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Development and characterization of a humanized mouse model of osteoarthritis

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30

31 **ABSTRACT**

32 Objective: In light of the role of immune cells in OA pathogenesis, the development of
33 sophisticated animal models closely mimicking the immune dysregulation during the disease
34 development and progression could be instrumental for the preclinical evaluation of novel
35 treatments. Among these models, immunologically humanized mice may represent a relevant
36 system, particularly for testing immune-interacting DMOADs or cell therapies before their
37 transfer to the clinic. Our objective, therefore, was to develop an experimental model of OA
38 by destabilization of the medial meniscus (DMM) in humanized mice.

39 Method: Irradiated 5-week-old NOD/LtSz-scid IL2R γ^{null} (NSG) mice were humanized by
40 intravenous injection of CD34⁺ human hematopoietic stem cells. The engraftment efficiency
41 was evaluated by flow cytometry 17 weeks after the humanization procedure. Humanized and
42 non-humanized NSG mice underwent DMM or sham surgery and OA development was
43 assessed 1, 6, and 12 weeks after the surgery.

44 Results: 120 days after the humanization, human T and B lymphocytes, macrophages and NK
45 cells, were present in the blood and spleen of the humanized NSG mice. The DMM surgery
46 induced articular cartilage and meniscal alterations associated with an increase in OA and the
47 meniscal score. Moreover, the surgery triggered an inflammatory response that was sustained
48 at a low grade in the DMM group.

49 Conclusions: Our study shows for the first time the feasibility of inducing OA by DMM in
50 humanized mice. This novel OA model could constitute a useful tool to bridge the gap
51 between the preclinical and clinical evaluation of immune interacting DMOADs and cell-
52 based therapies.

53 *Keywords*

54 Osteoarthritis, animal model, humanized mouse, DMM

55 *Running Title*

56 Humanized mouse model of osteoarthritis

1 INTRODUCTION

2 Osteoarthritis (OA) is the most common inflammatory and degenerative joint disease in
3 humans. Chronic low-grade inflammation in OA, characterized by synovitis and a pro-
4 inflammatory/catabolic state of the chondrocytes in the majority of patients¹, involves both
5 the innate and adaptive immune systems and is actively involved in the disease progression²⁻⁴.
6 The inflammatory process in OA is triggered in particular by cartilage or meniscal
7 degradation products, called damage-associated molecular patterns (DAMPs), that activate
8 various cell types in the joint (macrophages, fibroblasts, and chondrocytes). Activated cells in
9 the synovial membrane and cartilage respond by the production of chemoattractants,
10 inflammatory cytokines (interleukins (IL-6, IL-1 β) and tumor necrosis factor-alpha (TNF- α)),
11 growth factors (transforming growth factor- β (TGF β) and insulin-like growth factor-1 (IGF-
12 1)), and matrix-degrading enzymes (matrix metalloproteases (MMPs) such as a disintegrin
13 and metalloprotease with thrombospondin motifs (ADAMTS), etc.), thereby leading to
14 synovial immune cell (monocytes/macrophages) infiltration, cartilage degradation, and
15 osteophyte development¹. Given the pathogenic role of low-grade inflammation, disease-
16 modifying osteoarthritis drugs (DMOADs) that target inflammatory cytokines, such as anti-
17 IL-1 β , anti-IL-6, and anti-TNF- α , have been developed. However, although these entities
18 have yielded promising results in preclinical studies, notably in murine models of OA, they
19 have so far failed to exhibit a significant degree of clinical efficacy in humans⁵. This low level
20 of clinical predictivity of murine OA models⁶ may at least in part be explained by the
21 numerous differences in the immune system and inflammatory processes between humans and
22 mice⁷. These differences affect both innate and adaptive immunity and they include the
23 balance of leukocyte subsets, NK inhibitory receptor families, B and T cell signaling
24 pathways, Th1/Th2 differentiation, and the expression and function of costimulatory
25 molecules^{8,9}. This discrepancy between mice and humans is also observed in response to

26 inflammatory stress at the genomic level⁷. More particularly, species-specific differences in
27 TLR expression and macrophages metabolism⁹ are relevant in the OA context.

28 To overcome the poor level of human clinical predictability of mouse models, notably for
29 inflammation- and immune-related diseases, humanized mice have been considered. The
30 generation of humanized mice was enabled by several major improvements in the generation
31 of immunodeficient mice. The third generation of immunodeficient mice, the NOD.Cg-
32 *Prkdc^{scid} Il2rg^{tm1Wjl}* (NSG) mice, combine the SCID (*Prkdc^{Scid}*) mutation in the NOD
33 background and mutation of the interleukin-2 receptor (IL-2R) γ -chain locus (*IL2ry* gene).
34 These NSG mice exhibit total impairments in NK-cell, T-cell, and B-cell development as well
35 as hypofunction of innate immune cells such as monocytes/macrophages, dendritic cells, and
36 neutrophils^{10,11} associated with an increased lifespan. These mice support long-term
37 engraftment of human hematopoietic stem cells (HSC) that can undergo multilineage
38 development over time, resulting in a poly-functional human immune system, including T, B,
39 NK, and dendritic cells, as well as monocytes/macrophages and granulocytes¹². These
40 humanized mouse models have been widely used in various research areas such as
41 oncology¹³, transplantation¹⁴, and autoimmunity¹⁵.

42 Whereas humanized mice have been generated to investigate the mechanisms governing acute
43 inflammatory rheumatoid arthritis, including the migration of human immune cells into the
44 joint and the pathogenic role of TNF- α ¹⁶, to our knowledge, a model of OA humanized mice
45 has not yet been described. In light of this and the role of immune cells and low-grade
46 inflammation in the pathogenesis of OA, our objective was to develop an experimental model
47 of OA in humanized mice. After having validated the humanization of these mice, post-
48 traumatic OA was surgically induced by destabilization of the medial meniscus, and the OA
49 features were investigated.

50

51 **MATERIALS AND METHODS**

52 *Mice*

53 The NOD.Cg-Prkdc^{scid} Il2ry^{tm1Wjl} immunodeficient mice (NSG, stock #05557, the Jackson
54 Laboratory (Bar Harbor, ME, USA) were bred at the Humanized Rodent Platform of LabEx
55 IGO and were housed in the Nantes University UTE IRS-UN animal facility under specific
56 pathogen-free conditions according to institutional guidelines (accreditation number C44-
57 278). This study was performed according to the European guidelines for the care and use of
58 laboratory animals (2010/63/EU) and authorization from the French Ministry of Research
59 (APAFIS #7437-2016110213027604).

