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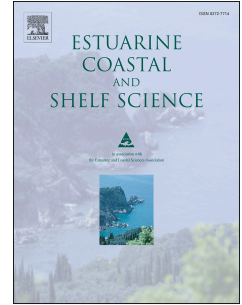


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1 **Reconstruction of sedimentation changes under**
2 **anthropogenic influence in a medium-scale**
3 **estuary based on a decadal chronological**
4 **framework**

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21 **ABSTRACT**

22 The present study uses sedimentary records from the Yalu River Estuary (YRE) over the past
23 five decades to establish a regional age framework and investigate the influence of
24 anthropogenic activity. Ten sediment core samples collected from various locations in the
25 estuary were analysed. Core-averaged sediment accumulation rates calculated based on the
26 ^{210}Pb and ^{137}Cs profiles of these samples were in the range 1.09–2.83 and 0.94–3.11,
27 respectively. A regional age framework with major environmental events was established
28 assuming the deposition rate in a section was constant. The age framework showed that most
29 of the sediments in the YRE were deposited from the 1960s, and a grain size analysis also
30 evidenced that the sedimentary environment experienced dramatic change after 1963.
31 Changes in sedimentary environment in the estuary due to human activity (e.g. dam
32 construction, deforestation and large mining activities) were also discussed: (1) the mean
33 grain size at sites near the main channel became finer after 1963 due to the contemporaneous
34 reduction in sediment discharge caused by upstream reservoir construction; (2) abnormal
35 ^{137}Cs signals in the records during the 1980s probably reflected a concurrent deforestation,
36 and the ^{137}Cs signals in the 1990s were related to mixing of sediments caused by mining
37 activities. Results of this study demonstrate that sedimentation processes in a medium-scale
38 estuary can be greatly changed under anthropogenic influence. The feasibility of using ^{137}Cs
39 time markers (especially auxiliary time markers in 1975 and 1986) in a dynamic estuary is
40 confirmed in this study. Furthermore, the regional age framework established in this study
41 can provide a chronological reference for future studies in the YRE and adjacent areas.

42 *Keywords:* ^{210}Pb ; ^{137}Cs ; *sediment accumulation rate; age framework; anthropogenic*
43 *influence; sedimentary event*

44 **1. Introduction**

45 Sedimentation processes in estuaries and coastal areas contain information related to
46 morphology evolution, the characteristics of the eco-environment and materials exchange
47 with adjacent aquatic systems. Decadal and longer-timescale sediment studies can address the
48 issue of how the sedimentary environment responds to human activities (Wang et al., 2016).
49 Thus, it is of great value to investigate the historical changes in sedimentation in
50 medium-scale estuaries which are sensitive to anthropogenic processes. Long-term
51 continuous monitoring data of sediments are unavailable for many regions; interpretation of
52 sedimentary records in core samples is an alternative approach to obtain past sedimentation
53 characteristics in estuaries. In order to reconstruct the sedimentation history, dating of these
54 records is essential; an age framework is the cornerstone for further elemental analysis of
55 major elements, trace elements, organic matter, rare-earth elements and heavy metals.

56 A widely accepted dating method for marine sediments uses lead-210 (^{210}Pb) profiles
57 (Abril, 2016; Kirchner, 2011; Ruiz-Fernández and Hillaire-Marcel, 2009). The radionuclide
58 ^{210}Pb was first applied to marine-sediment chronology on the Californian coast by Koide
59 (1972) and, since then has been commonly used for oceans, lakes, gulfs, and estuaries around
60 the world (Martins et al., 2012; Mulsow et al., 2009; Prajith et al., 2016; Simms et al., 2008).
61 Sedimentary records in coastal areas are often discontinuous or have low activity ^{210}Pb due to

62 disturbance from floods, storm surges and human activity. The regular exponential-decay
63 curves for ^{210}Pb dating are not present in these areas (Humphries et al., 2010; Jia et al., 2018;
64 Kirchner, 2011). Although continuous decay curves may be absent for coastal sediment
65 samples, ^{210}Pb sections with relatively clear exponential decay can be used for estimating
66 sediment accumulation rates (Gwiazda et al., 2015; Li and Gao, 2012; Qiao et al., 2017).

67 Previous studies have suggested that other independent time markers such as
68 cesium-137 (^{137}Cs) should be used together with ^{210}Pb for greater accuracy (Humphries et al.,
69 2010; Kirchner, 2011). The artificial radionuclide ^{137}Cs was created during the nuclear
70 explosions of 1945, and was first detected from sediments in 1954 (Ritchie and McHenry,
71 1990). Both the USA and the Soviet Union performed numerous nuclear tests before the
72 establishment of the Partial Test Ban Treaty in 1963. As a consequence, the largest
73 accumulation of ^{137}Cs activity found in Northern Hemisphere sediments generally
74 corresponded to the year 1963, later becoming an important and widely used sediment time
75 marker (Leroy et al., 2013; Simms et al., 2008). Similarly, nuclear tests performed by several
76 developing countries in the early 1970s resulted in the 1975 ^{137}Cs time marker (Jha et al.,
77 2003). Later on, the Chernobyl nuclear power station accident in 1986 produced the third
78 time marker (Tsabaris et al., 2015; Varley et al., 2017). These two auxiliary ^{137}Cs time
79 markers, in 1975 and 1986, have also been widely adopted in previous studies (Andersen et
80 al., 2000; Ligeró et al., 2005; Tsabaris et al., 2015). Some studies proposed the possibility of
81 the existence of a fifth ^{137}Cs fallout following the 2011 Fukushima nuclear accident (Kato et
82 al., 2012; Tsurumura et al., 2013). Those important ^{137}Cs time markers corresponding to the

83 contemporaneous ^{137}Cs deposition peaks in sedimentary records can be used as dating
84 references for recent marine sediments. In addition to the application in sediment dating,
85 distinct ^{137}Cs and ^{210}Pb signals in the sediment cores contain retrospective information about
86 sedimentation changes caused by natural or anthropogenic processes (Gao et al., 2017;
87 Humphries et al., 2010).

88 The Yalu River is the northern-most point of the coastline of China and is located at the
89 junction of three economic zones: the Northeastern Asian Economic Rim; the Bohai Sea Rim;
90 and the Yellow Sea Economic Rim. Numerous activities, including harbour and reservoir
91 construction, dredging, mining and land reclamation have been conducted in the Yalu River
92 Estuary (YRE) and adjacent Northern Yellow Sea (NYS) area. These human activities have
93 changed the estuarine morphology and hydrodynamics in the YRE (Gao et al., 2012; Shi et
94 al., 2017). The Yalu River also forms part of the border between China and North Korea; a
95 previous study showed that the China has experienced severe land loss because erosion of the
96 west bank of the river (in China) is stronger than on the east. As a consequence, the
97 borderline moved westward from 1976 to 2010 (Li et al., 2012). Accordingly, it is crucial to
98 understand sedimentary records from this region to improve coastal management and address
99 this borderline-change issue (land loss of China). Moreover, taking YRE as a case study for
100 sedimentary records can facilitate a more comprehensive understanding of the anthropogenic
101 influence on estuarine process in a medium-scale estuary. Sedimentation processes in the
102 YRE are also of significance in studying material exchange and transport in the Yellow Sea
103 (Shi et al., 2018). Previous sediment studies in the YRE used surficial sediment samples or

104 limited numbers of core samples from the western estuary (Gao et al., 2009; Li et al., 2014;
105 Liu et al., 2017, 2013; Shi et al., 2017). The sedimentation processes in the Main Branch and
106 the vertical distribution of ^{137}Cs in the YRE remain unrevealed.

107 This study aims to establish an age framework for sedimentary records in the YRE based
108 on ten sediment core samples collected from the Main Branch in 2014. External events
109 reflected by the changes in sedimentation rates in the estuary were also analysed.
110 Furthermore, we investigated the historical variation in particle grain size and ^{137}Cs level
111 under anthropogenic influence.

112 **2. Materials and methods**

113 *2.1 Study area*

114 The Yalu River is the largest river flowing into the NYS, with annual runoff and sediment
115 discharge of $2.67 \times 10^{10} \text{ m}^3$ and $1.59 \times 10^6 \text{ t}$, respectively (Cheng et al., 2016). There are three
116 main water outlets of the Yalu River (Fig. 1). The West River runs to the west of Chouduan
117 Island, the main water outlet, the East Branch, runs to the east of Chouduan Island and is
118 further divided into the Middle River and East River. To the south is the Split Flat between
119 the Middle River and East River, and the prodelta, both of which are submerged at high tide
120 and exposed (and joined) at low tide.

121 The hydrodynamics in the study area is controlled by the interaction of tides, river flow
122 and wave action. The YRE is dominated by regular semi-diurnal tides with an average tidal
123 range of 4.6 m and a maximum tidal range of 6.7 m; the tidal currents can be up to 2 m/s

124 (Cheng et al., 2016). There is an asymmetry in tidal duration in the YRE, with longer ebb
125 period than flood. Wave action in this area is relatively weak, with the average significant
126 wave height outside the estuary of 0.5 m (Yu et al., 2014). According to monitoring data from
127 the Donggang Tide Gauge Station, the significant wave height can occasionally reach up to
128 2.4 m, which has happened five times during the last ten years.

