forcing and dissipation the nonlinear interaction conserves a certain number of integral quantities. these quantities are not the same in the 2D2C or the 2D3C state. In the 2D2C case, the inviscid poloidal dynamics conserve the poloidal energy defined as

$$E_P = \frac{1}{2} \langle \| \boldsymbol{u}_P \|^2 \rangle, \qquad (2.6)$$

where the brackets denote a volume averaging. Furthermore, in this limit the enstrophy  $Z = \langle ||\nabla \times u_P||^2 \rangle$  is conserved. Furthermore, an infinite number of Casimirs (functions of the vorticity  $\nabla \times u_P$ ) can be defined (Kraichnan & Montgomery 1980). These latter quantities play an important role in statistical mechanics, but are less important in determining the cascade directions of the system.

In the (curved) 2D3C case, the nonlinear dynamics conserve the total energy  $E_T + E_P$ , where the toroidal energy is defined as

$$E_T = \frac{1}{2} \langle u_T^2 \rangle. \tag{2.7}$$

214 The enstrophy is no longer a conserved quantity, but the helicity,

215 
$$H = \langle (\nabla \times \boldsymbol{u}) \cdot \boldsymbol{u} \rangle \tag{2.8}$$

becomes an invariant of the system. In cylindrical geometry (Qin et al. 2020), it 216was shown using energy and transfer spectra that the 2D3C to 2D2C transition 217changes the cascade properties associated with the above invariants. The inverse 218transfer of poloidal energy  $E_P$  in the 2D2C case changed towards a direct transfer 219of the total energy  $E_P + E_T$  to small scales in the 2D3C configuration. The 220assessment of energy cascades and fluxes is less convenient in the present set-up 221since we will consider solid boundaries and spatially localized forcing-terms. We 222will therefore focus on a physical space characterization of the system. 223

An interesting feature is that, when the major radius of the torus tends to infinity (more precisely the ratio R/a, see Fig. 1), the curvature and the associated  $\mathcal{N}$  terms tend to zero. The axisymmetric 2D3C system tends to a cartesian 2D3C system where it is no longer the total energy which is conserved, but  $E_T$  and  $E_P$ 

are conserved independently, and the poloidal dynamics behave as in the 2D2C 228case. In such a 2D3C geometry, the helicity degenerates to a correlation between 229the toroidal vorticity and the toroidal velocity, a quantity recently investigated 230in Linkmann et al. (2018) and Yin et al. (2024). It is not known at present how 231large R/a must be to neglect the transfer. However, in the present investigation 232we will not vary the geometry, and the  $\mathcal{N}$  terms cannot be neglected. The transfer 233234between the components is thus possible and the total energy is an inviscid invariant of the system. 235

236

#### 2.2. Forcing protocol

An important feature associated with a heated magnetized plasma is the presence 237of a number of instabilities leading to the generation of turbulent fluctuations. The 238forcing terms  $F_P$  and  $F_T$  are added to our system to reproduce the main features 239of sources of turbulent fluctuations in realistic plasmas (such as the interchange 240instability (Boyd et al. 2003)), which are located at the tokamak edge, where the 241pressure, density and temperature gradients are large. Modeling the ensemble of 242243these instabilities together by artificial force terms might not represent certain important features associated with the feedback between the flow and the forcing, 2.44and this is necessarily left for future study. 245

To generate the poloidal velocity fluctuations, we add a Rayleigh-Bénard type instability as follows. We compute the advection of a scalar-field by the poloidal velocity field,

249

$$\frac{\partial b}{\partial t} + \boldsymbol{u}_P \cdot \nabla b = \kappa \Delta b + S'. \tag{2.9}$$

The term S' represents a source of scalar in the edge region of the plasma. More precisely, the value S' is constant and is non-zero only in a shell near the boundary. The boundary condition at r = a is the Dirichlet condition b = 0. Thereby, on average a negative radial gradient builds up between the radial location of the source term and the boundary. The poloidal forcing term is

255

$$\boldsymbol{F}_{\boldsymbol{P}} = C_{\boldsymbol{P}} \boldsymbol{b} \boldsymbol{e}_{\boldsymbol{\rho}} + \boldsymbol{F}_{\boldsymbol{\beta}}.$$
(2.10)

The first term in this expression leads then to the Rayleigh-Bénard-type (linear) instability, through the coupling of the poloidal velocity (Eq. (2.1)) and the scalar (Eq. (2.9)).

