

Sensitivity of South American tropical forests to an extreme climate anomaly

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Sensitivity of South American tropical forests to an extreme climate anomaly

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The tropical forest carbon sink is known to be drought sensitive, but it is unclear which forests are the most vulnerable to extreme events. Forests with hotter and drier baseline conditions may be protected by prior adaptation, or more vulnerable because they operate closer to physiological limits. Here we report that forests in drier South American climates experienced the greatest impacts of the 2015–2016 El Niño, indicating greater vulnerability to extreme temperatures and drought. The long-term, ground-measured tree-by-tree responses of 123 forest plots across tropical South America show that the biomass carbon sink ceased during the event with carbon balance becoming indistinguishable from zero $(-0.02\pm0.37~{\rm Mg~C~ha^{-1}}\,{\rm per~year})$. However, intact tropical South American forests overall were no more sensitive to the extreme 2015–2016 El Niño than to previous less intense events, remaining a key defence against climate change as long as they are protected.

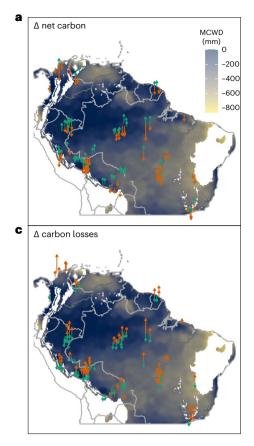
Intact tropical forests are a key component of the Earth system, storing and sequestering large amounts of carbon¹. Climate-driven changes to the capacity of tropical forests to sequester and store carbon as biomass could thus have important consequences for the evolution of climate change itself. Among the key processes governing the carbon balance of tropical ecosystems are the rates of tree growth, which takes carbon into the biomass pool, and tree mortality, which transfers it to necromass. In turn, both growth and mortality are likely to depend closely on plant available water, temperature and their fluctuations. How sensitive tropical forests are to atmospheric warming and drying remains poorly constrained and one of the major sources of uncertainty in vegetation models and coupled climate—carbon cycle models²-⁴. Understanding ecosystem—climate relationships is particularly critical in South America, which still harbours the most extensive and diverse tropical forests in the world⁵-6.

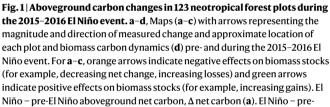
Measurements from long-term inventory plots suggest that Amazonian forests have contributed a major carbon sink for decades but that this carbon sink has been declining since the early 1990s (ref. 7), and may cease before 2040 (ref. 8). These tree-by-tree measurements also suggest that the tropical biomass carbon sink is vulnerable to short-term warming s, and drought, with ground data showing temporary suspension of the sink following both the 2005 (ref. 10) and 2010 (ref. 11) Amazon droughts. Meanwhile, relatively little is known about South American forest carbon dynamics and drought sensitivity beyond Amazonia, although the future of all these forests and their capacity to mitigate climate change also depends on

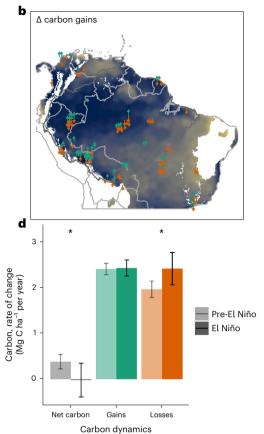
how they will function under changed conditions. Recent work suggests the long-term risk of losing the carbon sink extends to some seasonal Atlantic forests¹² and that Southeast Amazon forests may have already switched from functioning as a carbon sink to a carbon source¹³. While the dominant source in the Southeast Amazon is likely to be degraded forests¹³⁻¹⁵, we lack an integrated analysis of the responses of abovegound biomass dynamics to extreme climate anomalies here, let alone across South American tropical forests using ground records¹⁶. In principle, hotter and drier forests may be either more resistant to hotter and drier climates, protected by evolutionary adaptation to extreme conditions, or more vulnerable due to operating closer to physiological thresholds^{17,18}.

The 2015–2016 El Niño event caused record heat and drought across South America¹⁹ and presented a unique opportunity to evaluate both the impact of long-term climate baselines and short-term climate anomalies on South American forests. In this Article, we assessed the impact of the 2015–2016 El Niño on South American tropical forests using 123 long-term monitoring plots in the RAINFOR and PPBio networks, the largest on-the-ground dataset yet mobilized to address the impact of a single tropical drought. These structurally intact forest plots span Amazonian and Atlantic forests including those that are transitional with dry forests and Cerrado. We investigate the impact of the 2015–2016 El Niño heat and drought on the temporal patterns of net aboveground carbon biomass (net carbon), on carbon gains from recruitment and productivity, and on biomass mortality (carbon losses). We normalize net carbon, gains and losses

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El Niño aboveground carbon gains, Δ carbon gains (**b**). El Niño – pre-El Niño aboveground carbon losses, Δ carbon losses (**c**). The background shading shows pre-El Niño MCWD (mean MCWD for May 2005 to April 2015) across the climate space, not the current extent of tropical forests. For **d**, n = 123 plots, error bars represent 95% Cls, centred on the mean, and '*' indicates significant paired, two-sided t-test, net carbon P = 0.049, losses P = 0.017. The full scatter of the data can be seen in Extended Data Fig. 3.

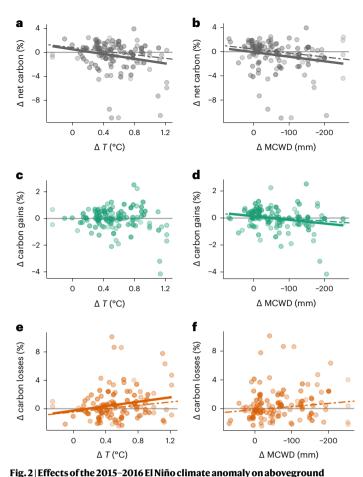
to a percentage change with respect to the pre-El Niño baseline so that we can compare forests with a range of initial size and dynamics. We analyse instantaneous stem mortality rates, size classes and mean wood density of the dying trees to understand which trees are dying. Finally, we assess whether the impacts of the extreme climate event on tropical forests interact with the long-term baseline climate, to help understand if specific regions are pre-adapted for resistance to extreme events or conversely are highly susceptible to losing biomass carbon.

Almost all plots warmed during the 2015–2016 El Niño. Comparing census intervals that capture the event (mean September 2014 to May 2017) with the pre-El Niño census period (mean May 2001 to September 2014), 119 of 123 plots had higher mean monthly temperatures, by an average of $+0.53 \pm 0.10$ °C (paired t-test, P < 0.0001, maps of pre-El Niño climate anomalies are included in Extended Data Fig. 1). Plots also had greater water deficits during the El Niño census interval than in their pre-El Niño monitoring period, with 99 having more negative maximum cumulative water deficit (MCWD) (mean difference -66 ± 25 mm, paired t-test, P < 0.0001), and 96 having lower annual precipitation (mean difference -215 ± 100 mm per year, paired t-test, P < 0.0001). Where it was anomalously hotter it was also anomalously drier—the anomalies of temperature and MCWD were significantly positively correlated over the census interval that captures the El Niño (linear model P = 0.008, Extended Data Fig. 2).

The long-term pre-El Niño (mean 2001.4 to 2014.7) biomass carbon sink, 0.38 ± 0.16 Mg C ha⁻¹ per year, declined significantly during the El Niño census interval (mean 2014.7 to 2017.4) to become indistinguishable from zero, -0.02 ± 0.37 Mg C ha⁻¹ per year, (paired t-test, n = 123, P = 0.0495, Fig. 1d). The net change in carbon is driven by a significant increase in carbon losses due to biomass mortality (from 1.96 to 2.41 Mg C ha⁻¹ per year, P = 0.02), while there was no change in carbon gains from tree growth and new tree recruitment (2.40 Mg C ha⁻¹ per year pre-El Niño and 2.43 Mg C ha⁻¹ per year during the El Niño, P = 0.7). Hence, during the high temperatures and drought of the 2015–2016 El Niño, the 123 forest plots were not a significant biomass carbon sink and this contrasted with their long-term pre-El Niño behaviour. We note that the extreme climate anomaly was shorter than the 2.7 year monitoring window, so given the pre-El Niño sink these forests are likely to have lost biomass carbon on average during and after the event itself.

Higher temperature anomalies significantly increased relative carbon losses from tree mortality (linear model P=0.02, Fig. 2e). The increased losses result in a significant effect of temperature anomalies on net biomass carbon anomalies (linear model P=0.02, Fig. 2a). Thus, relative to the pre-El Niño baseline of increasing biomass, forests subjected to a $0.5\,^{\circ}$ C increase in temperature lost 0.5% of aboveground biomass carbon (Fig. 2a).