60 *Humanization procedure*

61 Five-week-old NSG female mice were irradiated sublethally (1.5 Gy) and infused
62 intravenously with 50×10^3 human CD34⁺ mononuclear cells (Lonza, NJ, USA) 3 days later.
63 To validate the humanization, peripheral blood was harvested and the immune cell
64 subpopulations were assessed using the antibodies listed in table 1. Flow cytometric analyses
65 were performed on a BD FACSCanto II™ Cell Analyzer (BD Biosciences, CA, USA). The
66 humanized mice (n=37) were included in the OA induction protocol after achieving sufficient
67 immune blood reconstitution (i.e. engraftment efficiency (%graft) > 10%) (Fig 1 A, B).

68 *DMM procedure*

69 Post-traumatic osteoarthritis was experimentally induced in non-humanized and humanized
70 mice by unilateral (right knee) destabilization of the medial meniscus (DMM) 17 weeks post-
71 humanization. At 22 weeks-old, non-humanized and humanized mice were randomly taken
72 from their cage for OA induction by DMM (non-humanized n=9; humanized n=21). The
73 DMM surgery was performed on anesthetized mice according to the procedure of Glasson *et*
74 *al.*¹⁷. A second group of mice (non-humanized n=7; humanized n=16) underwent a sham

75 procedure. The non-humanized and humanized mice were sacrificed 6 and 12, and 1, 6, and
76 12 weeks after the surgery respectively. Blood samples of humanized mice were harvested 6
77 and 12 weeks after the surgery. At each time point, spleen (only for humanized mice) and
78 knee joints were also harvested and fixed in a 4% w/v paraformaldehyde for 48 h.

79 *Microtomography*

80 The knee joints were analyzed with μ CT according to Bouxsein *et al.*'s guidelines¹⁸ using a
81 micro-computed tomography Skyscan 1272[®] device (Bruker, Germany) with a 5- μ m isotropic
82 voxel size (50 kV, 200 μ A, 0.45° rotation angle). 3D reconstructions were performed using
83 NRecon software (Bruker) followed by spatial orientation using Dataviewer[®] software
84 (Bruker). To assess subchondral bone changes, CT-Analyser[®] software (Bruker) was used to
85 define a 160 μ m diameter cylindrical regions of interest (ROI) from the bone surface to the
86 growth plate in the medial tibial epiphysis, this ROI was further divided into a cortical and a
87 trabecular area (Supplementary Fig. 1A). The medial meniscus (including anterior and
88 posterior areas) was also selected for analysis. Structural bone parameters, including bone
89 volume (BV; mm³) and trabecular thickness (Tb.Th; mm) were quantified using 3D
90 algorithms in CT-Analyser[®] software (Bruker). 3D models of the tibia menisci were created
91 using CTVox software (Bruker).

92 *Histology and scoring*

93 The knee joints were decalcified using TBD-2 solution (Thermo Scientific, MA, USA) for 48
94 h at 4 C before being embedded in paraffin (Leica TP1020 automated tissue processor,
95 Germany). Serial 5- μ m thick coronal sections were made using a 2050 Supercut microtome
96 (Leica Microsystems). Safranin-O fast green staining was carried out using standard histological
97 procedures.

98 The OA severity was evaluated using a modified Osteoarthritis Research Society International
99 (OARSI) scoring system (Table 2) as previously described¹⁹⁻²¹. The OA scores were

100 expressed as the mean of the four quadrants. Meniscal damage was scored according to
101 criteria devised by Kwok *et al.*³. Synovial inflammation was assessed by a synovitis score
102 developed by Lewis *et al.*²². Scoring was performed blindly and independently by three
103 different readers (BB, JL, and CV) on at least three levels of the joint for each sample.

104 *Immunohistochemistry and image analysis*

105 Immunohistochemistry was performed on deparaffinized and rehydrated sections with
106 specific primary antibodies listed in table 1. The primary antibodies were detected using a kit
107 (DAKO, Agilent, CA USA) with DAB substrate, and the sections were counterstained using
108 Mayer's hematoxylin (RAL Diagnostic, Martillac, France). As a negative control, sections
109 were processed with identical protocols but without the primary antibody. Tissue samples
110 harvested from non-humanized NSG mice were used as negative controls for the detection of
111 human cells. The histological sections were finally observed using a NanoZoomer 2.0
112 Hamamatsu slide scanner (Hamamatsu Photonics, Hamamatsu, Japan).

113 *Statistical analysis*

114 GraphPad Prism 8.0v software (GraphPad, CA, USA) was used for the statistical analyses.
115 We have used nonparametric tests. The Mann-Whitney test was used for comparison of only
116 two groups. To compare three or four conditions, we used the Kruskal-Wallis test followed by
117 Dunn's multiple comparison test. A p-value of less than 0.05 was considered to be statistically
118 significant.

119

120 **RESULTS**

121 An overview of the experimental procedure used for this study is presented in Figure 1A.
122 After the humanization procedure on day 0 (D0) by intravenous (IV) injection of human
123 HSCs, the mice underwent a DMM or sham surgical procedure 17 weeks post-humanization.