The second term,  $F_{\beta}$  is a symmetry-breaking term, reminiscent of the 259anisotropic nature of a magnetized plasma. Indeed, in magnetized plasmas, 260 a natural tendency to organize into concentric, toroidally invariant structures is 261observed related to the radial density gradients. The resulting zonal-flows are 262equivalent to the zonal-flows in rapidly rotating flows, such as the bands on 263Jupiter or the earth. To mimic this effect in the present set-up a body-force 264is added to the poloidal forcing in the spirit of the Hasegawa-Mima equation 265(Hasegawa & Mima 1978; Gürcan & Diamond 2015), 266

267

$$\boldsymbol{F}_{\beta} = \beta' \rho \boldsymbol{e}_T \times \boldsymbol{u}_P. \tag{2.11}$$

This contribution to the poloidal force does therefore not inject energy in the system. As we will see below, the term  $F_{\beta}$  term is not essential to trigger the transition from 2D3C to 2D2C, but it leads to enhanced confinement if the transition to a 2D2C state is obtained. Simulations with and without this force will be presented. 276

The toroidal fluctuations are also assumed to originate from a linear mechanism and are simulated by a linear forcing term. More precisely, for the toroidal forcing we use

$$F_T = C_T \left[ u_T - \tau_{\sigma}^{-1} \frac{\langle u_T r \rangle}{R} \right]$$
(2.12)

and is applied on the same shell as the source term S'. The notation  $\langle \cdot \rangle$  indicates 277a spatial average over the poloidal domain. The second term allows to avoid 278the build-up of toroidal angular momentum. Indeed, the spontaneous generation 279of angular momentum  $\langle u_T r \rangle$  (or spin-up) in the system is of major interest 280(Rice et al. 2007), but we want to disentangle this effect from the investigation of 281the transition between 2D2C and 2C3C flows. The present form of the toroidal 282forcing term does therefore dominantly excite the toroidal velocity fluctuations 283avoiding the build-up of mean angular momentum. In plasma experiments, a 284transition to a 2D2C flow, like the one we discuss here, might be accompanied 285by a global rotation associated with this angular momentum, which makes the 286identification of the transition less trivial. 287

#### 2.3. Passive tracer to measure confinement

Eventually, we are interested in the confinement quality of the plasma. In practice, 289a good confinement in our system is associated with a small value of the radial 290turbulent diffusion. To measure turbulent diffusion, a passive tracer is injected 291continuously in the center of the domain, while homogeneous Dirichlet conditions 292 are imposed on the wall. The quantity  $\xi$ , which follows the flow as a small amount 293of ink in a water-flow, does not affect the flow, but allows to measure the diffusion 294associated with the turbulent fluctuations. The governing equation is, as Eq. (3.3)295an advection-diffusion equation, 296

288

$$\frac{\partial\xi}{\partial t} + \boldsymbol{u}_P \cdot \nabla\xi = \kappa \Delta \xi + f_{\xi} \tag{2.13}$$

where  $f_{\xi} = C_{\xi} X(\rho_{\xi} - \rho)$ , where X is the heaviside function,  $\rho_{\xi}$  the radius of 298the source and  $C_{\xi}$  a constant. When the turbulent fluctuations are strong, the 299diffusion allows efficient transport of the scalar, thus bad confinement, and the 300 temperature in the center of the domain drops. Thereby the center-temperature 301  $(\xi(\rho=0))$  directly measures the confinement quality of the flow. In the remainder 302 of this article, we will call the scalar  $\xi$  temperature, since we introduce it to 303 measure the confinement of heat by the system. It is important to distinguish it 304 from the other scalar b, associated with the poloidal forcing, since in our numerical 305 set-up we decouple the dynamics of both scalars. This decoupling is voluntary here 306 since we want to independently measure the confinement and adjust the forcing 307 terms. In a fusion plasma the improved confinement of heat will necessarily lead 308 309 to modified temperature gradients in the plasma, so that confinement and forcing are there coupled. 310