Stronger drought anomalies increased relative carbon losses (linear model, P = 0.07, two-tailed Kendall's $\tau P = 0.01$, Fig. 2f) and



biomass carbon dynamics in 123 neotropical forest plots. a-f, Temperature anomaly (a, c and e) and drought anomaly (b, d and f) impacts on aboveground biomass carbon, with forest response variables expressed as relative change. Carbon changes are computed relative to pre-FI Niño aboveground biomass carbon for each plot, for example (El Niño carbon gains – pre-El Niño carbon gains)/pre-El Niño aboveground carbon. The net carbon change (a and b), carbon gains from recruitment and growth (c and d) and carbon losses from mortality (e and f) are shown for 123 long-term inventory plots. The intensity of temperature change, Δ temperature (T) (a, c and e) is mean monthly temperature in El Niño minus mean monthly temperature pre-El Niño, using the census dates of the plot censuses. The intensity of the change in dry season strength is calculated as Δ MCWD (**b**, **d** and **f**), which is the difference between maximum MCWD in El Niño and mean MCWD in pre-El Niño. Point shading from light to dark denotes greater weighting, with plots and line of best fit weighted by an empirically derived combination of pre-El Niño plot monitoring length and plot area for each response variable. Solid lines represent significant linear models (P < 0.05) and dashed lines represent significant one-tailed Kendall's τ correlation tests using rank-based linear model estimation (P < 0.05). Slopes, intercepts and P values for significant linear models are as follows: A: v = -2x + 0.5, P = 0.01, B: v = -0.008x - 0.08. P = 0.02, D: y = -0.003x + 0.1, P = 0.01, E: y = 1.6x - 0.3, P = 0.02, F: linear model is not significant, P = 0.07, but rank-based model is, P = 0.01. See Extended Data Fig. 4 for an alternative analysis excluding censuses before 2000, and Extended Data Fig. 5 for an alternative analysis with carbon changes in absolute terms.

significantly reduced carbon gains (linear model P=0.01, Fig. 2d). Thus, stronger drought anomalies significantly reduced aboveground biomass carbon (linear model P=0.02, Fig. 2b). Relative to the pre-El Niño baseline, forests subjected to a 100 mm stronger MCWD lost 0.8% of aboveground biomass carbon (Fig. 2b). These trends are not driven by wetter plots benefitting during the El Niño, relationships being similar when the 22 plots that were slightly wetter during their El Niño census interval are excluded.

Forests with drier long-term climate lost more biomass carbon in the 2015–2016 El Niño than wetter forests (Fig. 3). Thus, plots with stronger pre-El Niño water deficits lost more aboveground biomass carbon through mortality (linear model P = 0.02, Fig. 3f) and had greater reductions in carbon gains (linear model P = 0.001, Fig. 3d), resulting in greater losses of aboveground biomass carbon (linear model P < 0.001, Fig. 3b). The pre-El Niño baseline temperature was not associated with changes in biomass carbon, nor gains or losses (Fig. 3a,c,e).

Stem mortality rates increased markedly from the pre-El Niño to the El Niño monitoring period by $1.3 \pm 0.4\%$ per year, from 1.8% per year to 3.1% per year (paired t-test, P < 0.0001). This occurred in every size class but more so in large (>400 mm diameter, by $1.3 \pm 0.6\%$ per year from 1.4% per year to 2.7% per year, P < 0.0001) and medium trees $(200-399 \text{ mm diameter. by } 1.7 \pm 0.5\% \text{ per vear from } 1.5\% \text{ per vear to}$ 3.2% per year, P < 0.0001), than in small trees (100-199 mm diameter, by $1.1 \pm 0.5\%$ per year from 2.1% to 3.2% per year, P < 0.0001). In proportional terms, while mortality rates increased by 50% in the smallest trees, they doubled in the larger size classes. This size-class effect is confirmed by linear mixed effects models, where risk of death during the El Niño was enhanced for the medium and large size classes (Supplementary Table 1). Additionally, trees with greater wood density were at less risk of mortality throughout, and this effect was strengthened during the El Niño. So the El Niño increased risk of death especially for larger trees and for lighter-wooded trees, consistent with expectations from hydraulic failure as a driver of the El Niño enhanced mortality²⁰.

Multi-model inference indicates that drier baseline climates were more important than the temperature anomaly in determining El Niño carbon dynamics impacts, having a slightly larger effect size (Fig. 4). Pre-El Niño baseline climate and El Niño climate anomalies only interact significantly to impact forest carbon gains. Carbon gains are significantly reduced by the interaction of temperature anomaly and drought anomaly, and the interaction of baseline MCWD and drought anomaly (Fig. 4), that is, impacts were exacerbated where it was both hotter and drier. Yet, these significant decreases in carbon gains were too small to be detected in the overall net change analysis, with large carbon losses from mortality tending to dominate the forest responses to El Niño.

Discussion

The 2015–2016 El Niño was the hottest and probably the most extreme drought in South America for at least 50 years ^{19,21}. There were high temperatures across the neotropics accompanied by drought anomalies, particularly in south and east Amazonia. Our analysis of long-term field-based records from across tropical South America shows that high temperatures and drought led to increased carbon losses through biomass mortality and that the greatest relative impact of the climate anomalies were in forests where the long-term climate was already relatively dry (Fig. 3).

We might have expected wetter forests to be more vulnerable to climate anomalies as they contain fewer drought-adapted species, and drier forests to be more resistant because they contain more. Yet, our results show that it was exactly where trees are most likely to be pre-adapted to drought that the greatest relative biomass loss was measured. The climatically driest forests were significantly more prone both to El Niño-enhanced carbon losses from biomass mortality and to reduced gains from lower growth and recruitment. Consequently, these drier forests lost biomass during the El Niño period. The drier plots in our dataset were widespread geographically, and we captured the negative carbon impacts of drying in three distinct regions-north Colombia, the Brazilian State of Minas Gerais and the Southern Amazon-including many of the plots both furthest north and furthest south of the equator in our dataset (Fig. 1). These three regions are biogeographically distinct from one another and climatically out of phase, that is, the timings of their wet and dry seasons differ, and yet they exhibited similar negative carbon responses to the climate anomaly. While the potential role of additional factors such as large-scale edge effects cannot be ruled out^{22,23}, our results point to the limits of pre-adaptation to seasonal climates in conferring resistance to novel climate extremes. Rather than being protected, forests at the dry periphery of the tropical forest biome are especially vulnerable to drought.

During the 2015-2016 El Niño we detected enhanced mortality rates for trees of all sizes. Our results show that over the long term smaller trees experience greater mortality rates. However, the climate anomaly did not just increase the mortality risks for all South American trees, it changed their relative risk in relation to fundamental traits of size and wood density. It drove much greater proportional gains in mortality risk for large and medium-sized trees than it did for trees in the smallest size class, effectively equalizing mortality risks across size classes. Larger trees are inherently more vulnerable to climate extremes because of their size, with greater tension needed to move water longer distances, wider vessels and greater risk of cavitation^{24–26}. Larger trees also may have a more exposed position²⁷ and therefore experience the most extreme temperatures and vapour pressure deficits. In our analyses we find not only a size effect but also that lower wood density trees were more likely to die during the El Niño. Enhanced mortality of larger trees during drought is widely attributed to hydraulic failure^{28,29}, a risk that is greater for fast-growing, lower-wood-density trees 30,31 . Our results thus show that larger and lighter-wooded trees were more likely to die during the El Niño event, and this is consistent with expectations from increased hydraulic stress.

Our on-the-ground analysis of long-term forest plots revealed that hotter El Niño temperatures increased biomass carbon losses. However, and in contrast to the impact of drought on forests, it was the magnitude of the temperature anomaly itself rather than the temperature baseline that increased carbon losses (Figs. 2 and 3). That the temperature anomaly influences net carbon could be explained by optimization of photosynthesis to local temperature conditions ³² and excessive vapour pressure deficits during extreme heat ³³.

Before the 2015-2016 El Niño our 123 plots recorded a long-term net increase in aboveground biomass carbon, weighted by sampling effort, of 0.38 ± 0.16 Mg C ha⁻¹ per year between 2001.4 and 2014.7, indicating a long-term carbon sink. By contrast, during our El Niño monitoring interval the plot net carbon balance declined significantly by $0.40 \pm 0.40 \,\mathrm{Mg}\,\mathrm{C}$ ha⁻¹ per year to be indistinguishable from zero (-0.02 ± 0.37 Mg C ha⁻¹ per year). The net carbon balance also significantly declined in previous droughts in South America, by 0.73 ± 0.53 Mg C ha⁻¹ per year in 2005 (in 55 monitored plots, data from Phillips et al. 10), and by 0.89 Mg C ha $^{-1}$ per year (0.54–1.26) in 2010 (in 97 plots, data from Feldpausch et al.¹¹). Comparing our results with the two Amazon drought studies therefore suggests that repeated exposure to drought has caused no loss of intact forest resistance to drought, and although the 2015-2016 El Niño was the hottest drought ever in the Amazon, there is no evidence that its impacts are stronger than those of previous droughts.