129 There is a turbidity-maxima area in the YRE that covers a 10–20 km stretch located
130 between 30 km and 60 km from the upstream tidal limit (Gao et al., 2004; Yu et al., 2014).
131 Tidal pumping has been proposed as the dominant mechanism for turbidity maxima
132 formation (Yu et al., 2014).

133 *2.2 Sample collection and data pre-treatment*

134 *Sample collection*

135 Ten sediment cores (K1, K2, K4, K5, K6, K8, K9, K10, K11 and K12 in Fig. 1) were
136 collected in August 2014 from different areas around the YRE in this study. These cores were
137 sampled by inserting a PVC tube with an inner diameter of 85 mm and an outer diameter of
138 90 mm into the sea-bed surface during low slack water, with the water level less than 1 m.
139 The samples were then divided into 2cm-thick sub-samples and sent to the laboratory for
140 radioactive-element detecting and grain size analysis. In addition to these ten sediment cores
141 from the present study, results from four other sediment cores (C2, C3, C4 and C5) collected
142 in 2013 by Liu et al. (2017), using the same sampling and data processing method, were also
143 analysed for long-term change of grain size and vertical variation of ^{137}Cs . We note that these

144 analyses have not been discussed in Liu et al. (2017).

145 ***Grain size analysis***

146 A laser particle-size analyzer, BT-9300HT, was used to measure the sediment grain
147 size of the samples. The sub-samples were first put into water which had been sitting for 24
148 hours, then 10–20 ml of dispersant made of $(\text{NaPO}_3)_6$ at a concentration of 0.05 mol/L were
149 added. Determination of particle grain size was conducted using the Collias equal-moment
150 formula (Collias, 1943). Grain size parameters (skewness, kurtosis and sorting coefficient)
151 were calculated according to Folk and Ward (1957).

152 ***Radioactivity measurements***

153 The ^{210}Pb and ^{137}Cs radioactivity measurements were conducted in the State Key
154 Laboratory of Lake Science and Environment, Nanjing Institute of Geography & Limnology,
155 Chinese Academy of Sciences. Data were collected using a γ spectrum analyzing system from
156 EG&G ORTEC. The detector was placed in a lead chamber with a wall consisting of three
157 layers: a 5mm-thick organic glass layer; a 3mm-thick copper layer; and a 2mm-thick lead
158 layer. The ^{137}Cs and ^{226}Ra reference standard samples were provided by the China Institute of
159 Atomic Energy, the ^{210}Pb reference standard samples by the University of Liverpool.

160 ^{226}Ra activity was first measured to obtain the background value of ^{210}Pb (the supported
161 ^{210}Pb), then the supported ^{210}Pb value was removed from the measured total ^{210}Pb activity to
162 obtain the excess activity of the ^{210}Pb ($^{210}\text{Pb}_{\text{ex}}$). Then the $^{210}\text{Pb}_{\text{ex}}$ -based sediment accumulation
163 rates were calculated using the Constant Initial Concentration (CIC) mode (Appleby, 1997;

164 Szmytkiewicz and Zalewska, 2014). This method assumes that the ^{210}Pb activity when it is
 165 deposited at the sediment-water interface is constant, which is reasonable for systems
 166 dominated by erosional input (Kumar et al., 2016). The ^{210}Pb activity at any depth using the
 167 CIC mode can be expressed as:

$$168 \quad C_{\square} = C_0 e^{-\lambda t} \quad (1)$$

169 where C_{\square} (Bq/kg) is the activity of ^{210}Pb at depth \square in the core, C_0 (Bq/kg) the measured
 170 activity at depth 0, λ the decay constant of ^{210}Pb , 0.0314/yr, and t (yr) is the age of the
 171 sediment layer at core depth \square .

172 For the ^{137}Cs analysis, the sedimentation rate of ^{137}Cs was calculated based on the ^{137}Cs
 173 maximum layer, which corresponds to the key time marker of 1963. The age of other layers
 174 was obtained based on the sedimentation rate of the known marker. Although there might be
 175 an upward or downward diffusion of the ^{137}Cs peaks, it would not change the position of the
 176 ^{137}Cs peaks in the sedimentary profiles or affect the use of the ^{137}Cs peak time markers
 177 (Zapata, 2002; Zhang *et al.*, 2012). The ^{137}Cs -derived sedimentation rate for a sample was
 178 calculated using following equation:

$$179 \quad r = H/(n - 1963) \quad (2)$$

180 where r is the sedimentation rate (cm/yr) for this sample, H the depth (cm) of the ^{137}Cs
 181 peak for the 1963 time marker, and n the year of sampling. Thus, the age for the layers
 182 deposited above the 1963 time marker depth can be expressed as:

$$183 \quad T_n = 1963 + (H - h_n)/r \quad (3)$$

184 where T_n and \square_n are the age (yr) and depth (cm) for this layer, respectively. For the layers

185 deposited below the 1963 time marker depth, the age was calculated as follows:

$$186 \quad T_0 = 1963 - (h_0 - H)r \quad (4)$$

187 where T_0 and h_0 are the age (yr) and depth (cm) for this layer, respectively.

188 ***Estimation of the ^{137}Cs atmospheric deposition flux in the YRE***

189 The atmospheric deposition flux of ^{137}Cs can be estimated based on an established
190 algorithm using precipitation data and the ^{137}Cs concentration in rain water (Pálsson et al.,
191 2006):

$$192 \quad D_{xi} = C_{ri}P_{xi} \quad (5)$$

193 where D_{xi} is the ^{137}Cs atmospheric deposition flux and P_{xi} the precipitation (mm) at site x
194 during time period i . C_{ri} is the ^{137}Cs concentration in the precipitation at the reference site r
195 during the same time period ($\text{Bq}\cdot\text{m}^{-3}$).

196 The redistribution of ^{137}Cs in the atmosphere is mainly controlled by wind, so that ^{137}Cs
197 deposition in a specific region depends on the latitude and on the amount of local
198 precipitation (Poręba and Bluszcz, 2007; Ritchie and McHenry, 1990). There is no record of
199 ^{137}Cs fallout in the YRE region, thus, it was estimated using the monitoring data in Tokyo
200 (which is located in the same latitudinal wind zone as the YRE) using the equation:

$$201 \quad D_{YR} = D_{TK}P_{YR}/P_{TK} \quad (6)$$

202 where D_{YR} ($\text{Bq}\cdot\text{m}^{-2}/\text{yr}$) and D_{TK} ($\text{Bq}\cdot\text{m}^{-2}/\text{yr}$) are the ^{137}Cs atmospheric deposition flux in
203 the YRE and Tokyo for year i , respectively. P_{YR} and P_{TK} are the annual precipitation
204 amount in the YRE and Tokyo for year i , respectively.

205 The ideal annual ^{137}Cs atmospheric deposition curve for the YRE region from 1951 to

206 2008 was calculated based on Eq. (6) using precipitation data from the Dandong Meteorology
207 Station and the annual ^{137}Cs atmospheric deposition flux and precipitation rate of Tokyo
208 (Japan Meteorological Agency, 2001).

209 **3. Results**

210 *3.1 Sedimentation rate for sedimentary records in the YRE*

211 The dating results and vertical profiles of ^{210}Pb and ^{137}Cs for the ten collected cores in
212 this study are shown in Fig. 2. The ^{210}Pb decay curves were classified into five types based on
213 their patterns: two-section curves (the decay and background sections); three-section curves
214 (the disturbance, decay and background sections); and multi-section curves (two-decay,
215 disordered and inverted curves), disordered curve and inverted curve. According to the
216 number of ^{137}Cs peaks, the vertical ^{137}Cs profiles were classified into four types: multiplet;
217 triplet; doublet and singlet.

218 Cores K1, K2, K4, K8, K9, K10 and K12 contained one continuous ^{210}Pb decay section
219 which was used to calculate the ^{210}Pb -based sedimentation rate for these cores. Core K5 had
220 two ^{210}Pb decay sections; deposition ages in this core were determined according to the
221 sectional sedimentation rate. For K6 and K11, the ^{210}Pb -based sedimentation rate could not be
222 derived due to the abnormal exponential decay. The range of the core-averaged ^{210}Pb -based
223 sedimentation rates for the ten samples was 1.09–2.83 (Table 1 and Fig. 3).

224 The ^{137}Cs -based sedimentation rates were determined according to the 1963 key time
225 marker. The largest ^{137}Cs peaks in the vertical profiles were identified as the 1963 key time

226 marker except in K9, K11 and K12 (Fig. 2). For K9, K11 and K12, the second-highest peak
227 was considered the 1963 key time marker (Fig. 2). The identification of these time markers in
228 the YRE is discussed in Section 3.2. The range of the core-averaged ^{137}Cs -based
229 sedimentation rates using the 1963 key time marker was 0.94–3.11 (Table 1 and Fig. 3),
230 agrees well with the ^{210}Pb -based sedimentation rates.

