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- *Simplicillium pech-merlensis***, a new fungal species isolated from the air of the**
- **Pech-Merle show cave**
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Abstract

An original fungal strain has been recovered during an aerobiological survey in the Pech-Merle 29 show cave (France). The use of multi-locus (ITS, LSU, SSU RPB1, RPB2 and TEF-1 α) phylogenetic analysis of the strain by maximum likelihood and by Bayesian inference coupled with a morphological characterization allowed us to place it in the *Simplicillium* genus as *Simplicillium pech-merlensis sp. nov.* This new species seems morphologically close to *S. calcicola* and *S. album,* which were also first isolated from a cave habitat. This paper discusses the phylogenetic place of *S. pech-merlensis* and some other species in the genus *Simplicillium*. **Keywords** Cave, Cordycipitaceae, new species, *Simplicillium pech-merlensis* **Introduction** Caves are generally considered as a quasi-extreme environment for microbial life, characterized by 43 a lack of natural light, relatively low inputs of organic nutrients, high humidity and constant, usually low temperatures (Bastian & Alabouvette 2009; Kuzmina *et al.* 2012; Northup & Lavoie 2001). The environmental conditions of life in caves are usually affected by various factors such as air currents, water movements, chemolithoautotrophy or human visitors (Barton & Jurado 2007; Kuzmina *et al.* 2012; Ogórek *et al.* 2013; Ortiz *et al.* 2014). However, a high microbial diversity is found in caves, including a fungal diversity (Vanderwolf *et al.* 2013; Nováková 2009) that plays an important role in cave ecosystem, for example in the biomineralization process (Barton & Northup 2007). Although it had been confirmed that the extreme and specific environment of caves meant that they contain a highly specific fungal diversity, Zhang *et al.* (2018) found that the divergence time of the new fungal species described in caves was incompatible with a cave-borne speciation. These authors therefore concluded that these fungi had travelled from other environments, although they had not yet been reported in terrestrial ecosystems. Whatever the origin of these species, caves are a remarkable source for the discovery of new fungal species (Zhang *et al.* 2017; Zhang *et al.* 2020b; Jiang *et al.* 2017).

57 The Pech-Merle show cave (Occitanie, France) is famous for its Palaeolithic rupestrian representations dating back between 25 and 15 ka (Pastoors *et al.* 2017), and notably for its "dappled horses" (Fig. 1). This paper focuses on a fungal species that was recovered from the air of this cave during an aerobiological survey. The molecular identification of the strain through the use of the nuclear ribosomal internal transcribed spacer (ITS) region was unsatisfactory, with the closest species matching at a rate below 90 %. These species belonged to the genus *Beauveria* Vuill., the morphological features of which bore no similarities to the isolated strain. Following this misidentification, we decided to study the position of this new species among the Cordycipitaceae family, of which *Beauveria* is a monophyletic group (Sung *et al.* 2007; Kepler *et al.* 2017). The study of the new species in relation to the context of the Cordycipitaceae family leads us to consider the *Simplicillium* Zare & W. Gams genus and related genera.

The genus *Simplicillium* was introduced by Zare & Gams (2001) to accommodate species that are morphologically close to the genus *Lecanicillium* W. Gams & Zare, but lack branching conidiophores and have mostly solitary phialides. These two genera were formerly placed in *Verticillium* sect. Prostrata W. Gams, which was described by Gams (1971) for prostrate conidiophore-producing species. *Simplicillium* was recognized as a true clade among Cordycipitaceae, while *Lecanicillium* is known to be a paraphylectic clade (Zare & Gams 2001; Kepler *et al.* 2017). Some species of the genus *Lecanicillium* were therefore transferred to the genus *Akanthomyces* Lebert, but the name has been conserved for other species because of the difficulty to place some of these species in other genera. Zare & Gams (2016) also underlined the molecular closeness between *Simplicillium* genus and the new genus *Leptobacillium* Zare & W. Gams, which is represented by its type species *L. leptobactrum* (W. Gams) Zare & W. Gams composed of strains formerly identified as *Veticillium leptobactrum* W. Gams. Okane *et al.* (2020) therefore proposed to move several *Simplicillium* species into the *Leptobacillium* genus.