Ground observational records of climate anomaly impacts on forests are essential to complement top-down observations and analyses. Our results help inform and interpret large-scale studies that use satellite data measuring related but different processes. We found South American tropical forests to be a carbon sink before the El Niño, which then shut down during the El Niño. Satellite-sensed CO₂ measurements, reflecting net exchange from all processes including productivity, soil and necromass decay, fire and land use change suggest that tropical South America remained a net carbon sink (-0.26 Pg C (-0.58 to 0.04) in 2015 and became a small net source (0.20 Pg C (-0.21 to 0.53) in 2016 (ref. 34). While their combined 2015 and 2016 net balance is similar to our results, the confidence intervals (CIs) are large and the processes being measured are different. Notably, satellites track near real-time carbon losses, while our analysis includes committed losses due to mortality that are not immediately released to the atmosphere, and atmospheric measurements include net soil carbon fluxes, which are not our focus. Another study using satellite-sensed CO₂ estimated

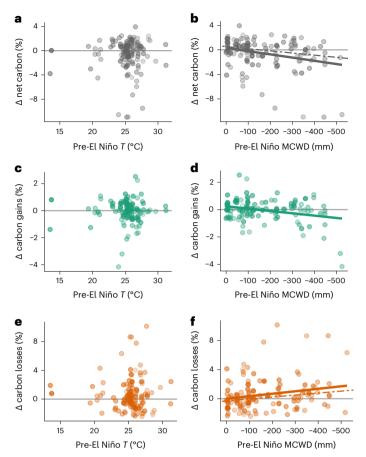


Fig. 3 | Effects of the pre-El Niño climate baseline on aboveground biomass carbon dynamics in 123 neotropical forest plots. a-f, Pre-El Niño temperature (a, c and e) and dry season climate baseline (b, d and f) impacts on aboveground biomass carbon, with forest response variables expressed as relative change. Relative carbon changes are expressed as a percentage of pre-El Niño aboveground biomass carbon at each plot, for example (El Niño carbon gains - pre-El Niño carbon gains)/pre-El Niño aboveground carbon. The net carbon change (a and b), carbon gains from recruitment and growth (c and d) and carbon losses from mortality (e and f) are shown for 123 long-term inventory plots. The pre-El Niño temperature (T) (a, c and e) is the mean of mean monthly temperature in the monitoring period before the El Niño, using the census dates of the plot censuses. The pre-El Niño MCWD (b, d and f) is the mean MCWD in the monitoring period before the El Niño. Point shading from light to dark denotes greater weighting, with plots and line of best fit weighted by an empirically derived combination of pre-El Niño plot monitoring length and plot area for each response variable. Solid lines represent significant linear models (P < 0.05) and dashed lines represent significant one-tailed Kendall's \u03c4 correlation tests using rank-based linear model estimation (P < 0.05). Slopes, intercepts and P values for significant linear models are as follows: B: y = -0.005x + 0.3, P < 0.001, D: y = -0.002x + 0.2, P = 0.001, F: y = 0.003x - 0.002, P = 0.02. See Extended Data Fig. 6 for an alternative analysis excluding censuses before 2000, and Extended Data Fig. 7 for an alternative analysis with carbon changes in absolute terms.

that, compared with the La Niña year of 2011, South American forests had a net emission of $0.9\,\mathrm{Pg}$ C due to reduced productivity or higher respiration in 2015 during the El Niño³⁵. Liu et al. (2017) (ref. 35) attribute the apparent decline in growth to lower precipitation, but our ground measurements indicate that drier pre-El Niño baseline climates, as well as the temperature anomaly, reduced net carbon via increased aboveground biomass mortality rather than reducing biomass growth (Fig. 4). Other ground measurements, including disturbed forests unlike ours, indicate that mortality rates remained elevated for up to 3 years following the climate anomaly, with fires responsible for much of the biomass mortality³⁶.

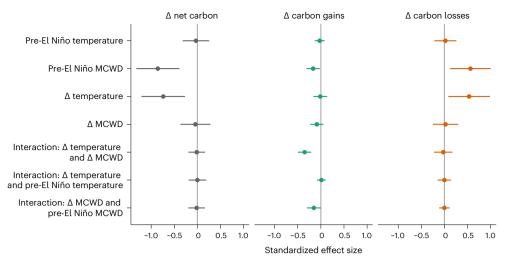


Fig. 4 | Standardized effect sizes of change in relative aboveground biomass carbon in South American tropical forests over the 2015–2016 El Niño.

Points show coefficients from model-averaged linear models, n=123 plots, and error bars show 95% CIs, centred on the mean. Variables that did not occur in well-supported models are shrinkage adjusted towards zero. Coefficients are standardized so that they represent change in the response variable for one

standard deviation change in the explanatory variable. The full models explained 18%, 31% and 12% of variation in Δ net carbon, Δ carbon gains and Δ carbon losses. Equations for models are listed in Supplementary Table 2. See Extended Data Fig. 8 for an alternative analysis excluding censuses before 2000, and Extended Data Fig. 9 for an alternative analysis with carbon changes in absolute terms.

We can also use the relative impacts of the El Niño climate anomaly on the net carbon sink to estimate scaled-up carbon impacts from our ground measurements. A recent assessment of the long-term biomass carbon sink in South American forests reports a value of 0.45 Pg C per year (0.31-0.57, 95% CIs) for the years 2000 to 2010 (ref. 8). Our results suggest that the net sink was reduced by 105% during the El Niño interval. So, over the 2.7 year period we estimate a net biomass loss of only 0.02 Pg C per year (that is, -105% of 0.45 Pg C per year). However, our 2.7 year census window captures months of more normal climate in addition to the El Niño climate anomaly, and we may assume that the climate impacts on forest dynamics were concentrated within the 12 months of extreme climate. If this were the case, we estimate a carbon source of 0.82 Pg C for the El Niño year itself. During the same El Niño event, comparatively resistant African tropical forests continued to be a carbon sink over the period they were measured, with a sink decline of only 36%, and no strong carbon source even when estimated over the 12 months of climate anomaly³⁷. South American tropical forests therefore appear to be more sensitive to El Niño events than African forests.

By measuring the impacts of the most extreme climate event yet recorded in tropical South America and relating them to long-term forest records from the same sites, sustained ground measurements have provided unique insight into its impacts and helped quantify forest sensitivity to climate change. Our analysis suggests that many South American forests are vulnerable to temperature increases, and that the drier forests in particular are most vulnerable to climate change. Rather than being protected through long-term adaptation, forests at the dry periphery of the tropical forest biome are more vulnerable to extreme events. Yet, although the 2015-2016 El Niño was the hottest drought ever in the Amazon, and was exceptionally dry, our long-term on-the-ground measurements show that its impacts were no greater than those of previous droughts. These undisturbed forests were no more sensitive to recent climate extremes than previous, less intense climate events. Intact South American tropical forests have hence demonstrated the capacity to resist climate change so far, further strengthening the case for their conservation as a key defence against climate change.

Inclusion and ethics

ForestPlots.net is a global community of researchers striving to make long-term plot monitoring more equitable. Our partners independently

access, analyse and manage the information from their own plots, and can choose to join and initiate their own collaborative projects across countries and continents. We seek to ensure that both the production and the use of forest data becomes more equitable, that the many less-visible colleagues who contribute vital work receive proper recognition, and that we support fair, long-term collaboration across geographical, socio-economic and cultural divides. Further information on how we promote sustainable and equitable collaborations among researchers is set out in the Code of Conduct³⁸.

Online content

Any methods, additional references, Nature Portfolio reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/s41558-023-01776-4.

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Methods

Plot data collection and analysis

All long-term forest inventory plots analysed are lowland, closed canopy, mature tropical forests, had been censused at least twice before the 2015-2016 El Niño event and were censused at least once afterwards. To be included in the analysis plots must also have had no more than 5 years between the census immediately before the El Niño and the census following the El Niño, and these censuses must also have taken place in the same season (within 120 days). We inspected the temperature and cumulative water deficits of the months between censuses to determine which census interval captured the climate extremes of the El Niño for that plot. Any additional censuses after the El Niño census were not used in this analysis. The El Niño plot census interval should capture maximum drought, and, because MCWD is a lagging metric with deficits cumulated to the end of the month, we use the final pre-El Niño census month as the conditions at the start of the El Niño interval. Ideally, and in most cases, the census interval captures peak temperature as well as maximum drought, but in a few plots with shorter El Niño census intervals only one climate extreme is captured by the El Niño census interval, and that was usually drought.