231 Both the core-averaged ^{210}Pb -based and ^{137}Cs -based sedimentation rates in the cores
232 from the Split Flat (K10, K11 and K12) and prodelta (K1, K2, K8 and K9) were generally
233 larger than those from the River Island (K4, K5 and K6) (Table 1). Although the
234 sedimentation rate showed a slightly seaward-increasing trend, the spatial variation in the
235 sedimentation rate in these ten cores was relatively small, with standard deviations of 0.55
236 and 0.52 for the core-averaged ^{210}Pb -based and ^{137}Cs -based sedimentation rates, respectively.

237 *3.2 Time markers of ^{137}Cs in the YRE and the establishment of a* 238 *chronological framework*

239 As introduced in Section 1, previous studies proposed four major ^{137}Cs peaks in
240 deposition profiles, which roughly correspond to years when nuclear activities were
241 conducted globally. The corresponding dates of these ^{137}Cs peaks are termed “time markers”,
242 which represent the approximate time when the related sediment layer was deposited.
243 However, there could be uncertainty in the application of several time markers in different
244 locations due to the different regional atmospheric ^{137}Cs deposition fluxes. Figure 4 shows
245 the annual atmospheric ^{137}Cs deposition flux in the YRE region calculated from Eq. (6). The

246 total amount of ^{137}Cs fallout reached high levels during 1954, 1958 and 1963, followed by
247 lower levels in 1970, 1975 and 1986. The ^{137}Cs peaks in marine sediment cores
248 corresponding to the fallout peaks in 1954, 1963, 1975 and 1986 were identified in the cores
249 collected for this study. Although there were large ^{137}Cs atmospheric depositions in 1958 and
250 1970, they were not recognized as time markers in the marine sediment records as they were
251 close to the ^{137}Cs peaks in 1963 and 1975. The identification of the four important ^{137}Cs time
252 markers in the ^{137}Cs profiles is discussed in the following section.

253 *3.2.1 1963 Key Time Marker for ^{137}Cs*

254 The largest ^{137}Cs peaks in seven of the ten collected cores in this study corresponded to
255 1963 (Fig. 2), suggesting that the ^{137}Cs peak of 1963 was common and could be a useful time
256 marker for the YRE sediments. The deposition ages of the ^{137}Cs maximum layer in these
257 seven cores were verified by the ^{210}Pb dating method: differences in the sedimentation rates
258 between the two methods were small (Figs. 2 and 3) in all samples except for K6, for which
259 no ^{210}Pb -based sedimentation rate was available for comparison. Therefore, these ^{137}Cs peaks
260 can be considered as reliable 1963 time markers. In other three cores (K9, K11 and K12), the
261 maximum ^{137}Cs concentrations did not correspond to 1963. For core K11, an extremely high
262 ^{137}Cs level was found at the 36 cm layer, corresponding to 1992, while the ^{137}Cs levels in the
263 layers above and below were all less than detectable limit (Fig. 3). The reason for this peak is
264 discussed later in Section 4.2.2. The second highest ^{137}Cs peak in K11 was considered to be
265 the 1963 time marker. The ^{137}Cs -based sedimentation rates in cores K9 and K12 using the
266 largest ^{137}Cs peaks were evidently different from the ^{210}Pb -based results. However, by using

267 the second highest ^{137}Cs peaks, the ^{137}Cs -based sedimentation rates in these two cores
268 matched the results calculated based on ^{210}Pb (Table 1). Therefore, the second highest ^{137}Cs
269 peaks in K9 and K12 were considered to be the 1963 ^{137}Cs key time markers (the reason for
270 the largest ^{137}Cs peaks in these two cores is discussed in Section 4.2). Similar results have
271 been reported from other environmental settings (Li and Gao, 2012; Ruiz-Fernández et al.,
272 2005; Wang et al., 2014).

273 3.2.2 1954 Auxiliary Time Marker for ^{137}Cs

274 The 1954 auxiliary time marker corresponds roughly to a ^{137}Cs peak accumulated after
275 the nuclear explosions of 1945 (Gwiazda et al., 2015); it was identified here in cores K4 and
276 K10 (Fig. 2). The ^{137}Cs peak at depth 76 cm in core K4 was dated to 1944 from a ^{210}Pb decay
277 section and the 1963 ^{137}Cs time marker. Given that the ^{137}Cs was first produced in the 1950s,
278 the ^{137}Cs peak here was corrected to be the 1954 time marker. The ^{137}Cs peak at 172 cm in
279 K10 was dated to 1955 using the 1963 ^{137}Cs time marker for this core. The dating results for
280 K10 based on the ^{210}Pb levels below 60 cm showed similar results. Therefore, it can be
281 concluded that the ^{137}Cs peak at 172 cm in this core represented the 1954 time marker.

282 3.2.3 1975 and 1986 Auxiliary Time Markers for ^{137}Cs

283 In the ^{137}Cs sedimentary records from the YRE, five ^{137}Cs peaks (in K1, K2, K4, K5 and
284 K10) were found corresponded to years around 1975 or 1986 (Table 1 and Fig. 2).

285 The 1975 auxiliary time marker (indicators for nuclear tests in 1970s) was identified in
286 cores K2 and K4: the peak in ^{137}Cs concentration at 80 cm in K2 was dated to 1972 and that

287 at 38 cm in K4 to 1974 using the ^{210}Pb decay curve combined with the 1963 ^{137}Cs time
288 marker, both close to the year 1975. Considering the sedimentation rates based on the 1963
289 key time marker agreed well with those calculated from the ^{210}Pb decay section, it is
290 suggested that the 1975 time markers can be recognized in K2 and K4.

291 The 1986 auxiliary time marker was found in cores K1, K2 and K5: the ^{137}Cs peaks at
292 40 cm in core K1 (dated to 1989), 62 cm in core K2 (dated to 1982) and 34 cm in core K5
293 (dated to 1988) can be considered as 1986 time markers. Therefore, the ^{137}Cs peaks indicate
294 the 1975 and 1986 ^{137}Cs time markers can be adopted in the YRE although it can only be
295 tracked in several samples. However, the 1986 time marker should be applied with caution
296 due to the deposition hiatus in cores K1, K2, and K5.

297 More than 70% of the ^{137}Cs peaks in these profiles were time markers, which confirmed
298 that ^{137}Cs is feasible to be an independent time marker for sediment dating in the YRE. Based
299 on the analysis of ^{137}Cs time markers and ^{210}Pb dating results in the YRE, K4 and K10 can be
300 used as standard vertical profiles in the YRE and NYS to establish an age framework,
301 together with cores K2, K5, K6, and K11 as auxiliary profiles (Fig. 5).

302 *3.3 Lithological characteristics and grain size*

303 The sectioned lithological features of the YRE cores are shown in Fig. 6. Most
304 sediments from cores near River Island (K4, K5 and K6) were composed of black clay or
305 silty clay. Sediment cores from the Split Flat (K10, K11 and K12) were mainly black silt;
306 large quantity of shell fragments was found in the upper sections. Sediments in K10 were

307 grayish black, black and yellow deposits, suggesting complex material sources. The diversity
308 in the lithology decreased in the prodelta area (K2, K1, K9, and K8). Most of the sediments
309 here were black silt, and their surface layers had experienced disturbance by biomass.

310 The particle grain size of the core samples ranges from 4–6.5 ϕ , with significant vertical
311 variation (Fig. 7). The skewness, sorting coefficients and kurtosis for all core samples were
312 generally vertically homogeneous with small fluctuations. The core-averaged skewness
313 ranged from 0.05–0.4, and the kurtosis was close to 1 (from 0.83–1.25) in this area,
314 indicating an asymmetric logistic-normal distribution of the particle grain size in this region.
315 The sorting coefficients ranged from 1.36–2.13 ϕ , indicating particles were medium sorted.

316 **4. Discussion**

317 *4.1 Indication to the sedimentary environmental change in the YRE*

318 *4.1.1 Evidence from the age framework*

319 During sampling, PVC pipes at most sites reached a layer of yellow hard sand under the
320 sediments and could not be inserted any deeper. The maximum depth of seven cores, C3, K2,
321 K5, K6, K8, K11 and K12, corresponded to years around 1960. Accordingly, the hard sand
322 base in the estuary suggested there was an abnormal sedimentary event which altered the
323 deposition process around 1960. This layer of hard sand has the same components as the
324 subaqueous tidal ridges in the Western Korea Bay, which is the result of erosion from shoals
325 of the paleo-delta of the YRE during the Quaternary, when the sea level was low. Those
326 eroded materials from paleo-delta were then moved and deposited under the control of tidal

327 currents, and eventually formed the base of the geomorphology in the YRE (Cheng et al.,
328 2012). Such changes can be tracked in cores with records before 1960s in this study:

329 Sediment cores C2, C5, K4, K10, K1 and K9 contain records before the 1960s. In the
330 pre-1960 depositional phase of K4, the ^{137}Cs -derived sedimentation rate (calculated from Eqs.
331 (3) and (4)) was significantly larger (1.92 cm/yr) than that after 1963 (0.94 cm/yr). Similar
332 differences between pre- and post-1960s were also found in the ^{210}Pb -derived sedimentation
333 rates of C2 and C5 in a previous study (Liu et al., 2017). Furthermore, the lithology of
334 sediments deposited before 1963 in K4 differed significantly from those deposited after 1963
335 (Fig. 5). These results from K4, C2 and C5 imply that the rapid deposition process has likely
336 been weakened after the 1960s near the Middle River.

