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To cite this version:

Saeko Tachikawa, Jose Ordonez-Miranda, Laurent Jalabert, Yunhui Wu, Roman Anufriev, et al.. Enhanced Far-Field Thermal Radiation through a Polaritonic Waveguide. Physical Review Letters, 2024, 132 (18), pp.186904. 10.1103/PhysRevLett.132.186904. hal-04593591

HAL Id: hal-04593591 <https://hal.science/hal-04593591v1>

Submitted on 30 May 2024

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Enhanced Far-field Thermal Radiation through a Polaritonic Waveguide

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(Dated: March 17, 2024)

We experimentally demonstrate the enhancement of the far-field thermal radiation between two non-absorbent Si microplates coated with energy-absorbent $SiO₂$ nanolayers supporting the propagation of surface phonon-polaritons. By measuring the radiative thermal conductance between two coated Si plates, we find that its values are twice those obtained without the $SiO₂$ coating. This two-fold increase results from the hybridization of polaritons with guided modes inside Si and is well predicted by fluctuational electrodynamics and an analytical model based on a two-dimensional density of polariton states. These findings could be applied to thermal management in microelectronics, silicon photonics, energy conversion, atmospheric sciences, and astrophysics.

Radiative heat transfer between two bodies is an essential phenomenon in our daily lives and in technology. While sunlight powers life on Earth, thermal radiation also plays a key role in thermophotovoltaic energy conversion [1–3], radiative cooling [4–9], and other applications [10–12]. The classical radiative heat transfer is described by Planck's theory [13], which establishes an upper limit for the radiative heat flux between two macroscopic bodies separated by a distance much longer than the dominant radiation wavelength λ_{th} (far-field regime). This Planck's limit can, however, be exceeded under two conditions. First, when the distance between two bodies is smaller than λ_{th} ($\approx 10 \ \mu \text{m}$ at room temperature)[14– 21]. This near-field radiation thus typically involves radiating surfaces separated by nanogaps and is dominated by the transmission of evanescent electromagnetic waves able to enhance the radiative heat flux by orders of magnitude over Planck's limit[22–28]. The second condition states that this limit can also be overcome with the farfield radiation between two bodies whose dimensions are smaller than λ_{th} . This phenomenon was predicted theoretically [29, 30] and experimentally demonstrated for suspended SiN nanofilms with a sub-wavelength thickness [31, 32]. This far-field enhancement can exceed Planck's limit by more than two orders of magnitude and is explained by the utilization of energy absorbent nanomaterials supporting the in-plane propagation of electromagnetic waves called Surface Phonon-Polaritons (SPhPs) generated by the hybridization of photons and optical phonons [33–36].

In suspended nanofilms, SPhPs can propagate typical distances of a few millimeters, making them powerful energy carriers capable of conducting even more heat than phonons [33–35]. Experiments with suspended $\text{SiO}_2[37]$ and $\text{SiN}[38]$ nanofilms showed that the SPhP contribution to the heat conduction can double their inplane thermal conductivity for sufficiently thin or hot

nanofilms. Therefore, the emission of this SPhP thermal energy at the edge of a nanofilm and its absorption by another one is expected to significantly enhance radiative heat transfer, even in the far-field regime, as was experimentally demonstrated for suspended SiN nanofilms[31, 32]. However, the role of supported polar nanofilms in the enhancement of far-field radiation remains unknown, as it has never been tackled either experimentally or theoretically, despite their interest in practical applications.

In this Letter, we experimentally demonstrate the enhancement of the far-field radiation between two silicon (Si) microplates coated with oxide $(SiO₂)$ nanofilms supporting the propagation of SPhPs. This SPhP-based increase is revealed by the measurement of the radiative thermal conductance between two coated Si plates and the comparison of its values with those obtained in the absence of the $SiO₂$ nanolayers. In contrast with previous works dealing with absorbent nanofilms[31, 32], we thus show that SPhPs can enhance the far-field radiation between non-absorbent microbodies.