The 123 plots meeting these criteria are distributed across 50 distinct clusters in six countries: Bolivia, Brazil, Colombia, French Guiana, Peru and Venezuela. Fourteen Brazilian plots are in the PPBio network³⁹; the remaining 109 plots contribute to the RAINFOR network⁴⁰. While some plots were established as early as 1962, to avoid potential impacts of the 1982-1983 El Niño event, we include only censuses after 1983. The plot median size was 1 ha, and the mean 1.05 ha (range 0.25-6.25 ha). The mean initial census date was May 2001, mean pre-El Niño census was September 2014 and mean post-El Niño census was May 2017. The mean pre-El Niño monitoring length was 13.3 years and the mean length of the El Niño interval was 2.7 years. We checked that temporal consistency among plot censuses was adequate by repeating our analysis only with a shorter baseline, excluding censuses before 2000. These alternative analyses yielded similar results and are available in the extended data (with Extended Data Figs. 4–9 directly comparable to Figs. 2-4). All data are curated at ForestPlots.net⁴¹ version 2020.1 downloaded on 19 October 2020, data and code associated with the analyses can be accessed using ref. 42. For plot details, see Supplementary Tables 3 and 4.

In each plot, all trees \geq 100 mm diameter were measured, tagged with a unique identifier and identified to species, where possible. Tree diameter was measured at 1.3 m along the stem from the ground, or above buttresses, if present, using standardized methods for all plots ⁴³. In some cases, the point of diameter measurement had to be moved due to upward growth of buttresses or deformities. For these trees, we calculated a single common estimate of growth before and after the point of diameter measurement change ^{8,44-47}. Stems that reached a diameter \geq 100 mm during the census interval were recorded as new recruits.

Field data were checked against rules to identify potential errors, identically for all 123 plots, consistent with previous large-scale analyses 7.8,44,48. We assessed trees that increased in diameter >40 mm per year or shrunk >5 mm over an interval, to determine if they could have been inaccurately measured in the field. For example, fast-growing species in a canopy gap could grow >40 mm per year, or a rotten trunk could shrink >5 mm in an interval, but for those deemed potentially inaccurate the diameter was either interpolated or extrapolated using known measurements from the same stem from other censuses. When only one accurate measurement was available, growth was estimated by applying the mean growth rate (for diameter classes 100–199 mm and 200–399 mm) or median growth rate, for size classes with few stems (for diameters 400+ mm) (0.4% of all measurements).

We estimated tree aboveground mass using the following allometric equation⁴⁹:

$$AGB = 0.0673 \times (\rho D^2 H)^{0.976},$$

where ρ is stem wood density (g cm⁻³), D is stem diameter (cm) at 1.3 m or above buttresses, and H is height (m). We estimate the above-ground mass of palms using the equation in Goodman et al. (2013) (ref. 50. Wood density measurements were compiled mostly from the Global Wood Density Database on the Dryad digital repository (datadryad.org)^{51,52} and each individual stem in a plot was matched to a species-specific mean wood density value, where possible. For incompletely identified individuals or individuals belonging to species not in the wood density database, we use the mean wood density value for genus if available, then family. For unidentified individuals, we used the mean wood density value of all individual trees in the plot 47,53 .

Tree heights were measured in 108 plots; typically the 10 largest trees and 10 trees in each of the diameter classes 100–199 mm, 200–299 mm, 300–399 mm, 400–499 mm and 500–599 mm, with trees selected only when the top was visible 54. To estimate height for plots or trees a three-parameter regional height-diameter Weibull equation was fitted using the local.heights function in the BiomasaFP R package 55. The regions were Amazonia: Brazilian Shield, East-Central, Guiana Shield and West, and the parameters were used to estimate tree height from tree diameter for all stems for input into the allometric equation.

We estimated the aboveground biomass in live stems (AGB), in Mg dry mass ha⁻¹, at each census of each plot; the additions of biomass to each plot over the census interval, as aboveground wood productivity (AGWP), in Mg dry mass ha⁻¹ per year, and the losses of AGB from the plot, termed AGB mortality, also in Mg dry mass ha⁻¹ per year. Plot-level carbon gains and losses are increasingly underestimated as census length increases, so to avoid census-interval effects we corrected using the method outlined in Talbot et al.⁴⁶. We thus accounted for the carbon additions from trees that recruited and then died within the same interval (unobserved recruitment), and the carbon additions from trees that grew before they died within an interval (unobserved growth). Carbon losses are affected by similar processes, so we added the estimated growth before tree death within the interval (unobserved growth), and the deaths of stems that were newly recruited within the interval (unobserved mortality).

We used the BiomasaFP R package to calculate AGB, AGWP and AGB mortality, including the calculation of the census interval corrections. Pre-El Niño means of these variables are time-weighted on the basis of the census interval lengths. Where AGB, AGWP and AGB mortality are expressed in carbon terms (net change in carbon stocks, carbon gains and carbon losses) we use the mean carbon fraction for tropical angiosperms, 45.6% (ref. 56). The difference between the mean of the pre-El Niño monitoring period and the mean of the El Niño census interval is Δ net carbon, Δ carbon gains and Δ carbon losses for each plot. We report results in terms of relative biomass carbon impacts as a percentage of initial aboveground plot carbon as we are comparing a wide range of forests, but have also analysed absolute impacts which show similar trends (Extended Data Figs. 5, 7 and 9).

We weighted the plots when testing the impacts of climate (temperature and MCWD) on biomass carbon (Δ net carbon, Δ carbon gains and Δ carbon losses) using linear regression because larger plots and those monitored for longer are expected to provide better estimates of changes in carbon, carbon gains and carbon losses. We calculate an empirical optimum weighting using plot area and pre-El Niño monitoring length, by assuming a priori that there is no pattern in the change in carbon, carbon gains or carbon losses. We assessed the patterns in the residuals of sampling effort versus change in carbon, carbon gains and carbon losses following different weightings to remove any pattern in the residuals 47 . Selected weights were: Δ net carbon, Area $^{1/2}$ + monitoring length $^{1/3}$ – 1; Δ carbon gains, Area $^{1/7}$ + monitoring length $^{1/7}$ – 1; Δ carbon losses, Area $^{1/3}$ + monitoring length $^{1/4}$ – 1. Instantaneous stem mortality rates were calculated and corrected for census interval effects using equation 3 from Lewis et al. 57 .

We used linear models, Kendall's r correlation tests and rank-based regression lines of best fit to determine significant relationships

between carbon dynamics and climate. Rank based regression lines were plotted using the rfit function from the Rfit R package⁵⁸. We checked whether the explanatory climate variables covary, and as a rule of thumb we assumed correlations above 0.7 should not be included in multiple regression⁵⁹. Multicollinearity was not an issue as correlation coefficients between explanatory variables were low (r < 0.24) and variance inflation factors from fitted models were \le 1.4, and thus well below the common cut-off of 5 (ref. 60), allowing us to include them in multiple regression. Mixed effects models of factors associated with tree death were performed using the glmer function from the lme4 R package⁶¹.

The multiple linear models test the impacts of pre-El Niño climate (pre-El Niño temperature and pre-El Niño MCWD) and climate anomaly (Δ temperature. Δ MCWD and their interaction) on biomass carbon (Δ net carbon, Δ carbon gains and Δ carbon losses) (full model equations listed in Supplementary Table 1). We included pre-El Niño climate in our models to test whether plots that were already hotter (pre-El Niño temperature) or plots that were already drier (pre-El Niño MCWD) were more or less resistant to climate extremes (trees in hotter or drier pre-El Niño climates may contain more hot or dry adapted species, but also may be closer to physiological temperature or moisture thresholds). We include interactions in our models as we might expect greater impacts in locations that are hotter pre-El Niño and experience a greater temperature anomaly (pre-El Niño temperature interacts with Δ temperature), or locations that are drier pre-El Niño and experience a greater MCWD anomaly (pre-El Niño MCWD interacts with Δ MCWD), and high temperatures may exacerbate water deficits (Δ temperature interacts with Δ MCWD). Variables were standardized to allow effect size comparisons. Global models with all possible combinations (subsets) of effect terms were restricted to a 95% confidence set (Akaike information criterion weights of models sum to 0.95), thereby excluding highly unlikely models. We then model averaged the coefficients of terms (using the Akaike information criterion weights of each model), meaning terms with limited support exhibit shrinkage towards zero⁶². Multi-model inference was performed using the dredge and model.avg functions of the MuMIn R package⁶³.

Climate analysis

Temperature, precipitation and drought estimation. We required a continuous climate record from 1970 to 2018 over South American forests, which meant combining products with different timespans and scales. We used (1) the mean monthly 2 m temperature (30 km resolution) from the ERAS dataset for 1979 to 2018 (ref. 64) and (2) monthly temperature (0.5° resolution) from the Climate Research Unit (CRU) ts.4.03 dataset for 1970–1978 (ref. 65). The CRU dataset was resampled to match the resolution of ERAS and harmonized to Celsius units. For each month of the overlapping period ERAS and CRU we plotted the regression of CRU on ERAS (1979–2018, that is, January CRU versus January ERAS) for all neotropical forest pixels, and the fit was used to correct the CRU data to match ERAS. The 1970 to 2018 temperature record (7) includes the monthly adjusted CRU data (1970 to 1978) and monthly ERAS data from 1979 to 2018.