The genus *Simplicillium* initially included four species: the type species *Simplicillium lanosoniveum* (J.F.H. Beyma) Zare & W. Gams*, S. obclavatum* (W. Gams) Zare & W. Gams, *S. lamellicola* (F.E.V. Sm.) Zare & W. Gams and *S. wallacei* H.C. Evans. *Simplicillium wallacei* (teleomorph: *Torrubiella wallacei* H.C. Evans) was later transferred into the *Lecanicillium* genus on the basis of molecular studies (Sung *et al.* 2007; Zare & Gams 2008). Today, the genus *Simplicillium* includes nearly 20 species, including already accepted species and recently proposed species (Wei *et al.* 2019; Chen *et al.* 2019; Kondo *et al.* 2020; Zhang *et al.* 2020b). The first species described in *Simplicillium* genus were mainly fungiculous (Zare & Gams 2001), but other species 89 among the genus have since been isolated from various substrates including insects, plants, soil or water (Gomes *et al.* 2018; Chen *et al.* 2019; Liu & Cai 2012; Nonaka *et al.* 2013). Several *Simplicillium* species are known to be bioactive compound producers (Fukuda *et al.* 2014; Dong *et al.* 2018; Liang *et al.* 2017). The genus therefore shows potential applications in medicine as an producer of antibiotics against bacteria and yeasts (Uchida *et al.* 2019; Rukachaisirikul *et al.* 2019), as a means to control fungal plant pathogens (Dai *et al.* 2018; Ward *et al.* 2012), and a tool in the biocontrol of insects and nematodes (Zhao *et al.* 2020; Lim *et al.* 2014; Skaptsov *et al.* 2017).

The species isolated in this study was characterized from its morphological and phylogenetic features. The preliminary phylogenetic study in the Cordycipitaceae family placed the species between *Torrubiella wallacei* and *Simplicillium* clade. The phylogenetic position of the species was therefore closely studied in comparison to *Simplicillium* genus and its molecularly close *Leptobacillum* genus after verifying that the species was closer to these two genera than to the *Lecanicillium* complex.

Materials & Methods

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- *Collection and isolation*

106 The strain was collected on the $7th$ October 2016 during air samplings in the Palaeolithic show cave of Pech-Merle (Cabrerets, France, 44° 30' 27″ N, 1° 38′ 40″ E; Fig. 2). A Duo SAS Super 360 air sampler (VWR-pbi, Milan, Italy) was used to detect cultivable microorganisms in cave air. 50 L of air was collected using a 219-hole impactor containing appropriate culture media in 55 mm Petri dishes. Fungi were isolated on malt extract agar (MEA; Merck KGaA, Darmstadt, Germany). The Petri dishes containing impacted media were then taken to the laboratory for analysis. The plates were incubated in a IPP55 incubators (Memmert GmBH + Co. KG, Büchenbach, Germany) for seven days at 24 °C. Fungi were then isolated from each other using the same culture conditions.

Morphological study

The macroscopic features were assessed on Malt Extract Agar and on Potato Dextrose Agar (PDA, 117 VWR International, Radnor, USA) after a 10-day incubation at 5 °C, 25 °C or 30 °C. The 118 microscopic features were assessed on MEA after a 10-day incubation at 25 °C. Observations were performed with a Jenavert optical microscope (Zeiss, Oberkochen, Germany) after treatment with 120 lactophenol cotton blue solution (Pro-Lab Diagnostics, Richmond Hill, Canada). Microscopic characteristics were captured with an Lt365R digital camera (Teledyne Lumenera, Ottawa, Canada) using the Archimed program (Microvision Instruments, Evry, France).

The strains used by Wei *et al.* (2019) and by Zare & Gams (2008) were chosen as reference for *Simplicillium* and *Leptobacillium* genera respectively (Table 1). Additional strains and sequences related to species not included in Wei *et al.* (2019) and in Zare & Gams (2008) were also included by gathering information about these strains in the respective studies. For all these reference strains, any sequences relative to DNA regions that were not studied in these original studies were also 131 added whenever they were available in the GeneBank. The names of the fungal species were updated with the current names of the species, as they are defined in the Mycobank (Crous *et al.* 2004).

