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► To cite this version:

Thomas Forest, Swan Portalier, Camille Steux, Timothy B Sackton, Guillaume Achaz. PopSize, a snpArcher module for population size change inference. 2024. hal-04599797

HAL Id: hal-04599797 https://hal.science/hal-04599797

Preprint submitted on 4 Jun 2024

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PopSize, a snpArcher module for population size change inference

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Motivation: Simplify the use of common demographic inference tools for a cluster infrastructure making 15

it more scalable and robust for large datasets, taking advantage of a pre-built pipeline for non-model 16 organisms called snpArcher.

- 17
- Results: Runs up to 5 of the most cited demographic inference tools in parallel, using input directly from 18
- the snpArcher pipeline, without the need to add additional material. 19

Availability and implementation: Popsize is a Snakemake module of the snpArcher pipeline and is available 20

on GitHub (https://github.com/tforest/popsize). This software is freely available under the same conditions 21

as the main pipeline snpArcher. 22

Population genetics, demography inference, pipeline 23

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Introduction 25

In the context of the sixth mass extinction crisis, it is 50 26 crucial to focus on species lacking conservation status, 51 27 especially those absent from resources like the IUCN 52 28 Red List of threatened species. Indeed, these kind of 53 29 resources are not exhaustive and display a taxonomic 54 30 bias (Cowie et al., 2022). As genomic data becomes 55 31 increasingly available, including for many species that 56 32 lack official conservation status, there is an opportunity 57 33 to make use of this already available information. 58 34 Multiple population genetic approaches have been 59 35 developed to make use of these data. Among them 60 36 are efficient methods attempting to monitor effective 61 37 population size changes using genomic data, which is 62 38 especially relevant in this context of mass extinction in 63 39 poorly known taxa. 40 64 Demographic inference methods are

commonly 65 41 employed for simulating and understanding 66 42 demographic scenarios, such as migration and 67 43 population size fluctuations (Gutenkunst et al., 2009; 68 44 Li and Durbin, 2011). In order to make these studies 69 45 robust and reliable, a lot of work has to be done 70 46 in precursor steps like sampling, sequencing, and 71 47

extracting information from the sequences. Hence, these analysis will benefit from high quality reference genomes and from adapted computational methods, to extract genomic information in accordance with observed levels of genomic diversity. A large number of these inference methods exist, and it is common to wish to run multiple inteference methods in the context of a resequencing study.

To facilitiate optimal use of a variety of demographic inference methods, we present here a Snakemake pipeline, which will call PopSize, optimized to multiple tools in parallel, including methods that focus on descriptive statistics such as Stairwayplot2 (Liu and Fu, 2020) and $\partial a \partial i$ (Gutenkunst et al., 2009) which use the Site Frequency Spectrum (SFS), and methods relying on the rate of heterozygous sites, like PSMC (Li and Durbin, 2011) or MSMC2 (Schiffels and Wang, 2020).

This tool is optimized to complement snpArcher (Mirchandani et al., 2023), a recently published Snakemake pipeline for variant calling in non-model organisms. This approach aims to enhance user experience in using conservation genomics approaches by providing a comprehensive framework for demographic analysis.

72 Software description

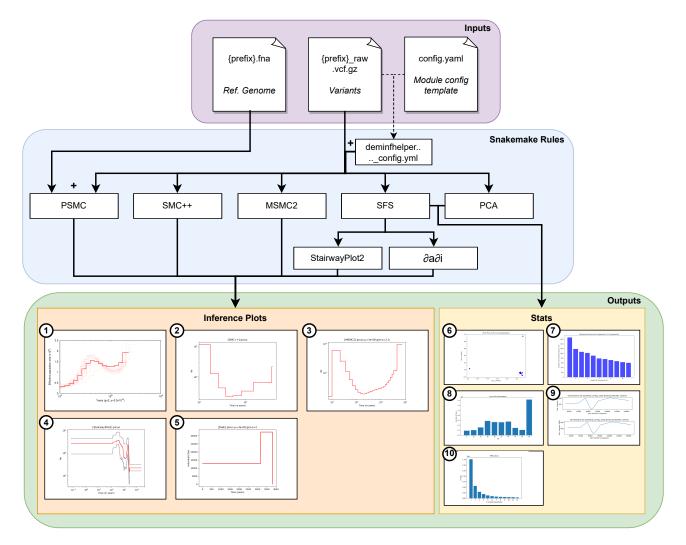


Figure 1. Workflow of the PopSize module. The module general process is divided in three main parts corresponding to the inputs, the different Snakemake rules that can be executed and the outputs. Arrows represent a dependency relation between parts of the workflow. Tasks requiring the availability of multiple dependencies at the same time are represented with a "+". Outputs 1 to 5 are the different inference plots of PSMC(1), SMC++(2), MSMC2(3), StairwayPlot2(4) and $\partial a \partial i(5)$; whereas plots 6 to 10 correspond to the genomic PCA(6), the proportion of explained variance per component of the PCA(7), the distribution of Genotyping Quality (8), the distance between two variants along each chromosome representing genotyping coverage(9) and the Site Frequency Spectrum(10).

The module general process is divided in three main parts corresponding to the inputs, the different Snakemake rules that can be executed and the outputs. Arrows represent a dependency relation between parts of the workflow. Tasks requiring the availability of multiple dependencies at the same time are represented with a "+". The displayed outputs were obtained for the European Green Woodpecker *Picus viridis*. Outputs 1 to 5 are the different inference plots of PSMC(1), SMC++(2), MSMC2(3), StairwayPlot2(4) and $\partial a \partial i$ (5). Red curves (plot 1-5) correspond to the effective population size; grey curves on plot 4 correspond to a [2.5; 97.5]% confidence interval. Outputs 6 to 10 correspond to the genomic PCA(6), the proportion of explained variance per component of the PCA(7), the distribution of Genotyping Quality (8), the distance between two variants along each chromosome representing genotyping coverage(9) and the Site Frequency Spectrum(10).