We fabricated three samples to experimentally quantify the radiative heat transfer between two bodies. Each sample consists of two suspended 10-µm-thick intrinsic Si plates separated by a gap, as shown in Fig. 1. The relative permittivity of these non-absorbing Si microplates is around 11.7, within a broad range of relevant frequencies [39]. To experimentally probe the impact of an oxide coating on radiative heat transfer, the top and bottom surfaces of two Si plates were coated with $SiO₂$ nanolayers of 30 and 70 nm in thickness, while the third was kept uncoated to be used as reference (Fig. 1b). Since $SiO₂$ is a polar material that absorbs energy $\text{Im}(\text{permitivity}) > 0$, Fig. S5(b) of the Supplementary Material (SM)], these $SiO₂$ nanolayers support the thermal excitation of SPhPs and therefore they are expected to convert the coated Si plates into guides of electromagnetic modes able to en-

FIG. 1: Experimental platform used to probe the radiative heat transfer. (a) Scheme of two Si microplates suspended by 706-µm-long beams and mounted on a heating stage controlling their temperature. (b) Diagram of the hot and cold plates coated with SiO_2 nanolayers and separated by a vacuum gap $g = 10.7$ µm. Scanning electron microscope (SEM) image of the (c) device and (d) its zoom-in on the rectangular box in (c) .

hance the thermal radiation, as shown below. One of the plates (hot plate) was heated up, and the other (cold plate) experienced a temperature increase caused by absorbing the thermal radiation emitted from the hot plate. The temperature of both plates was measured via platinum (Pt) serpentine resistors integrated on top of both plates (Fig. 1c). A separation gap of $q = 10.7$ µm (Fig. 1d) was set to ensure far-field radiation and neglect nearfield effects, as detailed in Section 1 of the SM. All samples were placed in a vacuum chamber with a pressure of less than 10[−]³ Pa to suppress heat conduction and convection between plates by means of residual air molecules at negligible levels [40].

The samples were fabricated from a silicon-oninsulator wafer, having a top layer of 10 µm in thickness, a buried $SiO₂$ layer of 2 µm, and a handle layer of 300 µm. First, a backside etching was performed by deep reactive ion etching using an aluminum mask patterned on the backside by photolithography. The buried $SiO₂$ layer worked as an etch stop. The wafer was cleaned and the buried $SiO₂$ layer was removed in a buffered hydrofluoric acid. Except for the reference sample, the coating $SiO₂$ nanolayers with thicknesses of 30 and 70 nm were grown on both sides of each Si plate by dry thermal oxidation at 850 °C (for 90 minutes) and 1000 °C (for 90 minutes), respectively. The chromium/platinum (10 nm/100 nm) micro-resistances were patterned by using sputtering deposition and lift-off. The top Si structures (beams and microresistance platforms) were defined by front-side plasma etching to remove the top $SiO₂$ nanolayer, the 10 -µm-Si layer, and the bottom $SiO₂$ nanolayer. The remaining photoresist mask was successively removed by oxygen plasma etching as a final step.

We measured the temperatures of the plates by using the 3ω method [25, 31, 36, 41], detailed in section 2 of the SM. The hot plate was heated by a sinusoidal electrical current $I(\omega)$, oscillating with an angular frequency ω and circulating along its serpentine Pt wire. The resulting Joule heating then generates a temperature rise $\Delta T_{h}(2\omega)$ that oscillates with frequency 2ω . The thermal radiation from the hot plate heated up the cold one, whose temperature rise, $\Delta T_c(2\omega)$, was measured by flowing a d.c. electrical current along its Pt wire and recording the voltage fluctuations at 2ω . The effective thermal conductance G_q of the gap between the hot and cold plates was then determined by applying the principle of energy conservation, which establishes that (Section 3 of the SM)

$$
G_{\rm g} = P_{\rm dc} \frac{\Delta T_{\rm c}}{(\Delta T_{\rm h}^2 - \Delta T_{\rm c}^2)},\tag{1}
$$

where P_{dc} is the d.c. component of the total power generated by the Pt resistor of the hot plate. In deriving Eq. 1, we have taken into account the losses caused by thermal radiation and heat conduction through the support beams. As $SiO₂$ is a polar material that supports the propagation of SPhPs, while Si does not, we determined the SPhP contribution to the radiative heat transfer by comparing the values of G_g for samples with and without the $SiO₂$ nanolayers.