DNA extraction, PCR amplification and sequencing

Fungal DNA was extracted as described by Edel *et al.* (2001). Appropriate primers (Table 2) were 136 used to amplify the nuclear ribosomal internal transcribed spacer (ITS) region, the large subunit of 137 nuclear encoded ribosomal DNA (LSU), the small subunit of nuclear encoded ribosomal DNA 138 (SSU), the translation elongation factor 1-alpha gene (TEF1- α), the largest subunit of RNA polymerase II (RPB1) and the second largest subunit of RNA polymerase II (RPB2). PCR was 140 performed in 25 µl reactions, with 1 µl of template DNA, 1 U of Taq DNA polymerase (Invitrogen, 141 Carlsbad, USA), 2.5 µl of $10X$ Taq DNA polymerase buffer, 1 µl of 2 mmol l⁻¹ dNTPs (Thermo 142 Fisher Scientific, Waltham, USA) and 1.5 µl of each 10 µmol l⁻¹ primer (Eurogentec, Seraing, Belgium). Amplifications were performed on a PrimeG thermocycler (Bibby Scientific, Stone, UK) using the following parameters: a 4-minute step at 94 °C, followed by 30 cycles of 30 s at 94 °C, 30 145 s at the appropriate annealing temperature for each primer pair (Table 3), 40 s at 72 °C, and a final 146 10-minute extension step at 72 °C. PCR products were sequenced by Genoscreen (Lille, France) using the same primer set. Generated sequences were submitted to the NCBI Genbank database (Clark *et al.* 2016).

Sequence alignment and phylogenetic analyses

The sequences generated in this study were verified and assembled with BioEdit v. 7.2.5 (Hall 1999). The sequences related to reference strains were downloaded from the NCBI GenBank database. Multiple sequence alignments for each studied DNA region were performed using the FFT-NS-i alignment strategy from the MAFFT v. 7 web server (Katoh *et al.* 2019). The uninformative gaps and ambiguous regions were removed using the Gblocks program v. 0.91.1 156 implemented in the NGPhylogeny.fr service, with the program set for a lightly stringent selection 157 (Minimum number of sequences for a conserved position: 50 % of the sequences $+1$; Minimum length of a block: 5; Allowed gap position: "with half";(Talavera & Castresana 2007; Lemoine *et al.* 2019). The maximum likelihood (ML) analyses of concatenated regions were performed using the graphical interface of RAxML v. 8 set to 1000 bootstrap iterations and the GTRGAMMA substitution model (Stamatakis 2014; Edler *et al.* 2019). Bayesian inference (BI) analyses of concatenated regions were performed using MrBayes v. 3.2.6 implemented in PhyloSuite v. 1.2.1, 163 based on a Markov Monte Carlo Chain (MCMC) set to two simultaneously executed runs for 10,000,000 generations with the GTRGAMMA substitution model (Ronquist *et al.* 2012; Zhang *et al.* 2020a). Trees were sampled every 1000 generations, with 25 % of obtained trees being burned. Generated trees were edited using MEGA X (Kumar *et al.* 2018).

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- **Results**

The phylogenetic study of *Simplicillium pech-merlensis* among 85 taxa of *Simplicillium* and *Leptobacillium* genera produced slight differences between the maximum likelihood and the Bayesian inference studies (Fig. 3 and 4). In both phylogenetic strategies, *Simplicillium pech-merlensis* was clearly separated of all other species known in *Simplicillium* and *Leptobacillium* genera.