337 The sedimentation rate in K10 (in the Split Flat area) before 1963 (2.91 cm/yr) was
338 similar to that after 1963 (2.94 cm/yr), indicating that this site has had a continuous rapid
339 deposition process during the last 60 years. In the prodelta area, the sedimentation rates in K1
340 and K9 before 1963 were both slightly smaller than after.

341 The ^{210}Pb curves for K1, K4, K9 and K10 all presented inverted sections before the
342 1960s, with larger concentrations at deeper layers. Such abnormal ^{210}Pb deposition before the
343 1960s was assumed to be caused by material mixing when “old” deposits with low ^{210}Pb
344 activity eroded in adjacent areas in the estuary and were re-deposited at those sites (Andersen
345 et al., 2000; Gao et al., 2017). This provides further evidence that the sedimentation process
346 was different before the 1960s.

347 *4.1.2 Evidence from grain size analysis*

348 Although Liu et al. (2017) preliminarily discussed the potential relationship between
349 occasional events and grain size change in cores C2, C3, C4 and C5, most results were based
350 on single signal in one core thus may not represent the entirety of the environmental change.
351 Thus, this section will further discuss the common pattern of long-term variation in particle
352 grain size for the entire estuary using sediment cores collected in both 2013 and 2014 (Figs. 7
353 and 8). The grain size for 8 of 14 cores (C2, C3, C4, C5, K4, K5, K9 and K12) showed an
354 overall decrease in mean particle grain size (an increase in ϕ) with depth after the 1960s,
355 which indicates the particles were finer grained than in the past. The particles from four of the
356 cores near the Split Flat and prodelta (K1, K2, K10 and K11) became coarser after 1963. The
357 grain size in K6 and K8 did not show any obvious trend.

358 The mean grain size of cores near the Middle River (C2, C3, C4, C5, K4 and K5) and
359 the two cores from other area (K9 and K12) became finer after the 1960s (Figs 7 and 8). This
360 vertical variation of mean grain size near the Middle River was likely the result of human
361 activity, specifically the construction of reservoirs in the river catchment. Due to the growth
362 in population since the 1940s, 41 reservoirs were constructed in the watershed around the
363 Yalu River; the largest reservoirs including the Yunfeng Reservoir were built up during the
364 1960s (Gao et al., 2012; Shi et al., 2017). In response to these constructions, the freshwater
365 and sediment discharge of the river has experienced a decline over the last 50 years; this has
366 been verified by monitoring data from the Huanggou Hydrology Station (Fig. 9). Compared
367 to the first decade (1958 to 1967) with a total river discharge of $2.861 \times 10^{12} \text{m}^3$, the total river

368 discharge in a recent decade (1999 to 2008) was $1.93 \times 10^{12} \text{m}^3$, which decreased $9.31 \times 10^{11} \text{m}^3$
369 (32% of the former amount). Similarly, the total decadal sediment load decreased to 2.82×10^6
370 t during the decade from 1999 to 2008, which was only 17% of the amount in the first decade
371 (1.64×10^7 t). A recent study in Changjiang Estuary showed that the decrease in the sediment
372 load of the river resulted in downstream erosion that altered the deposition system (Gao et al.,
373 2019). Furthermore, the tidal action in the YRE was strengthened after 1960: the tidal range
374 at the estuarine entrance was 1.6 m in 1934–1943, but increased to 2.33 m after 1960 (Gao et
375 al., 2012).

376 The reduced fluvial sediment supply and the stronger tides have resulted in enhanced
377 erosion of the nearshore tidal flats. According to a previous study in the YRE (Gao et al.,
378 2012), the sea-bed sediments in the shallow water area outside the entrance line (where
379 massive tidal flats exist) are much finer than in the upstream river channel. Consequently, the
380 reduced sediment load from the river and the increased oceanic finer eroded materials
381 transported into the estuary by the stronger tides has led to a decreasing particle grain size
382 over time in sediment cores. The large quantity of coarse sediments trapped in the upstream
383 reservoirs constructed during the 1960s has further reduced the supply of coarse deposits to
384 the estuary. Thus, this anthropogenic influence on the sedimentation process has been
385 recorded in the mean grain size profiles, especially in cores near the Middle River (the main
386 water outlet).

387 4.2 ^{137}Cs sedimentary records under anthropogenic influence

388 4.2.1 Vertical variation in ^{137}Cs profiles

389 Figs. 2 and 10 demonstrate the vertical distributions of ^{137}Cs in the YRE; the major ^{137}Cs
390 peaks ranged 1.36–7.39 Bq/kg, and secondary ^{137}Cs peaks ranged from 0.98–6.62 Bq/kg.
391 There was at least one peak in the ^{137}Cs profile in all the core samples.

392 For cores C2, C3, C5, K2, K4, K6 and K10, the ^{137}Cs deposition curves before 1986
393 were mostly continuous or generally uninterrupted with the ^{137}Cs maximum peaks in each
394 core corresponding to the 1963 ^{137}Cs time marker. The ^{137}Cs deposition curves in C2, C5, K4
395 and K10 were similar to the ideal atmospheric ^{137}Cs deposition curve (Figs. 2, 4 and 10).
396 However, there was hiatus with long duration at multiple layers in ^{137}Cs profiles in C4, K1,
397 K5, K8, K9, K11 and K12. Although ^{137}Cs was deposited discontinuously in K1, K5 and K8,
398 the maximum ^{137}Cs peaks in these profiles still corresponded to the 1963 time marker, in
399 accordance with the atmospheric deposition curve. The ^{137}Cs profiles in C4, K9, K11 and
400 K12 differed from the atmospheric deposition curve: ^{137}Cs was found only at the surface
401 layer in core C4, and the ^{137}Cs maximum peak in K12 was also near the surface; the
402 maximum ^{137}Cs peaks in K9 and K11 were in the upper section (Figs. 2 and 10). The causes
403 for these abnormal ^{137}Cs peaks are discussed in Section 4.2.2.

404 4.2.2 ^{137}Cs peaks without time markers

405 Most ^{137}Cs peaks in the profiles were considered as time markers. However, other ^{137}Cs
406 peaks (which do not have corresponding time markers or match the ^{137}Cs ideal atmospheric

407 deposition curve) could signal changes in the sedimentation process. Although not every
408 abnormal ^{137}Cs peak can be fully explained, the causes for most abnormal ^{137}Cs peaks can be
409 identified. As the ^{137}Cs fallout started in 1954 and came to an end in 1986 with the cessation
410 of atmospheric nuclear testing, these abnormal peaks were divided into two groups: pre-1986
411 and post-1986. The corresponding sediment layers were dated using Eqs. (3) and (4).

412 *^{137}Cs deposition records before 1986 in the YRE*

413 The three cores contained abnormal ^{137}Cs peaks for the period around 1980: K11 (dated
414 to 1980); K8 (dated to 1981); and K2 (dated to 1982). There are two possible explanations for
415 these peaks: (1) they could represent the 1986 auxiliary time marker considering their ages
416 were close to 1986; (2) a significant environmental change happened in the Yalu River
417 catchment at that time. Ran et al. (2012) showed the existence of such an environment change:
418 the forest coverage around the lower reaches of the catchment decreased dramatically from
419 $1.67 \times 10^4 \text{ km}^2$ to $5.4 \times 10^3 \text{ km}^2$ during the deforestation activity from 1958 to 1978. The
420 subsequent increase in soil erosion probably provided more terrigenous sediment materials,
421 which would to some extent explain the corresponding ^{137}Cs peaks the in sedimentary records
422 (Iurian et al., 2012).

423 *^{137}Cs deposition records after 1986 in the YRE*

424 ^{137}Cs activity after 1986 should have remained at low level in the YRE due to the
425 absence of ^{137}Cs fallout (Fig. 4). However, several cores from the YRE contained marked
426 ^{137}Cs contents after 1986.

427 Four cores contained ^{137}Cs peaks close to 1990: C3 (dated to 1992); K11 (dated to 1992);
428 K10 (dated to 1991); and K1 (dated 1989). These cores were located near the East River, and
429 the peaks might be related to large-scale mining activity in the lower reaches of catchment
430 between the Middle and East River (north to the River Island). At the beginning of the 1990s,
431 the mining volume was up to 3.8×10^5 t/yr, which was equivalent to 25% of the mean annual
432 sediment load in the Yalu River basin (Ran et al., 2012). Open surface mining or dredging
433 activity can induce resuspension of deposited materials and the older sediments could be
434 exposed (Cundy et al., 2003), such process could lead to higher levels of radioactive
435 concentration in coastal environments (Klubi et al., 2017), which may result in ^{137}Cs peaks in
436 these cores.