For temperature data at a finer spatial resolution, we also down-scaled our temperature data to 1 km² using WorldClim v2 (ref. 66) by first resampling the 1970–2018 temperature record to match the resolution of WorldClim. We then use the static 1970–2000 WorldClim temperature to correct the 1970–2018 record for each plot location by calculating the mean monthly temperature (μ) for the CRU-ERA-I record for the period 1970–2000. The monthly difference ($T_{\rm diff} = T_{\mu} - T_{\rm WorldClim}$) of the mean climate, $T_{\rm diff}$ was then used to create a plot-level monthly temperature 1970–2017: $T_{\rm plot} = T - T_{\rm diff}$. Temperature values were then additionally adjusted for any difference in altitude between the plot and the altitude of the 1 km grid cell used for WorldClim interpolation, using a lapse rate, so that $T_{\rm plotalt} = T_{\rm plot} + 0.005 \times (A_{\rm WorldClim} - A_{\rm plot})$, where T is temperature (°C) and A is altitude (m).

Similarly, continuous precipitation records were required from 1970 to 2018. We used (1) monthly precipitation (0.25° resolution) from the Tropical Rainfall Measurement Mission (TRMM product 3B43 V7) for 1998 to 2018 (ref. 67) and (2) monthly precipitation (0.5° resolution) from the Global Precipitation Climatology Centre (GPCC, Version 7) database for 1970 to 1998 (ref. 68). The GPCC dataset was regridded to match the resolution of TRMM. TRMM and GPCC were then regressed for the overlapping time period (1998–2003, that is, January TRMM versus January GPCC) for all neotropical forest pixels, and the fit is used to correct the GPCC data to match TRMM. The 1970–2018 precipitation record (*P*) includes monthly adjusted GPCC data (1970–1998) and monthly TRMM data from 1998 to 2018.

Again, for a finer spatial resolution we downscaled precipitation data for plot locations followed a procedure similar to that used for temperature: upscaling to 1 km² resolution using WorldClim (v2 (ref. 66)). The GPCC–TRMM precipitation record is resampled to match the resolution of WorldClim, and the mean (μ) GPCC–TRMM precipitation for the period 1970–2000 is calculated for each month. The monthly ratio ($P_{\rm ratio} = P_{\mu}/P_{\rm WorldClim}$) of the mean climate is calculated, and $P_{\rm ratio}$ used to adjust monthly precipitation 1970–2017: $P_{\rm plot} = P \times P_{\rm ratio}$.

The drought intensity experienced by plots was estimated as the MCWD. The MCWD was calculated as in ref. 69 and accounts for plot seasonality as calculations take place for each pixel:

$$\begin{aligned} &\text{if } \mathsf{WD}_{n-1} - \mathsf{ET}_n + P_n < 0; \\ &\text{then } \mathsf{WD}_n = \mathsf{WD}_{n-1} - \mathsf{ET}_n + P_n; \\ &\text{else } \mathsf{WD}_n = 0. \end{aligned}$$

where WD is water deficit, n indicates month, ET is evapotranspiration and P is precipitation.

We assumed a constant monthly evapotranspiration of 100 mm per month based on measurements from Amazonia 69,70 and as used in previous studies 8,10 . This is similar to an estimate of variable ET we calculated for the 123 plots based on monthly precipitation and temperature, sensu 7 , a mean ET value of 102 mm per month. This allows MCWD to represent a precipitation-driven dry season deficit, meaning that MCWD is temperature independent, allowing us to discriminate between temperature- and drought-driven changes in growth and mortality.

We used census dates to calculate climate anomalies for each plot. For the pre-El Niño monitoring period of a plot we calculate the mean of the annual MCWD values, that is, the pre-El Niño drought state. For the 2015–2016 El Niño census interval we select the maximum annual MCWD value, as we are interested in the most extreme drought conditions within the El Niño sampling window for each plot. We used the 12 month period from May 2015 to April 2016, the 12 consecutive months with the greatest sea surface temperature anomalies in the Niño 3.4 region³⁵, for visualizing El Niño climate anomalies and pre-El Niño climate.

Reporting summary

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

Data availability

Publicly available climate data used in this paper are available from ERA5 (ref. 64), CRU ts.4.03 (ref. 65), WorldClim v2 (ref. 66), TRMM product 3B43 V7 (ref. 67) and GPCC, Version 7 (ref. 68). The input data are available on ForestPlots⁴².

Code availability

R code for graphics and analyses is available on ForestPlots⁴².

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Author contributions

A.C.B., S.L.L. and O.L.P. designed research; all authors performed research, contributed data and had the chance to comment on the manuscript; M.J.P.S., A.L. and G.C.P. contributed new analytic tools; O.L.P. managed the forest plot remeasurement programme; A.C.B. analysed data; and A.C.B. and O.L.P. wrote the paper with input from all authors. El Niño remeasurement campaigns were led by T.R.S., A.M.-M., A.E.-M., P.S.M., F.C.S., W.C., L.F.D., G.F.L., R.M.S., E.R., E.V.T., E.A.-D., T.R.B., F.R.C.C., B.S.M., J.S., B.B., E.B., A.A.-M., Z.R.C., W.L., F.D.S., L.J.V., F.E., R.V.M., B.H.M.-J., D.G., M.J.P.S., J.B., L.F.A., S.A.V., L.A., D.B., G.D., M.D., M.S., A.D., T.F., J.F., V.F.M., G.G.S., B.H., E.H.C., C.J., F.A.M.S., Y.M., H.R.A., G.S., M.S., Y.S.S., L.V.G. and O.L.P.

Competing interests

The authors declare no competing interests.

Additional information

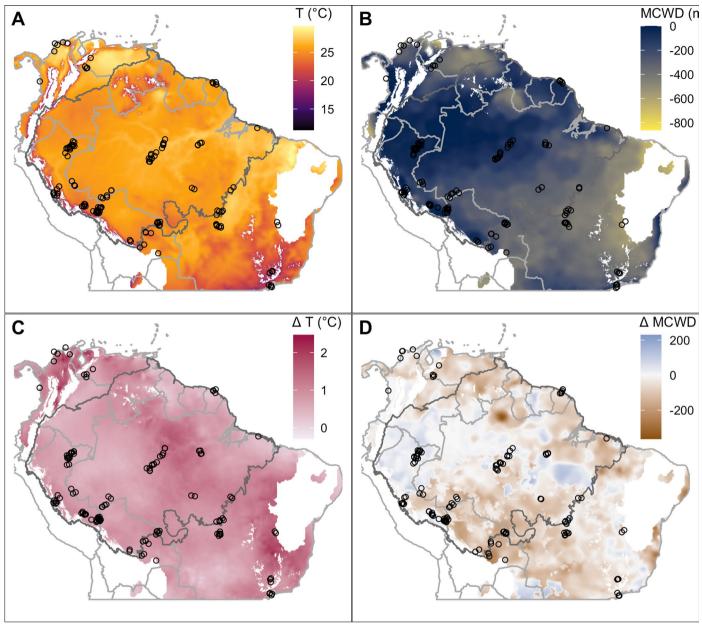
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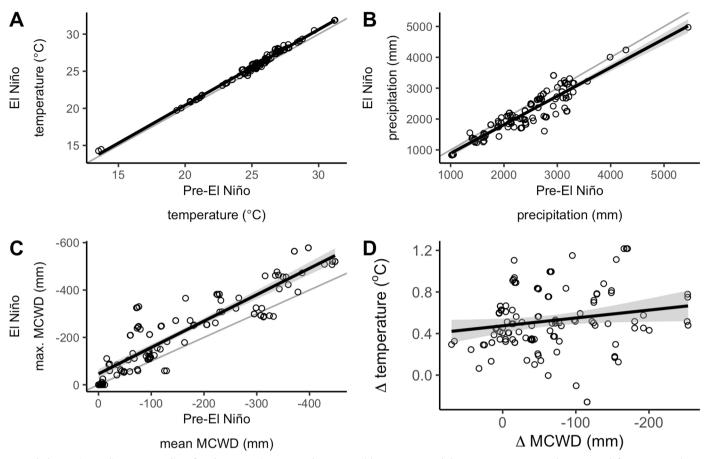
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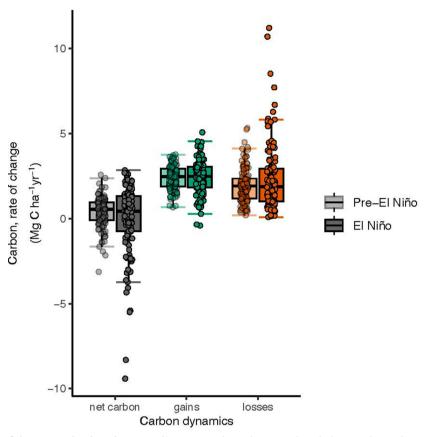
Extended Data Fig. 1 | Pre-El Niño climate and 2015–2016 El Niño climate anomalies and locations of 123 forest plots. Pre-El Niño temperature (a), pre-El Niño drought (b), temperature anomaly (c) and drought anomaly (d) and locations of forest plots (black circles). Pre-El Niño temperature, T, is the average mean monthly temperature May 2005-April 2015 (A). Pre-El Niño maximum cumulative water deficit, MCWD (mm), is the mean MCWD May 2005-April

2015 (B). The intensity of temperature change, Δ T, is the average mean monthly temperature in the El Niño year minus the average of the preceding decade (C). The intensity of MCWD change, Δ MCWD, is the MCWD in the El Niño year minus the average of the preceding decade (D). The Amazon basin is delineated by a dark grey line. Plot locations are indicated by black circles which can overlap.