Simplicillium pech-merlensis was located between the *Leptobacillium* and the *Simplicillium* clades in 84% of the trees generated by the maximum likelihood study (Fig. 3), the closest diverging species after *S. pech-merlensis* being *S. formicidae* W.H. Chen, C. Liu, Y.F. Liang & Z.Q. Liang. *Simplicillium chinense* F. Liu & L. Cai, *S. coffeaneum* A.A.M. Gomes & O.L. Pereira and *S. filiforme* R.M.F. Silva, R.J.V. Oliveira, Souza-Motta, J.L. Bezerra & G.A. Silva, were located in the *Leptobacillium* clade in this study. The Bayesian inference study placed *Simplicillium pech-merlensis* in the *Simplicillium* clade between *S. formicidae* and the other species of the clade (Fig. 4). This placement was moderately supported by a posterior probability of 0.61. *Simplicillum chinense*, *S. coffeaneum* and *S. filiforme* were also located in the *Leptobacillium* clade in the Bayesian inference study as in the study led through maximum likelihood. **Taxonomy** *Simplicillium pech-merlensis* J. Leplat, *sp. nov.* (Fig. 5) *CBS: 147188 GenBank:* MW031272 (ITS), MW031268 (LSU), MW031740 (SSU), MW033222 (RPB1), 192 MW033223 (RPB2), MW033224 (TEF1-α), MW033221 (Tub) *Systematic position:* Fungi, Dikarya, Ascomycota, Pezizomycotina, Sordariomycetes,

- Hypocreomycetidae, Hypocreales, Cordycipitaceae
- **Holotype:** —FRANCE. Cabrerets, 44° 30' 27" N, 1° 38' 40" E, 07 October 2016. CBS 147188.
- Isolated from the air of Pech-Merle cave.
- *Etymology:* —The epithet *pech-merlensis* refers to the place where the species was isolated, namely
- Pech-Merle cave.

199 Colonies on PDA reaching 20–22 mm diam. after 10 days at 25 °C, cottony, low convex, 200 margin entire to slightly undulated, white. Reverse white to pale yellow. No growth at 5° C nor at 201 30 °C.

202 Colonies on MEA reaching 21–25 mm diam. after 10 days at 25 °C, cottony, low convex to 203 raise with concave edge, margin entire, white. Reverse pale yellow to orangey. No growth at 5 °C 204 nor at 30° C.

Asexual morph: Hyphae hyaline and smooth-walled, Phialides 16–31 × 0.9–1.2 µm, mostly solitary, arising from prostrate hyphae, straight to slightly curved, tapering to the apex. *Conidia* 1- 207 celled, smooth-walled, variable in size and shape, Microconidia 1.8–3 \times 0.9–1.5 µm, adhering in globose slimy heads, subglobose to ellipsoidal. Macroconidia 5–8 × 1–1.6 µm, fusiform. Octahedral crystals absent.

Notes: —*Simplicillium pech-merlensis* seems morphologically close to *S. calcicola* Z.F. Zhang, F.

Liu & L. Cai, *S. album* Z.F. Zhang & L. Cai and *S. lamellicola*. Furthermore, *S. pech-merlensis*, *S. calcicola* and *S. album* were isolated from the same habitat, i.e. karst cave. While *S. pech-merlensis* was isolated from the cave air, *S. calcicola* was isolated from the limestone (Zhang *et al.* 2017) and *S. album* was isolated from the soil (Zhang *et al.* 2020b). Each species produces both microconidia and macroconidia. *Simplicillium lamellicola* is distinguished from the three other species by the production of octahedral crystals. *Simplicillium album* produces larger macroconidia (8.0–11.0 (– 13.0) × 2.0–3.5 μm) than *S. pech-merlensis* (5–8 × 1–1.6 µm) and *S. calcicola* (4.5–8.0 × 1.0–2.0 μm). Finally, *Simplicillium pech-merlensis* grows slower in culture media (20–22 mm diam after 10 days on PDA) than *S. calcicola* (34–38 mm diam after 10 days on PDA).

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The phylogenetic study of the species isolated in the air of the Pech-Merle cave placed it in the *Simplicillium* genus as the new species *S. pech-merlensis*. The *Simplicillium* genus is characterized 228 by the absence of branching conidiophores and by mostly solitary phialides (Zare & Gams 2001), features that are also expressed by *S. pech-merlensis*. While *S. pech-merlensis* matched with the *Simplicillium* clade when the phylogeny was studied through Bayesian inference, the study through maximum likelihood raised the possibility that this new species could belong to *Leptobacillum* genus due to the switch of placement between *S. pech-merlensis* and *S. formicidae*, *S. pech-merlensis* being placed between *S. formicidae* and the *Leptobacillium* clade. Different results 234 obtained with these two phylogenetic methods often stem from insufficient data in which case each 235 method treats ambiguities differently (Brooks *et al.* 2007). The DNA sequences available regarding *S. formicidae* are ITS, RPB1, RPB2 while ITS, SSU, LSU, and TEF-1α sequences are mainly used for other species included in this study, RPB1 and RPB2 sequences being scarcely available. This 238 difference in available DNA sequences could be the cause of the uncertain placement of *S*. *formicidae*. Every species described to date in the genus *Leptobacillium* is characterized by chains of conidia (Okane *et al.* 2020; Zare & Gams 2016; Sun *et al.* 2019), and this does not correspond to the features of *S. pech-merlensis*. The new species was therefore described as a *Simplicillium* genus member.