#### 311 3. Normalization and Numerical set-up

Before performing the numerical simulations, it is convenient to introduce an appropriate normalization of the governing equations. This allows to identify the key parameters that will be varied. In this section we discuss the non-

dimensionalization, the parameters used in our simulations and the numerical 315method. 316

3.1. Dimensionless equations 317

In order to non-dimensionalize the equations, we choose as a typical timescale the 318 inverse of the poloidal forcing rate  $\hat{T}^* = C_P^{-1}$ . As lengthscale we use the minor 319 radius  $L^* = a$ . This allows to normalize the equations using  $\tilde{u} = uT^*/L^*$ ,  $\nabla =$ 320  $L^*\nabla$ , and analogous for  $\Delta, P, b, \rho, \partial_t$ . Removing after normalization all tildes, for 321 notational ease, we obtain the non-dimensional set of equations, 322

323 
$$\frac{\partial \boldsymbol{u}_P}{\partial t} + \boldsymbol{u}_P \cdot \nabla \boldsymbol{u}_P + \nabla P - \frac{1}{Re} \Delta \boldsymbol{u}_P =$$
(3.1)

$$u_T^2/r\boldsymbol{e}_r + b\boldsymbol{e}_r + \beta\rho\boldsymbol{e}_T \times \boldsymbol{u}_P, \qquad (3.2)$$

$$\frac{324}{325}$$

336

and

 $\frac{\partial b}{\partial t} + \boldsymbol{u}_P \cdot \nabla b = \frac{1}{Pe} \Delta b + S.$ (3.3)327

These two equations define the poloidal dynamics. For the toroidal velocity 328 329 component we have in normalized form,

$$\frac{\partial u_T}{\partial t} + \boldsymbol{u}_P \cdot \nabla u_T - \frac{1}{Re} \Delta u_T = -u_T u_r / r + \gamma \left[ u_T - \tau_{\sigma}^{-1} \frac{\langle u_T r \rangle}{R} \right]$$
(3.4)

and for the passive scalar 333

334 
$$\frac{\partial\xi}{\partial t} + \boldsymbol{u}_P \cdot \nabla\xi = \frac{1}{Pe}\Delta\xi + f_{\xi}.$$
 (3.5)

335 In these equations we define,

$$Re = \frac{C_P a^2}{\nu}, \quad Pe = \frac{C_P a^2}{\kappa}$$
 (3.6)

337 
$$\gamma = \frac{C_T}{C_P}, \quad \beta = \frac{\beta' a}{C_P}, \quad S = \frac{S'}{aC_P^2}.$$
 (3.7)

The parameter  $\gamma = C_T/C_P$  measures the toroidal forcing strength compared to 338 the poloidal forcing. This means that if  $\gamma$  is small, the instability mechanisms 339 mainly drive the fluid flow in the poloidal plane. This ratio  $\gamma$  is the main control-340 parameter of our system. 341

3.2. Parameters 342

The major radius of the torus is R = 2 and the minor radius a = 1. The ratio 343 R/a = 2 is of the order of magnitude of typical tokamaks. For instance the JET 344 tokamak is characterized by an aspect ratio R/a = 2.4, ITER by a value close to 345three, while spheromaks have  $R/a \approx 1$ . 346

The Reynolds number is Re = 5000, which is a high enough value to ensure 347 turbulent motion in our system. A change in its value does not qualitatively 348 change the main results of the present investigation, as long as the flow remains 349turbulent. The Péclet number is chosen equal to the Reynolds number Pe = Re. 350 The value of  $C_P = 10$  is fixed and the forcing ratio  $\gamma$  is varied in the range 351

352  $\gamma \in [0, 1.8]$ . The value of  $\beta = 0; 2; 8$ . The relaxation time for the suppression of 353 angular momentum is  $\tau_{\sigma} = 0.25$ .