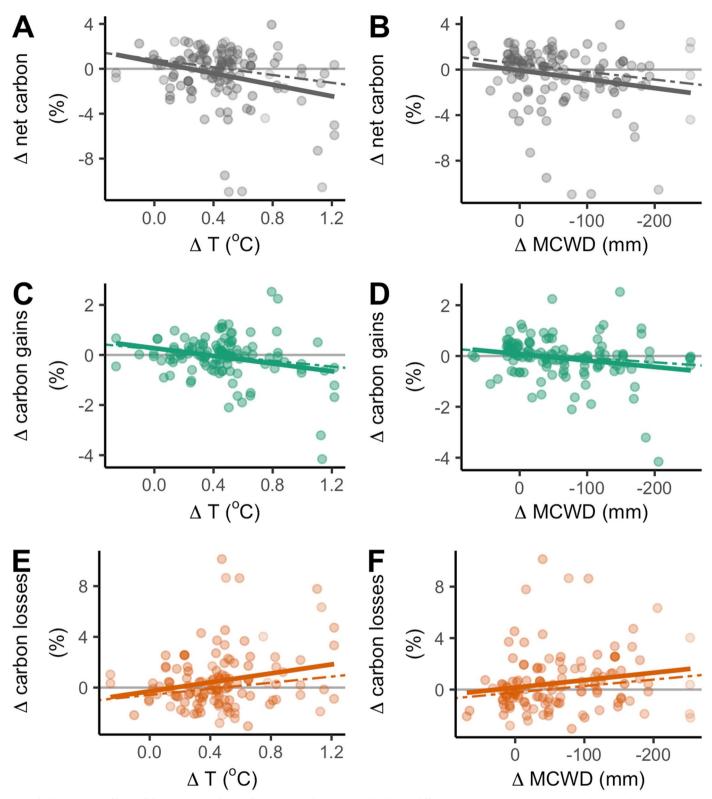
Extended Data Fig. 2 | Climate anomalies of 123 long-term inventory plots. Pre-El Niño and El Niño are defined by plot census dates. Plot census interval pre-El Niño and El Niño temperature (a), linear model p < 0.0001, annual precipitation (b), p < 0.0001, maximum cumulative water deficit MCWD

(c), p < 0.0001, and change in maximum cumulative water deficit MCWD and temperature (d), p = 0.008, in plots. n = 123 plots censused pre- and El Niño. Grey line indicates 1:1 relationship, grey shading indicates standard error.



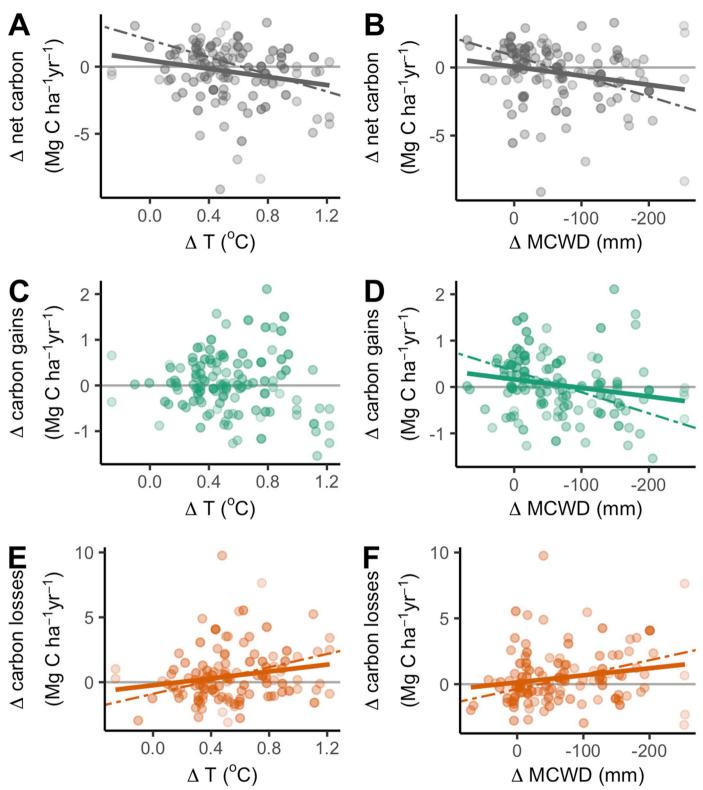
Extended Data Fig. 3 | Boxplots of above ground carbon changes and in 123 forest plots during the 2015–2016 El Niño event. Corresponding to Fig. 1d in the main text, points show plot-level information, n=123 plots, horizontal black

line indicates median, the lower and upper hinges are the first and third quartiles, the whiskers extend to the value furthest from the hinge but no more than 1.5*interquartile range.



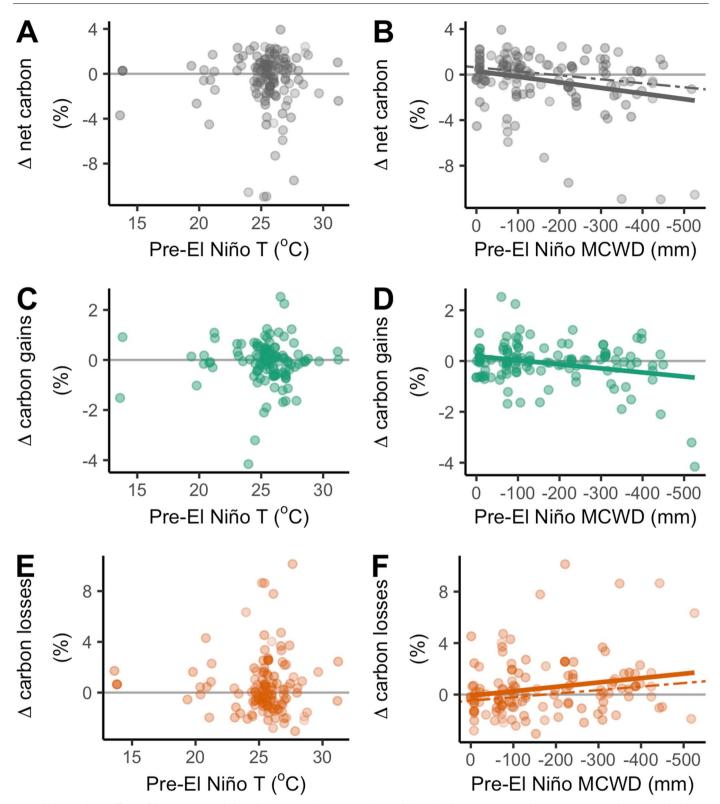
Extended Data Fig. 4 | Effects of the 2015–2016 El Niño climate anomaly on aboveground biomass carbon dynamics in 123 neotropical forest plots, for forests measured after 2000. Temperature anomaly (left) and drought anomaly (right) impacts on aboveground biomass carbon dynamics as relative change. The net carbon change (a,b), carbon gains from recruitment and growth (c,d) and carbon losses from mortality (e,f) of the censuses capturing the El Niño event minus pre-El Niño plot monitoring period for 123 long-term inventory plots. The temperature change, Δ temperature (T) (A,C,E) is mean monthly temperature in the El Niño census interval minus mean monthly temperature pre-El Niño, using the census dates of the plot censuses. The intensity of the change in dry season strength, is calculated as Δ maximum cumulative water deficit (MCWD) (B,D,F)

which is the difference between maximum MCWD in El Niño and mean MCWD in pre-El Niño. Point shading from light to dark denotes greater weighting, with plots and line of best fit weighted by an empirically derived combination of pre-El Niño plot monitoring length and plot area for each response variable. Solid lines indicate significant linear models (p < 0.05) and dashed lines represent significant one-tailed Kendall's Tau correlation tests using rank-based linear model estimation (p < 0.05). Slopes, intercepts and p-values for significant linear models are as follows: A: y = -2.5x + 0.6, p = 0.002, B: y = -0.008x - 0.08, p = 0.02, C: y = -0.8x + 0.3, p = 0.003, D: y = -0.003x + 0.08, p = 0.02, E: y = 1.8x - 0.3, p = 0.01, F: y = 0.006x + 0.2, p = 0.048.