124 The mice were ultimately sacrificed at 1, 6, and 12 weeks after OA induction for all of the
125 subsequent analyses.

126 *Generation and characterization of the humanized mice*

127 To validate the humanization procedure, the engraftment efficiency was determined for each
128 mouse. Our data (Fig. 1B) indicate that 17 weeks after CD34⁺ HSC IV injection, the
129 engraftment efficiency exhibited a median level of engraftment of 39.8% (\pm 3.11). No
130 significant difference in engraftment level was observed between the Sham and DMM groups
131 throughout the experimental procedure. Consistent with our flow cytometry data, our
132 immunohistochemical analyses (Fig. 1C) revealed increased immunostaining for human
133 mitochondria and human CD45 in the spleens of the animals exhibiting the highest level of
134 engraftment efficiency, which correlates with the engraftment efficiency assessed in
135 peripheral blood 17 weeks post-humanization. Among the hCD45⁺ cells, we detected the
136 presence of hCD3⁺, hCD19⁺, hCD14⁺, and hCD56⁺ cells 17 weeks after the humanization
137 procedure (Fig. 1D) confirming the development of multiple immune cell lineages. The
138 hCD19⁺ cells that represent the main population in the hCD45⁺ cell before the surgery exhibit
139 a 1.4-fold and a 2.5-fold decrease in their proportion at 6 and 12 weeks, respectively, post-
140 surgery. By contrast, the proportion of hCD3⁺-positive cells exhibited a 3-fold and a 6-fold
141 increase at 6 and 12 weeks, respectively, post-surgery. The hCD14⁺ and the hCD56⁺
142 proportions remained relatively stable around 5.5% and 1.5%, respectively, throughout the
143 experiments. The subpopulation repartition in the sham group and the DMM group did not
144 exhibit any statistical differences (data not shown). Human CD19⁻, CD14⁻, and CD56⁻
145 positive cells were also detected in the spleens of the humanized mice (Fig. 1E).

146 These results clearly indicate that the NSG mice reconstituted with human CD34⁺ HSCs
147 exhibited engraftment and multilineage development of human immune cells.

148 *Humanized NSG mice developed OA following DMM surgery*

149 Following the surgical induction of OA, the mice recovered quickly and the DMM procedure
150 resulted in the development of cartilage damage over time compared to the sham-operated
151 knees in the humanized mice. Indeed, as evidenced by the Safranin-O staining (Fig. 2A), the
152 articular cartilage in the DMM-operated knees exhibited fibrillation of cartilage surface at 6
153 weeks and articular cartilage delamination at 12 weeks post-surgery. These cartilage lesions
154 were quantified using a modified OARSI score¹⁹. A statistically significant increase in the
155 OARSI score was observed from one-week post-surgery to 6 and 12 weeks post-surgery in
156 the DMM group of humanized mice (Fig. 2B). Of note, the OARSI scores were higher in the
157 DMM group than in the sham group at each time point (Fig. 2B). Surprisingly, the non-
158 humanized mice exhibited similar OARSI scores in the Sham and DMM groups at both time
159 points.

160 The articular cartilage matrix also exhibited structural alteration. Although the type II
161 collagen immunostaining did not show a significant change in the DMM group compared
162 with the sham group 12 weeks post-surgery, a trend towards a decrease in type II collagen
163 staining in cartilage could be discerned over time (Fig. 2C). Type X collagen immunostaining
164 was observed in the cartilage matrix as soon as one week post-surgery. Over time, a change in
165 the localization of the type X collagen immunostaining was observed in the DMM group (Fig.
166 2C). Indeed, whereas intense staining was observed only in the deep zone 6 weeks post-
167 surgery, this staining extended from the deep zone to the superficial zone at 12 weeks post-
168 surgery (Fig. 2C). We also observed positive staining for the aggrecan cleavage neoepitope
169 NITEGE in the cytoplasm of chondrocytes as early as one week post OA induction (Fig. 2D).
170 At 6 weeks post-surgery, NITEGE staining was observed in the matrix surrounding the
171 chondrocytes. This positive staining of the extracellular matrix for NITEGE was more intense
172 and it surrounded greater numbers of chondrocytes at 12 weeks post-OA induction, whereas
173 the staining remained cytoplasmic in the sham group (Fig. 2D).

174 Having demonstrated that the DMM surgery induced a significant increase in the OARSI
175 score as well as alteration of the knee cartilage matrix of the humanized mice, we investigated
176 whether the DMM surgery also induced pathological changes in the meniscus of humanized
177 mice, as has been reported in non-humanized wild type mice²³. We observed that the menisci
178 developed lesions following the DMM procedure (Fig. 3A). Safranin-O fast green histological
179 staining revealed morphological changes in the menisci, with enlargement of the outer part of
180 the menisci near the medial collateral ligament at 6 and 12 weeks post-DMM surgery (Fig.
181 3A, black arrows) in humanized. An increased degree of ossification was also observed in the
182 menisci 12 weeks after the OA induction (Fig. 3A, white arrows). These lesions were
183 quantified in humanized and non-humanized NSG mice using the scoring system described by
184 Kwok *et al.*³. An increase in the meniscal score was observed between one week post-surgery
185 and 12 weeks post-surgery in the DMM group (Fig. 3B). Interestingly, the meniscal score was
186 higher in the DMM group than in the sham group at each time point for humanized mice (Fig.
187 3B). A similar trend was observed in the non-humanized NSG mice. Regarding the matrix
188 changes in the meniscus, the type II collagen staining was more intense in meniscus one week
189 post-surgery than at the later time points, and it appeared to decrease over time mainly in the
190 mid outer zone of the meniscus (Fig. 3C, black arrows). By contrast, the type X collagen
191 staining increased over time starting, as early as one week in the outer part of the meniscus
192 and reaching the inner part of the meniscus 12 weeks post-DMM (Fig. 3C). Quantified of the
193 meniscal mineralized tissue volume by μ CT analysis indicated that 12 weeks post-surgery
194 there was a significant increase in the mineralized tissue volume in the medial meniscus of the
195 DMM group compared to the sham group in humanized mice (Fig. 3D). However, non-
196 humanized mice showed only a trend towards an increased volume of the medial meniscus in
197 the DMM group. All of these results indicated that humanized mice develop OA-associated
198 lesions in the menisci following the DMM procedure.

199 No significant subchondral bone modification was measured in humanized mice
200 (Supplementary Fig 1 B). Only the cortical bone volume of the DMM group at 12 weeks of
201 the non-humanized mice exhibited a significant increase compared to the sham group. Since
202 equivalent TUNEL staining (data not shown) was observed between humanized and non-
203 humanized mice, this difference may not be attributed to increased cell death in humanized
204 mice.