To benchmark our measurements against Planck's theory, we first measured the thermal conductance G_g be-

FIG. 2: Experimental and theoretical values of the radiative thermal conductance through the gap between two (a) uncoated and (b) coated Si microplates. The blue zone in (a) represents the prediction of Planck's theory for the far-field thermal conductance $G_{\text{Planck, Si}}$ determined with a Si emissivity $\epsilon_{\text{Si}} = 0.7$ to 0.9. The green and red zones in (b) show the respective predictions of Planck's theory $(G_{\text{Planck, coated-Si}})$ and Eq. (2) for the coated Si plate, while the corresponding blue and red lines are computed with fluctuational electrodynamics. The blackbody limit (ϵ_{Si} = $\varepsilon_{\text{SiO}_2} = 1$) is also shown via the black line. The error bars represent the standard deviations of multiple measurements with different input currents and modulation frequencies.

tween two uncoated Si plates (Si-only system), as shown in Fig. 2(a). Note that the increase of G_g with the stage temperature T is in good agreement with the predictions of Planck's theory, for the whole range of considered temperatures from 300 to 400 K. This agreement validates our measurements and indicates that the thermal radiation between the uncoated Si plates separated by a 10.7 µm-gap is dominated by far-field effects. On the other hand, Fig. 2(b) shows the measured thermal conductance between two Si plates coated with $SiO₂$ nanolayers. The measured G_q exhibits an increase with temperature similar to that observed for the Si-only system, however, its values (red open points) are nearly twice those obtained for the Si-only system (blue circles). This two-fold enhancement is pretty much independent of the thickness $(30 \text{ and } 70 \text{ nm})$ of the $SiO₂$ nanolayers that support the excitation of SPhPs. This thickness independence is related to the relatively long propagation length of SPhPs that allows them to propagate equally well along the whole surfaces for both coating thicknesses, as shown in section 6 of the SM.

To explore if the observed far-field radiation is predicted by Planck's theory, we used this theory to calculate the thermal conductance $G_{\text{Planck, coated-Si}}$ between two coated Si plates (Section 5 of the SM) and plotted the obtained results in Fig. 2(b) (green zone). Calculations were done with a $SiO₂$ emissivity equal to unity to estimate the maximum possible heat exchange between the coated Si plates. For a given temperature, the calculated value of $G_{\text{Planck, coated-Si}}$ is only slightly higher than that of G_{Planck} , si obtained for the Si-only system (blue zone in Fig. $2(a)$). The negligible difference $G_{\text{Planck, coated-Si}}-G_{\text{Planck, Si}}$ is due to the relatively small radiating areas of the $SiO₂$ nanolayers, which are 140 and 330 times thinner than the Si layer. For comparison and following previous works[30, 31], we also calculated the blackbody limit ($\epsilon_{\text{Si}} = \epsilon_{\text{SiO}_2} = 1$) shown by the black line in Fig. 2(b). Note that the measured conductance G_q between the coated Si plates is significantly higher than both the blackbody limit and $G_{\text{Planck, coated-Si}}$, which suggests that our experimental far-field observations cannot be explained by the classical Planck's theory based on a geometrical cross-section.