The morphological study highlighted the proximity between *S. pech-merlensis*, *S. album* and *S. calcicola* since each species produces both microconidia and macroconidia and is characterized by an absence of octahedral crystals (Zhang *et al.* 2017; Zhang *et al.* 2020b). Furthermore, the three species were first isolated from caves. However, the slower growth rate of *S. pech-merlensis* compared to *S. calcicola*, its smaller macroconidia compared to *S. album* and the significant differences noticed between the DNA sequences of these three species supported the description of a new species. Since only one specimen of the new species *S. pech-merlensis* has been isolated to date, further isolations of other specimens of the same species are necessary in the future.

Besides these three species first isolated from a cave habitat, other *Simplicillium* species have also often been recovered in sediments, in bat guano, on bats or on insect cadavers in the cave environment (Vanderwolf *et al.* 2016; Kubátová & Dvorák 2005; Mitova *et al.* 2017; Nováková 2009). *Simplicillium* species were first described as fungiculous fungi (Zare & Gams 2001), but several species are known for their association with arthropods (Chen *et al.* 2019; Lim *et al.* 2014; Wei *et al.* 2019). It is therefore not surprising to frequently isolate *Simplicillium* species from caves, 257 as arthropods are generally abundant in this type of environment (Jurado et al. 2008).

The number of new species proposed in the genus *Simplicillium* has rapidly increased over the past years. Since the description of *S. calcicola* in 2017 (Zhang *et al.* 2017), *S. coffeaneum* and *S. filiforme* were described in 2018 (Gomes *et al.* 2018; Crous *et al.* 2018), *S. cicadellidae* W.H. Chen, C. Liu, Y.F. Han, J.D. Liang & Z.Q. Liang, *S. formicae* D.P. Wei & K.D. Hyde, *S. formicidae* and *S. lepidopterum* W.H. Chen, C. Liu, Y.F. Han, J.D. Liang & Z.Q. Liang were described in 2019 (Chen *et al.* 2019; Wei *et al.* 2019), and *S. spumae* N. Kondo, H. Iwasaki & Nonaka, *S. album*, *S. humicola* and very recently *S. yunnanense* H. Yu, Y.B. Wang, Y. Wang & Zhu L. Yang were described in 2020 (Kondo *et al.* 2020; Zhang *et al.* 2020b; Wang *et al.* 2020). Unfortunately, the description of this last species was subsequent to our phylogenetic study. However, the chains of conidia observed in *S. yunnaense* are not formed in *S. pech-merlensis,* leading to the reasonable conclusion that these two species are different.

Changes occur rapidly in *Simplicillium* genus: Okane *et al.* (2020) have already proposed to move the recently described species *S. coffeaneum*, as well as *S. chinense*, to the *Leptobacillium* genus with the respective new names *L. coffeaneum* and *L. chinense* following an ITS and LSU sequencing study that placed these two species in the *Leptobacillium* clade. The results of our study confirmed this place in the *Leptobacillum* clade and a similar result was found concerning *S.* *filliforme,* which could therefore also be moved to the *Leptobacillium* genus as *L. filiforme comb. nov.* As we noted previously, with the exception of *S. coffeaneum,* all of these species and other species that have already been described as belonging to the genus *Leptobacillium* produce chains of conidia, which could therefore be a good phylogenetic marker to differentiate *Leptobacillium* from *Simplicillium*. It would also be interesting to examine the affiliation of the new species *S. yunnanense* to *Leptobacillium* genus because this species also produces chains of conidia, and the original study describing this species mainly focuses on the Cordycipitadeae family and did not focus on *Simplicillium* and *Leptobacillium* genera.