205 *Inflammation and human immune cell infiltration in the joint area of humanized mice*

206 While synovitis has been described as occurring early after the induction of osteoarthritis by
207 DMM²⁴ in mice, we were interested in determining whether humanized mice also exhibited
208 inflammation of the synovium early after OA induction. Our histological analyses indicate
209 that both the sham group and the DMM group exhibited thickening and an increase in cell
210 density of the synovium (Fig. 4A). Consistent with this, the synovitis scores were higher in
211 the DMM group compared to the sham group at each time point (Fig 4B) in humanized mice.
212 Although the synovitis score decreased over time in both the sham group and the DMM
213 group, it remained higher in the DMM group than in the sham group at 12 weeks post-
214 surgery, thus suggesting continued low-grade inflammation in the synovium of the DMM
215 group (Fig. 4B). In contrast, no significant difference in the synovitis score was evidenced
216 between DMM and sham groups in non-humanized mice

217 In humanized mice, cells positive for human CD45 (leukocytes) and CD68 (macrophages)
218 were observed in the synovium in the DMM group and the sham group at one week post-
219 surgery (Fig. 4C, black arrows). However, only a few cells were stained 6 and 12 weeks post-
220 surgery (Fig. 4C). Interestingly, cells immuno-positive for human CD45 and CD68 were
221 observed within the bone marrow of the mice in the sham group and the DMM group at each
222 time point (Fig 4D). Consistent with this, a degree of human immune cell infiltration was also

223 observed within the cruciate ligament or the lateral synovium in some samples (data not
224 shown).

225 These results demonstrate that human cells are mainly found in the synovium of humanized
226 mice very early after the induction of OA, thus suggesting that human immune cells may
227 mainly participate in the early phase of the inflammatory process following DMM and that
228 they are likely to be less involved in maintaining low-grade inflammation.

229

230 **DISCUSSION**

231 The objective of the present study was to develop an experimental model of OA in humanized
232 mice. To do so, we relied on the human SCID-repopulating cell (Hu-SRC-SCID), whereby
233 immunodeficient NSG mice are repopulated by injection of CD34⁺ HSCs²⁵. In the present
234 study, after reconstitution of irradiated NSG mice with human CD34⁺ HSCs, the mice
235 successfully developed a human immune system, as evidenced by the presence of
236 multilineage cells including T and B lymphocytes, NK cells, and monocytes/macrophages.
237 Interestingly, these humanized mice developed OA lesions in the articular cartilage and
238 menisci in 6 to 12 weeks following the DMM procedure. Moreover, synovial inflammation
239 was observed in the first week following the surgery in the DMM group and the sham group,
240 with the presence of human macrophages and human leukocytes. Although this synovitis was
241 greater in the DMM group compared to the sham group, there was a high degree of synovitis
242 in the sham group one week post-surgery due to the sham surgical procedure. Although the
243 synovitis score decreased over time in both the sham group and the DMM group, the synovitis
244 remained more pronounced in the DMM group at each time point, thus suggesting continued
245 low-grade inflammation in the DMM group. Of note, no significant difference in meniscal
246 and synovitis scores was observed in non-humanized mice strengthening the role of the
247 immune system in the development of OA disease.

248 Among the various mouse models of OA, surgically-induced OA models such as Anterior
249 Cruciate Ligament Transection (ACLT) and DMM²⁶ are widely acknowledged to
250 satisfactorily, albeit only partially, mimic the onset and progression of the human disease.
251 Since DMM is likely one of the most widely used post-traumatic OA models, we sought to
252 determine whether, in this model, humanized mice develop an OA that is essentially identical
253 to what is observed in non-humanized mice²⁷. In conventional non-humanized mice and after
254 an initial inflammatory stage²⁸, DMM has been reported to induce progressive cartilage
255 degradation, osteophyte formation, modest synovial hypertrophy, and late-onset spontaneous
256 pain²⁷. Interestingly, we have demonstrated that our humanized NSG mice developed
257 cartilage and meniscal progressive degradation associated with a significant, albeit only
258 moderate, synovial inflammation, which are similar characteristics to the OA lesions typically
259 observed in the DMM models. Other induced models of OA comprise collagenase-induced
260 OA (CIOA). Although CIOA is less similar to the natural OA progression in humans
261 compared to the DMM model, it is a more inflammatory OA model. It would also be
262 interesting to apply this CIOA model in humanized mice, as this model is often used to
263 investigate pain, inflammation, as well as mesenchymal stem cell therapy in OA²⁹.

264 Surprisingly, while we were able to detect cartilage and meniscal alterations in our humanized
265 mice after DMM surgery, we were unable to detect any subchondral bone modifications³⁰ as
266 generally reported in the DMM OA model whereas non-humanized mice exhibit significant
267 medial subchondral bone plate thickening (Supplementary Fig. 1). One explanation for these
268 unexpected results is that this may be due to the humanization procedure involving 1.5 Gy
269 irradiation before reconstitution of the NSG mice with human CD34⁺ HSCs. Indeed, such
270 irradiation is known to dramatically disrupt bone marrow and bone metabolism^{31,32}, which can
271 alter its ability to adapt to the diseased osteoarthritic environment. This observation raises the
272 hypothesis that this model could not be adequate for investigation of subchondral bone

273 modifications associated with OA progression. This humanized NSG mice model is also
274 restricted in its duration of post-humanization use. Indeed, long-time humanized NSG mice
275 possibly develop graft-versus-host disease, preventing the evaluation of pain associated with
276 osteoarthritis onset which appears around 11-12 weeks after the induction of osteoarthritis in
277 the DMM model³³.

278 In addition to the Hu-SRC-SCID engraftment procedure used in this study, which allows
279 development of all hematopoietic cell lineages, including T cells, B cells, NK cells, and
280 myeloid cells, various basic approaches to engraft a human immune system have been tested.
281 The Human Blood Lymphocytes SCID (Hu-PBL-SCID), which is the simplest method and
282 consists of injection of human peripheral blood mononuclear cells, allows rapid expansion of
283 human lymphocytes. This Hu-PBL-SCID is limited, however, by only a minor degree of
284 myeloid and B cell development and by the underlying xenogeneic graft-versus-host disease
285 (GVHD) that occurs in 4 to 8 weeks³⁴, which is too fast compared to the kinetic of OA
286 development. The Bone Marrow-Liver-Thymus (BLT) model, which consists of grafting
287 CD34⁺ HSCs with human fetal liver and thymus fragments grafted under the renal capsule has
288 also been reported to be a way to generate humanized mice. However, while it is efficient, the
289 generation of BLT mice requires high-level surgical skills, and the availability of human fetal
290 tissues remains limited. Moreover, these BLT mice on the NSG background are highly prone
291 to the development of a wasting GVHD-like disease, which diminishes the usefulness of this
292 model. Given the previously mentioned limitations of the Hu-PBL-SCID and BLT models,
293 the ease of the Hu-SRC-SCID engraftment procedure is likely to represent a user-friendly and
294 scientifically sound compromise.