To quantitatively compare our experimental data with the predictions of well-established fluctuational electrodynamics, we used the Scuff-EM solver [42] to compute G_q . This electromagnetic solver determines the spectral spatially-integrated flux $\Phi(\omega)$ driving the transmission of thermal radiation between the Si plates and therefore the values of G_q , as detailed in section 14 of the SM. Figure 3(a) shows the spectra of $\Phi(\omega)$ obtained for the Si plates with and without the $SiO₂$ coating. One can see that the presence of this coating results in a sharp transmission peak at about 28 THz (176 Trad/s), which maximizes the SPhP propagation distance (Supplementary Fig. S7(b)) due to the minimum energy absorption of $SiO₂$, as set by the imaginary part of its permittivity shown in the supplementary Fig. S5(b). The longest SPhP propagation length along the coated Si plate thus enhances its heat transfer through the gap. The resonant transmission between the Si plates thus arises from their $SiO₂$ coating, as bare Si does not support the propagation of SPhPs. The integration of $\Phi(\omega)$ weighted by the Bose-Einstein distribution over all frequencies indicates that the $\Phi(\omega)$ peak nearly doubles the total thermal conductance between the Si plates, as shown in Fig. 3(b). The thermal conductance between the coated Si plates was calculated by summing its components for the radiation exchanged between different layers at the hot and cold sides (Si \rightarrow Si $+$ Si \rightarrow SiO₂ + SiO₂ \rightarrow Si + SiO₂ \rightarrow SiO₂). Note that the major contribution to the thermal radiation between the coated Si plates arises from that of the core Si plates, which in the presence of coating, exchange more thermal energy than two uncoated Si plates. The $SiO₂$ nanocoating therefore enhances the thermal radiation between the Si microplates, without modifying their inner structure. More importantly, the calculated total thermal conductance between two coated Si plates is in good agreement with our experimental data, as shown in Fig. 2(b). The observed deviations between theory and experiments for temperatures close to 400 K mainly arise from the highfrequency convergence issues we found during the Scuff-EM simulations, as they are present for both the coated and uncoated Si plates.

To correlate the improvement in gap thermal conductance with the excitation of SPhPs along a coated Si plate, we analyzed the spatial distribution of their energy density. By solving the Maxwell equations for the transverse magnetic polarization allowing the predominant propagation of SPhPs, we obtained the in-plane component of the Poynting vector inside and outside the coated Si plate, as shown in Fig. $3(c)$. Note that the electromagnetic flux is mainly propagating inside the non-absorbent Si layer via guided modes (Fig. 3(d)) hybridized with SPhPs excited in the $SiO₂$ nanolayers. The first mode exhibits the highest amplitude, which decreases as the mode order increases. The electromagnetic flux of the modes inside the hot system is guided along the in-plane direction and is mainly emitted into the vacuum that separates it from the cold system. This fact is further confirmed by the spatial distribution of the Poynting vector magnitude (including in-plane and cross-plane components) shown in Fig. 3(e) for two facing coated Si plates. Even though most of the energy is concentrated inside the hot Si layer, there is some energy outside of it and therefore the effective cross-section of the heater may be greater than its geometrical one. However, the energy guided by this hot layer is emitted to and absorbed by the cold Si layer via its geometrical cross-section predominantly. This limited absorption cross-section of the sensor recording our measurements thus suggests that our results cannot be explained by an extended cross-section. Further, the influence of Pt thermometers on the in-plane emission is negligible (Section 8 of the SM), which indicates that hybridized guided modes are expected to explain the enhanced thermal radiation shown in Fig. $2(b)$.