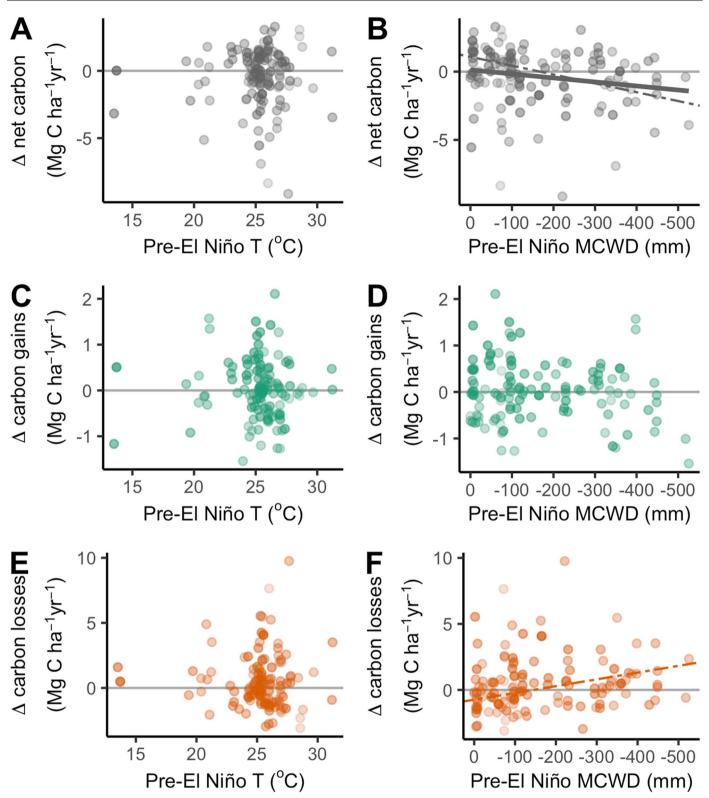
Extended Data Fig. 5 | Effects of the 2015–2016 El Niño climate anomaly on aboveground biomass carbon dynamics in 123 neotropical forest plots, with carbon changes expressed in absolute terms. Temperature anomaly (left) and drought anomaly (right) impacts on aboveground biomass carbon dynamics as absolute change. The net carbon change (a,b), carbon gains from recruitment and growth (c,d) and carbon losses from mortality (e,f) of the censuses capturing the El Niño event minus pre-El Niño plot monitoring period for 123 long-term inventory plots. The temperature change, Δ temperature (T) (A,C,E) is mean monthly temperature in the El Niño census interval minus mean monthly temperature pre-El Niño, using the census dates of the plot censuses. The intensity of the change in dry season strength, is calculated as Δ maximum

cumulative water deficit (MCWD) (B, D, F) which is the difference between maximum MCWD in El Niño and mean MCWD in pre-El Niño. Point shading from light to dark denotes greater weighting, with plots and line of best fit weighted by an empirically derived combination of pre-El Niño plot monitoring length and plot area for each response variable. Solid lines indicate significant linear models (p < 0.05) and dashed lines represent significant one-tailed Kendall's Tau correlation tests using rank-based linear model estimation (p < 0.05). Slopes, intercepts and p-values for significant linear models are as follows: A: y = -3.3x + 1, p = 0.02, B: y = -0.01x + 0.1, p = 0.01, D: y = -0.004x + 0.4, p = 0.02, E: y = 2.9x - 0.5, p = 0.03, F: y = 0.01x + 0.3, p = 0.04.



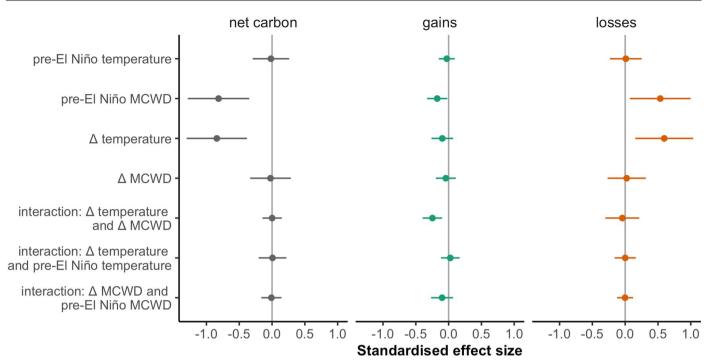
Extended Data Fig. 6 | Effects of the 2015–2016 El Niño climate anomaly on aboveground biomass carbon dynamics in 123 neotropical forest plots, for forests measured after 2000. Pre-El Niño temperature (left) and pre-El Niño drought (right) impacts on aboveground biomass carbon dynamics as relative change. The net carbon change (a, b), carbon gains from recruitment and growth (c, d) and carbon losses from mortality (e, f) of the censuses capturing the El Niño event minus pre-El Niño plot monitoring period for 123 long-term inventory plots. The pre-El Niño temperature (T) (A, C, E) is the mean of mean monthly temperature in the monitoring period prior to the El Niño, using the census dates of the plot censuses. The pre-El Niño maximum cumulative water deficit

(MCWD) (B, D, F) is the mean MCWD in the monitoring period prior to the El Niño. Point shading from light to dark denotes greater weighting, with plots and line of best fit weighted by an empirically derived combination of pre-El Niño plot monitoring length and plot area for each response variable. Solid lines indicate significant linear models (p < 0.05) and dashed lines represent significant onetailed Kendall's Tau correlation tests using rank-based linear model estimation (p < 0.05). Slopes, intercepts and p-values for significant linear models are as follows: B: y = -0.005x + 0.3, p = 0.003, p = 0.002x + 0.2, p = 0.003, p = 0.003,



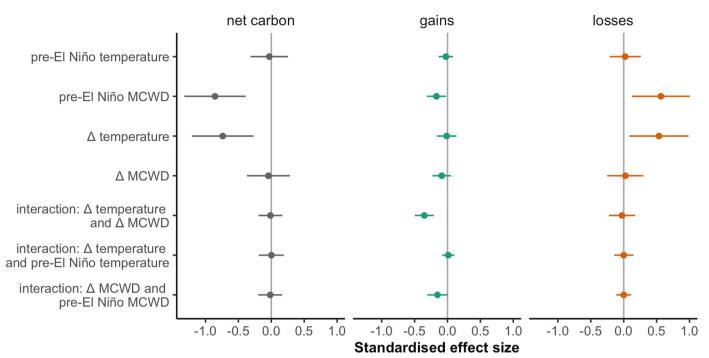
Extended Data Fig. 7 | Effects of the 2015–2016 El Niño climate anomaly on aboveground biomass carbon dynamics in 123 neotropical forest plots, with carbon changes expressed in absolute terms. Pre-El Niño temperature (left) and pre-El Niño drought (right) impacts on aboveground biomass carbon dynamics as absolute change. The net carbon change (a,b), carbon gains from recruitment and growth (c,d) and carbon losses from mortality (e,f) of the censuses capturing the El Niño event minus pre-El Niño plot monitoring period for 123 long-term inventory plots. The pre-El Niño temperature (T) (A,C,E) is the mean of mean monthly temperature in the monitoring period prior to the El Niño, using the census dates of the plot censuses. The pre-El Niño maximum

cumulative water deficit (MCWD) (B, D, F) is the mean MCWD in the monitoring period prior to the El Niño. Point shading from light to dark denotes greater weighting, with plots and line of best fit weighted by an empirically derived combination of pre-El Niño plot monitoring length and plot area for each response variable. Solid lines indicate significant linear models (p < 0.05) and dashed lines represent significant one-tailed Kendall's Tau correlation tests using rank-based linear model estimation (p < 0.05). Slopes, intercepts and p-values for significant linear models are as follows: B: y = -0.006x + 0.3, p = 0.03, F: linear model is not significant, p = 0.1, but rank-based model is, p = 0.007.



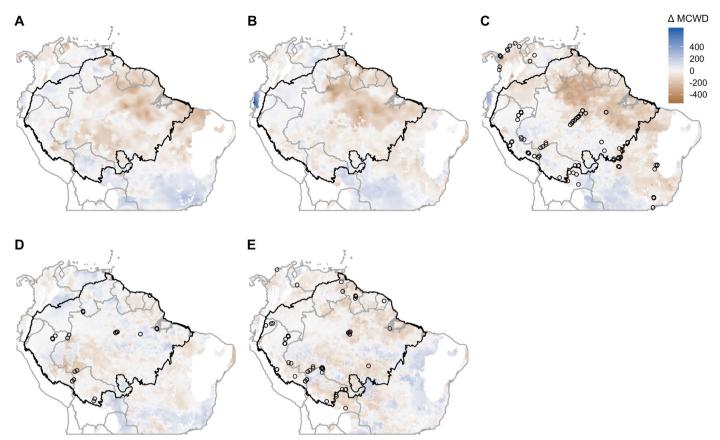
Extended Data Fig. 8 | Standardised effect sizes of change in above ground biomass carbon in South American tropical forests over the 2015–2016 El Niño, for forests measured after 2000. Points show coefficients from model-averaged linear models, n = 123 plots, and error bars show 95 % Cis, centred on the mean. Variables that did not occur in well-supported models are shrinkage

adjusted towards zero. Coefficients are standardised so that they represent change in the response variable for one standard deviation change in the explanatory variable. The full models explained 14 %, 21 % and 7 % of variation in Δ net carbon, Δ carbon gains and Δ carbon losses.