For the active scalar b the injection shell near the boundary is defined by inner and outer radii  $[\rho_1 : \rho_2] = [0.87a : 0.90a]$  and the value of the source term is S = 0.8. For the passive scalar  $\xi$  the source term is confined to a circular surface of radius  $\rho_{\xi} = 0.1$  in the center of the poloidal plane with injection rate  $C_{\xi} = 0.1$ .

#### 3.3. Numerical set-up

Direct numerical simulations are performed using the Nek5000 code (Fischer et al. 2008), a robust and well-tested open source code, based on the spectral element method (Patera 1984). We solve the discretized Navier-Stokes equations and two scalar advection-diffusion equations. We impose simple non-slip boundaryconditions on the circular walls of the numerical domain for the velocity, and trivial Neumann-conditions for the scalars.

All simulations of the axisymmetric system are performed on a 2D grid which allows fast computations compared to a full three-dimensional description. The computational domain is a disk representing a poloidal cross section of the tokamak (see Fig. 2). The numerical grid consists of 640 spectral elements, with n = 12 the order of Lagrangian interpolant polynomials. The time-step is adaptative with a Courant-Friedrichs-Lewy condition CFL= 0.3. All results are reported during statistically steady states.

#### 372 4. Numerical experiments of the 2D3C-2D2C transition

Now that the system is modeled and the numerical set-up is specified, we will here discuss the results of our simulations.

375

#### 4.1. Characterization of the 2D3C-2D2C transition

As we will show, enhanced confinement needs, from the fluid mechanics viewpoint, two ingredients. We will first focus on the first part, the transition from a 2D3C to a 2D2C flow. We illustrate this by changing the anisotropy of the forcing,  $\gamma = C_T/C_P$ . In Fig. 3(a) we show a time-series of the toroidal and poloidal kinetic energy for a representative case (Re = 5000,  $\beta = 0$ ,  $C_P = 10$ ).

For t < 2850 the flow is in the 2D3C regime, with a value  $\gamma = 1.7$ . During 381 this time interval the order of magnitude of the two components of the kinetic 382 energy is comparable with a somewhat more bursty behavior of the toroidal 383 kinetic energy. At t = 2850 the strength of the toroidal forcing is instantaneously 384 lowered resulting in  $\gamma = 1.35$ . This value of  $\gamma$  is apparently below the critical 385 value for the transition and the flow becomes purely poloidal as is illustrated by 386 the purely poloidal dynamics in Fig. 3(d). Indeed, the value of the toroidal energy 387 drops to zero. The poloidal energy is not significantly affected. Decreasing the 388 value of the toroidal force-coefficient can therefore trigger the 2D2C state. 389

In Fig. 3(b) we report the results of a parameter-sweep for the parameter  $\gamma = C_T/C_P$  for a fixed value of  $C_P$ . All the data for the energy corresponds to temporal averages in a statistically steady state. We observe, when increasing  $\gamma$ , a critical transition from the 2D2C state (characterized by  $E_T/E_P = 0$ ) to a 2D3C state, where the toroidal energy is non-zero. The influence of the parameter  $\beta$ will be discussed below.

Visualizations of the flow-field in the two regimes are shown in Fig. 3(c,d). The

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Figure 3: (a) Time-evolution of the volume-averaged poloidal energy  $E_P$  and toroidal energy  $E_T$ . For time t < 2850 the value  $\gamma = 1.7$ . For time  $t \ge 2850$  the value the ratio of the forcing strength is lowered to  $\gamma = 1.35$ . The volume averaged energies illustrate a transition from a 2D3C (c) to a 2D2C state (d), respectively. The movement is in these visualizations plotted in the poloidal plane by colors indicating the strength of the stream-function. The toroidal velocity is illustrated by the out-of-plane morphology. (b)Influence of the forcing anisotropy on the ratio  $E_T/E_P$  for  $\beta = 0$ . The two values of the forcing anisotropy  $\gamma = 1.35$ ; 1.7 associated with the timeseries in Fig. 3 are indicated by red and green symbols, respectively.

main feature is the non-zero value of the toroidal velocity fluctuations in Fig. 3(c). However, another outstanding feature is the tendency to self-organization. Indeed, as observed by inspecting the stream-function associated with the velocity pattern in the poloidal plane, in the 2D2C regime [Fig. 3(d)] a large scale self-organization is observed consisting of two counter-rotating toroidal vortex rings.