295 Although it is a relevant compromise, the humanized mice on the NSG background also
296 exhibit several limitations, in particular, impaired maturation of B and T cells due to the lack
297 of human-specific cytokines and the development of T cells restricted to the mouse major

298 histocompatibility complex (MHC)¹². Another hypothetical restriction associated with the
299 NSG strain could be related to its deficiency in complement component 5 (C5). As C5 has
300 been proposed to play a role in the pathogenesis of OA³⁵, its absence in this model hence has
301 to be carefully considered when planning certain complement-related investigations in this
302 model.

303 Improved immunodeficient mouse strains for the production of humanized mice have also
304 been generated recently, and they appear to support efficient development of myeloid and
305 lymphoid cells. Of particular interest, MISTRG³⁶ and QUAD³⁷ mice have been shown to
306 exhibit development of mature human macrophages and human inflammatory cytokines.
307 Since macrophages are the main immune cells present in the synovial membrane, and a
308 multitude of cytokines are found in the synovial fluid of OA patients, both of these mouse
309 strains could be of interest for further investigation of the role of human macrophages in OA
310 onset and progression. Whether humanized MISTRG, QUAD, or NSG mice will allow
311 assessment of new therapeutic strategies with an improved level of clinical predictivity now
312 warrants further investigation, especially for DMOADs targeting the inflammatory process or
313 to evaluate stem cell-based therapies.

314 **CONCLUSION**

315 Altogether, this study demonstrates for the first time that humanized NSG mice develop post-
316 traumatic OA after surgical induction. Being able to induce OA in humanized mice provides a
317 useful tool to better understand how the human immune system responds during OA, and it
318 may help the development of future therapies with improved efficacies as well as greater
319 selectivity.

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323 **AUTHOR CONTRIBUTIONS**

324 CV and JG conceived the study, designed and supervised the experiments. CV, BB, MAB,
325 BM, CB, CaV, JL, JV, VD, FH performed the experiments. BB, CV, MAB, CB, BM and YM
326 analyzed data and/or provided samples. BB, MAB, CV, JG, and FD wrote the manuscript.
327 CV, JG, and FD provided financial support. All of the authors have read and approved the
328 final manuscript.

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339 **COMPETING INTERESTS**

340 All of the authors declare no conflicts of interest.

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FIGURE LEGENDS

Figure 1: Validation of the mouse humanization. **A)** Study workflow. Humanized mice were generated by repopulating irradiated 5-week-old NSG mice with human CD34⁺ HSCs (Hu-SRC-SCID model). The mice underwent a DMM or a sham procedure 17 weeks post-humanization. The mice were then sacrificed 1, 6, or 12 weeks post-surgery, and samples were prepared for subsequent analyses. **B)** Engraftment efficiency (% Graft) between the different groups. % Graft was calculated as the proportion of human CD45⁺ cells in the total CD45⁺ cell population (human and mouse) in peripheral blood. Flow cytometry gating strategy: cells positives for human and mouse CD45 were discriminated. **C)** Immunohistochemical staining for human mitochondria and human CD45 in the spleens of the 12-week-old humanized mice. The scale bar = 100 μ m. **D)** Human immune system multilineage development: FACS analysis for human CD3 (T cells), CD19 (B cells), CD14 (monocyte), and CD56 (NK cells) in the human CD45⁺ cell fraction in the peripheral blood of the humanized mice 17 weeks post-humanization, and 6 and 12 weeks post-DMM or sham surgery. **E)** Immunohistochemical staining for human CD19 (B cells), CD14 (monocyte), and CD56 (NK cells) in the spleens of mice 12 weeks post-DMM or sham surgery. Scale bar = 100 μ m. ##### $p < 0.0001$, ## $p < 0.01$ (Kruskal-Wallis followed by Dunn's multiple comparisons test) compared to 17 weeks post-humanization.

Figure 2: Articular cartilage changes after DMM in humanized mice. **A)** Representative Safranin-O fast green-stained sections of articular cartilage from humanized mice 1, 6, and 12 weeks post-surgery. The scale bar = 100 μ m. **B)** Modified OARSI score (maximum score = 25) of non-humanized (black line) and humanized mice (red line). ** $p < 0.01$, * $p < 0.05$ (Mann-Whitney), and # $p < 0.05$ (Kruskal-Wallis followed by Dunn's multiple comparisons test). **C)** Representative immunohistochemical staining for type II collagen (COL II) and type X collagen (COLX) of humanized mice knee joints. The scale bar = 100 μ m. **D)** Representative