Extended Data Fig. 9 | Standardised effect sizes of change in above ground biomass carbon in South American tropical forests over the 2015–2016 El Niño, as absolute change in carbon. Points show coefficients from model-averaged linear models, n=123, and error bars show 95 % Cls, centred on the mean. Variables that did not occur in well-supported models are shrinkage

adjusted towards zero. Coefficients are standardised so that they represent change in the response variable for one standard deviation change in the explanatory variable. The full models explained 11%, 16% and 9% of variation in Δ net carbon, Δ carbon gains and Δ carbon losses.



Extended Data Fig. 10 | Intensity of droughts and locations of forest monitoring plots in South America. Drought anomaly maps for El Niño (top) and non-El Niño (bottom) droughts. El Niño drought anomalies (\mathbf{a} - \mathbf{c}) are May-April climate years compared to the decade prior, non-El Niño drought anomalies (\mathbf{d} , \mathbf{e}) are reproduced from (Lopez-Gonzalez et al. 2011 (ref. 53) where October-September years are compared to October 2000 - September 2009, excluding

2005. Plot locations are marked as they were analysed in this paper, n = 123 (C), and in previous studies; 2005 n = 55 (Phillips et al. 10) (D), and 2010 n = 97 (Feldpausch et al. 11) (E). Core Amazonia is delineated by the black polygon. The shaded area is limited to locations that have > 1000 mm yr $^{-1}$ precipitation and are <1000 m above sea level.

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Data collection

No software was used for data collection. All field data were uploaded to, and are curated at, ForestPlots.net (ForestPlots.net et al., 2021).

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ForestPlots.net et al. Taking the pulse of Earth's tropical forests using networks of highly distributed plots. 260, (2021).

Data analysis

All calculations were performed using the R statistical platform, version 4.0.5 (R Core Team, 2021). We used the R package BiomasaFP, version 0.3.0 (Lopez-Gonzalez, Sulivan & Baker, 2017) to calculate plot aboveground biomass, woody productivity and biomass mortality, including calculating census interval corrections. The R package Rfit, version 0.24.2 (Kloke & McKean, 2012) was used to generate rank-based regression lines, the package MuMIn, version 1.47.5 (Barton, 2019) was used to perform multi-model inference. The Ime4 R package, version 1.1.33 (Bates et al., 2022) was used for mixed effects modeling.

R Core Team (2021), R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. URL https://www.R-project.org/.

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Data

Policy information about availability of data

All manuscripts must include a data availability statement. This statement should provide the following information, where applicable:

- Accession codes, unique identifiers, or web links for publicly available datasets
- A list of figures that have associated raw data
- A description of any restrictions on data availability

Publicly available climate data used in this paper are available from ERA5 (mean monthly 2-m temperature at 30 km resolution), CRU ts.4.03 (monthly temperature at 0.5 o resolution), WorldClim v2 (average temperature and altitude at 1 km2), TRMM product 3B43 V7 (monthly precipitation at 0.25 o resolution) and GPCC Version 7 (monthly precipitation at 0.5 o resolution).

Source data and R code to generate figures and tables are available from: forestplots.net/publications/datapackages/Bennett-et-al-2023

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Study description

We worked as a community of more than 100 forest ecologists across South America to produce the first ground-based analysis of the impact of the hottest drought and most extreme El Niño event to date across South American tropical forests. This manuscript presents an assessment of both temperature and drought-responses of intact South American tropical forests. Our multi-decadal, sustained tree-by-tree diameter measurements from 123 long-term forest plots from before, during and after the 2015-2016 climate anomaly provide direct evidence that drier forests are especially vulnerable to drought. Additionally, that the long-term, pre-El Niño biomass carbon sink in South American tropical forests shrank to close to zero during the El Niño.

Research sample

We use data from 123 long-term forest plots in six South American countries.

Sampling strategy

All long-term forest inventory plots analysed are lowland (< 1000 m), closed canopy, mature tropical forests and had been censused at least twice prior to the 2015-16 El Niño event and were censused at least once afterwards. To be included in the analysis plots must also have had no more than five years between the census immediately prior to the El Niño and the census following the El Niño, and these censuses must also have taken place in the same season (within 120 days).

Data collection

Our 123 plots are distributed across 50 distinct clusters in six countries: Bolivia, Brazil, Colombia, French Guiana, Peru and Venezuela. All permanent inventory plots are part of the RAINFOR or PPBIO forest plot networks. See Table S1 for plot details. In each plot all trees ≥100 mm diameter are measured, tagged with a unique identifier, and identified to species, where possible. Tree diameter was measured at 1.3 m along the stem from the ground, or above buttresses, if present, using standardised methods for all plots (Phillips et al., 2010). In some cases, the point of diameter measurement (POM) had to be moved due to upward growth of buttresses or deformities. For these trees we calculated a single common estimate of growth before and after the POM-change. Stems that reached a diameter ≥100 mm during the census interval were recorded as new recruits.

We estimated tree aboveground mass using the allometric equation:

AGB = $0.0673 \times (\rho D2H)0.97637$,

where ρ is stem wood density (g cm-3), D is stem diameter (cm) at 1.3 m or above buttresses, and H is height (m). We estimate the aboveground mass of palms using the equation in Goodman et al. (2013). Wood density measurements were compiled mostly from the Global Wood Density Database on the Dryad digital repository (datadryad.org) (Chave et al., 2009, Zanne et al., 2009) and each individual stem in a plot was matched to a species-specific mean wood density value, where possible. For incompletely identified individuals or individuals belonging to species not in the wood density database, we use the mean wood density value for genus if available, then family. For unidentified individuals, we used the mean wood density value of all individual trees in the plot (Lopez-Gonzalez et al., Lewis et al., 2009).

To estimate height for plots or trees a 3-parameter regional height-diameter Weibull equation was fitted using the local.heights function in the BiomasaFP R package (Lopez-Gonzalez et al., 2015). We estimated the aboveground biomass in live stems, aboveground wood productivity, and AGB mortality, in Mg dry mass ha-1, at each census of each plot, and corrected for census-interval effects (Talbot et al., 2014).

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Timing and spatial scale

The 123 plots have a median plot size of 1 ha, mean 1.05 ha (range 0.25 - 6.25 ha), with a total plot area of 128.7 ha. While some plots were established as early as 1962, to support temporal consistency among plots, and to avoid potential impacts of the 1982-1983 El Niño event, we include only censuses after 1983. Any additional censuses after the El Niño census were not used in this analysis. Plots were measured at least three times and a maximum of 27 times between 1984 and 2018. The plot monitoring periods span 3 to 32 years; mean initial census was May 2001, mean pre-El Niño census was September 2014, and mean post-El Niño census was May 2017. The mean pre-El Niño monitoring length was 13.3 years and the mean length of the El Niño interval was 2.7 years. See Table S1 for plot census details.

Data exclusions

Plots were selected using the criteria described above (see Sampling strategy section). Plots that did not meet one or several of these criteria were not used for analysis.

Reproducibility

Our analysis did not include experimental findings. All source data and code to rerun analysis and reproduce figures can be found at forestplots.net/data-packages/Bennett-et-al-2023.

Randomization

No randomization occurred in our study.

Blinding

Blinding was not relevant to our study.

Did the study involve field work?

Yes N

Field work, collection and transport

Field conditions

All 123 plots are located in lowland (<1000 m), closed canopy, mature, South American tropical forests. The El Niño remeasurement campaigns took place between April 2016 and October 2018.

Location

Plots are located between -23.4oS to 11.2oN latitude and 77.5oW to 44.2oW. A map showing locations of all plots is presented in Figure 1, and plot latitude and longitude is in Table S1.

Access & import/export

Plot inventory El Niño remeasurement census data were collected by teams led by at least one of the researchers co-authoring this paper. This paper is a product of the RAINFOR and PPBio networks. These permanent inventory plot networks only exist because of huge community effort. A full list of people involved in data collection can be found in the Acknowledgments.

Disturbance

No significant disturbance was caused by our measurements. Stems were tagged using a single aluminium nail (no iron), avoiding damage to trees due to corrosion.

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