- 73 The objective of the PopSize module is to run these 78
- tools in a straightforward manner, without altering their 79
- ⁷⁵ internal methods, and their outputs. It allows for some ⁸⁰
- 76 flexibility in configuration, requiring to set the initial 81
- parameters accordingly, while providing transparency in 82

the parameters. Moreover, this module returns statistics to assist the user in determining the relevance of the obtained results. We stress that, though the programs implemented in our pipeline can infer changes in effective population size, interpreting the output of such

curves may not be straightforward. Indeed, it is known 137 83 that these methods can be sensitive to sequencing 138 84 quality, for instance, or to the presence of structure 139 85 in the sampled population. Our module thus provides 140 86 among others an estimation of the Genotyping Quality 141 87 (GQ) distribution of the sites selected by the pipeline, 142 88 as well as a genomic Principal Component Analysis 143 89 (PCA), which is often used as a simple representation 144 90 for visualizing population structure (van Waaij et al., 145 91 2023). The module's parametrisation also involves a 146 92 minimalist configuration file for defining the parameters 147 93 of the various tools used. This pipeline is based 148 94 on Snakemake, which allows to handle parallelisation, 149 95 dependencies installation using Conda, and resources 150 96 allocation, based on the limits set by the user. 97 151

98 Input files

The main input is a Variant Call Format (VCF) file 99 directly generated by snpArcher or provided by the user 100 from another tool. Our pipeline utilizes information 101 from this VCF file in all methods. By default, the 102 user does not have to provide external data if the 103 snpArcher pipeline was used, as it handles steps for 104 genotyping, filtering and producing high quality variants 105 in VCF format. Some tools like PSMC require a 159 106 reference genome to produce their outputs, but this 107 file is also present by default in the results directory $^{\mbox{\tiny 160}}$ 108 of snpArcher. In most cases, the configuration file for 161 109 the module is generated automatically, based on the $^{\mbox{\tiny 162}}$ 110 template provided. However, it may be updated by the ¹⁶³ 111 user depending on the results obtained after a first run. ¹⁶⁴ 112 165

113 **Process**

The process (Figure 1) begins with the parsing of 168 114 the VCF file to extract the genetic data, and of the 169 115 reference genome fasta file when PSMC is used 170 116 Subsequently, we construct the Site 171 (Step 1). 117 Frequency Spectrum (SFS) for tools such as $\partial a \partial i$ and $\partial a \partial i$ 118 StairwayPlot2. This stage involves additional filtering 173 119 of variants present in regions showing low density of 174 120 SNPs. In parallel, the pipeline executes dedicated 175 121 Snakemake rules for PSMC, SMC++ and MSMC2, 122 which does not use the generated SFS (Step 2). Each 123 tool ends by generating an inference plot illustrating 124 temporal effective population size variations (Output 176 125 Plots [1-5]). In parallel to these operations, we perform 126 statistical analyses on the VCF data, including Principal 127 178 Component Analysis (PCA) (Output Plots [6-10]) (Step 1/19 128 3). 129 180

130 Outputs

The outputs include detailed logs and command traces for each tool used, ensuring transparency in the parameters and methods applied. These logs are critical for reproducibility and for understanding 183 nuances of the analysis. Additionally, we provide 184 a range of inference visualisations (Fig. 1, Output 185 Plots [1-6]). Original plots from tools with built-in plotting capabilities are included directly. For tools lacking such features, we generate plots using Python's Matplotlib library, offering consistency in visual analysis across different tools. Furthermore, the pipeline features an interactive PCA plot, created using the Plotly Python library, which displays k-means clustering This interactive visualisation allows for an results. intuitive exploration of the data. The corresponding cluster assignments are also made available in a clusters.csv file, providing a detailed breakdown of the k-means clustering results. Moreover, the module plots the proportion of explained variance per component of the PCA, the distribution of Genotyping Quality, the distance between two variants along each chromosome representing genotyping coverage, and the Site Frequency Spectrum (Fig. 1, Output Plots [7-10]). These diverse outputs, combining detailed logs with interactive and static visualisations, enhance the interpretability and utility of the pipeline. All the outputs, log files and temporary files are kept in the /popsize folder of snpArcher's /results top-level folder.

Results

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The pipeline was executed on a SLURM scheduler on the genome of the European Green Woodpecker *Picus viridis* (Forest et al., 2024). From this genome of 1.1Gb, snpArcher called 8815631 variants from the 12 samples of *Picus viridis* that were used. The resources available for each job were set to a default value of 15 CPU threads and 8GB of memory. In the configuration file, the mutation rate and the generation time were set to $\mu = 5 \times 10^{-9}$ per site per generation and 5.6 years, respectively.

The parsing of the VCF and the generation of all the statistics took only five minutes. Followed by $\partial a \partial i$ and StairWayplot2 which finished in 30 minutes. The longest task was performed by PSMC, which finished in 3 hours. The process generated 3227 files, corresponding to 4.8Gb of output.

Acknowledgements

Most of the bioinformatic analyses were carried out through the PCIA cluster (Plateforme de Calcul Intensif et Algorithmique PCIA, Muséum national d'histoire naturelle, Centre national de la recherche scientifique, UAR 2700 2AD).

Funding

This work is part of a doctoral thesis funded by Sorbonne University, through the IBEES (Initiative Biodiversity, Evolution, Ecology & Society) grant.

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