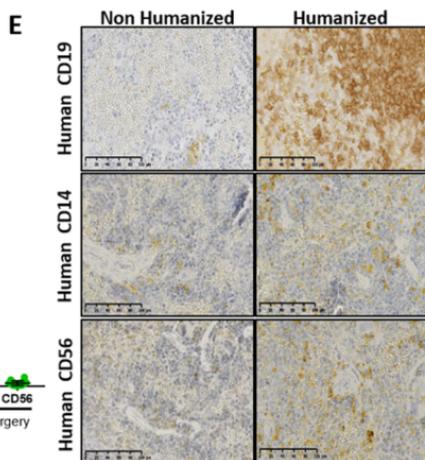
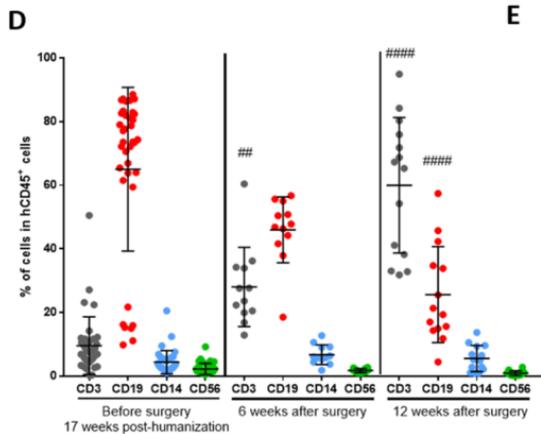
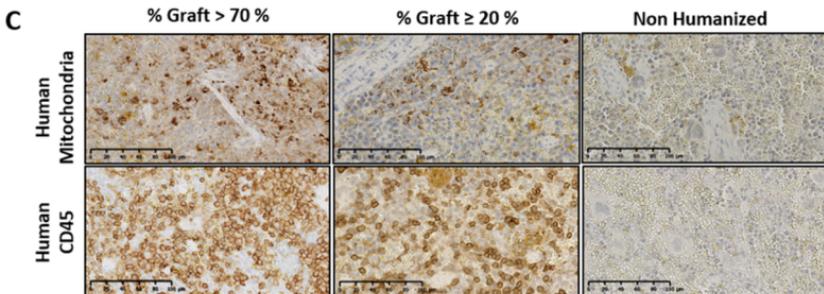
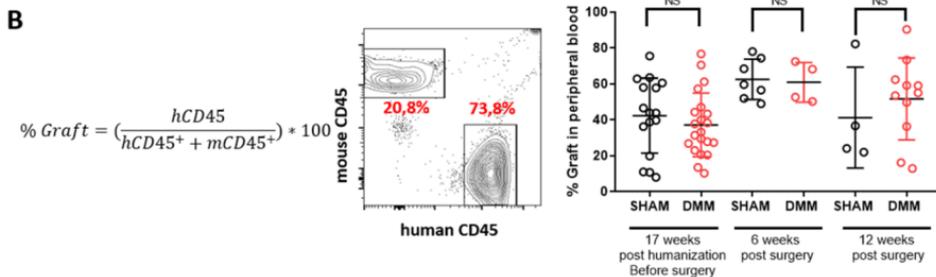
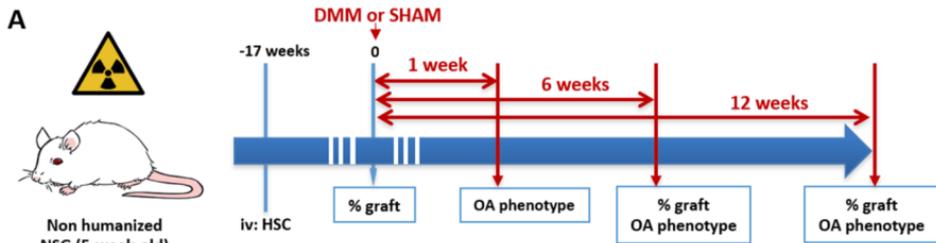
immunohistochemical staining for NITEGE (aggrecan cleavage neoepitope) of humanized mice knee joints. The scale bar = 100 μm . NITEGE enlarged picture, scale bar = 25 μm .

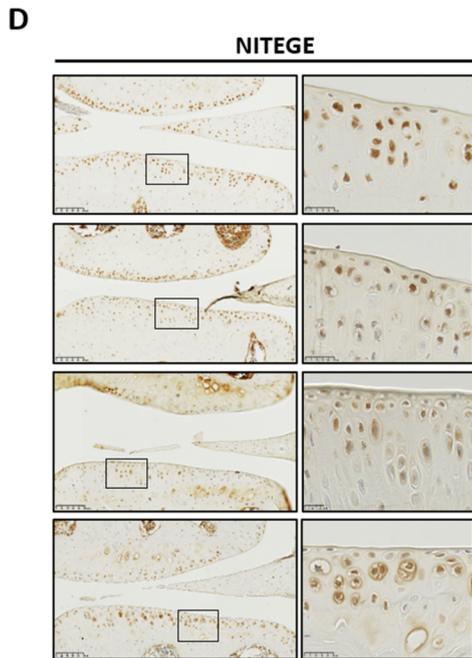
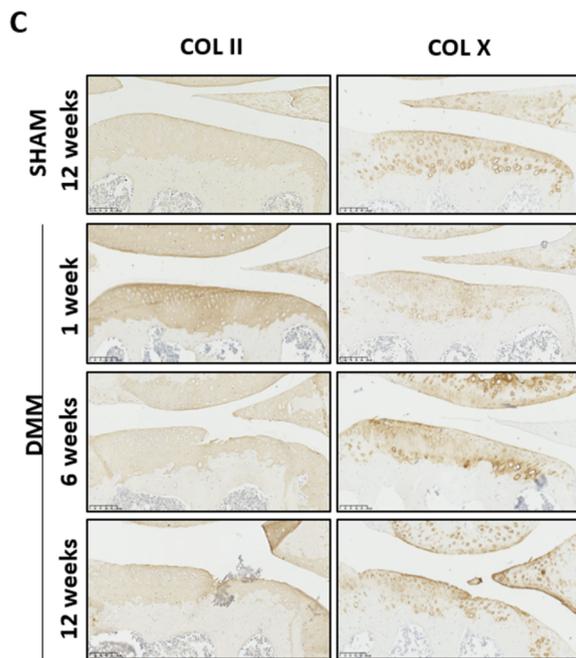
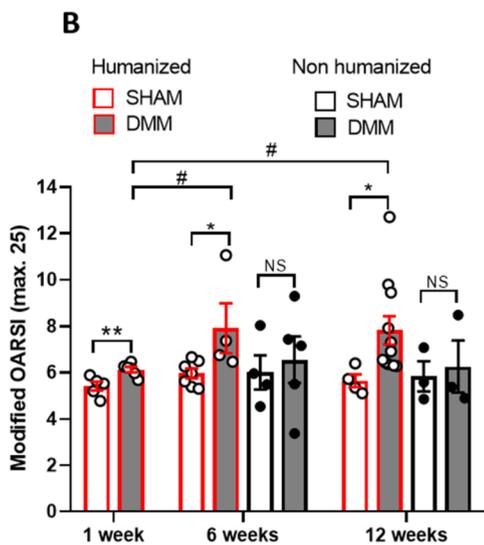
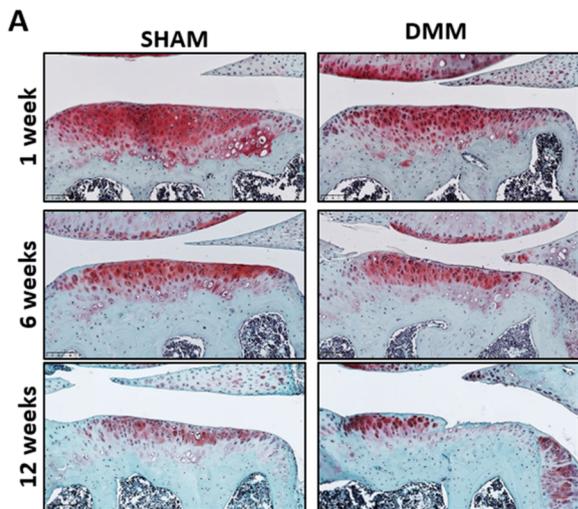
Figure 3: Meniscal pathological changes after DMM in humanized mice. **A)** Representative Safranin-O fast green-stained sections of the medial meniscus from humanized mice 1, 6, and 12 weeks post-surgery. The scale bar = 250 μm . **B)** Meniscal score (maximum score = 15) of non-humanized (black line) and humanized mice (red line). **C)** Representative immunohistochemical staining for type II collagen (COL II) and type X collagen (COLX) in the meniscal matrix of humanized mice OA joints. Scale bar = 250 μm . **D)** Meniscal calcification changes throughout the establishment of the OA. Representative 3D reconstitution and coronal section of humanized mice knee joint illustrating meniscus calcification 6 and 12 weeks post-surgery. Bone Volume (BV) quantification of the medial meniscus of non-humanized (black line) and humanized mice (red line). ** $p < 0.001$, * $p < 0.05$ (Mann-Whitney).