437 Three cores contained ^{137}Cs peaks relating to years around 1995: K10 (dated to 1995);
438 K1 (dated to 1997); and K9 (dated to 1997). The largest flood in the Yalu River drainage
439 occurred in 1995. Such basin-wide flood usually leads to an extensive loss of surface soil,
440 which is rich in ^{137}Cs ; thus, ^{137}Cs peaks were formed in the estuarine sediments. However,
441 this 1995 flood-induced ^{137}Cs can only be tracked in several cores in the estuary; as there may
442 have been disturbances caused by physical and/or biological processes during the last 20
443 years from 1995.

444 There were also high ^{137}Cs levels in the surface sediments of cores C2, C3, C4, K2, K4,
445 K5, K10 and K12 in the years after 2010, with peak values ranging from 0.19 to 0.72 Bq/kg.
446 Core C4 had a very large sedimentation rate of 2.94 cm/yr according to dating results based
447 on ^{210}Pb (Liu et al., 2017); Given the total length of this core, it only contained sediments

448 from the last 30 years, that is after 1986. There should have been no ^{137}Cs as there was no
449 more ^{137}Cs fallout after 1986. However, ^{137}Cs activity was observed at the surface layer in C4.
450 This core was collected in 2013 and the surface layer (top 4 cm) should be newly deposited
451 material from 2011 to 2013. However, the monthly sediment discharge in August 2012 at the
452 Huanggou Station was 1.7×10^5 t (4 times larger than that of August in normal year),
453 supplying plentiful terrigenous materials to the estuary. The surface ^{137}Cs in C4 was taken to
454 be a result of ^{137}Cs eroded from the surface soil eroded from the drainage and transported
455 by river flow. A similar explanation can be made for the surface peaks in C2 (dated to 2012),
456 C3 (dated to 2012) and K5 (dated to 2012). These sites were affected more by the river flow
457 as they were located near the Middle River (the main flow channel of the river), which
458 carried the bulk of the terrigenous sediment. Due to the gravity effect, eroded materials
459 carried by river upstream might be deposited near the lower reaches of the river and cannot
460 reach further south. Therefore, although C5 is also located near the Middle River, there was
461 no surface ^{137}Cs peak found in C5.

462 The reasons for the surface ^{137}Cs peaks in K10 (dated to 2004) and K12 (dated to 2007)
463 requires further study. A robust understanding of why the occasional environmental events
464 have different impacts at different sites also requires future studies using numerical
465 simulation.

466 **5. Conclusion**

467 This study provides an age framework for sedimentary records in the YRE using ^{210}Pb

468 dating results and verified by independent ^{137}Cs time markers. Decay curves in cores K4 and
469 K10 can be considered as the standard profiles for the sediment age framework in the study
470 area. The 1963 ^{137}Cs peak was recorded in most sediment cores in this area and was used as
471 the ideal key time marker, while 1954, 1975 and 1986 were considered as auxiliary time
472 markers.

473 The results show that the 1960s were a time when sedimentary environment in the YRE
474 experienced a dramatic change. Sediments deposited before 1960 could not be obtained at
475 most sites. Deposition near the Middle River after the 1960s was significantly slower than
476 before. In the 4 cores containing records before 1960, the ^{210}Pb activity behaved differently
477 before the 1960s than after, suggesting a change in the deposition process. The reduction of
478 coarse sediment supplies due to the reservoirs contributed to a decrease in the particle size of
479 deposits near the Middle River after 1963.

480 The vertical distribution of ^{137}Cs level showed a close relationship to sedimentary
481 environmental changes in the YRE. The ^{137}Cs peaks that were not time markers indicated that
482 sedimentation responses to both natural processes such as floods and human activity
483 including mining and deforestation in the basin.

484 This study is an example of understanding the impact of anthropogenic processes on
485 sedimentation in medium-scale estuaries. It also provides benchmarks for more robust coastal
486 management in coastal areas with increasing populations. In order to obtain further insight
487 into how different areas in the estuary respond differently to human interference, future
488 studies using numerical simulation are required.

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674 **List of Tables**

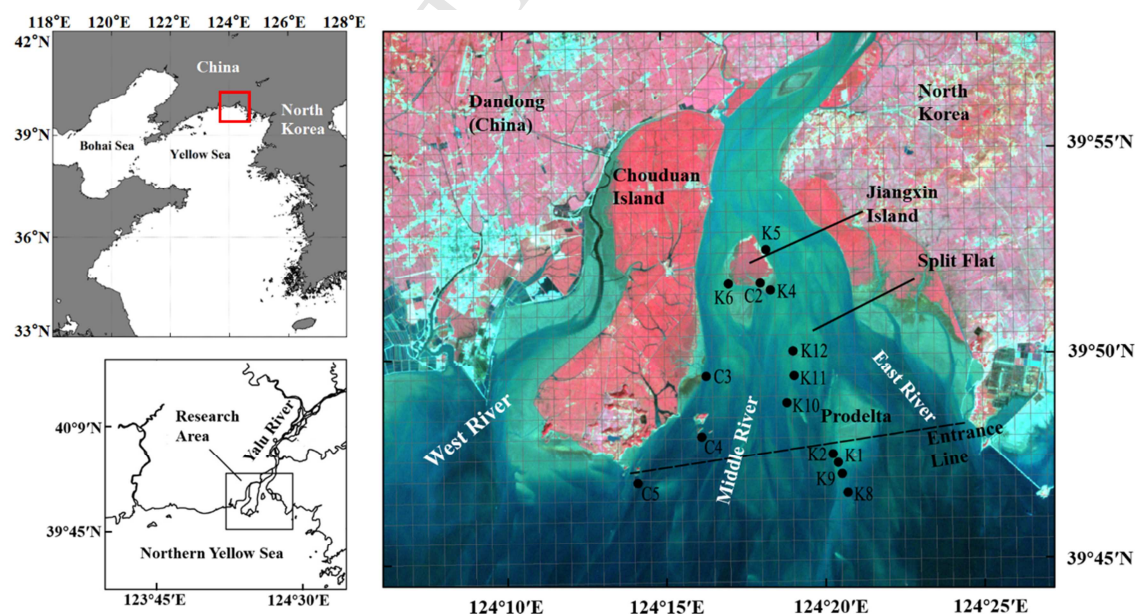
675 **Table 1** ²¹⁰Pb-derived and ¹³⁷Cs-derived sedimentation rates and chronological framework in

676 the YRE. “*” denotes published results from Liu (Liu et al., 2017).

Area	Core Number	^{210}Pb Decay Section	^{210}Pb -derived Sedimentation Rate (cm/yr)	^{137}Cs Primary Time Marker	^{137}Cs Auxiliary Time Markers	^{137}Cs -derived Sedimentation Rate (cm/yr)
River Island	K4	0-60cm	1.33	1963 (48cm)	1975 (38cm)	0.94
	K5	6-30cm	1.13	1963 (67cm)	-	1.31
		38-63cm	1.09			
K6	-	-	1963 (104cm)	-	2.04	
Split Flat	K10	54-136cm	2.24	1963 (148cm)	1954 (172cm)	2.90
	K11	-	-	1963 (82cm)	-	1.61
	K12	26-92cm	1.80	1963 (96cm)	-	1.88
Prodelta	K1	14-70cm	1.80	1963 (82cm)	1986 (40cm)	1.61
	K2	0-44cm	1.36	1963 (98cm)	1975 (80cm)	1.92
	K8	26-74cm	2.83	1963 (104cm)	-	2.04
	K9	8-74cm	1.77	1963 (80cm)	-	1.57
Middle River	C2*	-	-	1963 (132cm)	1954 (156cm)	2.64
					1975 (104cm)	
					1986 (72cm)	
	C3*	0-32cm	1.04	1963 (72cm)	1986 (40cm)	1.44
	C4*	8-70cm	2.94	-	-	-
C5*	8-50cm	1.54	1963 (74cm)	1954 (98cm)	1.48	
	110-132cm	1.48		1986 (44cm)		