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⁴⁹³**TABLE 1.** Fungal taxa used in the study of the position of *Simplicillium pech-merlensis* among *Simplicillium* and *Leptobacillium* genera.

Target gene	Primer	Primer DNA sequence	Annealing temperature	Reference
ITS	$ITS1-F$ ITS4	CTTGGTCATTTAGAGGAAGTAA TCCTCCGCTTATTGATATGC	$50^{\circ}\textrm{C}$	(Gardes & Bruns 1993) (White et al. 1990)
${\rm LSU}$	$\operatorname{LR0R}$ LR5	GTACCCGCTGAACTTAAGC ATCCTGAGGGAAACTTC	$56\,^{\circ}\mathrm{C}$	(Vilgalys & Hester 1990)
${\bf S} {\bf S} {\bf U}$	NS1 NS4	GTAGTCATATGCTTGTCTC CTTCCGTCAATTCCTTTAAG	$55\,^{\circ}\mathrm{C}$	(White et al. 1990)
TEF-1 α	983F 2218R	GCYCCYGGHCAYCGTGAYTTYAT ATGACACCRACRGCRACRGTYTG	$55~^\circ\mathrm{C}$	(Rehner & Buckley 2005)
$\mathbf{R}\mathbf{P}\mathbf{B}1$	CRPB1 $\rm RPB1Cr$	CCWGGYTTYATCAAGAARGT CCNGCDATNTCRTTRTCCATRTA	$55~^\circ\mathrm{C}$	(Castlebury et al. 2004) (Matheny et al. 2002)
$\mathbf{R}\mathbf{P}\mathbf{B}2$	fRPB2-5f fRPB2-7cR	GAYGAYMGWGATCAYTTYGG CCCATRGCTTGYTTRCCCAT	$58~^\circ\mathrm{C}$	(Liu et dl. 1998)
	Y			

495 **TABLE 2.** Primers used to amplify *Simplicillium pech-merlensis* in this study.

FIGURE 1. Pointed-horses panel in the Pech-Merle show cave

The panel represents the paintings of dappled horses and negative hands for which the Pech-

Merle cave is known. The representations were mainly drawn with black pigments composed

of manganese and barium oxides as well as charcoal (Lorblanchet 2018). The drawing features

the use of red pigments composed of red ochre.

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FIGURE 2. Isolation of *Simplicillium pech-merlensis*.

- The species was isolated near the "injured man" panel in the Pech-Merle show cave, via air
- samplings with impaction on Malt Extract Agar (MEA).

- **FIGURE 3.** Phylogenetic placement of *Simplicillium pech-merlensis* within *Simplicillium* genus and *Simplicillium* related genera assessed through maximum likelihood.
- Unrooted tree obtained from a dataset including 85 taxa, leading to a concatenated alignment
- of 4725 bp from seven nuclear regions (ITS, LSU, SSU, RPB1, RPB2 and TEF1-α). Bootstrap
- 509 values greater than or equal to 50 % are located close to the corresponding node. The newly
- described species is indicated in red.

- **FIGURE 4.** Phylogenetic placement of *Simplicillium pech-merlensis* within *Simplicillium* and *Leptobacillium* genera assessed through Bayesian inference.
- Unrooted tree obtained from a dataset including 186 taxa, leading to a concatenated alignment
- of 4863 bp from seven nuclear regions (ITS, LSU, SSU, RPB1, RPB2 and TEF1-α). Bayesian
- posterior probabilities greater than or equal to 0.50 are located close to the corresponding node.
- The newly described species is indicated in red.

519 **FIGURE 5.** *Simplicillium pech-merlensis*

520 a–b. Upper and reverse views of the culture on MEA (10 d at 25 °C). c–d. Upper and reverse 521 views of the culture on PDA (10 d at 25 °C). e–f. Solitary phialides and microconidia that adhere 522 to each other to form globose slimy heads. g. Microconidia and macroconidia. h. Solitary 523 phialide and macroconidia. Scale bars: $e-h = 10 \mu m$.