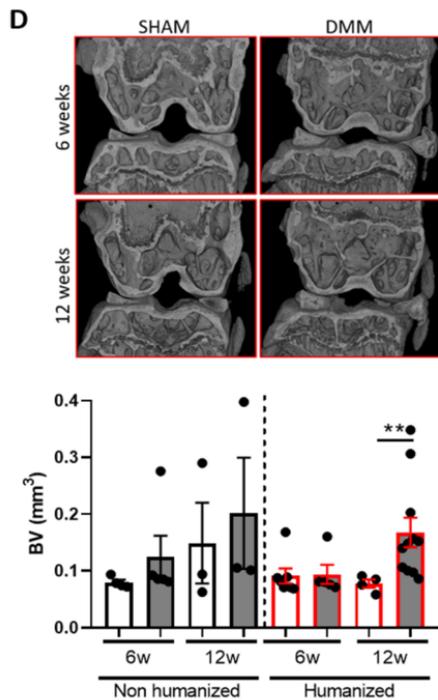
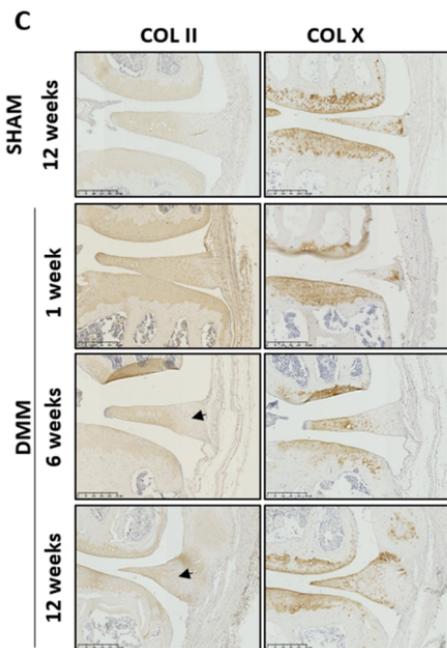
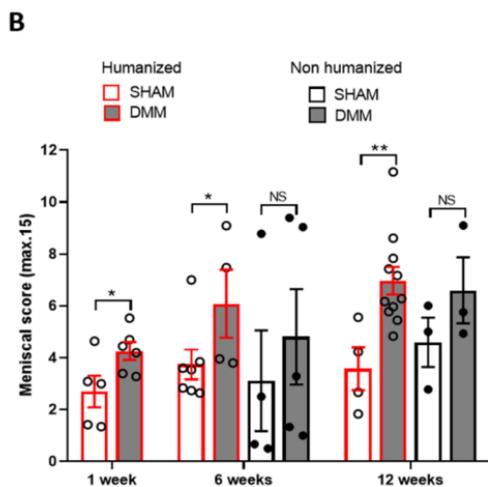
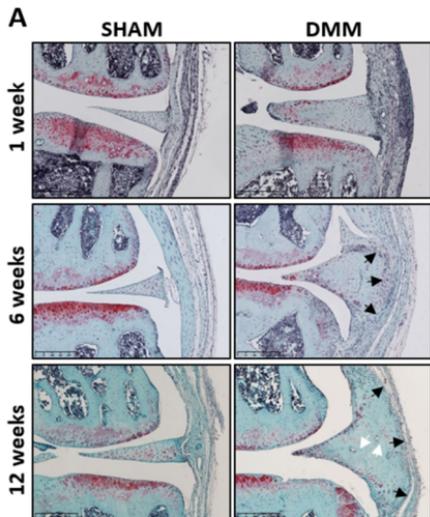
Figure 4: Synovial inflammation following the DMM procedure. **A)** Representative Safranin-O fast green-stained sections of the synovial membranes of humanized mice 1, 6, and 12 weeks post-DMM or sham surgery. Scale bar = 100 μm . **B)** Synovitis score (maximum score = 6) non-humanized (black line) and humanized (red line) mice. ** $p < 0.001$, * $p < 0.05$ (Mann-Whitney) and ### $p < 0.001$, ## $p < 0.01$ (Kruskal-Wallis followed by Dunn's multiple comparisons). **(C, D)** Human immune cells in the joint area. Immunohistochemical staining for human CD45 and CD68 in **(C)** in the synovial membrane of the humanized mice 1, 6, and 12 weeks post-DMM surgery. Black arrows indicate positive cells, the scale bar = 100 μm . **(D)** the bone marrow of the humanized mice 1, 6, and 12 weeks post-DMM surgery. Scale bar = 100 μm .

Supplementary Figure 1: Microtomography assessment of subchondral bone changes after DMM in humanized mice. **A)** Representative 3D reconstitution of the tibia and selection

of the region of interest (ROI) for analysis. Following the spatial orientation and the selection of a cylindrical ROI in the medial side of the tibia (upper left panel: posterior view of the tibia; upper right panel: top view of the medial tibial plateau and the ROI in blue), the ROI was divided into a cortical and a trabecular area (lower panel). **B)** Quantification of the bone volume (BV) of the total ROI, cortical and trabecular areas (upper panel and lower left panel) and the trabecular thickness (Tb.Th) of the trabecular area (lower right panel) in non-humanized control (black bars) and humanized mice (red bars) sham (empty bars) or DMM (plain grey bars). * $p < 0.05$ (Kruskal-Wallis followed by Dunn's multiple comparisons test).