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678 List of Figures

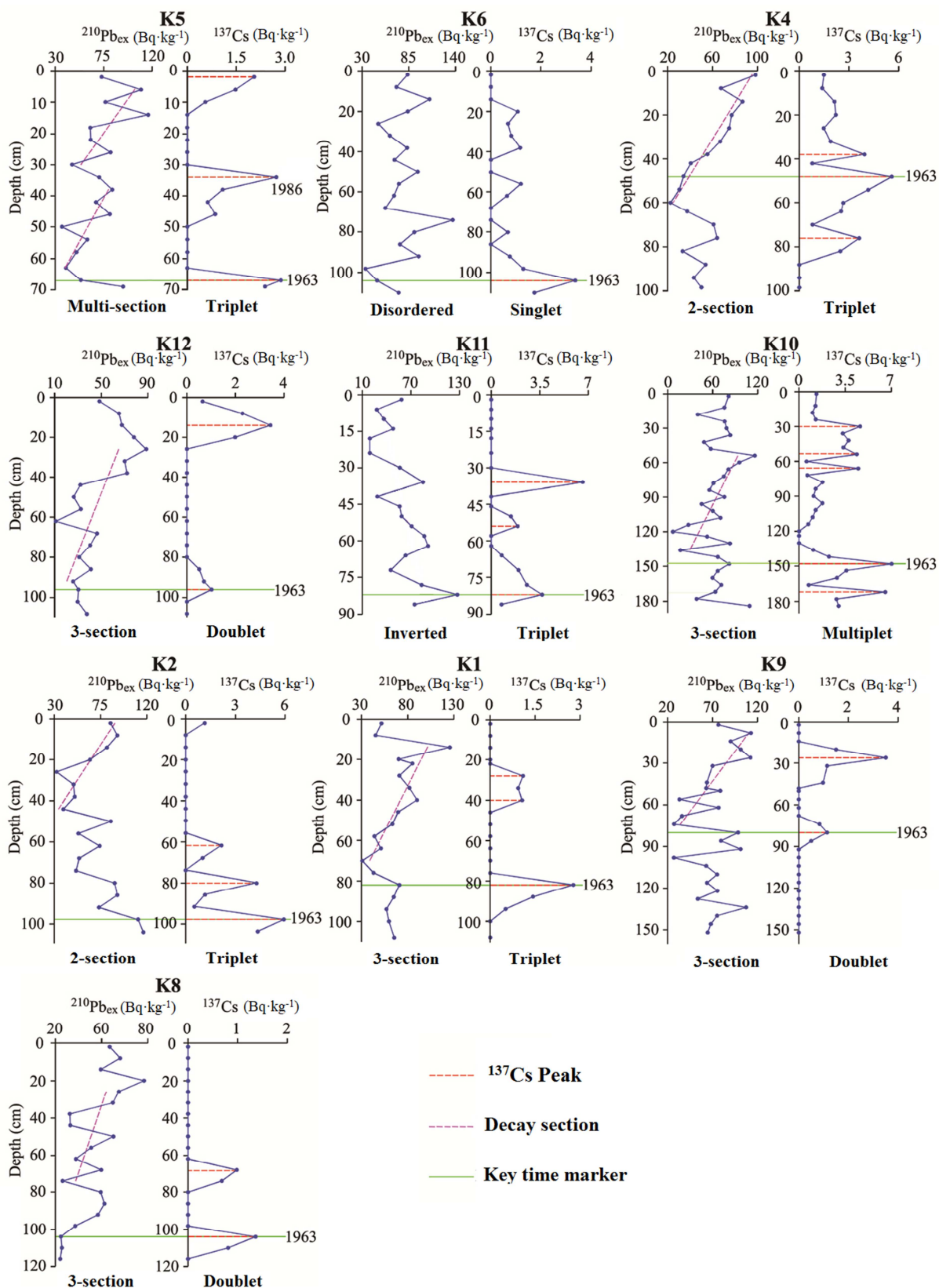


679

680 **Figure. 1** Locations of sampling sites. K1, K2, K4, K5, K6, K8, K9, K10, K11 and K12

681 represent samples in this study while C2, C3, C4 and C5 are samples published in Liu (Liu et
682 al., 2017). K4, K5 and K6 denote sampling sites near the River Island; Sites K12, K11, and
683 K10 are near the Split Flat between the Middle River and East River; K1, K2, K8 and K9 are
684 sampling sites from the prodelta area outside the estuary entrance; C2, C3, C4 and C5
685 represent sampling sites along the Middle River.

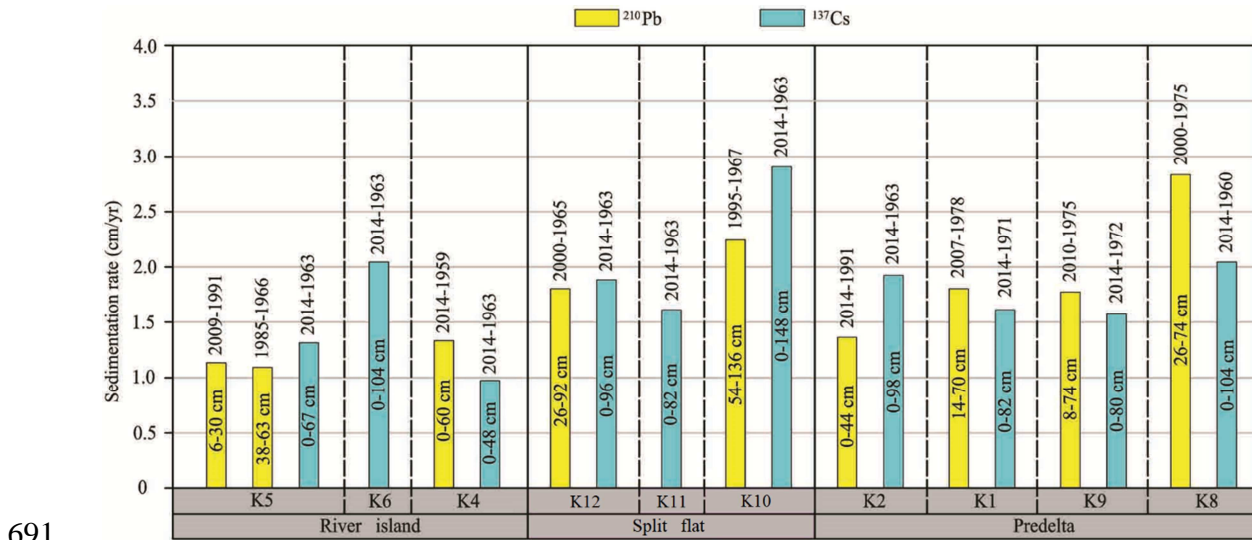
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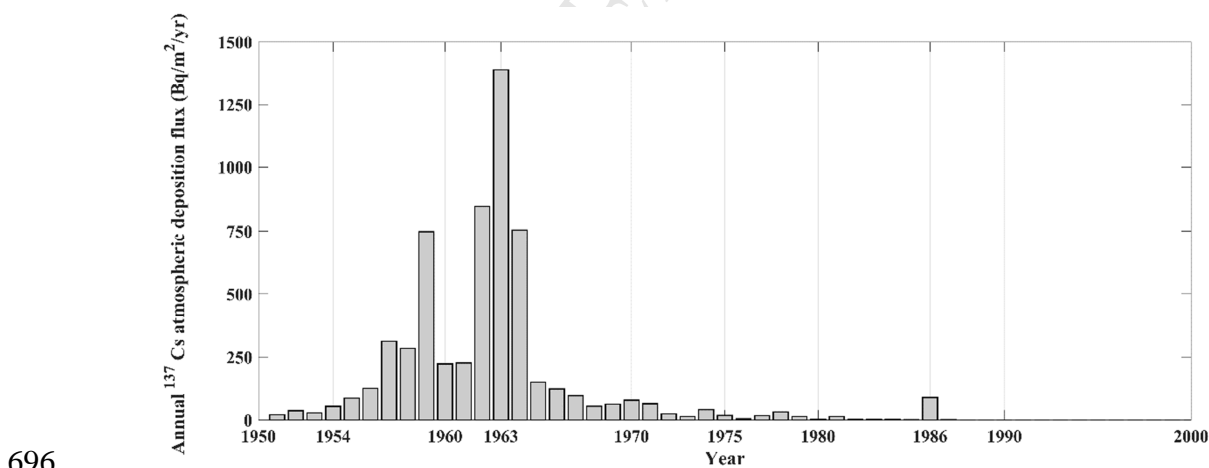
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688 **Figure. 2** Vertical profiles of ^{210}Pb and ^{137}Cs from 10 sediment cores. The ^{210}Pb decay curves689 were classified into five types based on the curve patterns. The ^{137}Cs profiles were classified