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#### 4.2. Assessment of the confinement quality of the flow

Indeed, the double toroidal vortex rings observed in Fig. 3(d) are a generic feature of fluid simulations in toroidal geometry (Bates & Montgomery 1998; Morales et al. 2012). Such a self-organization of the flow into two toroidal vortex rings does not seem beneficial for confinement in the center of the fusion-device, since the fluid or plasma between the large-scale structures will be rapidly expelled. We have tested this by measuring the turbulent diffusion of a passive scalar, injected in the center of the poloidal cross-section.

We solve the additional advection-diffusion equation (3.5), with a constant source term in the center of the poloidal cross-section. By measuring the average profile of the scalar, the confinement is quantified: a large value of the temperature



Figure 4: (a) Stream-function patterns for 2D2C flows with two different values of the symmetry-breaking force ( $\beta = 2$  and  $\beta = 8$ ). (b) Ratio of the scalar profiles associated with a passive scalar injected in the center of the domain. In addition to values associated with (a) and (b) we also show the profile for  $\beta = 0$ . In this representation  $T_H(\rho)$  is the scalar profile in the 2D2C regime and  $T_L(\rho)$  the profile in the 2D3C regime. These profiles are obtained by averaging over time and over the poloidal angle  $\theta$ .



Figure 5: (a) Overview of the dependence of the system on the parameter  $C_T/C_P$  for fixed  $C_P$  and  $\beta = 8$ , where we also show how the confinement is enhanced by this transition, as measured by the temperature in the center of the toroidal domain. (b) Influence of the forcing anisotropy on the nature of the flow for three different values  $\beta$ , associated with the symmetry-breaking term.

in the center corresponds to good confinement and, conversely, a low coretemperature indicates bad confinement. Indeed, as illustrated in Fig. 4, for  $\beta = 0$ . the confinement is changed at most 10% between the two regimes.

Switching from a 2D3C state to a 2D2C flow is thus not enough to enhance the confinement properties of an axisymmetric toroidal fluid flow. The case of non-zero  $\beta$ , corresponding to the presence of an anisotropic force in the poloidal dynamics, will be discussed now.

#### 4.3. The importance of symmetry breaking

Indeed, one additional effect is needed to enhance the confinement. This is the symmetry breaking, allowing to modify the double vortex-pattern, observed in Fig. 3(d) to a concentric pattern in the poloidal plane. In tokamak plasmas, this symmetry breaking is associated with the strong radial gradients of density, pressure and temperature. We show the effect of an anisotropic force-term in

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Fig. 4. The presence of this force allows to re-organize the large-scale structuring 426 in a more concentric pattern, beneficial for confinement. In Fig. 5(b) we show the 427 results of a parameter-scan for  $C_T/C_P$  for the values of  $\beta = 0, 2, 8$ . For all three 428values, a critical transition is observed as in Fig. 3(b) around a given ratio  $\gamma$ . The 429transition is therefore present for simulations with and without the symmetry 430 breaking force, but the value of this critical ratio  $\gamma$  decreases as a function of  $\beta$ . 431432 Most importantly, the resulting 2D2C flow, for the non-zero values of  $\beta$  considered here, confines the scalar significantly better. Indeed, in Fig. 5, it is observed 433 that for a same constant scalar injection rate, the temperature in the center of 434the domain increases by a factor around two. 435

The transition of the flow is therefore triggered by the anisotropy of the forcing. This transition allows to enter a fully poloidal flow regime for small values of  $C_T/C_P$ . Such a purely poloidal flow has a tendency to self-organize. Indeed, in the absence of toroidal flow, the system can be described by purely two-dimensional hydrodynamics. The shape of the self-organized structures does depend on other factors, such as here the poloidal  $\beta$ -effect.