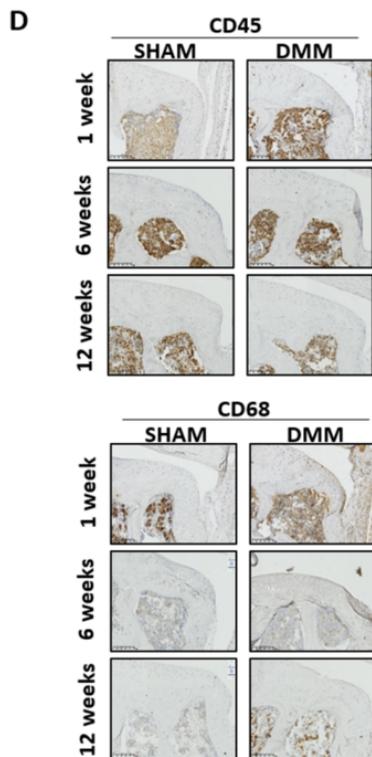
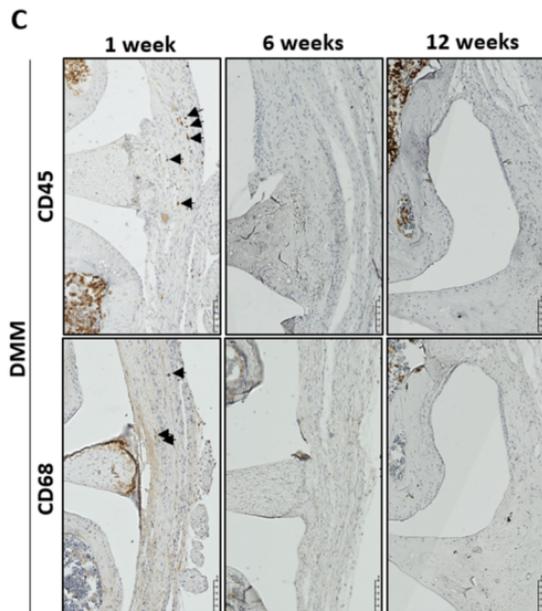
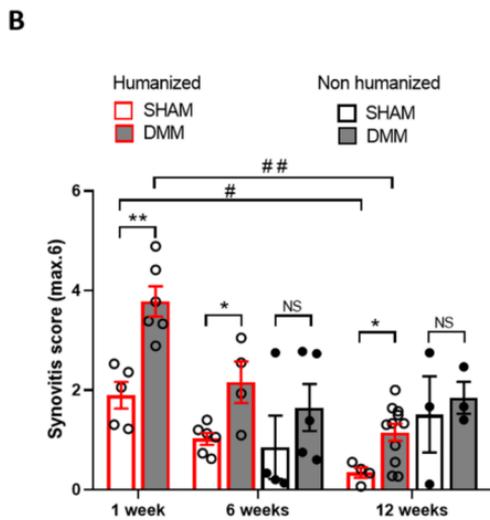
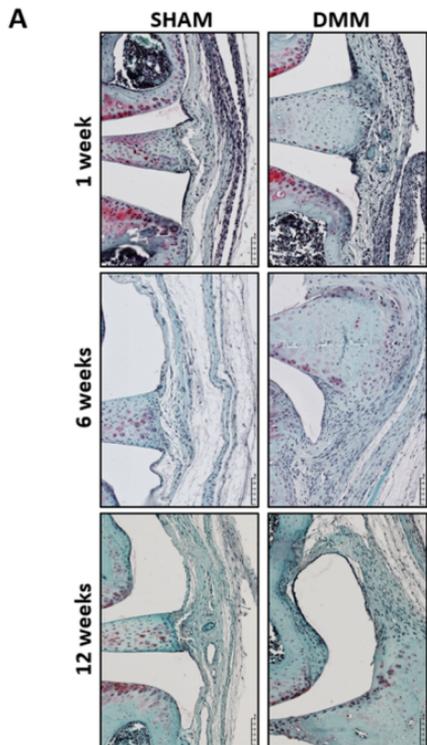


Table 1: List of antibody used for flow cytometry and immunohistochemistry (IHC)

	Target	Ref	Provider
Flow cytometry	mouse CD45- PerCp Cy5.5	30F11	BD Biosciences, USA
	human CD45-PE Cy7	HI30	
	human CD3-PE	UCHT1	
	human CD19-FITC	HIB19	
	human CD14-Pacific Blue™	M5E2	
	human CD56-Alexa Fluor® 647	B159	
IHC	mouse Type II collagen	ab34712	Abcam, UK
	mouse type X collagen	1-CO097-05	Quartett Immunodiagnostics, Germany
	mouse NITEGE	PA1-1746	
	human mitochondria	K8000	Agilent, CA, USA
	human CD45	M0701	
	human CD19	M7296	
	human CD14	M7304	
	human CD56	M0825	
human CD68	M0876		

Table 2: The cartilage scoring system used to evaluate OA severity (adapted from Glasson S.S., *et al.*, 2010 and Pritzker K.P., *et al.*, 2005).

Scoring category	Observation	Score
Chondrocyte death	None	0
	≤ 10%	1
	≤ 25%	2
	≤ 50%	3
	≤ 75%	4
	≤ 100%	5
Chondrocyte hypertrophy	None	0
	Presence	1
Chondrocyte clusters	None	0
	Superficial zone	1
	Middle zone	2
	Complex structure	3
	Cyst formation	4
Loss of Safranin-O staining	None	0
	Superficial zone of non-calcified cartilage	1
	Middle zone of non-calcified cartilage	2
	Non-calcified cartilage and intense staining around clusters	3
	Absent with staining around clusters	4
	Absent	5
Cartilage surface	Intact	0
	Superficial fibrillation or abrasion	1
	Deep fibrillation	2
	Vertical fissures	3
	Delamination / Excavation	4
Bone	None	0
	Denudation	4
	Microfracture	5
	Remodeling	6
	Total	25