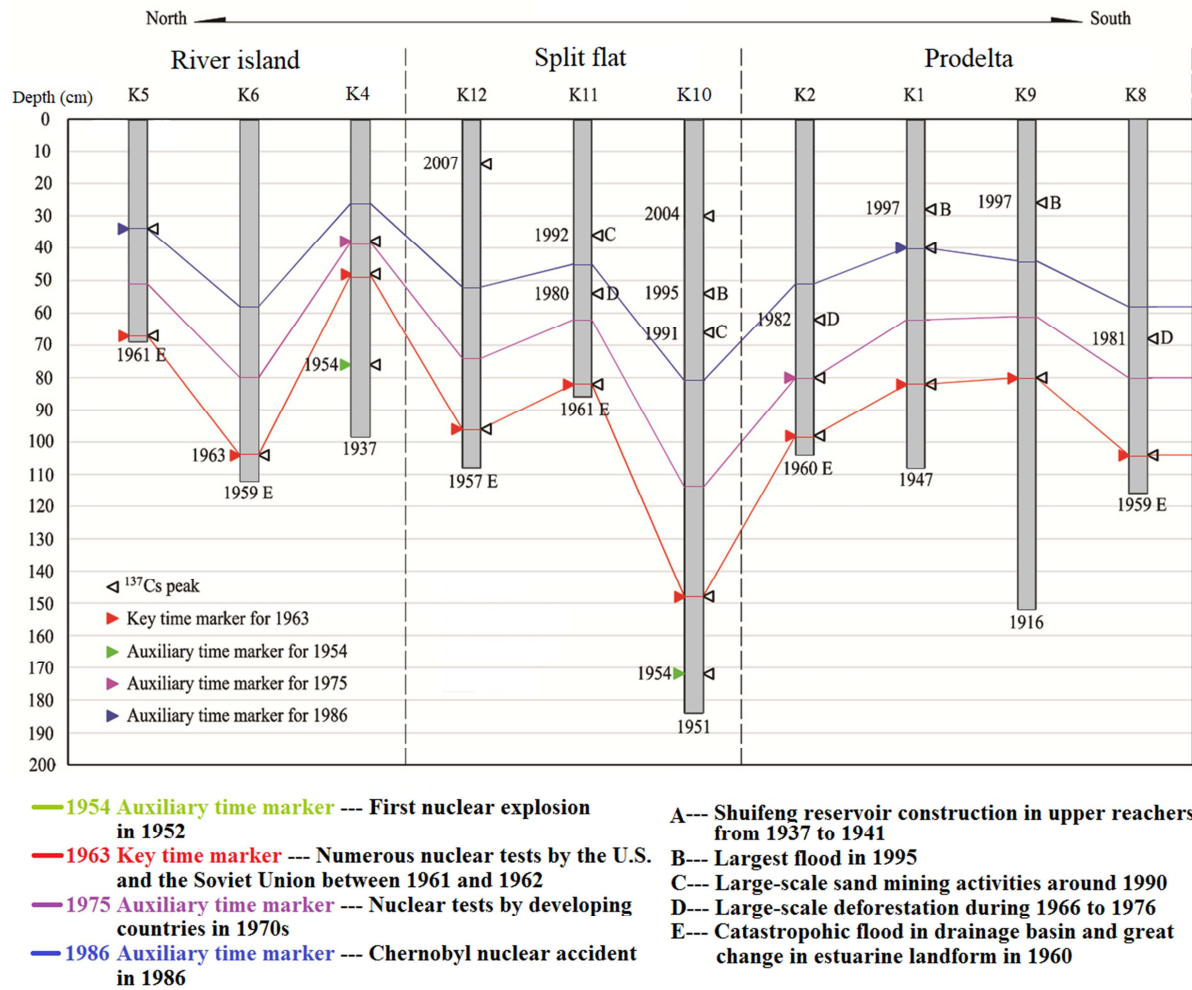
690 into four types based on the numbers of ^{137}Cs peaks.



692 **Figure. 3** Comparison of sedimentation rates based on the ^{210}Pb decay curves and ^{137}Cs time
 693 markers. Core K5 contained two ^{210}Pb decay sections. Cores K6 and K11 contained no ^{210}Pb
 694 decay section. Sectioned sedimentation rates for core K4 were calculated based on the 1975
 695 and 1963 time markers.

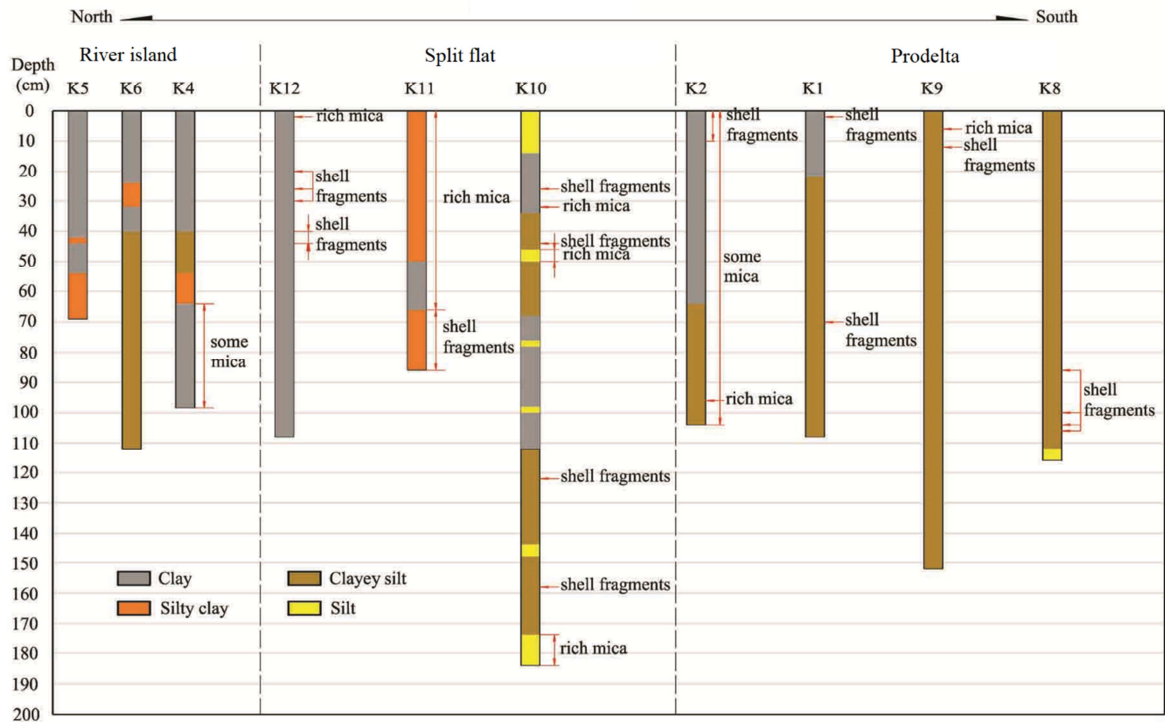


697 **Figure. 4** Estimated annual ^{137}Cs atmospheric deposition flux in the YRE.



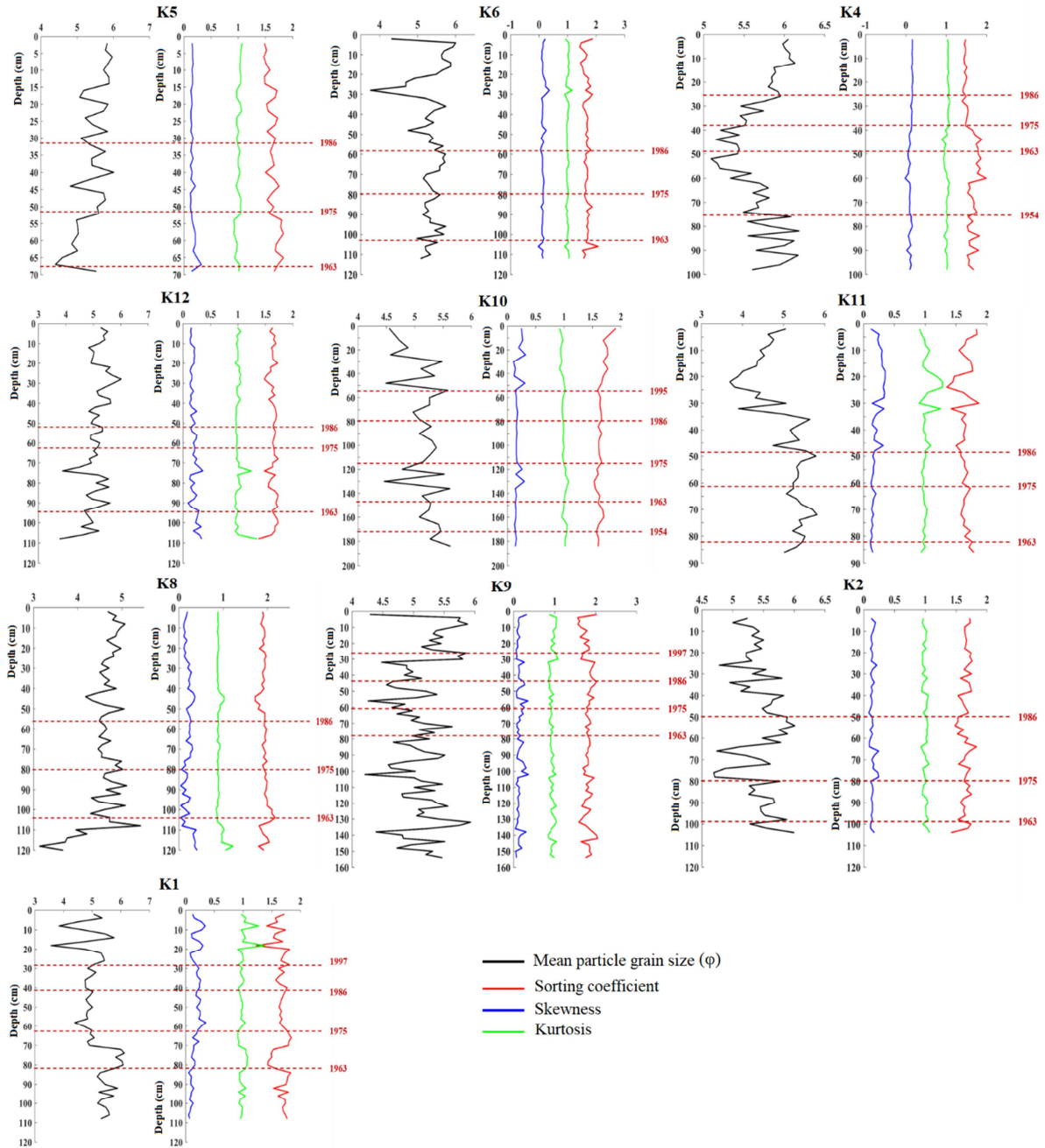
698

699 **Figure. 5** Sedimentation ages and time markers in the YRE and sedimentary events in the
 700 river basin. The 1963 time marker appeared in all 10 cores of the YRE. The 1954, 1975, and
 701 1986 time markers appeared once, twice, and twice, respectively. Some ¹³⁷Cs peaks
 702 corresponded to sedimentary events, and the differences in sedimentary rates among different
 703 depositional areas were based on the spacing distance of age lines, as well as on the
 704 relationships between lithological characteristics and sedimentary ages in different
 705 depositional areas.