#### 442 **5.** Conclusion

From the results presented in the previous paragraphs, it can be concluded that a recently discovered critical transition in axisymmetric turbulence (Qin <u>et al.</u> 2020) survives in toroidal geometry, forced by instabilities near the toroidal boundaries. Indeed, this mimics in a crude way the dynamics of a tokamak, where a toroidally confined plasma develops instabilities at the boundaries where the pressure, density and temperature gradients are most important.

The present results share some properties with the LH-transition, but given the 449complexity of a tokamak plasma, the ideas cannot be carried over directly. In the 450present simplified fluid system to enhance confinement, one needs two ingredients. 451First, a dominance of the poloidal forcing over the toroidal forcing is required 452to be under a threshold for the critical 2D3C instability. Secondly, the self-453organization resulting from the purely two-dimensional two-component-dynamics 454needs a symmetry-breaking mechanism, allowing the system to organize into a 455456concentric pattern in the poloidal plane (zonal flows). The main observations can then be summarized by Fig. 5(a), showing simultaneously the dependence of the 457 energy ratio and the confinement quality as a function of  $\gamma$ . 458

A feature which is deliberately removed from the dynamics by adding the 459damping in Eq. (2.12) is intrinsic rotation. Indeed, linear forcing mechanisms 460461 lead, in toroidal geometry, quite easily to build-up of toroidal rotation. While this is certainly an important feature in tokamak operation, the addition of a 462global rotation in the toroidal direction does not necessarily add to the three-463 dimensional character of the flow. Indeed, in the presence of global rotation, 464the present transition should be assessed in a frame-of-reference moving with 465the global rotation. In the presence of helically twisted field-lines this will result 466 in a far less obvious observation of a transition from 2D2D to 2D3C and an 467 investigation of this is left for future studies. 468

An important point to be improved if the present set-up is to be compared to more realistic approaches is to replace the artificial forcing terms by a selfconsistent flux-driven forcing, with an injection of temperature in the core of the plasma. This would possibly allow to add to the critical transition some of the tokamak features which are absent in the present set-up, such as hysteresis between increasing or decreasing the drive and the fact that zonal-flows can exhibit a predator-prey like dynamics (Gürcan & Diamond 2015).

In the present set-up it is not the zonal flows which trigger the transition: 476 they are the consequence of the 2D2C nature of the flow. In the 2D3C mode we 477 observe a forward energy cascade, which will destroy the coherence of large-scale 478structures, preventing thereby the emergence of zonal flows. Once these zonal 479flows appear, they enhance the confinement. With respect to these observations, 480 a link can possibly be found between the importance of the poloidal forcing to 481 reach a mode of enhanced confinement and the observation that in gyrokinetic 482simulations the injection of vorticity can trigger transport-barriers and thereby 483improve confinement (Strugarek et al. 2013; Lo-Cascio et al. 2022). 484

The observations reported here are simple and robust. We have not added any more physics to the system than an axisymmetric fluid-description with linear force terms. We think that this identification of the essential ingredients of the transition is the most important insight that we have gained. The fact that the observations do not contain any precise plasma-instability, magneticfield structure or kinetic effects allows to transpose the present observations to more specific plasma configurations.

Note finally that while magnetohydrodynamical and kinetic effects are 492obviously fundamental in understanding the details of the LH transition 493(Connor & Wilson 2000), the objective here is to show that a transition observed 494in a minimal fluid dynamics model can reproduce certain of its characteristics. 495Further understanding can be gained by transposing these ideas to a more 496 realistic setting. For instance, in future experimental campaigns it can be tried 497to, either enhance poloidal energy injection, or to reduce toroidal fluctuations, 498leading to possibly unexplored magnetic fusion confinement protocols. 499

500 Ackowledgements. All simulations were carried out using the facilities of the PMCS2I (École 501 Centrale de Lyon).

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505 **Declaration of interests.** The authors report no conflict of interest.

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