Considering that the heat transfer through the gap between two coated Si plates consists not only of the classical far-field radiation between the facing surfaces but also of the in-plane emission of the guided modes hybridized with SPhPs, the total thermal conductance through the gap can be modelled as follows

$$
G = G_{\text{Planck, coated-Si}} + G_{\text{SPhPs}},\tag{2}
$$

where $G_{\rm SPhPs}$ is the thermal conductance due to the emission of the SPhP energy. Taking into account the dominant in-plane propagation of SPhPs (Fig.3(d)), their description in terms of a two-dimensional (2D) density of states yields (Section 9 of the SM)

$$
G_{\rm SPhPs} = \frac{\epsilon \sigma_{\rm 2D} a (T_{\rm h}^3 - T_{\rm c}^3)}{T_{\rm h} - T_{\rm c}},\tag{3}
$$

where $\epsilon = \epsilon_{\text{coated-Si}}/(F^{-1} + 1 - \epsilon_{\text{coated-Si}}), \sigma_{\text{2D}}$ $4z(3)k_B^3/ch^2 \approx 9.6 \times 10^{-11} \text{ W m}^{-1} \text{K}^{-3}, a = 78 \text{ µm} \text{ is}$ the width of the plate, $\epsilon_{\text{coated-Si}}$ is the effective emissivity of the coated Si plate, F is its corresponding view factor, $z(3)$ is the zeta function, and k_B and h are the Boltzmann and Planck constants, respectively. As the radiation area of the Si microplates is more than 100 times larger than that of the $SiO₂$ nanolayers, we estimate the values of $G_{\rm SPhPs}$ using the approximations $\epsilon_{\rm coated-Si} \approx \epsilon_{\rm Si}$ $= 0.7 - 0.9$ and $F \approx 0.9 - 1.0$, appropriate for the 2D SPhP propagation (Sections 9 and 10 of the SM). The total thermal conductance G thus predicted by Eq. (2) embraces well its corresponding experimental values, as shown by the red zone in Fig. $2(b)$. This agreement points out that the proposed model for the superposition of the $3D + 2D$ radiation can describe the emission of the SPhP modes guided along the coated Si plate. This is further confirmed by the fact that Eqs.(2) and (3) also predict well the measured thermal conductance for the far-field radiation between two SiN nanofilms reported in the literature[31] (Section 11 of the SM). The consistency of our experimental and theoretical results thus indicates that the coated Si microplates are efficient waveguides to transfer thermal energy and enhance their far-field radiation along the in-plane direction.

In summary, we have experimentally demonstrated that the polariton guided modes double the far-field thermal radiation between two microplates of Si coated with $SiO₂$ nanolayers. This enhancement is explained by the excitation of SPhP modes inside the energyabsorbent $SiO₂$ nanolayers and their hybridization with guided resonant modes propagating mainly within the non-absorbent Si plates. Our experimental results align closely with both the numerical predictions of fluctuational electrodynamics and an analytical model based on a two-dimensional density of polariton states. In contrast to the previous studies working with sub-wavelength absorbent structures [25, 31, 32], our finding uncovers the potential of SPhPs to enhance far-field radiation between non-absorbent coated bodies, even when their dimensions are comparable to or greater than the dominant radiation wavelength. This thermal radiation enhancement obtained with Si-based micromaterials of a simple geometry could thus have broad applications in microelectronics and semiconductor fields.

FIG. 3: (a) Generalized flux spectrum for two coated and uncoated Si plates and (b) their corresponding thermal conductance calculated via Scuff-EM. (c) Density map of the in-plane Poynting vector for a coated Si plate showing

the dominant in-plane emission of the electromagnetic flux. (d) Poynting vector components arising from the propagation of multiple guided modes inside the Si layer mainly. (e) Density map of the Poynting vector magnitude obtained for two facing coated Si plates. Calculations were done for $\omega/2\pi = 28$ THz, for which SPhPs exhibit the resonant transmission shown in (a).

The authors thank Prof. Hiroshi Toshiyoshi for allowing us the access to his clean room facilities. This work was supported by the CREST JST (Grant Number JPMJCR19Q3 and JPMJCR19I1) and KAKENHI JSPS (Grant Number 21H04635 and JP20J13729) projects, as well as by the JSPS Core-to-Core Program (Grant Number: JPJSCCA20190006). We also thank Olivier Merchiers for his advice on Scuff-EM simulations.

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