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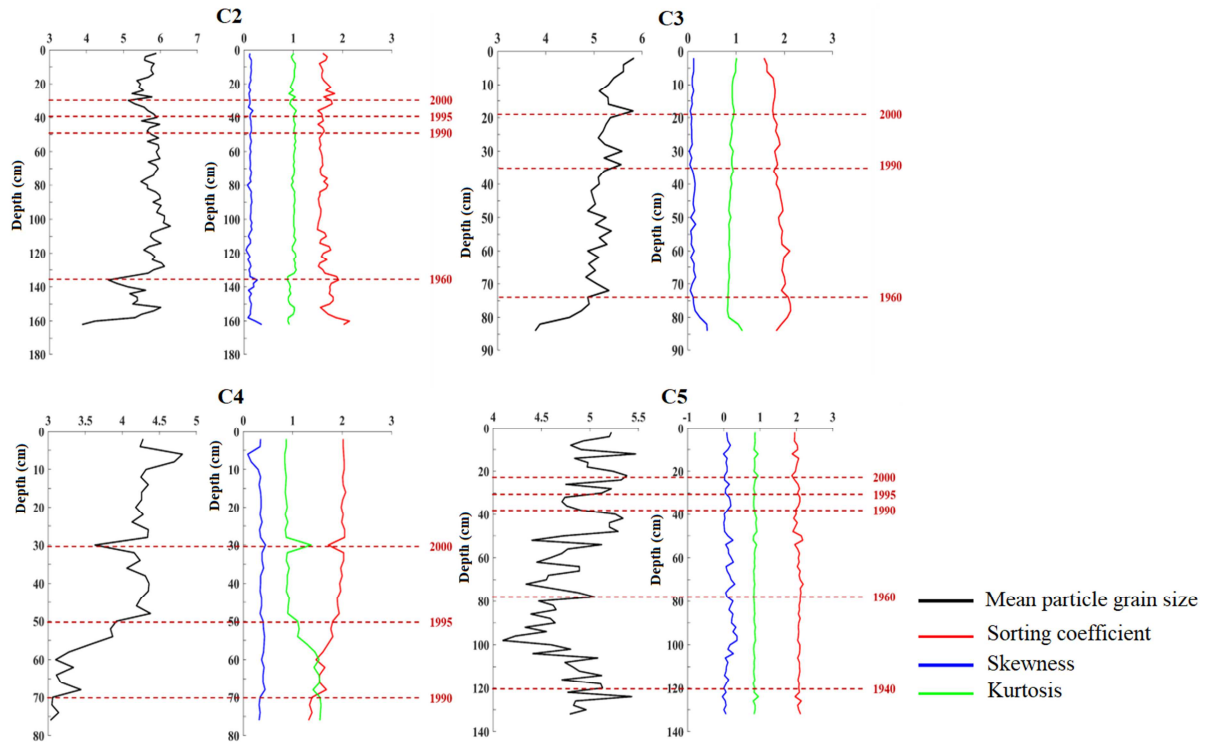
707 **Figure. 6** Lithological characteristics of sediment cores collected in 2014.



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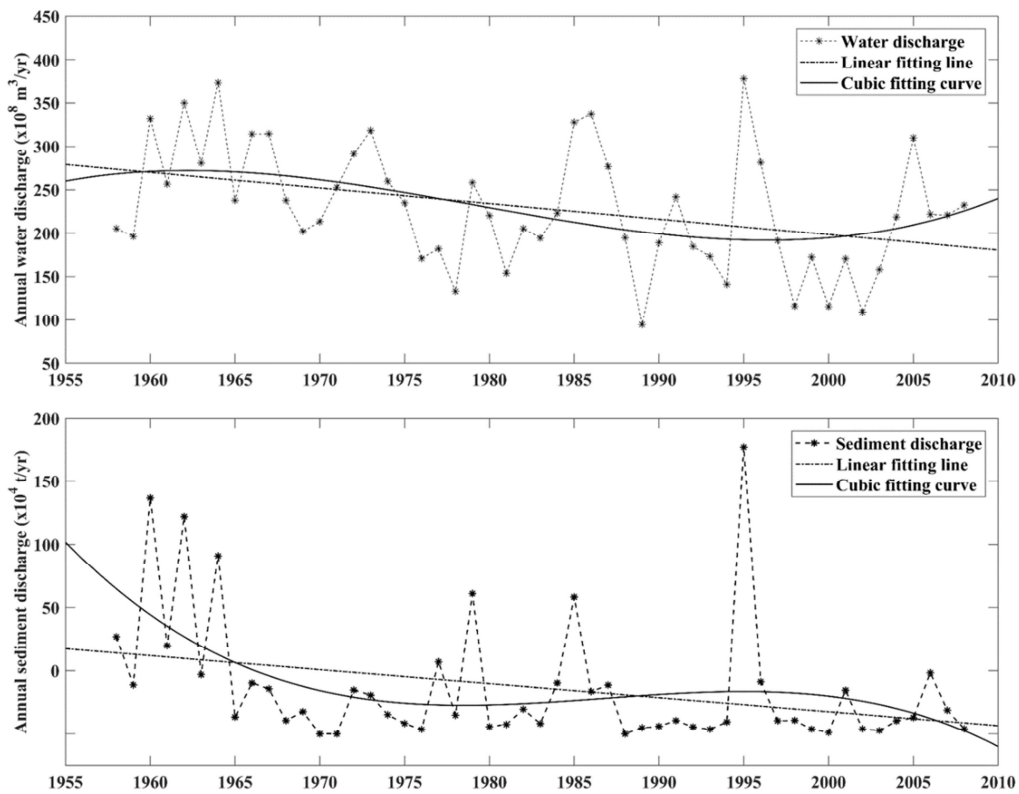
709 **Figure. 7** Grain size analysis of cores collected in 2014. Black lines represent mean particle710 grain size (ϕ). Blue, green and red lines denote the skewness, kurtosis and sorting coefficient,

711 respectively.



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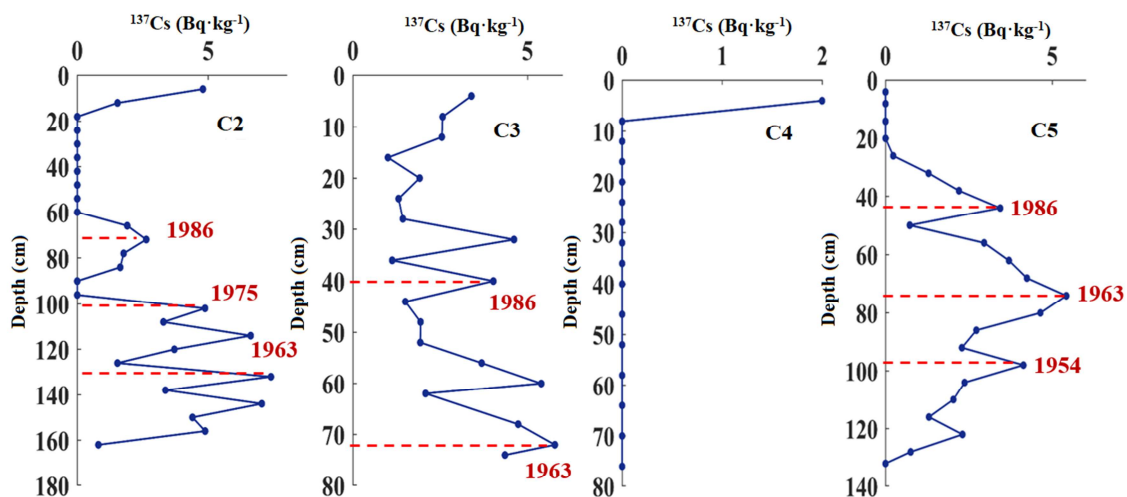
713 **Figure. 8** Grain size analysis of cores (modified from (Liu et al., 2017)). Black lines
 714 represent mean particle grain size (ϕ). Blue, green and red lines denote the skewness, kurtosis
 715 and sorting coefficient, respectively.



716

717 **Figure. 9** Annual freshwater discharge and sediment load at Huanggou Hydrology Station

718 from 1958 to 2008.



719

720 **Figure. 10** ^{137}Cs profiles of C2, C3, C4 and C5.

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